Tomographic data of 'acanthodian' oral structures and a review of dental diversity in early chondrichthyans

Richard P. Dearden[1] and Sam Giles[2,3*]

[1]CR2P, Centre de Recherche en Paléontologie–Paris, Muséum national d'Histoire naturelle, Sorbonne Université, Centre National de la Recherche Scientifique, CP 38, 57 rue Cuvier, F75231 Paris cedex 05, France.

[2]School of Geography, Earth and Environmental Sciences, University of Birmingham, Birmingham, UK

[3]Department of Earth Sciences, Natural History Museum, Cromwell Road, London SW7 5BD, UK

*Corresponding author

<u>Abstract</u>

The teeth of sharks famously form a series of parallel, continuously replacing files borne directly on the jaw cartilages. In contrast, bony fishes possess site-specific shedding dentition borne primarily on dermal plates. Understanding how these disparate systems evolved is challenging, not least because of poorly understood relationships amongst early chondrichthyans and the profusion of morphologically and terminologically diverse bones, cartilages, splints and whorls that they possess. Here we use tomographic methods to investigate mandibular structures in several early branching 'acanthodian'-grade stem-chondrichthyans. We characterise the dentigerous jaw bones of disparate genera of ischnacanthids as growing bones with non-shedding dentition. Mandibular splints, which support the ventro-lateral edge of the Meckel's cartilage in some acanthodians, are formed from dermal bone and may be an acanthodid synapomorphy. We strengthen the case for *Acanthodopsis* as an acanthodid deeply nested within an edentulous radiation and show that its teeth are borne directly on the mandibular cartilage, unexpectedly representing an independent origin of teeth. Poor resolution of relationships amongst 'acanthodians' represents a major barrier to understanding the evolution and homology of teeth and associated oral structures.

<u>Keywords (3-6):</u> dentitions, early vertebrates, acanthodians, chondrichthyans, tooth evolution, Palaeozoic

1. Introduction

The structure and position of teeth and jaws are amongst the major anatomical distinctions between crown osteichthyans (bony fishes: ray-finned fishes, lobe-finned fishes, and tetrapods) and crown chondrichthyans (cartilaginous fishes: sharks, rays, and chimaeras)[1]. In osteichthyans, teeth are partially resorbed, shed, and replaced in position on dermal bones lateral to and overlying endoskeletal jaw cartilages as part of inner and outer dental arcades. In crownward chondrichthyans, teeth grow, shed, and are replaced in parallel rows of labially-directed series directly on the endoskeletal jaw cartilages. The origins of these dental structures can be traced back to Palaeozoic taxa, which suggest that the last common ancestor of jawed fishes (gnathostomes), as well as crownward stem gnathostomes (a paraphyletic assemblage referred to as 'placoderms'), possessed non-shedding teeth fused to the underlying dermal jaw bone [2–7].

The advent of micro-computed tomography has led to a renewed interest in tooth evolution and development in Palaeozoic gnathostomes. These have mostly focussed on stem-gnathostome 'placoderms' [2,5,6,8] and osteichthyans [9–14]. Early-branching members of the chondrichthyan total-group (including 'acanthodians'), have received far less attention, with just three taxa described using CT data [7,15–18]. This is despite a staggering array of dermal oral structures across the assemblage including teeth growing on (or absent from) dermal plates of differing constructions, tooth whorls, gracile and molariform teeth, and extramandibular 'dentitions' [15,19–26].

Here we use computed tomography to image the teeth, jaws and associated

oral structures of several early-diverging stem-group chondrichthyans with the

aim of more broadly sampling the diversity of their oral structures. We

contextualise our new data within a wider review of stem-group

chondrichthyan oral structures and identify those that represent

synapomorphies, while also discussing challenges in reconstructing dental

evolutionary histories and homologies.

2. Materials and Methods

2.1 Taxa examined

All specimens studied here are housed at the Natural History Museum,

London (NHMUK), and comprise: an isolated jaw of *Taemasacanthus erroli*

(NHMUK PV P33706); an isolated jaw of *Atopacanthus* sp. (NHMUK PV

P.10978); an isolated jaw of *Acanthodopsis* sp. (NHMUK PV P.10383); a

partial head of *Acanthodes* sp. (NHMUK PV P.8065); and an isolated jaw of

Ischnacanthus sp. (NHMUK PV P.40124).

Taemasacanthus erroli is known from eight isolated jaws from the Emsian

(Lower Devonian) Murrumbidgee Group in New South Wales, Australia [27].

Other species, also based on isolated jaw bones, have been assigned to the

genus [28,29], but no articulated animals are known. *Taemasacanthus* is

understood to be an ischnacanthid on the basis of its dentigerous jaw bone.

NHMUK PV P.33706, described here (figure 1), is a right lower jaw, and

comprises two main parts: a dermal dentigerous jaw bone and the articular

ossification of Meckel's cartilage. The external morphology of this specimen of

Taemasacanthus has been fully described [27] but is briefly redescribed here

to contextualise our new information.

Atopacanthus is known throughout the Middle-Upper Devonian [30]. The type

species, *Atopacanthus dentatus*, is known from several dentigerous jaw

bones from near Hamburg, New York and is presumed to be an ischnacanthid

[21,31]. An articulated specimen of *Atopacanthus* sp. from the Upper

Devonian is also known [32], but its attribution to this genus is questionable

[33], and it has subsequently been referred to *Serradentus* [34]. The

specimen described here, NHMUK PV P.10978 (figure 2), is a dentigerous

jaw bone collected from Elgin, Scotland, and is Middle Devonian in age. It was

originally labelled as a possible dipnoan toothplate before later being referred

to *Atopacanthus*, and its morphology conforms with that of other specimens

described as *Atopacanthus*. It is not associated with any endoskeletal

material, and it is impossible to tell whether it is from a right lower jaw or

upper left jaw. For ease of comparison with other specimens, we describe its

morphology as if it were a lower jaw element.

Ischnacanthus is the best known ischnacanthid 'acanthodian', represented by

numerous articulated specimens of Lochkovian (Lower Devonian) age from

the Midland Valley in Scotland [23]. The material described here (NHMUK PV

P.40124; figure 3) is an isolated left lower jaw from the Lower Devonian

(Lochkovian) Midland Valley in Tealing, Forfarshire [35]. It is fairly complete,

but parts of the dorsal and anterior margins have been lost to the counterpart

(which is preserved, but was not CT scanned), and the whole jaw is laterally

flattened. It comprises a dentigerous jaw bone and Meckel's cartilage.

