1	Bone marrow stromal cells induce an ALDH ⁺ stem cell-like phenotype in AML cells
2	through TGF-β-p38-ALDH2 pathway
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31	

32 Abstract

Mesenchymal stromal cells (MSCs) in the bone marrow (BM) microenvironment have been 33 shown to induce chemotherapy resistance in acute myeloid leukemia (AML) cells, but the 34 mechanism is not clear. We hypothesized that stromal cells induce a stem-like phenotype in 35 AML cells, thereby promoting tumorigenicity and chemotherapy resistance. We found that 36 aldehyde dehydrogenase (ALDH), an enzyme that is highly expressed in hematopoietic as well 37 38 as leukemic stem cells was dramatically activated in AML cells co-cultured with BM-MSCs mainly through upregulation of a specific isoform, ALDH2. Mechanistic studies revealed that 39 stroma-derived TGF-B1 induced an ALDH⁺ phenotype in AML cells via the non-canonical TGF-40 41 β pathway through p38 activation. Inhibition of ALDH2 using specific inhibitors significantly inhibited BM-MSC-induced ALDH activity and sensitized AML cells to chemotherapy. 42 Collectively, our data indicate that BM stroma induces a stem-like phenotype in AML cells 43 through the non-canonical TGF- β pathway. Inhibition of ALDH2 sensitizes AML cells to 44 chemotherapy. 45

46

47 Impact Statement

48 Currently there is no standard therapy for AML. In this study we identified the mechanism of
49 chemotherapy resistance in AML cells and discovered TGF-β-p38-ALDH2 signaling pathway as
50 a therapeutic target.

51 Introduction

The bone marrow microenvironment (BME) contributes to Acute Myeloid Leukemia (AML) growth and chemotherapy resistance (1, 2). Mesenchymal stromal cells (MSCs) in the bone marrow (BM) are critical for growth induction and anti-apoptotic signaling in AML (3). However, the mechanisms of stroma-mediated AML growth and chemotherapy resistance are not clear. As leukemogenesis and chemotherapy resistance are characteristics of AML stem cells, we hypothesized that the BME induces a stem cell-like phenotype in AML cells.

Several signaling pathways contribute to chemotherapy resistance in AML through induction of a high-mesenchymal stem-like cell state (4). Among them, transforming growth factor- β (TGF- β)-mediated canonical and non-canonical pathways have been well-characterized in AML cells (5-7). Inhibition of TGF- β signaling using small molecule inhibitors or receptorblocking antibodies inhibited leukemia growth and sensitized AML cells to chemotherapy (5). TGF- β signaling has cell type–specific effects and has been involved in the induction of a stem cell–like phenotype in solid tumors (8-11).

Aldehyde dehydrogenase (ALDH) is an enzyme involved in oxidizing toxic aldehydes 65 66 into neutral acids (12). ALDH activity is increased in hematopoietic stem cells and leukemia stem cells (13, 14). ALDH-positive (ALDH⁺) leukemia cells have higher tumorigenicity and 67 68 chemotherapy resistance compared to ALDH-negative cells (14, 15). Additionally, high ALDH 69 activity at diagnosis predicts relapse in a subset of AML patients (16). Among the 19 ALDH 70 isoforms identified in humans, the most prominent are ALDH1 isoforms (ALDH1A1-3, 71 ALDHB1, and ALDH1L1&2) and the ALDH2 isoform. ALDH1 family isoforms are located in 72 the cytoplasm, whereas ALDH2 is located in the mitochondria (17, 18).

In this report, we investigated the effect of BM stromal cells on AML cells, signaling
pathways activated, and therapeutic targets that contribute to chemotherapy resistance in AML

cells. We identified specific inhibitors that could be used in combination with standardchemotherapy for treatment of AML patients.

77

78 Methods

79 *Cell culture of primary MSCs, leukemia cell lines, and patient samples*

80 HL-60 cells were purchased from ATCC[®] and MOLM-13 cells were obtained from the MD

81 Anderson Cell Line core facility. Both cell lines were cultured in RPMI (Media Tech, Inc.,

82 Manassas, VA) with 10% fetal bovine serum (FBS) and 1% penicillin/streptomycin. OCI-AML3

cells were a kind gift from Dr. Mark Minden at Ontario Cancer Institute, Toronto, Canada.

84 Human MSCs were isolated from BM harvested from healthy patient donors for use in

allogeneic stem cell transplantation. All donors were consented. This study was performed

according to a protocol approved by the institutional review board of the University of Texas

87 MD Anderson Cancer Center. Tests for *Mycoplasma* contamination of MSCs are performed in

88 our laboratory every 4-6 months. Human MSCs were cultured in MSC Growth Medium 2 (Cat#

89 C-28009, PromoCell[®], Heidelberg, Germany) with 1% penicillin/streptomycin. Peripheral blood

90 mononuclear cells of patients were cultured in RPMI containing 10% FBS and 1%

91 penicillin/streptomycin.

92

93 Flow cytometry assay

Flow cytometry analysis of AML cells cultured alone or co-cultured with MSCs was performed
as described before (19). The cells were incubated with fluorochrome-conjugated antibodies for
20 minutes. The antibody conjugates used were anti-CD45 conjugated with APC (Cat# 304038,

97	BioLegend [®] , San Diego, CA) and anti-CD90 conjugated with APC/Alexafluor 750 (Cat#
98	B36121, Becton Dickinson Biosciences, Franklin Lakes, NJ). Cells were then washed with
99	phosphate buffered saline (PBS) containing 0.5 μ g/mL 4', 6-diamino-2-phenylindole (DAPI;
100	Cat# D1306, ThermoFisher Scientific, Waltham, MA) to exclude dead cells and analyzed on an
101	LSR-II Flow Cytometer (BD Biosciences). Twenty thousand events were acquired for each
102	sample. Data were analyzed by FlowJo software (FlowJo LLC, Ashland, Oregon).
103	
104	ALDH activity assay
105	ALDH assay was performed as per the ALDEFLUOR TM kit (Cat #01700, STEMCELL TM
106	Technologies, Vancouver, Canada) manufacturer's instructions. The cells were washed once
107	with ALDEFLUOR [™] buffer and stained with CD90 (to exclude MSCs during analysis) at 4°C
108	for 30 minutes, then re-suspended with 0.3 mL ALDEFLUOR [™] buffer and analyzed by flow
109	cytometry. To determine the effect of ALDH2 inhibition on total ALDH activity, the cells were
110	treated with the ALDH2 inhibitors diadzin (Cat# CS-4237, ChemScene, Monmouth Junction,
111	NJ) and CVT-10216 (Cat # SML1366-5mg, Sigma Aldrich). ALDH activity was measured as
112	described above.

