

1 **Polygenic risk scores implicate genetic pathways involved in**
2 **neurodevelopmental disorders in hearing thresholds and hearing**
3 **asymmetry in children**

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5 Running title:

6 Genetics of hearing, asymmetry and neurodevelopment
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1 **Abstract**

2 An efficient auditory system contributes to cognitive and psychosocial development.
3 A right ear advantage in hearing thresholds (HT) has been described in adults and
4 atypical patterns of left/right hearing threshold asymmetry (HTA) have been
5 described for psychiatric and neurodevelopmental conditions. Previous genome-wide
6 association studies (GWAS) on HT have mainly been conducted in elderly participants
7 whose hearing is more likely to be affected by environmental effects. We analysed HT
8 and HTA in a children population cohort (ALSPAC, $n = 6,743$, 7.6 years). Better hearing
9 was associated with more advanced cognitive skills and higher socioeconomic status
10 (SES). Mean HTA was negative (-0.28 dB), suggesting a left ear advantage in children
11 but mainly driven by females (-0.48 dB in females v -0.09 dB in males). We performed
12 the first GWAS on HT in children and the very first GWAS on HTA ($n = 5,344$). Single
13 marker trait association analysis did not yield significant hits. Polygenic risk score
14 (PRS) analysis revealed associations of PRS for schizophrenia with HT, which
15 remained significant after controlling for SES and cognitive skills, and of PRS for
16 autism spectrum disorders (ASD) with HTA. Gene-based analysis for HTA reached
17 genome-wide significance for *MCM5*, which is implicated in axon morphogenesis.
18 This analysis also highlighted other genes associated with contralateral axon crossing.
19 Some of these genes have previously been reported for ASD. These results further
20 support the hypothesis that pathways distinguishing the left/right axis of the brain (i.e.
21 commissural crossing) contribute to both different types of asymmetries (i.e. HTA) and
22 neurodevelopmental disorders.

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29 **Keywords:** hearing threshold, left/right asymmetry, audiometry, air conduction,
30 autism spectrum disorder, schizophrenia, axonogenesis, neuronal migration, axon
31 guidance, laterality, GWAS, ALSPAC

1 Introduction

2 The auditory system involves a series of complex distributed cerebral networks and
3 its impairment affects psychosocial, emotional and cognitive development¹. Hearing-
4 impaired children are at increased risk for learning disabilities² and even within the
5 normal range, better hearing has been associated with better reading skills, working
6 memory and nonverbal IQ in a sample of 1,638 UK school children³.

7 Hearing ability is usually defined as the threshold in decibel (dB) at which a tone is
8 perceived, so that lower values indicate better hearing. An age-related hearing decline
9 is well documented. In a Korean general population sample ($n > 15,000$) the hearing
10 threshold (HT) for medium frequencies declined from 3 dB in adolescents to 38 dB in
11 elderly participants⁴. A sex difference in favour of women was found in adults ($n =$
12 10,145; 30-69 years old), but not in children and young adults ($n = 3,458$).

13 Successful hearing requires transformation of changes in air pressure into vibrations
14 in the basilar membrane that is transferred onto sensory hair cells of the inner ear,
15 whose depolarization is initiated by deflection of mechano-sensitive hair bundles⁵. The
16 auditory nerve transmits these signals to the cochlear nucleus in the brainstem. The
17 majority of the input is transmitted to the contralateral superior olivary complex, while
18 a minor part of the input is transmitted ipsilaterally^{6,7}. Greater contralateral medial
19 olivonuclear suppression in the right compared to the left ear⁸ has been suggested as
20 the underlying correlate of a fundamental functional asymmetry between the left and
21 right ear. This hearing threshold asymmetry (HTA) has typically been reported as HT
22 left – HT right so that positive values indicate an advantage of the right and negative
23 values indicate an advantage of the left ear. In a study of more than 50,000 adults⁹, a
24 right ear advantage (HTA between 1 dB and 4 dB) has been reported with more
25 pronounced HTA in males than in females. In a children sample of $n = 1,191$, a right
26 ear advantage has been reported, albeit to a smaller extent than in adults¹⁰. Other
27 authors found a general right ear advantage in males ($n = \sim 400$, HTA between 0.1 dB
28 and 0.5 dB) and a left ear advantage in females for specific frequencies ($n = \sim 400$, HTA
29 between -0.1 and -0.4 dB)¹¹. Smaller studies reported a general left ear advantage in
30 children^{12,13}.

31 An absence of HTA has been reported in schizophrenia^{14,15} and ADHD¹⁶. Moreover,
32 symmetrical contralateral suppression in the olivary complex in the left and the right
33 ear in schizophrenia¹⁷ is in contrast with the right ear advantage typically found in
34 controls. In children and adolescents, a right ear advantage has been reported in a
35 sample of $n = 22$ with autism spectrum disorder (ASD) while no asymmetry was found
36 in the control group¹⁸. A developmental effect towards stronger HTA has been

1 reported in controls that was absent in ASD children ($n = 24$)¹⁹. Reduced laterality in
2 processing auditory stimuli was reported in ASD and bipolar disorder (BIP)
3 suggesting that HTA is linked to neurodevelopmental disorders^{20,21}.