Acanthodopsis is known from the Carboniferous of the UK and Australia.

Acanthodopsis has been previously considered an ischnacanthid on the basis

of its "dentigerous jaw bones", but in terms of its skeletal anatomy it is more

similar to acanthodids [21,27]. The material described here, NHMUK PV

P.10382 from the Northumberland Coal Measures (figure 4), comprises a

laterally flattened lower right jaw, consisting of a Meckel's cartilage with teeth

and a mandibular splint.

Acanthodes is the latest occurring genus of 'acanthodian' found as articulated

body fossils from the Mississippian (Carboniferous) into the Lower Permian

[36]. It is the only genus of 'acanthodian'-grade animal known from

extensively preserved endoskeleton, seen in specimens of *Acanthodes*

confusus from Lebach, Germany [37–45]. The material described here

(NHMUK PV P.8065) comprises part of the ventral half of the head of a

specimen from the Knowles Ironstone of Staffordshire (figure 5). As the dorsal

margins of the jaw bones are obscured within the rock, it was originally

referred to '*Acanthodopsis* or *Acanthodes'*. As CT scanning shows that

dentition is absent, we can confirm it to be *Acanthodes* sp. Most of the left jaw

is preserved, and of the right jaw only the mandibular splint is preserved, as

are some of the lower branchiostegal ray series and isolated dermal gill

rakers. Scattered parts of the rest of the head endoskeleton are also present,

including parts of ceratobranchial and a hyomandibular.

2.2 CT scanning

CT scanning of two specimens took place at the Imaging and Analysis Centre,

NHMUK, using a Metris X-Tek HMX ST 225 with the following settings:

Taemasacanthus erroli: 3142 projections, 130 kV, 131 μA, 0.1 mm copper

filter, voxel size 17.3 μm; *Atopacanthus*: 3142 projections, 130 kV, 154 μA,

0.1 mm copper filter, voxel size 19.508 μm.

CT scanning of three specimens took place at the Bristol University

Department of Life Sciences using a Nikon XT H 225 ST with the following

settings: *Acanthodopsis*: 3142 projections, 180 kV, 92 μA, no filter, voxel size

22.6 μm; *Acanthodes*: 3142 projections, 215 kV, 165 μA, 0.1 mm tin filter,

voxel size 44.9 μm; *Ischnacanthus*: 3142 projections, 222 kV, 105 μA, 0.5 mm

copper filter, voxel size 24.6 μm.

Reconstructed tomographic datasets were segmented in Mimics v.19

(biomedical.materialise.com/mimics; Materialise, Leuven, Belgium) and

images were generated using Blender (blender.org).

2.3 Phylogenetic analyses

Our dataset is based on Dearden *et al*. [46], the most recently published

phylogenetic dataset specifically tackling stem-group chondrichthyan

relationships. We have added one taxon and four characters and made minor

modifications to some codes (full details given in the supplementary text). We

performed a parsimony analysis in TNT [47] with the following settings: a

parsimony ratchet with 10,000 iterations, holding 100 trees per iteration, with

TBR branch swapping. Galeaspida was set as the outgroup and the following

constraint applied: (Galeaspida(Osteostraci(Mandibulate Gnathostomes))).

We also performed a Bayesian analysis, with Galeaspida set as the outgroup,

mandibulate gnathostomes constrained as monophyletic, and the following

settings. We used a uniform prior with the Mkv model and gamma-distributed

rates; searched for 10,000,000 generations, sampling every 1,000

generations; and calculated the majority rule consensus tree with a relative

burn-in of 25%.

163 **3. Results**

3.1 *Taemasacanthus*

The dentigerous jaw bone of *Taemasacanthus* is approximately half the full

depth of the jaw and is sinusoidal in dorsal view (figure 1). A circular ridge,

suggested to be for a labial cartilage attachment [27], is present on the lateral

surface approximately ¼ of the way along its length (figure 1b,c). Posteriorly,

the bone curves laterally and broadens to wrap around the articular. The

lateral expansion is larger than the medial expansion, and both are rounded

posteriorly. Ventrally, a groove formed by the posterior confluence of these

two processes runs underneath the entire length of the dentigerous jaw bone,

and would have overlain Meckel's cartilage (figure 1c). The dentigerous jaw

bone is approximately trapezoid in cross section, and bears three rows of

teeth (figure 1a,b,d,f,g). Histologically the underlying dermal plate comprises

heavily vascularised dermal bone, similar to that observed in thin sections of

other ischnacanthid dentigerous jaw bones [7,23,48], with a relatively thin

layer of less vascularised bone around the plate margins (figure 1f). The

vascularisation comprises an interlinked network of tubules, which are

strongly polarised along the anteroposterior length of the element. In

transverse section these are near-horizontal, running the width of the element,

and are interlinked. The circular ridge is formed of avascular bone, but is

otherwise a similar tissue to that forming the outer margin of the dermal plate.

Three rows of teeth are borne on the biting edge of the dermal plate, all

starting approximately at the level of the mesial ridge: a lateral, medial, and

lingual row (figure 1a,b,d). Teeth within the lateral and lingual rows are fused

to the jaw, but the base of the tooth is marked by an increase in the density of

randomly-oriented vascular canals (figure 1f). The medial row lies on the

mesial ridge and comprises a single row of small disorganised cusps that are

continuous with the underlying dermal plate. Only the more posterior few are

vascularised. The lateral and lingual rows of teeth are much larger, are ridged,

and comprise a vascular base topped with an avascular crown seemingly

lacking a continuous enameloid covering (figure 1f). Both grow by the addition

of new teeth anteriorly, as evidenced by anterior teeth partially overlying

posterior ones, and cusps becoming progressively larger in the direction of

growth. The sole exception to this is the penultimate lingual tooth in

Taemasacanthus. In what is probably a pathology, the eighth cusp of the

lingual row is incomplete, and is either damaged or its growth appears to have

been aborted (figure 1a). The ninth cusp in the row has instead grown over

the incomplete eighth as well as the tenth cusp. This is in fact the youngest

cusp in the entire row and is oriented notably more medially. The lateral tooth

row comprises around twelve cusps, and its teeth are laterally unornamented

and continuous with the lateral surface of the dermal bone, connected to one

another via antero-posterior lateral ridges (figure 1b). The lingual side of each

cusp is rounded, and ornamented with a number of ridges, which become

progressively more tuberculated on anterior cusps. The lingual tooth row

comprises ten cusps, which curve away from the occlusal surface anteriorly.