113

114 Protein analysis by western blotting

115 Cells were lysed in RIPA buffer at $3 \times 10^{5}/50 \,\mu$ L density. Protein concentrations were determined

using Bradford protein assay. Laemmli buffer was added to protein lysates at a 1:1 ratio. The

117 lysates were loaded onto 4–15% Mini-PROTEAN® TGX[™] Precast Protein Gels (Cat# 4561086,

118 Bio-Rad, Hercules, CA), and proteins were subsequently transferred onto a polyvinylidene

119	fluoride (PVDF) membrane. The membrane was blocked with 5% milk in PBS-T (0.05%
120	Tween-20 in PBS) to prevent nonspecific binding of antibodies. Primary antibody incubation
121	was performed in PBS-T with 1% milk at 4°C overnight (refer to Supplementary Table 1 for list
122	of primary antibodies used). IRDye® 680RD donkey anti-rabbit IgG or IRDye® 800CW goat
123	anti-mouse IgG (LI-COR Biosciences [®] , Lincoln, NE) was incubated with the membranes for 1
124	hour at room temperature in PBS-T with 1% milk. The membranes were washed 3 times with
125	PBS-T and scanned using an Odyssey western blot scanner (LI-COR Biosciences®). All protein
126	quantification was performed using LI-COR image analysis software.
127	

127

128 shRNA knockdown of TGF- β 1 expression

129 Lentiviral-mediated short-hairpin RNA (shRNA) was used for stable knockdown of TGF-β1 in

human BM-derived MSCs. shRNA lentiviral vectors (NM_000660, XM_011527242; Clone ID:

131 TRCN0000003318; Sequencing Primer: 5' - AAACCCAGGGCTGCCTTGGAAAAG - 3';

132 Vector Map: pLKO.1) were purchased from GE Healthcare Dharmacon, Inc. (Lafayette, CO).

133 Lentiviral pLKO.1 Empty Vector (Cat# RHS4080, GE-Dharmacon) was used as control.

134 HEK293T cells were transfected with each TGF- β 1 shRNA construct along with packaging

vectors pMD2.G (0.5 μg) and psPAX2 (1.5 μg) (Addgene, Inc., Watertown, MA) using Jet

136 Prime Reagent (Polyplus-transfection[®], Illkirch-Graffenstaden, France) according to

137 manufacturer's guidelines. The medium containing lentivirus was collected 72 hours after

transfection and incubated with BM-MSC cells for 24 hours. The transduced cells were selected

using puromycin (0.5 μ g/mL) for 3 days, and TGF- β 1 mRNA and protein knockdown efficacy

140 was determined by quantitative polymerase chain reaction (qPCR) or western blotting,

respectively. The plasmid TRCN0000003318 (RHS4533-EG7040, GE-Dharmacon) provided the
best knockdown efficacy.

143

144 Total RNA isolation and gene expression by real-time PCR

Five million cells were lysed in Trizol reagent overnight at -80°C, total RNA was isolated by 145 146 ethanol precipitation, and real-time PCR (RT-PCR) was performed with a QuantStudio3 (Applied Biosystems[®], Foster City, CA) instrument using TaqMan Fast Universal PCR Master 147 Mix (Applied Biosystems[®]) as described before (20). All samples were run in triplicates. The 148 149 relative fold increase of specific RNA was calculated by the comparative cycle of threshold detection method, and values were normalized to Glyceraldehyde 3-phosphate dehydrogenase 150 151 (GAPDH). Fold changes in gene expression were calculated using the 2-ddC method. All primer pairs for human samples were purchased from ThermoFisher Scientific (Supplementary Table 2). 152

153

154 AML cell separation by fluorescence-activated cell sorting

OCI-AML3 and BM-MSC cells were seeded at a 5:1 ratio in RPMI medium with 10% FBS and
cultured for 3-5 days. On the day of cell separation, OCI-AML3 cells were collected. After a
single wash with PBS, cells were stained with APC-Cy7-conjugated anti-CD90 (Cat # 16699531,
BD Biosciences) and FITC-conjugated anti-CD45 (Cat # 304038, BioLegend[®]) antibodies. Cells
were washed with PBS containing DAPI solution, then subjected to fluorescence-activated cell
sorting (FACS) using a BD FACS Aria-II cell sorter (BD Biosciences). To isolate AML cells,
the cells were gated on a CD45⁺CD90⁻ fraction using FACSDiva software.

162 Gene expression analysis by RNA sequencing

163 Samples were sequenced on the HiSeq Sequencing System by the Sequencing and Microarray Core facility at MD Anderson Cancer Center. Sequence reads were mapped to human genomics 164 165 (build hg19) with bowtie2 aligner using RSEM software. The EdgeR package in R software was used to compare the differential expression between OCI-AML3 cells cultured alone or with 166 167 BM-MSCs. Genes with adjusted p values less than 0.05 and absolute fold changes larger than 2 168 were considered significant. To investigate the relationship between the significant genes 169 differentially expressed in the presence of BM-MSCs, we used AML expression data obtained 170 from the TCGA dataset. A scatterplot with log2 fold change from expression in co-culture and TCGA correlation coefficients was plotted using the cBioPortal data analysis tool. The array data 171 has been deposited in the Gene Expression Omnibus (GEO) identified by the accession number 172 GSE152996. 173

174

175 *p38 MAPK inhibition*

- 176 The p38 MAPK inhibitor SB-203580 was purchased from R&D Systems (Cat# 1202,
- 177 Minneapolis, MN). OCI-AML3 cells were cultured with or without BM-MSCs and treated with
- p38 MAPK inhibitor (SB-203580; 2 μM) for 3 days. Similarly, OCI-AML3 cells were treated
- 179 with p38 MAPK inhibitor in the presence or absence of recombinant TGF- β 1 (5 ng/mL) and
- 180 ALDH activity was measured. To determine the effect of p38 inhibition on ALDH2 expression,
- 181 OCI-AML3 cells cultured with or without BM-MSCs were treated with SB-203580 at 2 μ M for
- 182 3 days, and ALDH2 expression was measured by western blotting.

