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# 2 Inter-determination of blood metabolite levels and gut microbiome

# 3 supported by Mendelian randomization

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#### 26 Abstract

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28 The gut microbiome has been implicated in a variety of physiological states. 29 Controversy over causality, however, has always haunted microbiome studies. Here, 30 we utilized the bidirectional Mendelian randomization (MR) approach to address 31 questions that are not yet mature for more costly randomized interventions. From a 32 total of 3,432 Chinese individuals with shotgun sequencing data for whole genome 33 and whole metagenome, as well as anthropometric and blood metabolic traits, we 34 identified 58 causal relationships between the gut microbiome and blood metabolites, 35 and replicated 43 out of the 58. Gut microbiome could determine features in the blood. 36 For example, increased fecal relative abundances of Oscillibacter and Alistipes were 37 causally linked to decreased triglyceride concentration, and fecal microbial module 38 pectin degradation might increase serum uric acid. On the other hand, blood features 39 may determine gut microbial features, e.g. glutamic acid appeared to decrease 40 Oxalobacter, and a few members of Proteobacteria were unidirectionally influenced 41 by cardiometabolically important metabolites such as 5-methyltetrahydrofolic acid, 42 alanine, as well as selenium. This study illustrates the value of human genetic 43 information to help prioritize gut microbial features for mechanistic and clinical studies. 44 The results are consistent with whole-body cross-talks of the microbiome and the 45 circulating molecules.

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#### 47 Introduction

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49 Metagenome-wide association studies (MWAS) using human stool samples, as well 50 as animal models especially the germ-free mice, have pointed to a potential role of the aut microbiome in diseases such as cardiometabolic, autoimmune, neuropsychiatric 51 52 diseases and cancer, with mechanistic investigations for diseases such as obesity, colorectal cancer and schizophrenia<sup>1-4</sup>. Twin-based heritability estimation and more 53 recent metagenome-genome-wide association studies (M-GWAS) have guestioned 54 the traditional view of the gut microbiota as a purely environmental factor<sup>5-9</sup>, although 55 the extent of the genetic influence remained controversial<sup>7,10</sup>. Yet, all these published 56 57 cohorts, except for human sequences in the metagenomic data of HMP (Human 58 Microbiome Project), utilized array data for human genetics, and most of them had 16S rRNA gene amplicon sequencing for the fecal microbiota<sup>5-10</sup>. 59

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For metabolic traits, a large number of GWAS analyses have been reported<sup>11-15</sup>. Yet, most of them focused on imputed genotyping array data for the discovery of common variants influencing the human blood metabolome, except for two recent studies<sup>14,15</sup> which leveraged whole genome or exome sequencing to discover metabolic quantitative trait loci (mQTL). These studies consistently indicated high heritability of blood metabolites.

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68 As the gut microbiome is considered to be highly dynamic, causality has always been an unresolved issue on any reported difference. Mendelian randomization (MR)<sup>16</sup> 69 70 offers an opportunity to distinguish between causal and non-causal effects from 71 cross-sectional data, without animal studies or randomized controlled trials. An early 72 study used MR to look at the gut microbiota and ischemic heart disease<sup>17</sup>. Recently, a 73 study used MR to confirm that increased relative abundance of bacteria producing the 74 fecal volatile short-chain fatty acid (SCFA) butyrate was causally linked to improved insulin response to oral glucose challenge; in contrast, another fecal SCFA, 75 propionate, were causally related to an increased risk of T2D<sup>18</sup>. However, both studies 76 77 used genotype data, and it was not clear to what extent the genetic factors explained 78 the microbial feature of interest.

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80 In this study, we presented the first large-scale M-GWAS using whole genome and 81 fecal microbiome, and bidirectional MR for the fecal microbiome and anthropometric 82 features as well as blood metabolites. In a two-stage design from different cities in 83 China, 58 causal links were identified from MR in the 4D-SZ discovery cohort of 2,002 84 individuals with high-depth whole-genome sequencing data (1,539 individuals with 85 microbiome data for one-sample MR). 43 of the 58 causal effects were replicated in 86 the low-depth whole-genome sequencing data of another 1,430 individuals (1,006 87 individuals with microbiome data for one-sample MR). In general, unidirectional 88 causal effects could be found both from the gut to the blood and from the blood to the 89 gut, but bidirectional effects were rarely detected. A few of the M-GWAS associations 90 with gut microbial functional modules, e.g. module for lactose/galactose degradation

and the *ABO* loci, reached study-wise significance, illustrating the power of shotgun
metagenomic data together with whole genome. The MR findings were corroborated
and extended by summary statistics from the Japan Biobank study, e.g. causal effect
of *Proteobacteria* on T2D (Type 2 diabetes mellitus), congestive heart disease and
colorectal cancer, underscoring the significance of human genetic data to help guide
microbiome intervention studies.

- 97
- 98
- 99 Results

# 100 Fecal microbiome associated with human genetics

101 We set out to identify human genetic variants to be included as the randomizing layer 102 of MR (Fig. 1). The 4D-SZ (multi-omics, with more time points to come, from 103 Shenzhen, China) discovery cohort consisted of high-depth whole-genome 104 sequencing data from 2,002 blood samples (mean depth of 42x, ranged from 21x to 105 87x, Supplementary Table 1, Supplementary Fig. 1a), out of which 1,539 106 individuals had metagenomic shotgun sequencing data from stool samples (8.56 ± 107 2.28 GB, Supplementary Fig. 1b). Fecal M-GWAS was performed using 10 million 108 common and low-frequency variants (minor allele frequency (MAF)  $\ge$  0.5%) and 500 109 unique microbial features (120 from the initial 620 microbial taxonomic or functional 110 features was omitted due to strong association with other microbial features, Spearman's correlation > 0.99). The M-GWAS was adjusted for age, gender, BMI, 111 112 defecation frequency, stool form, self-reported diet, lifestyle factors, and the first four 113 principal components from the genomic data to account for population stratification. 114

- 115 With this so-far the largest cohort of whole genome and whole metagenome data, we 116 performed M-GWAS analysis and identified a total of 625 associations involving 548 117 independent loci for one or more of the 500 microbial features at genome-wide significance ( $P < 5 \times 10^{-8}$ ). With a more conservative Bonferroni-corrected study-wide 118 significant P value of  $1.0 \times 10^{-10}$  (= 5 ×  $10^{-8}$  / 500), we identified 28 associations with 119 120 fecal microbial features involving 27 genomic loci, of which 5 correlated with gut 121 bacteria and the other 22 associated with gut metabolic pathways (Supplementary 122 Table 2).
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124 For MR, it was important for the genetic variants used to be representative of the 125 microbiome features (Supplementary Fig. 2), so a more suggestive P value of lower 126 than 1  $\times$  10<sup>-5</sup> was used (**Supplementary Table 2**), as in previous MR studies<sup>18,19</sup>. 127 Each microbial feature had an average of 44 genetic variants (range: 4-262; sd: 38; 128 Fig. 2a, Supplementary Table 3). The corresponding genetic variants explained 129 microbial features to a median value of 24.9%, e.g. 45.5% of the microbial metabolic 130 pathway for succinate consumption and 44.6% of Phascolarctobacterium 131 succinatutens (an asaccharolytic, succinate-utilizing bacterium), while only 6.8% of 132 genus Edwardsiella (Supplementary Table 3). The phenotypic (relative abundance) 133 variance of five genera Bilophila, Oscillibacter, Faecalibacterium, Megasphaera and Bacteroides could be explained over 35% by their corresponding independent genetic variants (**Fig. 2b**), and the same is true for species *Bilophila wadsworthia*, *Eubacterium siraeum* and *Faecalibacterium prausnitzii* (a butyrate-producing bacterium that was relatively depleted in metabolic and immune diseases). Thus, although human genetic associations (array data) have been reported to explain only 10% or 1.9% of the gut microbiota<sup>7,10</sup>, the suggestive associations from the current M-GWAS study could be highly predictive of certain gut taxa and functions.

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142 For better confidence in these suggestive associations, we sequenced a replication 143 cohort of 1,430 individuals from multiple cities in China (also shotgun metagenomic 144 sequencing for stool samples to an average of  $8.65 \pm 2.42$  GB (Supplementary Fig. 145 1d), but about 8x whole-genome sequencing for human genome, ranged from 6x to 146 16x (Supplementary Fig. 1c)). Among the 22,293 independent associations identified in the discovery cohort with  $P < 10^{-5}$ . 4.876 variants were not available in the 147 148 low-depth replication dataset and 87.6% of them were not common variants (MAF < 149 0.05), which was understandable given the relatively low detection rate of rare genetic 150 variants from 8x sequencing data. For the remaining 17,417 independent 151 associations covered by the low-depth replication dataset, we were able to replicate 152 2,324 in the same effect direction of minor allele (P < 0.05, Supplementary Table 2), 153 indicating that the associations were not random false positives. The fraction of 154 associations replicated in the same direction (P < 0.05) using the suggestive cut-off of  $P < 10^{-5}$  (2,324/17,417) was not lower than the more stringent cut-offs (54/625 of the P 155  $< 5 \times 10^{-8}$ , and 2/28 of the  $P < 10^{-10}$ ). Two well replicated signals from the study-wide 156 157 threshold were chr9:133276163 in the ABO blood group associated with module MF0007: lactose and galactose degradation ( $P_{discovery} = 2.10 \times 10^{-12}$  and  $P_{replication} =$ 158 1.09 ×10<sup>-10</sup>; Supplementary Fig. 3a,b) and rs142693490 near the LCORL gene 159 (implicated in spermatogenesis, body frame and height) associated with MF0034: 160 alanine degradation II ( $P_{discovery} = 1.28 \times 10^{-12}$  and  $P_{replication} = 0.014$ ; Supplementary 161 Fig. 3c,d). Chr9:133276163 is in strong linkage disequilibrium (LD,  $r^2 = 0.99$ ) with 162 163 multiple SNPs (rs507666, rs532436, rs651007, rs579459 and rs579459 ) in the ABO 164 gene. These SNPs located in a block were found to be associated with metabolites 165 levels in both this study and previous studies, especially for serum alkaline 166 phosphatase levels (Supplementary Table 4). Other fecal microbiome associations 167 confirmed by the low-depth genomes included: AMIGO1 associated with 168 MF0067:glycolysis (preparatory phase); RAD51B associated with MF0019: rhamnose 169 degradation; IPO8 associated with MF0014: arabinose degradation; LINC00648 170 associated with Streptococcus oralis; PLEKHF2 associated with MF0050: threonine 171 degradation II; IPO8 associated with MF0037: leucine degradation; RTRAF 172 associated with Bacteroides xylanisolvens; GNB1 associated with Megasphaera 173 elsdenii; DOCK8 associated with Actinomyces etc. (Supplementary Table 2).

