

1 **No endospore formation confirmed in members of the phylum Proteobacteria**

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15 **Running title:** No endospores in Proteobacteria

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18

19 **Abstract**

20 Endospore formation is used by members of the phylum Firmicutes to withstand extreme
21 environmental conditions. Several recent studies have documented endospore formation in species
22 outside of Firmicutes, particularly in *Rhodobacter johrii* and *Serratia marcescens*, members of the
23 phylum Proteobacteria. Here, we aimed to investigate endospore formation in these two species
24 by using advanced imaging and analytical approaches. Examination of the phase-bright structures
25 observed in *R. johrii* and *S. marcescens* using cryo-electron tomography failed to identify
26 endospores or stages of endospore formation. We determined that the phase-bright objects in *R.*
27 *johrii* cells were triacylglycerol storage granules and those in *S. marcescens* were aggregates of
28 cellular debris. In addition, *R. johrii* and *S. marcescens* containing phase-bright objects do not
29 possess phenotypic and genetic features of endospores, including enhanced resistance to heat,
30 presence of dipicolinic acid, or the presence of many of the genes associated with endospore
31 formation. Our results support the hypothesis that endospore formation is restricted to the phylum
32 Firmicutes.

33

34 **Importance**

35 Endospore formation is a mechanism that allows bacteria to generate resilient dormant spores
36 under harsh environmental conditions. Although this process has been traditionally restricted to
37 the largely Gram-positive bacteria of the phylum Firmicutes, recent studies have also described
38 endospores in some Proteobacteria. High complexity of endosporulation, reflected in extensive
39 morphological transformations governed by hundreds of conserved genes, hinders its facile
40 acquisition via horizontal gene transfer. Therefore, ability of distantly related bacteria to produce

41 endospores would imply an ancient nature of this mechanism and potentially a pivotal role in
42 species diversification and outer membrane biogenesis.

43

44 **Keywords:** Endospores; Firmicutes; cryo-electron tomography; correlative light electron
45 microscopy; whole cell lipidomic analysis; EDX of storage granules; Proteobacteria; *Rhodobacter*;
46 *Serratia*.

47

48 **Introduction**

49 Spores represent a dormant state of bacteria that can persist for millions of years (1-3). Bacterial
50 sporulation encompasses diverse modes, however, it is typically triggered by starvation and
51 ultimately results in the production of metabolically inactive cells displaying increased resilience
52 to stressors. For example, low nitrogen or carbon availability in Firmicutes can stimulate formation
53 of endospores resistant to UV radiation, extreme pH, high temperature and pressure (4-6).
54 Similarly, exosporulation in Actinobacteria and fruiting body production in *Myxococcus* have also
55 been linked to nutrient limitation and can serve for preservation of genetic material under
56 unfavourable environmental conditions (7-9). Despite the apparent similarities between these
57 different types of sporulation, the underlying transformations are morphologically distinct and
58 encoded by non-homologous pathways (10).

59

60 Endospore formation begins with asymmetric cell division, with the septum placed near one pole
61 of the cell, and produces two cells with different fates (11-13). Upon septation, the smaller
62 compartment becomes engulfed through a phagocytosis-like mechanism, yielding a prespore
63 bound by two lipid membranes in the cytoplasm of the mother cell. Subsequent endospore
64 maturation involves the synthesis of protective layers, such as the peptidoglycan-based cortex and
65 proteinaceous coat. Metabolic inactivation is achieved by gradual dehydration of the core through
66 replacement of water with dipicolinic acid (DPA) and calcium ions, and compaction of DNA with
67 DNA-binding proteins. Together, these modifications account for the resistance properties of
68 endospores (14). Ultimately, the spore is released upon lysis of the mother cell (15). In contrast,
69 other modes of sporulation, such as those observed in Actinobacteria and *Myxococcus* sp., produce
70 spores through morphological differentiation and cell division without engulfment.

71
72 Several studies in the past decade have reported, but not proven, formation of endospores in
73 Proteobacteria (16, 17). While endospore formation has recently been confirmed in some Gram-
74 negative bacteria, all of the identified organisms still belong to the phylum Firmicutes, highlighting
75 the question of evolutionary origins of bacterial outer membrane (18). Additionally, sporulation
76 involves tight cooperation of hundreds of genes distributed across the chromosome, hindering
77 acquisition of this pathway through horizontal gene transfer (10, 19). Therefore, if confirmed,
78 presence of the ability to form endospores across distantly related bacterial phyla suggests an
79 ancient nature of the process and can provide clues to the characteristics of the last bacterial
80 common ancestor (10). Here, we investigated two recent articles attributing sporulation to
81 members of Proteobacteria (16, 17). Briefly, Girija *et al.* (17) described endospore production in
82 the purple, non-sulfur bacterium *R. johrii*, strain JA192(T), a close relative of the model organism
83 for bacterial photosynthesis *R. sphaeroides*. The second study by Ajithkumar *et al.* (16) reported
84 endospore formation in *S. marcescens* subsp. *sakuensis* (strain no. 9; KRED^T), a pathogenic
85 bacterium that infects humans and causes bacteremia, urinary tract infection and wound infections
86 (20). Thus, confirmation and further characterization of endospore formation in these organisms
87 can bring valuable insight into the physiology of these species and the role of endospore formation
88 in diversification and speciation of modern phyla.

89
90 In this study, we employed cutting edge structural biology techniques, such as cryo-electron
91 tomography (cryo-ET), correlative light and electron microscopy (CLEM), and energy-dispersive
92 X-ray spectroscopy (EDX), as well as biochemical and microbiological approaches, to characterize
93 endospore formation in *R. johrii* and *S. marcescens*. Our results showed that *R. johrii* and *S.*

94 *marcescens* were unable to form endospores as previously reported (16, 17). Further analyses
95 indicated that the putative spores in *R. johrii* were lipid storage granules rich in triacylglycerols
96 (TAGs), and the phase-bright objects in *S. marcescens* were aggregates of cellular debris. Overall,
97 our observations contradict the previously published studies by Girija *et al.* and Ajithkumar *et al.*,
98 and support the observation that these members of Proteobacteria are unable to form endospores.
99