4 Twin and family studies estimated the heritability for HT to range from .26 to .75 with
5 larger environmental effects for the non-dominant ear²²⁻²⁴. Genome-wide association
6 studies (GWAS) focused on age-related hearing loss in subjects ranging from 45 to 75
7 years of age and identified genes including *GRM7* and *ESRRG*²⁵⁻²⁸. GWAS for normal
8 hearing included subjects from 18 to 92 years of age²⁹⁻³¹ and implicated several genes
9 (i.e. *DCLK1*, *PTPRD*, *GRM8*, *CMIP*, *SIK3*, *PCDH20*, *SLC28A3*), which have partly been
10 associated with neurodevelopmental traits³²⁻³⁷. A case control design based on
11 electronic health records on age-related hearing loss identified SNPs near *ISG20* and
12 *TRIOBP*³⁸, which had previously been associated with prelingual nonsyndromic
13 hearing loss³⁹. In the UK Biobank, 41 and 7 independent loci have been identified for
14 hearing difficulty and hearing aid use, respectively, implicating genes such as *CDH23*,
15 *EYA4*, *KLHDC7B* and again *TRIOBP*⁴⁰. Mutations in *CDH23* have been associated with
16 early-onset hearing loss and Usher syndrome causing early-onset deafness⁴¹.

17 However, older subjects have had more exposure to environmental factors which
18 might affect hearing, such as extensive noise⁴², medication⁴³, chemicals⁴⁴ and medical
19 conditions⁴⁵. An investigation of HT in 250 monozygotic (MZ) and 307 dizygotic (DZ)
20 twin pairs from 36 to 80 years of age suggests that environmental effects become more
21 significant with age⁴⁶. Despite this age effect, no study has ever investigated genetic
22 factors involved in hearing function in children.

23 We analysed HT and HTA in children from the Avon Longitudinal Study of Parents
24 and Children (ALSPAC) ($n = 6,743$). Consistent with previous studies we found that
25 better hearing is associated with enhanced cognitive skills and higher SES. We report
26 the first GWAS for HT in children and the very first GWAS for HTA ($n = 5,344$). In
27 addition to single marker trait associations, we conducted gene-based and gene set
28 analyses and tested the effects of polygenic risk scores (PRS) for a range of
29 neurodevelopmental disorders, IQ and educational attainment (EA). Our results
30 suggest that PRS for schizophrenia are associated with HT, while PRS for ASD are
31 associated with HTA. Genes involved in contralateral axon crossing are associated
32 with HTA, linking genetic factors involved in axonogenesis and left/right axis to
33 different types of asymmetries and neurodevelopmental disorders.

1 **Materials and Methods**

2 *Cohort*

3 ALSPAC is a longitudinal cohort representing the general population living in the
4 Bristol area. Pregnant women resident in the county of Avon, UK, with expected dates
5 of delivery from 1st April 1991 to 31st December 1992 were invited to take part in the
6 study, resulting in 14,062 live births and 13,988 children who were alive at 1 year of
7 age^{47,48}. From age seven, all children were invited annually for assessments on a wide
8 range of physical, behavioural and neuropsychological traits. Informed written
9 consent was obtained from the parents after receiving a complete description of the
10 study at the time of enrolment into ALSPAC, with the option to withdraw at any time.
11 Ethical approval for the present study was obtained from the ALSPAC Law and Ethics
12 Committee and the Local Research Ethics Committees. The ALSPAC study website
13 contains details of all the data that is available through a fully searchable data
14 dictionary (<http://www.bris.ac.uk/alspac/researchers/data-access/data-dictionary/>).

15 *Phenotypes*

16 Audiometry was performed according to British Society of Audiologists standards.
17 Hearing tests were carried out in a room with minimal external noise. Testing was
18 stopped if the background noise level exceeded 35 dBA. The air conduction threshold,
19 i.e. the lowest intensity in decibels at which a tone is perceived 50% of the time (dBHL,
20 decibel hearing level), was tested using either a GSI 61 clinical audiometer or a
21 Kamplex AD12 audiometer. Lower dBHL values indicate better hearing. For each ear,
22 the air conduction threshold level was tested at 500 Hz, 1 kHz, 2 kHz and 4 kHz. For
23 each frequency, stimuli were first presented on the right and then on the left ear. The
24 average threshold across different frequencies was derived for each ear.

25 After applying exclusion criteria (supplementary methods), a sample of $n = 6,743$ was
26 available for phenotypic analysis (3,344 females, 3,391 males, 8 missing values for sex,
27 mean age = 7.59 years, SD = 0.32 years).

28 HT was defined as the average air conduction threshold on the better ear. HTA was
29 defined as the absolute difference in air conduction threshold between the left and
30 right ear. Thus, positive values indicate a right ear advantage, while negative values
31 indicate a left ear advantage. Handedness was assessed in terms of writing hand (5,805
32 right-handers, 787 left-handers, 151 missing values).

33 Cognitive skills were assessed using tests for reading ability⁴⁹, communication skills⁵⁰,
34 listening comprehension⁵¹, short term memory⁵², verbal and performance IQ⁵³ and EA
35 measured as capped General Certificate of Secondary Education (GCSE) scores (for

1 detailed descriptions, see supplementary methods). Maternal highest educational
2 qualification during pregnancy was used as a proxy for SES⁵⁴. Educational
3 qualification was grouped into ‘CSE and no education’, ‘vocational’, ‘O level’, ‘A level’
4 and ‘Degree’.

5 Children were assigned to neurodevelopmental and control subgroups as defined for
6 the ALSPAC sample previously³⁷. We specified subgroups for specific language
7 impairment (SLI) ($n = 155$), reading disability (RD) ($n = 141$), ASD ($n = 35$), ADHD ($n =$
8 21), comorbidity ($n = 49$) and a control sample matched for sex ($n = 2,071$). The
9 strategies for subgroup assignments are reported in the supplementary methods.

10 *Genotype quality control (QC) and imputation*

11 Genotypes were generated on the Illumina HumanHap550-quad array at the
12 Wellcome Trust Sanger Institute, Cambridge, UK and the Laboratory Corporation of
13 America, Burlington, NC, US. Standard QC was performed as described elsewhere⁵⁵.
14 In total, 9,115 subjects and 500,527 SNPs passed QC filtering. Haplotypes were
15 estimated using ShapeIT (v2.r644). QC-filtered autosomal SNPs were imputed using
16 Impute v3 using the HRC 1.1 reference data panel. Poorly imputed SNPs (Info score <
17 0.8) and SNPs with low minor allele frequency (MAF < 0.05) were excluded from
18 further analysis.