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Besides, 175 loci have been previously<sup>6-10</sup> reported to associate with specific taxa. We
were able to replicate 4 of them at nominal significance, including rs147600757
associated with Rikenellaceae and rs62273067 associated with *Acidaminococcus*

178 reported by Turpin et al.<sup>8</sup>, rs10115898 associated with *Streptococcus mutans* and 179 rs78859629 associated with *Lactobacillus acidophilus* reported by Rothschild et al.<sup>10</sup>. 180 To accommodate the differences in taxonomic resolution between amplicon data and 181 our shotgun data, we obtained a minimal *P* value for each SNP across all taxa, and 182 replicated 8 of them at the phylum level ( $P < 0.05/134 = 3.7 \times 10^{-4}$ , with 134 of the 175 183 loci available in this study; **Supplementary Table 5**), especially for rs12354611 and 184 *Bacteroides stercoris* ( $P = 8.64 \times 10^{-6}$ ).

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# 186 Blood metabolic traits associated with human genetics

187 On the other hand, plasma metabolites are also called on to associate with host 188 genetics (Fig. 1). We thus performed whole genome-wide association tests with an 189 additive model on 10 million common and low-frequency variants (MAF  $\ge$  0.5%) for 190 each of the 112 metabolites, with log-transformed relative abundance. We identified a 191 total of 174 associations involving 158 loci that independently associated with one or more of the 112 metabolites at genome-wide significance ( $P < 5 \times 10^{-8}$ ). With a more 192 conservative Bonferroni-corrected study-wide significant P value of  $4.5 \times 10^{-10}$  (= 5 x 193  $10^{-8}/112$  metabolites), we identified 39 associations with metabolites involving 28 194 genomic loci (Supplementary Table 6). These included previously well-established 195 associations such as the UGT1A family associated with serum total bilirubin<sup>11,20</sup> and 196 197 ASPG associated with asparaginate<sup>11</sup>.

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According to the suggestive threshold of  $P < 10^{-5}$ , we identified 6.541 mQTLs, of 199 200 which 361 were associated with two or more metabolites. Summary statistics for all 201 independent genetic variants associated with metabolic traits with a P value lower 202 than 1  $\times$  10<sup>-5</sup> are included in **Supplementary Table 6**. The average number of genetic variants was 58 for each metabolic trait (range: 14-240; sd: 36, Fig. 3a; 203 204 Supplementary Table 7). The percentage of variance explained by the 205 corresponding genetic variants ranged from 13.3% (red blood cell distribution) to as 206 high as 48.3% (blood mercury concentration) and 45.9% (blood alpha-fetoprotein 207 value), with a median value of 28.6% (Fig. 3b). Among these, 268 variants or their proxy variants ( $r^2 > 0.6$ : distance < 1MB) have been reported in the GWAS catalog<sup>21</sup> 208 209 (Supplementary Table 8). Some variants were associated with diseases in the 210 GWAS catalog such as chronic kidney disease, Alzheimer's disease, coronary artery 211 disease, Crohn's disease, ovarian cancer, breast cancer and gastric cancer.

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Among the 6,541 suggestive mQTLs identified in the 4D-SZ discovery cohort with P 213 <10<sup>-5</sup>, 5,088 variants were covered by the replication dataset. 717 and 31 were 214 replicated at nominal (P < 0.05) and suggestive significance ( $P < 10^{-5}$ ), respectively, in 215 the same effect direction of minor allele (Supplementary Table 6). Especially for the 216 217 174 genome-wide and 39 study-wide significant associations, we could replicate 51 218 and 29 associations in the same direction (P < 0.05), respectively. The top associations confirmed by the low-depth genomes ( $P < 4.5 \times 10^{-10}$  both in discovery 219 220 and replication cohorts) included: FECH associated with manganese; UGT1A family 221 associated with serum total bilirubin as well as direct and indirect (unconjugated) 222 bilirubin; ASPG associated with asparagine; CPS1 associated with glycine; APOE 223 associated with low density lipoprotein; LUC7L associated with mean corpuscular 224 hemoglobin concentration; ALAD associated with lead; GADL1 associated with 225 beta-alanine; PRODH associated with proline; NPRL3 associated with red blood cell 226 distribution. The association results of the top five traits were shown in 227 Supplementary Fig. 4. Overall, the accurate identification of genetic determinants 228 and the high variance explained for both microbial features and blood metabolites are 229 optimal for MR analysis to investigate causality.

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### 231 From observational correlation to Mendelian randomization

232 As a prerequisite for strong causality, we investigated the correlation between relative 233 abundances of 500 unique fecal microbial features (taxa and functional modules) and 234 112 host metabolic traits using multivariate linear regression. After adjustment for 235 gender and age, we observed 457 significant associations (false discovery rate (FDR) 236 corrected P < 0.05, Supplemental Table 9, online methods). Three metabolites, 237 glutamic acid, 5-methyltetrahydrofolic acid (5-methyl THF, active form of folic acid) 238 and selenium, were associated with the largest number of microbial features (58, 40 239 and 38, respectively, Supplementary Fig. 5). These three metabolites were all 240 associated with the phylum Proteobacteria and its constituents, including the family 241 Enterobacteriaceae, genera Escherichia, Methylobacillus and Achromobacter, 242 species Escherichia coli, Pseudomonas stutzeri, Achromobacter piechaudii, 243 Burkholderia multivorans and Methylobacillus flagellates. Glutamic acid was positively 244 correlated with Proteobacteria, whereas 5-methyltetrahydrofolic acid and selenium 245 showed negative correlations with Proteobacteria, reminiscent of diverging 246 associations of these metabolites with cardiometabolic diseases and inflammation. In 247 addition to these top three metabolites, Proteobacteria also showed the strongest 248 association among gut microbial taxa with another 5 traits (the amino acids 249 hydroxyproline, aspartic acid, cystine, the metal strontium and the hormone 250 aldosterone), suggesting that Proteobacteria is an important taxon for this Asian 251 cohort. These associations extend findings from various studies, and suggest 252 quantitative relationships between gut microbial taxa/functions and plasma 253 metabolites.

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255 To reveal the potential causal effects of the fecal microbial features on blood 256 metabolites or the other way around, we conducted bidirectional Mendelian 257 randomization analysis for the 457 observationally significant associations (FDR 258 corrected P < 0.05 between metabolites and microbial features, Supplemental Table 9). For each trait, we selected independent genetic variants associated with the 259 respective features as instruments ( $r^2 < 0.1$  and  $P < 1 \times 10^{-5}$ ). Consistent with 260 previous studies<sup>18,19</sup>, the threshold of  $P < 1 \times 10^{-5}$  was used to include more variants 261 262 and maximize the strength of genetic instruments. This threshold ensured that the 263 genetic instruments were not too weak for the low-depth replication cohort (Methods,

**Supplementary Fig. 6 and Supplemental Table 10**). The average F statistic, a measure of the strength of these genetic instruments, was 51.4 (standard deviation (SD): 35.8) for the replication cohort, while an F statistic >10 is considered sufficiently informative for MR analyses<sup>22</sup>. The average microbial variance explained by the genetic instruments was 22.6% for the discovery cohort and 5.09% for the replication cohort (**Supplementary Fig. 2**). These exceeded the commonly reported 1.9%-5% in certain phenotypes due to missing heritability<sup>23</sup>.

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272 As we were fortunate to have all the data in the same cohort, we first performed 273 one-sample MR analysis to identify causal relationships for the 457 observational 274 correlations in the discovery cohort consisting of 1,539 individuals with both metabolic 275 and microbiome traits. We found 58 significant causal effects, of which 17 showed 276 causal effects for gut microbial features on blood metabolic traits and the other 41 277 showed causal effects for blood metabolic traits on gut microbial features ( $P < 1.09 \times$ 278  $10^{-4} = 0.05/457$ ; Fig. 4, Supplementary Table 11). Only 4 of these were bidirectional. 279 By applying one-sample MR analyses to the replication dataset of 1,006 low-depth 280 genomes as well as metabolic and microbiome traits from individuals in different cities, 281 we could replicate 43 of the 58 causal relationships (in the same direction and P < P282 0.05; Supplementary Table 11), indicating that the effects were not random false 283 positives.

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285 Moreover, we also used six different two-sample MR methods, which are more 286 commonly performed when only summary statistics are available from two different 287 cohorts, to analyze our data both in the discovery cohort (summary data for 2,002 288 samples with metabolic traits and 1,539 samples with microbial features) and the 289 replication cohort (summary data for 1,430 samples with metabolic traits and 1,006 290 samples with microbial features). The one-sample MR and the two-sample MR 291 analyses showed highly consistent results, and the Spearman's correlation for beta 292 coefficients between one-sample and two-sample MR reached 0.767 for the discovery cohort ( $P < 2.2 \times 10^{-16}$ ). The 58 causal associations identified by one-sample MR were 293 294 also significant in the two-sample MR analyses. An additional 14 causal associations 295 were identified by the two-sample MR analyses (Supplementary Table 12), possibly 296 due to the larger cohort size. We also examined the presence of horizontal pleiotropy by using the MR-PRESSO Global test<sup>24</sup>. Only one causal association (the negative 297 298 effect of selenium on the abundance of Methylobacillus flagellates, P<sub>MR-PRESSOGlobaltest</sub> = 299 0.01; Supplementary Table 9) showed pleiotropy, while all the other 71 causal 300 relationships showed no evidence of pleiotropy (P > 0.05). Thus, our MR analyses 301 identified robust causal relationships between blood metabolic traits and specific 302 features of the gut microbiome.

