

1 A modelling framework for the prediction of
2 the herd-level probability of infection from
3 longitudinal data

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24

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25

Abstract

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For many infectious diseases of farm animals, there exist collective control programmes (**CPs**) that rely on the application of diagnostic testing at regular time intervals for the identification of infected animals or herds. The diversity of these CPs complicates the trade of animals between regions or countries because the definition of freedom from infection differs from one CP to another. In this paper, we describe a statistical model for the prediction of herd level probabilities of infection from longitudinal data collected as part of CPs against infectious diseases of cattle. The model was applied to data collected as part of a CP against infections by the bovine viral diarrhoea virus (**BVDV**) in Loire-Atlantique, France. The model represents infection as a herd latent status with a monthly dynamics. This latent status determines test results through test sensitivity and test specificity. The probability of becoming status positive between consecutive months is modelled as a function of risk factors (when available) using logistic regression. Modelling is performed in a Bayesian framework. Prior distributions need to be provided for the sensitivities and specificities of the different tests used, for the probability of remaining status positive between months as well as for the probability of becoming positive between months. When risk factors are available, prior distributions need to be provided for the coefficients of the logistic regression in place of the prior for the probability of becoming positive. From these prior distributions and from the longitudinal data, the model returns posterior probability distributions for being status positive in all herds on the current months. Data from the previous months are used for parameter estimation. The impact of using different prior distributions and model settings on parameter estimation was evaluated using the data. The main advantage of this model is its ability to predict a probability of being status positive on a month from inputs that can vary in terms of nature of test, frequency of testing and risk factor availability. The main challenge in applying the model to the BVDV CP data was in identifying prior distributions, especially for test characteristics, that corresponded to the latent status of interest, i.e. herds with at least one persistently infected (**PI**) animal. The model is available on Github as an R package (<https://github.com/AurMad/STOCfree>).

61 1 Introduction

62 For many infectious diseases of farm animals, there exist collective control
63 programmes that rely the application of diagnostic testing at regular time
64 intervals for the identification of infected animals or herds. In cattle, such dis-
65 eases notably include infection by the bovine viral diarrhoea virus (**BVDV**)
66 or by *Mycobacterium avium* subspecies *paratuberculosis* (MAP). These con-
67 trol programmes (**CP**)s are extremely diverse. Their objective can range
68 from decreasing the prevalence of infection to eradication. Participation in
69 the CP can be voluntary or compulsory. The qualification of herds regarding
70 infection can be based on a wide variety of testing strategies in terms of the
71 nature of the tests used (identification of antibodies vs. identification of the
72 agent), the groups of animals tested (e.g. breeding herd vs. young animals),
73 number of animals tested, frequency of testing (once to several times a year,
74 every calf born...). Even within a single CP, surveillance modalities may
75 evolve over time. Such differences in CPs were described by [van Roon *et al.*](#)
76 ([2020b](#)) for programmes targeting BVDV infections and by [Whittington *et al.*](#)
77 ([2019](#)) for programmes against MAP.

78 Differences in surveillance modalities can be problematic when purchas-
79 ing animals from areas with different CPs because the free status assigned
80 to animals or herds might not be equivalent between CPs. A standardised
81 method for both describing surveillance programmes and estimating confi-
82 dence of freedom from surveillance data would be useful when trading animals
83 across countries or regions. While inputs can vary between programmes, the
84 output needs to be comparable across programmes. This is called output-
85 based surveillance ([Cameron, 2012](#)). Probabilities measure both the chance
86 of an event and the uncertainty around its presence/occurrence. If well de-
87 signed, a methodology to estimate the probability of freedom from infection
88 would meet the requirements of both providing a confidence of freedom from
89 infection as well as of being comparable whatever the context.

90 Currently, the only quantitative method used to substantiate freedom
91 from infection to trading partners is the scenario tree method ([Martin *et al.*,](#)
92 [2007](#)). The method is applied to situations where there is a surveillance
93 programme in place, with no animals or herds confirmed positive on testing.
94 Scenario trees are based on the premise that it is impossible to prove that
95 a disease is totally absent from a territory unless the entire population is
96 tested with a perfect test. What is estimated with the scenario tree method
97 is the probability that the infection would be detected in the population if it

98 were present at a chosen *design prevalence*. The output from this approach
99 is the probability that the infection prevalence is not higher than the design
100 prevalence given the negative test results (Cameron, 2012). Therefore, this
101 method is well suited for those countries that are free from infection and that
102 want to quantify this probability of freedom from infection for the benefit of
103 trading partners (Norström *et al.*, 2014).

104 The scenario tree method is not adapted to countries or regions where
105 there is a CP against an infectious disease which is still present. In such
106 a context, only herds that have an estimated probability of freedom from
107 infection that is deemed sufficiently high or, equivalently, a probability of
108 infection that is deemed sufficiently low, would be safe to trade with. Identifying
109 these herds involves estimating a probability of infection for each herd
110 in the CP and then defining a decision rule to categorise herds as uninfected
111 or infected based on these estimated probabilities.

112 In this paper, we propose a method to estimate herd level probabilities
113 of infection from heterogeneous longitudinal data generated by CPs. The
114 method predicts herd-month level probabilities of being latent status positive
115 from longitudinal data collected in CPs. The input data are test results, and
116 associated risk factors when available. Our main objective is to describe this
117 modelling framework by showing how surveillance data are related to the
118 *probabilities of infection* (strictly speaking, *probabilities of being latent status*
119 *positive*) and by providing details regarding the statistical assumptions that
120 are made. A secondary objective is to estimate these probabilities of being
121 latent status positive, using different definitions for the latent status, from
122 surveillance data collected as part of a CP against the infection by the BVDV
123 in Loire-Atlantique, France. The challenges of defining prior distributions
124 and the implications of using different prior distributions are discussed. R
125 functions to perform the analyses described in this paper are gathered in an
126 R package which is available from GitHub ([https://github.com/AurMad/](https://github.com/AurMad/STOCfree)
127 [STOCfree](https://github.com/AurMad/STOCfree)).

128 2 Materials and methods

129 2.1 Description of the model

130 2.1.1 Conceptual representation of surveillance programmes

131 Surveillance programmes against infectious diseases can be seen as imper-
132 fect repeated measures of a true status regarding infection. In veterinary
133 epidemiology, the issue of imperfect testing has traditionally been addressed
134 using latent class models. With this family of methods, the true status re-
135 garding infection is modelled as an unobserved quantity which is linked to
136 test results through test sensitivity and specificity. Most of the literature on
137 the subject is on estimating both test characteristics and infection prevalence
138 (Collins & Huynh, 2014). For the estimations to work, the same tests should
139 be used in different populations (Hui & Walter, 1980), the test characteristics
140 should be the same among populations and test results should be condition-
141 ally independent given the infection status (Toft *et al.*, 2005; Johnson *et al.*,
142 2009). Latent class models can also be used to estimate associations between
143 infection, defined as the latent class, and risk factors when the test used is
144 imperfect (Fernandes *et al.*, 2019). In the study by Fernandes *et al.* (2019),
145 the latent class was defined using a single test, through the prior distribu-
146 tions put on sensitivity and specificity. When using latent class models with
147 longitudinal data, the dependence between successive test results in the same
148 herds must be accounted for. In the context of estimating test characteristics
149 and infection prevalence from 2 tests in a single population from longitudi-
150 nal data, Nusinovici *et al.* (2015) proposed a Bayesian latent class model
151 which incorporated 2 parameters for new infection and infection elimination.
152 The model we describe below combines these different aspects of latent class
153 modelling into a single model.

154 We propose to use a class of models called Hidden Markov Models (HMM,
155 see Zucchini *et al.* (2017)). Using surveillance programmes for infectious dis-
156 eases as an example, the principles of HMMs can be described as follows:
157 the latent status (*class*) of interest is a herd status regarding infection. This
158 status is evaluated at regular time intervals: HMMs are discrete time mod-
159 els. The status at a given time only depends on the status at the previous
160 time (Markovian property). The status of interest is not directly observed,
161 however, there exists some quantity (such as test results) whose distribu-
162 tion depends on the unobserved status. HMMs have been used for decades

163 in speech recognition (Rabiner, 1989) and other areas. They have also been
164 used for epidemiological surveillance (Le Strat & Carrat, 1999), although not
165 with longitudinal data from multiple epidemiological units such as herds. The
166 model we developed is therefore a latent class model that takes into account
167 the time dynamics in the latent status. The probability of new infection
168 between consecutive time steps is modelled as a function of risk factors.

169 Figure 1 shows how surveillance programmes are represented in the model
170 as a succession of discrete time steps. The focus of this model is a latent
171 status evaluated at the herd-month level. This latent status is not directly
172 observed but inferred from its causes and consequences incorporated as data.
173 The consequences are the test results. Test results do not have to be available
174 at every time step for the model to work. The causes of infection are risk
175 factors of infection. In the application presented below, the latent status
176 will be either herd seropositivity or presence of a PI animal in the herd,
177 depending on the testing scheme as well as on the prior distributions put
178 on the characteristics of the tests used. The model estimates this latent
179 status monthly, and predicts it for the last month of data. These herd-
180 month latent statuses will be estimated/predicted from test results (BTM
181 ELISA testing or confirmatory testing) and risk factors (cattle introductions
182 or local seroprevalence) recorded in each herd.

183 2.1.2 Modelling framework, inputs and outputs

184 The model is designed to use longitudinal data collected as part of surveil-
185 lance programmes against infectious diseases. In such programmes, each herd
186 level status is re-evaluated when new data (most commonly test results, but
187 may also be data related to risk factors) are available. The model mimics
188 this situation by predicting the probability of a positive status for all herds
189 in the CP on the last month of available data. Data from all participating
190 herds up to the month of prediction are used as historical data for parameter
191 estimation (Figure 1).

