

1 **ADMIXPIPE: Population analyses in ADMIXTURE for non-model**
2 **organisms**

3 Steven M. Mussmann¹, Marlis R. Douglas¹, Tyler K. Chafin¹, and Michael E. Douglas¹

4 ¹Department of Biological Sciences, University of Arkansas, Fayetteville, AR 72701

5
6 *Corresponding author and person to whom reprint requests should be addressed:*

7 Steven M. Mussmann

8 Department of Biological Sciences

9 University of Arkansas

10 Fayetteville, AR 72701

11 Voice: 479-575-5529

12 e-mail: smussmann@gmail.com

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15 **Abstract**

16 **Background:** Research on the molecular ecology of non-model organisms, while
17 previously constrained, has now been greatly facilitated by the advent of reduced-
18 representation sequencing protocols. However, tools that allow these large datasets to
19 be efficiently parsed are often lacking, or if indeed available, then limited by the
20 necessity of a comparable reference genome as an adjunct. This, of course, can be
21 difficult when working with non-model organisms. Fortunately, pipelines are currently
22 available that avoid this prerequisite, thus allowing data to be *a priori* parsed. An oft-
23 used molecular ecology program (i.e., STRUCTURE), for example, is facilitated by such
24 pipelines, yet they are surprisingly absent for a second program that is similarly popular
25 and computationally more efficient (i.e., ADMIXTURE). The two programs differ in that
26 ADMIXTURE employs a maximum-likelihood framework whereas STRUCTURE uses a
27 Bayesian approach, yet both produce similar results. Given these issues, there is an
28 overriding (and recognized) need among researchers in molecular ecology for
29 bioinformatic software that will not only condense output from replicated ADMIXTURE
30 runs, but also infer from these data the optimal number of population clusters (K).

31
32 **Results:** Here we provide such a program (i.e., ADMIXPIPE) that (a) filters SNPs to allow
33 the delineation of population structure in ADMIXTURE, then (b) parses the output for
34 summarization and graphical representation via CLUMPAK. Our benchmarks effectively
35 demonstrate how efficient the pipeline is for processing large, non-model datasets
36 generated via double digest restriction-site associated DNA sequencing (ddRAD).

37 Outputs not only parallel those from STRUCTURE, but also visualize the variation among
38 individual ADMIXTURE runs, so as to facilitate selection of the most appropriate *K*-value.

39

40 **Conclusions:** ADMIXPIPE successfully integrates ADMIXTURE analysis with popular
41 variant call format (VCF) filtering software to yield file types readily analyzed by
42 CLUMPAK. Large population genomic datasets derived from non-model organisms are
43 efficiently analyzed via the parallel-processing capabilities of ADMIXTURE. ADMIXPIPE is
44 distributed under the GNU Public License and freely available for Mac OSX and Linux
45 platforms at: <https://github.com/stevemussmann/admixturePipeline>.

46

47 **Keywords:** RADseq, SNP analysis, Population Genomics, Population Structure,
48 ADMIXTURE analysis

49

50 **Background**

51 Advances in genomics during the past decade have accelerated research in
52 molecular ecology by significantly increasing the capacity of researchers to generate
53 vast quantities of data at relatively low cost. These advances largely represent the
54 development of reduced representation genomic libraries [1–3] that identify tens of
55 thousands of SNPs for non-model organisms, coupled with high-throughput sequencing
56 methods that efficiently genotype fewer SNPs for thousands of individuals [4]. However,
57 data generation, particularly through these novel and affordable marker-discovery
58 methods [5], has greatly outpaced analytical capabilities, and especially so with regard
59 to evolutionary and conservation genomics.

60 Here, technological advances have also precipitated a suite of new analytical
61 issues. The thousands of SNPs generated in a typical RADseq project may exhibit
62 biases that impact the inferences that can be drawn from these data [6], and which
63 necessitate careful data filtration to avoid [7]. Yet, the manner by which data are filtered
64 represents a double-edged sword. While it is certainly mandated (as above), the
65 procedures involved must be carefully evaluated in the context of each study, in that
66 downstream analyses can be seriously impacted [8, 9], to include the derivation of
67 population structure [10].