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# 304 Effects of the gut microbiome on blood metabolic traits

305 As some of the MR-identified relationships appeared linked, hierarchical clustering 306 was performed for the 12 microbial features and 8 blood metabolites involved in the 307 17 causal relationships from the gut microbiome to blood metabolites, which formed 308 two clusters. One cluster involved decreasing the plasma levels of triglyceride and 309 alanine by gut microbial taxa or functional modules; and the other involved decreasing 310 the levels of 5-methyltetrahydrofolic acid or progesterone, but increasing serum uric 311 acid or plasma glutamic acid by gut microbial features (Fig. 4a). Reassuringly, the 312 species Mobiluncus curtisii was clustered next to its corresponding genus Mobiluncus, 313 and modules including serine degradation and threonine degradation, sucrose 314 degradation and pectin degradation, were likewise next to one another.

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316 The most significant causal effect of Oscillibacter on decreasing blood triglyceride 317 concentration (Fig. 5a-c), and to a lesser extent on lowering body-mass index (BMI) 318 and waist-hip ratio (WHR), whereas the effect with plasma alanine was bidirectional. 319 Using 134 genetic variants to construct a polygenic risk score (PRS) (134 genetic 320 variants and the constructed PRS explained 39.3% and 49.6% of the phenotypic 321 variance, respectively, Fig. 3b and Supplementary Table 11) for one-sample MR 322 analysis in the discovery cohort, we estimated that each 1-s.d. increase in the 323 abundance of Oscillibacter would generate a 0.261 mmol/L decrement in triglyceride concentration ( $P = 2.53 \times 10^{-10}$ ), a 0.161 kg/m2 decrement in BMI ( $P = 1.33 \times 10^{-4}$ ) 324 and 0.126 ratio decrement in WHR ( $P = 2.73 \times 10^{-3}$ ). This causal relationship was 325 robust when four two-sample MR tests were performed ( $P_{GCTA-GSMR} = 4.34 \times 10^{-11}$ , 326  $P_{\text{Inverse}\_variance\_weighted} = 2.45 \times 10^{-15}$ ,  $P_{\text{weighted-median}} = 1.22 \times 10^{-7}$  and  $P_{\text{MR-Egger}} = 1.35 \times 10^{-7}$ 327 10<sup>-5</sup>) (Fig. 5c), and there was no evidence of horizontal pleiotropy ( $P_{MR-PRESSOGlobaltest} =$ 328 329 0.18; Supplementary Table 12). The reverse MR analysis (testing the effect of 330 genetic predictors of triglyceride on Oscillibacter abundance) was significant but did 331 not reach the multiple test corrected significance ( $10^{-4} < P < 0.05$ ). Oscilibacter is a 332 Gram-negative Clostridial bacteria, phylogenetically close to Oscillospira<sup>25</sup> which could produce valerate or butyrate. In addition, higher relative abundance of Alistipes 333 was also associated with decreased blood triglyceride concentration ( $P = 8.31 \times 10^{-8}$ , 334 Fig. 5d). At the species level, both A. shahii ( $P = 1.37 \times 10^{-6}$ ) and unclassified 335 Alistipes sp. HGB5 ( $P = 3.36 \times 10^{-5}$ ) showed negative effects on blood triglyceride. 336 337 The effect of both Oscilibacter and Alistipes for lowering blood triglyceride concentration were confirmed in the replication cohort ( $P = 3.39 \times 10^{-4}$  and  $P = 2.88 \times 10^{-4}$ 338 10<sup>-4</sup>, respectively; Supplementary Table 11 and 12). These findings support the 339 340 decrease in relative abundances of Oscillibacter and Alistipes in obese individuals 341 compared to individuals with normal BMI reported in previous studies<sup>26-28</sup>, suggesting 342 that these bacteria as promising supplementation agents for individuals of a suitable 343 genetic background.

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The gut microbiome potential for pectin degradation II (42.6% of the variance explained by GRS) showed a handful of significant MR hits with blood traits (**Fig. 4a**), including positive effects on alanine ( $P = 8.57 \times 10^{-5}$ ) and serum uric acid ( $P = 1.34 \times 10^{-6}$ ), whereas negative effects on progesterone ( $P = 6.68 \times 10^{-7}$ ). *Bacteroidetes* and *Fusobacteria* were the only two phyla that positively correlated with the abundance of pectin degradation II (Spearman rank correlation,  $\rho = 0.48$  and 0.15, respectively), 351 which included the two previously reported pectin-degrading species Bacteroides thetaiotaomicron and Fusobacterium varium<sup>29,30</sup>. In the 4D-SZ cohort, F. varium 352 353 correlated with pectin degradation II (Spearman's correlation,  $\rho = 0.12$ ) and increased 354 the blood alanine (P = 0.02) and serum uric acid (P = 0.04); B. thetaiotaomicron 355 correlated with pectin degradation II (Spearman's correlation, p = 0.21) but showed no 356 detectable effect on alanine or uric acid (P > 0.05; Supplementary Fig. 7a,b,d). 357 Instead, B. dorei, the bacterial species most strongly correlated with pectin degradation II (Spearman rank correlation,  $\rho = 0.32$ , Supplementary Fig. 7c), 358 359 positively contributed to alanine (P = 0.05) and serum uric acid levels ( $P = 3.40 \times 10^{-4}$ ; 360 Supplementary Fig. 7d).

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### 362 Effects of blood metabolites on gut microbial features

363 For the 41 causal relationships from blood metabolic traits to gut microbial features 364 (one-sample MR, Supplementary Table 11), hierarchical clustering revealed two 365 clusters, one mostly involved decreasing abundance of bacteria by plasma alanine or 366 glutamic acid, the other involved decreasing abundance of bacteria by selenium or 367 5-methyltetrahydrofolic acid (Fig. 4b). F. prausnitzii showed a negative effect on 368 plasma selenium (Fig. 4a), while plasma selenium showed negative effects on gut 369 Proteobacteria such as Enterobacteriaceae (e.g. Escherichia coli,  $P = 3.79 \times 10^{-5}$ ), Pseudomonas stutzeri ( $P = 1.06 \times 10^{-6}$ ), and modules such as arginine degradation II 370  $(P = 2.65 \times 10^{-6})$ , succinate conversion to propionate  $(P = 3.55 \times 10^{-5})$ , and anaerobic 371 fatty acid beta oxidation ( $P = 9.71 \times 10^{-5}$ ) (**Fig. 4b**). 372

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Bacteria from the phylum *Proteobacteria* were negatively affected by not only selenium, but also 5-methyltetrahydrofolic acid (**Fig. 4b**). We directly verified the effect of 5-methyltetrahydrofolic acid on *Escherichia in vitro*. Supplementing 5-methyltetrahydrofolic acid in growth media indeed slowed down the growth of a strain of *Escherichia coli* AM17-9 compared to lower concentrations or absence of 5-methyltetrahydrofolic acid (**Supplementary Fig. 8**).

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381 A handful of bacteria were also affected by glutamic acid. The negative influence of 382 glutamic acid (48 variants with suggestive associations and the constructed PRS 383 explained 24.9% and 25.4% of the phenotypic variance, respectively) on the genus Oxalobacter ( $P = 1.56 \times 10^{-6}$ ) may help explain the lower prevalence of Oxalobacter in 384 developed countries, besides the lower intake of oxalate and antibiotic use<sup>31</sup>. Whether 385 386 limiting glutamic acid could raise Oxalobacter and prevent kidney stones remains to 387 be tested. Glutamic acid negatively affected melibiose degradation (to glucose, galactose,  $P = 2.05 \times 10^5$  from two-sample MR), but showed positive effects on 388 alanine degradation I ( $P = 5.46 \times 10^{-5}$ ), anaerobic fatty acid beta-oxidation ( $P = 9.36 \times 10^{-5}$ ) 389 10<sup>-5</sup>), and bidirectional positive effect on serine degradation ( $P = 6.85 \times 10^{-7}$  for serine 390 degradation to glutamic acid and  $P = 9.90 \times 10^{-6}$  for glutamic acid to serine 391 392 degradation, respectively).

#### 394 Causal relationships with the gut microbiome in the context of diseases

395 We further investigated the effects of the 72 significant causal relationships 396 (Supplementary Table 12) involving 40 microbial features and 12 metabolic traits on 397 diseases, by performing two-sample MR analysis using gut microbiome GWAS 398 summary data in this 4D-SZ cohort, together with blood quantitative traits and diseases GWAS summary statistics from Japan Biobank<sup>32</sup> (Fig. 1; Supplementary 399 Table 13), given that Japanese people have a genetic architecture similar to Chinese. 400 401 Only routine blood parameters but no amino acids, hormones and microelements 402 were included in the Japan Biobank study. Thus, only five of the 72 causal 403 associations, involving triglyceride and serum uric acid were available for further 404 investigation in the Japan Biobank data. The relationship between unclassified 405 Lachnospiraceae bacterium 9\_1\_43BFAA and uric acid was reciprocal in the 4D-SZ 406 cohort and we could replicate the causal effect of uric acid on increased unclassified 407 Lachnospiraceae bacterium 9\_1\_43BFAA abundance in the Japanese cohort, 408 whereas the reciprocal effect, i.e. potential effect of unclassified Lachnospiraceae 409 bacterium 9 1 43BFAA on uric acid was not replicated, possibly due to lack of 410 variants in the genotyped Japanese cohort (15 instead of 67, Supplementary Table 411 14). The other three associations were not replicated maybe due to the same reason. For example, genus Oscillibacter had 135 variants with  $P < 10^{-5}$  in our summarv data 412 413 but only 15 were available in the Japan Biobank summary data.