100 **Materials and Methods**

101 *Bacterial strains and growth conditions*

102 *R. johrii* and *S. marcescens* cells were purchased from Leibniz-Institut DSMZ bacterial strain
103 collection. *R. johrii* JA192 cells (DSMZ 18678) were cultivated as previously described by Girija
104 *et al.* (17). Briefly, cells were grown aerobically at room temperature in *R. sphaeroides* solid and
105 liquid medium comprising 4 mM KH₂PO₄, 1 mM MgCl₂·6H₂O, 7 mM NaCl, 22 mM NH₄Cl, 0.04
106 mM CaCl₂·2H₂O, 17 mM sorbitol, 28 mM sodium pyruvate, 1.5 mM yeast extract, 1 L distilled
107 water, pH 7.0, 1 ml trace element solution SL7, and 20 ng Vitamin B₁₂ solution for 2 days for
108 vegetative cells or 7 days to induce production of phase-bright objects. Additionally, cells were
109 grown in Luria-Bertani (LB) broth at 30 °C with agitation for 2 days, and either harvested as the
110 vegetative growth control or subsequently inoculated 1:100 into modified M9 medium for an
111 additional 7 days to induce formation of phase-bright objects. The modified M9 medium contained
112 47.8 mM Na₂HPO₄, 22 mM KH₂PO₄, 8.56 mM NaCl, 18.7 mM (3.74 mM for limited nitrogen)
113 NH₄Cl, 1 mM MgSO₄, 0.3 mM CaCl₂, 0.4 % (w/v) glucose, 1 µg/L biotin, 1 µg/L thiamine, 31
114 µM FeCl₃·6H₂O, 12.5 µM ZnCl₂, 2.5 µM CuCl₂·2H₂O, 2.5 µM CoCl₂·2H₂O, 5 µM MnCl₂·4H₂O,
115 2.5 µM Na₂MoO₄·2H₂O. *S. marcescens* cells (DSMZ 30121) were cultivated in LB broth at 32 °C
116 with shaking at 200 rpm, as previously described by Ajithkumar *et al.* (16), for 7 days for
117 vegetative growth or 65 days to induce formation of phase-bright objects. *B. subtilis* strain PY79
118 was chosen as the positive control for endospore formation, and cells were cultivated in LB broth
119 at 37 °C with shaking at 200 rpm overnight for vegetative growth or for 3 days to induce
120 sporulation. LB agar was used for cultivation on plates for *S. marcescens* and *B. subtilis*.

121

122 *Detection of phase-bright objects using phase-contrast light microscopy*

123 *R. johrii* and *S. marcescens* cultures were pelleted and washed with 1x phosphate buffer saline
124 (PBS, pH 7.4) composed of 137 mM NaCl, 27mM KCl, 10 mM Na₂HPO₄, 1.8 mM KH₂PO₄. Cells
125 were imaged with an upright Zeiss Axio Imager M2 Microscope (Carl Zeiss, Oberkochen,
126 Germany) equipped with a 506 monochrome camera, and a 100x oil objective lens with a
127 numerical aperture (NA) of 1.46.

128

129 *Sample preparation for correlative light and cryo electron tomography*

130 *R. johrii* cells were lightly fixed using 2.5 % paraformaldehyde in 30mM phosphate buffer for 15
131 min, washed twice and resuspended in 150 mM phosphate buffer. Bacterial cells were loaded onto
132 Cu Finder R 2/2 EM grids (Electron Microscopy Sciences, Hatfield, USA), coated with 1 mg/ml
133 poly-L-lysine, and subsequently imaged at room temperature as described above. Following room
134 temperature light microscopy, 20-nm colloidal gold particles (UMC Utrecht, Netherlands) were
135 added and samples were plunge-frozen into liquid ethane-propane mix cooled at liquid nitrogen
136 temperatures with a Mark IV Vitrobot (Thermo Fisher Scientific), maintained at room temperature
137 and 70 % humidity. Cryo-ET was conducted on cells with phase-bright signal as described below.

138

139 *Cryo-ET sample preparation*

140 For standalone cryo-ET experiments, samples were mixed with 20-nm colloidal gold particles,
141 loaded onto glow-discharged carbon grids (R2/2, Quantifoil) and plunge-frozen into liquid ethane-
142 propane mix cooled at liquid nitrogen temperatures with a Mark IV Vitrobot(Thermo Fisher
143 Scientific) maintained at room temperature and 70 % humidity.

144

145 *Cryo-ET data collection*

146 For both standalone cryo-ET and CLEM experiments, tilt-series were collected on an 300kV Titan
147 Krios transmission electron microscope (Thermo Fisher Scientific) equipped with a Falcon 2
148 camera. Tilt series were collected at 14-18K x nominal magnification, 1-3 degrees oscillations and
149 a final dose of 30-150 e^-/A^2 . Three-dimensional reconstructions were calculated with IMOD using
150 the weighted back projection method (21).

151

152 *Correlative LM and SEM with EDX analysis*

153 *R. johrii* cells were fixed by 12.5% paraformaldehyde in 150 mM sodium phosphate buffer (73.6
154 mM K_2HPO_4 , 26.4 mM KH_2PO_4) pH 7.5, then washed three times with 150mM sodium phosphate
155 buffer (22). Glow-discharged Cu R2/2 grids were coated with poly-L-lysine hydrobromide
156 solution (1 mg/ml) and dried for 30 mins at 60 °C. Fixed cells were loaded onto the grids and
157 immediately imaged with LM in 1x PBS to identify phase-bright objects. The grids were
158 subsequently air dried, and regions of interest identified with LM were examined with SEM using
159 JEOL JSM-7400F (JEOL Ltd., Tokyo, Japan) operated at 5 kV without any coating. EDX analysis
160 with a silicon-drift detector (Octane, EDAX Inc., Mahwah, NJ, USA) at 10 kV was used for semi-
161 quantitative elemental analysis of regions of interest.