19 *Statistical analysis*

20 *Genetic association tests:* Genome-wide genotype data were available for 5,344 children
21 with phenotypes (2,691 males, 2,653 females, mean age = 7.58 years, SD = 0.31 years).
22 HT and HTA were inverse rank-transformed to achieve a normal distribution for
23 GWAS. Association testing was performed in Plink v2 under a generalised linear
24 modeling (GLM) framework specifying sex and the first two ancestry-informative
25 principal components as covariates. Overall, 4,875,234 SNPs that were either directly
26 genotyped or imputed and passed QC were tested for association. The genomic
27 inflation factor (λ) was calculated for all SNPs and revealed no evidence of population
28 structure (HT: $\lambda = 1.02$, HTA: $\lambda = 1.00$). For HT, we specifically tested for replication of
29 markers associated with quantitative hearing phenotypes in previous studies ($p < 10^{-5}$
30 ^{28,31}, $p < 10^{-6}$ ^{29,30}). We also tested for replication of markers showing genome-wide
31 significance in case-control GWAS on age-related hearing loss ($p < 5 \times 10^{-8}$ ^{38,40}). This
32 procedure resulted in 644 unique markers, 502 of which overlapped with the markers
33 tested in this study. The Bonferroni-corrected significance level was thus set to 0.05/502
34 = .0001.

1 *Annotation and gene mapping:* We applied FUMA v1.3.6⁵⁶ on the GWAS summary
2 statistics. Functional consequences of SNPs were obtained by performing ANNOVAR
3 ⁵⁷ using Ensembl genes (build 85). SNPs were mapped to genes based on positional
4 mapping. Intergenic SNPs were annotated to the closest genes upstream and
5 downstream. Input SNPs were mapped to 18,024 protein-coding genes.

6 *Gene-based and gene set analyses:* FUMA implements MAGMA v1.07⁵⁸ to summarise
7 SNP associations at the gene level (gene-based analysis) and associate the set of genes
8 to biological pathways (gene set analysis). Gene-based p values were computed using
9 an F-test in a multiple linear principal components regression while accounting for LD
10 between SNPs. Genome-wide significance was defined as $p = 0.05/18,024 = 2.8 \times 10^{-6}$.
11 For gene set analysis, MAGMA converts gene-based p values into z values, which
12 reflect the strength of association. MAGMA performs a competitive gene set analysis,
13 comparing the mean association of genes within a gene set with the mean association
14 of genes not in the gene set while correcting for gene size and density. Gene set p values
15 were computed for 7,343 gene ontology (GO) terms for biological processes obtained
16 from MsigDB v5.2. The Bonferroni-corrected significance level was set to $0.05/7,343 =$
17 6.8×10^{-6} .

18 *PRS:* We carried out PRS analyses to investigate the genetic overlap of markers
19 associated with HT/HTA and relevant traits and conditions using PRSice 2.2.11.b⁵⁹.
20 PRSice uses GWAS summary statistics as training GWAS to build PRS, which are then
21 tested as predictors in the target GWAS. Psychiatric Genomics Consortium summary
22 statistics were downloaded (<https://www.med.unc.edu/pgc/data-index/>) for
23 schizophrenia⁶⁰, ADHD⁶¹, ASD and BIP⁶² as these are the psychiatric and
24 neurodevelopmental conditions often found to be associated with reduced
25 laterality^{15,17,18,21}. Based on associations of HT with cognitive skills, GCSE scores and
26 SES, we downloaded summary statistics for IQ⁶³ from the Complex Trait Genetics lab
27 website (https://ctg.cncr.nl/software/summary_statistics) and EA⁶⁴ from the Social
28 Science Genetic Association Consortium (<https://www.thessgac.org/data>).

29 SNPs were clumped based on LD ($r^2 \geq 0.1$) within a 250 kb window. PRS were derived
30 as the weighted sum of risk alleles based on odds ratios or beta values from the training
31 GWAS summary statistics. Sex and first two principal components were included as
32 covariates. Results are presented for the optimal training GWAS p value threshold
33 (explaining the highest proportion of phenotypic variance in the target GWAS). For
34 training GWAS p value thresholds and number of SNPs included in the PRS, see
35 Supplementary Table S1. For six training GWAS and two target GWAS (HT and HTA),
36 the Bonferroni-corrected significance level was set to $.05/12 = .004$. For significant

