1 **Improved analyses of GWAS summary statistics by reducing data heterogeneity and**
2 **errors**
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Abstract

Summary statistics from genome-wide association studies (GWAS) have facilitated the
development of various summary data-based methods, which typically require a reference
sample for linkage disequilibrium (LD) estimation. Analyses using these methods may be biased
by errors in GWAS summary data and heterogeneity between GWAS and LD reference. Here we
propose a quality control method, DENTIST, that leverages LD among genetic variants to detect
and eliminate errors in GWAS or LD reference and heterogeneity between the two. Through
simulations, we demonstrate that DENTIST substantially reduces false-positive rate (FPR) in
detecting secondary signals in the summary-data-based conditional and joint (COJO) association
analysis, especially for imputed rare variants (FPR reduced from >28% to <2% in the presence
of heterogeneity between GWAS and LD reference). We further show that DENTIST can improve
other summary-data-based analyses such as fine-mapping analysis, and integrative analysis of
GWAS and expression quantitative trait locus data.

Introduction

Genome-wide association studies (GWASs) have been extraordinarily successful in uncovering genetic variants associated with complex human traits and diseases[1,2]. Summary statistics available from GWASs have facilitated the development of various summary-data-based methods[3] such as those for fine-mapping[4-11], imputing summary statistics at untyped variants[12,13], estimating SNP-based heritability[14-16], assessing causal or genetic relationship between traits[17-19], prioritizing candidate causal genes for a trait[20-23], and polygenetic risk prediction[8,24,25]. Most of the summary-data-based methods require linkage disequilibrium (LD) structure of the variants used, which are not available in the summary data but can be estimated from a reference cohort with individual-level genotypes, assuming a homogeneous LD structure between the GWAS and reference cohorts. Hence, summary-data-based analyses can be biased by not only errors in the GWAS and LD reference data sets but also differences between them for the following reasons. First, there are often errors in GWAS summary statistics resulting from data generation and analysis processes (e.g., genotyping/imputation errors and genetic variants with mis-specified effect alleles)[26,27], some of which are not easy to detect, even if individual-level data are available. Second, there is often heterogeneity between data sets (e.g., between the discovery GWAS and LD reference) because of differences in ancestry, and genotyping platform, imputation quality, and analysis pipeline. Although the recommended practice is to use an ancestry-matched reference cohort, samples with similar ancestries, such as populations of European ancestry, can still have discernable differences in LD structure[28], and the effects of such differences on summary-data-based analyses are largely unexplored.

In this study, we propose a quality control (QC) method to identify errors in GWAS summary data and heterogeneity between summary data and LD reference by testing the difference between the observed z-score of each variant and its predicted value from the surrounding variants. The method has been implemented in a software tool named DENTIST (detecting errors in analyses of summary statistics). We show by simulation that DENTIST can effectively detect simulated errors of several kinds after standard QC. We then demonstrate the utility of DENTIST as an additional QC step for multiple, frequently-used, summary data-based methods, including the conditional and joint analysis (COJO)[6] of summary statistics, FINEMAP[9], LD score regression[14], and heterogeneity in dependent instruments (HEIDI) test[23].

Results

Overview of the DENTIST method

Details of the methodology can be found in the Methods section. In brief, we first use a sliding window approach to divide the variants into 2Mb segments with a 500kb overlap between two

65   adjacent segments. Within each segment, we randomly partition variants into two subsets, S1

66   and S2, with an equal number of variants, and apply the statistic below to test the difference

67   between the observed z-score of a variant i (z_i) in S1 and its predicted value ($\tilde{z}_i$) based on z-

68   scores of an array of variants t in S2 (**Methods**).

$$T_{d(i)} = \frac{(z_i - \tilde{z}_i)^2}{1 - \mathbf{R}_{it}\mathbf{R}_{tt}^{-1}\mathbf{R}_{it}'} \text{ with } \tilde{z}_i = \mathbf{R}_{it}\mathbf{R}_{tt}^{-1}\, z_t \tag{1}$$

where z_t is a vector of z-scores of variants t in S2, and **R** is the LD correlation matrix calculated

from a reference sample with $\mathbf{R}_{tt}$ being the LD between variants t and $\mathbf{R}_{it}$ being the LD between

variant i and variants t. Note that methods that leverage LD to predict GWAS test statistic of a

variant (i.e., $\tilde{z}_i$) from test statistics of its adjacent variants (i.e., z_t) have been developed in prior

work[12,13]. T_d follows approximately a χ^2 distribution with 1 degree of freedom. We denote *P*-

value calculated from T_d by P_{DENTIST}. A significant difference between the observed and predicted

z-scores indicates error(s) in the discovery GWAS or LD reference, and/or heterogeneity

between them. If the difference between z_i and $\tilde{z}_i$ is due to error in z_i, the power of T_d depends

on how z_i deviates from its true value and how well variant *i* is tagged by variants t. Following

prior work[15], we use the truncated singular value decomposition (SVD) method to compute a

pseudo inverse of $\mathbf{R}_{tt}$ to improve computational stability (**Methods**).

One challenge for the DENTIST method is that errors can be present in both S1 and S2, and

errors in S2 can inflate T_d statistics of the variants in S1. To mitigate this issue, we propose an

iterative variant partitioning approach (**Methods**). In each iteration, we partition the variants

into two subsets (S1 and S2) at random, remove variants with $P_{\text{DENTIST}} < 5\times10^{-8}$ from the variant

group with $P_{\text{GWAS}} > 0.01$, and apply the same proportion of variants removed to the variant group

with $P_{\text{GWAS}} < 0.01$ (note: the proportion of variants removed is capped at 0.5% in each group).

This step is to create a more reliable set of variants for the next iteration. The problematic

variants are prioritized and filtered out in the first few iterations so that the prediction of $\tilde{z}_i$

(Equation 1) becomes more accurate in the following iterations. We set the number of iterations

to 10 in practice. All variants with $P_{\text{DENTIST}} < 5\times10^{-8}$ are removed in the final step without the

constraints.