162

163 *Whole-cell lipidomic analysis of R. johrii*

164 *R. johrii* cells displaying phase-bright properties were cultivated as described above, harvested by
165 centrifugation (20 min at 5,000 rpm) and washed twice in sterile H_2O . Cell pellets were then
166 lyophilized overnight and stored at room temperature for up to 7 days. *R. johrii* cultures grown in
167 LB for 2 days and lacking phase-bright objects were chosen as the negative control. For the whole-
168 cell lipidomics analysis, methyl tert-butyl ether (MTBE)-based membrane lipid extraction

169 protocol was used with modifications (23). Briefly, samples in 1.5 ml Eppendorf vials were first
170 mixed with 300 μ l ice-cold methanol and 10 μ l internal standards. The mixture was then sonicated
171 in ice-water bath for 15 min for protein precipitation. 1 ml MTBE was added to the mixture,
172 followed by vortex mixing for 20 min at room temperature for thorough lipid extraction. Next, 200
173 μ l LC-MS grade water was added to induce phase separation, and the samples were further mixed
174 for 30 s. After settling for 10 min, the upper layer, containing the lipids, was transferred to new
175 Eppendorf vials. To dry the lipid samples, the solvent was evaporated using a vacuum concentrator
176 at 4°C. 100 μ L isopropanol/acetonitrile (1:1, v/v) was added to reconstitute the dried residue. The
177 reconstituted solution was vortexed for 30 s and centrifuged at 14,000 rpm at 4°C for 15 min. The
178 resulting supernatants were transferred to glass inserts for liquid chromatography-tandem mass
179 spectrometry (LC-MS/MS) analysis. Only lipids above the noise level (1000 average intensity)
180 were considered in the analysis. A cut off value of at least 2x increase in average intensity, and the
181 p-value threshold of 0.01 was used to determine significant increase in lipid species.

182

183 *Heat inactivation and counting of endospores*

184 After induction of the phase-bright objects in *R. johrii*, *S. marcescens*, and *B. subtilis* as described
185 above, cells were washed with sterile, deionized water, spun at 10,000 g for 10 min, and
186 resuspended in chilled water. Suspensions of *R. johrii* and *B. subtilis* were heated to 80 °C, and *S.*
187 *marcescens* to 60 °C, for 15 min, 30 min and 1 hr, as described previously (16, 17). After the heat
188 treatment, the samples were centrifuged for 10 min at 10,000 g. The pellets were washed five times
189 to remove cellular debris, then plated on solid media. *R. johrii* was incubated at 30 °C for 7 days,
190 *S. marcescens* was incubated at 32 °C for 7 days, and *B. subtilis* was incubated at 37 °C overnight,
191 and plates were subsequently examined for viable growth.

192

193 *Dipicolinic acid (DPA) detection*

194 Following the detection of phase-bright objects, DPA was detected as previously described (24).
195 Briefly, cultures of *R. johrii*, *S. marcescens*, and *B. subtilis* containing ~ 10 mg dry weight were
196 autoclaved for 15 minutes at 15 lb/in². The suspensions were cooled to ambient temperature,
197 acidified with 0.1 ml of 1.0 N acetic acid and incubated for 1 hour to cluster the insoluble material.
198 To remove cellular debris, the suspensions were centrifuged at 1,500 g for 10 minutes. To each 4
199 ml of supernatant, 1 ml of 1 % Fe(NH₄)₂(SO₄)₂·6H₂O and 1 % ascorbic acid in 0.5 M acetate buffer
200 (pH 5.5) was added. Colorimetric shift at 440 nm was compared to a standard curve prepared with
201 pure DPA (Sigma-Aldrich, Oakville, Canada).

202

203 *Detection of genes required for endospore production*

204 List of conserved genes, previously identified as essential for endospore formation, was compiled
205 based on the studies by Galperin *et al.* and Meeske *et al.* (5, 25). The respective amino acid
206 sequences, encoded by the model strain *B. subtilis* 168, were then retrieved from the UniProt
207 database (<https://www.uniprot.org/>). Sequence searches were performed against the genomes of
208 *R. johrii* JA192 and *S. marcescens* subsp. *sakuensis* KRED^T, the two strains originally described
209 by Girija *et al.* and Ajithkumar *et al.*, respectively (16, 17), using tBLASTn
210 (<https://blast.ncbi.nlm.nih.gov/Blast.cgi>). Positive hits were defined by a BLAST score >60 and
211 sequence identity >30 %.

212

213 **Results and Discussion**

214 **Prolonged incubation induces formation of phase-bright objects in *R. johrii* and *S.***

215 ***marcescens***

216 For initial assessment of the previously reported endospore formation, we cultivated *R. johrii* and
217 *S. marcescens* according to the published conditions and examined the cultures with phase-contrast
218 LM (Fig. 1). Vegetative *R. johrii* cells appeared phase-dark (Fig. 1A), however, upon 7-day
219 incubation phase-bright objects were observed either at one pole or mid-cell (Fig. 1B, black
220 arrows). Vegetative *S. marcescens* cells also appeared phase-dark (Fig. 1C). Although phase-bright
221 objects were occasionally visible at mid-cell following 65-day incubation (Fig. 1D, black arrows),
222 the majority of culture was dead and appeared as “ghost” cells (Fig. 1D, white arrows). Altogether,
223 our results recapitulate reports of formation of phase-bright objects in *R. johrii* and *S. marcescens*
224 following extended incubation in nutrient-limited conditions.

225

226 **Characterization of phase-bright objects in *R. johrii***

227 To further characterize the phase-bright objects observed in *R. johrii*, we performed correlative
228 LM and cryo-ET experiments on phase-bright and phase-dark cells following extended incubation
229 (Fig. 2). Tomograms of *R. johrii* cells with phase-bright objects revealed the presence of
230 intracellular granules, which were highly sensitive to the electron beam, as represented by the
231 sample damage (Fig. 2A). Beam sensitivity was detected regardless of the total dose used (25-150
232 e^-/A^2), suggesting that the granules were rich in lipids. Further, the spherical nature of the granules
233 resembled previously characterized storage granules (SG) in bacterial cells (26). No evidence of
234 sporulation-associated morphological changes, such as engulfing membranes, presence of
235 immature or mature spores in the sample (n=40), was observed, indicating that the phase-bright
236 objects were not endospores. Finally, cells with phase-bright objects always displayed 1-3 of the

237 100-250 nm diameter granules (Fig. 2A), whereas the phase-dark cells lacked the presence of
238 granules (Fig. 2B). Thus, our observations suggest that the phase-bright objects were likely lipid-
239 containing SGs.

240
241 To determine the composition of the storage granules, we performed correlative LM and SEM in
242 combination with EDX compositional analysis. Cells possessing the putative storage granules
243 were identified with phase-contrast microscopy (Fig. 3A) and examined in higher resolution with
244 SEM (Fig. 3B). Correlative LM and SEM was then used to guide EDX analysis, so that spectra
245 were collected from a region containing the putative storage granules and a cytoplasmic region
246 lacking the storage granules (Fig. 3C). Elemental analysis of the storage granule (blue spectrum)
247 revealed counts for carbon (C) 80.24 %, oxygen (O) 13.26%, and copper (Cu) 6.5% (due to the
248 copper of the EM grid). Cytoplasmic analysis (red spectrum) revealed lower counts for carbon (C)
249 61.7% and oxygen (O) 10.82%, copper (Cu) at 6.59%, and elevated counts for nitrogen (N) 20.9%
250 (Fig. 4C).

