

1 **Noncanonical targeting contributes significantly to miRNA-mediated**
2 **regulation**

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1 **ABSTRACT**

2 Determining which genes are targeted by miRNAs is crucial to elucidate their
3 contributions to diverse biological processes in health and disease. Most
4 miRNA target prediction tools rely on the identification of complementary
5 regions between transcripts and miRNAs. Whereas important for target
6 recognition, the presence of complementary sites is not sufficient to identify
7 transcripts targeted by miRNAs.

8 Here, we describe an unbiased statistical genomics approach that explores
9 genetically driven changes in gene expression between human individuals.
10 Using this approach, we identified transcripts that respond to physiological
11 changes in miRNA levels. We found that a much smaller fraction of mRNAs
12 expressed in lymphoblastoid cell lines (LCLs) than what is predicted by other
13 tools is targeted by miRNAs. We estimate that each miRNA has a relatively
14 small number of targets. The transcripts we predict to be miRNA targets are
15 enriched in AGO-binding and previously validated miRNAs target interactions,
16 supporting the reliability of our predictions. Consistent with previous analysis,
17 these targets are also enriched among dosage sensitive and highly controlled
18 genes.

19 Almost a third of genes we predict to be miRNA targets lack sequence
20 complementarity to the miRNA seed region (noncanonical targets). These
21 noncanonical targets have higher complementarity with the miRNA 3' end. The
22 impact of miRNAs on the levels of their canonical or noncanonical targets is
23 identical supporting the relevance of this poorly explored mechanism of
24 targeting.

25

1 INTRODUCTION

2

3 Post-transcriptional regulation by microRNAs (miRNAs) is widespread in
4 eukaryotes (Bartel 2004). These small (20-22 nucleotide) noncoding RNAs
5 guide target recognition by the miRNA-Induced Silencing Complex (miRISC),
6 which in turn leads to transcript degradation or translational repression (Bartel
7 2004). In animals, loss of function mutations in miRISC proteins (Kataoka et al.
8 2001; Alisch et al. 2007; Morita et al. 2007; Vasquez-Rifo et al. 2012) or proteins
9 involved in miRNA maturation (Bernstein et al. 2003; Wienholds et al. 2003;
10 Giraldez et al. 2005; Wang et al. 2008) are embryonically lethal. This highlights
11 the importance of post-transcriptional regulation by miRNAs, particularly during
12 early development. In contrast, the phenotypes associated with loss of function
13 mutations in individual miRNAs are often cell/tissue specific, consistent with the
14 spatial and temporally restricted expression of most miRNAs (reviewed in
15 (Bartel 2018)). The penetrance and impact of miRNA loss of function mutations
16 is also highly heterogenous, ranging for example from postnatal lethality
17 (Heidersbach et al. 2013) to increased susceptibility to genetic or environmental
18 stressors (Stadthagen et al. 2013). This heterogeneity is likely a consequence
19 of the diverse functions of genes whose expression is regulated by miRNAs.
20 Given the importance of miRNAs in diverse biological processes in health and
21 disease (Bartel 2018), significant efforts have gone into determining the
22 mechanisms of miRNA targeting and identifying the repertoire of individual
23 miRNA targets (Eulalio and Mano 2015).

24 In animals, miRNA target recognition often relies on the base pairing between
25 the miRNA seed region (nucleotides 2-8) and target miRNA recognition
26 elements (MREs), frequently found at the target 3' untranslated regions (UTRs)
27 (Bartel 2004). We refer to this miRNA targeting architecture as “canonical”.

28 Over the past decades, a wide array of prediction methods were developed to
29 establish the miRNA targetome (Peterson et al. 2014). These softwares
30 primarily rely on identifying well-established canonical signatures of

1 miRNA:target interactions, including sequence complementarity, accessibility,
2 location and conservation of MREs, as well as favourable binding energy
3 between miRNA and target (Peterson et al. 2014). Notably, the overlap
4 between predictions made by different softwares is often small (Sethupathy et
5 al. 2006) and fewer than 1% of predicted miRNA targets are supported by
6 experimental evidence for miRISC binding (Fridrich et al. 2019). These
7 analyses highlight the extent of false predictions and our poor understanding of
8 the properties that underlie efficient targeting and regulation by miRNAs.
9 Machine learning approaches, in particular those guided by well-defined
10 interactions between miRNA and target (McGeary et al. 2019), have the
11 potential to improve computational prediction of miRNA targets (Schafer and
12 Ciaudo 2020). But given the scarcity of large and comprehensive sets of true
13 miRNA targets, the development of such tools is currently restricted.

14 Alternatively, miRNAs targets can be experimentally identified based on the
15 evidence of their interaction with miRISC or that their expression levels change
16 in response to genetic miRNA perturbations. Given the ease to measure the
17 impact of miRNA level changes on gene expression levels transcriptome-wide,
18 the latter approach has been extensively used (Bartel 2018). However, the
19 impact of miRNA perturbation on gene expression is 1) often smaller than the
20 inter-individual variation in transcript levels, suggesting many of these changes
21 are inconsequential (Pinzon et al. 2017); and 2) this approach does not allow
22 the distinction between changes due to miRNA targeting (direct) or miRNA-
23 driven changes in the levels of gene expression regulators, including
24 transcription factors or RNA binding proteins (indirect) (Thomas et al. 2010).

25 Cross-linking Immunoprecipitation (CLIP) based approaches have been used to
26 identify transcripts bound by miRISC (reviewed in (Lin and Miles 2019)) *in vitro*
27 (i.e. (Hafner et al. 2010)) and *in vivo* (i.e. (Li et al. 2019)). Experimental evidence
28 for AGO-binding greatly increases the accuracy of miRNA target predictions.
29 However not all transcripts bound by miRISC are miRNA targets (Agarwal et al.
30 2015). Furthermore, whereas, as expected, transcripts with reproducible
31 binding are enriched among true targets, they are depleted in lowly abundant
32 transcripts leading to false negative predictions (Wessels et al. 2019).

1 Despite their low sensitivity, methods like CLASH (Helwak et al. 2013) or miR-
2 CLIP (Imig et al. 2015) have experimentally detected direct miRNA:target
3 interactions and revealed that a sizable fraction of these interactions occurs at
4 regions outside of the 3'UTRs or rely on noncanonical or mismatch containing
5 seed sites (Helwak et al. 2013). High-throughput analysis of affinity binding also
6 supports that some miRNAs can recognize their targets through noncanonical
7 configurations (Becker et al. 2019; McGeary et al. 2019). The extent of
8 noncanonical targeting cannot be assessed using most of the currently
9 available computational prediction tools, further highlighting their limitations.

10 To overcome some of limitations of the current miRNA target prediction
11 methods, we developed an approach that explores genetically driven changes
12 in gene expression between human individuals. This approach allowed us to
13 identify canonical and noncanonical targets that respond to physiological
14 changes in miRNA levels.

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16

1 RESULTS

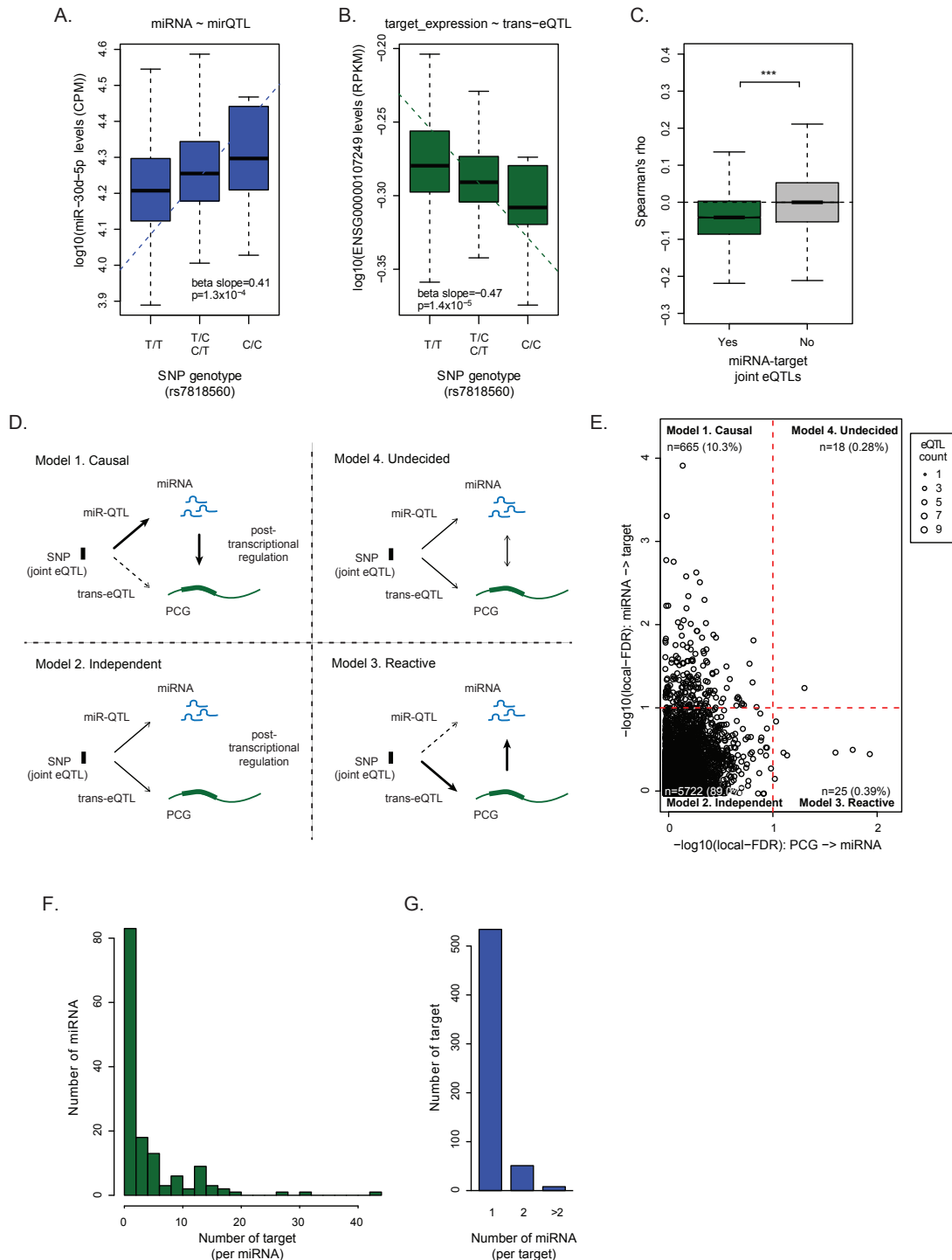
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3 Identification of miRNA targets in LCLs