Detecting simulated errors in GWAS data after standard QC

To assess the performance of DENTIST in detecting errors, we simulated GWAS data with

genotyping errors and allelic errors (i.e., variants with the effect allele mis-labelled) using whole

genome sequence (WGS) data on chromosome 22 of 3,642 unrelated individuals from the

UK10K project after QC[29,30] (denoted by UK10K-WGS). A descriptive summary of the data sets

used in this study can be found in **Supplementary Table 1** and the Methods section. We

performed standard QC on the imputed data to exclude variants with an imputation INFO score

< 0.3 or a Hardy-Weinberg Equilibrium (HWE) *P*-value <10^{-6}. We simulated a trait affected by 50

common, causal variants with effects drawn from $N(0,1)$, which together explained 20% of the

phenotypic variation (proportion of variance explained by a causal variant, denoted by q^2, was

0.4%, on average). Prior to the simulations with errors, we performed a benchmark simulation

(i.e., simulating a scenario without errors and applying DENTIST using the discovery GWAS

sample as the LD reference) to show that the DENTIST test statistics were well calibrated, given

the small fraction of variants removed by DENTIST in each simulation replicate and the small

proportion of causal variants removed across simulation replicates, in the absence of errors and

LD heterogeneity (**Supplementary Figure 1**).

We then simulated genotyping and allelic errors at 0.5% randomly selected variants

respectively. Genotyping errors of each of these variants were simulated by altering the

genotypes of a certain proportion (f_{error} = 0.05, 0.1 or 0.15) of randomly selected individuals, and

allelic error of each of the variants was introduced by swapping the effect allele by the other

allele. The simulation was repeated 200 times with the causal and erroneous variants re-

sampled in each simulation. We then ran DENTIST using UK10K-WGS or an independent sample

(UKB-8K-1KGP) as the LD reference after standard QC of the discovery GWAS: removing

variants with an HWE P-value < 10^{-6} using the individual-level data or ΔAF > 0.1 where ΔAF is

the difference in allele frequency (AF) between the summary data and reference sample. The

independent sample UKB-8K-1KGP refers to a set of 8,000 unrelated individuals from the UK

Biobank[31] (UKB) with variants imputed from the 1000 Genomes Project (1KGP). The statistical

power (sensitivity) was measured by the proportion of erroneous variants in the data that can

be detected by DENTIST. We also computed the fold enrichment in probability of an erroneous

variant being detected by DENTIST compared to a random guess (i.e., the ratio of the percentage

of true erroneous variants in the variants detected by DENTIST to that in all variants).

When using UKB-8K-1KGP as the reference, ~45% of the genotyping and ~95% of the allelic

errors could be removed by the standard QC (**Supplementary Table 2**). After the standard QC,

DENTIST was able to detect ~27% of the remaining genotyping and ~73% of the remaining

allelic errors (**Figure 1** and **Supplementary Table 3**), with only ~0.2% variants being removed

in total (**Supplementary Table 4**). The fold enrichment of errors in the removed variants was

366 for allelic errors and of 137 for genotyping errors (**Supplementary Table 5**). Notably, the

power to detect allelic errors was ~73% for variants with MAF > 0.45, compensating the low

power of the ΔAF approach in this MAF range (~16%). When restricted to variants passing the

genome-wide significance level (i.e., P_{GWAS} < 5×10^{-8}), the power of DENTIST increased to ~93%

136 for the genotyping errors and 100% for the allelic errors (**Supplementary Table 3**). The power

137 also varied with the genotyping error rate (f_{error}), e.g., the power in the f_{error}=0.05 scenario was,

138 on average, lower than that for f_{error}=0.15 (**Figure 1c** and **Supplementary Table 3**). When using

139 UK10K-WGS as the reference (mimicking the application of DENTIST in a scenario where

140 individual-level data of the discovery GWAS are available), the power remained similar, but the

141 fold enrichment was much higher compared to that using UKB-8K-1KGP (**Supplementary**

142 **Tables 5** and **6**). The percentage of causal variants removed from DENTIST was 0.19% using in-

143 sample LD from UK10K-WGS, <1% using out-of-sample LD from UKB-8K-1KGP

144 (**Supplementary Table 4**). Moreover, using the same simulation setting, we explored the choice

145 of the parameter θ_k (i.e., the proportion of eigenvectors retained in the truncated SVD method;

146 see **Methods** for details) and reference sample size (n_{ref}); the results suggested that a choice of

147 θ_k=0.5 and $n_{ref} \geq 5000$ is appropriate in practice (**Supplementary Figure 2**). In addition, the

148 power of DENTIST to detect genotyping and allelic errors was robust to the randomness of

149 variant splitting (**Supplementary Figure 3**), or whether the GWAS analysis was corrected for

150 the variant-derived principal components in the presence of population stratification effect

151 (**Supplementary Figure 4**). Altogether, these results demonstrate the power of DENTIST to

152 identify allelic and genotyping errors after the standard QC, suggesting that DENTIST can

153 complement existing QC filters for either individual- or summary-level GWAS data. Nevertheless,

154 we acknowledge that this simulation did not cover the full complexity of real case scenarios,

155 which may involve multiple independent samples with heterogeneous LD structures caused by

156 several factors, such as imputation errors or ancestry mismatches (**Supplementary Figure 5**).

157 These cases are difficult to mimic in this simulation but will be assessed in the following

158 analyses.

160 **Applying DENTIST to COJO with simulated phenotypes**

161 COJO is a method that uses GWAS summary statistics and reference LD to run a conditional and

162 joint multi-SNP regression analysis[6]. We used simulations to assess the performance of COJO in

163 the presence of heterogeneity between discovery GWAS and LD reference samples before and

164 after DENTIST filtering. To mimic the reality that causal signals are often not perfectly captured

165 by imputed variants, we simulated a phenotype affected by one or two sequence variants using

166 WGS data (i.e., UK10K-WGS) and performed association analyses using imputed data of the same

167 individuals (imputing 312,264 variants, in common with those on an SNP array, to the 1KGP[30,32];

168 denoted by UK10K-1KG). More specifically, we first randomly selected one or two sequence

169 variants with MAF≥0.01 (denoted by common-causal) or 0.01>MAF≥0.001 (denoted by rare-

170 causal) from a locus as causal variant(s) to generate a phenotype (note: MAF > 0.001 is