183

184 *ALDH2* inhibition in combination with standard chemotherapy

185	To assess the combine	d effect of ALDH2 inhibition a	and chemotherapy on AML c	ells, OCI-
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- AML3 cells were cultured at 0.25 million cells/mL density with or without BM-MSCs (100 000
- 187 cells). AML cells were treated with cytarabine (Ara-C; 2.5 μ M; obtained from the MD Anderson
- pharmacy), alone or in combination with CVT-10216 (5 μ M), for 48 hours. Cells were stained
- 189 with Annexin V and analyzed on an LSR-II flow cytometer.
- 190
- 191 Leukemia Engraftment and growth rate
- 192 To investigate the effect of stromal cells on leukemia engraftment and growth, we implanted one
- million Molm13 cells expressing firefly luciferase and GFP subcutaneously, with or without 1
- 194 million BM-MSCs and 100 µL Matrigel, in Nonobese Diabetic/ Severe Combined
- 195 Immunodeficiency (NOD/SCID) mice. Leukemia engraftment and growth rate assessment was
- 196 performed at 1 and 2 weeks as previously described (19).

197

198 Animal study approval

All animal experiments were in compliance with a protocol approved by the MD AndersonCancer Center Institutional Animal Care and Use Committee.

201

202 Statistical analyses

- 203 For survival analysis, we used Kaplan-Meier estimator to estimate the survival function and log-
- rank test to evaluate the statistical significance. To compare the difference two independent

205	groups, we used Mann-Whitney U-test or Student's T-test to examine the statistical significance.
206	For the comparison with two groups with paired data, paired Student's T-test was used. We
207	performed one way ANOVA with Tukey's HSD post hoc test to test the significance in the
208	comparison with more than 2 groups. A linear model with interaction term was also used to
209	evaluate the significance with more than two factors in the experiment. A P-value less than 0.05
210	was regarded as statistically significant.
211	
212	Results
213	BM-MSCs induce leukemia growth in vivo by inducing ALDH activity in AML cells
214	BM stroma contributes to AML progression and chemotherapy resistance (3, 19, 21). To
215	investigate the effect of stromal cells on AML growth, we implanted Molm13 cells, with or
216	without BM-MSCs, subcutaneously into NOD/SCID mice. Bioluminescence imaging revealed
217	that Molm13 cells implanted together with BM-MSCs grew 8-fold faster than Molm13 cells
218	implanted alone, suggesting that BM-MSCs support AML cell growth (Fig. 1A, 1B).
219	To investigate the effect of BM stromal cells on AML cells, we co-cultured AML cells
220	OCI-AML3 and HL60 with or without BM-MSCs for 3 or 5 days and measured ALDH activity.
221	The percentage of ALDH ⁺ cells increased from $31\% \pm 6\%$ to $94\% \pm 0.5\%$ when OCI-AML3
222	cells were co-cultured with BM-MSCs compared to being cultured alone. In HL60 cells, co-
223	culturing with BM-MSCs increased the percentage of ALDH ⁺ cells from 22% \pm 1% to 76% \pm
224	1% (Fig. 1C-H).
225	To validate stroma-induced ALDH activity in primary AML cells, we analyzed ALDH

activity in peripheral blood and BM samples derived from AML patients and found that the

227	percentage of AML cells varied between patients and did not correlate with age, sex, white
228	blood cell count, or blast percentage (Supplementary Table 3). Next, patient-derived primary
229	AML cells were cultured with or without MSCs for 3 days and ALDH activity was measured in
230	AML cells. We found that co-culture with MSCs significantly induced ALDH activity in AML
231	cells in all 8 patient samples (Fig. 2A, 2B). This indicates that BM-MSCs support AML cell
232	growth in vivo and induce the $ALDH^+$ stem cell phenotype in AML cells.
233	
234	ALDH isoforms are differentially expressed in AML cells in the presence of stromal cells
235	To identify the ALDH isoforms responsible for increasing ALDH activity in AML cells in the

presence of stromal cells, we performed gene expression analysis of the 19 ALDH isoforms by
real-time RT-PCR (primers listed in Supplementary Table 2). We found differential expression

of ALDH isoforms in AML cells cultured with or without BM-MSCs. Specifically, ALDH1L2

and ALDH2 expression was upregulated 3- to 5-fold in OCI-AML3 cells co-cultured with BM-

240 MSCs compared to OCI-AML3 cells cultured alone (Fig. 2C). To determine the prognostic

significance of these isoforms, we analyzed ALDH1L2 and ALDH2 expression in the TCGA

AML dataset, which revealed that ALDH1L2 and ALDH2 are upregulated in 8% and 12% of

AML cases, respectively. However, increased expression of ALDH2, but not ALDH1L2, confers
a worse prognosis and lower survival rate, suggesting that ALDH2 is a key factor promoting

AML disease progression (Fig. 2D).

246

*TGF-β1-associated gene signature is activated in OCI-AML3 cells co-cultured with BM-MSCs*To investigate the mechanism of stroma-induced ALDH activity in AML cells, we co-cultured
OCI-AML3 cells with or without BM-MSCs for 3 days. OCI-AML3 cells were FACS sorted and

250 gene expression analysis was performed by RNA sequencing. Analysis of differentially expressed genes by the Ingenuity[®] pathway analysis tool revealed activation of a TGF- β 1-251 associated gene signature in OCI-AML3 cells co-cultured with BM-MSCs compared to OCI-252 253 AML3 cells cultured alone (Fig. 3A). To validate this, we performed RT-PCR for genes that are 254 differentially regulated by TGF- β 1. Genes that are positively regulated by TGF- β 1 were upregulated and genes that are negatively regulated by TGF-\beta1, were downregulated in OCI-255 AML3 cells co-cultured with MSCs compared to cells cultured alone (Fig. 3 B, 3C). Hence, 256 TGF- β 1-regulated transcriptional activity is upregulated in AML cells co-cultured with BM-257 258 MSCs. To determine whether TGF- β 1 is derived from stromal cells and regulates ALDH activity in AML cells, we knocked down TGF- β 1 in BM-MSCs. We found that 2 of 5 shRNA sequences 259 showed highest TGF-β1 knockdown efficacy in BM-MSCs (Supplementary Fig. 2). We then co-260 261 cultured OCI-AML3 cells with control (scrambled shRNA) or TGF-B1-knockdown BM-MSCs for 3 days and measured ALDH activity in OCI-AML3 cells. Remarkably, the percentage of 262 ALDH⁺ cells decreased by ~4-fold in OCI-AML3 cells co-cultured with TGF-B1-knockdown 263 BM-MSCs, compared to OCI-AML3 cells cultured with control BM-MSCs (Fig. 3D). Therefore, 264 knockdown of TGF-β1 inhibits stroma-induced ALDH activity, further solidifying the 265 hypothesis that TGF-B1 secreted by stromal cells is directly involved in the increase in ALDH 266 activity in AML cells. 267