4 To identify physiologically relevant miRNA-target interactions, we leveraged
5 small RNA sequencing for a panel of 360 lymphoblastoid cell lines (LCLs),
6 derived from healthy individuals of European descent with known genotype
7 (Lappalainen et al. 2013; 1000 Genomes Project et al. 2015). For 62% (n=444)
8 of all LCL expressed miRNAs, we identified at least one single nucleotide
9 polymorphisms (SNPs) in the vicinity of their corresponding miRNA gene whose
10 changes were significantly (FDR<0.05, Methods) associated with mature
11 miRNA levels (Figure 1A, Supplementary S1A). We refer to these variants as
12 miRNA quantitative trait loci (mirQTLs). We reasoned that targets of these
13 miRNAs should be inversely associated with these mirQTLs *in trans* (Methods),
14 consistent with miRNAs being negative regulators of gene expression. We used
15 polyA-selected RNA-sequencing data in these cells and identified 6325 mRNAs
16 (42.6% of the 14847 LCL-expressed genes) associated in *trans* in the inverse
17 direction with at least one miRNA mirQTL (n=6430 joint eQTLs, Methods,
18 Figure 1B,C, Supplementary S1B).

19 The association between a mirQTL and a mRNA can be a consequence of 1)
20 the mRNA levels being regulated by the miRNA (causal model); 2) the miRNA
21 and mRNA being independently associated with the same variant, for example
22 if the same transcription factor regulates transcription of both the miRNA and
23 mRNA (independent model); 3) the miRNA being regulated by the mRNA
24 (reactive model); or 4) an undetermined mode of regulation (undecided model,
25 Figure 1D)(Wang and Michael 2017). We used causal inference analysis to
26 distinguish between these different possibilities for each joint eQTL-miRNA-
27 mRNA trio. We found that with a false discovery rate of 10%, a tenth (10.3%,
28 n=665) of all associations are mediated by changes in miRNA levels (Figure
29 1E). In total, we identified around 4% of all LCL-expressed genes (593 out of
30 14847) to be causal targets for 143 miRNAs. This corresponds to an average

1 of 5 targets per miRNA (median 2 targets, ranging between 1 and 44) (Figure
 2 1F) and 1-2 miRNAs targeting each transcript (Figure 1G, Supplementary Table
 3 ST1).



4

5 **Figure 1. GenVar approach detects physiologically relevant miRNA targets.** (A)
 6 Distribution of miR-30d-5p expression (Y-axis, CPMs) in individuals with different
 7 genotypes of the SNP variant (rs7818560, X-axis, miRQTL). (B) Distribution of GLIS3

1 (ENSG00000107249) expression (RPKM, Y-axis) in individuals with different
2 genotypes of the SNP variant (rs7818560, X-axis, *trans*-eQTL). Beta slope and pvalue
3 of eQTL associations are shown in the inset. (C) The distribution of co-expression
4 (Spearman's correlation) between levels of miRNAs and genes that are jointly (green)
5 or not jointly (grey) associated to the same SNP variant. Differences between groups
6 were tested using a two-tailed Mann-Whitney *U* test. *** $p < 0.001$. (D) Schematic
7 representation of the four models of causal inference testing that predict the
8 relationship between joint eQTL variant (black box) associations with the miRNA levels
9 (mirQTL) (blue) and target gene expression level (*trans*-eQTL) (green): (1) direct
10 association between the variant and miRNA levels mediates the indirect association
11 between that and target gene expression (causal model); (1) the variant is
12 independently associated with miRNA and target levels (independent model); (3) direct
13 association between the variant with target expression mediates the indirect
14 association between that and miRNA levels (reactive model); and (4) the interaction
15 between miRNA levels and target expression is complex (undecided model). Direct
16 associations are depicted as solid lines and indirect associations as dash lines. (E)
17 Scatterplot depicting causal inference testing local FDR associated with each the four
18 models (as illustrated in D). The likelihood of target regulating miRNA levels is plotted
19 on the x-axis and the likelihood of miRNA regulation target expression is plotted on the
20 y-axis. Number and proportion of joint eQTLs are provided in brackets for each model.
21 Dotted red lines denote significance threshold at local FDR < 0.1 . Frequency
22 distribution of the (F) number of predicted targets per miRNA and (G) number of
23 targeting miRNAs per gene.

24

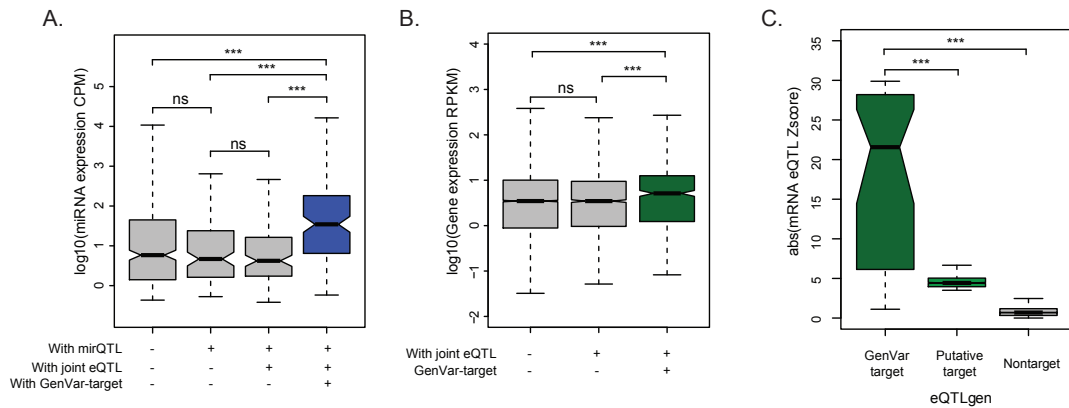
25 Given stronger mirQTL associations might bias towards detecting cases where
26 miRNA levels causally mediate the association between the variant and target
27 expression, we compared the overall strength of associations between
28 mirQTLs and target *trans*-eQTLs and found no evidence that stronger mirQTLs
29 would favour causal model predictions (Supplementary Figure S1C). Hereafter
30 we refer to transcripts predicted to be targeted by miRNAs based on their
31 genetic variations in humans as GenVar-targets.

32

33 **Limitations of GenVar-target identification approach**

34 It is well established that the power to detect quantitative trait loci for relatively
35 lowly expressed genes is limited (GTEx Consortium et al. 2017). We assessed
36 the extent by which this hampered our ability to use the GenVar approach to
37 predict miRNA targets. miRNAs with predicted GenVar-targets (n=143) are
38 among the most highly expressed in LCLs (n=716, $p=9.4 \times 10^{-7}$, two-tailed Mann-

1 Whitney U test, Figure 2A). Similarly, we found GenVar-targets are more highly
 2 expressed than non-targets ($p=6.57 \times 10^{-5}$, two-tailed Mann-Whitney U test,
 3 Figure 2B). These findings possibly reflect the approach's limitation to predict
 4 lowly expressed miRNAs and target transcripts.



5

6 **Figure 2. Limitations of the GenVar approach.** (A) Distribution of subsets of LCL-
 7 expressed miRNA levels with mirQTL associations, whose mirQTLs are jointly
 8 associated with target expression (joint-eQTLs), and with predicted GenVar-targets
 9 (blue). (B) Distribution of subsets LCL-expressed gene expression levels with those
 10 that are jointly associated with a mirQTL (joint-eQTL), and those that are predicted
 11 GenVar-targets (green). (C) In blood samples, distribution of *trans*-eQTL associations
 12 (Zscore) between validated GenVar-targets (dark green), putative targets (light green)
 13 or nontargets (grey) with mirQTLs. Differences between groups were tested using a
 14 two-tailed Mann-Whitney U test. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; NS $p > 0.05$.

15

16 Cohort size is also a well-established contributor to the power of quantitative
 17 trait analysis (Beavis 1998). To assess whether our predictions were limited by
 18 this, we used a dataset that is almost 100 times larger (31,684 blood samples,
 19 eQTLGen consortium (Vösa et al. 2018)) than the one used to identify GenVar-
 20 targets (360 LCLs (Lappalainen et al. 2013)). As the expression levels for
 21 mature miRNAs is unavailable for these blood samples and most blood
 22 expressed miRNAs are also detected in LCLs (Juzenas et al. 2017), we
 23 assumed mirQTLs are conserved between the two cohorts. We used publicly
 24 available *trans*-eQTL data from eQTLGen to assess miRNA-target associations
 25 in the blood samples. This data is available for a subset of human variants
 26 (GWAS-associated SNPs, $n=10,317$) and includes 101 miRQTL variants
 27 associated for 23 miRNAs with at least one GenVar-targets in LCLs (total 137

1 targets). In eQTLgen blood samples, 66% of GenVar-targets (90/137) were also
2 significantly associated in *trans* and in the same direction with their respective
3 miRNA, supporting the interaction between them. This high replication rate
4 support robustness of the association between miRNAs and GenVar-targets.
5 Consistent with this, replicated *trans*-eQTL associations between GenVar-
6 targets and mirQTLs in blood are significantly stronger compared to non-targets
7 in LCLs ($p < 2.2 \times 10^{-16}$, two-tailed Mann-Whitney U test, Supplementary Figure
8 S2A). Compared to replicated associations between mirQTLs and GenVar-
9 targets, those only found in LCLs (34%) were similarly associated in blood
10 ($p = 0.335$, two-tailed Mann-Whitney U test, Supplementary Figure S2A),
11 suggesting some of these are likely explained by LCL-specific miRNA
12 regulation.