251
252 Based on the cryo-ET and EDX data, we hypothesized that the granules observed in *R. johrii* were
253 composed of lipids, as lipids are enriched in carbon and oxygen atoms. To characterize the nature
254 of the granular composition, we performed whole-cell lipidomics analysis of *R. johrii* 7-day old
255 culture expressing phase-bright objects (granules) against *R. johrii* cells grown for 2 days and
256 lacking phase-bright objects as the negative control. Cells producing putative storage granules
257 were enriched in several lipids, the most abundant of which were triacylglycerols (TAGs) and
258 phosphatidylethanolamines (PEs) (Table 1). Because PEs are typical membrane lipids, the
259 increased levels observed under starvation conditions suggested that cells remodel their membrane

260 composition to account for the environmental changes. TAGs are nonpolar triacylglycerols that
261 occur as insoluble inclusions in bacteria and are considered a major source of energy (27, 28).
262 TAGs have been shown to accumulate in actinobacteria and mycobacteria as either peripheral
263 deposits associated with the cell envelope, or as inclusion bodies in the cytoplasm (29). Previously,
264 *in vitro* studies showed that mycobacteria accumulated TAG and wax ester when subjected to
265 stresses, such as low oxygen, high CO₂, low nutrients and low pH (29-31). Similarly, we observed
266 an increased propensity to form the phase-bright objects in *R. johrii* cells incubated under low-
267 nitrogen conditions in defined media. Therefore, it is likely that *R. johrii* utilizes TAG storage as
268 an adaptive strategy in response to starvation, allowing cells to enter stationary phase and survive
269 for longer periods of time. We thus conclude that the granules observed as phase-bright objects in
270 *R. johrii* were storage granules enriched in TAGs.

271

272 **Characterization of phase-bright objects in *S. marcescens***

273 Tomograms of *S. marcescens* were collected on 2-day and 65-day old cultures (Fig. 4). At 2 days,
274 we observed regular morphology of vegetative cells, displaying cell envelope architecture typical
275 for Gram-negative bacteria (Fig. 4A). *S. marcescens* grown for 65 days revealed the presence of
276 two kinds of morphologies: cells packed with cellular debris (black star), and cells void of any
277 cellular material (white star) (Fig. 4B), likely correlating to cells containing phase-bright objects
278 and “ghost” cells identified using LM, respectively. Extensive survey of the sample (n=80) did not
279 reveal any cells possessing intracellular membranes, or morphologies suggestive of engulfing
280 membranes or stages of sporulation. Neither of the two identified morphologies displayed any
281 features similar to a cortex or proteinaceous spore coat characteristic of mature endospores.
282 Additionally, we did not observe accumulation of storage granules within cells. Together, these

283 results suggest that the appearance of phase-bright objects in *S. marcescens* was the result of
284 accumulation of cellular debris, and dehydration.

285

286 **Proteobacteria do not possess features of endospores following extended incubation**

287 Independent of imaging-based methods, endospores have traditionally been identified in samples
288 through heat resistance and increased concentration of intracellular DPA. To verify the results of
289 our cryo-ET experiments, we first investigated the heat resistance properties of *R. johrii* and *S.*
290 *marcescens* following prolonged incubation and subsequent exposure to high temperatures.
291 Despite an extended recovery period of 7 days, no viable *R. johrii* cells were observed on solid
292 media following 15, 30 and 60 min incubation at 80 °C. Similarly, viable cells were not isolated
293 from *S. marcescens* cultures incubated at 60 °C for 15, 30, and 60 min. In contrast, *B. subtilis*
294 cultures producing endospores and treated at 80 °C for 15, 30, and 60 min yielded viable growth
295 on solid media after a 24 hr recovery period. Thus, we were unable to replicate the results of Girija
296 *et al.* and Ajithkumar *et al.*, who found viable cells following heat treatment of *R. johrii* at 80 °C
297 for 20 min, and *S. marcescens* at 60 °C for 15 min, respectively. Additionally, we quantitatively
298 analyzed the presence of DPA in cultures of *R. johrii* and *S. marcescens* displaying phase-bright
299 objects using a colorimetric method. Whereas the purified endospores of *B. subtilis* contained 6.74
300 µg/ml DPA, no detectable amounts of DPA were observed in *R. johrii* and *S. marcescens* after
301 prolonged cultivation. Collectively, these results indicate that *R. johrii* and *S. marcescens* cells do
302 not possess the classic phenotypic features that are associated with endospore formation.

303

304 **Minimal subset of genes required for endospore formation not conserved in the** 305 **Proteobacteria**

306 Endospore formation relies on expression of hundreds of genes in a highly regulated manner (19,
307 32, 33). For example, over 500 genes have been previously implicated in sporulation in the model
308 firmicute *B. subtilis* (32). However, establishment of the minimal subset of genes required for
309 endospore formation remain elusive, as many of the identified targets carry out redundant
310 functions, *e.g.* histidine kinases, or are part of general pathways loosely associated with
311 sporulation, such as iron uptake and DNA repair proteins (34). Consistently, several homologs to
312 genes linked to sporulation have been detected in other phyla, including Proteobacteria, but have
313 been shown to play regulatory roles in distinct processes, such as cell division and development
314 (35, 36). Hence, possession of genes annotated as sporulative should not be considered concrete
315 evidence to support sporulation capacity in a given species (5). Nevertheless, we investigated the
316 genomes of *R. johrii* and *S. marcescens* for presence of genes that are conserved among all spore-
317 forming bacilli and clostridia, and have been shown to play pivotal roles in endospore formation
318 through functional studies (Table 2-3) (5, 25). Our analysis showed that that both *R. johrii* and *S.*
319 *marcescens* completely lack the SpoIIDMP peptidoglycan remodeling complex required for spore
320 cortex formation, the SpoIIQ-SpoIIIAA-AH channel complex involved in communication
321 between the mother cell and the prespore and facilitating regulation of endospore maturation, as
322 well as the major protein coat assembly components, such as SpoIVA and Alr (12, 14, 37). Further,
323 the master regulator of sporulation encoded by all endospore-formers, Spo0A, is absent in *R. johrii*.
324 Both strains also lack homologs to three out of four sporulation sigma factors, SigF, SigE and
325 SigK. Finally, *R. johrii* and *S. marcescens* do not possess DapB, required for production of
326 dipicolinic acid which plays a major role in dehydration of the spore core and, therefore, resistance
327 and dormancy (14). Therefore, our analysis confirms the lack of those conserved genes in the

328 genomes of *R. johrii* and *S. marcescens*. In addition, Ajithkumar *et al.* was also unable to detect
329 genes related to endospore formation in *S. marcescens* (16).