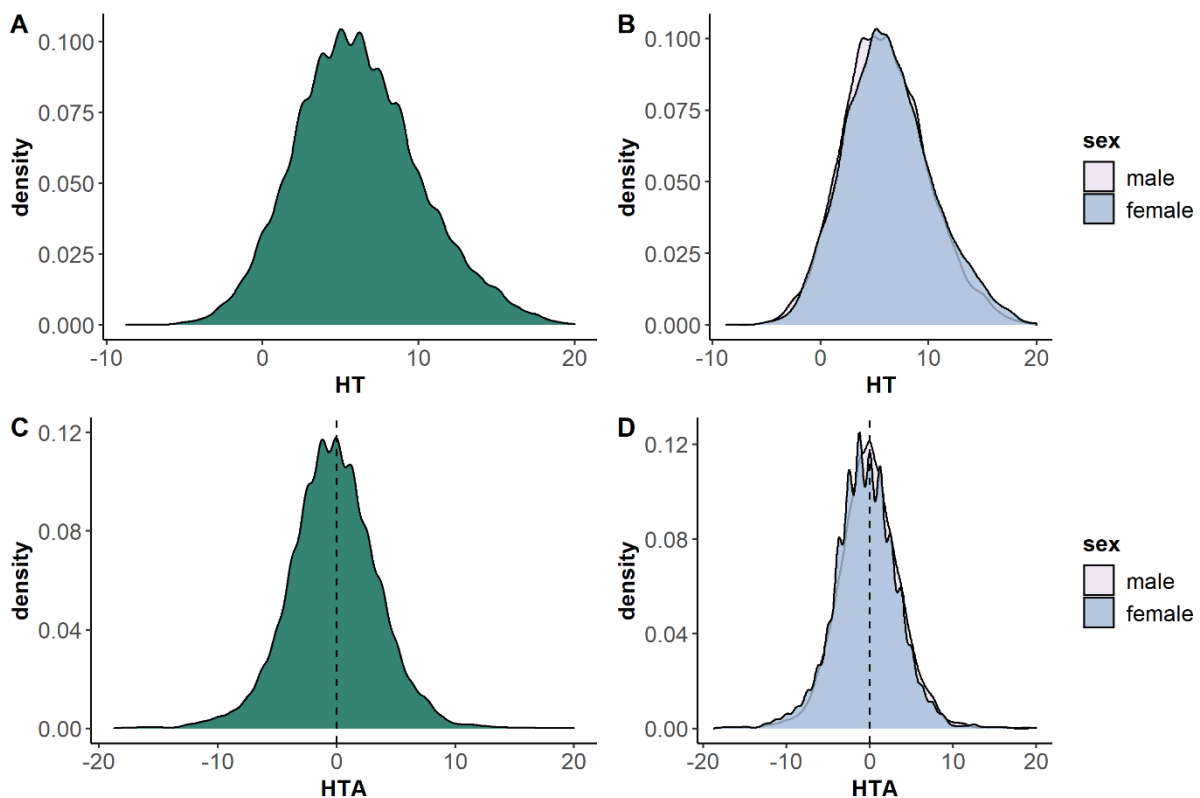
- 1 effects, analyses were repeated for males and females separately and including only
- 2 the first two principal components as covariates.
- 3 Data preparation and visualization was performed using R v.4.0.0. All analysis scripts
- 4 are available through Open Science Framework (<https://osf.io/gewj2/>).

1 Results

2 Phenotypes

3 We first analysed the distribution of HT and HTA in the overall sample ($n = 6,743$)
4 (results for the subset used in the GWAS, $n = 5,344$, are shown in Supplementary Figure
5 S1). HT ranged from -8.75 to 20.00. Mean HT was 6.14 (SD = 3.97) (Figure 1A). Females
6 ($n = 3,344$) showed slightly higher HT (mean = 6.30, SD = 4.06) than males ($n = 3,391$,
7 mean = 5.98, SD = 3.88), $t_{(6709.2)} = -3.31$, $p = .001$ (Figure 1B).

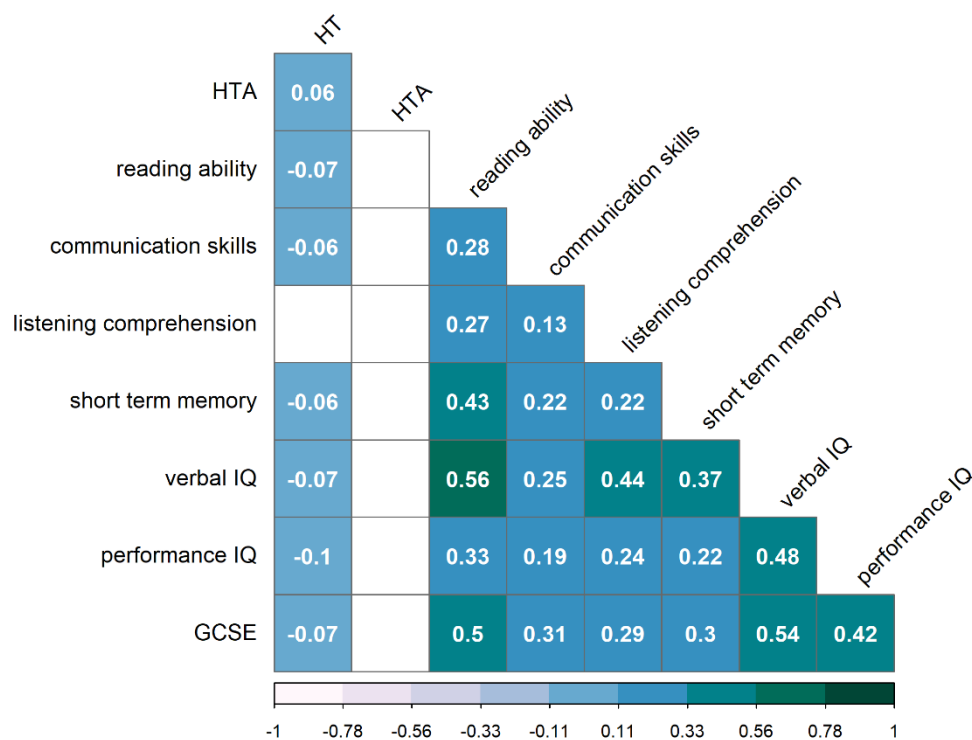
8 HTA ranged from -18.75 to 20 and revealed a significant left ear advantage for the
9 overall sample (mean = -0.28, SD = 3.75) as determined by one-sample t -test against
10 zero ($t_{(6742)} = -6.17$, $p = 7.37 \times 10^{-10}$) (Figure 1C). Females showed stronger HTA (mean =
11 -0.48, SD = 3.81) than males (mean = -0.09, SD = 3.68), $t_{(6716.9)} = 4.33$, $p = 1.54 \times 10^{-5}$ (Figure
12 1D). We thus performed one-sample t -tests against zero for females and males
13 separately. While females showed a significant left ear advantage ($t_{(3343)} = -7.30$, $p = 3.50$
14 $\times 10^{-13}$), there was no ear advantage in males ($t_{(3390)} = -1.37$, $p = .172$).