192 The estimation and prediction are performed within a Bayesian frame-
193 work using Markov Chain Monte Carlo (MCMC) in the JAGS computer pro-
194 gramme (Plummer, 2017). The model encodes the relationships between all
195 the variables of interest in a single model. Each variable is modelled as drawn
196 from a statistical distribution. The estimation requires prior distributions for
197 all the parameters in the model. These priors are a way to incorporate either
198 existing knowledge or hypotheses in the estimation. For example, we may

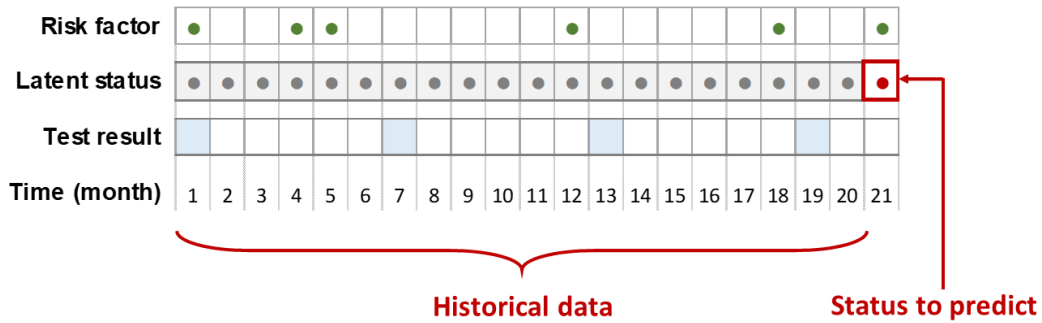


Figure 1: Conceptual representation of the implementation of a surveillance programme within a herd. The focus of the model is the latent status regarding infection, which is modelled at the herd-month level. This status partly depends on risk factors and determines test results. In this diagram, risk factors are represented as green dots when present and available test results as blue shaded squares. The model predicts a probability of infection for the most recent month in the surveillance programme using all the data collected for the estimation of model parameters.

199 know that the prevalence of herds infected with BVDV in our CP is probably
200 lower than 20%, certainly lower than 30% and greater than 5%. Such con-
201 straints can be specified with a Beta distribution. The Beta distribution is
202 bounded between 0 and 1, with 2 parameters α and β determining its shape.
203 With the constraints specified above, we could use as a prior distribution a
204 $Beta(\alpha = 15, \beta = 100)$ ¹. If we do not know anything about this infection
205 prevalence (which is rare), we could use a $Beta(\alpha = 1, \beta = 1)$ prior, which is
206 uniform between 0 and 1. From the model specification, the prior distribu-
207 tions and the observed data, the MCMC algorithm draws samples from the
208 posterior distributions of all the variables in the model. These posterior dis-
209 tributions are the probability distributions for the model parameters given
210 the data and the prior distributions. MCMC methods are stochastic and
211 iterative. Each iteration is a set of samples from the joint posterior distri-
212 butions of all variables in the model. The algorithm is designed to reach the
213 target joint posterior distribution, but at any moment, there is no guarantee
214 that it has done. To overcome this difficulty, several independent instances
215 of the algorithm (i.e. several chains) are run in parallel. For a variable, if
216 all the MCMC draws from the different chains have the same distribution, it
217 can be concluded that the algorithm has reached the posterior distribution.
218 In this case, it is said that the model has converged.

219 The focus of our model is the monthly latent status of each herd. This
220 latent status depends on the data on occurrence of risk factors and it affects
221 test results. The data used by the model are the test results and risk factors.
222 At each iteration of the MCMC algorithm, given the data and priors, a herd
223 status (0 or 1) and the coefficients for the associations between risk factors,
224 latent status and test results are drawn from their posterior distribution.

225 In the next 3 sections, the parameters for which prior distributions are
226 required, i.e. test characteristics, status dynamics and risk factor parameters,
227 are described. The outputs of Bayesian models are posterior distributions for
228 all model parameters. Specifically, in our model, the quantities of interest
229 are the herd level probabilities of being latent status positive on the last
230 test month in the dataset as well as test sensitivity, test specificity, infection
231 dynamic parameters and parameters for the strengths of association between
232 risk factors and the probability of new infection. This is described in the

¹The $Beta(\alpha = 15, \beta = 100)$ distribution has a mean of 0.13 and a standard deviation of 0.03. In R, it can be plotted using the following instructions `curve(dbeta(x, 15, 100))`

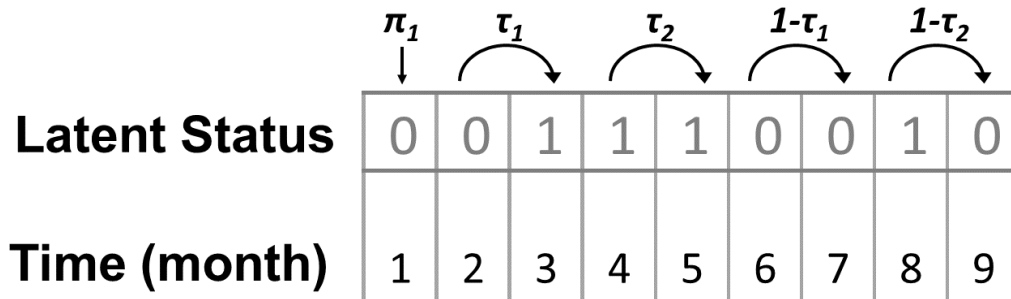


Figure 2: Modelling of infection dynamics. The diagram shows hypothetical latent statuses (0 for negative; 1 for positive) as a function of time in month, with examples of all possible transitions. $\pi_1 = p(S_1^+)$ is the probability of being status positive at the first point in time, $\tau_1 = p(S_t^+|S_{t-1}^-)$ is the probability of becoming status positive and $\tau_2 = p(S_t^+|S_{t-1}^+)$ is the probability of remaining status positive.

233 corresponding sections.

234 2.1.3 Latent status dynamics

235 Between test events, uninfected herds can become infected and infected herds
 236 can clear the infection. The model represents the probability of having a
 237 positive status at each time step as a function of the status at the previous
 238 time step (Figure 2). For the first time step when herd status is assigned,
 239 there is no previous status against which to evaluate change. From the second
 240 time step when herd status is assigned, and onwards, herds that were status
 241 negative on the previous time step have a certain probability of becoming
 242 status positive and herds that were status positive have a certain probability
 243 of remaining status positive.

244 These assumptions can be summarised with the following set of equa-
 245 tions². The status on the first time step (S_1^+) is a Bernoulli event with a
 246 Beta prior on its probability of occurrence:

$$S_1^+ \sim \text{Bernoulli}(p(S_1^+)) \quad (1)$$

²Statuses are estimated/predicted at the herd-month level. Herd is omitted from the notation to facilitate reading. S_t^+ should be read as S_{ht}^+ where h represents the herd.

247

$$p(S_1^+) \sim \text{Beta}(\pi_{1a}, \pi_{1b}) \quad (2)$$

248 From the second time step when herd status is assigned, and onwards,
249 a positive status is also a Bernoulli event (S_t^+) with a probability of occur-
250 rence that depends on the status at the previous time step as well as on
251 the probability of becoming status positive and the probability of remaining
252 status positive. In this case, the probability of becoming status positive is
253 $\tau_1 = p(S_t^+ | S_{t-1}^-)$ and the probability of remaining positive is $\tau_2 = p(S_t^+ | S_{t-1}^+)$.

$$S_t^+ \sim \text{Bernoulli}(p(S_t^+)) \quad (3)$$

254

$$p(S_t^+) = (1 - S_{t-1}^+) \tau_1 + S_{t-1}^+ \tau_2 \quad (4)$$

255

$$\tau_1 \sim \text{Beta}(\tau_{1a}, \tau_{1b}) \quad (5)$$

256

$$\tau_2 \sim \text{Beta}(\tau_{2a}, \tau_{2b}) \quad (6)$$

257 Therefore, the status dynamics can be completely described by $p(S_1^+)$, τ_1
258 and τ_2 .

259 **2.1.4 Incorporation of information on risk factors for new infec-** 260 **tion**

261 The probability of new infection is not the same across herds. For example,
262 herds that introduce a lot of animals or are in areas where infection preva-
263 lence is high could be at increased risk of new infection (Qi *et al.*, 2019).
264 Furthermore, the association between a given risk factor and the probability
265 of new infection could be CP dependent. For example, the probability of
266 introducing infection through animal introductions will depend on the infec-
267 tion prevalence in the population from which animals are introduced. As a
268 consequence, estimates for these associations (as presented in the literature)
269 could provide an indication about their order of magnitude, but their preci-
270 sion may be limited. On the other hand, the CPs which are of interest in this
271 work usually generate large amounts of testing data which could be used to
272 estimate the strengths of association between risk factors and new infections
273 within a given CP. The variables that are associated with the probability of
274 new infection could increase the sensitivity and timeliness of detection.

275 When risk factors for new infection are available, the model incorporates
276 this information by modelling τ_1 as a function of these risk factors through
277 logistic regression, instead of the prior distribution for τ_1 .