13 In addition to the GenVar-targets we validated in blood (validated GenVar-
14 targets), we found the expression levels of another 48 genes are also correlated
15 in *trans* with mirQTLs for 9 of the 23 miRNAs (median 1 target per miRNA,
16 ranging from 1 to 22). Moreover, we also detected 470 putative targets for 23
17 miRNAs with no predicted GenVar-targets in LCLs (median 4 targets per
18 miRNA, ranging from 1 to 53). We refer to these 518 genes as putative targets.
19 However, despite their *trans*-association with mirQTLs, since miRNA levels are
20 not available in eQTLgen, we could not infer the causal relationship between
21 miRNAs and these putative targets. Given in LCLs, GenVar-targets only
22 accounted for a tenth of all genes associated with mirQTLs (Causal model,
23 Figure 1E), we predict only a small portion of these putative targets are
24 regulated by miRNAs. This hypothesis is supported by the significantly stronger
25 *trans*-associations, in blood, between mirQTLs and validated GenVar-targets
26 compared to putative targets ($p < 2.2 \times 10^{-16}$, two-tailed Mann-Whitney U test,
27 Figure 2C). Nevertheless, our findings suggest that the small LCL cohort size
28 likely limited our power in comprehensively detecting all miRNA targets.

29

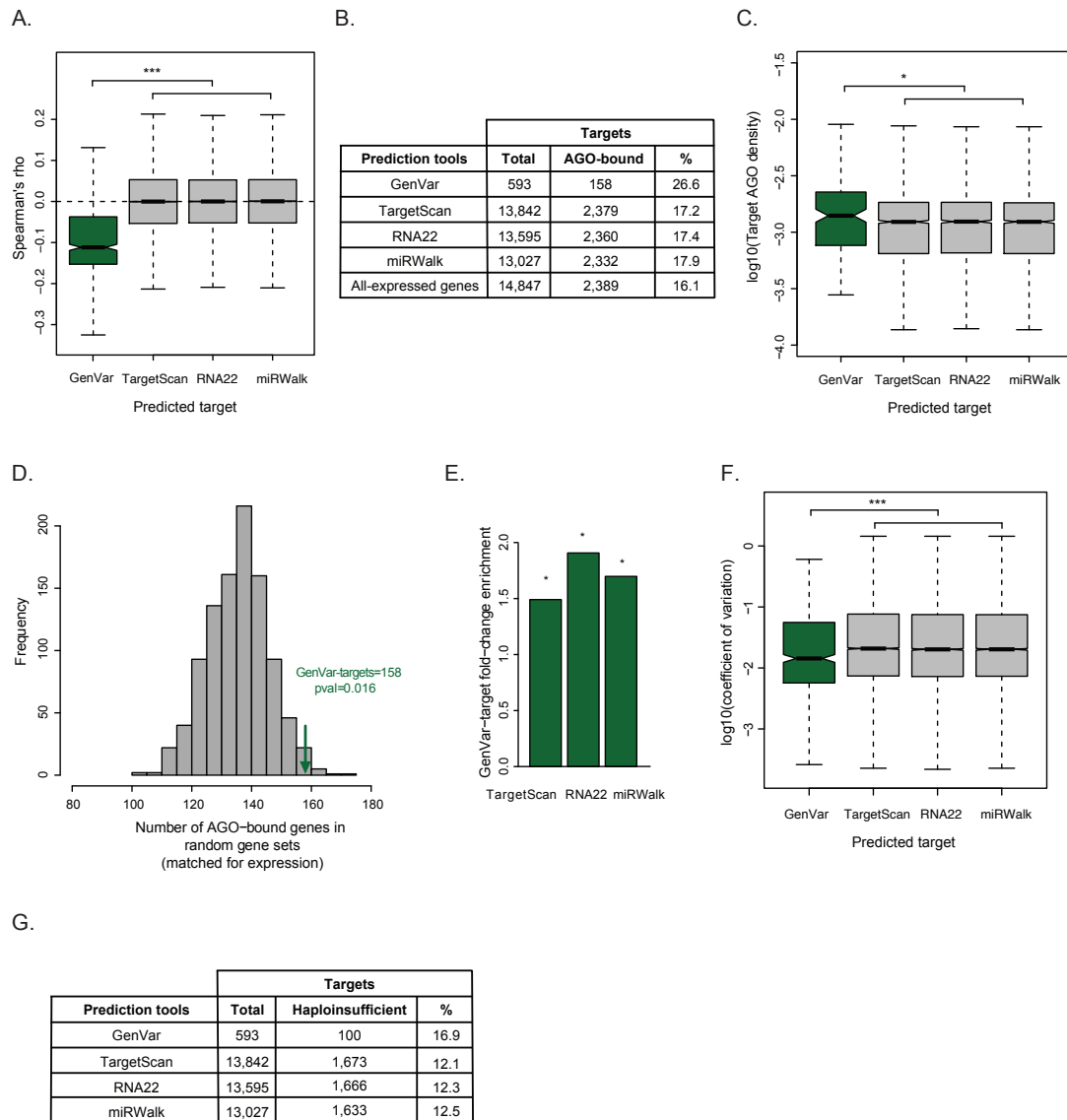
1 ***miRNA GenVar-targets are enriched in AGO-binding and experimentally***
2 ***validated targets***

3 Using three widely-used miRNA prediction softwares: TargetScan (Agarwal et
4 al. 2015), RNA22 (Miranda et al. 2006) and miRWalk (Sticht et al. 2018), we
5 estimated between 88% and 93% of mRNAs to be targeted by at least 1 of the
6 143 GenVar miRNAs (Supplementary Figure S3A). The proportion of genes
7 (n=593) we predicted to be targeted by these miRNAs in LCLs is at least 20
8 times smaller (Supplementary Figure S3A). The small number of miRNA targets
9 might not be surprising given the low fraction of miRNA-target predictions
10 common to all the 3 tools (11-21%, n=92812 out of 474,114, 825,295, 448,787
11 total predictions from TargetScan, RNA22, and miRWalk, respectively,
12 Supplementary Figure S3B) and the previously described high rate of false
13 positives associated with the different prediction methods (Fridrich et al. 2019).

14 The extent of these differences and our approach's limitations probed us to
15 investigate how different target prediction sets associate with properties of true
16 miRNA targets. We found that the expression of GenVar-targets is more
17 strongly inversely correlated with the levels of their targeting miRNAs compared
18 to targets predicted by other computational tools in human LCLs (Figure 3A).

19 Using publicly available iCLIP data in LCLs (Wan et al. 2014), we determined
20 the extent by which the transcripts predicted by the different approaches were
21 bound by AGO2. To minimize the impact of unspecific AGO2 binding in these
22 analyses, we only considered transcripts with experimental evidence of binding
23 across at least two thirds (4 out of 6) of the sequenced LCL samples (Methods).
24 We found that GenVar-targets are significantly more often bound by AGO2
25 (26.6%) than genes predicted by the other 3 tools (<17.9%, $p < 2.8 \times 10^{-7}$, two-
26 tailed Fisher's exact test, Figure 3B). AGO2-binding density is also significantly
27 higher at GenVar-targets compared to other predicted targets ($p < 0.02$, two-
28 tailed Mann-Whitney U test, Figure 3C), supporting the enrichment of GenVar-
29 targets in miRISC binding and miRNA regulation.

30



1

2 **Figure 3. GenVar targets are a reliable set of miRNA targets.** (A) Distribution of co-
3 expression (Spearman's correlation) between miRNA levels and their GenVar-targets
4 (green) or targets predicted by three other tools (TargetScan, RNA22, and miRWalk,
5 grey). (B) Table of the number and proportion of GenVar-targets or targets predicted
6 by other tools to be bound by AGO2. (C) Distribution AGO2 binding density (length of
7 AGO2 binding site/target 3' UTR length) of GenVar-targets (green) and targets
8 predicted by other tools. (D) Distribution of the number of AGO2-bound genes within
9 1000 sets of randomly sampled genes from other predicted targets with matching
10 expression levels and 3' UTR length as GenVar-targets. Green arrow represent the
11 number of AGO2-bound GenVar-targets. (E) Of all predictions by each of the three
12 prediction tools, the enrichment in the proportion of experimentally validated (using
13 direct Luciferase assays) GenVar-target predictions over nonGenVar-target
14 predictions. (F) Distribution of the coefficient of variation (CV) in expression levels of
15 GenVar-targets (green) and targets predicted by other tools (grey). (G) Table of the
16 number and proportion of target predictions that are annotated as haplo-insufficient.
17 Differences between groups were tested using a two-tailed Mann-Whitney *U* or two-
18 tailed Fisher's exact test. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; NS $p > 0.05$.