171 equivalent to minor allele count > 7 in this sample). The proportion of phenotypic variance

explained by the causal variant (denoted by q^2) was set to 2% to achieve similar power to a
scenario with q^2 = 0.03% and n = 250,000 (note: the mean q^2 of 697 height variants discovered
in Wood et al.[33] is 0.03%) because the power of GWAS is determined by $nq^2/(1 - q^2)$. Then, we
ran a GWAS using UK10K-1KGP and performed COJO analyses using multiple LD references,
including the discovery GWAS sample (i.e., UKB-8K-1KGP), the Health Retirement Study (HRS)[34],
and the Atherosclerosis Risk In Communities (ARIC) study[35], with different degrees of ancestral
differences with UK10K-1KGP (**Supplementary Figure 6**). For a fair comparison, only the
variants shared between these reference samples were included. We repeated both the
common-causal and rare-causal simulations 100 times for each autosome and computed the
false-positive rate (FPR, i.e., the frequency of observing two COJO signals in the scenario where
there was only one causal variant) and power (the frequency of observing two COJO signals in
the scenario where there were two distinct causal variants with LD r^2 <0.1 between them). It
should be noted that the false-positive COJO signals defined here are not false associations but
falsely claimed as jointly associated (also known as quasi-independent) signals.
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When using the discovery GWAS sample as the LD reference, the FPR of COJO was 0.1% for
common-causal and 0.2% for rare-causal (**Figure 2** and **Table 1**), which can be regarded as a
baseline for comparison as there was no data heterogeneity in this case. The FPRs were higher
than the expected values because the causal variants were not perfectly tagged by the imputed
variants (**Supplementary Table 7**). When using UKB-8K-1KGP (i.e., 1KGP-imputed data of
8,000 UKB participants with similar ancestry to the UK10K participants as shown in
Supplementary Figure 6) as the LD reference, the FPR was close to the benchmark for
common-causal (1%) and slightly inflated for rare-causal (2.7%) (**Figure 2**). After DENTIST
filtering (using UKB-8K-1KGP as the LD reference), the FPR for rare-causal decreased to 1.6%.
When using LD computed from European-American individuals in HRS or ARIC, the FPR of COJO
was strongly inflated in the whole MAF range: >7% for common-causal and >28% for rare-
causal, likely because of the difference in ancestry between HRS/ARIC and UK10K-1KGP.
DENTIST can effectively control the FPR of COJO to <1% for common-causal and <3.5% for rare-
causal (**Figure 2** and **Table 1**). We performed additional simulations with three causal variants
at a locus and observed similar patterns of changes in FPR before and after DENTIST QC
(**Supplementary Figure 7**). Taken together, the FPR of COJO was reasonably well controlled for
common variants but substantially inflated for rare variants, especially when there was a
difference in ancestry between the GWAS and LD reference samples, and most of the false-
positive COJO signals could be removed by DENTIST.
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The power of COJO (without DENTIST) using in-sample LD from UK10K-1KGP or out-of-sample

LD from the other references were similar: 77-80% for common-causal and 26-30% for rare-

causal (**Table 1**). The low power for rare causal variants was because they were poorly captured

by imputation (**Supplementary Table 7**). DENTIST filtering caused a <1% loss of power for

common-causal and 3-4% for rare-causal (**Table 1**), which is acceptable because the reduction

in FPR was larger than that in power, especially for rare variants.

Applying DENTIST to COJO for real phenotypes

Having assessed the performance of DENTIST in COJO analyses by simulation, we then applied it

to COJO analyses for height in the UKB. The height GWAS summary statistics ($n = 328,577$) were

generated from a GWAS analysis of all the unrelated individuals of European ancestry in the UKB

(denoted by UKBv3-329K) except 20,000 individuals (denoted by UKBv3-20K), deliberately left-

out to be used as a non-overlapping LD reference. Genotype imputation of the UKB data was

performed by the UKB team with most of the variants imputed from the Haplotype Reference

Consortium (HRC)[36]. We performed COJO analyses with a host of references: overlapping in-

sample references with sample sizes (n_{ref}) varying from 10,000 to 150,000, non-overlapping in-

sample references including UKBv3-8K ($n = 8,000$) and UKBv3-20K (containing UKBv3-8k), and

out-of-sample references including ARIC and HRS (**Supplementary Table 1**). We excluded from

the analysis variants with MAF < 0.001 to ensure sufficient minor alleles for rare variants in

reference samples with n_{ref} < 10k. We first performed a COJO analysis using the actual GWAS

sample as the reference and identified 1,279 signals from variants with MAFs >0.01, and 1,310

signals from variants with MAFs > 0.001 (**Table 2**). These results can be regarded as a

benchmark. When using the overlapping in-sample LD references, the number of COJO signals

first decreased as n_{ref} increased and then started to stabilize when n_{ref} exceeded 30,000

(**Supplementary Figure 8**). The results from using the two non-overlapping in-sample

references (UKBv3-8K and UKBv3-20K) were comparable to those from using the overlapping

in-sample references with similar sample sizes (**Table 2** and **Supplementary Table 8**) because

the non-overlapping in-sample references, despite being excluded from the GWAS, were

consistent with the GWAS sample with respect to ancestry, data collection, and analysis

procedures.

When using LD from an out-of-sample reference (either HRS or ARIC), there was substantial

inflation in the number of COJO signals compared to the benchmark (by 15.5-16.1% for common

variants and 18.7-25.6% for all variants), with a few variants in weak LD with those identified

from the benchmark analysis (**Supplementary Figure 9**). The results from using the two out-of-

sample references became more consistent with the benchmark after DENTIST filtering, with the

inflation reduced to 5.0-7.2% for common variants and 3.9-4.4% for all variants, comparable to

the results using an in-sample LD reference with a similar sample size (**Table 2**). Polygenic score

analysis shows that the reduction in the number of COJO signals owing to DENTIST QC had

almost no effect on the accuracy of using the COJO signals to predict height in HRS

(**Supplementary Table 9**), suggesting the redundancy of the signals removed by DENTIST. We

further found that compared to using the imputed data from ARIC or HRS, using UK10K-WGS

($n = 3,642$) as the reference showed lower inflation (10.9% for common variants and <8.5% for

all variants) before DENTIST QC but larger inflation after DENTIST QC (**Table 2**), suggesting a

large reference sample size is essential even for WGS data. In all the DENTIST analyses above,

the total number of removed variants varied from 0.03% to 1.4% (**Supplementary Table 10**).