Only the posteriormost portion of the Meckel's cartilage, the articular

ossification, is preserved (figure 1). It is formed from a sheath of perichondral

tissue and would have been filled with cartilage in life. Some spongy texture is

apparent on the interior surface of the perichondral bone. A shallow groove on

the posterior surface does not appear to continue ventrally, making it unlikely

to have accommodated a mandibular splint as previously suggested [27].

Articulation with the palatoquadrate appear to be via an open, oval, fossa [27]

(figure 1d). The tissue forming this is notably ill-formed, and appears to lack a

solid perichondral covering, meaning that it could well, as Burrow [21]

suggests, be a typical ischnacanthid process that has lost its tip. An additional

ventral fragment of the articular (previously figured [27]) has become

detached from the rest of the ossification.

3.2 *Atopacanthus*

The dentigerous jaw bone is robust, trapezoid in cross-section, but flatter and

taller proportionate to its length than in *Taemasacanthus* (figure 2). It is

slightly medially convex. The anterior fifth of the preserved element (it is

broken both anteriorly and posteriorly) is toothless and tapers slightly. A

narrow, shallow groove to accommodate the mandibular cartilage runs along

the ventral surface of the element (figure 2c). The histology is similar to

Taemasacanthus, with heavily vascularised dermal bone surrounded by a

less vascular layer, but the vascular tubules are even more strongly polarised

in an antero-dorsal direction (figure 2e). Towards the surface of the element

vascularisation is less dense, and not polarised. The lingual face of the

dentigerous jaw bone supports a distinct thin, lingual, tooth-bearing plate

(figure 2a,d,e). This is still heavily vascularised, but tubes are polarised dorso-

lingually.

As in *Taemasacanthus*, lateral, mesial and lingual tooth rows are borne on the

dorsal surface of the underlying dermal plate (figure 2a,b,d). The medial ridge

bears two disorganised rows of cusps along its anterior half, with the posterior

half being smooth. All cusps are vascular, and are histologically continuous

with the medial ridge. The lateral tooth row comprises eight cusps, which

become progressively larger anteriorly, and their lateral surfaces are

continuous with the outside of the dermal plate (figure 2b). Their lingual

surfaces are rounded and ornamented with untuberculated ridges. The inner

tooth row comprises ten main cusps, which curve medially across the

element. Two additional small (but histologically similar) cusps are present

near the posterior margin of the dermal plate, ventral to the main lingual tooth

row. This tooth row lies on top of a lingual plate, which is apposited onto the

lingual surface of the main dermal plate (figure 2a,d,e) . As in the lateral row

and in *Taemasacanthus*, cusps become larger anteriorly, and are ornamented

with ridges. The histology of the teeth of the lateral and lingual rows

comprises a vascular base topped with an avascular cap lacking enameloid,

with the vascular canals oriented distinctly from the underlying dermal and

lingual plates (figure 2e). Teeth in both the lateral and lingual rows were

added anteriorly, with anterior cusps partly overlying their posterior fellows.

3.3 *Ischnacanthus*

Only the anterior part of the dentigerous jaw bone is preserved in the part

(figure 3), although the mould of the posterior region is visible in outline. The

underlying dermal plate is much shallower than in *Taemasacanthus* and

Atopacanthus. A lateral tooth row and a medial ridge are present. The lateral

tooth row preserves four cusps, which are linked by a cuspidate ridge along

their lateral faces. Relative size and age are difficult to determine due to the

mode of preservation, but the anteriormost cusp is the largest, and cusp

overlap indicates that teeth were added anteriorly. Although the ventralmost

parts of the dermal plate are missing, the ventral margin of the teeth is

marked by a noticeable shift in density and orientation of the vascular canals

(figure 3d). The tissue forming the teeth is similar to *Taemasacanthus* and

Atopacanthus, with a vascularised base and an avascular crown apparently

lacking enameloid (contra ref. 7).

The large Meckel's cartilage is near-complete and preserved as a single

element (figure 3). It is curved posteriorly and tapers anteriorly. The

dentigerous jaw bone is borne on its dorso-lingual surface. A laterally-directed

articular condyle is present at the posterior extent, and a shallow groove

extends ventral to the condyle. The majority of the Meckel's cartilage is

formed of globular calcified cartilage. Parts of its lateral surface, as well as its

ventral, anterior, and posterior extents, are covered by a thin, densely

mineralised tissue that appears to be perichondral bone [23]. This tissue

thickens ventrally and posteriorly and is fractured. A thickened ridge along the

posteroventral and posterior margin is continuous with the perichondral rind

that extends onto the lateral surface, but externally gives the appearance of a

separate ossification (figure 3bc,). In section this is closely comparable to the

so called "mandibular bone" that Ørvig [49] described in *Xylacanthus and* is

probably responsible for accounts of mandibular splints in ischnacanthids.

3.4 *Acanthodopsis*

The lower jaw in *Acanthodopsis* comprises a tooth-bearing Meckel's cartilage

and a mandibular splint (figure 4). The Meckel's cartilage is long and thin and

similar in form to that of *Acanthodes* (figure 5; 30,44], with an identical

articular cotylus and marked preglenoid process. It tapers anteriorly,

terminating in a small, cup-shaped anterior symphyseal fossa (figure 4c,e).

The Meckel's cartilage is formed from a shell of perichondral bone [30] and is

internally unmineralized (figure 4g,h), although some mineralisation appears

to be present in the jaw articulation. Unlike *Acanthodes*, it is perichondrally

mineralised along its entire length (figure 4), rather than in separate articular

and mentomandibular sections.