15

16 Figure 1: Distribution of HT and HTA. A) Distribution of HT (better ear) in the overall sample ($n =$
17 6743), and B) as a function of sex. C) Distribution of HTA in the overall sample, and D) as a function
18 of sex. The dotted line represents no asymmetry between the left and right ear.

1 There was no evidence for an effect of handedness on HTA (right-handers: $n = 5,805$,
 2 $M = -0.28$, $SD = 3.75$; left-handers: $n = 787$, $M = -0.24$, $SD = 3.72$, $t_{(1015)} = -0.29$, $p = .776$).
 3 Bivariate Pearson correlations revealed significant positive correlations among the
 4 different cognitive measures as previously reported³⁷. There were significant negative
 5 correlations after Bonferroni correction (36 comparisons, $p < .0014$) for all cognitive
 6 measures but listening comprehension with HT (Figure 2), indicating that lower HT
 7 (better hearing) is associated with better cognitive performance (correlation plots are
 8 shown in Supplementary Figure S2) and higher GCSE scores. There was no association
 9 between HTA and cognitive measures.



10

11 *Figure 2: Correlation matrix for HT, HTA and cognitive measures. Correlation coefficients are shown*
 12 *if passing the Bonferroni-corrected significance level ($p < .0014$). Sample sizes range from $n = 4730$ to*
 13 *$n = 6743$ depending on data availability.*

14 One-way between-subjects ANOVAs were conducted to compare the effect of SES on HT and HTA. There was a significant effect of SES on HT ($F_{(4,6137)} = 7.25$, $p = 8.1 \times 10^{-6}$).
 15 Post hoc comparisons using the Tukey test indicated significantly lower HT for 'A level' (mean = 6.12, $SD = 3.95$), 'O level' (mean = 5.91, $SD = 3.93$) and 'Degree' (mean =
 16 5.92, $SD = 4.05$) compared to 'CSE' (mean = 6.69, $SD = 4.12$) and significantly lower HT for 'O level' and 'Degree' compared to 'Vocational' (mean = 6.52, $SD = 3.84$), indicating
 17 higher SES is associated with better hearing (Supplementary Figure S3). There was no
 18 significant effect of SES on HTA ($F_{(4,6137)} = 1.78$, $p = .130$).
 19
 20
 21

1 Two-sample *t*-tests revealed no difference between children affected by
2 neurodevelopmental disorders and sex-matched controls in HT (Supplementary Table
3 S2) or HTA (Supplementary Table S3). However, there was a consistent pattern across
4 neurodevelopmental subgroups with more negative HTA compared to the control
5 group, indicating more leftward asymmetry.