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415 MR inference using our gut microbiome M-GWAS summary data and diseases GWAS 416 summary statistics from Japan Biobank found that Alistipes that showed negative 417 effects on blood triglyceride in the 4D-SZ cohort, lowered the risks of cerebral 418 aneurysm (Supplementary Table 15,  $P = 4.61 \times 10^{-4}$ ) and hepatocellular carcinoma 419 (P = 0.045) in the Japan Biobank cohort. According to the genetic associations we 420 identified for Proteobacteria, we were able to see in Japan Biobank disease data that *Proteobacteria* increased the risk of T2D (**Fig. 6a**;  $P = 7.61 \times 10^{-4}$ , two-sample MR), 421 congestive heart failure (P = 0.003) and colorectal cancer (P = 0.047). This is 422 423 consistent with MWAS findings mainly for Enterobacteriaceae<sup>1</sup>, and suggest that the 424 metabolites identified above (5-methyltetrahydrofolic acid, selenium) might help 425 prevent the diseases. Folic acid is indeed recommended for heart diseases<sup>33</sup>. In 426 addition, *Escherichia coli* increased the risk of urolithiasis (Fig. 6b; P = 0.009) and 427 hepatocellular carcinoma (P = 0.04) while decreased the interstitial lung disease risk 428 (P = 0.007). Similarly, Salmonella enterica increased prostate cancer risk but 429 decreased interstitial lung disease risk. The Pseudomonadales order was the only 430 microbial feature showing a positive effect on pulmonary tuberculosis. The denitrifying 431 bacteria Achromobacter increased the risk of atopic dermatitis (P = 0.005), gastric 432 cancer (P = 0.008), esophageal cancer (P = 0.027) and biliary tract cancer (P = 0.034). 433 Bacteroides intestinalis which was reported to be relatively depleted in patients of atherosclerotic cardiovascular disease<sup>34</sup> was found here to increase with potassium, 434 435 and B. intestinalis showed a negative effect on epilepsy. Streptococcus parasanguinis 436 had a positive effect on colorectal cancer and posterior wall thickness

(echocardiography), consistent with MWAS studies<sup>1,34,35</sup>. These results illustrated the
potential significance of the gut microbiome-blood metabolite relationships in
understanding and preventing cardiometabolic diseases and cancer.

440

441

#### 442 Discussion

443

444 In summary, we identified abundant genetic loci to associate with microbial features and metabolic traits, and found 58 causal relationships between the gut microbiome 445 446 and blood metabolites using one-sample bidirectional MR. 43 out of the 58 447 one-sample MR signals could be replicated in a low-depth genome cohort also from 448 China. Two-sample MR replicated the 58 causal relationships in the same direction 449 and identified an additional 14 causal relationships. Two-sample MR using summary 450 statistics from Japan Biobank identified effects of gut microbial features on diseases, 451 suggesting potential applications of microbiome intervention in cardiometabolic, 452 kidney and lung diseases and cancer. While mechanistic investigations using 453 germ-free mice and reference bacteria strains have been popular, our data-driven 454 analyses underscore the clinical relevance of gut microbes that have not been 455 extensively cultured and characterized, e.g. Oscilibacter and Alistipes for lowering 456 triglyceride concentration and a number of disease risks, which may be particularly 457 relevant for East Asian regions undergoing rapid changes in lifestyle and disease 458 profiles.

459

By applying this MR analysis to explore causality, our results laid further support for
 several previously reported microbiome-metabolites relationships. For example, *B. thetaiotaomicron* had been reported to inversely correlate with serum glutamate
 concentration and was lower in obese individuals<sup>36</sup>. Consistently, we confirmed that

464 species from Bacteroides, including *B. thetaiotaomicron*, *B. intestinalis*, *B. helcogenes* 

and *B. pectinophilus*, reduced plasma glutamic acid concentration ( $10^{-4} < P < 0.05$ ). We also confirmed that *B. thetaiotaomicron* could lower plasma Alpha-aminoadipic acid level, weight and WHR (P < 0.05). Besides, we found that cysteine negatively correlated with abundance of *Escherichia coli*, which is consistent with previous finding that cysteine inhibited the growth of *Escherichia coli*<sup>37</sup>.

470

471 Although associations between the gut microbiome and blood features such as amino 472 acids and vitamins have been known for some time, our MR analyses could inspire 473 more mechanistic and interventional studies. The unique data available from the 4D-SZ cohort allowed appreciation of overlooked features such as selenium. 474 475 Selenium compounds were deemed essential for human health and development<sup>38</sup>. It 476 is beneficial to an organism only in small amounts, while high concentrations of selenium become toxic<sup>39</sup>. We found that higher amount of blood selenium showed 477 478 negative effects on some members of the gut microbiome (Fig. 4b). Although 479 previous studies reported that Escherichia coli had evolved for adaptation to

selenate<sup>40-43</sup>, the MR result that blood selenium negatively impacted the relative 480 481 abundance of *Escherichia coli* suggested that it may still be sensitive to selenium. 482 Increased selenium level had adverse effects on several other bacteria from 483 Gammaproteobacteria, including Achromobacter piechaudii, Methylobacillus 484 flagellatus, Pseudomonas stutzeri, and Burkholderia multivorans. Pseudomonas 485 stutzeri is a nonfluorescent denitrifying and an opportunistic bacterium<sup>44</sup>. Burkholderia 486 multivorans is a prominent *B. cepacia* complex species causing infection in people with cystic fibrosis<sup>45</sup>. Interestingly, *F. prausnitzii* from the *Firmicutes* phylum showed a 487 488 negative effect on plasma selenium. Further studies on such indirect relationships 489 between opportunistic pathogens and commensal bacteria would be illuminating, and 490 could help to better protect individuals who have a genetic risk.

491

492 Nitrogen is a limiting resource for many ecosystems. In the modern human gut 493 microbiome without high intake of nitrite, proteins are probably the major source of nitrogen<sup>46</sup>, and the glutamate-glutamine reservoir is a key buffering mechanism for the 494 inflammatory potential of excess amines<sup>36,47-50</sup>. The increase in *Proteobacteria* and 495 496 decrease in Oxalobacteraceae observed in these Chinese individuals no more than 497 30 years old on average could potentially explain susceptibility to cardiometabolic and 498 kidney diseases later in life. The bidirectional link between strontium and 499 Streptococcus parasanguinis implies an interplay between water source and cardiovascular diseases<sup>34,51</sup>. 500

501

502 Metabolism of polysaccharides that cannot be directly digested by the host is an 503 important function of the colonic microbiome. We found degradation of pectin (or 504 sucrose) to negatively affect progesterone level. This is an interesting possibility to 505 provide scientific support for traditional dietary advice for pregnant women to ensure 506 full-term pregnancy. Hyperuricemia and gout is a growing epidemic in East Asia, and 507 soft drinks containing fructose is a strong factor that is no less important than beer 508 and meat<sup>52</sup>. Gut microbial (Bacteroides, Fusobacterium) pectin degradation module 509 positively contributed to circulating levels of alanine and uric acid. Further studies on 510 the trans-kingdom metabolic flux of carbon and nitrogen would be necessary for 511 personalized management of uric acid and alanine levels.

512

513 For the nascent field of M-GWAS and microbiome MR, there is also a lot of 514 opportunities for methodological development by statistical experts. Low-frequency 515 microbes are common in an individual's gut and could play physiological or pathological roles<sup>1,53</sup>. Our MR results for gut microbial species were supported by MR 516 517 for higher taxonomic units such as genus or phylum (Fig. 4, Supplementary Table 518 11). Yet, the P values were sometimes more significant for the larger taxa, suggesting 519 similar functions contributed by other species. Functional redundancy in the microbiome has been discussed ever since the beginning of the microbiome field<sup>54,55</sup>. 520 521 and here we identified study-wide significant host genetic associations with gut 522 microbial functional modules, and causal effects of other gut microbial functional 523 modules on host levels of circulating metabolites. Distribution of the microbiome

524 taxonomic or functional data constitutes another layer of consideration, in addition to 525 the human allele frequencies. Gathering a more homogenous cohort could enable 526 identification of signals in a relatively small cohort, while corrections for comparing 527 different populations might involve host-microbiome interactions. As the gut microbiome can be influenced by medication<sup>56</sup>, and heritability for most traits is higher 528 in younger individuals<sup>57</sup>, healthy young adults are probably preferable for M-GWAS 529 530 studies, while microbiome-drug interactions in older individuals could be an important 531 direction for MR studies.

532

In short, our data-driven approach underscores the great potential of M-GWAS and
 MR for a full picture of the microbiome, which can be mechanistically illuminating and
 are poised to help focus intervention efforts to mitigate inflammation and prevent or
 alleviate complex diseases.

537

#### 538 Methods

539

### 540 Study subjects

541 All the adult Chinese individuals were recruited for a multi-omic study, with some 542 volunteers having samples from as early as 2015, which would constitute the time 543 dimension in '4D'. The discovery cohort was recruited during a physical examination 544 from March to May in 2017 in the city of Shenzhen, including 2,002 individuals with 545 blood samples and of which 1,539 had fecal samples. All these individuals were 546 enlisted for high-depth whole genome and whole metagenomic sequencing. As for 547 replication, blood samples were collected from 1,430 individuals, out of which 1,006 548 had fecal samples. The replication cohort was designed in the same manner but 549 organized at smaller scales in multiple cities (Wuhan, Qingdao, etc.) in China. The protocols for blood and stool collection, as well as the whole genome and 550 metagenomic sequencing were similar to our previous literature<sup>5,48</sup>. For blood sample. 551 552 buffy coat was isolated and DNA was extracted using HiPure Blood DNA Mini Kit 553 (Magen, Cat. no. D3111) according to the manufacturer's protocol. Feces were 554 collected with MGIEasy kit and stool DNA was extracted in accordance with the MetaHIT protocol as described previously<sup>58</sup>. The DNA concentrations from blood and 555 556 stool samples were estimated by Qubit (Invitrogen). 200 ng of input DNA from blood 557 and stool samples were used for library preparation and then processed for 558 paired-end 100bp and single-end 100bp sequencing, respectively, using BGISEQ-500 559 platform<sup>59</sup>.