Ten monocuspid, triangular teeth form a row along the dorsal surface of the

Meckel's cartilage (figure 4). The largest tooth is in the middle of the jaw, with

teeth becoming smaller and more closely set anteriorly and posteriorly; they

are slightly lingually convex, each with a smooth (but possibly weathered)

lateral face and a longitudinally striated lingual face. Previous description of

the teeth [21] were unclear as to their tissue makeup. Based on our data we

infer them to be dermal as they are ornamented and histologically distinct

from the Meckelian element. Internally they are formed from a thick outer

layer and a vascular inner tissue with distinct canals, with no obvious pulp

cavity (figure 4g,h); more detailed study is needed to establish these tissues'

identity. The direction of growth is difficult to infer, but the largest tooth

appears to be overlapped by the anterior and posterior teeth, possibly making

it the oldest. This contrasts to the order of growth in *Taemasacanthus*,

Atopacanthus and *Ischnacanthus*, where teeth are added anteriorly. However,

as with the identity of the tissue, we express caution at this interpretation.

The mandibular splint in *Acanthodopsis* is an unornamented, slightly

sinusoidal bone that fits into a groove on the ventro-lateral part of Meckel's

cartilage, extending almost its entire length (figure 4a,b). This groove was

likely originally much shallower, and its depth has been exaggerated by lateral

flattening of the specimen. The tissue forming the splint is solid, and is

organised into multiple concentric lamellae likely representing lines of arrested

growth. It is pierced by a series of thin, longitudinally oriented canals (figure

4g,h). This tissue is distinct from that forming Meckel's cartilage, in particular

being denser and better organised, and can be interpreted as dermal bone,

especially given the ornamentation of the mandibular splints of some

acanthodids [50]. Burrow [21] reported that the mandibular splint in

Acanthodopsis was formed from cartilage and bone based on thin sections,

but the cartilage identified in these reports is likely the Meckel's cartilage

above the bone (CJB pers. comm. July 2020).

3.5 *Acanthodes*

The left lower jaw comprises ossified articular and mentomandibular parts of

Meckel's cartilage, as well as a mandibular splint (figure 5). Mineralised parts

of the Meckelian element are formed from thick perichondral bone, and are

slightly laterally crushed (figure. 5a-d). The articular is as previously described

[44]. The mentomandibular has a distinct cup-like symphyseal fossa at its

anterior tip, forming part of the mandibular symphysis (figure 5c). The

mandibular splint is unornamented, slightly sinusoidal in shape, and ellipsoid

in cross-section. It sits in a groove in the lateral faces of the mentomandibular

and articular. Internally it is solid and vascularised by sparse long, thin canals

running its length (figure 5e,f), as in *Acanthodopsis*. A single tooth-like cusp

sitting in (although separate from) a cushion-shaped base is probably a gill

raker (figure 5b,e).

3.6 *Phylogenetic results*

Our parsimony analysis recovered 26101 most parsimonious trees with a

length of 704 steps. The strict consensus of these results (figures 6a, S1) is

consistent with other recent analyses in finding all 'acanthodians' to be stem-

group chondrichthyans. We recover "Acanthodii" *sensu* Coates *et al.* [51] as a

clade subtending the remainder of the chondrichthyan total group, with

Euthacanthus as the sister group to the "Acanthodii". However,

acanthodiforms (i.e. cheiracanthids, mesacanthids, and acanthodids) are

paraphyletic. Ischnacanthids plus diplacanthids form a clade, but

ischnacanthids themselves are paraphyletic, and diplacanthids are a clade to

the exclusion of *Tetanopsyrus*). Remaining stem chondrichthyan taxa,

including climatiids, *Gladbachus* and Doliodus, are recovered in a polytomy

along with a monophyletic chondrichthyan crown group. Support values aside

from the chondrichthyan total group and crown group nodes are typically low.

The Bayesian majority rule consensus tree (figure 6b, S2) is broadly

consistent with the parsimony strict consensus tree, although "Acanthodii"

decay into a polytomy subtending all more crownwards chondrichthyans.

Acanthodopsis is recovered as the sister-taxon to *Acanthodes* in both

analyses.

4. Discussion

4.1 Stem-chondrichthyan relationships

The majority of known chondrichthyan stem-group members are

'acanthodians': a collection of poorly understood fishes with even more poorly

understood relationships (figure 7). 'Acanthodian' phylogeny has undergone

major upheavals in the last decade: long considered a monophyletic group of

stem osteichthyans (for a brief review see [53]), a radical revision of early

gnathostome relationships suggested they might be a paraphyletic group

smeared across the gnathostome, chondrichthyan and osteichthyan stem-

groups [54]. Subsequent discoveries indicated that all acanthodians are in fact

stem chondrichthyans [55,56], which has been upheld by the vast majority of

subsequent studies (e.g. refs 7,46,51,52,57,58). However, hypotheses of

relationships on the chondrichthyan stem itself are far from settled. There is

some evidence that a diplacanthiform, ischnacanthiform, and acanthodiform

taxa may form a clade or grade subtending the remainder of the

chondrichthyan total-group [51]. 'Climitiid' 'acanthodians', which have

overlapping character complements with more shark-like chondrichthyans,

tend to be recovered in a more crownward position (although see [52], figure

7d). Beyond this, however, different phylogenetic analyses present very

different schemes of relationships (figure 6,7), often with low support.

These conflicting patterns of relationships present major obstacles to

understanding patterns evolution for many of the dental structures seen in

early chondrichthyans, although likelihood-based methods provide a possible

approach [7].

4.2 Distribution of oral structures in the chondrichthyan stem-group

Teeth and other oral structures in stem-chondrichthyans take on a broad

variety of forms, raising questions about their homology and the primitive or

derived condition for different features. Here we briefly review this diversity

and map key characters onto our phylogeny. However, we do not attempt

ancestral state reconstructions or character optimisations given the above

caveats concerning early chondrichthyan phylogeny.

A diverse array of **teeth** (light blue icons, figures 6 and 7) are present in the

majority of Palaeozoic chondrichthyans, including as tooth whorls, but are

also remarkable for the breadth of taxa in which they are absent. Acanthodids

(e.g. *Acanthodes*: figure 5, ref. 44) are completely toothless (except for

Acanthodopsis), as are the likely related mesacanthids (e.g. *Promesacanthus*

[59]) and cheiracanthids (e.g. *Cheiracanthus* [60]). In this latter group, tooth-

like hyoid rakers have sometimes been mistaken for teeth [61]. Teeth are also

absent in diplacanthids [22], with the possible exception of *Tetanopsyrus* [24].