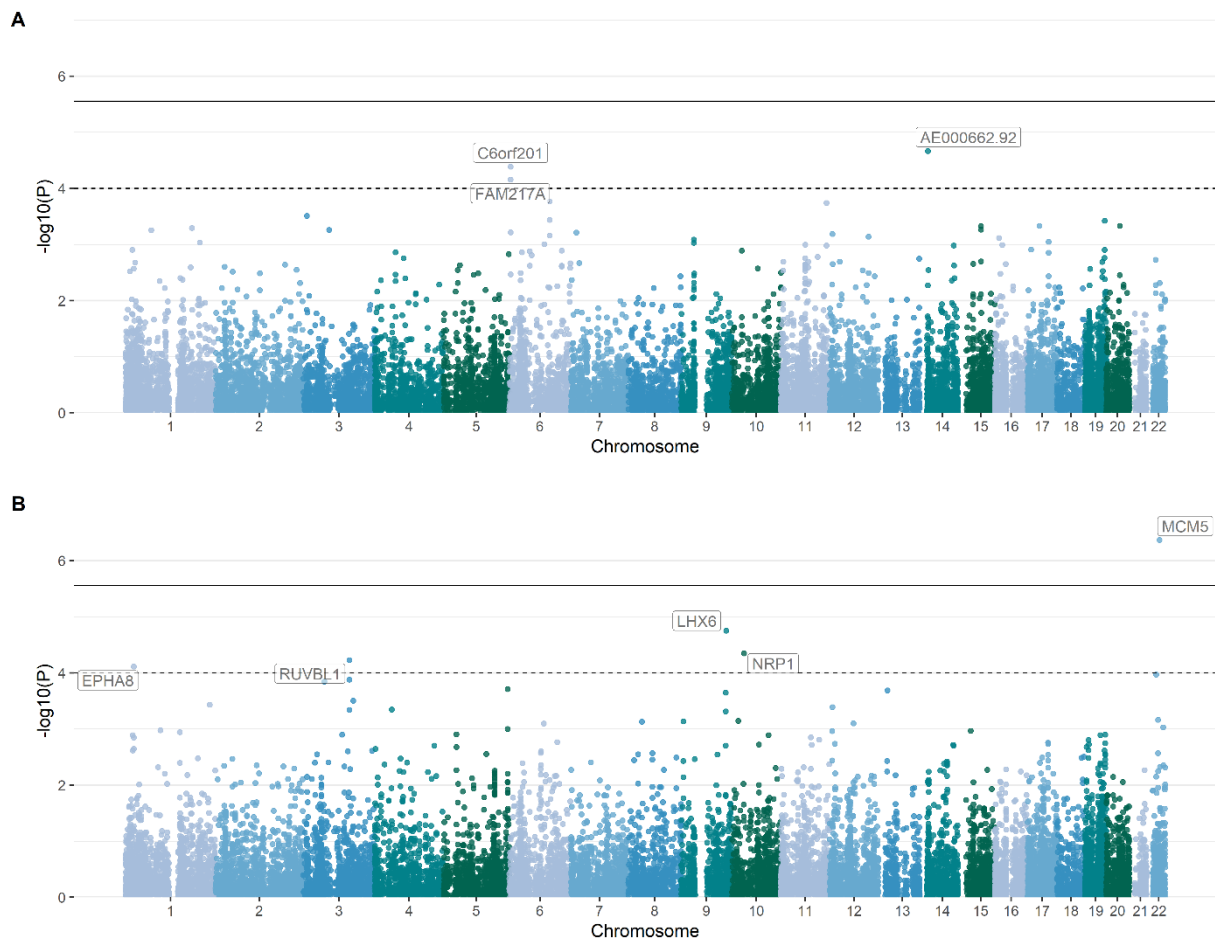
6 *Genetic association tests*

7 GWAS was performed in $n = 5,344$ children. No individual SNP reached genome-wide
8 significance for HT (Supplementary Table S4). The strongest association was for
9 marker rs11644235 on chromosome 16 ($p = 1.51 \times 10^{-6}$) with each copy of the minor
10 allele (MAF = 0.42) shifting an individual 0.09 standard deviations towards lower HT
11 (i.e. better hearing). In the replication analysis, one marker reached Bonferroni-
12 corrected significance (rs12955474, $\beta = -0.17$, $p = 5.59 \times 10^{-5}$). This marker had been
13 previously reported in a GWAS for the third PC on HT over different frequencies (0.25,
14 0.5, 1, 2, 3, 4, 6, 8 kHz) ($\beta = -0.10$, $p = 3.57 \times 10^{-7}$)²⁸. Another eight SNPs reached nominal
15 significance with effects in the same direction (Supplementary Table S5), among those
16 five reported on HT at 2 kHz and 4kHz³¹, one reported on PC3²⁹ and two markers
17 reported as genome-wide significant for hearing loss⁴⁰.

18 No individual SNP reached genome-wide significance for HTA (Supplementary Table
19 S6). The marker rs10434985 on chromosome 7 was the most strongly associated SNP
20 ($p = 7.27 \times 10^{-7}$) with each copy of the minor allele (MAF = 0.21) shifting an individual
21 0.12 standard deviations towards better hearing on the left ear. Manhattan and QQ
22 plots are shown in Supplementary Figure S4-S6.

23 Gene-based analysis highlighted three suggestive associations for HT (*AE000662.92*:
24 association $p = 2.15 \times 10^{-5}$; *C6orf201*: association $p = 4.10 \times 10^{-5}$; *FAM217A*: association p
25 $= 6.95 \times 10^{-5}$) (Figure 3).

26 The *MCM5* gene reached the genome-wide significance level for HTA ($p = 4.30 \times 10^{-7}$)
27 (Figure 3). Other four genes reached the suggestive significance level (*LHX6*, $p = 1.77 \times$
28 10^{-5} ; *NRP1*, $p = 4.50 \times 10^{-5}$; *RUVBL1*, $p = 5.89 \times 10^{-5}$; *EPHA8*, $p = 7.67 \times 10^{-5}$). QQ plots are
29 shown in Supplementary Figures S7-S8.



1

2 Figure 3: Gene-based analysis results. Manhattan plots are shown for A) HT and B) HTA. Gene-based
3 association p values are plotted against chromosome and position. The solid line represents the genome-
4 wide significance level ($p = 2.8 \times 10^{-6}$), the dotted line represents the suggestive significance level ($p =$
5 $.0001$).

6 In gene set enrichment analysis no GO term reached the Bonferroni-corrected level of
7 significance ($p = 6.8 \times 10^{-6}$) for either HT or HTA. “Translational termination (GO:
8 0006415)” ($p = 1.17 \times 10^{-5}$) and “cerebral cortex tangential migration (GO:0021800)” ($p =$
9 4.17×10^{-5}) were the strongest associations detected for HT and HTA, respectively.

10 PRS

11 PRS were tested for IQ and EA based on the correlations between HT and cognitive
12 measures and GCSE scores (Figure 2). PRS for four neurodevelopmental conditions
13 were tested on the basis of previously reported associations with HTA in the literature
14 ^{15,17,18,21}. PRS for ADHD showed an association with HT ($R^2 = 0.003$, $\beta = 314.98$, $SE =$
15 102.78 , $p = .002$, Figure 4), indicating that higher genetic risk for ADHD is associated
16 with higher HT, i.e. worse hearing. This effect was stronger in females than in males
17 (females: $R^2 = 0.004$, $\beta = 571.67$, $SE = 268.11$, $p = .033$; males: $R^2 = 0.003$, $\beta = 275.60$, $SE =$

1 97.83, $p = .005$). In contrast, schizophrenia PRS showed a negative association with HT,
2 suggesting that higher genetic risk for schizophrenia is associated with lower HT, i.e.
3 better hearing ($R^2 = 0.003$, $\beta = -280.16$, $SE = 85.70$, $p = .001$, Figure 4). This effect was
4 stronger in males than in females (females: $R^2 = 0.003$, $\beta = -100.73$, $SE = 54.99$, $p = .067$;
5 males: $R^2 = 0.004$, $\beta = -408.09$, $SE = 128.37$, $p = .001$). PRS for EA reached borderline
6 significance for HT ($R^2 = 0.003$, $\beta = -1593.45$, $SE = 588.06$, $p = .007$, Figure 4). The negative
7 association suggests that a genetic predisposition towards higher EA is associated with
8 better hearing.