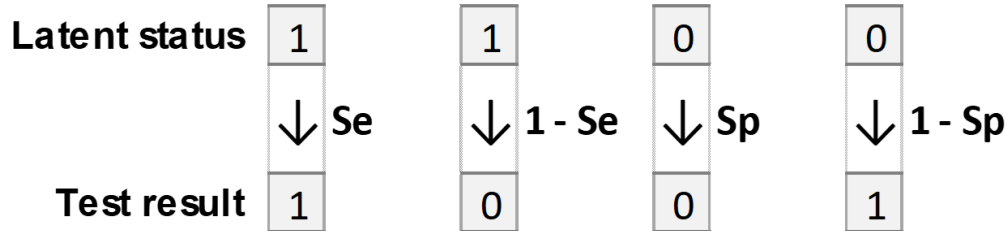


Figure 3: Relation of the model latent status to test result. Sensitivity is the probability of a positive test result in a status positive herd. Specificity is the probability of a negative test result in a status negative herd.

$$\text{logit}(\tau_{1ht}) = X_{ht}\theta \quad (7)$$

278 where X_{ht} is a matrix of predictors for herd h at time t and θ is a vector
 279 of coefficients. Normal priors are used for the coefficients of the logistic
 280 regression.

$$\theta_i \sim \text{Normal}(\mu_i, \sigma_i) \quad (8)$$

281 2.1.5 Test characteristics

282 The model allows the inclusion of several test types but for the sake of clarity,
 283 we show the model principles for only one test type. These principles can be
 284 extended to several tests by specifying prior distributions for all tests.

285 Tests are modelled as imperfect measures of the latent status (Figure 3).
 286 Test sensitivity is the probability of a positive test result given a positive
 287 latent status ($Se = p(T^+|S^+)$, refers to true positives) and test specificity
 288 is the probability of a negative test result given a negative latent status
 289 ($Sp = p(T^-|S^-)$, refers to true negatives).

290 Test result at time t is modelled as a Bernoulli event with probability
 291 $p(T_t^+)$ of being positive.

$$T^+ \sim \text{Bernoulli}(p(T_t^+)) \quad (9)$$

292 The relation between the probability of testing positive, the probability
293 of a positive status, test sensitivity and test specificity is the following:

$$p(T_t^+) = S_t^+ Se + (1 - S_t^+)(1 - Sp) \quad (10)$$

294 Information or hypotheses regarding test characteristics are incorporated
295 in the model as priors modelled by Beta distributions:

$$Se \sim Beta(Se_a, Se_b) \quad (11)$$

296

$$Sp \sim Beta(Sp_a, Sp_b) \quad (12)$$

297 It is important to note that the prior distributions used for sensitivity
298 and specificity will determine what the latent status is. As an example, we
299 consider the detection of BVDV infection with a test that detects BVDV
300 specific antibodies in bulk tank milk. BVDV infection is associated with a
301 long lasting antibody production. There can be cows that are seropositive
302 long after the last PI animal has left the herd. In this situation, using a value
303 of 1 for specificity will define the latent status as any herd with antibody
304 positive cows. However, the herd-level specificity of the test, defined as the
305 probability of a negative test result in a herd with no PI animals, is lower
306 than the animal-level specificity defined as the probability of a negative test
307 result in a sample from an non-PI animal. The specificity of interest, i.e. the
308 detection of farms with PI animals, will depend on the proportion of antibody
309 positive lactating dairy herds that are in farms with PI animals. In turn, this
310 will depend on many factors that are CP dependent such as the prevalence of
311 infection or the proportion of farms that use vaccination against the BVDV.
312 With antibody testing alone, it is therefore difficult to define accurate prior
313 distributions for sensitivity and specificity for the detection of farms with PI
314 animals.

315 However, it is possible to align the meaning of the latent status with the
316 status of interest. In most CPs, positive routine tests will be followed by con-
317 firmatory testing. The objective of routine testing is to detect any potentially
318 infected herd. The tests used for routine testing should be sensitive. The
319 objective of confirmatory testing is to identify truly infected herds among
320 herds positive in routine testing. The testing procedure used for confirma-
321 tory testing should be both specific and sensitive. With our model, if these
322 conditions are met and if prior distributions that reflect these hypotheses are
323 used, the posterior distributions for the characteristics of both testing phases

324 should be more accurate. A useful property of HMMs is that accounting for
 325 the status dynamics makes the results of tests performed on different months
 326 in the same herd conditionally independent, because the conditional time de-
 327 pendence between statuses is modelled with the dynamics part of the model.
 328 For example, if a herd tests positive during routine testing, it will have a
 329 higher than average prior probability of infection in subsequent confirmatory
 330 testing. As a further consequence of this, the posterior distribution for the
 331 specificity of routine testing will depend on the proportion of herds that are
 332 confirmed positive in confirmatory testing.

333 2.1.6 Prediction of a probability of infection

334 In explaining how predictions are performed we use the following notation:
 335 \tilde{y} is the predicted value for y , $\hat{\beta}$ is the estimated value for β . The equation
 336 $\tilde{y} = \hat{\beta}.x$ means that the predicted value for y is equal to x (data) times the
 337 estimated value for β .

338 The model predicts herd-level probabilities of infection on the last month
 339 in the data mimicking regular re-evaluation as new data come in. If there
 340 is no test result available on this month, the predicted probability of being
 341 status positive (called $p(\tilde{S}_t^{+*})$) is the predicted status on the previous month
 342 times $\tilde{\tau}_{1t}$ if the herd was predicted status negative or times $\hat{\tau}_2$ if the herd was
 343 predicted status positive (Table 1)³. This can be written as:

$$p(\tilde{S}_t^{+*}) = p(\tilde{S}_t^+ | \hat{S}_{t-1}^+, \tilde{\tau}_{1t}, \hat{\tau}_2) = (1 - \hat{S}_{t-1}^+) \cdot \tilde{\tau}_{1t} + \hat{S}_{t-1}^+ \cdot \hat{\tau}_2 \quad (13)$$

344 where:

$$\tilde{\tau}_{1t} = \text{logit}^{-1}(X_t \hat{\theta}) \quad (14)$$

345 If a test result was available, the prediction must combine information
 346 from the test as well as previous information. The way to estimate this pre-
 347 dicted probability from $p(\tilde{S}_t^{+*})$ and test results can be derived from Table 1.
 348 The predicted probability of being status positive can be computed as:

$$p(\tilde{S}_t^+ | T_t^+, \tilde{S}_t^{+*}) = T_t^+ \cdot \frac{Se \cdot p(\tilde{S}_t^{+*})}{Se \cdot p(\tilde{S}_t^{+*}) + (1 - Se)(1 - p(\tilde{S}_t^{+*}))} + (1 - T_t^+) \cdot \frac{(1 - Se) \cdot (1 - p(\tilde{S}_t^{+*}))}{(1 - Se) \cdot (1 - p(\tilde{S}_t^{+*})) + Se \cdot (1 - p(\tilde{S}_t^{+*}))} \quad (15)$$

³Here $\tilde{\tau}_{1t}$ is *predicted* from herd-month specific risk factors while $\hat{\tau}_2$ is the same for all herds and *estimated* from historical data.

Table 1: Probability of test result by herd status. Cells on the first row are test positive herds with true positives on the left-hand side and false positives on the right-hand side. Cells on the second row are test negative herds with false negatives on the left-hand side and true negatives on the right-hand side.

		<i>Herdstatus_t</i>	
		+	-
<i>T_{est}_t</i>	+	$Se.p(S_t^+)$	$(1 - Sp)(1 - p(S_t^+))$
	-	$(1 - Se).p(S_t^+)$	$Sp.(1 - p(S_t^+))$

349 where $T_t^+ = 1$ when the test at time t is positive, $T_t^+ = 0$ when it is
 350 negative

351 2.2 Application of the model to a control programme 352 for BVDV infection in cattle

353 2.2.1 Data

354 The model was evaluated on data collected for the surveillance of BVDV
 355 infection in cattle in Loire-Atlantique, France. Data were available from
 356 1687 dairy herds between the beginning of 2010 and the end of 2016. Under
 357 the programme, each herd was tested twice a year with a bulk tank milk
 358 antibody ELISA test. For each campaign of testing, tests were performed
 359 for all the herds over a few weeks. Data on the number of cattle introduced
 360 into each herd with the associated date of introduction were also available.
 361 For the model evaluation, test data from the beginning of 2014 to the end
 362 of 2016 were used. Risk factor data collected between 2010 and 2016 were
 363 available to model (possibly lagged) associations between risk factors and
 364 latent status.

365 2.2.2 Test results

366 Test results were reported as optical density ratios (ODR). In the Loire-
 367 Atlantique CP, these ODRs are discretised into 3 categories using threshold

368 values of 35 and 60. ODR values below 35 are associated with low antibody
369 levels and ODR values above 60 are associated with high antibody levels.
370 Decision regarding which herds require further testing for the identification
371 and removal of PI animals is complex and involves the combination of test
372 categories on 3 consecutive tests, spanning a year.

373 In this work, the ODR values were discretised in order to convert them
374 into either seropositive (antibodies detected) or seronegative (no antibodies
375 detected) outcomes. The choice of the threshold to apply for the discreti-
376 sation was based on the ODR distribution, which was clearly bimodal. For
377 this purpose, the ODR distribution was modelled as a mixture of 2 normal
378 distributions using the R `mixdist` package (Macdonald & Du, 2018). Assum-
379 ing that one of the distributions was associated with seronegativity and the
380 other one with seropositivity, the threshold that discriminated best between
381 the 2 distributions was selected.