A number of toothless taxa with otherwise diverse anatomies, including

Obtusacanthus, *Lupopsyrus*, *Euthacanthus*, *Brachyacanthus*, and

Kathemacanthus [45,62–64], are often resolved as more closely related to the

crown-group (figures 6,7). Given the small size and two-dimensional

preservation of some of these taxa, it is possible that teeth are present but

reduced and so far undetected, as in *Gladbachus adentatus* [51]. Teeth are

inferred to be homologous across gnathostomes [7], demanding numerous

independent losses of teeth in stem chondrichthyans (figures 6 and 7).

Tooth whorls (dark green icons, figures 6 and 7) are tooth files fused to a

bony base, which grow by the lingual addition of new cusps along a single

axis [18]. Tooth whorls are understood to be the evolutionary precursor to

modern chondrichthyan tooth families, which have a similar morphology but

lack a common bony base [53]. Tooth whorls are present in a range of stem-

group chondrichthyans with otherwise dissimilar anatomies, including those

with dentigerous jaw bones (e.g. *Ischnacanthus* [23]), densely tesserate head

skeletons (e.g. *Climatius* [65]), and more shark-like taxa (e.g. *Doliodus* [15]).

They have also been described in the acanthodiform-like *Latviacanthus* [66],

although as this is based on x-rays these may be mischaracterised hyoid

rakers as in *Homalacanthus* [61]. Tooth whorls are also (presumably

secondarily) present in some stem-group holocephalans [67], as well as some

osteichthyans (e.g. *Onychodus* [14,68]). The main variation between forms is

in distribution: in ischnacanthids and osteichthyans, tooth whorls are few in

number and limited to the symphysis [23], whereas in more crownward

chondrichthyans they are arrayed along the length of the jaw and may even

comprise the entire dentition (gold and yellow-green icons, figures 6 and 7).

Osteichthyan tooth whorls shed via resorption, a mechanism not present in

statodont chondrichthyan tooth whorls [14,18]. The distribution of tooth whorls

across osteichthyans and within chondrichthyans is complex, with whorl-

bearing taxa often nested within whorl-less radiations. Probabilistic ancestral

state reconstruction indicates that tooth whorls evolved independently multiple

times both within chondrichthyans and across gnathostomes [7]. Within

chondrichthyans, different phylogenetic topologies have quite different

implications for the gain and loss of tooth whorls and their distribution across

the group (figure 7).

Some chondrichthyans have **teeth that are not organised into files** but

which lie directly on the jaw cartilage (coral icons [in part; this icon also

captured teeth arranged in files that are borne on the jaw cartilages], figures 6

and 7). This condition is present in *Acanthodopsis* (figure 4), *Pucapampella*,

and *Gladbachus* [16,51], although presents in different ways. In

Acanthodopsis, teeth are triangular and diminish in size anteriorly and

posteriorly (figure 4). In *Pucapampella*, teeth form a single row along the jaw

but show a variety of sizes, shapes, and spacings [16]. In *Gladbachus*, teeth

are much reduced and individually separate, although possibly aligned linguo-

labially [51]. The broad phylogenetic distribution of these forms, as well as

their anatomical variation, suggests that they are homoplasious. Although

Gladbachus and *Pucapampella* are recovered as a clade in our analyses, this

is by no means a typical phylogenetic result (figure 7c,d) and we view this

result with extreme caution.

Dentition cones — tooth-like cones with smaller denticles attached — are

present in the mouths of some stem-group chondrichthyans. They are only

known in partially-articulated ischnacanthids with dentigerous jaw bones:

Zemylacanthus (*Poracanthodes*), *Acritolepis*, and *Serradentus* [34,69,70].

However, they are absent in the better characterised *Ischnacanthus* and

relatives [19,23]. The lack of fully articulated fossils bearing dentition cones

leaves open the possibility that they represent a displaced part of the

branchial apparatus rather than oral structures [34]. Their presence may unite

a subset of acanthodians with dentigerous jaw bones.

Tooth-like scales are present along the oral margin of some stem-group

chondrichthyans; and include part of the cheek squamation, the 'lip' and the

ventral rostral area. They are best characterised in *Ischnacanthus*-like

acanthodians [19] in which they show a variety of morphologies, and in life

may have helped with grasping prey. Some of these scales are strikingly

similar in organisation to tooth whorls (which are also present within the gape

of the same animals), comprising a file of denticles oriented towards the

mouth [19,23]. Specialised tooth-like scales have also been identified along

the margin of the mouth in *Obtusacanthus* [62]. More generally, tooth-like

denticles are common along the oral margin of the tooth row in early

osteichthyans [14,71]. Although potentially interesting from a developmental

perspective, they seem unlikely to carry any phylogenetic signal.

Dentigerous jaw bones are tooth-bearing dermal jaw bones present in the

upper and lower jaws of a number of stem-chondrichthyan taxa [21]. Although

isolated dentigerous jaw bones are comparatively common in the rock record,

articulated fossils are relatively few and far between: they include

Zemlyacanthus, Nerepisacanthus, and *Serradentus* [30,34,72]. By far the best

anatomically characterised taxa with dentigerous jaw bones are

Ischnacanthus and similar taxa [23,73]. There are few anatomical characters

to group taxa possessing dentigerous jaw bones, but all have a complement

of oral structures including some combination of symphyseal tooth whorls,

dentition cones, and tooth-like cheek scales. Dentigerous jaw bones

themselves display anatomical diversity, for example relating to the structure

of the bone, the number and shape of tooth rows [21], and variance in

dentition shapes likely linked to diet [73]. In our phylogeny, taxa with

dentigerous jaw bones (i.e. ischnacanthids) are recovered in a polytomy, in a

broader grouping of acanthodians with dermal mouth plates (dark purple

icons, figures 6 and 7). Dermal jaw bones, both edentulous and tooth-bearing,

are also present in 'placoderms' and osteichthyans, but their homology is

unclear.

Occlusal plates are a pair of smooth dermal plates in the gapes of some

stem-chondrichthyans (light purple icons, figures 6 and 7). Their detailed

anatomy is poorly characterised and in the past they have become

terminologically and anatomically confused with the mandibular splint [25].

