Genes influencing phage host range in

Staphylococcus aureus on a species-wide scale

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Abstract

Staphylococcus aureus is a human pathogen that causes serious diseases ranging from skin

infections to septic shock. Bacteriophages ("phages") are both natural killers of *S. aureus*, offering

therapeutic possibilities, as well as important vectors of horizontal gene transfer in the species.

Here, we used high-throughput approaches to understand the genetic basis of strain-to-strain

variation in sensitivity to phages, which defines the host range. We screened 259 diverse *S.*

aureus strains covering more than 40 sequence types for sensitivity to eight phages, which were

representatives of the three phage classes that infect the species. The phages were variable in

host range, each infecting between 73 and 257 strains. Using genome-wide association

approaches, we identified putative loci that affect host range and validated their function using

USA300 transposon knockouts. In addition to rediscovering known host range determinants, we

found several genes previously unreported genes affecting phage infection, including *trpA*, *phoR*,

isdB, *sodM*, *fmtC*, and *relA*. We used the data from our host range matrix to develop predictive

models that achieved between 40 and 95% accuracy. This work illustrates the complexity of the

genetic basis for phage susceptibility in *S. aureus* but also shows that with more data, we may be

able to understand much of the variation. With a knowledge of host range determination, we can

rationally design phage therapy cocktails that target the broadest host range of *S. aureus* strains

and address basic questions regarding phage-host interactions, such as the impact of phage on

S. aureus evolution.

Importance

Staphylococcus aureus is a widespread, hospital- and community-acquired pathogen, many

strains of which are antibiotic resistant. It causes diverse diseases ranging from local to systemic

infection and affects both the skin and many internal organs, including the heart, lungs, bones,

and brain. Its ubiquity, antibiotic resistance, and disease burden make new therapies urgent. One

alternative therapy to antibiotics is phage therapy, in which viruses specific to infecting bacteria

clear infection. In this work, we identify and validate *S. aureus* genes that influence phage host

range - the number of strains a phage can infect and kill - by testing strains representative of the

diversity of the *S. aureus* species for phage host range and associating strain's genome

sequences with host range. These findings together improve our understanding of how phage

therapy works in the bacterium and improve prediction of phage therapy efficacy based on the

infecting strain's predicted host range.

Introduction

There is no licensed vaccine for *Staphylococcus aureus* and many clinical strains are

resistant to multiple antibiotics. For these reasons, alternative treatments such as bacteriophage

therapy are being actively investigated (1, 2). Phage therapy has some advantages over using

antibiotics. Phages show little or no human toxicity and the high diversity of natural phages

available to be isolated for treatment suggests that complete resistance would be hard to evolve

(3, 4). However, there is no natural phage known to kill all *S. aureus* strains and for that reason

phage cocktails (mixtures of phages with non-overlapping host ranges) are necessary. Rational

cocktail formulation requires comprehensive knowledge of the genetic factors that influence

phage host range.

S. aureus phages and corresponding known host mechanisms regulating phage

resistance and host range have previously been reviewed (1, 5, 6). Known *S. aureus* phages

belong to the order *Caudovirales* (tailed phages) and are further divided into three morphological

classes - the long, noncontractile-tailed *Siphoviridae*, the long, contractile-tailed *Myoviridae*, and

the short, noncontractile-tailed *Podoviridae* (5). The *Siphoviridae* are temperate, while the *Myo-*

and *Podoviridae* are virulent (5). The *Siphoviridae* bind either α-O-GlcNAc or β-O-GlcNAc

attached at the 4 positions of wall teichoic acid (WTA) ribitol phosphate monomers, while the

Podoviridae bind only β-O-GlcNAc-decorated WTA, and the *Myoviridae* bind either the WTA

ribitol-phosphate backbone or β-O-GlcNAc-decorated WTA (1, 7, 8). *S. aureus* is known to

produce poly-ribitol-phosphate rather than poly-glycerol-phosphate WTA (9). WTA biosynthesis

genes are conserved throughout the species, with the exception of the unusual sequence type

ST395 (10), as are WTA glycosyltransferases *tarM* and *tarS*, but occasional *tarM* inactivation or

absence provides *Podoviridae* susceptibility (11).

Currently identified resistance mechanisms in *Staphylococcus* species act at the

adsorption, biosynthesis, and assembly stages of infection (1). Adsorption resistance

mechanisms include receptor alteration, removal, or occlusion by large surface proteins or

polysaccharides (capsule) (7, 11–16). Biosynthesis resistance mechanisms include halting the

infection process through metabolic arrest (abortive infection) and adaptive (CRISPR) or innate

(restriction-modification) immunity to phage infection through phage DNA degradation (17–21).

Temperate phage and staphylococcal pathogenicity islands (SaPIs) inserted in the genome may

also offer barriers to *Siphoviridae*, through superinfection immunity and assembly interference,

which occurs through SaPI parasitization of the infecting viruses packaging machinery (22–27).

While previous studies have identified numerous individual host resistance mechanisms

in *S. aureus*, few have examined the importance of these mechanisms on a species-wide scale.

In addition, although many *S. aureus* phages are reported to have wide host ranges (28–34), and

even early studies suggested staphylococcal phage therapies to be highly effective (35),

experiments conducted thus far have failed to explain the genetic bases of host range or

resistance development in a species-wide manner. Only one previous study has associated

genetic factors (gene families) with phage resistance using a hypothesis-free method (36). This

work used a two-step linear regression model to associate some 167 gene families, mostly of

unknown function, with resistance assessed in 207 clinical MRSA strains and 12 phage

preparations. However, the study did not associate any other types of genetic changes with host

range and examined only MRSA strains.

In this study, we associated multiple genetic factors - gene presence/absence, point

mutations, and more complex polymorphisms - with *S. aureus* phage host range and resistance

in a hypothesis-free, species-wide, and genome-wide manner. We used a novel high-throughput

assay to determine resistance phenotypes of 259 strains challenged with eight *S. aureus* phages

belonging to all three morphological categories (*Siphoviridae*, *Myoviridae*, and *Podoviridae*). We

then used two bacterial genome-wide association study techniques to identify core genome single

nucleotide polymorphisms (SNPs), and subsequences of length k (k-mers) significantly

associated with each phenotype and used these significant features to develop predictive models

for each phenotype. We also tested for associations between phenotypes and phylogeny, clonal

complex (CC), and methicillin resistance (MRSA) and validated novel genes found to be

associated with sensitivity or resistance in the GWAS through molecular genetics, thus

complementing the hypothesis-free GWAS approach with hypothesis-driven experiments and

demonstrating that GWAS-discovered determinants have causative effects on phage resistance.

Materials and Methods

Strains, media, and phage propagation

Phages used in this study were p11, p0017S, p003p-Mourad 87, and p0040-Mourad 2

(*Siphoviridae*), p0006-K, p002y-DI, and pyo (*Myoviridae*), p0017-HER49/p66 (*Podoviridae*). All

Siphoviridae and *Myoviridae* were propagated in *S. aureus* RN4220, while the sole podovirus was

propagated in *S. aureus* RN4220 *tarM*::Tn, which was constructed by transducing strain RN4220

with Nebraska Transposon Mutant Library (NTML) (37) strain USA300 JE2 *tarM*::Tn (NE611)

phage 11 lysate. Strains, phages, and plasmids used for phage propagation and molecular

genetic validation of GWAS results are listed in **Table 1**. Transduction was performed according

to a previously published protocol (38). All overnight cultures were grown in LB/TSB 2:1

supplemented with 5 mM $CaCl_2$ to promote phage adsorption.