382 2.2.3 Selection of risk factors

383 A difficulty in the evaluation of putative risk factors was that Bayesian models
384 usually take time to run, especially with large datasets as used here. It was
385 therefore not possible to perform this selection with our Bayesian model.
386 To circumvent this problem, logistic models as implemented in the R `glm`
387 function (R Core Team, 2019) were used⁴. The outcome of these models was
388 seroconversion defined as a binary event, and covariates of interest were risk
389 factors for becoming status positive as defined through the τ_1 variable. All
390 herds with 2 consecutive test results whose first result was negative (ODR
391 below the chosen threshold) were capable of seroconverting. Of these herds,
392 the ones that had a positive result (ODR above the chosen threshold) on
393 the second test were considered as having seroconverted. The time of event
394 (seroconversion or not) was considered the mid-point between the 2 tests.

395 Two types of risk factors of new infection were evaluated: infection through
396 cattle introductions and infection through neighbourhood contacts (Qi *et al.*,
397 2019). Cattle introduction variables were constructed from the number of an-
398 imals introduced into a herd on a given date. In addition to the raw number of
399 animals introduced, the natural logarithm of the number of animals (+1 be-
400 cause $\ln(0)$ is not defined) was also evaluated. This was to allow a decreasing
401 effect of each animal as the number of animals introduced increased. Regard-

⁴The functions used to perform this evaluation are included in the [STOCfree package](#).

402 ing the neighbourhood risk, the test result data were used. For each testing
403 campaign, the municipality-level prevalence of test positives (excluding the
404 herd of interest) was calculated, and is subsequently termed 'local preva-
405 lence'. It was anticipated that when local seroprevalence would increase, the
406 probability of new infection in the herd of interest would increase as well.

407 For all candidate variables, a potential problem was delayed detection,
408 which relates to the fact that a risk factor recorded at one point in time may
409 be detected through testing much later, even if the test is sensitive. For ex-
410 ample, if a trojan cow (a non-PI female carrying a PI calf) is introduced into
411 a herd, the lactating herd will only seroconvert when the PI calf is born and
412 has had contact with the lactating herd. Therefore, for each candidate vari-
413 able, the data were aggregated between the beginning of an interval (labelled
414 lag1, in months from the outcome measurement) and the end of this inter-
415 val (labelled lag2, in months from the outcome measurement). Models with
416 all possible combinations of time aggregation between lag1 and lag2 were
417 run, with lag1 set to 0 and lag2 set to 24 months. The best variables and
418 time aggregation interval were selected based on low AIC value, biological
419 plausibility and suitability for the Bayesian model.

420 2.2.4 Bayesian models

421 Four different Bayesian models were considered. For all models, historical
422 data were used for parameter estimation and the probability of infection on
423 the last month in the dataset was predicted.

424 **Model 1 - Perfect routine test:** in order to evaluate the monthly dy-
425 namics of seropositivity and seronegativity, the Bayesian model was run
426 without any risk factor and assuming that both test sensitivity and test
427 specificity were close to 1. The prior distributions for sensitivity and speci-
428 ficity were $Se \sim Beta(10000, 1)$ (percentiles: 5 = 1, 50 = 1, 95 = 1) and
429 $Sp \sim Beta(10000, 1)$. Regarding infection dynamics, prior distributions were
430 also specified for the prevalence of status positives (also test positives in this
431 scenario) on the first testing time $p(S_1^+) \sim Beta(1, 1)$ (uniform on 0-1), the
432 probability of becoming status positive $\tau_1 \sim Beta(1.5, 10)$ (percentiles: 5
433 = 0.017, 50 = 0.109, 95 = 0.317), and the probability of remaining status
434 positive $\tau_2 \sim Beta(10, 1.5)$ (percentiles: 5 = 0.683, 50 = 0.891, 95 = 0.983).

435 **Model 2 - Perfect routine test and risk factors:** in order to quantify
436 the association between risk factors and the probability of becoming status
437 positive if the test were close to perfect, the Bayesian model was run with
438 the risk factors identified as associated with seroconversion on the previous
439 step and using the same priors for sensitivity, specificity and τ_2 as in Model
440 1 ($Se \sim Beta(10000, 1)$, $Sp \sim Beta(10000, 1)$, $\tau_2 \sim Beta(10, 1.5)$). The
441 priors for risk factors were specified as normal distributions on the logit
442 scale. The prior for the intercept was $\theta_1 \sim \mathcal{N}(-3, 1)$ (on the probability
443 scale - percentiles: 5 = 0.01, 50 = 0.047, 95 = 0.205). This represented
444 the prior probability of a new infection in a herd purchasing no animal and
445 with a local seroprevalence of 0. The priors for the other model coefficients
446 were centred on 0 with a standard deviation of 2. On the logit scale, values
447 of -4 (2 standard deviations in this case) correspond to probabilities close
448 to 0 ($\text{logit}(-4) = (0.018)$) and values of 4 to probabilities that are close to 1
449 ($\text{logit}(4) = (0.982)$).

450 **Model 3 - Imperfect routine test and risk factors:** the objective
451 of this model was to incorporate the uncertainty associated with test re-
452 sults in both parameter estimation and in the prediction of the probabili-
453 ties of infection. The priors for test sensitivity and specificity were selected
454 based on the ODR distributions for seronegatives and seropositives iden-
455 tified by the mixture model. The following prior distributions were used:
456 $Se \sim Beta(5000, 260)$ (percentiles: 5 = 0.946, 50 = 0.951, 95 = 0.955) and
457 $Sp \sim Beta(5000, 260)$. For the associations between risk factors and the
458 probability of new infection, the same prior distributions as in Model 2 were
459 used.

460 **Model 4 - Imperfect routine test, confirmatory testing and risk fac-**
461 **tors:** the objective of this model was to assess the impact of confirmatory
462 testing. The same prior distributions as in scenario 3 were used. In this
463 case however, every time a positive test result was recorded, a new confir-
464 matory test was randomly generated in the following month so that 85% of
465 these tests were positive and 15% were negative. The confirmatory test was
466 assumed to have both a sensitivity and a specificity close to 1.

467 For each model, 4 chains were run in parallel. The first 5 000 MCMC
468 iterations were discarded (burn-in). The model was run for 5 000 more
469 iterations of which 1 in 20 was stored for analysis. This yielded 1 000 draws

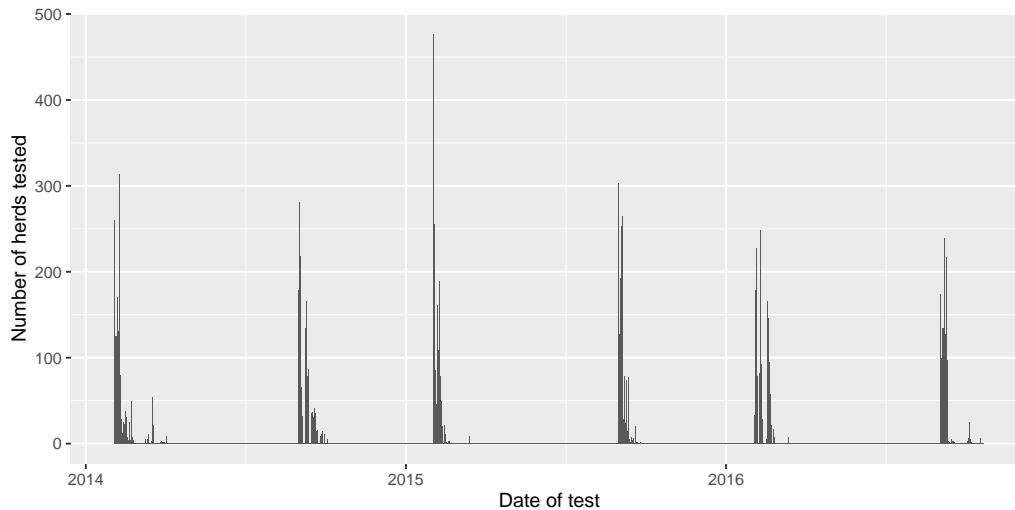


Figure 4: Distribution of the test dates between 2014 and 2017 in 1687 herds from Loire-Atlantique, France.

470 from the posterior distribution of each parameter. Convergence was assessed
471 visually using traceplots. Each distribution was summarised with its median
472 and 95% credibility interval.

473 3 Results

474 3.1 Test results

475 There were 9725 available test results from 1687 herds. Most herds were
476 tested in February and September (See Figure 4). Two normal distributions
477 were fit to the ODR data using the R mixdist package (Figure 5). The distri-
478 bution for seronegatives had a mean and standard deviation of 7.1 and 16.3
479 respectively. The distribution for seropositives had a mean and standard
480 deviation of 57 and 13 respectively. There were 58.6% and 41.4% of obser-
481 vations in the seronegative and seropositive distributions respectively. ODR
482 values above 35 (21% of ODR values) were categorised as test positive and
483 ODR values below 35 were categorised as test negative. The sensitivity and
484 the specificity of the threshold value of 35 for the classification of test results
485 with respect to seropositivity were estimated using the fitted distributions
486 as the gold standard. These estimated sensitivity and specificity were 0.956

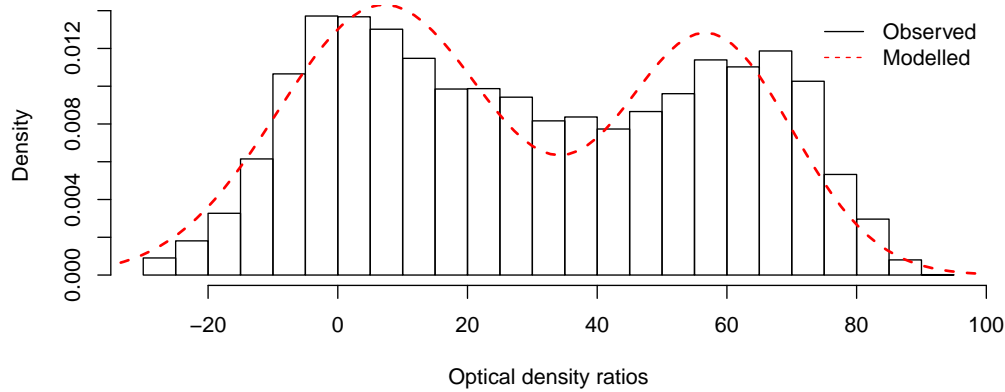


Figure 5: Distribution of the observed optical density ratios (histogramme) and fitted mixture of normal distributions (red dashed curves) for the bulk tank milk test results used in the analyses.