68 For example, the analysis of multilocus codominant markers in evaluation of
69 population structure is frequently accomplished using methods that make no *a priori*
70 assumptions about underlying structure. One of the most popular options to accomplish
71 this is the program STRUCTURE [11–13]. However, it necessitates that users test specific
72 clustering values (K), and conduct *post hoc* evaluation of these results so as to
73 determine an optimal K [14]. This typically involves searching a complicated parameter
74 space using heuristic algorithms for Maximum Likelihood (ML) and Bayesian (BA)
75 methods that, in turn, provide additional complications such as a tendency to sample
76 local optima [15].

77 A common strategy to mitigate this is to sample multiple independent replicates
78 at each K, using different random number seeds for initialization. These results are
79 subsequently collated and evaluated to assess confidence that global rather than local
80 optima have indeed been sampled. Clearly, this procedure must be automated so as to
81 alleviate the onerous task of testing multiple replicates across a range of K-values.
82 Pipelines to do so are available for STRUCTURE, and have been deployed on high-

83 performance computing systems via integrated parallelization (STRAUTO,
84 PARALLELSTRUCTURE) [16, 17]. Multiple programs have likewise been developed for
85 handling STRUCTURE output (i.e., CLUMPP, DISTRUCT) [18, 19]; and pipelines constructed
86 to assess the most appropriate K-values (i.e., STRUCTUREHARVESTER, CLUMPAK) [20, 21].

87 Despite the considerable focus on STRUCTURE, few such resources have been
88 developed for a popular alternative program (i.e., ADMIXTURE [22]). The Web of Science
89 indexing service indicates that (as of January, 2020) it has been cited 1,812 times since
90 initial publication (September, 2009). This includes 479 (26.4%) in 2019 alone. Despite
91 its popularity, it has just a single option that promotes the program as part of a pipeline
92 (i.e., SNIPLAY3 [23]), and unfortunately it requires a reference genome as an adjunct for
93 its application. Needless to say, its applicability is thus limited for those laboratories that
94 employ non-model organisms as study species.

95 Options for post-processing of ADMIXTURE results are similarly deficit. However,
96 one positive is that CLUMPAK is flexible enough in its implementation to allow for the
97 incorporation of ADMIXTURE output, as well as that of STRUCTURE. Furthermore, no
98 available software currently exists that can summarize the variation in cross-validation
99 (CV) values, the preferred method for selecting an optimal K-value in ADMIXTURE [24].

100 Here we describe a novel software package that integrates ADMIXTURE as the
101 primary component of an analytical pipeline that also incorporates the filtering of data as
102 part of its procedure. This, in turn, provides a high-throughput capability that not only
103 generates input for ADMIXTURE but also evaluates the impact of filtering on population
104 structure. ADMIXPIPE also automates the process of testing multiple K-values, conducts
105 replicates at each K, and automatically formats these results as input for the CLUMPAK

106 pipeline. Optional post-processing scripts are also provided as a part of the toolkit to
107 process CLUMPAK output, and to visualize the variability among CV values for
108 independent ADMIXTURE runs. Sections of the pipeline are specifically designed for use
109 with non-model organisms, as these are increasingly common study species in
110 evolutionary and conservation genomic investigations.

111

112 **Implementation**

113 ADMIXPIPE requires two input files: a population map and a standard VCF file.
114 The population map is a tab-delimited text file with each row representing a sample
115 name/ population pair. The VCF file is filtered according to user-specified command line
116 options that include the following: minor allele frequency (MAF) filter, biallelic filtering,
117 data thinning measured in basepairs (bp), and missing data filtering (for both individuals
118 and loci). Users may also remove specific samples from their analysis by specifying a
119 file of sample names to be ignored. All filtering and the initial conversion to PLINK
120 (PED/MAP) format [25] is handled by VCFTOOLS [26].

121 ADMIXPIPE is intended for use with non-model organisms that lack genomic
122 reference data, and given this, additional conversions are required before the PLINK-
123 formatted files will be accepted by ADMIXTURE. Popular software packages for *de novo*
124 assembly of RADseq data, such as pyRAD [27, 28] produce VCF files with each locus
125 as an individual “chromosome.” This, in turn, yields output that exceeds the number of
126 chromosomes in those model organisms for which PLINK was originally designed. The
127 initial MAP file is therefore modified to append a letter at the start of each “chromosome”

128 number. PLINK is then executed using the “--allow-extra-chr 0” option that treats loci as
129 unplaced contigs in the final PED/ MAP files submitted to ADMIXTURE.