Phages were propagated by inoculating a chunk of soft agar containing a plaque and

surrounding bacteria into liquid medium. Phage lysates in TMG (Tris-magnesium-gelatin) buffer

were spotted (4 μL) on a top agar (0.8% agar, 0.8% NaCl) lawn (5 mL) containing 0.2 mL of a

1:10 dilution of a RN4220 or RN4220 *tarM*::Tn overnight culture (18 hr growth, 37°C, 250 rpm).

After overnight growth at 37°C, a chunk of soft agar containing a plaque and surrounding bacteria

was inoculated in 35 mL of LB/TSB 2:1 with 5 mM $CaCl_2$. This phage-bacterium co-culture was

grown overnight at 37°C and 250 rpm, centrifuged for 20 minutes at 4,000 rpm, and filtered with

a 0.45 µm syringe filter before being stored at 4°C. The resulting lysate was titered on RN4220

(*Siphoviridae* or *Myoviridae*) or RN4220 *tarM*::Tn (*Podoviridae*).

Phage resistance/host range assays

259 previously genome-sequenced *S. aureus* strains consisting of 126 from the Network

on Antimicrobial Resistance in Staphylococcus aureus (NARSA) repository (NCBI BioProject

accession PRJNA289526) (39), 69 strains previously sequenced in a vancomycin-intermediate

S. aureus (VISA) study (40) (PRJNA239001), and 64 strains previously sequenced in a cystic

fibrosis (CF) lung colonization study (41) (PRJNA480016) were rapidly profiled for resistance to

the eight phages using a high-throughput assay. Arrayed glycerol (50%) stocks of the strains were

used to inoculate 96-well plates containing 200 µL of LB/TSB 2:1 with 5 mM $CaCl_2$ in each well

using a 96-pin replicator. Cultures were grown overnight at 37°C and 225 rpm. The following day,

overnight cultures were diluted 1:10 in ddH_2O. In order to permit phage adsorption, 10 µL of each

phage lysate (~1e9 pfu/mL) was co-incubated with 10 µL of each overnight culture dilution for 30

minutes at room temperature in 96-well plates. 200 µL of molten LB/TSB/$CaCl_2$ agar (LB/TSB 2:1

with 5 mM $CaCl_2$ and 0.4% agar) was then added to each well containing the culture-phage

mixtures and allowed to solidify. After incubation overnight (37°C), plates were photographed and

final optical densities at 600 nm (OD_{600}) per well measured using a plate reader (BioTek Eon).

Strains were categorized as sensitive (0.1-0.4), semi-sensitive (0.4-0.7), or resistant (0.7 or

greater) based on classifying average final OD_{600} from at least six replicates into three equal bins

(with the third bin counting outlier resistant strains with OD_{600}s above 1). Strains and host range

phenotypes (quantitative and quantitative converted to ternary) are listed in Supplemental Tables

S1 and S2.

High-throughput assays were also calibrated against a standard spot assay. 108 NARSA

strains were tested for resistance to five of the eight phages listed previously (p11, p0006,

p0017S, p002y, and p003p). Briefly, an overnight culture of each strain was diluted 1:10 in ddH$_2$O

and a top agar lawn (0.2 mL dilution per 5 mL molten top agar) was poured on a TSA plate. After

solidification, each of the five lysates were spotted (4 μL) twice on the top agar lawn and let to

dry. The plates were then incubated face up overnight at 37°C and the spots evaluated for clearing

(sensitive), turbid clearing (semi-sensitive), or no clearing (resistant) the following day. High-

throughput assay and spot assay phenotypes were compared in boxplots made with ggplot2 (42).

The statistical significance of high-throughput assay phage resistance differences between all

possible pairs of sensitive (S), semi-sensitive (SS), and resistant (R) strains were assessed with

Wilcoxon signed-rank tests.

Bioinformatic processing

All genomes were processed using the Staphopia analysis pipeline (43), which included

de novo assembly using SPAdes (44) and annotation using Prokka (45). The core-genome

phylogenetic tree was constructed by first determining the core genome alignment for all tested

strains with Roary (46), correcting for recombination with Gubbins (47), and then generating a

maximum-likelihood phylogenetic tree with IQ-TREE (48). Strains and sequence types as well as

corresponding BioProject, BioSample, and SRA accessions are listed in **Supplemental Table**

S3. MLST (Multi-Locus Sequence Typing) Sequence types were identified for each genome with

the mlst command line tool (49), which uses the PubMLST website (https://pubmlst.org/) (50).

Quantitative phage resistance phenotypes were annotated on the tree using the Interactive Tree

of Life (iTOL) (51).

Preliminary phenotype analysis

Phage resistance phenotypes were initially placed on a core-genome phylogenetic tree and were associated with two factors - clonal complex (CC) and MRSA/MSSA genetic background. Phage resistance associations with CC and MRSA/MSSA were visualized in boxplots made with ggplot2 (42). Statistical significance of phage resistance differences between MRSA/MSSA was determined with Wilcoxon signed-rank tests. Statistical significance of overall phage resistance differences between represented CCs was determined using one-way analysis of variance (ANOVA) tests with or without phylogenetic correction.

Measuring phylogenetic signal

Four different measures of phylogenetic signal were calculated for each phenotype - Abouheif's C_{mean}, Moran's I, Pagel's λ, and Blomberg's K (52). Abouheif's C_{mean} and Moran's I were calculated with the abouheif.moran function from the adephylo R package (53) while Pagel's λ and Blomberg's K were calculated using the phylosig function from the phytools R package (54). Phylogenetic signal was determined using the core-genome phylogenetic tree annotated with quantitative phage resistance data previously described. Randomization tests for phylogenetic signal calculation were performed with 999 permutations of the data.

Genome-wide association studies (GWAS)

Genotypes were associated with phage host range phenotype data using two different GWAS pipelines - pyseer 1.2.0 (55) and treeWAS 1.0 (56). Pyseer associated clusters of orthologous genes (COGs), core genome single nucleotide polymorphisms (SNPs), and k-mers between lengths 6 and 610 with each phenotype, while treeWAS only associated biallelic core genome SNPs with the phenotype. TreeWAS used the recombination-corrected core-genome phylogeny for population structure correction while pyseer used a conversion of the phylogeny

into a kinship matrix. The core genome alignment was rearranged to set N315 as the reference

(first sequence). We chose N315 as reference because it was used as a global *S. aureus*

reference for the Staphopia project (43). SNPs were called from the core genome alignment with

snp-sites (57). For identifying significantly associated genetic determinants, a Bonferroni

correction of 0.05/6,058 or 8.25e-6 was set for COG GWAS, 0.05/15,557 or 3.21398e-6 for SNP

GWAS, and 0.05/2,304,257 or 2.17e-8 for k-mer GWAS, counting the numbers of intermediate-

frequency COGs, biallelic core genome SNPs, and unique k-mers as hypotheses to be tested,

respectively.