487 and 0.955 respectively. In the Bayesian models in which the latent status
488 was seropositivity, the prior distributions for sensitivity and specificity were
489 centred on these values.

490 3.2 Selection of risk factors

491 Risk factors related to animal introductions and seroprevalence were evalu-
492 ated with logistic models. The model outcome was a seroconversion event.
493 A first step of the analysis was, for each variable, to identify the time in-
494 terval that was the most predictive of an observed seroconversion. Figure 6
495 presents the AIC values associated with each possible interval for the vari-
496 ables $\ln(\text{Number of animals introduced} + 1)$ and local seroprevalence.

497 For the animal introduction variables, for the same time interval, the
498 AICs of the models of the untransformed number of animals were higher
499 than the ones for the log transformed values (not shown). It can also be
500 noted that considering longer intervals (further away from the diagonal) was
501 usually better than considering short intervals (close to the diagonal). It
502 may be that some herds never buy any animal while, on average, herds that
503 buy once have already done it in the past. In this case, it is possible that

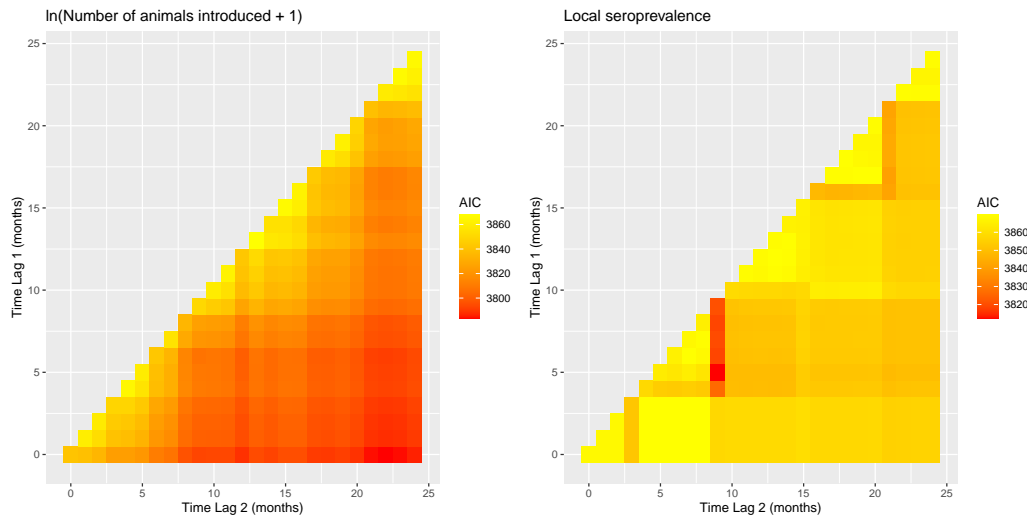


Figure 6: AIC values associated with logistic models of the association between 2 variables and the probability of seroconversion between 2 tests. The variable evaluated on the left-hand side panel is the sum of the log(number of animals introduced + 1) between lag1 and lag2. The variable evaluated on the right-hand side panel is the max of the local seroprevalence between lag1 and lag2.

504 the infection was introduced several times, while it is not possible to know
505 which animal introduction was associated with herd seroconversion. This
506 could explain the apparent cumulative effect of the number of introductions.
507 The cells that are close to the diagonal are associated with short intervals.
508 Considering one month intervals, the probability of infection was highest for
509 introductions made 8 months from the month of seroconversion.

510 Local seroprevalence was evaluated from data collected in 2 different test-
511 ing campaigns per year, as shown in Figure 4. For this reason, in the investi-
512 gation of lagged relationships between local seroprevalence and the probabili-
513 ty of seroconversion, the maximum local seroprevalence was computed, and
514 not the sum as for the number of animals introduced. The strength of as-
515 sociation between local seroprevalence and herd seroconversion was greatest
516 for local seroprevalence 9 months prior to herd seroconversion.

517 A final multivariable logistic model with an animal introduction variable
518 and a local seroprevalence variable was constructed. In the choice of the
519 time intervals to include in this model, the following elements were consid-

Table 2: Results of the final logistic model of the probability of seroconversion between consecutive tests.

	lag1	lag2	Estimate	p-value
Intercept	-	-	-1.96	7.99e-306
ln(Number animals introduced +1)	8	8	0.38	5.70e-10
local seroprevalence	9	9	4.59	3.39e-13

ered. First, the Bayesian model runs with a monthly time step. Aggregating
data over several months would result in including the same variable several
times. Secondly, historical data may sometimes be limited. Having the
smallest possible value for the end of the interval could be preferable. For
this reason the variables considered for the final model were the natural log-
arithm of the number of animals introduced 8 months prior to the month of
seroconversion as well as the local seroprevalence 9 months prior to the month
of seroconversion. The results of this model are presented in Table 2. All
variables were highly significant. The model intercept was the probability of
seroconversion in a herd introducing no animals and with local seroprevalence
of 0 in each of the time intervals considered. The probability of seroconversion
between 2 tests corresponding to this scenario was of 0.124. Buying 1,
10 or 100 animals increased this estimated probability to 0.171, 0.866 and 1
respectively. Buying no animals and observing a seroprevalence of 0.2 (pro-
portion of seropositives in the dataset) was associated with a probability of
seroconversion of 0.261.

3.3 Bayesian models

Running each of the 4 models for the 1687 herds with 3 years of data took on
average 7 hours per model. In models 2 to 4, the candidate covariates were
the natural logarithm of the number of animals introduced 8 months before
status evaluation/prediction as well as the local seroprevalence 9 months
prior. The 95% credibility interval for the estimated coefficient associated
with local seroprevalence included 0. This variable was therefore removed
from the models and only cattle introductions were considered.

Table 3: Median (2.5%, 97.5%) of the parameter posterior distributions used in the 4 Bayesian models evaluated. Model 1: Perfect routine test; Model 2: Perfect routine test and risk factors; Model 3: Imperfect routine test and risk factors; Model 4: Imperfect routine test, confirmatory testing and risk factors.

Parameter	Model 1	Model 2	Model 3	Model 4
Se BTM ODR	1 (0.999, 1)	1 (1, 1)	0.948 (0.942, 0.953)	0.949 (0.944, 0.955)
Se confirmatory	-	-	-	0.976 (0.973, 0.98)
Sp BTM ODR	1 (0.999, 1)	1 (0.999, 1)	0.932 (0.926, 0.938)	0.971 (0.964, 0.978)
Sp confirmatory	-	-	-	1 (1, 1)
τ_1	0.029 (0.027, 0.032)	-	-	-
τ_2	0.965 (0.962, 0.967)	0.964 (0.961, 0.967)	0.994 (0.993, 0.996)	0.974 (0.97, 0.977)
θ_1 (Intercept)	-	-3.631 (-3.718, -3.545)	-4.803 (-4.985, -4.646)	-3.825 (-3.94, -3.711)
θ_2	-	0.589 (0.482, 0.684)	0.682 (0.522, 0.813)	0.665 (0.547, 0.776)

544 3.3.1 Model parameters

545 Figure 7 and Table 3 show the distributions of model parameters for the 4
 546 models. Figure 8 shows the predicted probability of becoming status positive
 547 as a function of the number of animals introduced 9 months before status
 548 evaluation.

549 In Models 1 and 2, the prior distributions put on sensitivity and speci-
 550 ficity were very close to 1. With these models, the latent status corresponded
 551 to the test result. In effect, they modelled the monthly probabilities of transi-
 552 tion between BTM test negative and BTM test positive. In this case, the
 553 median (percentile 2.5 - percentile 97.5) probability of becoming status posi-
 554 tive between consecutive months was 0.029 (0.027 - 0.032). This represents
 555 a probability of becoming status positive over a 12 month period of 0.298
 556 (0.280 - 0.323). For status positive herds, the monthly probability of remain-
 557 ing positive was of 0.965 which represents a probability of still being status
 558 positive 12 months later of 0.652 (0.628-0.669). In model 2, a risk factor was
 559 incorporated into the estimation. The model intercept was much lower than
 560 the estimate from the logistic model estimated in the variable selection step.
 561 This was due to the different time steps considered (1 month vs. half a year).
 562 On the other hand, the estimate for the log number of animals introduced
 563 was higher.