All these results are consistent with what we observed from simulations, demonstrating the

effectiveness of DENTIST in eliminating heterogeneity between GWAS and LD reference

samples.

We further applied DENTIST to Educational Attainment (EA), Coronary Artery Disease (CAD),

Type 2 Diabetes(T2D), Crohn's Disease (CD), Major Depressive Disorder (MDD), Schizophrenia

(SCZ), Ovarian Cancer (OC), Breast Cancer (BC), Height, and Body Mass Index (BMI) using GWAS

summary data from the public domain[37-45] (**Supplementary Table 11**) and three LD reference

samples (i.e., ARIC, HRS, and UKBv3-8K). Since these published studies focus on common

variants (rare variants are not available in most of the data sets), we used an MAF threshold of

0.01 in this analysis. When using ARIC as the LD reference, the proportion of variants removed

by DENTIST QC ranged from 0.07% (BMI) to 0.86% (CAD) with a median of 0.21%

(**Supplementary Table 12**), and the reduction in the number of COJO signals for common

variants ranged from 0% (OC and MDD) to 12.5% (CAD) with a median of 1.6%

(**Supplementary Table 13**). The results from using the other two references were similar

(**Supplementary Table 12** and **13**).

Improved FINEMAP analysis by DENTIST

FINEMAP[9] is a method used for prioritizing causal variants from GWAS summary statistics. We

assessed the effect of DENTIST QC on an FINEMAP analysis using the data simulated above with

one, two or three common causal variants at a 1-Mb locus. The impact of the use of an out-of-

sample LD reference on FINEMAP was evaluated by the estimated number of causal variants

before or after DENTIST QC, in comparison with the benchmark analysis using in-sample LD. We

observed that FINEMAP using out-of-sample LD tended to overestimate the number of causal

variants (**Supplementary Figures 10** and **11**). For example, given a posterior inclusion

probability threshold of 95%, FINEMAP with out-of-sample LD from the HRS cohort provided

the correct number of causal variants in 78.4%, 49.8%, and 44.8% of the simulation replicates in the one-, two-, and three-causal scenarios, respectively (**Figure 3a**). After DENTIST QC, the proportions of times when FINEMAP identified the correct number of causal variants increased substantially to 87.3%, 78.5%, and 63.4% in the one-, two-, and three-causal scenarios, respectively, almost comparable to the corresponding proportions (i.e., 91.4%, 87.2%, and 68.2%) from the benchmark analysis (**Figure 3a**). The results remained similar when using ARIC as the LD reference (**Figure 3b**). These results demonstrate a substantial improvement of the performance of FINEMAP after DENTIST QC.

HEIDI test with DENTIST

The summary data-based Mendelian randomization (SMR) is a method that integrates summary-level data from a GWAS and an expression quantitative trait loci (eQTL) study to test pleiotropic associations between a trait and expression levels of genes[23]. It features the HEIDI test that utilizes multiple cis-eQTL variants at a locus to distinguish pleiotropy (the trait and expression level of a gene are affected by the same causal variants) from linkage (causal variants for the trait are in LD with a distinct set of causal variants affecting gene expression). The HEIDI test uses summary data from two studies and LD from a reference so that any errors in and heterogeneity between the GWAS, eQTL and reference samples can cause inflated HEIDI test statistics, giving rise to more SMR associations being rejected than expected by chance[23,46]. Here, we performed simulations to assess the effect of data heterogeneity on HEIDI and sought to mitigate it using DENTIST. We first generated a trait based on a causal variant (q^2 = 1%) randomly sampled from the variants on chromosome 22 in the ARIC data. To simulate a pleiotropic model, we used the same causal variant to simulate the expression level of a gene in a subset of the HRS data (n = 3,000; denoted by HRS-3K) with q^2 for the gene expression level randomly sampled from the eQTL q^2 distribution reported by the Consortium for the Architecture of Gene Expression (CAGE)[47]. To simulate a linkage model, a second causal variant in LD with the trait causal variant ($0.25 < r^2 \leq 1$) was selected to generate the gene expression level, again with the eQTL q^2 value sampled from the CAGE. In addition to the two-sample scenario above, we also simulated a one-sample scenario in which both the trait and gene expression level were generated in the HRS-3K sample. The UKB-8K-1KGP sample was used as the LD reference for both the SMR-HEIDI and DENTIST analyses. For each scenario, the simulation was repeated 4,000 times with the causal variants re-sampled in each replicate. The FPR of the HEIDI test was calculated as the proportion of pleiotropic models detected with P_{HEIDI} < 0.05, and the power was defined as the proportion of linkage models detected with P_{HEIDI} < 0.05.

We found that the FPR of HEIDI was close to the expected value (5%) in the one-sample scenario (5.8%) but inflated (9.8%-12.0%) in the two-sample scenario (**Figures 4a**). We then performed DENTIST in both the GWAS and eQTL summary data using UKB-8K-1KGP as the reference. After DENTIST filtering, the FPRs of HEIDI in the two-sample scenario decreased to 8.4%-9.2%; the changes were small but consistent across scenarios (**Figure 4a**). On the other hand, the power of HEIDI to detect the linkage model remained almost the same before and after DENTIST filtering (**Figure 4b**). All these results suggest that DENTIST slightly improved the FPR of HEIDI in the presence of data heterogeneity at almost no expense of power and that in the presence of heterogeneity between the GWAS and eQTL samples, HEIDI tends to be conservative (rejecting more SMR associations than expected by chance) even after DENTIST filtering.

LD score regression analysis with DENTIST

LD score regression (LDSC) is an approach which was originally developed to distinguish polygenicity from population stratification in GWAS summary data set by a weighted regression of GWAS χ^2 statistics against LD scores computed from a reference[14] but has often been used to estimate the SNP-based heritability (h^2_{SNP}). We investigated the impact of DENTIST on LDSC using the height GWAS summary data generated using the UKBv3-329K sample along with several reference samples including four imputation-based samples (i.e., the discovery GWAS sample, HRS, ARIC and UKB-8K-1KGP) and two WGS-based samples (i.e., UK10K-WGS and the European individuals from the 1KGP (1KGP-EUR)). We performed the one- and two-step LDSC analyses using LD scores of the variants, in common with those in the HapMap3, computed from each of the references before and after DENTIST-based QC. Note that DENTIST was performed for all common variants but only those overlapped with HapMap3 were included in the LDSC analyses.