Pyseer SNP and COG association analyses performed multidimensional scaling (MDS)

on a mash distance matrix between tested strains to correct for population structure. Pyseer SNP

association was performed with a fixed effect (for variant and covariate lineage) model, the default

10 multidimensional scaling (MDS) dimensions retained, and lineage effect testing on each

quantitative phage resistance/host range phenotype for all biallelic core genome SNPs. Pyseer

COG association was performed with a fixed effects model on each phenotype and 9 MDS

dimensions retained for intermediate frequency COGs (**Supplemental Figure S1**). Pyseer k-mer

association was performed with a FaST-LMM linear mixed (combined fixed variant/covariate

lineage and random kinship effects) model on each quantitative phenotype for unique k-mers

between 6 and 610 bp in length extracted from genomes of all tested strains. Pyseer k-mer

association analyses used a kinship matrix between tested strains constructed from the core-

genome phylogeny to correct for population structure and set a minor allele frequency cutoff for

analysis of 1%, like SNP and COG analyses. SNP and k-mer association p-values were visualized

relative to genetic coordinates using Manhattan plots (with phandango) (58). Associations for all

k-mers were assessed for p-value inflation (exceeding the observed/expected p-value diagonal

below 1e-2) using Q-Q plots (**Supplemental Figure S2**). Significant SNPs and k-mers were

annotated using SnpEff (59) (relative to the Roary N315 core genome sequence) and downstream

analysis scripts included with pyseer, respectively, identifying the genes containing the genetic

elements (or near the genetic elements, in the case of k-mers) and mutation effects, in the case

of SNPs.

TreeWAS was performed for each phage resistance phenotype using the R package with

core genome alignment, IQ-TREE core-genome phylogeny, and quantitative phage resistance

phenotype as inputs and with default parameters. Significant treeWAS SNPs were annotated

using SnpEff (59) relative to the core genome sequence of strain N315 (60).

Functional annotation and network analysis of significantly associated genes

Genes with significant association from the GWAS study (containing SNPs, and either

near or overlapping with k-mers) were then used to identify enriched protein functions or possible

protein-protein interactions. Gene name lists for each phage were converted to NCTC 8325

RefSeq protein accession lists for use with STRING (61) and PANTHER (62), which depend on

NCTC 8325 *S. aureus* accessions. To convert genes containing significant SNPs to NCTC 8325

accessions, Roary N315 core genes were aligned against NCTC 8325 RefSeq proteins with NCBI

blastx (1 maximum target sequence, 1 maximum high scoring pair, default e-value). Gene names

matching NCTC 8325 RefSeq accessions were converted for each significant SNP using these

alignment results. To convert genes containing significant k-mers to NCTC 8325 accessions, all

significant genes were aligned against NCTC 8325 RefSeq proteins with blastx (1 maximum

target sequence, 1 maximum high scoring pair, default e-value). Gene names matching NCTC

8325 RefSeq accessions were converted for each significant k-mer using these alignment results.

Any gene names not mapped to any NCTC 8325 RefSeq protein accessions after this procedure

were left unchanged. Lists of significant genes for each phage, for all phage morphological

classes (*Siphoviridae*, *Myoviridae*, and *Podoviridae*), and for each life cycle type (virulent or

temperate) were used as inputs for STRING and PANTHER. STRING network properties (nodes,

edges, average node degree, average local clustering coefficient, expected number of edges,

and PPI enrichment p-value) were saved for each input, while PANTHER functional classification

and statistical overrepresentation test analyses were performed for each input with respect to

molecular function, biological process, cellular component, protein class, and pathway.

Genetic validation of novel phage resistance mechanisms

Six genes (*trpA*, *phoR*, *isdB*, *sodM*, *fmtC*, and *relA*) found to contain significantly

associated SNPs or k-mers for any phage resistance phenotype were validated to cause phage

resistance changes when knocked out in a single *S. aureus* genetic background (USA300 JE2).

Transposon insertion mutants in each gene were selected from the Nebraska Transposon Mutant

Library (NTML) (37) and backcrossed into USA300 JE2 through the transduction method

previously described (38) to eliminate any possible secondary acquired mutations. Backcrossed

mutants were then complemented with each gene cloned into the vector pOS1-P*lgt* (63).

Relevant strains (selected mutants and complemented strains) are listed in **Table 1**.

Genes were cloned into pOS1-P*lgt* either through splicing overlap extension (SOE) PCR

(*trpA*, *phoR*, and *sodM*) or through NEB HiFi assembly (*isdB*, *fmtC*, and *relA*). Each gene and

pOS1-P*lgt* were amplified with the primers listed in **Supplemental Table S4** to create overlap into

the corresponding fragment using NEB Q5 High-Fidelity DNA Polymerase according to

manufacturer's directions. All genes were amplified from USA300 JE2 genomic DNA except for

fmtC, which was amplified both from USA300 JE2 and NRS209. Genes were cloned into the

same site downstream of the P*lgt* promoter. For SOE PCR, AMpure XP bead-purified gene and

vector fragments were mixed together at a ratio of 1:59 and amplified for 20 cycles with NEB Q5

High-Fidelity polymerase at an annealing temperature of 60°C. For HiFi assembly, purified gene

and vector fragments were mixed together at a ratio of 1:2 (less than 0.2 pmol DNA total) and

incubated with NEBuilder HiFi DNA Assembly Master Mix for 3 hours at 50°C. SOE PCR and HiFi

assembly products were transformed into NEB DH5α competent cells (High Efficiency), plated on

LB agar with ampicillin (100 µg/mL), and grown overnight at 37°C. Transformants were verified

by colony PCR with respective LF and RR primers listed in **Supplemental Table S4**. Plasmids

were extracted from verified transformant overnight cultures with the Promega PureYield Plasmid

Miniprep System. These plasmids were then transformed into *E. coli* IM08B (64) to improve

electroporation efficiency into the USA300 JE2 transposon mutants.

Electrocompetent *S. aureus* cells (USA300 JE2 transposon mutants) were prepared as

previously described (65). *S. aureus* electrocompetent cells were electroporated with 2 µg of

ethanol-precipitated plasmid DNA (empty vector and vector with insert corresponding to

transposon insertion). Electrocompetent cells were first thawed, centrifuged, and resuspended in

50 µL 10% glycerol/0.5 M sucrose. After adding plasmid DNA, cells were transferred to 0.1 cm

electroporation cuvettes and pulsed at 2.1 kV, 100 Ω, and 25 µF. Immediately after

electroporation, 1 mL of TSB/0.5 M sucrose was added to the cuvette and the culture was

transferred to an Eppendorf tube to recover for 90 minutes at 37°C and 250 rpm. Dilutions of the

outgrowth were plated on TSA with chloramphenicol (10 µg/mL) and grown overnight at 37°C.

Electroporants were verified by colony PCR with respective LF and RR primers listed in

Supplemental Table S4.

pOS1 *fmtC* and *relA* were introduced into USA300 JE2 transposon mutants, however, by

transduction from RN4220. *S. aureus* RN4220 was electroporated with pOS1 *fmtC* (USA300),

pOS1 *fmtC* (NRS209), and pOS1 *relA* plasmids according to the procedure described previously.