564 In model 3, the prior distributions for test sensitivity and specificity were
 565 centred on 0.95 based on the mixture of 2 normal distributions for seroneg-

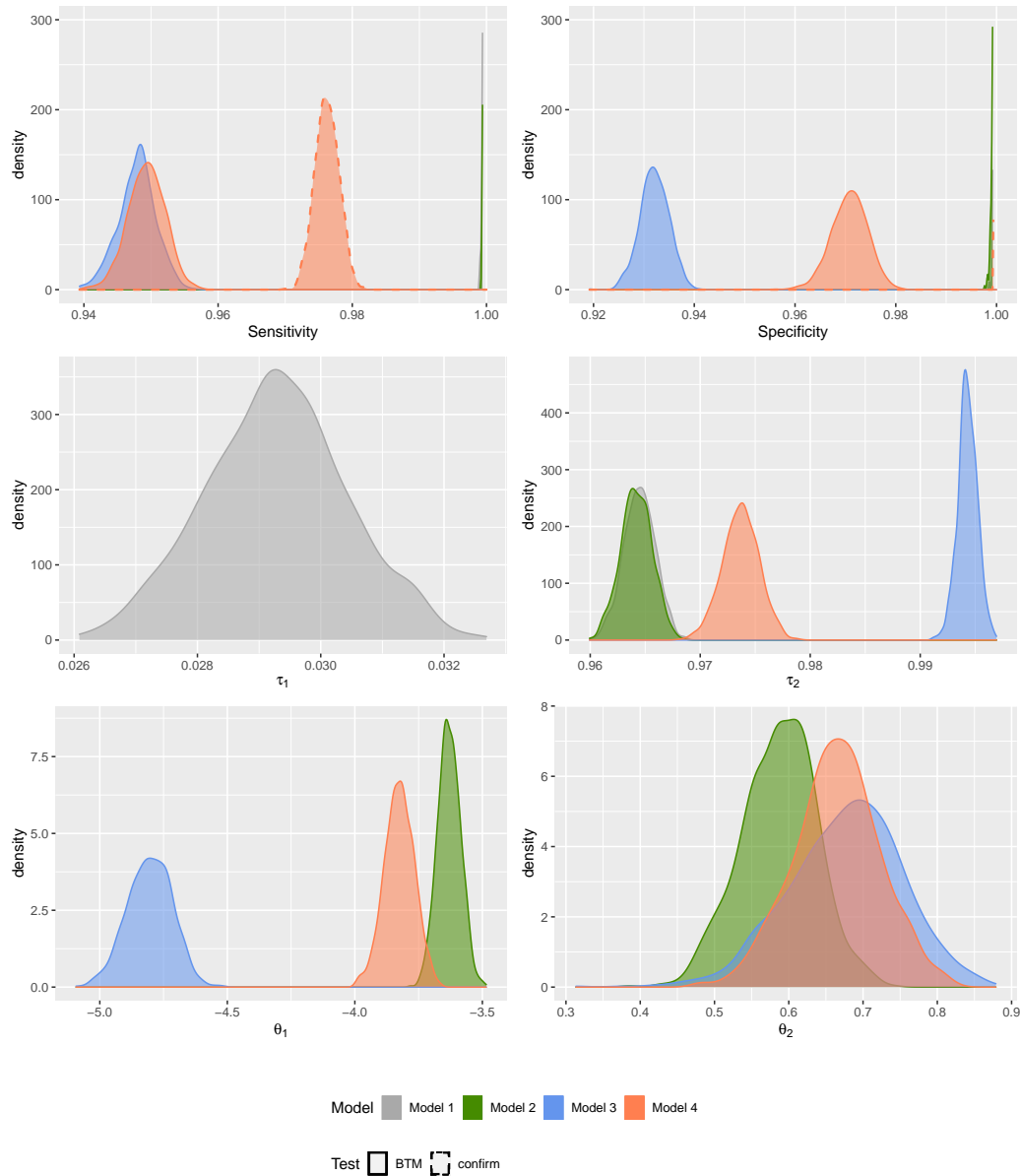


Figure 7: Parameters posterior distributions for the 4 Bayesian models. Model 1: Perfect routine test; Model 2: Perfect routine test and risk factors; Model 3: Imperfect routine test and risk factors; Model 4: Imperfect routine test, confirmatory testing and risk factors. Sensitivities and specificities close to 1 are not shown to facilitate reading. The dashed lines correspond to the distributions of the confirmatory tests. Parameters related to status dynamics are τ_1 (probability of becoming status positive between consecutive months) and τ_2 (probability of remaining status positive). τ_1 was only estimated for the model without risk factors (model 1). The parameters for the association between risk factors and the probability of becoming status positive are θ_1 and θ_2 . θ_1 is the intercept of the logistic model and θ_2 is the coefficient associated with the log of the number of animals introduced 8 months before status evaluation/prediction.

566 atives and seropositives that described best the BTM ODR data (see Sec-
567 tion 3.1). With this model, the latent status corresponded to seropositivity.
568 This assumption allowed the effect of having an imperfect test on the estima-
569 tion of the different model parameters to be investigated. In this scenario,
570 the posterior distribution for sensitivity was close to the prior, but the poste-
571 rior for the specificity was slightly lower. On the other hand, the distribution
572 for τ_2 was higher than when the test was considered perfect. This implies
573 that the model identified some test positives as false positives, but that the
574 ones that retained a positive status remained positive for longer. Compared
575 to Model 2, the probability of becoming status positive was lower in herds
576 buying no animals (model intercept), and tended to increase more rapidly
577 with the number of animals introduced (θ_2), although for 100 animals intro-
578 duced, the probability of becoming status positive was still lower than with
579 the other models (Figure 8). Because of the imperfect sensitivity of routine
580 testing, some herds that were seronegative at a test while seropositive at the
581 previous or following tests were classified as false negative by the model and
582 thereby were not included in the estimation of τ_1 , which may have decreased
583 the estimated strength of association between cattle introduction and new
584 infection. However, the estimates produced by this should be more accurate.

585 In model 4, confirmatory testing was added, with a testing procedure as-
586 sumed to have perfect sensitivity and specificity for the detection of farms
587 with infected animals. This resulted in several differences with model 3,
588 which illustrate the interplay between data and prior information. The added
589 confirmatory negative results often contradicted the data because, they were
590 generally followed by a positive routine test. This had the following conse-
591 quences. The posterior distribution for the sensitivity of confirmatory testing
592 was lower than its prior distribution, indicating that herds negative to con-
593 firmatory testing were classified as false negatives more often than suggested
594 by the priors. The fact that the estimated value for the specificity of BTM
595 testing was higher than in Model 3 shows that herds positive to routine test-
596 ing were considered to be true positives slightly more often. The fact that
597 the estimated value for τ_2 was lower than in Model 3 shows that status posi-
598 tive herds tended to clear infection more quickly, which allowed a more rapid
599 status change between routine and confirmatory testing. Because Model 4
600 resulted in more frequent changes in status, the coefficients for the associ-
601 ation between cattle introduction and new infections (Figure 8) were closer
602 between Model 4 and Model 2 than between Model 4 and Model 3.

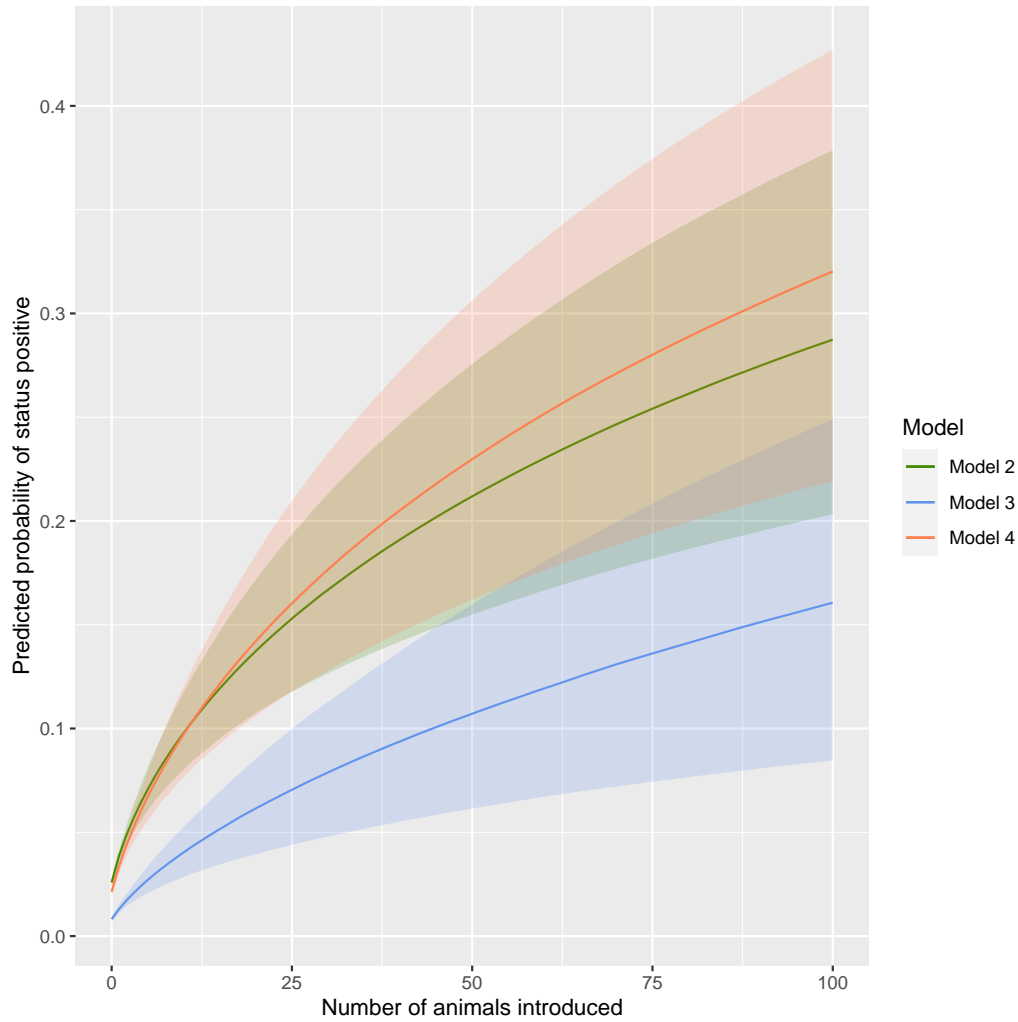


Figure 8: Predicted probability of new infection as a function of the number of animals introduced 8 months before the month of interest for the Bayesian models 2 to 4. Model 2: Perfect routine test and risk factors; Model 3: Imperfect routine test and risk factors; Model 4: Imperfect routine test, confirmatory testing and risk factors. The lines represent the median predicted values. The shaded areas represent the 95% credibility intervals.