560 The study was approved by the Institutional Review Boards (IRB) at BGI-Shenzhen, 561 and all participants provided written informed consent at enrolment.

562

#### 563 High-depth whole genome sequence for discovery cohort

564 2,002 individuals in discovery cohort were sequenced to a mean of 42x for whole 565 genome. The reads were aligned to the latest reference human genome GRCh38/hg38 with BWA60 (version 0.7.15) with default parameters. The reads 566 consisting of base quality <5 or containing adaptor sequences were filtered out. The 567 alignments were indexed in the BAM format using Samtools<sup>61</sup> (version 0.1.18) and 568 PCR duplicates were marked for downstream filtering using Picardtools (version 1.62). 569 The Genome Analysis Toolkit's (GATK<sup>62</sup>, version 3.8) BaseRecalibrator created 570 571 recalibration tables to screen known SNPs and INDELs in the BAM files from dbSNP (version 150). GATKlite (v2.2.15) was used for subsequent base quality recalibration 572 573 and removal of read pairs with improperly aligned segments as determined by Stampy. 574 GATK's HaplotypeCaller were used for variant discovery. GVCFs containing SNVs 575 and INDELs from GATK HaplotypeCaller were combined (CombineGVCFs), 576 genotyped (GenotypeGVCFs), variant score recalibrated (VariantRecalibrator) and 577 filtered (ApplyRecalibration). During the GATK VariantRecalibrator process, we took 578 our variants as inputs and used four standard SNP sets to train the model: (1) 579 HapMap3.3 SNPs; (2) dbSNP build 150 SNPs; (3) 1000 Genomes Project SNPs from 580 Omni 2.5 chip; and (4) 1000G phase1 high confidence SNPs. The sensitivity 581 threshold of 99.9% to SNPs and 99% to INDELs were applied for variant selection

after optimizing for Transition to Transversion (TiTv) ratios using the GATK
 ApplyRecalibration command. After applying the recalibration, there were 60,978,451
 raw variants left, including 55 million SNPs, and 6 million INDELs.

585 We applied a conservative inclusion threshold for variants: (i) mean depth >8x; (ii) Hardy-Weinberg equilibrium (HWE)  $P > 10^{-5}$ ; and (iii) genotype calling rate > 98%. We 586 587 demanded samples to meet these criteria: (i) mean sequencing depth > 20x; (ii) 588 variant calling rate > 98%; (iii) no population stratification by performing principal components analysis (PCA) analysis implemented in PLINK<sup>63</sup> (version 1.07) and (iv) 589 590 excluding related individuals by calculating pairwise identity by descent (IBD, Pi-hat 591 threshold of 0.1875) in PLINK. Only 10 samples were removed in quality control 592 filtering. After variant and sample quality control, 1,992 individuals with 6.12 million 593 common (MAF  $\geq$  5%) and 3.90 million low-frequency (0.5%  $\leq$  MAF < 5%) variants 594 from discovery cohort were left for subsequent analyses.

595

### 596 Low-depth whole genome sequence for replicate cohort

597 1,430 individuals in replication cohort were sequenced to a mean of 8x for whole 598 genome. We used BWA to align the whole genome reads to GRCh38/hg38 and used 599 GATK to perform variants calling by applying the same pipelines as for the high-depth 600 WGS data. After completing the joint calling process with CombineGVCFs and 601 GenotypeGVCFs options, we obtained 43,402,368 raw variants. A more stringent 602 process in the GATK VariantRecalibrator stage compared with the high-depth WGS 603 was then used, the sensitivity threshold of 98.0% to both SNPs and INDELs was 604 applied for variant selection after optimizing for Transition to Transversion (TiTv) ratios 605 using the GATK ApplyRecalibration command. Further, we kept variants with less 606 than 10% missing genotype frequency and minor allele count more than 5. All these high-quality variants were then imputed using BEAGLE 5<sup>64</sup> with the 1.992 high-depth 607 WGS dataset as reference panel. We retained only variants with imputation info. > 0.7608 609 and obtained 10,905,418 imputed variants. We further filtered this dataset to keep variants with Hardy-Weinberg equilibrium  $P > 10^{-5}$  and genotype calling rate > 90%. 610 611 Similar to what we have done for discovery cohort, samples were demanded to have 612 mean sequencing depth > 6x, variant call rate > 98%, no population stratification and 613 no kinship. Finally, 1,430 individuals with 5,884,439 high-quality common and 614 low-frequency variants (MAF  $\ge$  0.5%) from replication cohort were left for subsequent 615 analysis.

To assess the data quality, we sequenced 27 samples with both high-depth and low-depth WGS data and then compared the 5,318,809 variants between them for each individual. The average genotype concordance was 98.66% (**Supplementary Table 16**).

620

#### 621 Metagenomic sequencing and profiling

High-quality whole metagenomic sequencing was performed for 1,539 samples from discovery cohort and 1,004 samples from replication cohort with fecal samples available. The reads were aligned to hg38 using  $SOAP2^{65}$  (version 2.22; identity  $\ge 0.9$ ) to remove human reads. The gene profiles were generated by aligning high-quality sequencing reads to the integrated gene catalog (IGC) by using SOAP2 (identity  $\geq$  0.95) as previously described<sup>53</sup>. The relative abundance profiles of phylum, order, family, class, genera and species were determined from the gene abundances. To eliminate the influence of sequencing depth in comparative analyses, we downsized the unique IGC mapped reads to 20 million for each sample. The relative abundance profiles of gene, phylum, order, family, class, genus and species were determined accordingly using the downsized mapped reads per sample.

GMMs (gut metabolic modules) reflect bacterial and archaeal metabolism specific to the human gut, with a focus on anaerobic fermentation processes<sup>66</sup>. The current set of GMMs was built through an extensive review of the literature and metabolic databases, inclusive of MetaCyc<sup>67</sup> and KEGG, followed by expert curation and delineation of modules and alternative pathways. Finally, we identified 620 common microbial taxa and GMMs present in 50% or more of the samples.

639

# 640 Metabolic traits profiling

641 Measurements of metabolic traits (anthropometric characteristics and blood 642 metabolites) were performed for all the 3,432 individuals during the physical 643 examination in this study. The clinical tests, including blood tests and urinalysis, were 644 performed in licensed physical examination organization. The anthropometric 645 measurements such as height, weight, waistline and hipline were measured by 646 nurses. Age and gender were self-reported. The metabolites, i.e. vitamins, hormones, amino acids and trace elements including heavy metals, were chosen from a health 647 management perspective. Measurements of blood metabolites were performed as 648 described in detail by Jie et al<sup>39</sup>, blood amino acids were measured by ultra high 649 650 pressure liquid chromatography (UHPLC) coupled to an AB Sciex Qtrap 5500 mass 651 spectrometry (AB Sciex, US) with the electrospray ionization (ESI) source in positive 652 ion mode using 40 µl plasma; blood hormones were measured by UHPLC coupled to 653 an AB Sciex Qtrap 5500 mass spectrometry (AB Sciex, US) with the atmospheric 654 pressure chemical ionization (APCI) source in positive ion mode using 250 µl plasma; 655 blood trace elements were measured by an Agilent 7700x ICP-MS (Agilent 656 Technologies, Tokyo, Japan) equipped with an octupole reaction system (ORS) 657 collision/reaction cell technology to minimize spectral interferences using 200 µl 658 whole blood; Water-soluble vitamins were measured by UPLC coupled to a Waters 659 Xevo TQ-S Triple Quad mass spectrometry (Waters, USA) with the electrospray 660 ionization (ESI) source in positive ion mode using 200 µl plasma; Fat-soluble vitamins 661 were measured by UPLC coupled to an AB Sciex Qtrap 4500 mass spectrometry (AB 662 Sciex, USA) with the atmospheric pressure chemical ionization (APCI) source in 663 positive ion mode using 250 µl plasma.

664

# 665 Observational correlation of microbial features with metabolic traits

As many microbial features (taxonomies and pathways) are highly correlated, we first performed a number of Spearman correlation tests and kept only one member of pairs of bacteria or GMMs showing >0.99 correlation coefficient. This filtering resulted in a final set of 500 unique features (99 GMMs and 401 gut taxa) that were used for 670 analyses. We correlated these 500 microbial features with 112 measured metabolic 671 traits, including 9 anthropometric measurements (BMI, WHR, etc.) and 103 blood 672 metabolites (amino acids, vitamins, microelements, etc.) in the 3,432 individuals. All 673 metabolic traits and microbial features were transformed using natural logarithmic 674 function to reduce skewness of distributions. For each phenotype, we excluded outlier 675 individuals with more than four standard deviations away from the mean. The 676 metabolite measures were then centered and scaled to mean of 0 and standard 677 deviation of 1.

678 The relationship between metabolic traits and microbial features were evaluated by 679 multivariable linear regression analysis while adjusted for age and gender. After 680 achieving the raw P value, we used the p.adjust() function in R (v3.2.5)) to perform the 681 adjusted *P* values with multiple test correction and calculated the 682 Benjamini-Hochberg procedure. The results were considered significant when FDR 683 adjusted P value was <0.05. The correlated microbial features and metabolic traits, 684 raw P and FDR adjusted P values, were included in the Supplementary Table 9.