1 As expected, transcripts with detectable AGO2 binding in iCLIP data are in
2 general more highly expressed ($p < 2.4 \times 10^{-10}$, two-tailed Mann-Whitney U test,
3 Supplementary Figure S4A), reflecting the technical limitations of the
4 technology (Wessels et al. 2019). More than 75% ($n=447/593$) of GenVar-
5 targets are less abundant than the median expression level of transcripts with
6 experimental evidence of AGO2-binding ($n=1101/14847$, Supplementary
7 Figure S4B), suggesting that AGO2-binding at many GenVar-targets may have
8 escaped detection due to their relatively low expression. To account for this
9 bias, we compared the extent of AGO2 binding at GenVar-targets relative to
10 randomly sampled expression-matched genes predicted by the other 3 tools
11 and we found that GenVar-targets are significantly more often bound by AGO2
12 than their counterparts from other prediction methods (1.17-1.19 fold
13 enrichment, $p < 0.024$, permutation test, Figure 3D, Supplementary Figure S4C-
14 F).

15 We tested the proportion of GenVar-targets that have been experimentally
16 validated. Given the known ascertainment bias to experimentally validate
17 previously computationally predicted miRNA targets, we compared the
18 proportion of experimentally validated predictions by each of the three tools
19 which are also detected using the GenVar approach to those not predicted by
20 GenVar. We found a significantly higher fraction of GenVar-targets ($p < 0.05$,
21 two-tailed Fisher's exact test) has been experimentally validated using direct
22 luciferase assays (1.5-1.9 fold-enrichment, Figure 3E, Supplementary Figure
23 S4G) and in all catalogued experiments (1.4-1.7 fold-enrichment,
24 Supplementary Figure S4H,I) relative to targets predicted by other tools
25 (Karagkouni et al. 2018), further corroborating that our approach enriches for
26 real miRNA targets.

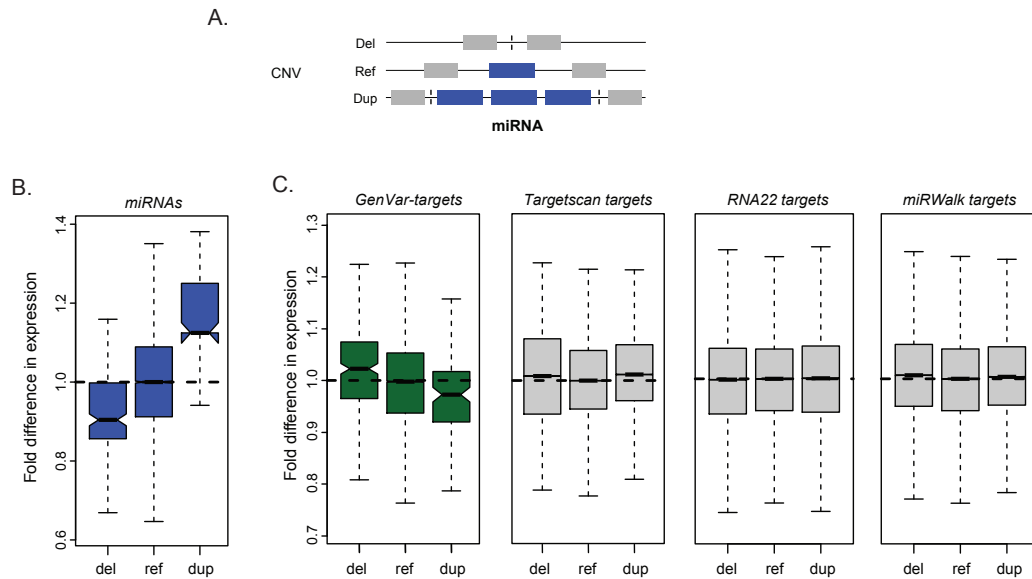
27 miRNA-mediated repression on most genes is frequently lower than the inter-
28 individual variability in their expression levels (Pinzon et al. 2017). This
29 observation led to the proposal that only the levels of genes whose expression
30 is under tight control, such as haplo-insufficient genes, can be effectively

1 regulated by miRNAs. Consistent with this, we found that the variation in
2 GenVar-target expression across individuals in the human population are
3 significantly lower compared to target predicted made by other tools ($p < 9.6 \times 10^{-5}$,
4 two-tailed, Mann-Whitney U test, Figure 3F). We also found that GenVar-
5 targets are enriched in haplo-insufficient genes ($p < 3.0 \times 10^{-3}$, two-tailed Fisher's
6 exact test, Figure 3G), supporting the notion that miRNA-mediated
7 phenotypical changes are likely due to their ability to regulate a relatively small
8 set of dose-sensitive genes (Pinzon et al. 2017; Seitz 2017).

9

10 ***Cancer-disrupted miRNA abundance is associated with causal target*** 11 ***expression changes***

12 Given the limitations in iCLIP technique and the ascertainment bias in reporting
13 of miRNA validation experiments, we sought an alternative unbiased approach
14 to assess the reliability of GenVar-target predictions. We took advantage of
15 extensive genotyping and transcriptomic data from blood-derived cancer
16 samples to assess the impact of changes in miRNA expression on GenVar-
17 target levels. Specifically, we identified individuals that carry copy number
18 variants (CNVs) that overlap primary miRNA loci in Lymphoid Neoplasm Diffuse
19 Large B-cell Lymphoma (DLBC, Figure 4A)(Cancer Genome Atlas Research et
20 al. 2013). These CNVs resulted in homozygous loss or multiple amplifications
21 of 35 miRNAs (48 samples in total, median 1 samples per CNV, Supplementary
22 Table ST2).



1

2 **Figure 4. GenVar-target levels are impacted by change in miRNA copy number.**

3 (A) Copy number variations in DBLC cancer samples can result in duplications or
 4 deletions of primary miRNA loci. (B) Distribution of the fold difference in miRNA levels
 5 (CPM, blue), relative to the median of diploid samples, of individuals that carry
 6 homozygous deletions, diploid genotype, or multiple duplications at primary miRNA
 7 loci. (C) Distribution of fold difference in gene expression levels (RPKM) of GenVar-
 8 targets (green) or targets predicted by other tools (grey), relative to the median of
 9 diploid samples, between individuals that carry homozygous deletions, diploid
 10 genotype, or multiple duplications at their targeting miRNAs. Differences between
 11 groups were tested using a two-tailed Mann-Whitney *U* test. * $p < 0.05$; ** $p < 0.01$;
 12 *** $p < 0.001$; NS $p > 0.05$.

13

14 Mature miRNA expression levels corroborated that, as expected, these CNVs
 15 led to decreased or increased miRNA expression by at least 9 and 12%,
 16 respectively (Figure 4B) relative to individuals that are diploid for the miRNA
 17 gene. The relative small impact of copy number changes on miRNA expression
 18 are likely a consequence of samples containing mixed normal and tumor cells
 19 (Cancer Genome Atlas Research et al. 2013). Changes in miRNA abundance
 20 were significantly negatively associated with expression levels of predicted
 21 GenVar-targets ($p < 0.05$, two-tailed Mann-Whitney *U* test) but not with the levels
 22 of targets predicted by other tools (Figure 4B,C), substantiating direct miRNA
 23 post-transcriptional regulation of GenVar-targets.

24 In summary, our results support that GenVar-targets are enriched 1) for

1 AGO2-binding, 2) for experimentally validated miRNA-target interactions, and
2 3) are inversely impacted by changes in disrupted miRNA levels in cancer
3 samples. These observations support that GenVar-targets represent a reliable
4 catalogue of biologically relevant miRNA targets.

5

6 ***miRNA targeting is enriched in canonical binding at 3'UTRs***

7 Given that our approach does not rely on the identification of perfectly
8 complementary regions to the miRNA seed within transcripts, we next sought
9 to estimate the extent of canonical and noncanonical miRNA targeting (Hausser
10 and Zavolan 2014). Consistent with previous reports (Lewis et al. 2005), we
11 found the majority of GenVar-targets (404 out of 593, 68.1%) harbour perfect
12 seed matches for their targeting miRNAs at their 3' UTRs (canonical
13 targets)(Figure 5A). This proportion is significantly higher than randomly
14 sampled genes with matching expression level and 3' UTR length ($p=0.001$,
15 permutation test, Supplementary Figure S5A). Compared to other predicted
16 targets that also contain complementary seed regions, alignment profiles of
17 canonical miRNA GenVar-target interactions showed these transcripts bear
18 longer sequence complementary binding sites to miRNA seed region ($p=0.039$,
19 two-tailed Mann Whitney test, Supplementary Figure S5B,C). This is consistent
20 with significantly higher number of 8-mer and 7-mer binding sites found within
21 GenVar-targets (52.5% 6-mer, 30.2% 7-mer, and 17.3% 8-mer) compared to
22 other predicted targets ($p<4.3\times 10^{-3}$, two-tailed Fisher's exact test,
23 Supplementary Figure S5D).