603 3.3.2 Predicted probabilities of infection

604 Figure 9 shows the distributions of herd-level probabilities of infection pre-
605 dicted by the 4 Bayesian models. These probability distributions are bimodal
606 for all models. The left-hand side corresponds to herds that were predicted
607 status negative on the month before the month of prediction. These are
608 associated to becoming status positive, i.e. τ_1 . The right-hand side of the
609 distributions corresponds to herds that were predicted status positive on the
610 month before the month of prediction. These are associated to remaining
611 status positive, i.e. τ_2 . For models 3 and 4, which incorporate both risk
612 factors and test uncertainty, the modes are closer to 0 and 1 than for the
613 other 2 models. For Model 4, there is a third mode between 0.4 and 0.5.
614 This mode was associated with confirmatory testing.

615 Figure 10 shows the distributions of the predicted probability of being
616 status positive for 4 herds. It can be seen that herds that were consistently
617 negative (positive) to the test had extremely low (high) probabilities of being
618 status positive. Accounting for the number of animals introduced increased
619 the probability of infection in the herds that were test negative.

620 4 Discussion

621 This article describes a statistical framework for the prediction of an infection
622 related status from longitudinal data generated by CPs against infectious
623 diseases of farm animals. The statistical model developed estimates a herd
624 level probability of being *latent status* positive on a specific month, based
625 on input data that can vary in terms of the types of test used, frequency
626 of testing and risk factor data. This is achieved by modelling the latent
627 status with the same discrete time step, regardless of the frequency with
628 which input data are available, and by modelling changes in the latent status
629 between consecutive time steps. This model therefore fulfils one of our main
630 objectives which was to be able to integrate heterogeneous information into
631 the estimation. However, in order to be able to compare the output of this
632 model run on data from different CPs, the definition of the latent status
633 should be the same.

634 In this model, the latent status is mostly defined by the prior distribu-
635 tions put on the different model parameters. In setting the prior distributions
636 there are two issues: setting the distribution's central value (mean, median

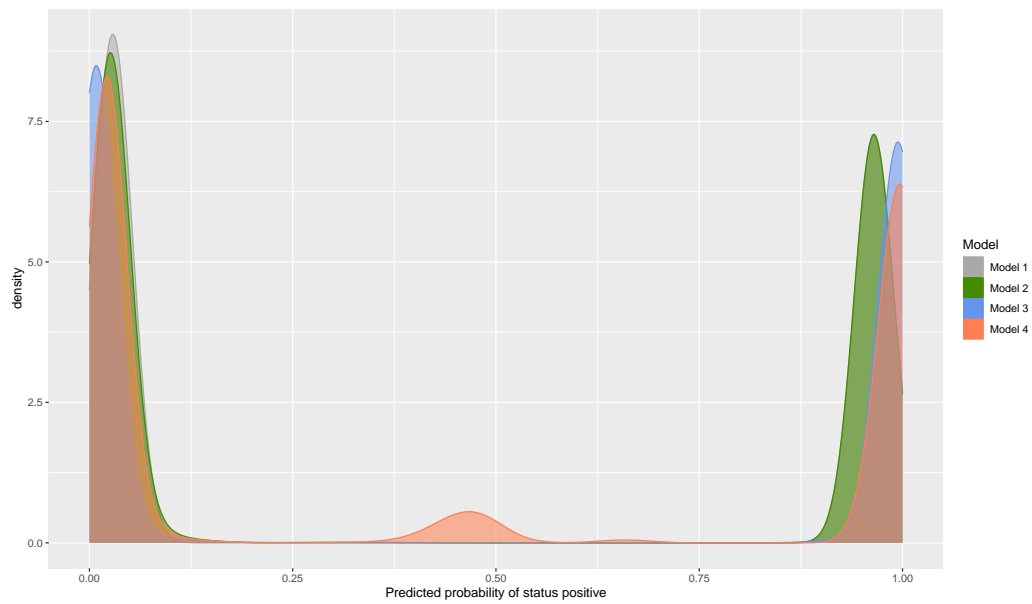


Figure 9: Distributions of the predicted probabilities of being status positive for all herds with the 4 Bayesian models evaluated. Model 1: Perfect routine test; Model 2: Perfect routine test and risk factors; Model 3: Imperfect routine test and risk factors; Model 4: Imperfect routine test, confirmatory testing and risk factors.

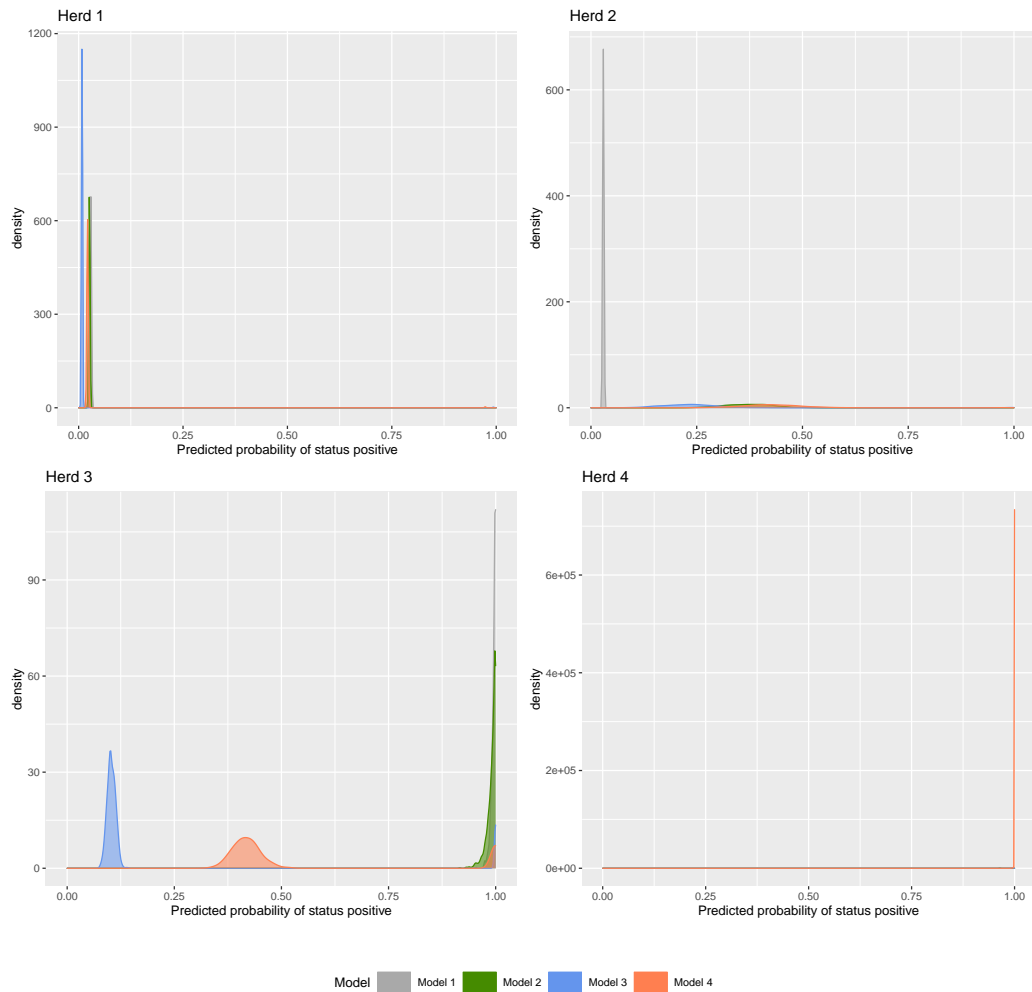


Figure 10: Distribution of predicted probabilities of being status positive on the month of prediction for 4 herds with the 4 models compared. Model 1: Perfect routine test; Model 2: Perfect routine test and risk factors; Model 3: Imperfect routine test and risk factors; Model 4: Imperfect routine test, confirmatory testing and risk factors. Herd 1 was test negative for 6 consecutive tests, introduced no animal. Herd 2 was test negative for 6 consecutive tests, introduced animals regularly (196 associated with the month of prediction). Herd 3 was test negative on the first 5 tests and test positive on the month of prediction, introduced animals regularly (3 introductions associated with the month of prediction). Herd 4 was test negative on the first 2 tests and test positive on the last 4 tests, introduced animals regularly.

637 ...) and setting the distribution width. Choosing the wrong central value,
638 i.e. the prior distribution does not include the true parameter value, can lead
639 to systematic error (bias) or absence of convergence. This problem will be
640 more important as prior distributions become narrower. Setting prior distri-
641 butions that are too wide can lead to a lack of convergence, when multiple
642 combinations of parameter values are compatible with the data. This was a
643 problem in initial modelling of the BVDV data (not shown). Putting narrow
644 prior distributions on test sensitivity and test specificity allowed the model
645 to converge. These narrow distributions imply very strong hypotheses on
646 test characteristics.