685

### 686 Clustering of microbiome-metabolites associations

687 To assess the association clusters of 58 identified causal relationships involving the 688 effects of 12 microbial features on 8 metabolic traits and the effects of 7 metabolic 689 traits on 33 microbial features, we performed a hierarchical clustering analysis. Beta 690 coefficients of associations between the microbial features and metabolic traits from 691 one-sample MR analysis were used to construct distance matrices. Complete-linkage 692 hierarchical clustering was used to cluster the metabolites and microbiome traits from 693 the distance matrices using the 'hclust' function in R, and the results were visualized 694 as a heatmap.

695

#### 696 Genome-wide Association analysis for microbial features

697 We tested the associations between host genetics and gut bacteria using linear or 698 logistic model based on the abundance of gut bacteria. The abundance of bacteria 699 with occurrence rate over 95% in the cohort was transformed by the natural logarithm 700 and the outlier individual who was located away from the mean by more than four 701 standard deviations was removed, so that the abundance of bacteria could be treated 702 as a quantitative trait. Otherwise, we dichotomized bacteria into presence/absence 703 patterns to prevent zero inflation, then the abundance of bacteria could be treated as 704 a dichotomous trait. Next, for 10 million common and low-frequency variants (0.5%  $\leq$ 705 MAF < 5%) identified in the discovery cohort and 5.9 million common and 706 low-frequency variants identified in replication cohort, we performed a standard single 707 variant (SNP/INDEL)-based M-GWAS analysis via PLINK using a linear model for 708 quantitative trait or a logistic model for dichotomous trait. Given the effects of diet and 709 lifestyles on microbial features, we included age, gender, BMI, defecation frequency, 710 stool form, 12 diet and lifestyle factors, as well as the top four principal components 711 (PCs) as covariates for M-GWAS analysis in both the discovery and the replication 712 cohort.

713

#### 714 Genome-wide Association analysis for anthropometric and metabolic traits

715 For each of the 112 anthropometric and metabolic traits, the log10-transformed of the 716 median-normalized values was used as a quantitative trait. Samples with missing 717 values and values beyond 4 s.d. from the mean were excluded from association 718 analysis. Each of the 10 million common and low-frequency variants identified in the 719 discovery cohort and the 5.9 million common and low-frequency variants identified in 720 the replication cohort was tested independently using a linear model for quantitative 721 trait implemented in PLINK. Age, gender and the top four PCs were included as 722 covariates.

723

### 724 Independent predictor and explained phenotypic variance

725 For each whole-genome wide association result of microbial features and metabolic traits, we first selected genetic variants that showed association at  $P < 1 \times 10^{-5}$  and 726 then performed the linkage disequilibrium (LD) estimation with a threshold of LD  $r^2 < r^2$ 727 728 0.1 for clumping analysis to get independent genetic predictors. The P-value threshold of  $1 \times 10^{-5}$  was used for selection of genetic predictors associated with 729 microbial features by maximizing the strength of genetic instruments and the amount 730 731 of the average genetic variance explained by the genetic predictors in an independent 732 sample. For each microbial feature, we got genetic instruments in discovery cohort using different P thresholds, including  $5 \times 10^{-8}$ ,  $1 \times 10^{-7}$ ,  $1 \times 10^{-6}$  and  $1 \times 10^{-5}$ . We 733 734 tested the strength of these instruments under different P thresholds by checking 735 whether they predicted corresponding microbial features in an independent sample 736 (Supplementary Table 10 and Supplementary Fig. 6), we observed that the mean 737 value of instrumental F statistics is 3.57 and on average only 0.28% phenotype 738 variance could be explained by instruments on microbial features when using  $5 \times 10^{-8}$ as instrumental cut-off. Therefore, we used a more liberal threshold of  $P < 1 \times 10^{-5}$  to 739 740 select the instruments for microbial features, and the instrumental mean F statistics 741 reached 51.4 (greater than 10) that indicates a strong instrument. The average 742 phenotypic variance explained by instruments on microbial features was 22.6% for 743 the discovery cohort and 5.09% for the replication cohort (Supplementary Fig. 2). 744 For consistency, we used the same threshold and procedure for selecting genetic 745 predictors of metabolic traits in both the discovery and the replication cohort. The LD 746 estimation between variants was calculated in 2,002 samples for the discovery cohort 747 and in 1,430 samples for the replication cohort, respectively. For each phenotype, the 748 variance explained by the corresponding independent genetic predictors was 749 estimated using a restricted the maximum likelihood (REML) model as implemented in the GCTA software<sup>68</sup>. We adjusted for age, gender and the top four PCs in the 750 751 REML analysis.

752

### 753 One-sample MR analysis

To investigate the causal effects between microbial features and metabolic traits available from the same cohort, we first performed one-sample bidirectional MR analysis in discovery cohort, which included 1,539 individuals with both metabolites and microbiome traits. We specified a threshold of  $P < 1 \times 10^{-5}$  to select SNP

instruments and LD  $r^2$  < 0.1 threshold for clumping analysis to get independent 758 759 genetic variants for MR analysis. Then, an unweighted polygenic risk score (PRS) 760 was calculated for each individual using independent genetic variants from GWAS 761 data. Each SNP was recoded as 0, 1 and 2, depending on the number of trait-specific 762 risk increasing alleles carried by an individual. We performed Instrumental variable (IV) 763 analyses employing two-stage least square regression (TSLS) method. In the first 764 stage, for each exposure trait, association between the GRS and observational 765 phenotype value was assessed using linear regression and predicted fitted values 766 based on the instrument were obtained. In the second stage, linear regression was 767 performed with outcome trait and genetically predicted exposure level from the first 768 stage. In both stages, analyses were adjusted for age, gender and top four principal 769 components of population structure. For each trait, TSLS was performed using 'ivreg' 770 command from the AER package in R. We attempted to replicate the causal effects 771 between traits in replication cohort with 1,004 individuals.

772

# 773 Two-sample MR analysis

774 To maximize the sample size in MR analysis and confirmed the causal effect between 775 microbial features and metabolic traits, we also performed two-sample bidirectional 776 MR analysis using six different methods, including genome-wide complex trait 777 analysis-generalized summary Mendelian randomization (GCTA-GSMR) approach<sup>69</sup> 778 and the other five methods implemented in "TwoSampleMR" R package as a robust 779 validation. A consistent effect across the six methods is less likely to be a false 780 positive. If the genetic variants have horizontally pleiotropic effects but are 781 independent of the effects of the genetic variants on the exposure, this is known as 782 balanced pleiotropy. If all the pleiotropic effects are biasing the estimate in the same 783 direction (directional pleiotropy), this will bias the results (with the exception of the 784 MR-Egger method). We used the MR-PRESSO (mendelian randomization pleiotropy 785 residual sum and outlier) Global test to estimate for the presence of directional 786 pleiotropy.

787 We first performed GWAS analysis for every trait and used summary statistics data for 788 MR analysis. Genetic variants with  $P < 1 \times 10^{-5}$  and LD r<sup>2</sup> <0.1 were selected as 789 instrumental variables.

The six two-sample MR methods were described as following:

GCTA-GSMR. GSMR tackled pleiotropy using HEIDI test which assumes that most SNPs are not strongly affected by horizontal pleiotropy and attempt to control SNP-heterogeneity by removing SNP-outliers. The p-value default threshold of 0.01 was specified for the HEIDI-outlier analysis to remove horizontal pleiotropic SNPs. After pruning for LD by a clump analysis and filtered for horizontal pleiotropy by the HEIDI-outlier analysis, we got the final independent predictors required for the GSMR analysis.

Inverse-variance weighting (IVW). The simplest way to obtain a MR estimate using multiple SNPs is to perform an inverse variance weighted (IVW) meta-analysis of each Wald ratio<sup>70,71</sup>, effectively treating each SNP as a valid natural experiment. We used a multiplicative random effects version of the method, which incorporates between instrument heterogeneity in the confidence intervals (allowing each SNP tohave different mean effects).

804 **MR–Egger regression**. This method was adapted from the IVW analysis by allowing 805 a non-zero intercept, which allows the nethorizontal pleiotropic effect across all SNPs 806 to be unbalanced, or directional<sup>72,73</sup>. Horizontal pleiotropy refers to the effects of the 807 SNPs on the outcome not mediated by the exposure.

808 **Weighted median**. This method allows for consistent causal effect estimation even if 809 the InSIDE assumption is violated, which allows stronger SNPs to contribute more 810 towards the estimate, and can be obtained by weighting the contribution of each SNP 811 by the inverse variance of its association with the outcome<sup>74</sup>.

- 812 Mode-based estimate (MBE). The mode-based estimator clusters the SNPs into 813 groups based on similarity of causal effects, and returns the causal effect estimate based on the cluster that has the largest number of SNPs<sup>75</sup>. This procedure allows for 814 815 consistent causal effect estimation even if most instruments are invalid. The weighted 816 mode introduces an extra element similar to IVW and the weighted median, weighting 817 each SNP's contribution to the clustering by the inverse variance of its outcome 818 effect.We tested Simple mode and Weighted mode method in "TwoSampleMR" R 819 packages.
- 820

# 821 In vitro growth of *Escherichia coli* with 5-methyltetrahydrofolic acid 822 supplementation

823 To directly test the interactions between Escherichia coli and 5-methyltetrahydrofolic 824 acid, the anaerobic growth of a strain Escherichia coli AM17-9 was characterized at 825 different concentrations of 5-methyltetrahydrofolic acid. The Escherichia coli AM17-9, 826 isolated from feces of a male, was routinely grown in Luria-Bertani (LB) broth while 827 supplementing 5-methyltetrahydrofolic acid with concentrations of 0, 1 and 2 ng/ml, 828 respectively. The normal concentration of 5-methyltetrahydrofolic acid in human blood 829 ranged from 4.4 ng/ml to 32.8 ng/ml. The growth of Escherichia coli AM17-9 was 830 inhibited when supplementing 5-methyltetrahydrofolic acid from 0 to 2 ng/ml. The 831 optical density at 600 nm (OD600) was measured at intervals of two hours using a 832 microplate reader.