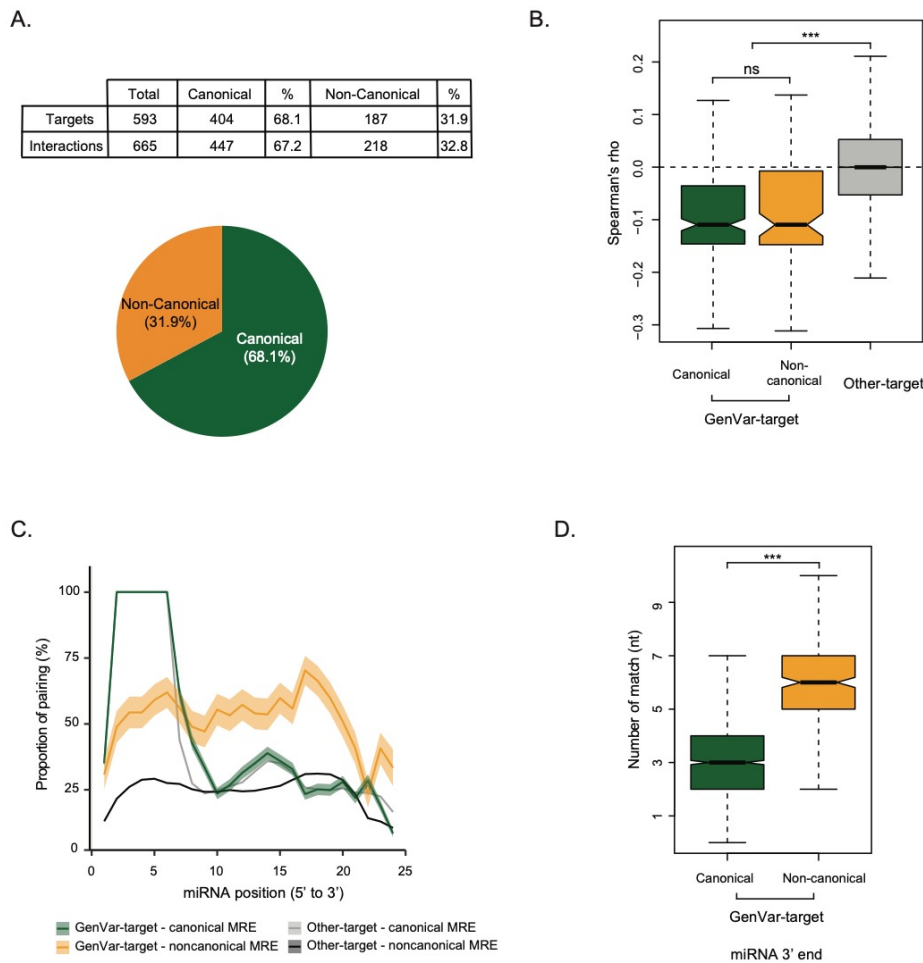
24 In addition, some miRNAs have also been found to interact with regions in their
25 target's 5' UTRs or coding sequences (CDSs) (Chi et al. 2009; Helwak et al.
26 2013). Of the 31.9% of GenVar-targets ($n=189$) with no canonical miRNA
27 binding sites in their 3' UTR targets, seed-matching sites were found at their 5'
28 UTR and CDS for 9.0% ($n=17$) and 31.2% ($n=59$), respectively. Unlike
29 canonical GenVar-targets (Supplementary Figure S5A), these proportions are
30 not significantly different from randomly sampled genes with matching

1 expression level and length of 5' UTR or CDS ($p>0.09$, permutation test,
2 Supplementary Figure S5E,F). Our findings are in line with the current
3 understanding that miRNA targeting at 3' UTR is more likely to lead to
4 physiological changes in target abundance (Gu et al. 2009).

5

6 ***Noncanonical targets bear extensive complementarity to miRNA 3' end***

7 Apart from perfect matches to the miRNA seed region that form canonical
8 miRNA binding sites, sequence complementarity sites outside the seed region
9 have also been shown to contribute to guiding miRNA target interactions
10 (Becker et al. 2019; McGeary et al. 2019). To investigate binding architecture
11 that underlie noncanonical miRNA targeting, we focused on the 31.9% of
12 GenVar-targets that lack seed matching miRNA binding sites at 3' UTRs (Figure
13 5A, Supplementary Table ST3). In LCLs, the correlation between miRNAs and
14 their canonical or noncanonical targets is statistically indistinguishable ($p=0.81$,
15 two-tailed Mann-Whitney U test, Figure 5B). Similar impact on canonical and
16 noncanonical GenVar-target expression was also observed as a result of
17 disrupted miRNA levels by CNVs in blood cancer samples ($p>0.29$, two-tailed
18 Mann-Whitney U test, CNVs disrupted the levels of 34 and 21 miRNA canonical
19 and noncanonical GenVar-targets, respectively, Supplementary Figure S6).
20 These findings support that other features may contribute to determining
21 miRNA-mediated regulation for some miRNAs. Compared to randomly
22 sampled genes with matching expression level and length, noncanonical
23 GenVar-targets were not enriched to contain seed-matching MREs at 5' UTR
24 or CDS ($p>0.05$, permutation test, Supplementary Figure S7A,B).



1

2 **Figure 5. A sizeable fraction of GenVar-targets is noncanonical.** (A) Table (Upper
3 panel) and pie chart (lower panel) illustrating the number and proportion of canonical
4 and noncanonical GenVar-targets. (B) Distribution of co-expression (Spearman's
5 correlation) between miRNA levels and their canonical (green) and noncanonical
6 (yellow) GenVar-targets, as well as targets predicted by other tools (grey). (C) Binding
7 profile illustrating the average fraction of complementary sequence alignment between
8 target and miRNA at each nucleotide position across the mature miRNA (5' to 3')
9 for canonical and noncanonical GenVar-targets (green and yellow, respectively), as
10 well as targets predicted other tools that contain canonical and noncanonical binding
11 sites (light and dark grey, respectively). (D) Distribution of the number of complementary
12 sequence alignments (nt) between canonical (green) or noncanonical (yellow)
13 GenVar-targets with 3' end of miRNAs. Differences between groups were tested using
14 a two-tailed Mann-Whitney *U* test. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; NS $p > 0.05$.

15

16 We assessed the alignment between noncanonical GenVar-targets and their
17 associated miRNAs. Using adequate alignments between 68% of target 3' UTR
18 and their respective miRNAs, we found each miRNA have on average between
19 2-3 noncanonical targets (ranging from 1 to 20, Supplementary Figure S7C). Of

1 all miRNAs with GenVar-targets, 43.3% regulate gene expression only through
2 the canonical mode of binding, whereas 20.3% have only noncanonical targets,
3 and 36.4% have a mixture of both (Supplementary Figure S7D). Alignment
4 profiles of noncanonical miRNA GenVar-target interactions showed that, as
5 expected, complementarity to miRNA seed regions is significantly less frequent
6 than that found for canonical targets ($p < 2.2 \times 10^{-16}$, two-tailed Mann Whitney test,
7 Figure 5C, Supplementary Figure S7E). In contrast, extensive nucleotide
8 complementarity was observed between noncanonical targets and 3' end of
9 miRNA ($p < 2.2 \times 10^{-16}$, two-tailed Mann-Whitney test, Figure 5C,D), suggesting
10 imperfect seed interaction may be compensated by additional binding outside
11 of miRNA seed region.

12

1 **DISCUSSION**

2 Target identification remains one of the biggest challenges when trying to
3 understand the contribution of miRNAs to post-transcriptional regulation of
4 gene expression.

5 Here we propose a target prediction method that leverages genetically driven
6 changes in miRNA and transcript expression levels within humans to identify
7 miRNA targets (GenVar-targets). Compared to targets predicted by other
8 approaches, GenVar-targets are enriched in AGO2 binding, supporting their
9 frequent association with the miRISC. As expected, given their established role
10 in post-transcriptional repression, miRNA copy number changes in cancer, are
11 inversely correlated with GenVar-target expression. This is in contrast to what
12 we observe for miRNA targets predicted using other approaches, whose
13 expression remain unchanged. Finally, a sizable fraction of GenVar-targets are
14 validated in an independent dataset. These observations support the
15 physiological relevance of our miRNA target predictions and the high specificity,
16 especially relative to available alternatives, of the GenVar approach.

17 GenVar-targets account for 4% of mRNAs expressed in lymphoblastoid cell
18 lines (LCLs). The proportion of transcripts we predict to respond to physiological
19 changes in miRNA levels is significantly smaller than what is estimated by other
20 tools. This discrepancy between ours and other estimates is in part explained
21 by the relatively high rate of false positive predictions of other methods [(Fridrich
22 et al. 2019) and supported by our own analysis]. We also analyzed how different
23 factors, including the expression levels of miRNAs, mRNAs and size of the
24 human cohort, impact the sensitivity of the GenVar approach and likely increase
25 false negative predictions. We found that, as expected, miRNA target
26 associations are significantly stronger in larger cohorts. The large cohort size
27 also allowed us to identify an additional set of putative targets not detected
28 using the smaller set of LCL samples. We therefore anticipate that the ongoing
29 trend to expand population cohort size will result in increased prediction

1 sensitivity and has the potential to extend the repertoire of physiologically
2 relevant miRNA targets.

3 In summary, our analysis supports the functional relevance of GenVar-targets
4 with relatively low false positive predictions. High specificity of GenVar
5 predictions is accompanied by decreased sensitivity that we anticipate can be
6 minimized with increased cohort size. Furthermore, given most miRNAs are
7 tissue specifically expressed, GenVar-targets identified in LCLs are therefore
8 most likely relevant for this and closely related cells/tissues. The application of
9 this approach to other cells or tissues has the potential to uncover the miRNA
10 targetome in different tissues and to reveal potential tissue-specific features of
11 miRNA targeting.

12 We further investigated the miRNA target binding architecture of GenVar
13 predictions. Specifically and given that the GenVar approach does not require
14 prior knowledge of miRNAs binding features, it provides an unbiased target set
15 to investigate the extent of noncanonical targeting by miRNAs. Consistent with
16 previous studies (Chi et al. 2009; Hafner et al. 2010; Helwak et al. 2013), we
17 found a sizable fraction (around 32%) of miRNA targets are noncanonical. The
18 impact of miRNA on target expression is independent of binding architecture
19 since variation in miRNA levels was similarly associated with changes in the
20 expression of their canonical or noncanonical targets. Our observations are in
21 line with recent high-throughput binding affinity experiments (Becker et al. 2019;
22 McGeary et al. 2019) and earlier studies (Vella et al. 2004; Didiano and Hobert
23 2006), and support the functional relevance of noncanonical targeting by
24 miRNAs and the diversity of their binding architecture.