647 The definition of prior distributions for test characteristics that reflect
648 the latent status of interest is challenging (Duncan *et al.*, 2016). This was
649 apparent in the application to infection by the BVDV we presented. For
650 the trade of animals from herds that are free from infection by the BVDV,
651 the latent status of interest was the *presence of at least one PI animal in*
652 *the herd*. The test data available to estimate the probability of this event
653 were measures of bulk tank milk antibody levels which were used to define
654 seropositivity as a binary event. Although milk antibody level is associated
655 with the herd prevalence of antibody positive cows (Beaudeau *et al.*, 2001),
656 seropositive cows can remain long after all the PIs have been removed from
657 a herd. Furthermore, vaccination induces an antibody response which may
658 result in vaccinated herds being positive to serological testing regardless of
659 PI animal presence (Raue *et al.*, 2011; Booth *et al.*, 2013). Therefore, the
660 specificity of BTM seropositivity, i.e. the probability for herds with no PI
661 animals to be test negative, is less than 1. More importantly, this specificity
662 depends on the context; i.e. on the CP. PI animals can be identified and
663 removed more or less quickly depending on the CP, the proportion of herds
664 vaccinating and the reasons for starting vaccination can differ between CPs.
665 Test sensitivity can also be imperfect. Continuing with the example of bulk
666 tank milk testing, contacts between PI animals present on the farm and the
667 lactating herd may be infrequent, which would decrease sensitivity. The
668 probability of contact between PI animals and the lactating herd depends
669 on how herds are organised, which could vary between CPs. Furthermore,
670 the contribution of each seropositive cow to the BTM decreases as herd size
671 increases which can result in differences in BTM test sensitivity associated
672 with different herd sizes between CPs.

673 The effects of using different prior distributions for test characteristics
674 on latent status definition, parameter estimation and probability prediction

675 were evaluated. In models 1 and 2, the dichotomised BTM antibody test
676 results were modelled assuming perfect sensitivity and perfect specificity.
677 With these assumptions, the latent status was the dichotomised test results.
678 In Model 3, the BTM test was assumed to have both a sensitivity and a speci-
679 ficity concentrated around 95%, based on the normal distributions associated
680 with seronegativity and seropositivity identified by a mixture model. The la-
681 tent status in Model 3 can therefore be described as *seropositivity*. Because
682 overall the probability of changing status was small, assuming an imperfect
683 sensitivity lead to isolated negative test results in sequences of mostly posi-
684 tive test results to be considered false negatives, as shown by the increase
685 in the estimated value for τ_2 between Model 2 and Model 3. This illustrates
686 that in addition to test characteristics, status dynamics will determine the
687 latent status within herds. Model 4 was constructed to evaluate the impact
688 of incorporating confirmatory testing into the model. In CPs, herds that test
689 positive are usually re-tested in order to rule out a false positive test, and
690 to identify infected animals if needed. The testing procedure used in con-
691 firmatory testing usually has a high sensitivity and a higher specificity than
692 routine testing in relation to the gold standard. When incorporated into the
693 model, this high quality information, in conjunction with wider prior distri-
694 butions on routine testing specificity, should allow the posterior distribution
695 of the specificity of routine testing to be revised towards the gold standard.
696 Indeed, if a confirmatory test comes back negative, then the corresponding
697 latent status will become negative with high probability. Given the low prob-
698 ability of becoming status negative between consecutive months, the latent
699 status on the month of routine testing has an increased probability of be-
700 ing negative, leading to a decrease in the specificity of routine testing. This
701 could not be adequately demonstrated in Model 4, because simulating test
702 results at random was often not consistent with patterns of test results in
703 individual herds. However, this confirmed the importance of status dynamics
704 in estimating the latent status.

705 Status dynamics contributed to the definition of the latent status in sev-
706 eral ways. Negative test results interspersed with sequences of positive test
707 results will be classified as latent status positive (i.e. as false negatives) more
708 often as test sensitivity decreases and τ_2 increases. Positive test results in-
709 terspersed with sequences of negative test results will be classified as latent
710 status negative (i.e. as false positives) with increased frequency as test speci-
711 ficity and τ_1 each decrease. With a perfect test (sensitivity and specificity
712 equal to 1), the model can learn the values of τ_1 and τ_2 from the data, and

713 the prior distributions put on these parameters can be uninformative. With
714 decreasing values for test sensitivity and specificity, the information provided
715 through the prior distributions put on τ_1 and τ_2 becomes increasingly impor-
716 tant. The informative value of τ_1 and τ_2 will increase as the probability of
717 transition between latent status negative and latent status positive decrease,
718 i.e. when τ_1 is small and τ_2 is high.

719 When data on risk factors of new infection are available, the τ_1 parameter
720 is modelled as a function of these risk factors using logistic regression. In such
721 a case, prior distributions are put on the parameters of the logistic regression
722 and not on the the τ_1 parameter. In the application that we presented, we
723 used a prior distribution corresponding to a low probability of new infection
724 in the reference category (intercept: herds which introduced no animals) and
725 we centred the prior distribution for the association with cattle introductions
726 on a hypothesis of no association (mean = 0 on the logit scale). This allowed
727 the model to estimate the association between the risk factor and the latent
728 status from historical data and to use the estimated association to predict
729 probabilities of being latent status positive on the month of prediction. As
730 expected, the prior distributions put on test characteristics had an impact
731 on the parameter estimates. In Model 3, the model intercept was lower and
732 the estimated association between becoming latent status positive and cattle
733 introduction was higher than in the other models. The most likely explana-
734 tion for this is that Model 3 allowed the highest level of discrepancy between
735 dichotomised test result and latent status, while assuming a low probability
736 of changing status between months. This resulted in negative test results
737 in herds that were regularly positive to be classified as latent status positive
738 (false negatives, associated with lower test sensitivity, see Table 3) thereby re-
739 moving opportunities for new infections in herds that were regularly positive
740 while also buying animals. This would imply that the estimated association
741 from model 3 is more closely associated with new infections than estimates
742 from the other models because herds that are regularly test positive have
743 less weight in the estimation. It would also have been possible to base the
744 prior distributions for the model coefficients on published literature. Unfor-
745 tunately, estimates of the strengths of association between risk factors and
746 the probability of new infection are not readily available from the published
747 literature or are hard to compare between studies (van Roon *et al.*, 2020a).
748 However, estimates from the literature could allow the prior distributions to
749 be bounded within reasonable ranges.

750 Because the model takes a lot of time to run, the variables included in

751 the logistic regression were first identified with logistic models estimated by
752 maximum likelihood. This confirmed the importance of animal introduction
753 and neighbourhood contacts in new infections (Qi *et al.*, 2019). However, in
754 the Bayesian models, the 95% credibility for the association between local
755 seroprevalence and new infection included 0 and this variable was therefore
756 not included. The reason for this was not elucidated in this work. Other risk
757 factors such as herd size, participation in shows or markets, the practice of
758 common grazing have shown a consistent association with the probability of
759 new infection by the BVDV (van Roon *et al.*, 2020a). These variables were
760 not included in our model because the corresponding data were not available.
761 One advantage of our approach is the possibility to choose candidate risk
762 factors to include in the prediction of infection based on the data available in
763 a given CP. The associations between the selected putative risk factors and
764 the probability of new infection can be estimated from these data.

765 Given the reasonably good performance of tests for the detection of BVDV
766 infection, the main advantage of incorporating these risk factors was not to
767 complement the test results on a month a test was performed, but rather to
768 enhance the timeliness of detection. Risk factors that are associated with
769 new infection will increase the predicted probability of infection regardless
770 of the availability of a test result. Therefore, when testing is not frequent,
771 infected herds could be detected more quickly if risk factors of infection are
772 recorded frequently. If the available data on risk factors of new infection
773 captured all the possible routes of new infection, it would be possible to
774 perform tests more frequently in herds that have a higher probability of
775 infection as predicted by our model. In other words, our model could be
776 used for risk-based surveillance (Cameron, 2012).

777 In the CP from which the current data were used, herds are tested twice
778 a year. This could lead to a long delay between the birth of PI calves and
779 their detection through bulk tank milk testing. We addressed this problem
780 of *delayed detection* by proposing a method for the investigation of lagged
781 relationships between risk factor occurrence and new infections, and by in-
782 cluding lagged risk factor occurrences in the prediction of the probability of
783 infection. In our dataset, herds purchasing cattle were more likely to have
784 seroconverted 8 months after the introduction. In the Bayesian model, cattle
785 introduction was modelled as affecting the probability of becoming status
786 positive 8 months after the introduction. It can be argued that infection is
787 present but not detected during this period, as the expression *delayed detec-*
788 *tion* suggests, and that the probability of infection should increase as soon

789 as risk factor occurrence is recorded. Modelling this phenomenon would be
790 possible by decreasing the test sensitivity for a period corresponding to the
791 lag used in the current version of the model. This would imply that for this
792 duration, any negative BTM test result would not provide any information
793 about the true status regarding infection and that the herd would have an
794 increased predicted probability of infection. This could be incorporated in
795 future versions of the model.

796 There are several questions related to this modelling framework that
797 would require further work. The model outputs are distributions of herd
798 level probabilities of infection. Defining herds that are free from infection
799 from these distributions will require decision rules to be developed based on
800 distribution summaries (likely a percentile) and cut-off values. It would also
801 be possible to model the probability of remaining infected between consecu-
802 tive tests (τ_2) as a function of the control measures put in place in infected
803 herds. Another area that requires further investigations is the evaluation
804 of the modelling framework against a simulated gold standard to determine
805 whether it provides an added value compared to simpler methods. The avail-
806 ability of the model code as a Github repository allows interested users to
807 improve or suggest improvements to our modelling framework.

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814 **Conflict of interest disclosure**

815 The authors of this article declare that they have no financial conflict of
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