Using the discovery GWAS sample as the reference, the estimates of h^2_{SNP} and regression intercept from the one-step LDSC were 46% (SE = 0.02) and 1.13 (SE = 0.04) respectively (**Supplementary Table 14**). When using the other reference samples, the results were very close to the benchmark except for a noticeably larger estimate of regression intercept using HRS (1.24, SE = 0.04). After DENTIST filtering, the intercept estimate using HRS decreased to 1.18 (SE = 0.04) with little difference in $\hat{h}^2_{SNP}$ (increased from 45% to 47%) (**Supplementary Table 14**). To better understand the effect of DENTIST QC on LDSC using HRS, we plotted the mean χ^2-statistic against the mean LD score across the LD score bins. We found that the GWAS mean χ^2-statistic in the bin with the smallest mean LD score deviated from the value expected from a linear relationship between the LD score and χ^2-statistic (**Supplementary Figure 12**), and the deviation was removed by filtering out a small proportion of variants with very small LD scores

but large χ^2-statistic by DENTIST (**Supplementary Figure 13**). These results show how the quality of LD reference can impact the LDSC analysis, which is typically unknown *a priori* and varied across different reference samples. We also re-ran the analyses using the two-step LDSC, where the intercept was estimated using the variants with χ^2 values < 30 in the first step and constrained in the second step to estimate h^2_{SNP} using all the variants. Compared to the one-step approach, the two-step approach provides larger estimates of the intercepts and smaller estimates of h^2_{SNP} either before or after DENTIST filtering. In addition, we applied LDSC to the 10 published GWAS data sets mentioned above (**Supplementary Table 11**) using ARIC, UKB-8K-1KG and UK10K-WGS as the reference. The improvement of LDSC by DENTIST QC was small particularly for traits whose LDSC intercepts were close to 1 before DENTIST QC (**Supplementary Table 15**), demonstrating the robustness of LDSC to data heterogeneity and errors.

Discussion

In this study, we developed DENTIST, a QC tool for summary data-based analyses, which leverages LD from a reference sample to detect and filter out problematic variants by testing the difference between the observed z-score of a variant and a predicted z-score from the neighboring variants. From simulations and real data analyses, we show that some of the commonly used analyses can be biased to various extents in the presence of data heterogeneity, e.g., inflated number of COJO signals, overestimated number of causal variants from FINEMAP, or elevated rate of rejecting pleiotropic models for SMR-HEIDI. For most of these analyses, DENTIST-based QC can mitigate the biases to some extent.

Our results suggest that summary-data-based analyses are generally well calibrated in the absence of data heterogeneity but biased otherwise despite the use of multiple standard QCs. For example, heterogeneity between the discovery GWAS and LD reference caused inflated FPR of both COJO and SMR-HEIDI analyses (**Table 2** and **Figure 4**). Also, we found that the FPR of COJO for rare variants was much higher than that for common variants likely because rare variants are more difficult to impute such that they are more likely to have discrepancy in LD between two imputed data sets. It should be clarified that the false-positive COJO signals as defined here are not false associations but falsely claimed as jointly significant associations. DENTIST substantially reduced false-positive detections from COJO analyses, especially for rare variants, even when there was a difference in ancestry between the GWAS and LD reference samples. This extends the utility of COJO, which was originally developed for common variants, to rare variants. This message is important for the field because more and more GWASs and meta-analyses have started to include imputed/sequenced rare variants. It is also encouraging

to see the substantial improvement of FINEMAP after DENTIST QC, implying the utility of

DENTIST for fine-mapping methods. As for the HEIDI method, the FPR was only marginally

reduced by DENTIST but at almost no cost of power. It should also be clarified again that the

inflated HEIDI test-statistics would not lead to false discoveries because higher HEIDI test-

statistics correspond to higher probability of rejecting SMR associations rather than tending to

claim more significant associations. Among all the methods tested, LDSC was the least affected

by errors or data heterogeneity (**Figure 4a**). In general, improvement of a summary data-based

analysis before and after DENTIST QC depends on how susceptible the methods are to errors

and LD heterogeneity. Methods based on signed LD correlations are more prone to errors and

LD heterogeneity than those based on unsigned LD correlations or LD scores. For instance, COJO

is relatively susceptible to errors and LD heterogeneity because it relies on signed LD

correlations in a multiple regression framework. Suppose the effect allele of an SNP is

mislabeled (equivalent to the effect size changed to the opposite direction) in the summary data.

In that case, the effect sizes of the SNP and another SNP in LD with it will be heavily

overestimated in a joint or conditional analysis because the input effect sizes and LD pattern

appear to suggest that the effects of the two SNPs mask each other in the marginal analysis. Even

in the absence of errors in the summary data, the joint or conditional effects of two SNPs in LD

can be estimated with substantial biases in the presence of large LD heterogeneity between the

discovery and the reference. Compared to common variants, rare variants are less well imputed

and thus are more likely to have LD heterogeneity between data sets, which may explain the

remarkable improvement of COJO for rare variants before and after DENTIST QC. DENTIST has

the unique feature to detect heterogeneity between a GWAS summary data set and an LD

reference. The benefit of using DENTIST as a QC tool has been demonstrated in the four case

studies above, but we believe that it can potentially be applied to all GWAS summary data-based

analyses that require a LD reference such as other fine-mapping methods[7,48], and joint modeling

of all variants for polygenic risk prediction[24,25,49]. In addition to dealing with data heterogeneity,

the power of DENTIST also comes from detecting genotyping or imputation errors that passed

standard QCs, making it a complementary method to existing QC methods.

Given that a QC step can potentially remove true signals, we make sure that DENTIST is

conservative in filtering variants. We show by simulation that in the absence of errors and data

heterogeneity, the DENTIST test statistics were not inflated, and on average, only <0.05%

variants were filtered out by DENTIST (**Supplementary Figure 1**). In practice, we implemented

an iterative variant partitioning approach to avoid widespread inflation of the DENTIST

statistics in the presence of data errors or heterogeneity. Throughout all the analyses performed

in this study, we found in no cases DENTIST degraded the results, and DENTIST often only

needed to remove a very small proportion of the variants to correct or alleviate the biases

(**Supplementary Tables 4, 10, 12, 14**).