Plasmids were then transduced from RN4220 to USA300 JE2 transposon mutants according to

a procedure previously published (38). Briefly, a recipient strain was infected with donor phage at

a MOI of 0.1 after supplementing with $CaCl_2$. The infected culture was then outgrown in TSB

supplemented with sodium citrate to prevent phage lysogeny. The outgrowth culture was plated

on TSA supplemented with both chloramphenicol (10 µg/mL) and sodium citrate (40 mM) to select

for plasmids and inhibit lysogeny, respectively.

Mutants and their complemented derivatives were assessed for phage resistance and host

range both through the high-throughput assay described previously and the efficiency of plating

(EOP) assay (66) to assess bacterial growth in the presence of phage and phage plaquing

efficiency, respectively. The high-throughput host range assay was performed as described

earlier, but strain overnight cultures were grown in LB/TSB 2:1 with 5 mM $CaCl_2$ supplemented

with chloramphenicol (10 µg/mL) to maintain plasmid selection in the case of complemented

strains for this and the EOP assay. The EOP assay was performed by spotting 4 µL of neat

through 1e-8 dilutions of phages p11, p0006, p0017, p0017S, p002y, p003p, p0040, and pyo on

lawns (0.2 mL of a 1:10 overnight culture dilution mixed with 5 mL of top agar) of a test and

reference (USA300) strain. Lawns were poured on TSA plates. EOP was calculated by dividing

phage titer on the test strain to that on the reference strain.

Construction of phage resistance phenotype predictive models

Phage resistance predictive models were constructed using three methods - random

(decision) forests, gradient-boosted decision trees, and neural networks. Random forests were

generated using the randomForest R package, gradient-boosted decision trees were generated

with the XGBoost R package (67). Ternary (S, SS, or R) phenotypes converted from the original

high-throughput assay quantitative phenotypes (described in the phage host range assay

methods) were set as the response variable, while either presence/absence of each significant

genetic element, each k-mer, or one of the previous two sets (all elements or just k-mers) and

both strain sequence type (ST) and clonal complex (CC) were set as predictor variables. Random

forest and XGBoost predictive accuracy and receiver operating characteristic (ROC) area under

the curve (AUC) was determined on the validation set through multiple replicates of 10-fold cross-

validation, in which alternating tenths of the data are used for validation while the model is trained

on the remaining data. The optimal number of rounds (iterations) for XGBoost was determined

for each phage and set of input predictor variables with 5-fold cross-validation. XGBoost model

training also used the softmax objective for multiclass (three classes - S, SS, and R) classification.

Neural network model construction was more complicated as it involved a preprocessing

step to balance datasets where necessary. Oversampling or a combination of over- and

undersampling methods was performed to balance specific datasets. For the oversampling

method, new samples of the minority classes were randomly generated with replacement so that

the number of samples for each class would be equal to that of the majority class in the original

dataset. For the combination method, the Synthetic Minority Over-sampling Technique (SMOTE)

for over-sampling and Tomek links for under-sampling were performed together. However, for

phages with limited cases for one class type, such as p002y, we cannot conduct undersampling.

Therefore, for such datasets, only the oversampling method was performed. Then the new

balanced datasets were split into training and validation sets with 30% validation. Random splits

were performed four times to generate four replicates for evaluation, each with different train and

test datasets. Each replicate was evaluated as before with validation set prediction accuracy and

ROC AUC.

Neural network models were constructed three ways - 1) with or without oversampling or

an over-/undersampling combination alone, 2) also with a regularizer and dropout layer, or 3) also

with lasso regression for feature selection. All methods use ADAM (68) for optimizing and sparse

categorical cross-entropy for loss. For imbalanced datasets, the oversampling and combination

over/undersampling methods were used as well, if possible. The fully connected neural network

was constructed based on the selected, balanced dataset. We then found both training and

prediction accuracy to evaluate performance for each network model. We note that network

models were optimized for each replicate training set, which means there may be different

network models for the four replicates. In the first method, fully connected neural network models

were constructed on datasets either originally balanced or balanced after over-/combination

methods, with no further correction. Since some network models have high prediction accuracies,

it is possible that these models are overfitting, so the second method adds a regularizer and a

dropout layer to fully connected neural networks as new models. Finally, for some network

models, the prediction accuracies were not as high as others. Thus, in the third method, lasso

regression was performed to select important features and improve performance. A neural

network model was constructed on the new dataset based on these selected features.

Information entropy was compared to average randomForest and XGBoost 10-fold cross-

validation and neural network predictive accuracies and ROC AUCs after calculation through

using the following equation (69), where $P_x(x_i)$ is the probability of event x_i, and the three possible

events are S, SS, and R phenotypes:

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$$H(x) \; = \; -\sum_{i=1}^{n} P_X(x_i) \ln \left(P_X(x_i) \right)$$

Results

Development of a novel high-throughput host range assay

In order to evaluate host range on a large number of *S. aureus* strains in a quantitative

manner, we developed a high-throughput host range assay (**Figure 1**) described in the Materials

and Methods section. This assay measures the extent that phages cause retardation of growth

compared to a control. Before using data from the high-throughput assay for further analysis, we

calibrated it against the traditional spot assay (**Figure 1A**), which measures whether phages

cause lysis in a lawn of bacterial cells. We compared spot assay results (sensitive - S, semi-

sensitive - SS, or resistant - R) for 108 strains and five phages to the strains' average final soft

agar turbidity (OD_{600}) in the high-throughput assay (**Figure 1B**). For all phages tested, turbidity

was significantly higher ($p < 0.05$, Wilcoxon signed-rank test) for spot-resistant strains relative to

spot-sensitive strains. For all phages tested but p003p, the turbidity was significantly higher for

spot-semi-sensitive strains relative to spot-sensitive strains. However, for only phages p0006 and

p003p were turbidities significantly higher for spot-resistant strains relative to spot-semi-sensitive

strains. Thus for all phages but p003p, it was possible to tell spot-sensitive from spot-semi-

sensitive strains by the high-throughput assay, but only for phages p0006 and p003p was it

possible to tell spot-semi-sensitive from spot-resistant by the new assay. Overall, these results

showed strong agreement between the lysis-based spot assay and the high-throughput growth-

based assay for differentiating between sensitive and resistant/semi-sensitive phenotypes.

Host range is associated with clonal complex but not methicillin-resistance

We evaluated the host range for a set of 259 *S. aureus* strains representing 47 already-

defined sequence types (STs) and 8 already-defined clonal complexes (CCs) against eight

phages. Phages p11, p0040, the two temperate phages, and p0017, the sole tested podovirus,

had the highest proportions of resistant strains (71.8, 38.2, and 35.9%, respectively) amongst

those tested (**Figure 2A** and **Table 2**). The average and median final turbidities amongst tested

strains were likewise highest for these phages (0.80/0.88, 0.61/0.60, and 0.56/0.54, for p11,

p0040, and p0017, respectively). On the other hand, phages p0017S, p002y, p003p, and pyo, all

virulent *Sipho-* or *Myoviridae*, had the lowest proportions of resistant strains (0.8, 1.2, 1.2, and

1.5%, respectively) and average/median final turbidities (0.31/0.27, 0.27/0.22, 0.32/0.31, and

0.26/0.21, respectively). Phage p0006 had an intermediate proportion of resistant strains (15.4%)

and average/median final turbidity (0.49/0.44). Strains were resistant to between zero and six

phages (**Figure 2B**), with a median of two. The strains NRS148, NRS209, and NRS255 were

resistant to six phages, the most among any strains. Phage host ranges were most similar

(concordant - defined by number of strains with identical phenotypes between two phages)

between phages p0017S, p002y, p003p, and pyo, but least similar between phage p11 and the

previous set of four phages (**Figure 2C**).