25 This mode of targeting, which we predict accounts for around a third of miRNA
26 target interactions, is missed by most prediction methods and thus remains
27 poorly understood. Specifically, we found that most noncanonical targets sites
28 that lack perfect seed matches contained additional sequence complementarity
29 with 3' ends of the miRNA, suggesting mismatches between target and miRNA
30 seed regions can be compensated by additional matches outside the seed.

1 The availability of this high confidence set of transcripts regulated by miRNAs
2 opens avenue to study the role of additional molecular entities in miRNA
3 regulation.

4

1 MATERIAL AND METHODS

2

3 ***Mapping of molecular quantitative trait loci (QTLs)***

4 We used miRNA abundance and processed genotype for human EBV-
5 transformed lymphoblastoid cell lines (LCLs) derived from 360 healthy
6 individuals of European descent (CEU, GBR, FIN and TSI) (downloaded from
7 EBI ArrayExpress, accession E-GEUV-1)(Lappalainen et al. 2013). We tested
8 the association between single nucleotide polymorphisms (SNPs) located
9 within the 1Mb of primary miRNA transcript loci for each of the 715 miRNAs
10 expressed in LCLs with available expression data (Lappalainen et al. 2013).
11 Only SNPs with minor allele frequency (MAF) greater than 5% were considered
12 in the QTL analyses. miRNA eQTL associations were estimated using FastQTL
13 (version 2.184) (Ongen et al. 2016). To assess the significance of the
14 correlations globally, we first applied multiple testing correction on FastQTL
15 estimated associations by permuting the expression levels of each miRNA 1000
16 times and noted the maximum permuted absolute regression coefficient (r_{\max}).
17 We considered only miRNA eQTLs with an observed absolute regression
18 coefficient (r_{obs}) greater than 95% of all permuted r_{\max} values to be significant
19 (Lappalainen et al. 2013). We further performed Benjamini-Hochberg multiple
20 testing correction to estimate FDR (<5%) for all SNPs within the 1Mb vicinity of
21 pri-miRNAs. We identified significant miRNA-eQTLs (mirQTLs) for 62% (n=444)
22 of LCL-expressed miRNAs.

23 The expression levels (RPKM) of protein-coding genes (n=14,847) in the same
24 360 LCL samples were downloaded from a previous study (as described in (Tan
25 et al. 2017)). We identified *trans*-eQTLs between all expressed genes and
26 mirQTLs using the same approach as described above with the exception that
27 multiple-testing correction was applied for all *trans*-eQTL tested. Genes in the
28 vicinity (within 1Mb) of pri-miRNAs were not considered in the analysis. Of the
29 14,847 protein-coding genes expressed in LCLs, we found 6325 genes (42.6%)

1 to be associated in *trans* and in the inverse direction with mirQTLs for 444
2 miRNA (6430 joint eQTLs).

3 ***Causality inference between miRNA abundance and target protein-coding*** 4 ***gene expression***

5 To infer the causal relationship between miRNA levels and putative target gene
6 expression, we considered joint-eQTLs that are associated with both miRNA
7 levels (mirQTL) and their putative target gene abundance (*trans*-eQTL) (6430
8 joint eQTLs associated with 444 miRNAs and 6325 protein-coding genes). For
9 all such triplets of joint-eQTL – miRNA – target gene expression, we performed
10 causal inference testing using a Bayesian approach as implemented by Findr
11 (Wang and Michoel 2017) by testing the models: (1) the causal model with
12 miRNA where regulates gene expression; (2) the independent model where
13 joint-eQTL variants are independently associated with miRNA levels and gene
14 expression; (3) the reactive model where gene expression mediates miRNA
15 abundance; and (4) the undecided model where causative relationship between
16 miRNA and gene is more complex (Wang and Michoel 2017). Findr was run
17 using the recommended test (`findr.pijs_gassist`) with default parameters as
18 described in (Wang and Michoel 2017) and significant associations (FDR<10%)
19 were considered in the analysis.

20 ***Assessing sensitivity and replication rate of the GenVar approach***

21 To assess sensitivity of the GenVar approach, we estimated the number of
22 GenVar-targets undetected using LCL samples (n=360,
23 Geuvadis)(Lappalainen et al. 2013) in a considerably larger cohort of blood
24 samples (n=31,684 (Võsa et al. 2018)). Given mature miRNA levels are not
25 available in the blood samples, for LCL-identified mirQTL with *trans*-eQTL data
26 available in blood (64 miRNA, 101 mirQTLs), we considered *trans*-eQTL
27 associations between all protein-coding genes and these mirQTL to identify
28 additional miRNA targets that are missed in LCLs in blood. We applied multiple
29 testing correction (Benjamini-Hochberg) for the number of *trans*-eQTL variants

1 tested and identified additional miRNA GenVar-targets as those with FDR<5%
2 and are undetected in LCLs.

3 Robustness of *trans*-eQTL associations identified in LCLs was also assessed
4 in the large cohort of blood samples (Võsa et al. 2018). We considered all *trans*-
5 eQTL associations between GenVar-targets and mirQTLs tested in blood
6 (association data is available in blood for 23 mirQTL variants for 39 miRNAs
7 with GenVar-targets identified in LCLs). For these *trans*-eQTLs, we applied
8 multiple testing correction (Benjamini-Hochberg adjusted p-value <5%) for the
9 number of variants tested. We considered associations found in the same
10 direction in eQTLgen blood samples as that found in Geuvadis LCLs to be
11 replicated.

12 ***Comparison with existing miRNA target prediction tools***

13 We downloaded predictions from TargetScan (version 7) (Agarwal et al. 2015),
14 RNA22 (version 2) (Miranda et al. 2006), miRWalk (version 3) (Sticht et al. 2018)
15 and filtered for miRNAs and protein-coding genes expressed in LCLs.

16 ***Enrichment in AGO2 binding***

17 We assessed the interaction of GenVar-targets with the miRISC complex using
18 publicly available iCLIP data to identify regions bound by AGO (Wan et al. 2014)
19 (GSE50676). AGO-bound transcripts were downloaded from GSE50676. We
20 only considered AGO binding sites consistently detected in at least two thirds
21 of all replicates (4 out of 6 samples). In total, out of all LCL-expressed genes
22 considered in our analysis (n=14,847), 18% (n=2,669) were considered to be
23 robustly bound by AGO.

24 To account for differences in expression levels, LCL-expressed genes
25 predicted as miRNA targets by other tools were divided into two sets of 4
26 equally sized bins based on their expression levels. For each GenVar-target, a
27 gene is randomly selected without replacement from their expression-match
28 gene bins.

1 ***Overlap with experimental validated targets***

2 We used a database containing experimentally validated miRNA target
3 interactions (DIANA-TarBase v8) (Karagkouni et al. 2018) to evaluate the
4 proportion of validated GenVar-targets. We filtered for interactions between
5 LCL-expressed miRNAs and target genes and we considered only interactions
6 validated using all validation experiments (n=386,278 interactions) or direct
7 luciferase assays (n=190,334 interactions). To avoid ascertainment bias
8 towards enriched experimental validation of previously computationally
9 predicted targets, when assessing the experimentally validated fraction of
10 GenVar-targets, we considered GenVar-targets that overlapped predictions
11 from other tools and compared these to predictions from other tools that were
12 not detected by GenVar. 463, 171 and 219 GenVar-targets were also predicted
13 by TargetScan, RNA22, and miRWalk, respectively. Most predictions from
14 other tools were not predicted by GenVar as targets (474,069, 825,124 and
15 448,568 for TargetScan, RNA22, and miRWalk, respectively).

16 ***Overlap with haplo-insufficient genes***

17 Haplo-insufficient genes were downloaded from the DECIPHER database
18 (v10)(Firth et al. 2009) and from (Dang et al. 2008). We merged annotations
19 from the two studies. In total, 1754 LCL-expressed genes were found to be
20 haplo-insufficient (1577 from DECIPHER and 288 from Dang et al. 2008).

21 ***Impact of genetic variation at miRNA loci on GenVar-target expression***

22 We considered all copy number variations (CNVs) that overlap primary miRNA
23 transcript loci from blood-derived cancer samples (Lymphoid Neoplasm Diffuse
24 Large B-cell Lymphoma, DLBC) with available genotyping and transcriptomic
25 data (Cancer Genome Atlas Research et al. 2013). We identified CNVs within
26 48 individuals that led to homozygous loss or multiple amplifications of 35
27 miRNAs predicted to have at least one GenVar-target (each deep gain or loss
28 event is found in a median of 1/48 samples). CNV data was downloaded from
29 the TCGA Pan-Cancer cohort on the Xena Functional Genomics Explorer

1 (Goldman et al. 2019). For miRNAs disrupted by CNV, we plotted the average
2 distribution of the fold difference in miRNA levels and their respective target
3 gene expression for each DLBC sample, relative to the median expression of
4 individuals that are diploid for the miRNA gene.

5 ***Identification of noncanonical miRNA targets***

6 We considered all GenVar-targets with seed-matching (including all 8mer, 7mer
7 and 6mer sites) miRNA recognition elements (MREs) at their 3' UTR, as
8 predicted by the standalone version of TargetScan (version 7) (Agarwal et al.
9 2015), as canonical targets. Comparisons of seed-matching MREs were made
10 to other canonical MRE-containing targets predicted by TargetScan. Seed-
11 matching MREs located at transcript 5' UTR and CDS were predicted using the
12 standalone version of TargetScan (version 7) (Agarwal et al. 2015). Ensembl
13 annotated transcript sequences were used in the analysis (version 98). We
14 tested the enrichment of MRE frequency found at 5' UTR/CDS/3' UTR against
15 that found at 1000 sets of randomly sampled LCL-expressed genes with
16 matching expression level and respective transcript segment length. LCL-
17 expressed genes were divided into two sets of 4 equally sized bins based on
18 their expression levels or length (5' UTR, CDS, or 3' UTR), independently. For
19 each canonical GenVar-target, a gene is randomly selected without
20 replacement from the intersection of their expression-match and length-match
21 gene bins.