Our method is an early attempt at QC for summary-data-based analyses. To avoid misuse, we

summarize the usages and limitations, in addition to the features mentioned above. First,

DENTIST is a QC method for detecting not only errors in summary data but also heterogeneity

between discovery and reference data. As shown in our simulation, DENTIST does not guarantee

to filter all the errors but most of them with large GWAS z-scores and a large proportion of them

with small z-scores. Second, DENTIST can identify errors that passed the standard QC

approaches (such as HWE test and allelic frequency checking), which makes it a complementary

method to existing QC filters. We suggest that DENTIST-based QC should be applied after the

standard QC as DENTIST is more powerful when the proportion of errors is smaller

(**Supplementary Table 3**). Third, regarding the choice of a reference sample, DENTIST expects

unrelated individuals from a closely matched ancestry, with a large sample size ($n > 5,000$).

Third, prior work suggests that n_{ref} needs to scale with GWAS sample size[50]. We have quantified

the performance of DENTIST with respect to reference sample size (n_{ref}) and shown that a

reference sample size of >5000 is recommended and the relationship between the performance

of DENTIST and n_{ref} does not seem to depend on GWAS sample size (**Supplementary Figure 2**).

Fourth, we may use DENTIST to choose from multiple LD reference samples the best matching

reference for an analysis that requires reference LD. It can also be used as a diagnostic tool for

sanity check of the GWAS summary data from a meta-analysis to identify potential issues in the

contributing data sets or the analysis pipeline. Lastly, DENTIST assumes the test statistics of

different variants have similar sample sizes; violation of this assumption will lead to variants

with significantly smaller or larger sample sizes being recognized as problematic variants by

DENTIST.

In summary, we have proposed a new QC approach to improve summary-data-based analyses

that are potentially affected by errors in GWAS summary or LD reference data or heterogeneity

between data sets. This method has been implemented in a user-friendly software tool DENTIST.

The software tool is multi-threaded so that it is computationally efficient when enough

computing resources are available. For example, when running each chromosome in parallel, it

took <1h to run DENTIST with 4 CPUs for all variants with MAF > 1% and <5h for all variants

with MAF > 0.01% (**Supplementary Table 16**).

Methods

The DENTIST test-statistic

Given an ancestrally homogeneous sample and a genotype matrix $\mathbf{X}$ consisting of n unrelated individuals genotyped or imputed at m variants, an association analysis is carried out at each variant by performing a linear regression between the variant and a phenotype of interest. This provides a set of summary data that include the estimate of variant effect, the corresponding standard error, and thereby the z-statistic. Under the null hypothesis of no association, the z-scores of m variants follow a multivariate normal distribution, $\mathbf{Z} \sim MVN(\mathbf{0}, \mathbf{\Sigma})$ with $\mathbf{Z} = (Z_1, Z_2, \ldots, Z_m)$, with $\mathbf{\Sigma}$ a LD correlation matrix of the variants.

The aim of this method is to test the difference between the z-statistic of a variant and that predicted from adjacent variants. To do this, we use a sliding window approach to divide genome into 2Mb segments with a 500kb overlap between one another and randomly partition the variants in a segment into two subsets, S1 and S2, with similar numbers of variants. We then use variants in S2 to predict those in S1 and vice versa. According to previous studies[12,13], under the null hypothesis of no association, the distribution of z-statistic of a variant i from S1, conditional on the observed z-scores of a set of variants from S2 is

$$Z_i | \mathbf{Z}_t = \mathbf{z}_t \sim N(\mathbf{\Sigma}_{it} \mathbf{\Sigma}_{tt}^{-1} \mathbf{z}_t, \ \Sigma_{ii} - \mathbf{\Sigma}_{it} \mathbf{\Sigma}_{tt}^{-1} \mathbf{\Sigma}'_{it}) \tag{1},$$

where $\mathbf{\Sigma}_{it}$ denotes the correlation of z-scores between variant i from S1 and variants t from S2, and $\mathbf{\Sigma}_{tt}$ is the correlation matrix of variants t. We use the correlation matrix calculated from an ancestry-matched reference sample (denoted by $\mathbf{R}$) to replace that in the discovery sample if individual-level genotypes of in the discovery GWAS are unavailable. In this case, **Equation 1** can be rewritten as

$$Z_i | \mathbf{z}_t \sim N(\mathbf{R}_{it} \mathbf{R}_{tt}^{-1} \mathbf{z}_t, \mathbf{1} - \mathbf{R}_{it} \mathbf{R}_{tt}^{-1} \mathbf{R}'_{it}) \tag{2}.$$

As shown in previous studies[12,13], $E(Z_i | \mathbf{z}_t)$ can be used to predict Z_i, i.e., $\tilde{z}_i = \mathbf{R}_{it} \mathbf{R}_{tt}^{-1} \mathbf{z}_t$. In a special case when there is only one variant in vector t, this model is the same as the conditional model in CAVIAR[11]. Hence, we can use the statistic below to test the difference between the observed and predicted z-scores

$$T_{d(i)} = (z_i - \mathbf{R}_{it} \mathbf{R}_{tt}^{-1} \mathbf{z}_t)^2 / (\mathbf{1} - \mathbf{R}_{it} \mathbf{R}_{tt}^{-1} \mathbf{R}'_{it}) \tag{3}$$

which approximately follows a χ^2 distribution with 1 degree of freedom. A deviation of $T_{d(i)}$ from χ_1^2 can be attributed to 1) errors in the summary data; 2) errors in the reference data; or 3) heterogeneity between the two data sets. These are likely to be the major but not the only factors that cause a discrepancy between the observed and imputed z-statistics, and other factors such as covariate-adjustment may also lead to a difference.

Using **Equation 3**, the test statistic T_d can be calculated for each variant in S1 given z-scores

from S2. As in previous studies[12,13], the method is derived under the null hypothesis without

imposing any specific assumption about the genetic architecture of the trait, although our

simulations show that the method performs well under the alternative hypothesis

(**Supplementary Figure 1**). The exact model (not practically usable) for predicting the z-

statistic under the alternative and the difference between the exact and approximate models can

be found in the **Supplementary Note**. We show by numerical calculation that under the

alternative, the test-statistics computed based on the approximate model were highly consistent

with those based on the exact model (**Supplementary Figure 14**). Furthermore, we assessed

DENTIST under a host of MAF- and LD-dependent architectures, ranging from oligogenic (m_{causal}

= 50) to highly polygenic models (m_{causal} = 15,000, 13.1% of all the variants) and found that the

DENTIST test was still well calibrated (**Supplementary Figure 15**).