268

269 *Recombinant TGF-β1 induces ALDH activity in AML cells*

270 It has been well-established that TGF- β 1 signaling is involved in AML-BME interactions (5, 22).

271 TGF- β is highly expressed in BM-MSCs and its expression is further enhanced in co-culture

with leukemia cells (22). TGF- β has been shown to induce quiescence and stem-like phenotype

273	in solid tumors as well as in leukemia (8). To test whether TGF- β 1 induces ALDH activity in
274	AML cells leading to a stem-like phenotype, we treated OCI-AML3 and HL60 cells with or
275	without recombinant TGF- β 1 (5 ng/mL) for 3 days and measured ALDH activity. Interestingly,
276	treatment with recombinant TGF- β 1 increased the percentage of ALDH ⁺ cells from 30% ± 5% to
277	90% \pm 3% and from 10% \pm 4% to 25% \pm 3% in OCI-AML3 and HL60 cells, respectively,
278	suggesting that TGF-β1 directly regulates ALDH activity in AML cells (Fig. 4A, 4B).
279	To validate that TGF- β 1 regulates downstream transcriptional activity in AML cells, we
280	measured mRNA expression of TGF- β 1 target genes in OCI-AML3 cells treated with
281	recombinant TGF- β 1. We found that TGF- β 1 target genes were upregulated in cells treated with
282	recombinant TGF-B1 compared to untreated controls (Fig. 4C). Interestingly, we also found that
283	ALDH2, which was upregulated in AML cells upon co-culture with BM-MSCs, was also
284	upregulated at the mRNA and protein levels in cells treated with recombinant TGF-β1 (Fig 4D,
285	4E).

286

287 TGF- β non-canonical pathway is involved in stroma-induced ALDH activity in AML cells TGF- β has been reported to alter downstream target gene expression through either its canonical 288 pathway or its non-canonical pathway involving p38 and ERK (6, 23). To delineate the specific 289 290 signaling pathway involved in TGF-^β1-induced ALDH activity in AML cells, we treated OCI-AML3 cells with or without cell culture supernatants from BM-MSCs for 0, 30, 60, and 120 291 292 minutes and measured phosphorylation of transcription factors that mediate TGF-B1 canonical and non-canonical pathways by western blotting. Interestingly, we couldn't detect any 293 phosphorylation of Smad2 or Smad3 transcription factors in OCI-AML3 cells treated with 294 295 supernatants derived from BM-MSCs. To confirm that BM-MSCs do not induce the canonical

296	TGF- β pathway in AML cells, we measured phosphorylation of Smad2 and Smad3 in OCI-
297	AML3 cells treated with recombinant TGF- β 1 and still could not find any activity for these 2
298	proteins (Fig. 4E). Next, we tested phosphorylation of p38, which is activated by TGF- β through
299	its non-canonical pathway. Interestingly, we found strong activity for phospho-p38 in OCI-
300	AML3 cells treated with supernatants from BM-MSCs compared to cells treated with medium
301	alone. We also found increased phosphorylation of ERK in OCI-AML3 cells treated with BM-
302	MSC supernatants, suggesting activation of the Raf-MEK-ERK pathway in these cells. We
303	validated this by treating OCI-AML3 cells with recombinant TGF- β 1 and found a time-
304	dependent increase in p38 phosphorylation (Fig. 4E).
305	p38 MAPK is a downstream target of the TGF- β non-canonical/non-Smad signaling
306	pathway, which phosphorylates p38; p38 then activates other downstream signals leading to
307	regulation of gene expression (22, 24, 25). To investigate the role of phospho-p38 in TGF- β 1-
308	mediated, stroma-induced ALDH2 expression in AML cells, OCI-AML3 cells were treated with
309	or without $p38$ MAPK inhibitor SB-203580 (5 $\mu M)$ and ALDH2 expression was measured by
310	western blotting. We found that inhibition of p38 dramatically inhibited ALDH2 expression in
311	OCI-AML3 cells, even in the presence of BM-MSC supernatant or recombinant TGF- β 1 (Fig.
312	4F). This indicates that stromal cells induce ALDH2 expression in AML cells through non-
313	canonical TGF-β/p38 MAPK pathway.
314	

³¹⁵ *p38 MAPK inhibition significantly inhibits ALDH activity in the presence of TGF-\beta or MSCs* ³¹⁶ To investigate the effect of p38 inhibition on ALDH2 activity, we treated OCI-AML3 cells with ³¹⁷ p38 MAPK inhibitor (5 μ M) in the presence or absence of recombinant TGF- β 1 (5 ng/mL) and ³¹⁸ measured ALDH activity. We found that p38 MAPK inhibition decreased the percentage of

319	ALDH ⁺ OCI-AML3 cells from $30\% \pm 5\%$ to $11\% \pm 1\%$. Moreover, in the presence of
320	recombinant TGF- β 1, p38 MAPK inhibitor decreased the percentage of ALDH ⁺ OCI-AML3
321	cells from 96% \pm 1% to 22% \pm 1% (Fig. 5A). Similarly, the addition of p38 MAPK inhibitor
322	decreased the percentage of ALDH ⁺ OCI-AML3 cells co-cultured with MSCs (Fig. 5B). These
323	results solidify the notion that the non-canonical TGF- β pathway regulates ALDH activity in
324	AML cells in the BME and that inhibition of p38 MAPK decreases TGF- β - or stroma-induced
325	ALDH2 activity in AML cells.
326	
327	ALDH2 inhibitors significantly decrease MSC-induced ALDH activity in AML cells
328	ALDH2 overexpression has been associated with several malignancies and diseases, leading to
329	the development of specific inhibitors with potential therapeutic benefits (18, 26, 27). To validate
330	that stroma-induced ALDH activity is mostly due to the ALDH2 isoform, we cultured OCI-
331	AML3 cells and treated them with ALDH2 inhibitors diadzin or CVT-10216. Strikingly,
332	treatment with ALDH2-specific inhibitors significantly inhibited ALDH activity in OCI-AML3
333	dose-dependently. The percentage of $ALDH^+$ cells decreased from 29% ± 1% in untreated cells
334	to $4\% \pm 0.5\%$ in cells treated with 50 μ M of diadzin. Similarly, the percentage of ALDH ⁺ cells
335	dropped from 16% \pm 5% in untreated cells to 4% \pm 0.2% in cells treated with 2 μM of CVT-
336	10216 (Fig. 6A, 6B). Next, OCI-AML3 cells were treated with diadzin (5 μ M) or CVT-10216 (1
337	μ M) in the presence or absence of recombinant TGF- β 1 (5 ng/mL) for 3 days. As expected,
338	when treated with recombinant TGF- β 1, the percentage of ALDH ⁺ cells in OCI-AML3 cells
339	increased from $23\% \pm 3\%$ to $63\% \pm 2\%$. However, ALDH ⁺ cells decreased from $63\% \pm 2\%$ to
340	$45\% \pm 2\%$ when treated with diadzin, suggesting that diadzin inhibits ALDH activity even in the
341	presence of TGF- β 1. Similarly, we found an ~50% reduction in ALDH activity in OCI-AML3