330

331 **Concluding remarks**

332 Although endospore formation is considered a hallmark of the Firmicutes phylum (4, 5, 38),
333 endospore production had been reported outside of Firmicutes, particularly in two members of the
334 phylum Proteobacteria (16, 17). These findings may affect our understanding of the evolutionary
335 events surrounding outer membrane biogenesis and the significance of endospore formation in cell
336 differentiation. Here, using cutting-edge microscopy techniques, and biochemical,
337 microbiological, as well as bioinformatics approaches, we showed that the phase-bright objects
338 observed in *R. johrii* and *S. marcescens*, are storage granules and cellular debris, respectively. We
339 did not observe mature spores or stages of endospore formation *in vivo*, and failed to detect the
340 pivotal biochemical and genomic features of endospore-producing bacteria in these organisms.
341 Our findings thus demonstrate that *R. johrii* and *S. marcescens* are unable to form true endospores,
342 which is in contrast to the results described by Girija *et al.* (17) and Ajithkumar *et al.*(16). Since
343 we used the most-advanced imaging techniques currently available to study whole-cell bacteria
344 and their ultrastructure, previous results could be due to the presence of contamination with spore-
345 forming bacteria or misinterpretation of methodology artifacts.

346

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446

447 **Table 1. Lipidomics analysis of whole *R. johrii* cells.**

Lipid ^a	Lipid class	Fold change R.j + / R.j -	P-value
PE 33:1; PE 16:0-17:1 ^c	PE	147.84	4.71E-09
TAG 58:1; TAG 16:0-24:0-18:1	TAG	93.81	1.81E-08
TAG 52:3; TAG 16:0-18:1-18:2	TAG	67.19	1.24E-08
TAG 54:5; TAG 18:1-18:2-18:2	TAG	60.41	1.37E-06
TAG 52:2; TAG 18:0-16:1-18:1	TAG	57.72	1.93E-07
TAG 54:4; TAG 18:1-18:1-18:2	TAG	54.46	2.37E-08
TAG 52:1; TAG 16:0-18:0-18:1	TAG	49.15	1.22E-10
TAG 56:2; TAG 16:0-18:1-22:1	TAG	48.89	5.37E-09
TAG 50:1; TAG 16:0-16:0-18:1	TAG	46.43	7.39E-09
TAG 54:2; TAG 18:0-18:1-18:1	TAG	44.82	2.37E-09
TAG 58:2; TAG 16:0-18:1-24:1	TAG	42.04	9.59E-09
TAG 52:2; TAG 16:0-18:1-18:1	TAG	41.06	5.93E-09
TAG 56:1; TAG 16:0-22:0-18:1	TAG	39.72	8.65E-10
TAG 54:1; TAG 18:0-18:0-18:1	TAG	39.35	1.15E-08
TAG 54:3; TAG 18:0-18:1-18:2	TAG	17.15	3.93E-08
TAG 50:2; TAG 16:0-16:1-18:1	TAG	12.30	1.90E-08
PE 32:0; PE 16:0-16:0	PE	11.55	1.73E-06
PC 39:3	PC	10.77	1.06E-08
PE 32:1; PE 16:0-16:1	PE	7.68	3.84E-07
TAG 48:1; TAG 14:0-16:0-18:1	TAG	4.94	2.00E-05
PE 35:2; PE 17:1-18:1	PE	3.09	6.92E-06
PC 36:4	PC	3.03	4.93E-06
TAG 48:1; TAG 16:0-16:0-16:1	TAG	2.52	4.51E-03
PC 32:1	PC	2.18	4.46E-07
PC 34:1; PC 16:0-18:1	PC	2.15	6.50E-08
DAG 36:2; DAG 18:1-18:1	DAG	2.08	5.34E-08
PC 34:2; PC 16:1-18:1	PC	2.02	1.57E-06

448 ^a Abbreviations: phosphatidyl ethanolamine, PE; triacylglycerols, TAG; phosphatidylcholine,
 449 PC; diglyceride, DAG

450 ^b the total lipid composition of *R. johrii* expressing storage granules (R.j +) was compared to a
 451 fresh *R. johrii* culture lacking storage granules (R.j -)

452 ^c PE is a lipid class with two acyl chains (R1 and R2 in B). PE 16:0-17:1 indicates that the chain
 453 lengths are 16 carbons and 17 carbons, and the saturation degrees are 0 and 1, respectively. PE
 454 33:1 is the simpler form of PE 16:0-17:1.
 455

456

457 **Table 2. Analysis for presence of the minimal subset of endospore genes in *R. johrii***
 458 **and *S. marcescens*.**

Essential endospore genes defined by Galperin et al. (5)	Present in <i>R. johrii</i>	Present in <i>S. marcescens</i>
<p><i>alr, cwID, dacB, dapA, dapB, gpr, jag, lgt (gerF), obgE, spmA, spmB, spo0A, spo0J (parB), spo0H (sigH), spoIIAA, spoIIAB, spoIIAC (sigF), spoIID, spoIIE, spoIIGA, spoIIGB (sigE), spoIIM, spoIIP, spoIIR, spoIIIAA, spoIIIAB, spoIIIAC, spoIIIAD, spoIIIAE, spoIIIAF, spoIIIAG, spoIIIAH, spoIIIC (sigK), spoIIID, spoIIIE, spoIIIG (sigG), spoIIIJ, spoIVA, spoIVB, spoIVH (stoA), spoVAC, spoVAD, spoVAEB, spoVB, spoVC, spoVD, spoVG, spoVK, spoVS, spoVT, yabP, yabQ, ylbJ, ylmC, yqfC, yqfD, ytvI, yyaC</i></p>	<p><i>dacB, dapA, obgE, spo0J, spoIIIE, spoIIIG, spoIIIJ, spoVC, spoVK</i></p>	<p><i>alr, dacB, dapA, obgE, spo0A, spoIIIE, spoIIIG, spoIIIJ, spoVC</i></p>

459

460

461 **Table 3. Survey for presence of additional endospore genes in *R. johrii* and *S.***
 462 ***marcescens*.**