To improve computational stability, we do not include variants in near-perfect LD with variant i

(e.g., $r^2 > 0.95$) in the variant set for predicting $\tilde{z}_i$. However, even by doing so, the LD correlation

matrix $\mathbf{R}_{tt}$ can be rank-deficient. Hence, we use a truncated singular value decomposition (SVD)

approach[15] to compute a pseudoinverse of $\mathbf{R}_{tt}$, i.e., performing an eigen decomposition of $\mathbf{R}_{tt}$

and retaining only the first k eigenvalues ranked by eigenvalues.

$$\mathbf{R}_{it}\mathbf{R}_{tt}^{-1}\mathbf{z}_t = \mathbf{R}_{it}\mathbf{R}_{tt}^{+}\mathbf{z}_t = \sum_{1..k} 1/w_k(\mathbf{R}_{it}\mathbf{v}_k)(\mathbf{v}_k'\mathbf{z}_t) \tag{4}$$

$$\mathbf{R}_{it}\mathbf{R}_{tt}^{-1}\mathbf{R}_{it}' = \mathbf{R}_{it}\mathbf{R}_{tt}^{+}\mathbf{R}_{it}' = \sum_{1..k} 1/w_k(\mathbf{R}_{it}\mathbf{v}_k)^2 \tag{5}$$

512 $\mathbf{R}_{tt}^{+}$ denotes the pseudo inversion of $\mathbf{R}_{tt}$. The scalars $w_1, ..., w_k$ correspond to the largest k

513 eigenvalues, and vectors $v_1, ..., v_k$ are the corresponding k eigenvectors. Given q = rank ($\mathbf{R}_{tt}$), the

514 suggested value of k is $k \ll q$. Let $\theta_k = k/q$. We show by simulation that $\theta_k = 0.5$ appears to be a

515 good choice, meanwhile a large reference sample size (e.g., $n_{ref} \geq 5000$) is need

516 (**Supplementary Figure 2**).

The iterative variant partitioning approach

A challenge in applying **Equation 3** to real data is that errors in $\mathbf{z}_t$ or discrepancy between $\mathbf{R}_{it}$

and $\mathbf{\Sigma}_{it}$ can affect the accuracy of predicting $\tilde{z}_i$. We propose an iterative variant partitioning

approach to mitigate this issue. That is, in each iteration, we randomly partition the variants into

two sets, S1 and S2, predict the z-statistic of each variant in S1 using its adjacent variants in S2

and vice versa, and compute a T_d statistic for each of the variants. To mitigate the problem that

variants with higher GWAS test statistics tend to have higher DENTIST test statistics, we stratify

all the variants into two groups by a GWAS cutoff p-value of 0.01 and rank the variants by P_{GWAS}

from the smallest to the largest in either group. We first apply a $P_{DENTIST}$ threshold of 5×10^{-8} to

variants with $P_{GWAS} > 0.01$ and compute the proportion of variants removed (denoted by x%).

We apply the same $P_{DENTIST}$ threshold to variants with $P_{GWAS} < 0.01$ but capped the proportion of

variants removed at the top x%. We impose an additional constraint that the proportion of

variants removed is capped at the top 0.5% in either group. The default number of iterations is

set to 10. In this iterative process, variants with large errors or LD heterogeneity between the

discovery and LD reference samples are prioritized for removal in the first few iterations so that

the prediction accuracy increases in the following iterations. After the iterations are completed,

we remove all variants with $P_{DENTIST} < 5 \times 10^{-8}$ in the final step without the constraints.

Genotype data sets

This study is approved by the University of Queensland Human Research Ethics Committee

(approval number: 2011001173) and the Westlake University Ethics Committee (approval

number: 20200722YJ001). A summary of the genotype data sets used in this study as well as their

relevant information can be found in **Supplementary Table 1**. These data are from four GWAS

cohorts of European descendants, including the Health Retirement Study (HRS)[34], Atherosclerosis

Risk in Communities (ARIC) study[35], UK10K[29], and UK Biobank (UKB)[31]. The samples were

genotyped using either WGS or SNP array technology (**Supplementary Table 1**). Imputation of

the UKB data had been performed in a previous study[51] using the Haplotype Reference

Consortium (HRC)[36] and UK10K reference panels[31,52]. We used different subsets of the imputed

UKB data as the LD reference in this study, denoted with the prefix "UKBv3", such as UKBv3-unrel

(all the unrelated individuals of European ancestry, n = 348,577), UKBv3-329K (a subset of

328,577 individuals of UKBv3-unrel), UKBv3-20K (another subset of 20,000 individuals of

UKBv3-unrel, independent of UKBv3-392K) and UKBv3-8K (a subset of 8,000 individuals of

UKBv3-20K). HRS, ARIC and UK10K cohorts were imputed to the 1KGP reference panel in prior

studies[30,32], and a subset of 8,000 unrelated individuals from UKB were imputed to the 1KGP

reference panel in this study (referred to as UKB-8K-1KGP). The UK10K variants in common with

those on an Illumina CoreExome array were used for 1KGP imputation[32]. The imputation dosage

values were converted to best-guess genotypes in all the data sets except for UKBv3-all, in which

the hard-called genotypes were converted from the imputation dosage values using PLINK2 --

hard-call-threshold 0.1 (Ref[53]). For all the data sets, standard QC was performed to remove

variants with HWE test P-value $< 10^{-6}$, imputation INFO score <0.3, or MAF < 0.001. Since the hard-

called genotypes had missing values, in UKBv3 and its subsets, we further removed variants with

missingness rate > 0.05.