342	cells treated with CVT-10216, even in the presence of recombinant TGF- β 1 or BM-MSCs (Fig.
343	5B). This indicates that stroma-mediated TGF- β 1-induced ALDH activity in AML cells can be
344	decreased by ALDH2 inhibitors.
345	

346 *ALDH2 inhibitors sensitize AML cells to standard chemotherapy*

347 To evaluate the therapeutic significance of ALDH2 inhibition in AML, we tested the effect of

348 CVT-10216 in combination with standard chemotherapy on AML cell death. We cultured OCI-

AML3 cells with or without BM-MSCs and treated them with cytarabine (2.5 μ M) alone or in

combination with CVT-10216 (2 μ M) for 48 hours. The cells were stained with Annexin V and

351 DAPI and analyzed by flow cytometry to measure treatment-induced apoptosis and cell death.

352 Interestingly, treatment with CVT-10216 significantly improved cytarabine-induced cell death in

AML cells. The combination of CVT-10216 and cytarabine induced cell death in $39\% \pm 6\%$ of

OCI-AML3 cells compared to $24\% \pm 5\%$ cells treated with cytarabine alone (Fig. 6C.). Our

results suggest ALDH2 is a potential therapeutic target in AML and that CVT-10216 sensitizes

356 AML cells to conventional chemotherapy.

357

358 Discussion

In the present study, we demonstrate that BM-MSCs contribute to AML progression and chemoresistance by inducing an ALDH⁺ stem-like phenotype in AML cells. Importantly, through our in-depth gene expression analysis of all 19 ALDH isoforms, we identified ALDH2 as the isoform which is differentially expressed and primarily responsible for the increased ALDH activity in the presence of stroma, rendering it a major contributor to AML stem-like phenotype and consequent chemotherapy resistance.

365	Recent studies have demonstrated that TGF- β is an important mediator in AML-BME
366	interactions and is involved in conferring AML chemotherapy resistance (8, 28). Our results are
367	not unlike findings reported in the literature, as we have shown upregulation of the TGF- β 1 gene
368	signature in AML cells in the presence of stromal cells. We also show that downstream targets of
369	TGF- β signaling, including ALDH2, are differentially expressed in AML cells in the presence of
370	MSCs. Moreover, exposure of AML cells to recombinant TGF- β 1 induced ALDH activity
371	through ALDH2 expression, but knockdown of TGF-β1 in BM-MSCs led to a decrease in
372	ALDH activity, indicating that TGF- β 1 secreted by BM-MSCs induces a stem-like phenotype in
373	AML cells. Additionally, through protein expression analysis, we delineated the specific
374	signaling pathway involved in the aforementioned TGF-β1-mediated AML-BME interaction. We
375	showed that TGF-β1 exerts its effect through a non-canonical/p38-dependent signaling pathway.
376	Hence, our work uncovers a clear link between TGF- β 1 secreted by MSCs and the acquisition of
377	a stem-like phenotype of AML cells through ALDH2 overexpression; it also establishes the
378	specific signaling mechanism involved in this interaction.
379	ALDH plays a prominent role in several diseases and malignancies (18, 29), and specific
380	ALDH inhibitors with potentially anti-tumor effects have been developed (17, 26, 30-32). In this
381	study, we examined the role of ALDH2 inhibitors in counteracting the stem-like phenotype and
382	chemotherapy resistance acquired by AML cells in the presence of stromal cells. Interestingly,
383	we reveal that specific ALDH2 inhibitors significantly inhibit ALDH activity in a dose-
384	dependent manner and sensitize AML cells to conventional treatment, even in the presence of
385	MSCs. Although further studies are needed to confirm this, our preliminary results identify
386	stroma-induced ALDH2 as a novel target for treatment of refractory AML in combination with
387	standard chemotherapy.

388 Our study has some potential limitations. We show promising results regarding ALDH2 inhibition as a strategy to counteract AML chemotherapy resistance; however, we have not 389 validated these findings in vivo. Additionally, ALDH2 inhibitors tested significantly reduced, but 390 391 did not completely inhibit, ALDH activity in AML cells. It remains unclear whether other ALDH isoforms contribute to ALDH activity or the ALDH2 inhibitors we used are not sufficiently 392 potent and efficacious. Moreover, there could be other potential mechanisms of stem-cell 393 phenotype acquisition in AML beyond the scope of our study. Despite the above limitations, our 394 work remains a valuable addition to the literature on AML-microenvironment interactions as it 395 provides a coherent and comprehensive framework for a better understanding of the role of 396 stromal cells in AML chemotherapy resistance and identifies several potential clinically relevant 397 therapeutic targets to consider. 398

Our work sets the foundation for future studies to further investigate the therapeutic applications of targeting mediators of the AML-stroma interactions that we identified in this report. Further studies are needed to examine the effect of ALDH2 inhibitors in combination with standard chemotherapy in vivo, setting the framework for future phase 1/phase 2 clinical trials assessing the therapeutic efficacy of such inhibitors for refractory AML.