833

# 834 MR analyses for diseases in Japan Biobank

835 We downloaded summary statistics data for 42 diseases and 59 blood quantitative individuals<sup>32</sup> 836 Japanese (http://jenger.riken.jp/en/result, traits in 212,453 837 Supplementary Table 13). More specifically, the 42 diseases encompassed a 838 wide-range of disease categories; 13 neoplastic diseases, five cardiovascular 839 diseases, four allergic diseases, three infectious diseases, two autoimmune diseases, 840 one metabolic disease, and 14 uncategorized diseases. The 59 quantitative traits 841 were comprised of common blood parameters. By combining these data and the gut 842 microbiome GWAS summary data from discovery cohort with high-depth WGS, we 843 performed the two-sample bidirectional MR analysis to investigate the causal effect 844 between the exposure (40 microbial features and 12 metabolic traits that were 845 involved in the 72 significant causal relationships (Fig. 4)) and the outcome (42

diseases from BioBank Japan), by applying the GSMR method and the other five MR tests as described in the previous paragraph. For consistency, genetic variants with P<1 x 10<sup>-5</sup> and LD r<sup>2</sup><0.1 were also selected as instrumental variables for phenotypes in the Japan Biobank study.

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851

# 852 DATA AVAILABILITY

All summary statistics such as associations are available as Supplementary files
(Supplementary Table 2 and 6). Individual data are protected at CNGBdb
(https://db.cngb.org/search/project/CNP0000794).

856

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860

# 861 Author contributions

H.J. and T.Z. conceived and organized this study. J.W. initiated the overall health
project. X.X., H.Y. and S.Z. performed the sample collection and questionnaire
collection. X.Liu, T.Z., X.T., H.L., X.Q., J.Z., R.W. and Y.H. generated and processed
the whole genome data. Y.Z., X.Lin, Z.Z., H.Z., L.T., Q.W., Z.J., and L.X. generated
and processed the metagenome data. X.Liu, X.T., H.Z. and L.T. performed the
bioinformatic analyses. K.K. joined in the discussion. X.Liu and H.J. wrote the
manuscript. All authors contributed to data and texts in this manuscript.

869

# 870 Declaration of interests

871 The authors declare no competing financial interest.

872

873

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#### 1071 Figure legends

1072

1073 Figure 1. The design and workflow of this study. The schematic representation of 1074 our study highlights, for each step, the research question that we sought to answer, 1075 the analysis workflow, the used data and the generalized result. We first performed 1076 metagenome and metabolome GWAS to detect genetic variants associated with 1077 microbial features and metabolic traits, respectively, both in discovery and replication 1078 cohorts (Step 1). We then performed observational analysis to identify which microbial 1079 feature (taxa, GMM) correlated with metabolic traits in this cohort (Step 2). We used 1080 2,545 samples with information of both microbial features and metabolic traits; We 1081 observed 457 significant associations between 500 unique microbial features and 112 1082 anthropometric and blood metabolic traits at a FDR adjusted P < 0.05. We then estimated causal relationships for the 457 observational associations through 1083 1084 bidirectional MR analysis in discovery cohort (Step 3). One-sample BMR detected 58 1085 causal associations between microbial features and blood metabolites after multiple 1086 test correction ( $P < 1.09 \times 10^{-4}$ ); two-sample BMR detected the same associations 1087 and an additional 14 associations. As a validation, we replicated the discovered 1088 causal relationships by using the same MR analysis in an independent replication 1089 cohort (Step 4). Over half (43) of the 58 causal associations were replicated in the 1090 same direction (P < 0.05). Finally, we used two-sample MR analysis to investigate the 1091 effects of the identified 72 causal relationships on diseases from Japan Biobank study 1092 (Step 5).

1093

1094 Figure 2. Independent genetic variants and their explained variance of microbial 1095 features. (a) The density plot showed the distribution of number of independent 1096 genetic variants for 500 unique microbial features at  $P < 10^{-5}$ . The X-axis indicates the 1097 number of independent genetic variants for each microbial feature (taxon or GMM). 1098 The Y-axis indicates the number of microbial features under a given number of 1099 independent predictors. (b) Variance explained by the corresponding independent 1100 genetic variants for each microbial feature was shown. The polar bar plot indicates 1101 how much the independent genetic variants of each common genus (appeared at 1102 least 50% of samples) explained for their phenotypic variance (relative abundance of 1103 each genus). Genera were classified according to their respective phylum which were marked with different colors. The h<sup>2</sup> was calculated using REML method in GCTA 1104 1105 tools.

1106

1107 Figure 3. Independent genetic variants and their explained variance of 1108 metabolic traits. (a) The density plot showed the distribution of number of independent genetic variants for 112 metabolic traits at  $P < 10^{-5}$ . The X-axis indicates 1109 the number of independent genetic variants for each metabolic trait. The Y-axis 1110 1111 indicates the number of metabolic traits under a given number of independent 1112 predictors. (b) Variance explained by the corresponding independent genetic variants 1113 for each metabolic trait was shown. The polar bar plot indicates how much the 1114 independent genetic variants of each metabolic trait explained for their phenotypic

variance. Each metabolic trait was classified into different catalogs which were
marked with different colors. The h<sup>2</sup> was calculated using REML method in GCTA
tools.

1118

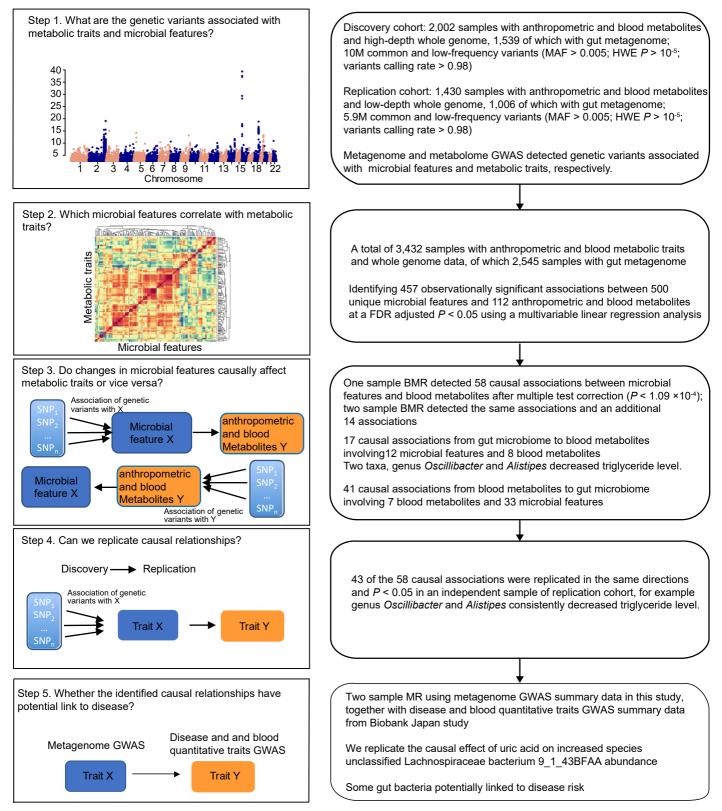
1119 Figure 4. Identifying 58 causal relationships for the microbial features and 1120 metabolic traits. (a) showed the causal effects of 12 specific microbial features on 8 1121 metabolic traits involved in the 17 causal associations from gut microbiome to blood 1122 metabolites. (b) showed the causal effects of 7 blood metabolites on 33 microbial 1123 features involved in 41 causal associations from blood metabolites to gut microbiome. The cells marked with "\*\*" represented 43 of the 58 associations that identified in 1124 1125 discovery cohort were also replicated in replication cohort, while "\*" represented the 1126 other 15 only significant in discovery cohort. The cell was colored according to the 1127 beta coefficients from one-sample MR analysis, with red and blue corresponding to 1128 positive and negative associations, respectively.