9

10 *Figure 4: PRS analysis results. $-\log_{10}(p)$ values are reported for PRS analysis on six training GWAS*
11 *and two target GWAS (HT and HTA). PRS for ADHD and schizophrenia contribute to HT, while PRS*
12 *for ASD contributes to HTA. BIP = bipolar disorder, SCZ = schizophrenia, EA = educational*
13 *attainment.*

14 Based on this association and behavioural correlations, we next tested whether the
15 associations between ADHD and schizophrenia PRS with HT were mediated by
16 cognitive skills. We thus reran the PRS analysis on HT with ADHD and schizophrenia
17 as training GWAS and using sex, the first two ancestry-informative principal
18 components and cognitive skills as covariates. This was done separately for reading
19 ability, communication skills, short term memory, verbal IQ, performance IQ and
20 GCSE score. In addition, we tested whether the associations between ADHD and
21 schizophrenia PRS with HT were mediated by SES and EA PRS by using these
22 variables as covariates. This procedure resulted in 16 PRS analyses (two training
23 GWAS, eight covariates). The effect of ADHD PRS on HT was reduced after adjusting
24 for most cognitive skills, SES and EA PRS (β ranging from 2.90 to 309.32,
25 Supplementary Table S7), suggesting that the association is mediated by these
26 variables. In contrast, the effect of schizophrenia PRS on HT remained similar after
27 adjusting for SES, GCSE and EA PRS and was enlarged after adjusting for cognitive
28 skills (β ranging from -302.94 to -422.54).

1 PRS for ASD showed a negative association with HTA, indicating that higher genetic
2 risk for ASD is associated with more leftward HTA ($R^2 = 0.004$, $\beta = -461.79$, $SE = 158.45$,
3 $p = .0036$, Figure 4). Thus, higher PRS for ASD shift the mean towards the left of the
4 distribution, which suggests stronger asymmetry. This effect was stronger in males
5 than in females (females: $R^2 = 0.003$, $\beta = -404.05$, $SE = 223.93$, $p = .071$; males: $R^2 = 0.003$,
6 $\beta = -982.26$, $SE = 373.22$, $p = .009$). There was no effect of PRS for IQ or BIP on either
7 phenotype.

8

1 Discussion

2 We report a comprehensive study of HT and HTA in children to dissect their
3 relationship with cognitive abilities and neurodevelopmental disorders both at
4 phenotypic and genetic level. We confirm that better hearing is associated with better
5 performance on a range of cognitive abilities (Figure 2). We also report the results of
6 the first GWAS on HT in children. Single marker, gene-based and gene set enrichment
7 analyses did not lead to any statistically significant results. However, PRS for both
8 ADHD and schizophrenia were significantly associated with HT (Figure 4), with
9 genetic risk for ADHD and schizophrenia increasing and decreasing HT, respectively.
10 We also report the very first GWAS for HTA. Although we did not detect any single
11 marker associations, we found that PRS for ASD were statistically associated with
12 HTA (Figure 4), suggesting that higher genetic risk for ASD is associated with a shift
13 towards the left ear, indicating more asymmetry with better left ear performance.
14 Gene-based analysis for HTA highlighted several genes involved in axon guidance
15 and commissural axon crossing in sensory cerebral networks. Some of these genes
16 have previously been implicated in ASD.

17 At the phenotypic level, previous studies reported lower HT in females⁶⁵. However,
18 this sex difference emerged around the age of 30, while there was no sex difference in
19 children and young adults⁴. Our data ($n = 6,743$) showed slightly higher HT in females
20 (6.30 dB) compared to males (5.98 dB) (Figure 1). Therefore, our data are in agreement
21 with a sex-specific developmental trajectory resulting in better hearing in female
22 adults that is not detectable in children. Consistent with previous studies³, we found
23 that in the normal range of variation, HT is negatively associated with several
24 cognitive skills (Figure 2). We did not detect any association between PRS for IQ and
25 HT suggesting that this association is not mediated by shared biological pathways. A
26 cause-effect relationship would be possible, but cannot be easily explained by our data.
27 The analysis of PRS for ADHD and schizophrenia instead support a role of genes
28 implicated in neurodevelopmental disorders contributing to HT. There was no
29 association between HT and ADHD at the behavioural level, however this analysis
30 was based on a very small sample of children meeting the criteria for ADHD ($n = 21$)
31 in our dataset and therefore the results might not be conclusive (Supplementary Table
32 S2). Hearing deficits in ADHD have been reported in terms of speech perception⁶⁶, but
33 not in air conduction thresholds. Moreover, the effect of ADHD PRS on HT was
34 reduced after adjusting for cognitive skills, PRS and EA PRS (Supplementary Table
35 S7), suggesting that the effect was mediated by cognitive factors. In contrast, we found
36 that higher genetic risk for schizophrenia is associated with better hearing. This effect
37 was still found after adjusting for cognitive skills (Supplementary Table S7). PRS for

1 schizophrenia have recently been associated with better language skills, but not overall
2 school performance⁶⁷. Thus, the association between better hearing and language
3 development (Figure 2) could be based on shared biological pathways which also
4 increase the risk for schizophrenia. On the phenotypic level, there are no HT
5 differences between individuals affected by schizophrenia and controls⁶⁸. Although no
6 single marker trait associations reached significance in the GWAS for HT
7 (Supplementary Figure S4), the top marker on chromosome 15 (rs1039444) is located
8 in an intron of *RAB8B*, which encodes for a GTPase that is expressed in inner and outer
9 hair cells and is involved in autosomal recessive deafness⁶⁹. Targeted analysis for
10 markers reported in previous GWAS for HT in adults replicated association with only
11 one marker, rs12955474, which is located in an intron of the *CCBE1* gene²⁸. Other
12 markers in this gene have been associated with depression⁷⁰ and left entorhinal cortex
13 volume⁷¹.