In summary, BM stromal cells induce ALDH activity in AML cells through increased
expression of the ALDH2 isoform. BM-MSCs secrete TGF-β1, which exerts its effect through a
non-canonical/p38-dependent signaling mechanism, leading to a stem-like phenotype in AML
cells. Inhibition of downstream targets of this pathway, such as p38 MAPK, inhibits ALDH
activity in AML cells. ALDH2 inhibition sensitizes AML cells to standard chemotherapy in
vitro, providing a potential novel therapeutic strategy to overcome AML chemotherapy
resistance.

411 Author Contributions

BY and FE conducted experiments, analyzed the data and wrote the manuscript. SL and VR
performed experiments. YY performed all statistical tests and analyses. ES and MK analyzed
and interpreted the data. MA planned the study, interpreted the results, and edited the
manuscript. VLB conceptualized the study, planned all experiments, analyzed and interpreted the
results, and edited the manuscript. All authors read the final manuscript and approved it.
Conflict of interest statement: There are no competing financial interests to declare.
List of Abbreviations
1. ALDH: Aldehyde dehydrogenase
2. AML: Acute myeloid leukemia
3. BM: Bone marrow
4. BM-MSC: Bone marrow derived mesenchymal stromal cells
5. FBS: Fetal bovine serum
6. MSC: Mesenchymal stromal cells
7. PBS: Phosphate buffered saline
8. PBS-T: 0.05% Tween 20 in phosphate buffered saline
9. PVDF: Polyvinylidene fluoride

430 10. TGF- β : Transforming growth factor- β

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Figures

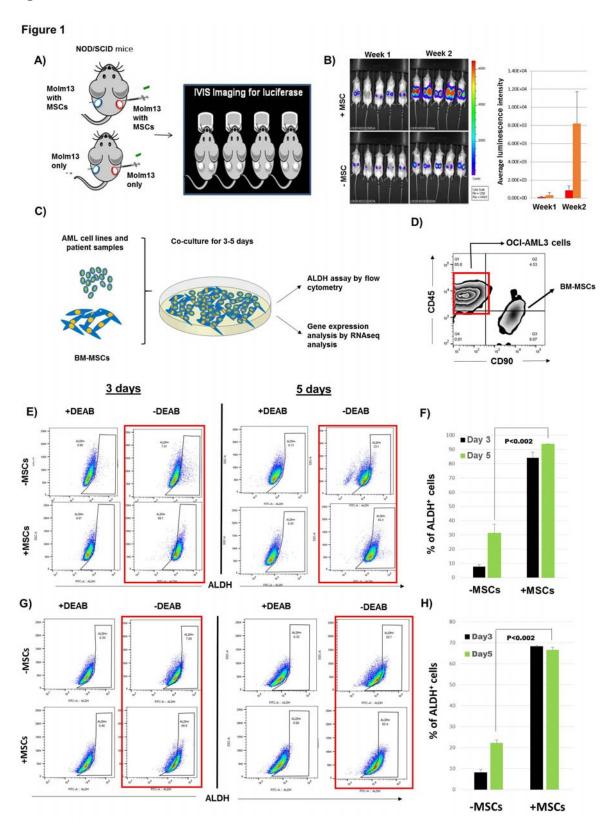
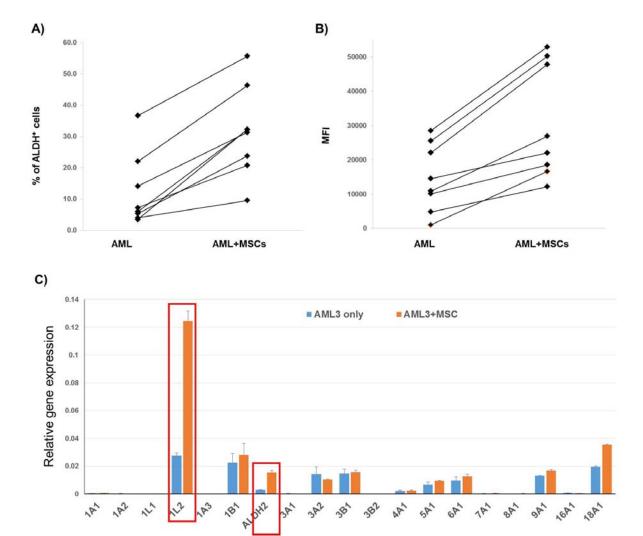


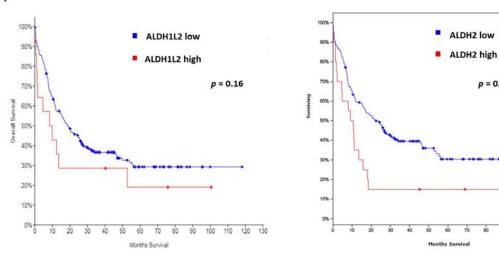
Figure 1. BM-MSCs induce ALDH activity in AML cells and enhance engraftment in mice.

(A, B) One million firefly luciferase-expressing AML cells (Molm13) were implanted subcutaneously, with or without 1 million BM-MSCs and 100 µL of Matrigel, in NOD/SCID mice. Bioluminescence imaging was performed at 1 and 2 weeks to check leukemia engraftment and growth rate. (C) AML cell lines and patient samples were cultured with or without BM-MSCs for 3-5 days. ALDH activity in AML cells was measured using ALDEFLUOR[®] assav. Gene expression analysis was performed by RNA sequencing. (D) Fluorescence-activated cell sorting of OCI-AML3 cells was performed based on phenotype (CD45⁺, CD90⁻) to distinguish them from BM-MSCs (CD90⁺, CD45⁻). (E) OCI-AML3 cells were cultured with or without BM-MSCs for 3 or 5 days. Cells were stained with ALDEFLUOR[®], CD45, and CD90. During FACS analysis, MSCs (CD90⁺, CD45⁻) were gated and ALDH activity was measured in OCI-AML3 cells by flow cytometry. Data were analyzed on FlowJo software. (F) Histogram representation of the percentage of ALDH⁺ OCI-AML3 corresponding to the experiment done in E. (G) The same experiment was done as in E, using HL60 AML cells. (H) Histogram representation showing the percentage of ALDH⁺ HL60 cells corresponding to the experiment done in G. Data are plotted as the mean value with error bars representing standard error. For **B**, **F**, and **H** a linear model with interaction term was used to evaluate the significance. N=3 for each group.









p = 0.00978

Figure 2. Differential expression of ALDH isoforms in AML cells co-cultured with BM-