130 The main element of the pipeline executes ADMIXTURE on the filtered data. The
131 assessment of multiple K values and multiple replicates is automated based upon user-
132 specified command line input. The user defines minimum and maximum K values to be
133 tested, in addition to the number of replicates for each K. Users may also specify the
134 number of processor cores to be utilized by ADMIXTURE, and the cross-validation number
135 which is utilized in determining optimal K. The final outputs of the pipeline include a
136 compressed results file and a population file that are submitted as-is to CLUMPAK for
137 processing and visualization.

138 The pipeline also offers two accessory scripts for processing of CLUMPAK output.
139 The first (i.e., `distructRerun.py`) compiles the major clusters identified by CLUMPAK,
140 generates DISTRUCT input files, executes DISTRUCT, and extracts CV-values for all major
141 cluster runs. The second script (i.e., `cvSum.py`) plots the boxplots of CV-values against
142 each K so as to summarize the distribution of CV-values for multiple ADMIXTURE runs.
143 This permits the user to make an informed decision on the optimal K by graphing how
144 these values vary according to independent ADMIXTURE runs.

145 ADMIXTURE is the only component of the pipeline that is natively parallelized.
146 Therefore, we performed benchmarking to confirm that processing steps did not
147 significantly increase runtime relative to that expected for ADMIXTURE. Data for
148 benchmarking were selected from a recently published paper that utilized ADMIXPIPE for
149 data processing [29]. The test data contained 343 individuals and 61,910 SNPs. Four
150 data thinning intervals (i.e., 1, 25, 50, and 100) yielded SNP datasets of variable size for

151 performance testing. All filtering intervals were repeated with variable numbers of
152 processor cores (i.e., 1, 2, 4, 8, and 16). Sixteen replicates of ADMIXTURE were first
153 conducted for each K=1-8 at each combination of thinning interval and number of
154 processor cores, for a total of 20 executions of the pipeline. The process was then
155 repeated for each K=9-16, for an additional 20 runs of the pipeline. Memory profiling
156 was conducted through the python3 'mprof' package at K=16, with a thinning interval of
157 1 as a final test of performance. All tests were completed on a computer equipped with
158 dual Intel Xeon E5-4627 3.30GHz processors, 256GB RAM, and with a 64-bit Linux
159 environment.

160

161 **Results**

162 The filtering intervals resulted in datasets containing 61,910 (interval = 1bp),
163 25,851 (interval = 25bp), 19,140 (interval = 50bp), and 12,527 SNPs (interval = 100bp).
164 Runtime increased linearly with the number of SNPs analyzed, regardless of the
165 number of processors utilized (Figure 1: $R^2 = 0.975$, $df = 58$). For example, increasing
166 the number of SNPs from 12,527 to 61,910 (494% increase) produced an average
167 increase of 519% in ADMIXPIPE runtime (SD = 41.6%).

168 Little change was observed in response to increasing the numbers of processor
169 cores from K=1-8 (Figure 2A). A slight decrease in performance was observed in some
170 cases, particularly for the largest dataset. This trend changed at higher K-values, as
171 substantial gains were observed at K=9-16 when processors were increased from 1 to
172 4. The most dramatic performance increase was observed for the 61,910 SNP dataset,
173 where a 24.3-hour (34.5%) reduction in computation time occurred when processors

174 increased from 1 to 4. However, only marginal improvements occurred when processors
175 were increased from 1 to 8 (24.5 hours; 34.7%) or 16 (26.2 hours; 37.7%).

176 Profiling also revealed efficient and consistent memory usage. The greatest
177 memory spike occurred during the initial filtering steps, when peak memory usage
178 reached approximately 120 MB. All subsequent usage held constant at ~60 MB as
179 ADMIXTURE runs progressed.

180

181 **Discussion**

182 The performance of ADMIXPIPE improved with the number of processor cores
183 utilized at higher K-values. However, it did not scale at the rate suggested in the original
184 ADMIXTURE publication. We have been unable to attribute the difference in performance
185 to any inherent property of our pipeline. Filtering and file conversion steps at the
186 initiation of ADMIXPIPE are non-parallel sections. Reported times for completion of these
187 steps were approximately constant across runs, with the maximum reported time being
188 eight seconds. This indicates that ADMIXTURE itself is the main driver of performance, as
189 it comprises the vast majority of system calls made by ADMIXPIPE.