22 For noncanonical GenVar-targets, we predicted miRNA binding sites by
23 aligning the full sequence of their targeting mature miRNA with the 3' UTR of
24 target genes using RNA duplex using default parameters with added
25 parameters allowing all alignments within 5kcal/mol of the optimal structure to
26 be considered for downstream filtering and GU pairs not considered in the
27 analysis (RNA duplex -e 5 --noGU) (ViennaRNA Package 2.0 (Lorenz et al.
28 2011)). Alignments that contained consecutive gaps in miRNA sequence or
29 multiple gap openings in target sequence were discarded given the miRISC is
30 unlikely to bind to targets that would result in consecutive bulges in binding

1 (Brennecke et al. 2005). No restriction was set on the number of mismatches
2 in alignment.

3 ***Statistical tests***

4 All statistical analyses were performed using the R software environment for
5 statistical computing and graphics (R Development Core Team 2008). When
6 multiple comparisons were made, we reported the highest p-value of all
7 comparisons.

8 ***Data Access***

9 Analyses were performed using publicly available command-line tools.
10 Versions and deviations from parameters used are as detailed in the Methods.
11 All scripts used to parse the results are available upon request.

12

13 ***Competing interests***

14 The authors declare that they have no competing interests.

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21 ***Authors' contributions***

22 JYT, BA and ACM designed the study. JYT and BA performed the experiments
23 and analyzed the results. JYT, BA and ACM discussed the results. ACM
24 supervised the study. JYT and ACM wrote the manuscript. All authors approved
25 the manuscript.

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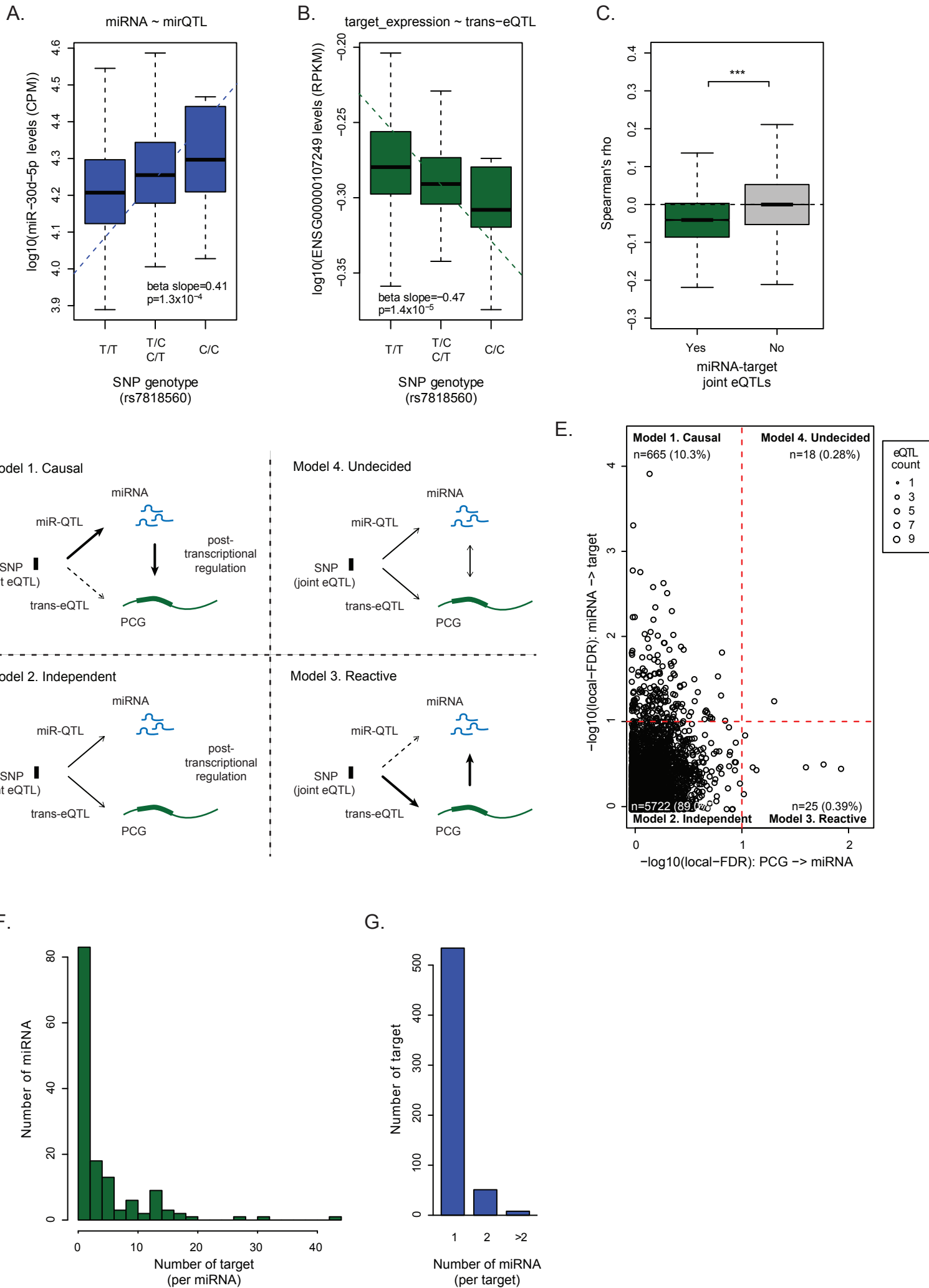
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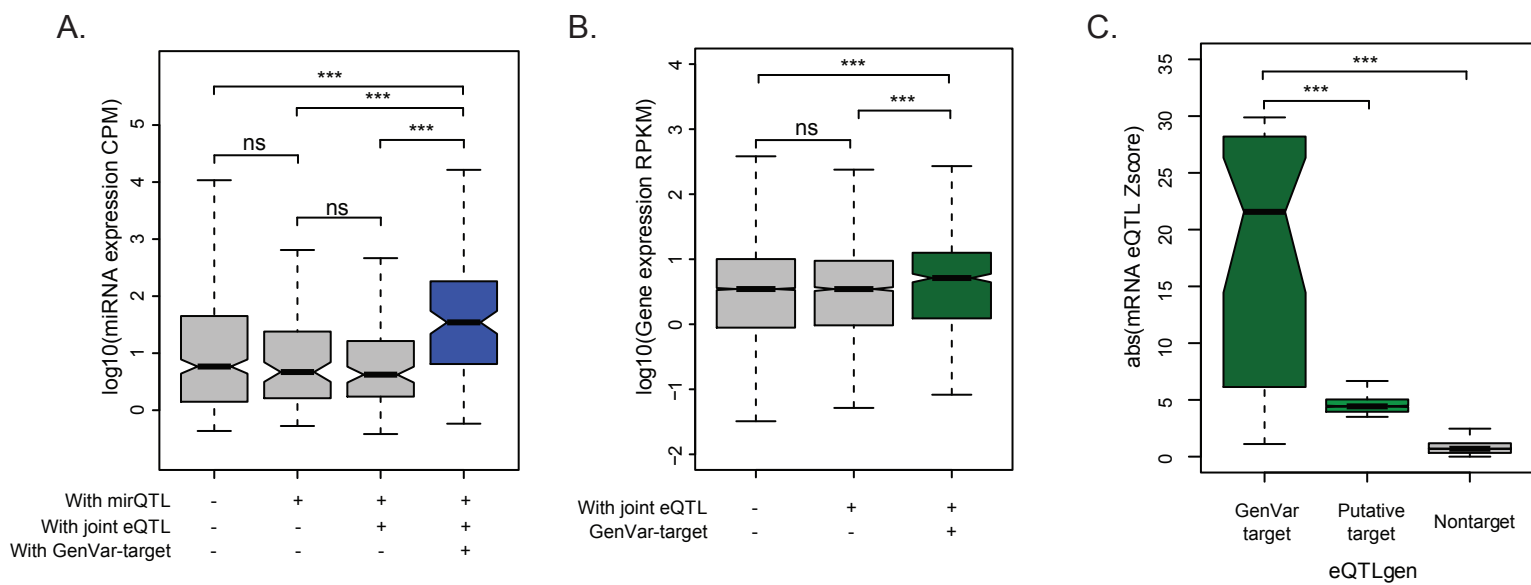
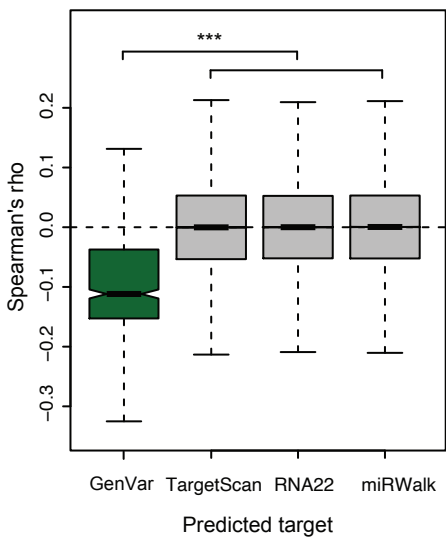


Figure 3

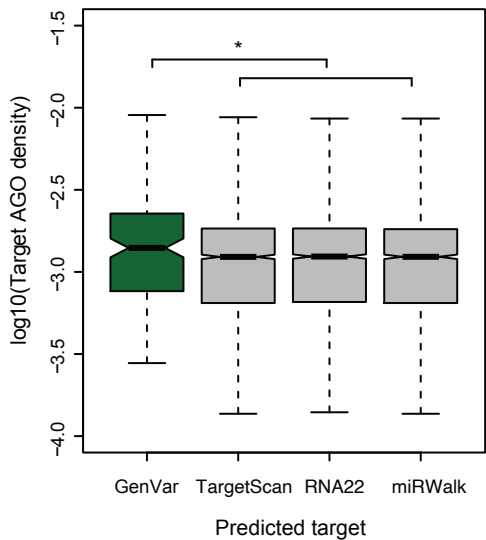
A.