MSCs. (A, B) Patient-derived primary AML cells from bone marrow and peripheral blood samples were cultured with or without MSCs for 3 days and ALDH activity was measured using ALDEFLUOR[®] assay by flow cytometry. Data show a graphical representation of ALDH mean fluorescence intensity (MFI), and percentage of ALDH⁺ AML patient samples when co-cultured with stromal cells. (C) Total RNA was extracted from 5 million AML cells. RT-PCR was performed to analyze gene expression of different ALDH isoforms using primers listed in Supplementary Table 2. All samples were run in triplicate. The relative fold increase of specific RNA was calculated by the comparative cycle of threshold detection method, and values were normalized to GAPDH. Fold changes in gene expression were calculated using the 2-ddC method after normalization to GAPDH. Data are plotted as mean values with error bars representing standard error. (D) AML expression data for ALDH isoforms and survival analysis was obtained from the TCGA dataset. A scatterplot with log2 fold change from expression in coculture and TCGA correlation coefficients was plotted using cBioPortal for cancer genomics data analysis software. For A and B, paired T-test was used. For C, Mann-Whitney U-test/Student's T-test was used (N=3). For **D**, log-rank test was used to test the significance.

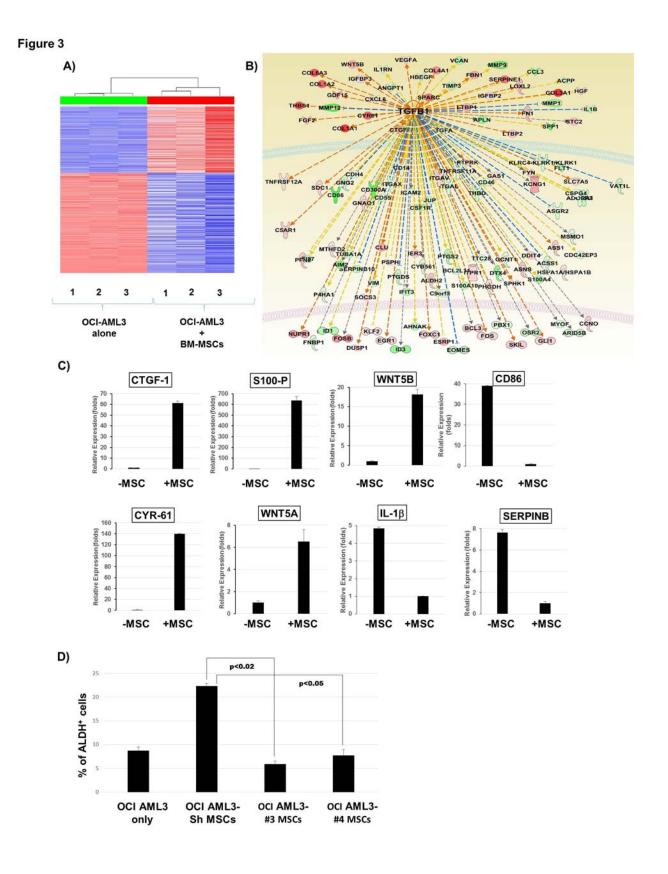
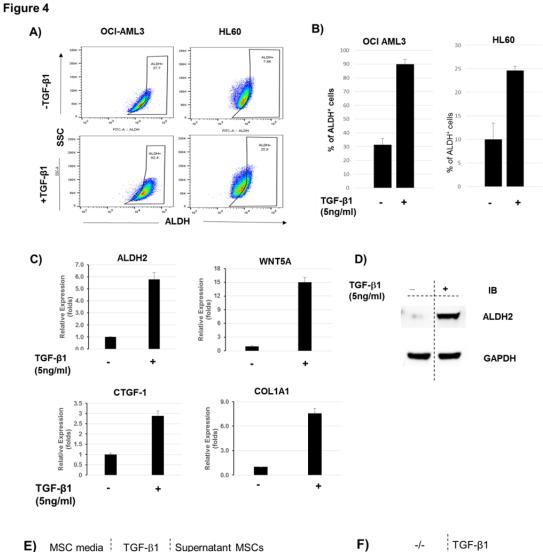


Figure 3. Activation of TGF-B1 gene signature in OCI-AML3 cells co-cultured with BM-

MSCs. (A, B) OCI-AML3 cells were cultured with or without BM-MSC cells for 3 days. OCI-AML3 cells were FACS sorted to separate them from BM-MSCs and the gene expression analysis was performed by RNA sequencing. Samples were sequenced on the HiSeq Sequencing System. Sequence reads were mapped to human genomics (build hg19) with bowtie2 aligner using RSEM software. R software was used to compare the differential expression between MSC co-culture samples and OCI-AML3 controls. Genes with adjusted p values less than 0.05 and absolute fold changes larger than 2 were considered significant. Analysis of differentially expressed genes by Ingenuity[®] pathway analysis tool revealed activation of TGF-β1-associated gene signature. (C) Real-time PCR analysis was performed to analyze the expression of indicated genes that are differentially regulated by TGF-B1 in AML cells co-cultured with BM-MSCs compared to OCI-AML3 controls. (D) TGF- β 1 knockdown MSCs were generated by transfection with plasmid-containing lentiviruses and co-cultured with OCI-AML3 cells for 3 days. ALDH activity was measured by flow cytometry in OCI-AML3 cells cultured with TGFβ1 knockdown MSCs compared to OCI-AML3 cells cultured alone or with control BM-MSCs. Data are plotted as mean values with error bars representing standard error. For C, Mann-Whitney U-test or Student T-test was used. For **D**, one-way ANOVA with Tukey's HSD post hoc test was used.