Spore genes identified by Meeske <i>et al.</i> (25)	Present in <i>R. johrii</i>	Present in <i>S. marcescens</i>
<p><i>araR, asnO, bofA, ccdA, citB, citZ, clpC, cotE, ctpB, cwlD, dacB, defB, dglA, divIVA, dnaJ, dnaK, ecsA, ecsB, efp, fin, ftsH, gapB, germ, gldA, gpr, grpE, gtaB, icd, kbaA, kipA, lgt (gerF), mdh, miaA, minC, mind, minJ, mntR, ndk, nraA, odhA, odhB, pdaA, pdhA, pdhB, pdhC, pdhD, pgcA, prkA, prpC, putB (ycgM), ras, resA, rex, rsfA, safA, sdhA, sdhB, sdhC, skjE, skjF, sleB, smpB, speD, speE, spmA, spmB, spo0A, spo0B, spo0F, spo0H (sigH), spo0J (parB), spo0KA (oppA), spo0KB (oppB), spo0KC (oppC), spo0KD (oppD), spo0KE (oppF), spoIIAA, spoIIAB, spoIIAC (sigF), spoIIB, spoIID, spoIIE, spoIIGA, spoIIGB (sigE), spoIIJ (kinA), spoIIM, spoIIP, spoIIQ, spoIIR, spoIIIAA, spoIIIAB, spoIIIIAC, spoIIIIAD, spoIIIIAE, spoIIIIAF, spoIIIIAG, spoIIIIAH, spoIIIIIC (sigK), spoIIIID, spoIIIII, spoIIIIIG (sigG), spoIIIIJ, spoIVA, spoIVB, spoIVCA, spoIVCB (sigK), spoIVFA, spoIVFB, spoIVH (stoA), spoVAA, spoVAB, spoVAC, spoVAD, spoVAEB, spoVB, spoVD, spoVE, spoVFA, spoVFB, spoVG, spoVID, spoVIE (pdaB), spoVIF, spoVIGA (ytrH), spoVIGB (ytrI), spoVK, spoVM, spoVR, spoVS, spoVT, sucC, sucD, trmE, ugtP, uppP, yaaD, yaaT, yabP, yabQ, ydcC, yerC, yhbH, yjbH, ylbF, ylbJ, ymcA, ymdB, yqfC, yqfD, yqhT, ytaF, ytvI, ytxG</i></p>	<p><i>ccdA clpC, ctpB, dacB, defB, dnaJ, dnaK, efp, ftsH, gapB, grpE, gtaB, klpA, miaA, ndk, prpC, skjE, smpB, spo0F, spo0J, spo0KA, spo0KB, spo0KC, spo0KD, spo0KE, spoIIJ, spoIIIE, spoIIIG, spoIIIJ, spoVK, trmE, yqhT</i></p>	<p><i>araR, clpC, ctpB, dacB, dnaJ, dnaK, ecsA, efp, ftsH, gapB, gldA, grpE, gtaB, kipA, miaA, minD, mntR, ndk, prkA, skjE, smpB, speE, spo0A, spo0F, spo0KA, spo0KB, spo0KC, spo0KD, spo0KE, spoIIJ, spoIIIE, spoIIIG, spoIIII, trmE, yqhT,</i></p>

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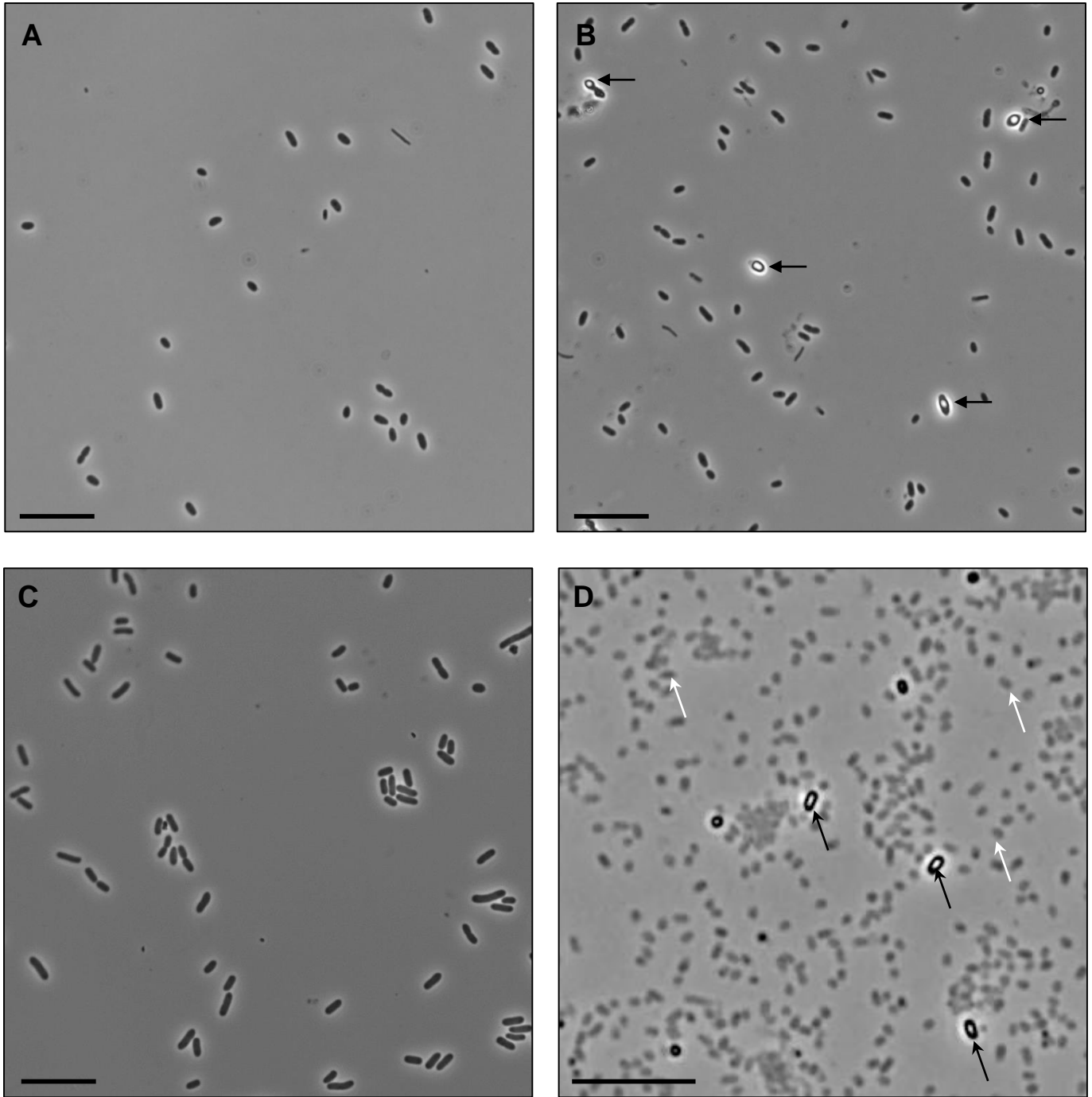