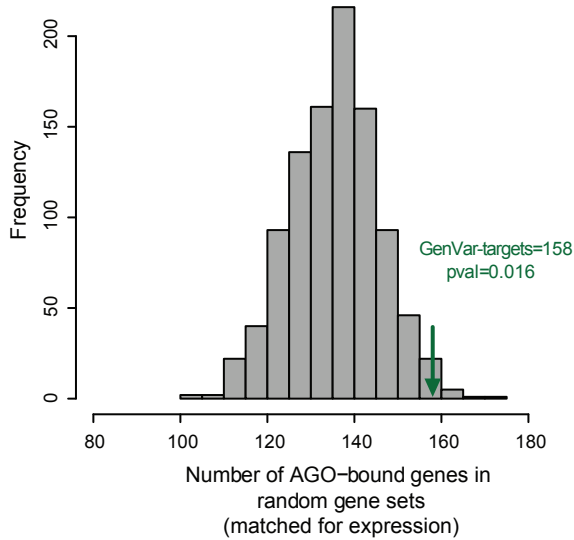
B.

| Prediction tools | Targets | | |
|---------------------|---------|-----------|------|
| | Total | AGO-bound | % |
| GenVar | 593 | 158 | 26.6 |
| TargetScan | 13,842 | 2,379 | 17.2 |
| RNA22 | 13,595 | 2,360 | 17.4 |
| miRWalk | 13,027 | 2,332 | 17.9 |
| All-expressed genes | 14,847 | 2,389 | 16.1 |

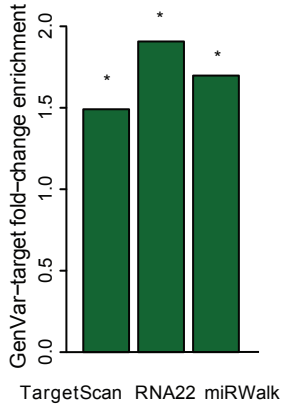
C.



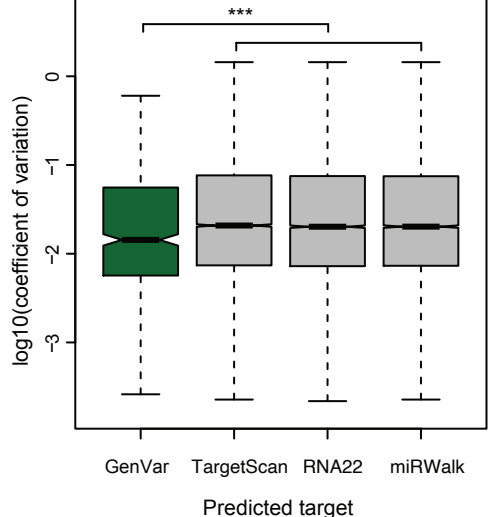
D.



E.



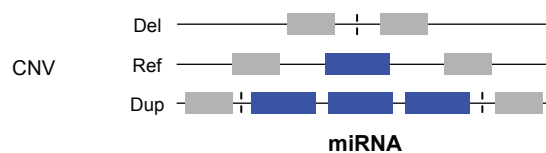
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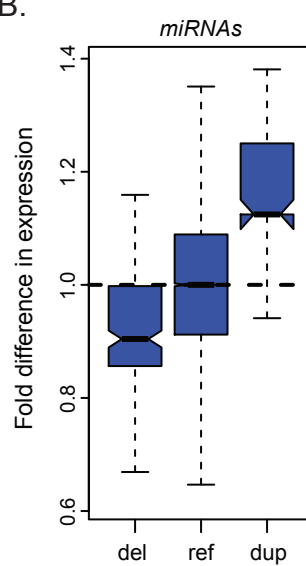
G.

| Prediction tools | Targets | | |
|------------------|---------|-------------------|------|
| | Total | Haploinsufficient | % |
| GenVar | 593 | 100 | 16.9 |
| TargetScan | 13,842 | 1,673 | 12.1 |
| RNA22 | 13,595 | 1,666 | 12.3 |
| miRWalk | 13,027 | 1,633 | 12.5 |

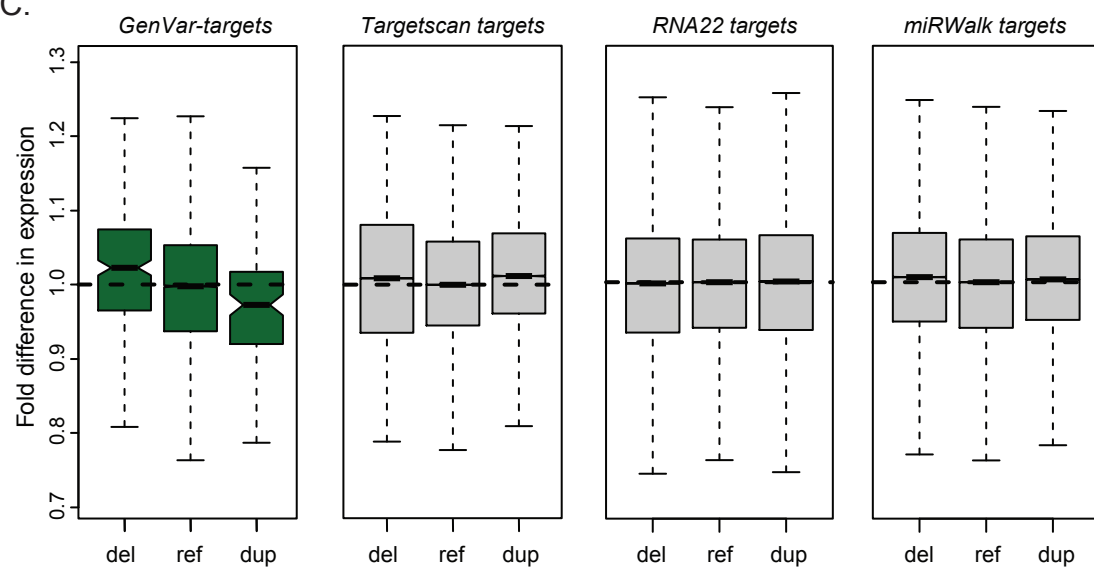
A.



B.

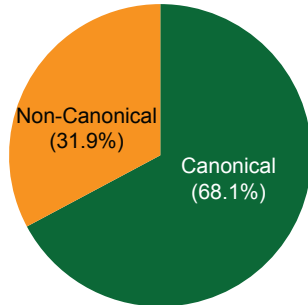


C.

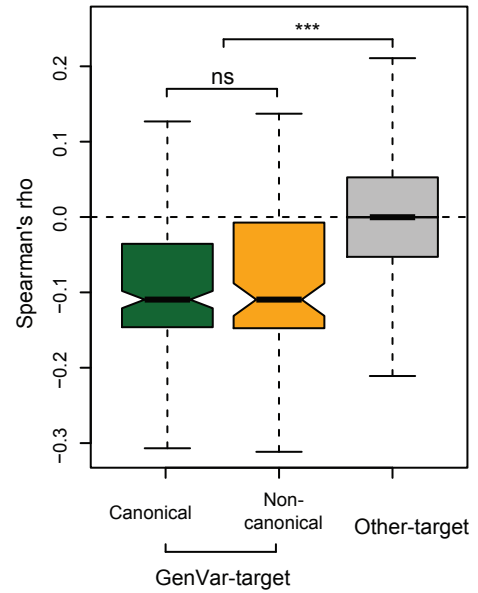


A.

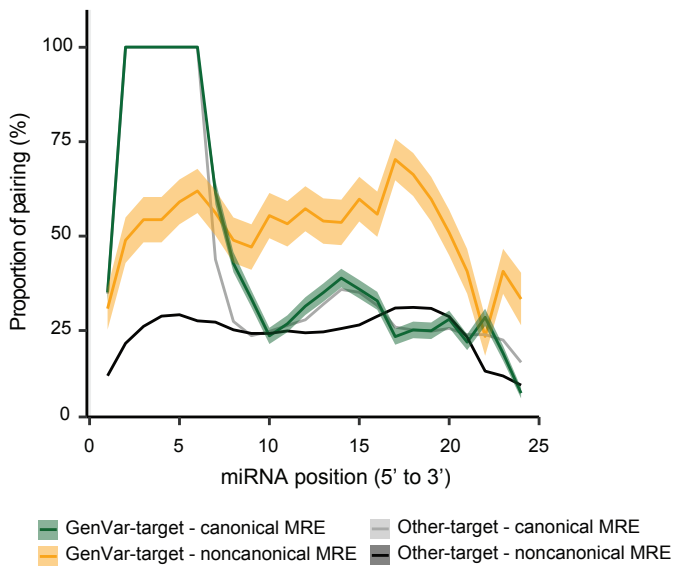
| | Total | Canonical | % | Non-Canonical | % |
|--------------|-------|-----------|------|---------------|------|
| Targets | 593 | 404 | 68.1 | 187 | 31.9 |
| Interactions | 665 | 447 | 67.2 | 218 | 32.8 |



B.



C.



D.