Genome-wide association analysis for height using the UKB data

We performed a genome-wide association analysis for height using the genotype data of UKBv3-

329K, i.e., all the unrelated individuals of European ancestry in the UKB (n = 328,577) except for

20,000 individuals randomly selected to create a non-overlapping reference sample (i.e., UKBv3-
20K). The height phenotype was pre-adjusted for sex and age. We conducted the association
analysis using the simple linear regression model in fastGWA[54] with the first 10 principle
components (PCs) fitted as covariates.
568

569 **Data availability**
570 All the data sets used in this study are available in the public domain (**Supplementary Table 11**).
571

572 **Code availability**
573 The software tool DENTIST was written in C++ as a command-line tool. The source code and
574 pre-compiled executable for 64-bit Linux distributions are available at https://github.com/Yves-
575 CHEN/DENTIST/.
576

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Supplementary Note.

Author Contributions
JY conceived and supervised the study. WC, ZZh and JY developed the method. WC, YW, ZZh, and
JY designed the experiment. WC performed the simulations and data analyses under the
assistance and guidance from YW, ZZl, TQ, PMV, ZZh and JY. WC developed the software tool.
PMV and JY contributed funding and resources. WC and JY wrote the manuscript with the
participation of all authors. All authors reviewed and approved the final manuscript.
594

595 **Competing Interests**
596 The authors declare no competing interests.
597

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Figure 1. Detecting simulated allelic and genotyping errors using DENTIST. We assessed the power of DENTIST in detecting allelic and genotyping errors by simulation. There are three levels of genotyping error rate (f_{error}=0.15, 0.1 or 0.05), defined as the proportion of individuals with erroneous genotypes for a variant. Panel a) is a plot of the GWAS z-scores from data with simulated errors against those from data without such errors. The gray dots in the diagonal represents z-scores of variants without errors. In panel b), the DENTIST *P*-values are plotted against the absolute values of the GWAS z-scores for all the variants. The horizontal dashed line corresponds to $P = 5 \times 10^{-8}$. In panel c), to demonstrate the power in each |z-score| bin, we pooled the results from 200 simulations. Each bar plot (+/- s.e.) represents power computed from the pooled results.

Figure 2. FPR of COJO with and without DENTIST. Based on simulations with one causal variant, we assessed the FPR of COJO when performed with and without DENTIST-based QC (FPR is defined as the frequency of observing more than one COJO signal in the scenario where only one causal variant was simulated). The x-axis labels indicate the LD reference samples, and those performed after DENTIST QC are labeled with "QC" in the parentheses. Each error bar represents the standard error of the FPR calculated from 2,200 simulation replicates with the causal variant resampled in each replicate.

Figure 3. Power of FINEMAP with and without DENTIST QC. The power of FINEMAP is measured by the percentage of simulation replicates in which the number of causal variants is correctly identified under the simulation scenario with 1, 2 or 3 causal variants. Shown in panels **a** and **b** are results from using out-of-sample LD from HRS and ARIC, respectively. The horizontal dashed line in each bar plot shows the benchmark, i.e., the power of FINEMAP when using in-sample LD.

Figure 4. FPR and power of the HEIDI test with and without DENTIST QC. Shown are the

results from simulations to quantify the FPR of HEIDI under a pleiotropic model (panel **a**) and

the power of HEIDI under a linkage model (panel **b**). The text highlighted in gray on top of each

bar plot illustrates the cohorts used in the simulation study, i.e., the GWAS discovery sample, the

eQTL discovery sample, and the LD reference sample. $P_{\text{difference}}$ is to test if the FPR after QC is

significantly different that without QC. $P_{\text{difference}}$ is calculated from a posterior distribution of $k_1 \sim$

Binomial (k_T, p) with p from a prior distribution of $p \sim Beta(k_2, k_T - k_2)$, where k_T is the overall

number of simulation replicates, and k_1 and k_2 are the numbers of simulation replicates in

which the HEIDI test correctly identified the right model with and without the DENTIST-based

QC, respectively. The error bars represent the standard errors of the corresponding metrics

calculated from 4,000 simulation replicates with the causal variants re-sampled in each

replicate.

Table 1. FPR and power of COJO before and after DENTIST-based QC in simulations.

Analysis method (LD reference)	FPR (%)		Power (%)	
	Common-causal	Rare-causal	Common-causal	Rare-causal
Benchmark	0.1 ± 0.11	0.2 ± 0.16	78.8 ± 0.9	30.6±1.4
COJO without DENTIST (UKB-8K-1KGP)	1.0 ± 0.50	2.7 ± 0.50	79.0 ± 0.9	30.6±3.0
COJO with DENTIST (UKB-8K-1KGP)	0.2 ± 0.13	1.63 ± 0.43	80.0 ± 1.2	29.4±1.6
COJO without DENTIST (HRS)	7.9 ± 1.37	28.4 ± 1.37	78.5 ± 1.2	27.6±2.9
COJO with DENTIST (HRS)	0.5 ± 0.18	2.68 ± 0.55	78.8 ± 1.2	23.0±1.6
COJO without DENTIST (ARIC)	7.1 ± 1.32	28.7 ± 1.38	77.6±0.9	26.3±2.9
COJO with DENTIST (ARIC)	0.4 ± 0.17	3.50 ± 0.63	76.8 ± 1.0	23.2±1.6

762 Benchmark: COJO analysis using the discovery GWAS as the reference without DENTIST. Shown

763 are mean ± standard error.

765 **Table 2.** Numbers of COJO signals from analyses of the UKB height summary data using different

766 LD reference samples with and without DENTIST-based QC.

	Benchmark	UKBv3-20K (n = 20,000)	UKBv3-8K (n = 8,000)	HRS (n = 8,557)	ARIC (n = 7,703)	UK10K-WGS (n = 3,642)
MAF > 0.01 Without DENTIST	1,279	1,296 (1.3%)	1,337 (4.5%)	1,477 (15.5%)	1,485 (16.1%)	1,417 (10.8%)
MAF > 0.01 With DENTIST	/	1,288 (0.7%)	1,326 (3.6%)	1,344 (5.0%)	1,372 (7.2%)	1,419 (10.9%)
MAF > 0.001 Without DENTIST	1,310	1,313 (0.2%)	1,337 (2.0%)	1,555 (18.7%)	1,645 (25.6%)	1,473 (12.4%)
MAF > 0.001 With DENTIST	/	1,324 (1.0%)	1,325 (1.1%)	1,362 (3.9%)	1,368 (4.4%)	1,422 (8.5%)

767 Benchmark: COJO analysis using the discovery GWAS (UKBv3-329K) as the reference without

768 DENTIST. The inflation rate as compared to the benchmark is shown in the parentheses.