Occlusal plates are present in *Diplacanthus*, *Rhadinacanthus*, *Milesacanthus*,

Uraniacanthus, *Culmacanthus*, and *Tetanopsyrus* [22,24,25,74–76]. At least

some of these animals have other common morphologies (i.e. similar body

shapes, scapular processes with posterior lamina, large postorbital scales,

deep, striated dorsal fin spine insertions), and on this basis they are grouped

into the diplacanthids [22]. There is some variation in the morphology of

occlusal plates. In all taxa but *Tetanopsyrus* [24,77], they are only present in

the lower jaws; *Tetanopsyrus* may also have tooth-like denticles along the

inner surface of the plates, although this is only known from an isolated

Meckel's cartilage associated with an already complete *Tetanopsyrus*

specimen [24]. In *Uraniacanthus* and *Culmacanthus*, a dorsal process is

present [74,75]. We recover diplacanthids as monophyletic in our Bayesian

analysis (figure 6, S2), but paraphyletic with respect to *Tetanopsyrus* in our

parsimony analysis, and occlusal plates appear to be a character uniting

diplacanthids (figures 6, 7). *Tetanopsyrus*, with its upper and lower plates,

may represent a link between the occlusal plates of diplacanthids and dermal

jaw bones of ischnacanthids.

A **mandibular splint** (variously termed dentohyoid, extramandibular spine,

splenial, or mandibular bone) is a slightly sinusoidal dermal bone that

underlies the Meckel's cartilage ventrolaterally (grey icons, figures 6 and 7).

Unlike the other structures discussed here, it did not lie within the gape, and

likely reinforced the lower jaw. Mandibular splints are present in *Acanthodes*,

Acanthodopsis, *Halimacanthodes*, *Howittacanthus*, and *Protogonacanthus*

[44,50,78,79]. They have also been incorrectly identified in a variety of other

taxa. Mandibular splints in mesacanthids [45,59] are similar in size to gular

plates, and may represent displaced elements of this series. In diplacanthids

[75,76] they are better interpreted as occlusal plates [25]. Although a

mandibular splint has been identified in the putative cheiracanthid

Protogonacanthus [50], the taxon in question is likely not a cheiracanthid but

an acanthodid [60]. Finally, as we show, descriptions of mandibular splints in

ischnacanthids [32,49] instead represent a reinforced ventral margin of the

endoskeletal mandible. Mandibular splints in acanthodids are very

conservative in form, although may be ornamented as in *Acanthodes sulcatus*

[50]. Its similarity to the ventral branchiostegal rays in *Acanthodes* (figure

5a,b), which are also dermal, tubular, and slighty sinusoidal, suggests that it

may be part of this series that has been co-opted to support the jaw. Our

phylogeny suggests that a mandibular splint unites *Acanthodes* and

Acanthodopsis but either evolved convergently in *Halimacanthodes* (figure. 6)

or was lost in *Homalacanthus*; we consider it most likely that it unites

acanthodids to the exclusion of other stem-chondrichthyans, and that this

distribution is a result of undersampling acanthodids and their characters in

our phylogeny.

4.3 Tooth and jawbone evolution in early gnathostomes

Our new data show that the dentigerous jaw bones of all ischnacanthids,

including articulated taxa such as *Ischnacanthus* and those only known from

isolated jaws (e.g. atopacanthids and taemasacanthids), were united by a

common construction. These follow the model of tooth growth supposed by

Ørvig [80] and demonstrated by Rucklin *et al.* [7] on the basis of directional

wear and overlapping cusps. These teeth were fused to, but distinct from, the

underlying bone, which grew with the endoskeletal component of the jaw. This

condition and the positions of tooth rows relative to the underlying dermal

plate is common to the three different morphologies of dentigerous jaw bone

that we describe and we infer it to have been a common feature of

ischnacanthid dentigerous jaw bones The presence of a (presumably

pathological) out of sequence tooth in *Taemasacanthus*, where the youngest

tooth has partially overgrown a cusp anteriorly (figure 1), suggests that non-

sequential growth was possible in the otherwise ordered tooth rows.

Dentigerous jaw bones are broadly comparable with the condition in stem-

gnathostomes in the sense that non-shedding teeth are growing on a basal

bone. However, phylogenetic topologies supporting homology between these

conditions are in limited supply (figure. 7), and is not supported by our

topology or by more detailed analysis [7].

Although dentigerous jaw bones are typically contrasted with tooth whorls [7],

we suggest that dentigerous jaw bones can be usefully interpreted by

comparison to "stretched out" tooth whorls. The tooth rows on dentigerous jaw

bones are comparable to whorls in that they grow in a single direction in

isolated files on an underlying dermal plate. Notably, symphyseal tooth whorls

and whorl-like cheek scales in some ischnacanthids could suggest common

patterning mechanisms affecting dermal structures in and around the mouth

of early chondrichthyans [19]. Teeth and tooth-like structures are added

directionally across the gnathostome crown-group (e.g. [6]). However, tooth

rows growing in isolated files, on whorls or dentigerous jaw bones, appears to

be apomorphic for chondrichthyans (with osteichthyan tooth whorls optimised

as homoplasious [7]), and may be a character uniting chondrichthyans more

broadly than the presence of tooth whorls.

The row of monocuspid 'teeth' borne directly on the Meckelian element of

Acanthodopsis is unlike that of any other known chondrichthyan or

gnathostome. Furthermore, the presence of teeth in a Carboniferous taxon

deeply nested within an edentulous radiation (figure 6), the oldest of which are

Early Devonian in age, strongly suggests that this represents an independent

acquisition of dentition. Previous studies of the jaw of *Acanthodopsis* have

interpreted it either as a dentigerous jaw bone [80] or as a perichondrally

ossified Meckelian element with 'teeth' [21]. Our data confirm the latter view

and suggest that the teeth in *Acanthodopsis* are histologically distinct from the

underlying perichondral bone and likely dermal in origin. Our phylogenetic

analysis supports the view of Long [27] and Burrow [21] that *Acanthodopsis* is

closely related to *Acanthodes*, with the presence of teeth representing the

only difference between the genera. A possible morphological comparison to

these teeth lies in the branchial and hyoid rakers found in acanthodiform

fishes like *Acanthodes*, *Cheiracanthus*, and *Homalacanthus* [36,60]. As with

the teeth of *Acanthodopsis*, these rakers are triangular in shape, sometimes

striated ([81] fig. 3), and decrease in size from the centre outwards (Dearden

pers obvs). An alternative to a cryptic homology between teeth in

Acanthodopsis and other gnathostomes may be the co-option of hyoid and

branchial rakers to form a novel "dentition" on the mandibular arch.