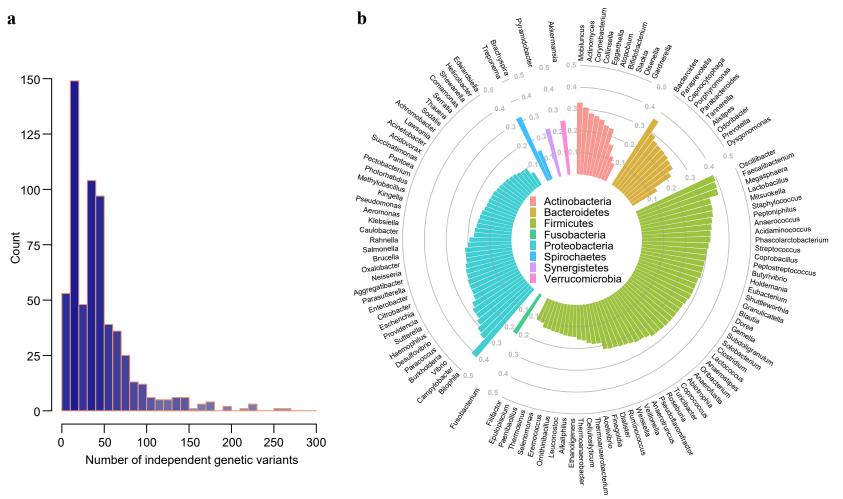
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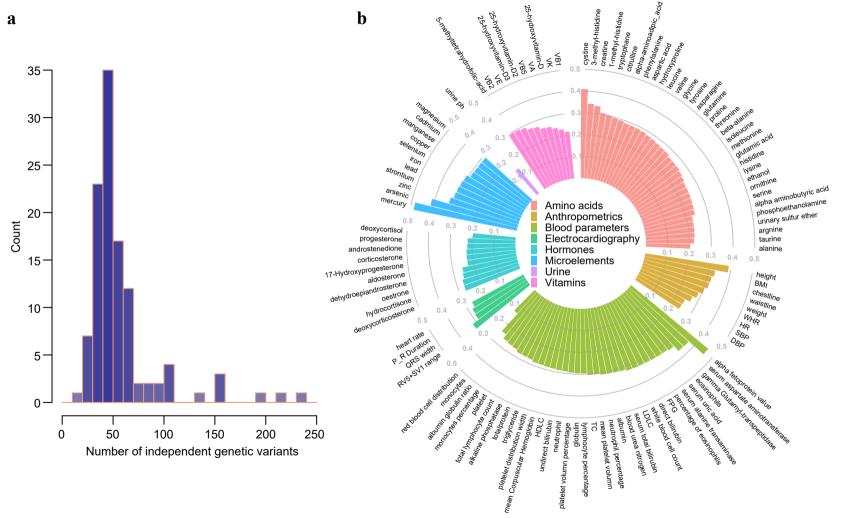
1130 Figure 5. Causal effects of genus Oscillibacter and Alistipes on decreasing 1131 blood triglyceride concentration. (a) Schematic representation of the MR analysis 1132 results: genetic predisposition to higher abundance of Oscillibacter is associated with 1133 decreased blood triglyceride concentration, to a lesser extent for lowering body mass 1134 index (BMI) and waist-hip ratio (WHR). (b) Forest plot represented the effect of per 1135 1-s.d. increase in Oscillibacter abundance on blood triglyceride, BMI and WHR, as 1136 estimated using observational and Mendelian randomization (MR) analysis, 1137 respectively. Observational correlation analysis was performed in a total of 2,545 1138 samples (purple). One-sample MR analysis was carried out by using a PGS 1139 constructed by up to 134 genetic predictors as an instrumental variable, as estimated 1140 in discovery cohort (blue) and replication cohort (red), respectively. Corresponding P 1141 values from both the observational and MR analysis were shown. CI, confidence 1142 interval. (c-d) Forest plots represented the MR estimates and 95% CI values of the 1143 causal effects of Oscillibacter (c) and Alistipes (d) on triglyceride level, respectively. 1144 The MR analyses were performed using an one-sample MR and six different 1145 two-sample MR methods both in discovery cohort (blue) and replication cohort (red), 1146 respectively.

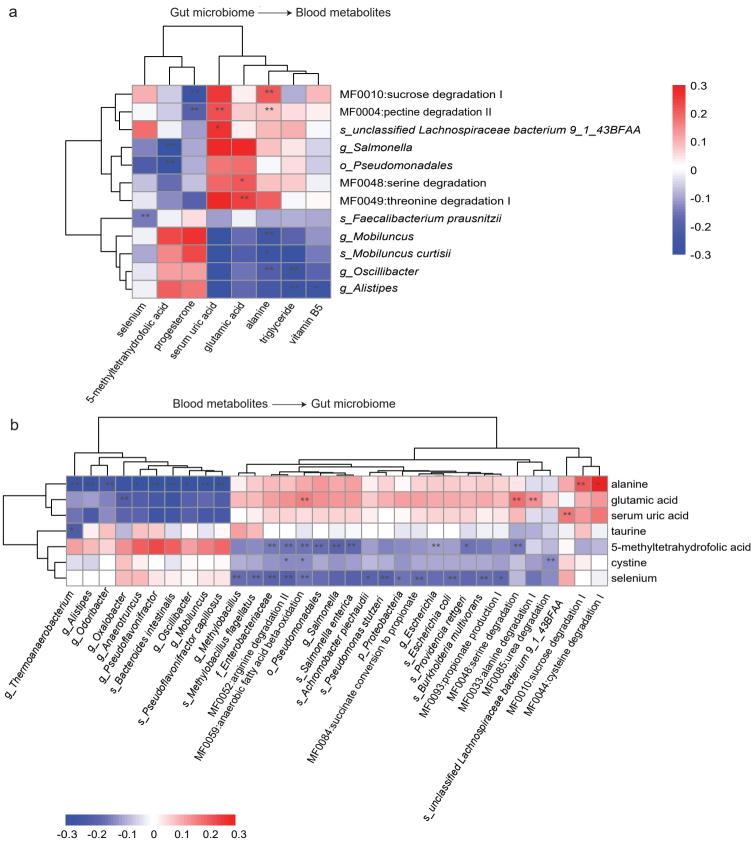
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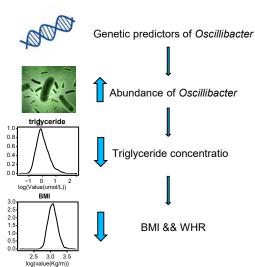
1148 Figure 6. Causal effects of Proteobacteria and Escherichia coli on diseases. 1149 Forest plots represented the MR estimates and 95% CI values of the causal effects of 1150 Proteobacteria (a) and Escherichia coli (b) on diseases. The diseases' summary 1151 statistics data was from Japan Biobank study. The gut microbiome GWAS summary 1152 data from this discovery cohort with high-depth WGS was used. Six different 1153 two-sample MR approaches were used. GSMR, generalized summary Mendelian 1154 randomization implemented in GCTA toolbox. IVW, inverse variance weighted. The 1155 corresponding *P* values and  $\beta$  values were shown.



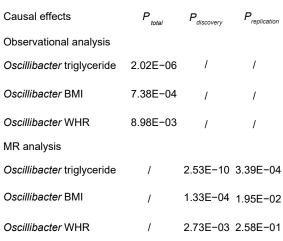


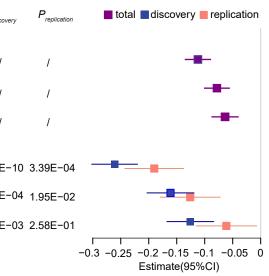


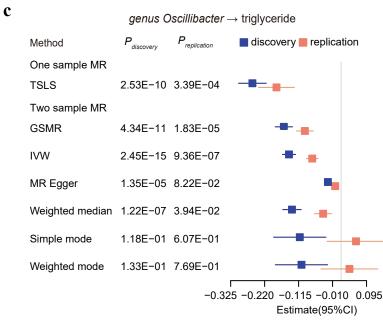




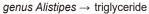


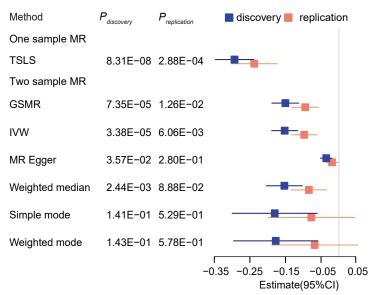






#### d





а								
	Outcome	Method		ſ	β (95% CI)		β (95% CI)	P value
	Type 2 Diabetes	GSMR					0.08(0.05 to 0.11)	7.61E-04
		IVW					0.07(0.05 to 0.10)	2.47E-03
		MR Egger		-			0.00(-0.15 to 0.12)	5.12E-02
		Weighted median					0.06(0.02 to 0.10)	1.01E-01
		Simple mode					0.07(0.00 to 0.13)	2.98E-01
		Weighted mode					0.05(0.00 to 0.10)	3.24E-01
	Congestive heart failure	GSMR				F	0.13(0.09 to 0.18)	3.15E-03
		IVW					0.09(0.04 to 0.14)	5.52E-02
		MR Egger			-		0.02(-0.02 to 0.05)	3.67E-01
		Weighted median				-	0.10(0.03 to 0.16)	1.34E-01
		Simple mode			- I I		0.16(0.05 to 0.28)	1.67E-01
		Weighted mode			—   <b>—</b>		0.17(0.07 to 0.27)	1.02E-01
	Colorectal Cancer	GSMR					0.09(0.03 to 0.14)	4.70E-02
		IVW				-	0.12(0.07 to 0.18)	1.98E-02
		MR Egger					0.07(0.02 to 0.11)	6.72E-01
		Weighted median				-	0.15(0.07 to 0.23)	6.11E-02
		Simple mode			-		- 0.25(0.11 to 0.40)	9.15E-02
		Weighted mode			-		0.25(0.13 to 0.37)	5.69E-02
		Ū						
		-	-0.5	-0.25	0	0.25	0.5	

b

Outcome	Method			β (95% C	I)		β (95% CI)	P value
Hepatocellular carcinoma	GSMR						0.25(0.13 to 0.37)	4.14E-02
	IVW						0.23(0.11 to 0.36)	5.25E-02
	MR Egger			-			0.04(-0.02 to 0.10)	3.52E-01
	Weighted median					<b>—</b>	0.37(0.20 to 0.53)	2.43E-02
	Simple mode						0.45(0.21 to 0.70)	1.04E-01
	Weighted mode						0.43(0.18 to 0.68)	1.19E-01
Interstitial lung disease	GSMR						-0.49(-0.67 to -0.31)	6.99E-03
	IVW			-			-0.49(-0.79 to -0.21)	8.88E-02
	MR Egger						-0.13(-0.24 to -0.03)	3.05E-02
	Weighted median						-0.17(-0.45 to 0.10)	5.26E-01
	Simple mode						-0.13(-0.53 to 0.26)	7.43E-01
	Weighted mode						-0.03(-0.35 to 0.29)	9.26E-01
Urolithiasis	GSMR				-		0.15(0.09 to 0.22)	9.24E-03
	IVW			-	-		0.16(0.09 to 0.23)	1.75E-02
	MR Egger						0.04(0.01 to 0.08)	6.27E-01
	Weighted median			-			0.18(0.09 to 0.27)	4.76E-02
	Simple mode			-			0.19(0.06 to 0.31)	1.91E-01
	Weighted mode						0.19(0.05 to 0.32)	1.93E-01
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	-	-0.8	-0.4	0	(	).4	0.8	