190 The original performance increase documented for ADMIXTURE was 392% at K=3,
191 utilizing four processor cores [24]. Unfortunately, we could not replicate this result with
192 our benchmarking data [29], or the original test data (i.e., 324 samples; 13,928 SNPs)
193 [24] which parallels our own. When we attempted to replicate the original benchmark
194 scores, we found that it also failed to scale as the number of processor cores increased
195 (1-core \bar{x} = 40.63 seconds, σ = 0.90; 4-core \bar{x} = 47.46 seconds, σ = 4.71). Furthermore,
196 we verified that performance did increase with up to four processor cores at higher K

197 values ($K \geq 9$). We therefore view this as ‘expected behavior’ for ADMIXTURE, and find no
198 reason to believe that ADMIXPIPE has negatively impacted the performance of any
199 individual program.

200 Results of ADMIXPIPE were similar to those found by STRUCTURE for the test
201 dataset, as evaluated in an earlier publication [29], and gauged for the optimum $K=8$.
202 This is not surprising, given that ADMIXTURE implements the same likelihood model as
203 does STRUCTURE [22]. However, minor differences have previously been noted for both
204 programs in the assignment probabilities [29, 30].

205 Memory usage was efficient and constant, with the greatest increase occurring
206 when PLINK was executed. Thus, users will be able to execute ADMIXPIPE on their
207 desktop machines for datasets sized similarly to that evaluated herein. Performance
208 gains were minimal with >4 processors, and this (again) reduces the necessity for
209 supercomputer access, since desktop computers with ≥ 4 processor cores are now
210 commonplace. However, given the built-in parallelization capabilities of ADMIXPIPE, its
211 application on dedicated high-performance computing clusters will be beneficial when
212 runtime considerations are necessary, such as when evaluating $K > 8$, or $\text{SNPs} \geq 20,000$.

213 Finally, our integration of common SNP filtering options provides the flexibility to
214 quickly filter data and assess the manner by which various filtering decisions impact
215 results. A byproduct of the filtering process is the production of a STRUCTURE-formatted
216 file that will facilitate comparisons with other popular algorithms that assess population
217 structure. These options are important tools, particularly given recent documentation
218 regarding the impacts of filtering on downstream analyses. We thus suggest that

219 users implement existing recommendations on filtering RAD data, and use these to
220 investigate subsequent impacts on their own data [7–10].

221

222 **Conclusions**

223 Benchmarking has demonstrated that the benefits of ADMIXPIPE (e.g., low
224 memory usage and performance scaling with low numbers of processor cores at high K-
225 values) will prove useful for researchers with limited access to advanced computing
226 resources. ADMIXPIPE also allows the effects of common filtering options to be assessed
227 on population structure of study species by coupling this process with the determination
228 of population structure. Integration with CLUMPAK, and our custom options that allow
229 plotting of data, to include variability in CV-values and customization of population-
230 assignment plots, will facilitate the selection of appropriate K-values and allow variability
231 to be assessed across runs. These benefits thus allow researchers to implement
232 recommendations regarding assignment of population structure in their studies, and to
233 accurately report the variability found in their results [31]. In conclusion, ADMIXPIPE is a
234 new tool that successfully fills a contemporary gap found in pipelines that assess
235 population structure. It is our hope that ADMIXPIPE, and its subsequent improvements
236 will greatly facilitate the analysis of SNP data in non-model organisms.

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239

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252

253 **Availability of data and materials**

254 Data utilized for benchmarking was part of an earlier publication, and is available on
255 Data Dryad (<https://datadryad.org/stash/dataset/doi:10.5061/dryad.d3q3220>). Source
256 code for ADMIXPIPE is released under the GNU General Public License v3.0 at
257 <https://github.com/stevemussmann/admixturePipeline>. The pipeline will run on Unix-
258 based operating systems such as Mac OSX and Linux. It is compatible with Python 2.7+
259 and Python 3.5+. Dependencies include other freely available software packages
260 (ADMIXTURE, DISTRUCT, PLINK, and VCFTOOLS).

261

262

263 **Authors' Contributions**

264 SMM, MRD, and MED designed the study; SMM and TKC authored the Python code for
265 ADMIXPIPE; TKC and SMM completed data analyses and program testing; all authors
266 contributed in drafting the manuscript, and all approved the final version.