This revised picture of 'acanthodian' jaw elements adds a critical perspective

to hypotheses of dental evolution. The 'Acanthodii' grade or clade, members

of which are typically (but not always) recovered towards the base of the

chondrichthyan total group (figures 6,7) display a plethora of oral structures,

including dermal jaw plates, symphysial tooth whorls with joined bases, as

well as edentulousness. Mandibular splints and occlusal plates may

characterise subclades within this radiation. Dermal jaw bones are absent in

taxa more proximate to the chondrichthyan crown, and a dentition comprised

entirely of tooth whorls along the whole length of the jaw is also restricted to

this node. This may represent a 'two-step' development of the stereotypical

chondrichthyan dentition, with a shift towards tooth whorls bone exclusively on

the jaw cartilages, and the eventual loss of fused bases and concomitant

development of tooth shedding at the chondrichthyan crown node. Not all

topologies support this hypothesis, however: Frey *et al.* [52], for example,

recover climatiids as remote from the chondrichthyan crown node, with an

extensively whorl-based dentition borne on the jaw cartilages rather than

dermal bones apparently developing independently or being lost in

"Acanthodii". The interposition of many non-shedding stem-chondrichthyan

taxa between shedding chondrichthyans and shedding osteichthyans confirms

that a shedding dentition evolved twice, in two different ways, in crown-

gnathostomes [6,7,11,14]. The teeth of extant chondrichthyans, borne directly

on endoskeletal mandibular cartilages, are positionally distinct from both the

inner and outer dental arcades of osteichthyans, where teeth are borne on

dermal bones.

A comparison of recent phylogenetic hypotheses for the chondrichthyan stem-

group (figure 7) as well as the generally low support value within the

chondrichthyan stem-group in our own phylogenetic analyses (figures S1,2)

illustrate the instability in the deepest parts of the chondrichthyan tree. Similar

conflicting topologies in the gnathostome stem group, for example over

whether 'placoderms' are monophyletic and the relationships of antiarchs and

arthrodires to the gnathostome crown node [6,58,82–84], in conjunction with

an array of difficult-to-interpret dental conditions [6], compound this problem.

Likelihood-based methods provide one way of overcoming this uncertainty [7],

but the proliferation of different tree shapes in conjunction with generally low

support values means that results should be considered across multiple

potential topologies. This illustrates the challenges of drawing broad scale

conclusions for gnathostome tooth evolution on the basis of unstable

relationships or tentatively-placed taxa.

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Ethics. This research is based exclusively on specimens from natural history

collections.

Data accessibility. Raw data (.vol or .tiff stacks), Mimics files, and 3D PLY

files for each specimen are deposited in Dropbox for the purposes of

review((Acanthodes_NHMUK_PV_P.8065 PLY files:

https://www.dropbox.com/sh/7mmrrdo0shhhkc3/AABG5jhLrbghHeMghpYgizJ

Aa?dl=0; Acanthodes_NHMUK_PV_P.8065 TIFF stack:

https://www.dropbox.com/sh/ao3hua7467kat40/AADUb_etPMoD_CK-c7m-

E5kba?dl=0; Acanthodes_NHMUK_PV_P.8065 Mimics file:

https://www.dropbox.com/s/x8hfru687hs8lqj/Acanthodes_NHMUK_PV_P.806

5_mimics.mcs?dl=0; Acanthodopsis_NHMUK_PV_P.10328 PLY files:

https://www.dropbox.com/sh/2ip4lzsd56yjpyz/AAAqqq2BU6EbJ8x8Xm0TJWu

Ta?dl=0; Acanthodopsis_NHMUK_PV_P.10328 TIFF stack

https://www.dropbox.com/sh/n24djy5n4de82bd/AABDEmru9PVyOqSHg-

f_GCqNa?dl=0; Acanthodopsis_NHMUK_PV_P.10328 Mimics file:

https://www.dropbox.com/s/9y9r7yac7p4gc0n/Acanthodopsis_NHMUK_PV_P

.10328_mimics.mcs?dl=0 Atopacanthus_NHMUK_PV_P.10978 PLY files:

https://www.dropbox.com/sh/0kgc31bw6b1sb78/AAB0kvTiCeA__Nw4JTrKd5f

3a?dl=0; Atopacanthus_NHMUK_PV_P.10978 TIFF stack:

https://www.dropbox.com/sh/6ceer4qkelkv8be/AABZGZqJ-

tneqFvX8Ff_K7UZa?dl=0 Atopacanthus_NHMUK_PV_P.10978 Mimics file:

https://www.dropbox.com/s/gxiyur8qo54mcxw/Atopacanthus_NHMUK_PV_P.

10978_mimics.mcs?dl=0; Ischnacanthus_NHMUK_PV_P.40124 PLY files:

https://www.dropbox.com/sh/mrskv03iztott7s/AAChCylP9LMOYOXbYDymN_

pCa?dl=0; Ischnacanthus_NHMUK_PV_P.40124 TIFF stack:

https://www.dropbox.com/sh/x82rgb6dx5zjv1g/AAB8f6psZiuwY-m-R-

_SiK76a?dl=0; Ischnacanthus_NHMUK_PV_P.40124 Mimics file:

https://www.dropbox.com/s/4d2bboluoes51l4/Ischnacanthus_NHMUK_PV_P.

40124_mimics.mcs?dl=0; Taemasacanthus_erroli_NHMUK_PV_P33706 PLY

files:

https://www.dropbox.com/sh/521y1zjasuff2c7/AABeQ16MiFbNKbSyMuvCG4

Rva?dl=0; Taemasacanthus_erroli_NHMUK_PV_P33706 VOL file:

https://www.dropbox.com/sh/tm798rx0ilmutqo/AADob3ja5rCoZV5Hd_D5qlt_a

?dl=0; Taemasacanthus_erolli_NHMUK_PV_P33706 Mimics file:

https://www.dropbox.com/s/qm90cxvlivd4zf8/Taemasacanthus_erolli_NHMUK

_PV_P33706_mimics.mcs?dl=0.) and will be permanently deposited in Dryad

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Authors' contributions. S.G. conceived the project and selected specimens.