We also examined whether there were significant associations between clonal complex

(CC) or methicillin resistant *S. aureus* (MRSA) genetic background and each phage host range

phenotype (**Figure 3**). We hypothesized CC would correlate with host range given that type I

restriction-modification specificity is strongly associated with CC (70, 71), restricting the infection

of a strain by phage propagated in a strain of a different CC. We hypothesized MRSA genetic

background to correlate with either increased sensitivity or resistance relative to MSSA, given that

the phage receptor WTA is required for methicillin resistance (72) but MRSA strains can tolerate

more defects in WTA biosynthesis than MSSA strains (73). However, MRSA/MSSA phenotypic

differences were only significant for phage 0040 ($p < 0.001$, Wilcoxon signed-rank test; **Figure 3B**).

There were significant differences in phage resistance between individual CCs for all phages

($p < 0.05$, Tukey Honest Significant Differences based on one-way ANOVA; **Supplemental Table**

S5) and significant overall differences amongst all CCs (one-way ANOVA) for all phages ($p < 0.05$).

Overall, these results indicate MRSA genetic background for the most part is not associated with

the host range of these phages, while CC overall affects all tested phages' host ranges.

Resistance to each phage is highly homoplasious, emerging independently in multiple

CCs (**Figure 4**). We estimated phylogenetic signal by calculating Moran's I, Abouheif's C_{mean},

Pagel's λ, and Blomberg's K (52) for each phage host range phenotype, which resulted in

statistically significant values in every case (**Table 3**). Both Moran's I and Abouheif's C_{mean} values

fell between 0.17 and 0.37. Pagel's λ values all were nearly 1, while Blomberg's K values

approached 0. Pagel's λ values around 1 and Moran's I/Abouheif's C_{mean} values around 0 support

a Brownian motion model (the phylogeny structure alone best explains the trait distribution), but

Blomberg's K values around 0 suggest trait variance at the tips is greater than that predicted by

the phylogeny under a Brownian motion model. All calculated phylogenetic signal values were

statistically significant ($p < 0.05$ for randomization tests based on 999 simulations). Taken together,

these results suggest the structure of the phylogeny could explain the host ranges of the tested

phage as expected under a Brownian motion model (random distribution of phenotypes amongst

strains directed by the phylogeny overall). This neutral phylogenetic signal agrees with the

previous finding that CC is associated with host range (**Figure 3A** and **Supplemental Table S5**).

While there is a CC association with host range, strain-specific effects may be even stronger than

CC-specific effects, resulting in weak net phylogenetic signals.

GWAS reveals novel genetic determinants of host range

We used the GWAS tools pyseer (55) and treeWAS (56) to identify genetic loci strongly

associated with the phage host range phenotype (**Supplemental Figure 3A, Table 4**). We chose

these tools because they represent two alternatives for population structure correction - identifying

principal components of a distance matrix (pyseer) and testing against phenotypes simulated

based on the phylogeny (treeWAS). pyseer identified COGs, SNPs, and k-mers beyond the

respective multiple-corrected significance thresholds in all phages. Most phages lacked k-mer p-

value inflation with the exceptions of p0017S, p002y, and p003p, based on associated Q-Q plots

(scatter above the diagonal at p-values of 1e-2 or more indicated p-value inflation; **Supplemental**

Figure S2). The number of significant COGs detected ranged from 48 (p0017S) to 347 (pyo).

Significant SNPs were detected for all phages but p11 and p0017S and ranged from 1 (p0017) to

249 (pyo). Significant SNPs were identified in *tarJ* (pyo - 672A>G synonymous) and *tagH* (p002y

- 848T>C missense and 873A>T missense; pyo - 848T>C missense, 873A>T missense, and

876C>T synonymous). TarJ is responsible for activating ribitol phosphate with CTP to form CDP-

ribitol (74), while TagH is a component of the ABC transporter that exports WTA to the cell surface

(9). A substantial number of the significant p0017 k-mers (1,382, -log(p-value) = 12.259) mapped

to the recently discovered host range factor *tarP*. TarP was shown to confer podovirus resistance

by transferring N-acetylglucosamine to the C3 position of ribitol phosphate (14). Significant k-

mers also mapped to *hsdS* (32 for p002y, -log(p-value) = 9.33; 6 for p003p, -log(p-value) = 8.54),

oatA (2 for p002y, -log(p-value) = 7.75; 3 for p003p, -log(p-value) = 8.45), and *tagH* (11 for p002y,

-log(p-value) = 9.47; 10 for p003p, -log(p-value) = 8.81). HsdS determines the sequence

specificity of Sau1 restriction-modification (70), while OatA, or peptidoglycan O-acetyltransferase,

is required for phage adsorption at least in *S. aureus* strain H (75).

TreeWAS detected 4 or fewer significant SNPs for three phages and none for phages p11,

p0017, p0017S, and p002y. Amongst significant SNPs, the majority were synonymous for each

phage, with the exception of phage p0040 (**Supplemental Figure 3B**). A single nonsense

mutation was detected for phage p002y. The number of significant k-mers in or near a gene

detected ranged from 14 (pyo) to 7078 (p0017).

Searches using the entire set of GWAS loci for potential enriched protein-protein

interactions and pathways in the STRING (61) and Gene Ontology (62) databases (using the

PANTHER tool) (**Supplemental Figure 3A, Supplemental Tables S6, S7, and S8**), resulted in

a biologically diverse group of functions. These included periplasmic substrate-binding (p0017S,

STRING), type I restriction-modification specificity (p0017S, STRING), metal ion binding (p002y,

STRING; pyo, STRING and PANTHER), ATP binding (p002y, STRING and PANTHER; pyo,

STRING), amino acid metabolism (pyo, STRING and PANTHER), pyrimidine metabolism (pyo,

STRING), and RNA metabolism (p11, PANTHER).

Confirmation of causal roles for novel determinants of host range

We next used molecular genetic experiments to confirm a causal role for genes discovered

in the GWAS where there were no previous references in the literature for a role in *S. aureus*

phage host range. The genes (*trpA* - p002y/pyseer, *phoR* - p002y, p003p, p0040/pyseer, *isdB* -

p002y, p0040/pyseer, *sodM* - p002y, p003p/pyseer, *mprF/fmtC* - p002y/pyseer, and *relA* -

p003p/pyseer) were selected for validation because there were available transposon mutants in

the Nebraska Transposon Mutant Library (NTML) (37). We backcrossed the mutants into their

isogenic background USA300 JE2 and complemented these strains with the multicopy vector

pOS1-P*lgt* (63). We assessed the USA300 JE2 background, transposon mutants, transposon

mutants with empty vectors, and complemented transposon mutants for phage resistance with

the previously described high-throughput (**Supplemental Figure S4**) and efficiency of plating

(EOP) assays (**Supplemental Figure S5**), respectively. We found significant decreases in phage

resistance for all mutants in the presence of phages p0006, p0017S, p003p, and p0040 (p<0.05,

Wilcoxon signed-rank test). However, when we attempted to rescue the phenotype by

complementation, we only found corresponding rescue of phage resistance back towards the

wild-type phenotype in *trpA*, *phoR*, *sodM*, and *fmtC* (p<0.05, Wilcoxon signed-rank test).