267

268 **Competing interests**

269 The authors declare that they have no competing interests.

270

271 **Consent for publication**

272 Not applicable.

273

274 **Ethics approval and consent to participate**

275 Not applicable.

276

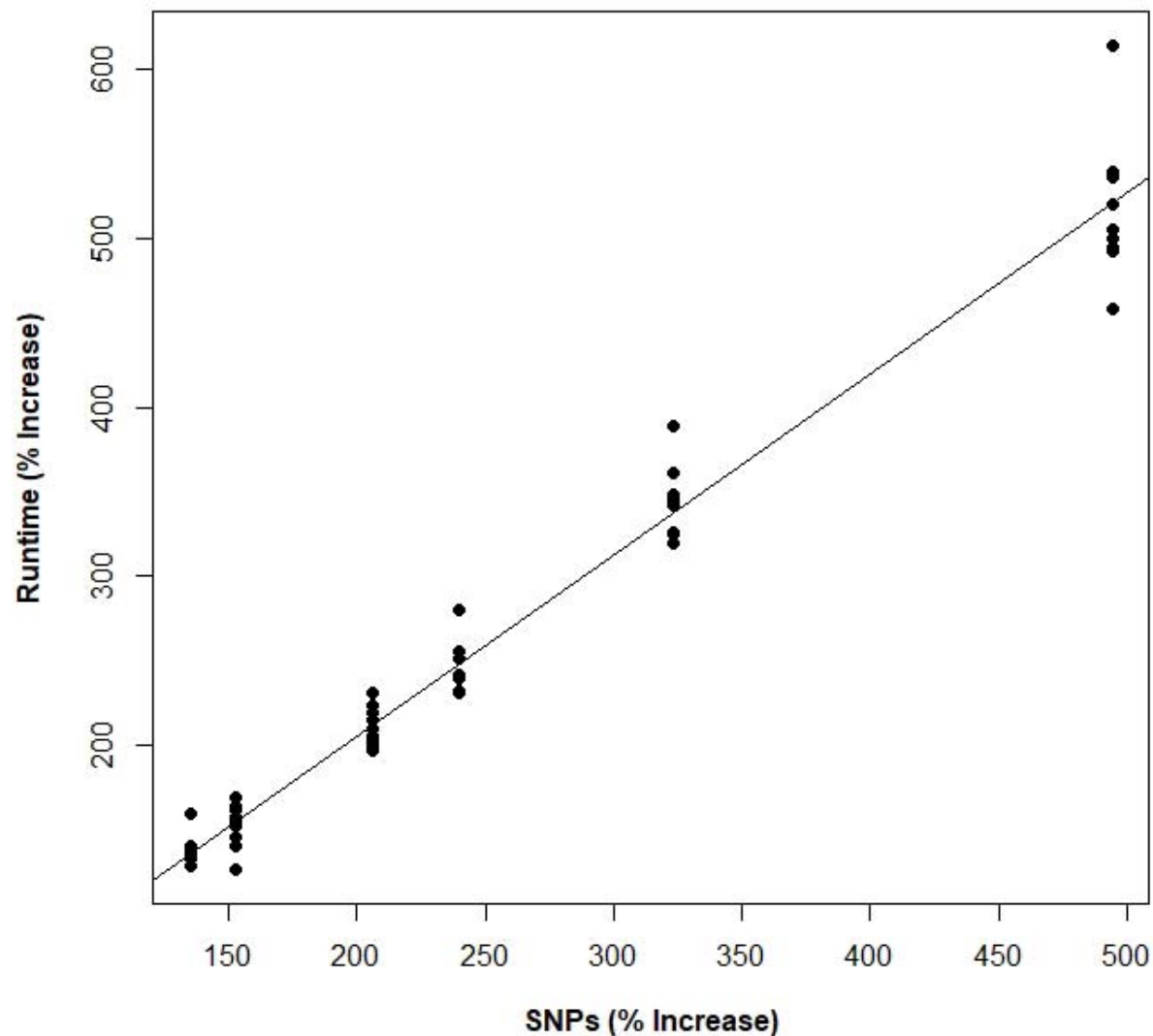
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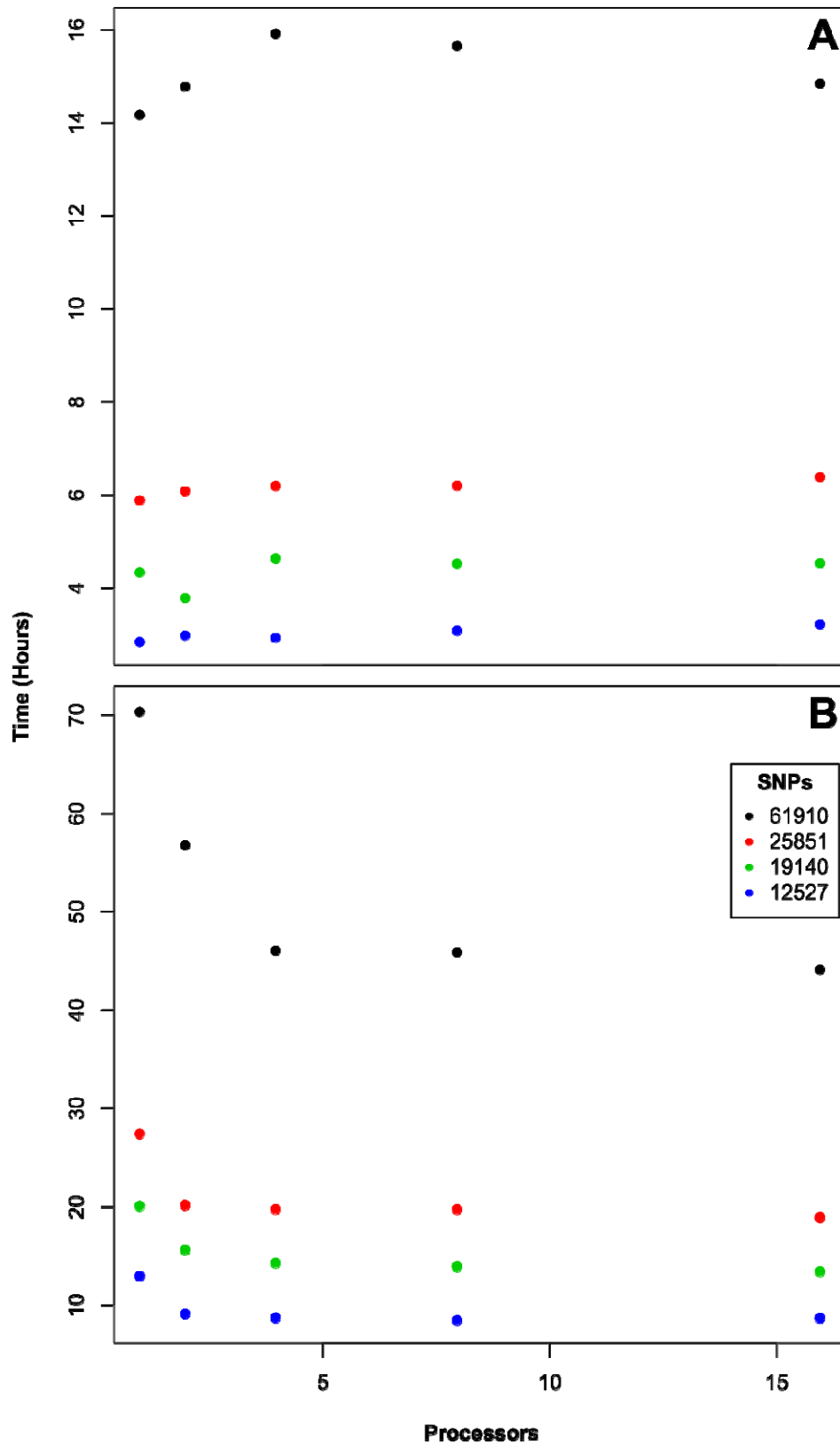
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- 364



365

366 **Figure 1.** The percent increase in runtime for ADMIXPIPE exhibits a nearly 1:1 ratio with
367 respect to percent increase in the number of SNPs. Data is based upon pairwise
368 comparisons in runtime and input size increases for four datasets of varying size
369 (61,910 SNPs, 25,851 SNPs, 19,140 SNPs, and 12,527 SNPs). $R^2 = 0.975$, degrees of
370 freedom=58.



372 **Figure 2.** Results of benchmarking ADMIXPIPE for two ranges of population clustering
373 (K) values. Time is presented in hours on the Y-axis. Plot A shows total runtime for 20
374 replicates each of K=1-8. Plot B shows total runtime for 16 replicates each of K=9-16.
375 The number of processor cores (CPU=1, 2, 4, 8, and 16) was varied across runs. Four
376 data thinning intervals (1, 25, 50, and 100) produced variable numbers of SNPs
377 (61,910, 25,851, 19,140, and 12,527 respectively).