S.G. and R.P.D. carried out CT scanning. R.P.D. segmented the specimens,

made Blender renders and constructed figures with input from S.G. S.G. and

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Figure captions

Figure 1. Tomographic model of the left lower jaw of *Taemasacanthus erroli* NHMUK PV P.33706 in (*a*) medial view, (*b*) lateral view, (*c*) ventral view, (*d*) dorsal view, (*e*) posterior view, (*f*) a reconstructed tomogram showing a transverse section through the lower jaw and (*g*) a reconstructed tomogram showing a sagittal section through the lingual tooth row. Teeth in panels (*a*) and (*b*) are coloured separately from the dentigerous jaw bone. Abbreviations: art, articular (Meckel's cartilage); art.con, articular 'condyle'; circ.ri, circular ridge; djb, dentigerous jaw bone; lat.t.r., lateral tooth row; ling.t.r, lingual tooth row; mes.ri, mesial ridge; mes.t.r, mesial tooth row; post.gr, posterior groove; young.t, out-of-order youngest tooth; vent.gr, ventral groove; vas.can, vascular canals. Scale bar = 5 mm.

Figure 2. Tomographic model of a dentigerous jaw bone of *Atopacanthus* sp. NHMUK PV P.10978 in (*a*) medial view, (*b*) lateral view, (*c*) ventral view, (*d*) dorsal view and (*e*) a reconstructed tomogram showing a sagittal section through the lingual tooth row. Teeth in panels (*a*) and (*b*) are coloured separately from the dentigerous jaw bone. Abbreviations: djb, dentigerous jaw bone; lat.t.r., lateral tooth row; ling.pl, lingual plate; ling.t.r, lingual tooth row; mes.ri, mesial ridge; mes.t.r, mesial tooth row; vent.gr, ventral groove; vas.can, vascular canals. Scale bar = 5 mm.

Figure 3. Tomographic model of the left lower jaw of *Ischnacanthus* sp.

NHMUK PV P.40124 in (*a*) lateral view, (*b*) medial view, and (*c*), (*d*), (*e*)

reconstructed tomograms showing successively deeper sagittal sections.

Abbreviations: art.proc, articular process; djb dentigerous jaw bone; lat.t.r.,

lateral tooth row; Meck.c, Meckel's cartilage; per, perichondral bone; rid,

ridge; vas.can, vascular canal. Scale bar = 5 mm.

Figure 4. Tomographic model of the right lower jaw of *Acanthodopsis* sp.

NHMUK PV P.10383 in lateral view with (*a*) and without (*b*) mandibular splint,

(*c*) medial view, (*d*) dorsal view, (*e*) anteromedial view, (*f*) posterior view, and

reconstructed tomograms showing (*g*) a sagittal section through the entire jaw

and (*h*) a transverse section through the jaw. Abbreviations: art.cot, articular

cotylus; gr.mand.spl, groove for mandibular splint; inn.lay, inner layer;

mand.add.fo, mandibular adductor fossa; mand.spl, mandibular splint;

Meck.c, Meckel's cartilage; out.lay, outer layer; pgl.pr, preglenoid process;

sym.fos, symphyseal fossa; 't', 'teeth'. Scale bar = 5 mm in (*a*)-(*g*), 2 mm in

(*h*).

Figure 5. The lower jaws of *Acanthodes* sp. NHMUK PV P.8085 in (*a*) dorsal

view against the matrix, (*b*) in ventral view superimposed on a digital mould of

the matrix's surface, the left lower jaw isolated in (*c*) medial and (*d*) lateral

view, and reconstructed tomograms showing (*e*) a coronal section through the

specimen, and (*f*) a transverse section through a lower jaw. Abbreviations: art,

articular (Meckel's cartilage); br, branchiostegal rays; mand.spl, mandibular

splint; Meck.c, Meckel's cartilage; ment, mentomandibular (Meckel's

cartilage); pgl.pr, preglenoid process; rak, gill raker; sym.fos, symphyseal

fossa; vis.ar, visceral arch fragments. Scale bar = 5 mm in (*a*)-(*e*), 2mm in (*f*).

Figure 6. Phylogenetic relationships of early chondrichthyans and distribution

of oral structures. Strict consensus of 26101 most parsimonious trees, with

some non-chondrichthyan taxa excluded (full tree with support values in figure

S1). Filled boxes indicate presence of feature; '?' indicates uncertainty; '-

'indicates inapplicability.

Figure 7. Summaries of four contrasting recent phylogenetic schemes of early

chondrichthyans, with distribution of oral characters. (a) King *et al.* 2016 [58],

(b) Chevrinais *et al.* 2017 [57], (c) Coates *et al.* 2018 [51], (d) Frey *et al.* 2020

[52]. Boxes as in Fig. 6 Acanthodiforms includes taxa both with and without

mandibular splints.

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<u>Supplementary material (separate pdf)</u>

Supplementary information for this manuscript is included as two files. The

first includes notes on the phylogenetic analysis (taxon addition, character

addition and coding changes) and supplementary figures 1-5. The second is a

zipped folder containing all files necessary for replicating our Bayesian and

parsimony analyses.

(a) art.con — djb — ling.t.r — mes.ri — young.t — lat.t.r — art

(b) lat.t.r — circ.ri — vas.can — art

(c) circ.ri — vent.gr — art

(d) art.con — mes.t.r — lat.t.r — ling.t.r — mes.ri

(e) post.gr

(f) mes.ri — lat.t.r — ling.t.r — vas.can

(g) djb — ling.t.r — vas.can

(a) mes.t.r mes.ri lat.t.r

djb ling.t.r ling.pl

(b) lat.t.r

djb

(c) vent.gr

(d) lat.t.r mes.t.r ling.pl

djb ling.t.r mes.ri lat.t.r

ling.pl (f)

(e) ling.pl ling.t.r

djb vas.can

(*a*) art.pr · lat.t.r · djb · Meck.c · per

(*b*) djb · lat.t.r · art.pr · Meck.c · rid

(*c*) per · djb · Meck.c · rid

(*d*) lat.t.r · djb · rid

(*e*) art.proc · djb · per · vas.can

(a) pgl.pr 't' Meck.c

art.cot mand.spl

(b) 't'

mand.add.fo gr.mand.spl

(c) 't' pgl.pr

sym.fos Meck.c

(d) 't'

(e) sym.fos

(f) pgl.pr art.cot

(h) 't' Meck.c

(g) Meck.c inn.lay out.lay 't' mand.spl