Interestingly, the *fmtC* allele from NRS209 did not complement the *fmtC*::Tn insertion, while the

fmtC allele from the same strain (USA300 JE2) did, suggesting allele specificity for *fmtC* in phage

resistance effects. While all no-phage control assays resulted in final OD_{600}s between 0.6 and

1.0, there were significant differences between transposon mutants and complemented strains

for *trpA*, *phoR*, *isdB*, and *sodM* (p<0.05, Wilcoxon signed-rank test), suggesting the

corresponding mutations affected bacterial growth itself in the absence of phage.

472   We did not observe any significant changes in phage propagation efficiency when

performing the efficiency of plating (EOP) assay on these strains, except for USA300/USA300

trpA::Tn pOS1 *trpA*, USA300/USA300 *phoR*::Tn pOS1, and USA300/USA300 *relA*::Tn pOS1 *relA*

(p<0.05, Wilcoxon signed-rank test). EOP measures differences in plaquing, or actual infection

and phage propagation. The growth-based assay measures survival despite infection. We

interpret the different results between the EOP and growth assays to indicate that these genes

mostly influence survival post-infection but do not necessarily prevent infection. Taken together,

these results confirm at least six GWAS-significant genes are implicated in phage resistance for

some of the eight phages but not necessarily at the level of direct interference with phage

propagation.

Host range predictive models based on significant genetic determinants

explain most phenotypic variation

484   In order to determine the extent to which host range is predictable by the loci identified by

GWAS, we constructed predictive models for qualitative host range phenotypes using random

forests, gradient-boosted decision trees, and neural networks. We determined predictive

accuracy for each phage host range phenotype and four different sets of predictors

(presence/absence of significant genetic determinants or k-mers from GWAS result, with or

without sequence type and clonal complex for corresponding strains) with 10-fold cross-validation

(**Figure 5A and Supplemental Figure S6A**). In no cases were there significant differences in 10-

fold cross-validation predictive accuracies between model construction methods or predictor sets

used, suggesting no combination of method and predictors improved model predictive accuracy

relative to another and that there is a limit to the amount of host range variation explained by the

predictive models. The phages p0017S (0.83-0.87), p002y (0.81-0.88), p003p (0.83-0.92), and

pyo (0.83-0.91) had the highest average predictive accuracies, followed by p11 (0.67-0.73),

p0006 (0.47-0.61), p0040 (0.42-0.61), and p0017 (0.45-0.54), respectively. We hypothesized that

predictive accuracy correlated with host range distribution, expecting less complex distributions

to be easier to predict and thus to have higher predictive accuracies. We thus examined the

relationship between information entropy (average level of uncertainty or information in a

variable's possible outcomes) and predictive accuracy (**Figures 5B and 5C**; **Supplemental**

Figures S6B and C). We found that predictive accuracy increased at the extremes of phenotype

proportion (S, SS, R) and that information entropy was negatively correlated with predictive

accuracy for all models.

We also performed the same analyses on another predictive model statistic - the receiver

operating characteristic (ROC) curve area under the curve (AUC), which measures the ability of

the model to distinguish between classes (true positive and true negative). We found that

gradient-boosted decision trees AUCs held uniform amongst phages, while random forest and

neural network AUCs negatively correlated with information entropy (**Supplemental Figures S7**

and S8), suggesting phenotype complexity (entropy) did not affect the robustness of gradient-

boosted decision tree prediction. Taken together, these results show that significant GWAS

determinants from this study do not completely predict phage host range and that prediction is

most effective for low complexity host range distributions, at least for random forest and neural

network models.

Discussion

Through GWAS on a diverse natural set of *S. aureus* strains we discovered numerous

genetic determinants of phage host range, many of which had not been reported previously in the

scientific literature. This study uses a far more diverse set of strains than the previous hypothesis-

free study of *S. aureus* phage host range (36). However, our set of genetic loci still only partially

explains the variation in the overall broad host ranges of our tested phages, as the predictive

modeling results indicate.

We found that knockouts of six GWAS-significant genes - *trpA*, *phoR*, *isdB*, *sodM*, *fmtC*,

and *relA* - increased phage sensitivity, suggesting these could be targets for phage-therapy

adjunctive drugs. TrpA together with TrpB (encoding tryptophan synthase alpha and beta chains,

respectively) carries out the last step in L-tryptophan biosynthesis (76). The enzymes convert

indole-glycerol phosphate and serine to tryptophan and glyceraldehyde 3-phosphate (76). TrpA

inactivation might then sensitize *S. aureus* to phage infection by increasing indole-glycerol

phosphate levels at the expense of tryptophan. In the absence of *trpA*, built-up tryptophan

biosynthesis intermediates including IGP may sensitize cells to phage infection, making *trpA*

necessary for resistance. Alternatively, by reducing the total tryptophan pool, removing tryptophan

biosynthesis may increase the proportion of tryptophan used to translate phage relative to host

proteins, thus enhancing phage infection at the cost of host growth. Indeed, it is already known

that throttling down protein synthesis with sublethal doses of ribosomal active antibiotics

enhances plaque formation on MRSA lawns (77).

The PhoPR two-component system is responsible for regulating expression of phosphate

uptake systems (ABC transporters) based on phosphate levels. In *S. aureus*, PhoPR is necessary

for growth under phosphate-limiting conditions by regulating either phosphate transporters or

other factors, depending on the environment (78). In *Bacillus subtilis*, the sensor kinase PhoR

senses phosphate limitation through wall teichoic acid intermediates (79) and correspondingly

represses WTA biosynthesis gene expression (80). PhoPR also upregulates glycerol-phosphate

WTA degradation in *S. aureus* and *B. subtilis* to scavenge phosphate (81, 82). If all these

mechanisms are present in *S. aureus*, and if there is also a pathway for degrading *S. aureus* Rbo-

P WTA, PhoR activity may lead to reduced WTA under phosphate starvation, thus forming phage

resistant cells. On the other hand, as for *trpA*, *phoR* might simply be required for properly inducing

phosphate uptake necessary for survival during phage infection.

Superoxide dismutase (SodM) and phosphatidylglycerol lysyltransferase/multiple peptide

resistance factor (FmtC/MprF) more likely have direct mechanistic roles in the phage infection

process. SodM may be required for tolerance to cell wall stress imposed by phage infection. SodM

is a Mn/Fe-dependent superoxide dismutase that converts superoxide into hydrogen peroxide

and oxygen. Previous studies have shown that superoxide dismutase has affected tolerance to

cell wall active antibiotics in *S. aureus* and *E. faecalis* (83, 84) and phage plaquing in *C. jejuni*

(85). Superoxide dismutase transcripts were found to be upregulated upon phage infection in *E.*

faecalis (86). FmtC, on the other hand, may affect the lysis step by altering cell surface charge.