Figure 1. Phase-contrast light microscopy of *R. johrii* and *S. marcescens* cells. A) 2-day old *R. johrii* cells lack phase-bright objects; B) 7-day old *R. johrii* cells with phase-bright objects (black arrows). C) 7-day old *S. marcescens* cells lack phase-bright objects. D) After 65 days, *S. marcescens* cells show two kinds of cell morphologies: phase-bright (black arrows), and “ghost” cells (white arrows). Scale bar 10 μm .

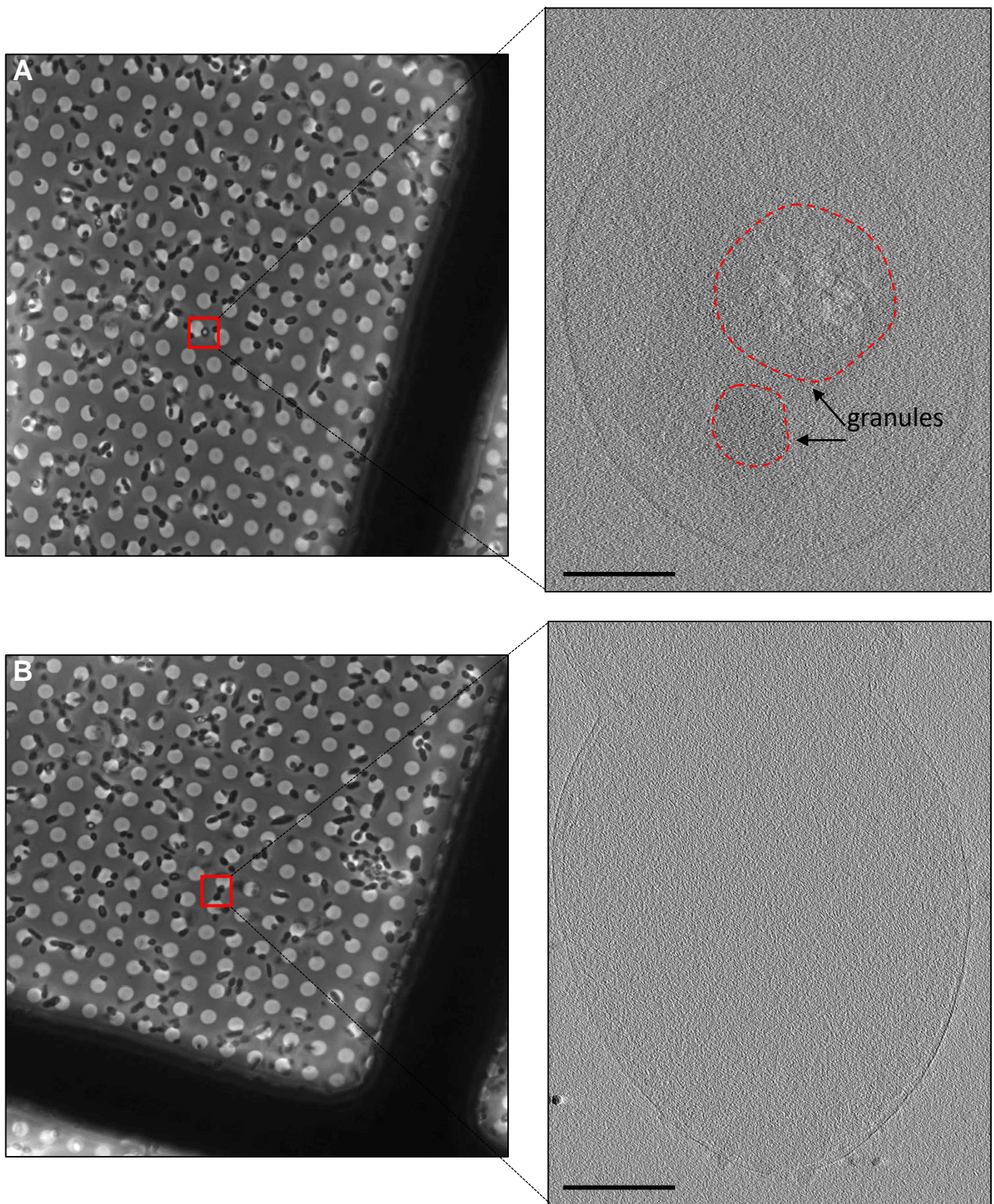
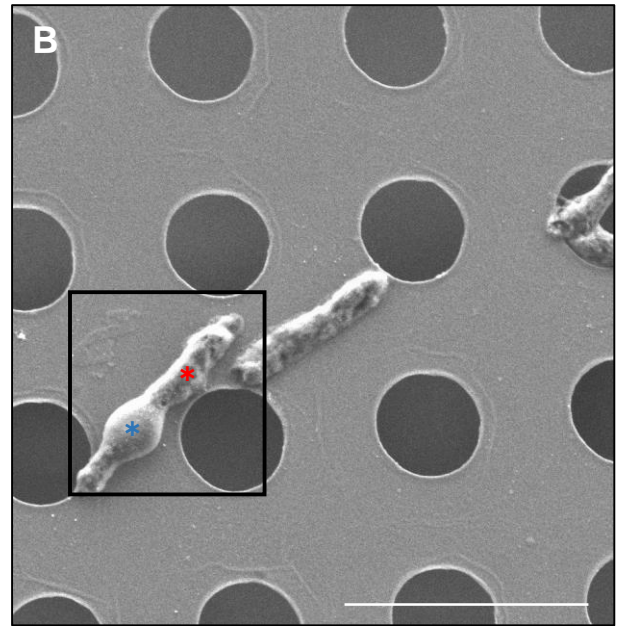
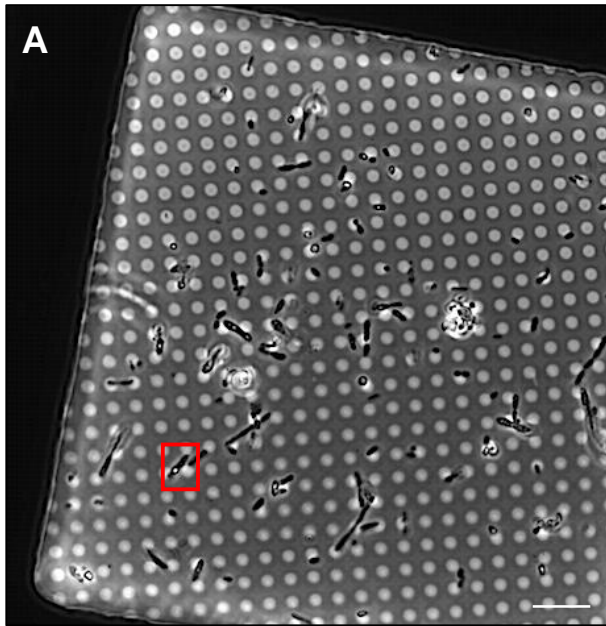
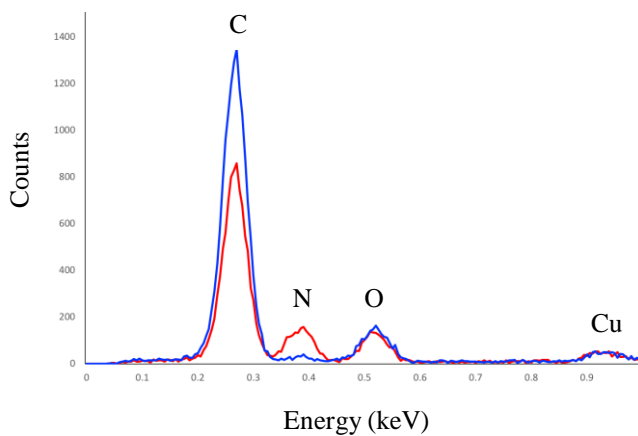