E) MSC media TGF-β1 Supernatant MSCs 0 30 60 120 0 30 60 120 0 30 60 120 mins

	Phospho-l
1.0 2.1 1.2 0.9 1.0 2.7 8.0 8.4 1.2 7.5 8.0 2.2	Total p38
	Phospho-
	Total Sma
	Phospho-
1.0 1.5 0.6 0.8 1.2 1.4 2.5 2.1 1.0 1.0 2.1 2.0	
	Total TAK
	Phospho-l
1.0 7.2 12.3 5.5 0.9 14.1 32.3 15.3 2.5 28.7 26.0 14.0	Total ERK
42288888888888	
	GAPDH
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ns Phospho-P38 Total p38 Phospho-Smad2/3 Total Smad2/3 Phospho-TAK1 Total TAK1 Phospho-ERK Total ERK

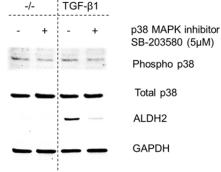


Figure 4. TGF-^{β1} non-canonical pathway induces ALDH2 and other downstream target expression in AML cells. (A) OCI-AML3 and HL60 cells were treated with recombinant TGFβ1 (5 ng/mL) for 3 days. Cells were stained with ALDEFLUOR[®] and ALDH activity was measured. (B) Histogram representation of the percentage of ALDH⁺ cells in OCI-AML3 and HL60 AML cells treated with recombinant TGF- β 1 in A. (C) OCI-AML3 cells were treated with recombinant TGF-B1 (5 ng/mL). RT-PCR was performed to analyze mRNA expression of downstream TGF-B targets, including WNT5A, CTGF1, and COL1A1. Relative fold increase values in gene expression were normalized to GAPDH. Data are plotted as mean values with error bars representing standard error (D) OCI-AML3 cells were treated with recombinant TGF- β 1 (5 ng/mL) for 3 days. Protein lysates were harvested and western blotting was performed to analyze ALDH2 expression in treated cells compared to untreated controls. (E) OCI-AML3 cells were cultured in RPMI overnight. MSC cells were centrifuged and the MSC supernatant and TGF-B1 (5 ng/mL) were added to stimulate OCI-AML3 cells. Western blot analysis was performed at the indicated time points to analyze protein expression of downstream targets of the TGF- β canonical and non-canonical signaling pathways. (F) OCI-AML3 cells were treated with p38 MAPK inhibitor SB-203580 (5 μ M) in the presence or absence of recombinant TGF- β 1 (5 ng/mL). Protein lysates were harvested and p38 and ALDH2 expression was analyzed by western blotting. For **B** and **C**, Man-Whitney U-test and Student T-test were used, respectively (N=3).

Figure 5

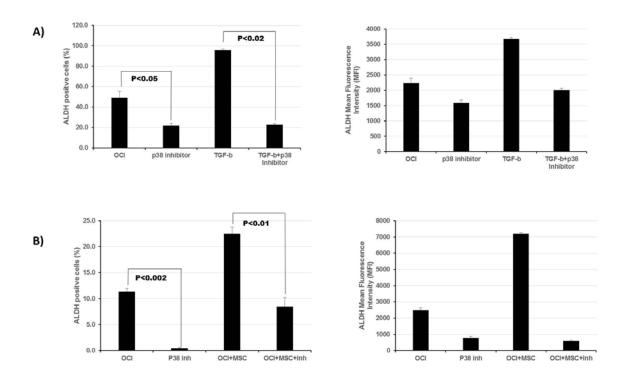
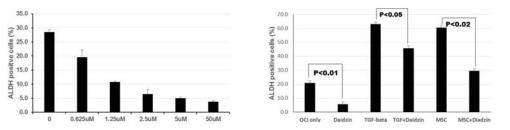


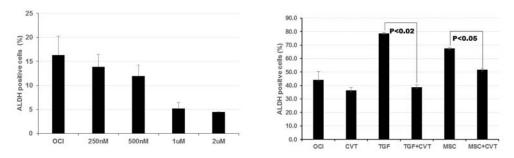
Figure 5. p38 MAPK inhibitor decreases ALDH2 expression in AML cells in the presence of TGF-β1 or stromal cells. (A). OCI-AML3 cells were treated with p38 MAPK inhibitor SB-23580 (5 μ M) in the presence or absence of recombinant TGF-β1 (5 ng/mL). ALDH activity was measured by flow cytometry using ALDEFLUOR[®] assay in comparison to untreated controls. (B) OCI-AML3 were cultured with or without BM-MSCs and treated with p38 MAPK as in **A**. ALDH activity was measured in treated cells in comparison with untreated controls. Data are plotted as mean values with error bars representing standard error via one-way ANOVA with Tukey's HSD post hoc test (N=3).

Figure 6

A) Diadzin inhibits ALDH activity in OCI-AML3 even in the presence of TGF-β or BM-MSCs



B) CVT-10216 inhibits ALDH activity in OCI-AML3 even in presence of TGF-β or BM-MSCs



C) CVT-10216 sensitizes AML cells to chemotherapy even in presence of MSCs

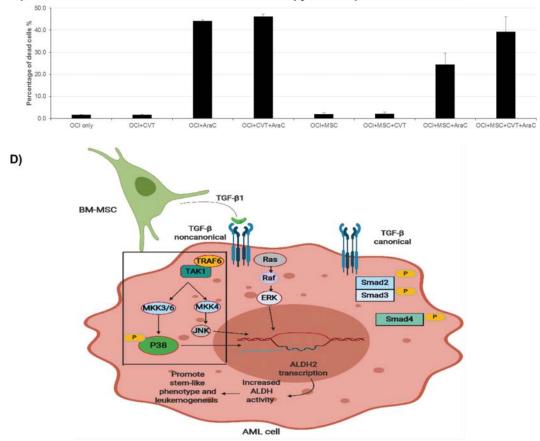


Figure 6. ALDH2 inhibitors decrease stroma-induced ALDH activity in AML cells and sensitize them to chemotherapy. (A) OCI-AML3 cells were cultured and treated with increasing concentrations of ALDH2 inhibitor diadzin. ALDH activity was measured by ALDEFLUOR[®] assay using flow cytometry. OCI-AML3 cells were co-cultured with MSCs $(200\ 000\ \text{cells/well density})$ and treated with diadzin (5 μ M) for 3 days in the presence or absence of recombinant TGF-B1 (5 ng/mL). ALDH activity was measured as described above. ALDH activity in OCI-AML3 cells co-cultured with MSCs (200 000 cells/well density). (B) The same experiments were performed as in A, using CVT-10216 (1 μ M) instead of diadzin. (C) OCI-AML3 cells were cultured alone or with MSCs (100 000 cells/well density) and treated with cytarabine (2.5 μ M) alone or in combination with CVT-10216 (2 μ M) for 48 hours. Cell samples were stained with Annexin V and analyzed by flow cytometry to measure treatment-induced cell death. Data are plotted as mean values with error bars representing standard error. (D) Simplified schematic representation of the interaction between stromal cells and AML cells leading to ALDH2 expression. BM-MSCs secrete TGF- β 1, which induces ALDH2 expression in AML cells through the non-canonical/p38-dependent pathway, thereby promoting leukemogenesis. For A, B, and C one-way ANOVA with Tukey's HSD post hoc test (N=3).