14 Phenotypic analysis for HTA revealed an overall left ear advantage with a lower air
15 conduction threshold of 0.28 dB on average (Figure 1), replicating results from smaller
16 studies in children^{12,13}. This result, which indicates a left ear advantage, was driven by
17 females. Thus, a tendency towards the sex effect on HTA reported in adults seems to
18 be established already in children. It is possible that a developmental shift towards the
19 right ear in both sexes, resulting in reduced asymmetry in female and a rightward
20 asymmetry in males, is driven by environmental factors⁷². This developmental shift
21 towards stronger HTA has not been observed in children with ASD¹⁹. We found that
22 PRS for ASD are associated with HTA. Higher genetic risk for ASD was linked to better
23 hearing on the left compared to the right ear. Although not significant, this is consistent
24 with the behavioural data, where we find a more leftward asymmetry in cases than
25 controls across different subgroups for disorders.

26 We found more negative HTA in neurodevelopmental conditions including ASD
27 compared to controls on the behavioural level that is congruent with the PRS results.
28 Different types of asymmetry such as structural brain asymmetry⁷³, frontal alpha
29 asymmetry⁷⁴, language processing⁷⁵ and handedness⁷⁶ have been implicated in ASD.

30 Gene-based analysis on HTA revealed genome-wide significance for the *MCM5* gene
31 (Figure 3). *MCM5* has been linked to ASD by an algorithm modeling genomic data
32 from cortical tissue⁷⁷. In *C. elegans*, homozygous *mcm-5* mutants showed reduced
33 neuron numbers and absent commissures from ventral to dorsal cord neurons⁷⁸.
34 Among the genes reaching the suggestive significance level, *LHX6* is required for
35 neuronal migration⁷⁹ and survival of cortical interneurons⁸⁰. The receptor encoded by
36 *EPHA8* plays a critical role in axonal guidance during neurodevelopment⁸¹. In mice,

1 Epha8 has been shown contribute to correct formation of crossed commissural axons
2 contributing to the auditory system⁸². *NRP1* encodes for a receptor associated with
3 contralateral axon crossing at the optic chiasm in zebrafish⁸³ and mice⁸⁴. *NRP1* interacts
4 with *TAOK2*, for which downregulation has also been reported to impair axon
5 crossing at the midline⁸⁵ and to decrease the volumes of the *corpus callosum* and anterior
6 commissure⁸⁶. *TAOK2* is located on chromosome 16p11.2, which has been linked to
7 ASD susceptibility⁸⁷. Overall, the top hits of gene-based analysis for HTA have roles in
8 axon morphogenesis and controlling midline crossing. Previous large-scale GWAS for
9 handedness and structural brain asymmetries suggested a role of genes involved in
10 axonogenesis, microtubules and cytoskeleton formation⁸⁸⁻⁹¹. A smaller GWAS meta-
11 analysis (including the ALSPAC sample) on a quantitative measure of relative hand
12 skill implicated genes with a known role in establishing body asymmetries⁵⁵ that also
13 play a role in neurodevelopmental disorders⁹². Of note, *RUVBL1*, one of the genes
14 reaching suggestive significance in the GWAS on HTA, plays a fundamental role in
15 symmetry breaking and cardiac development⁹³. Moreover, *LHX6* inhibits the
16 transcription of *PITX2*⁹⁴, which encodes for a transcription factor that is
17 asymmetrically expressed during development of the heart and other organs and
18 plays a direct role in their asymmetric morphology⁹⁵. Thus, the current results are in
19 line with the idea that shared genetic components contribute to different types of
20 asymmetry and suggest that genes affecting structural asymmetry and contralateral
21 axon crossing in early embryonic development might contribute to laterality and
22 neurodevelopmental traits⁹⁶.

23 A possible limitation of the current study is that stimulus presentation was not
24 randomised, but the right ear was always tested first. Testing the right ear first has
25 been more common in previous studies than vice versa, which could either result in a
26 learning effect (favouring the left ear) or in a fatigue effect (favouring the right ear)⁹⁷.
27 However, in adults, a right ear advantage is more common even in studies in which
28 the order of stimulus presentation has been randomised⁹⁷, so the effect of stimulus
29 presentation should be minimal. The main limitation of this study is the rather small
30 sample size. It is of note that air conduction thresholds are not routinely collected in
31 large-scale population studies. For example, the UK Biobank includes phenotypic
32 information on hearing ability as self-reported hearing difficulty or use of hearing aids
33 ($n > 300,000$)^{40,98}. Similarly, a GWAS on age-related hearing loss in the Genetic
34 Epidemiology Research on Adult Health and Aging (GERA) cohort ($n > 50,000$) used
35 a case control design, identifying cases based on health records (ICD-9 diagnosis)³⁸.
36 GWAS on quantitative measures of hearing ability were limited to smaller sample
37 sizes below 6,000 subjects for individual samples^{27,29}. Systematic collection of air

1 conduction thresholds in both ears in children would enable larger genetic studies to
2 dissect the links between hearing, asymmetry and neurodevelopment.

3 In summary, our results highlight the importance of HT for cognitive development.
4 We find that PRS for schizophrenia are implicated in HT, while PRS for ASD are
5 implicated in HTA. This is in line with the increasing evidence supporting a role of
6 asymmetries in ASD. Gene-based analysis highlighted genes involved in axon guidance
7 and ASD for HTA suggesting a role of genes involved in contralateral axon crossing
8 at the midline. The results support the hypothesis of shared pathways contributing to
9 different types of asymmetries, neurodevelopment and disorders.

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16

17 **Conflict of Interest**

18 The authors declare no competing financial interests in relation to the work described.

19

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