Figure 2. Correlative light and cryo-ET of *R. johrii*. (A) Left: Phase-contrast microscopy image of a *R. johrii* cell (boxed) displaying a phase-bright object. Right: tomographic slice of the same cell showing two granular structures. (B) Left: Phase-contrast microscopy image of *R. johrii* cells (boxed) lacking phase-bright objects. Right: tomographic slice of the same cell showing lack of sub-cellular structures. Scale bar 200nm.



C



Storage granule (blue)		Cytoplasm (red)	
Element	Weight %	Element	Weight %
C K	80.24	C K	61.7
O K	13.26	O K	10.82
Cu L	6.5	Cu L	6.59
N K	ND	N K	20.9

Figure 3. Correlative LM and SEM of *R. johrii* for storage granule characterization with EDX. (A) LM image of *R. johrii* shows the presence of storage granules (phase-bright objects) inside a cell (red square). (B) The same cell as in panel A imaged with SEM. Areas corresponding to the storage granule and cytoplasm are depicted as blue and red stars, respectively. (C) Elemental composition of the storage granule (blue) and cytoplasm (red) using EDX semi-quantitative analysis. Major peaks are assigned and data is summarized in a table format. Scale bar 10 μ m (A), 5 μ m (B). ND – non-detected.

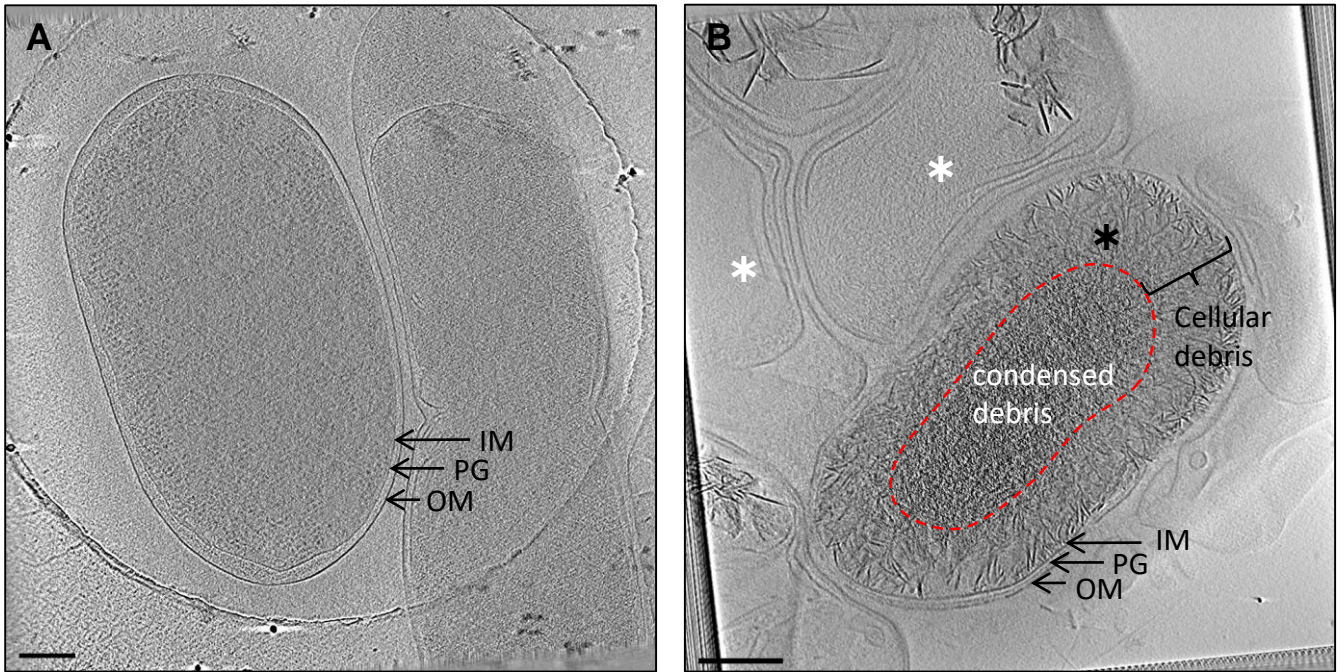


Figure 4. Cryo-ET of *S. marcescens*. Tomographic slices through: (A) Vegetative cells from a 2-day old culture; (B) Cells from a 65-day old culture showing phase-bright objects. Panel B shows two cell types: cells with accumulated cellular debris (black star) and “ghost cells” void of cellular material (white star). Scale bar 200 nm. IM, inner membrane; PG, peptidoglycan; OM, outer membrane.