FmtC (MprF) alters cell surface charge first by attaching the positively charged lysine to

phosphatidylglycerol through esterification with glycerol (87, 88). It then flips these modified

phospholipids from the inner to the outer leaflet of the cell membrane (89). This resulting positive

charge on the outer membrane confers resistance to cationic antimicrobial peptides (CAMPs) but

also may alter lysis. Phage lysis depends on holin proteins which form pores in the membrane

that dissipate proton-motive force and release endolysins to degrade the cell wall peptidoglycan

(90–92). Because FmtC alters cell surface charge, it also could affect holin-dependent membrane

depolarization, endolysin activity, or phage attachment, especially if the phage receptor-binding

protein is positively charged. Interestingly enough, the *fmtC* allele from NRS209 did not

complement the transposon insertion in USA300 JE2. This could indicate either a loss of function

in the allele or incompatibility with some aspect of the USA300 JE2 strain.

Two of the six validated genes did not restore wild-type phenotypes upon

complementation (*isdB* and *relA*). RelA, or the *relA/spoT* homolog in *S. aureus*, synthesizes

(p)ppGpp in response to sensing uncharged tRNAs on the ribosome (93). Transcriptomic studies

indicated *S. aureus* upregulates its *relA/spoT* homolog in response to lytic phage predation (94).

RelA may contribute to phage-resistant, slow-growing cell (persister) formation (95), although

studies indicate ATP depletion rather than (p)ppGpp synthesis accounts for persistence in *S.*

aureus (96). IsdB, on the other hand, is part of the iron-regulated surface determinant (isd) system

responsible for scavenging iron from hemoglobin (97). As experiments were conducted in rich

media, the hemoglobin-iron scavenging activity of IsdB does not seem relevant, but IsdB may be

an abundant surface protein, implicating it in surface occlusion. Neither of these genes are in

operons, at least in USA300 JE2. It could be that the native promoters are inherently stronger

than the P*lgt* promoter or are strongly upregulated during phage infection thus affecting the

efficiency of complementation. We also note for all genes that there was no apparent

complementation for phages p002y and pyo (**Supplemental Figure S4**). In the case of the latter

two, the parental USA300 JE2 strain was already sensitive to those two phages.

These validated genes along with most other GWAS-detected host range factors were not

previously reported as important in *S. aureus* phage infection, but the GWAS did identify some

known factors. Such factors included WTA biosynthesis and modification genes, such as *tarP*,

tarJ, and *tagH*. While TarJ and TagH are involved in WTA biosynthesis, the WTA

glycosyltransferase TarP was recently shown to directly confer *Podoviridae* resistance. Capsule

biosynthesis (*cap8A* and *cap8I*) (98) and peptidoglycan modification (*oatA*) genes (75) encode

surface-associated functions previously implicated in *S. aureus* phage resistance. Capsule or

capsule overproduction are known to confer phage resistance in *S. aureus* (7, 12), while

peptidoglycan O-acetyl groups are part of the phage receptor (75). Type I restriction-modification

(*hsdS*) was implicated as well, and this is a well-known mechanism for suppression of infection

across clonal complexes (70).

Our study agreed with prior work demonstrating *S. aureus* phages have broad host ranges

(28–34). A major goal of our work was to prototype predictive models for host range based on

genome sequence. Genome-based predictions for several antibiotic resistance phenotypes have

proven to be of similar accuracy to classic laboratory-based assays (99). We found that *S. aureus*

host range prediction accuracy was 40-95% depending on phage. More strains and phages will

need to be added to the host range matrix to make genomic host range prediction clinically useful.

The difficulty in predicting resistance may come from the large number of genes found to influence

the phenotype. Resistant strains may instead have individual, unique mechanisms or other traits

that simply confer phage resistance, with the exception of superinfection immunity, in which host-

encoded prophages prevent infection of a cognate temperate phage by repressing its lytic genes

with their *cI* repressors (100). The two phages with the highest overall resistance (p11 and p0040

- **Figure 2**) are members of the temperate *Siphoviridae*. Most isolated *S. aureus* strains encode

prophages (101), making superinfection immunity and corresponding overall p11 and p0040

resistance common in the tested strains.

There are limitations to performing phage host range measurement. The high-throughput

assay did not measure lysis directly but also did not have the disadvantages of observer bias, low

throughput, and qualitative output of the spot assay. In our host range assay, we are measuring

the ability of the population overall to survive phage challenge, but this could also indicate the

phage suppression of bacterial growth through some level of infection. Likewise, multiple possible

sets of population dynamics confound the spot assay. Efficiency of plating (EOP), on the other

hand, measures phage propagation efficiency directly by comparing phage titer on a permissive

control strain to that on a test strain (66). Nonetheless, factors altering EOP still could affect any

stage of the infection cycle, so EOP measurement does not suggest possible phage resistance

mechanism. The ambiguity of both assays suggests examining the population dynamics of

phages and identified mutants (e.g., *trpA*::Tn) during infection (i.e., adsorption rate; latent period,

and burst size from one-step growth curve) would be worthy for future studies to pinpoint the

specific mechanism by which that gene affects phage resistance. We also recognize that a

multitude of environmental variables (temperature, multiplicity of infection, growth media) could

influence the assay.

There are also some limitations inherent in GWAS approaches. Bacterial GWAS

associates homoplasic variants that arise from parallel evolution or recombination with a

phenotype of interest (102, 103). While bacterial GWAS can find more types of genetic events

(either loss of function or gain of function; mutation, insertion, deletion, recombination, and so on,

but not genes with no changes) and more broadly relevant genes and polymorphisms related to

a phenotype than screening transposon mutants in a single genetic background, clonal population

structure, abundant small effect variants, and genetic interactions hamper it (102). When

recombination is relatively rare in a species, like *S. aureus*, large numbers of variants remain in

linkage disequilibrium, making it difficult to distinguish lineage from strain-level effects. Variants

linked to a causative variant may then be detected as false positives. While we have validated at

least a few genes as true positives, and we expect phylogenetically hierarchical effects on host

range based on reviewing past work (1), our GWAS methods also include various corrections for

clonal population structure when associating variants.

Two recent studies used single gene knockout, overexpression, transcriptional

suppression methods as well as global transcriptional profiling to identify phage resistance

determinants in *E. coli* (104) and *Enterococcus faecalis* (86). Unlike these previous studies, our

findings are not limited to one or a few genetic backgrounds, making them more widely applicable

to the species and its underlying evolution. Nonetheless, extensive functional molecular genetics

studies will be needed to distinguish genes that truly contribute to host range from false positives.

These studies, like those in *E. coli* and *E. faecalis*, would complement the GWAS with global

searches for phage resistance genes in a single genetic background, such as Tn-Seq, DUB-Seq,

and CRISPR interference to identify genes required for surviving phage infection and RNA-Seq

to identify genes differentially regulated in response to phage infection. Such work would both

corroborate GWAS results and fill in the gaps - possible determinants not present or conserved

in enough of the resistant or sensitive population.

Our results have important consequences for phage therapy, phage-small molecule

combination therapy, and horizontal gene transfer in the species. Genes identified expand the set

of potential combination therapies by providing additional targets to which to design small

molecules to interfere with phage resistance. Already, combination phage/antibiotic therapies

have shown promise for clearing biofilms and reducing emergence of antibiotic resistance in *S.*

aureus (105), and ribosomal active antibiotics are known to enhance MRSA phage sensitivity at

sublethal doses (77). Additionally, because the phage receptor WTA is necessary for methicillin

resistance (72) and WTA inhibition resensitizes MRSA to methicillin (73), phages have the

exciting possibility of inducing collateral beta-lactam sensitivity. We also cannot discount the

possibility that phage resistance polymorphisms are the result of selection by other stresses

besides phage infection, such as immune escape, interbacterial interactions, or antibiotic

selection. Wall teichoic acid, the *S. aureus* phage receptor, for example, is also important for

colonization, antibiotic resistance, and immune evasion (72, 106–111). Because we identified

phage host range determinants, we also gain insights into the evolution of the *S. aureus* through

horizontal gene transfer. Transduction, the transfer of host genetic material between strains by

abortive phage infection, is a major mechanism of HGT (112) and recombination (113) in the

species. There is a tradeoff between the need to resist phage killing and the need to adapt by

gaining new virulence genes (such as Panton-Valentine leukocidin) (114) through HGT. It is

possible that the most transducible strains are both more sensitive to killing by phage infection,

but also more able to outcompete other strains for advantageous genetic material. The finding

that even the most resistant strains (NRS148, NRS209, and NRS255) were still sensitive to two

out of the eight phages may be the result of a selection for sensitivity that could be the Achilles

heel of *S. aureus* when confronted by phage therapy.

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Figures

Figure 1: Development of the high-throughput phage host range assay.

A) Example of fully sensitive (NRS149) and fully resistant (NRS148) spot assay phenotypes for five test phages (p11, p0006, p0017S, p002y, and p003p). *Siphoviridae* are listed in red, *Myoviridae* in blue, and *Podoviridae* in purple. B) Calibration of the high-throughput assay against qualitative spot assay phenotypes (S - sensitive, complete clearing; SS - semi-sensitive, cloudy clearing; R - resistant, no clearing) collected with the spot assay for 108 NARSA strains and the five phages listed in A. Data represent the distribution of average high-throughput assay measurements for strains evaluated as S, SS, or R in corresponding spot assays. Wilcoxon signed-rank test significance values for each possible comparison are listed at the top of the corresponding boxplots (ns - not significant, * - 0.01 to 0.05, ** - 0.001 to 0.01, *** - 0.0001 to 0.001, **** - less than 0.0001). C) Example high-throughput assay results from one 96-well plate containing 96 NARSA strain overnight cultures co-incubated with phage p0006 (K). D) Example high-throughput assay phenotypes for a sensitive *S. aureus* strain, resistant strain, bacteria without phage, and phage without bacteria.

Figure 2: Host range distribution, concordance, and multiple phage resistance.

A) Number of strains that fall into host range categories for each phage. Sensitive (S)

corresponds to an OD_{600} of 0.1-0.4, semi-sensitive corresponds to an OD_{600} of 0.4-0.7, and

resistant corresponds to an OD_{600} of 0.7 or higher. B) Histogram of number of phages to which

strains are resistant, by the previous definition. C) Concordance matrix of the tested phages'

host ranges. Concordance is defined as the number of strains with identical phenotypes

between two phages. *Siphoviridae* are listed in red, *Myoviridae* in blue, and *Podoviridae* in

purple.

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 Figure 3: Phage resistance is related to clonal complex (CC) but not MRSA genetic

background.

Data represent the distribution of average high-throughput assay measurements for strains

belonging to each presented CC (all 259 strains) (A) or MRSA/MSSA (126 NARSA strains) (B)

genetic background. One-way ANOVA significance values for overall differences amongst CCs

presented and Wilcoxon signed-rank test significance values for MRSA/MSSA differences are

listed at the top of the corresponding boxplots (ns - not significant, * - 0.01 to 0.05, ** - 0.001 to

0.01, *** - 0.0001 to 0.001, **** - less than 0.0001). *Siphoviridae* are listed in red, *Myoviridae* in

blue, and *Podoviridae* in purple.

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Figure 4: Phage resistance across the *S. aureus* species.

Average high-throughput phage host range assay phenotypes (of at least six replicates) and

corresponding strain clonal complexes were placed on a maximum-likelihood, midpoint-rooted

core-genome phylogeny and visualized with the Interactive Tree of Life (iTOL) (51). Phenotypes

are presented on a scale from blue (lowest OD_{600}, most sensitive) to orange (highest OD_{600}, most

resistant). Phenotypes from inside to outside correspond to phages p11, p0006, p0017, p0017S,

p002y, p003p, p0040, and pyo. CCs are shaded inside and outside the circumference of the tree.

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Figure 5: Construction of predictive models for each ternary phage resistance phenotype

Quantitative host range phenotypes were classified as S - sensitive, SS - semi-sensitive, or R -

resistant based on the bins (0.1-0.4, 0.4-0.7, and 0.7 or more, respectively). *Siphoviridae* are

listed in red, *Myoviridae* in blue, and *Podoviridae* in purple. A) 10-fold cross-validation predictive

accuracies for each phage based on two model building methods (randomForest and XGBoost)

and four sets of predictors - all significant GWAS genetic determinants (COGs, SNPs, and k-

mers) for a particular phage, all determinants plus corresponding strain sequence type and clonal

complex (ST and CC), significant k-mers for a particular phage, and significant k-mers plus strain

ST and CC. Average accuracies of four 10-fold CV replicates are presented with one standard

error above and below the mean. Validation accuracy represents the proportion of correctly

identified ternary phenotypes in the validation set (one-tenth of the strain set). B) Average

accuracies from four 10-fold CV replicates for each model building method and all significant

GWAS determinants as predictors relative to the proportion of each ternary phenotype (S, SS, or

R) amongst tested strains for the corresponding phage. Three points are shown for each

validation accuracy (corresponding to each of the three possible phenotypes). C) Average

accuracies from four 10-fold CV replicates for each model building method and all significant

GWAS determinants as predictors relative to the information entropy for each host range

phenotype, which was calculated as described in the Materials and Methods section. Information

entropy was calculated with a natural logarithm in natural units (nats).

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Tables

1098 Table 1: Strains, phages, and plasmids used for phage propagation and molecular

1099 genetic validation of GWAS results

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Strain, phage, or plasmid	Characteristics/description	Reference
E. coli Strains		
DH5α	_E. coli_ cloning strain; F- endA1 glnV44 thi-1 recA1 relA1 gyrA96 deoR nupG purB20 φ80dlacZΔM15 Δ(lacZYA-	(115)

	argF)U169, hsdR17(rK–mK+), λ-	
IM08B	*E. coli* cloning strain with *S. aureus* CC8 DNA modification; DNA cytosine methyltransferase (dcm) negative mutant of E. coli K12 DH10B; mcrA Δ(mrr-hsdRMS-mcrBC) φ80lacZΔM15 ΔlacX74 recA1 araD139 Δ(ara-leu)7697 galU galK rpsL endA1 nupG Δdcm ΩPhelp-hsdMS (CC8-2) ΩPN25-hsdS (CC8-1)	(64)
***S. aureus* strains**		
RN4220	Phage propagation strain; background for transducing *tarM*::Tn; cloning intermediate for pOS1-P*lgt-fmtC*	(116)
RN4220 *tarM*::Tn	Podovirus (p0017) propagation strain; generated by transducing RN4220 with USA300 JE2 *tarM*::Tn (NE611) phage 11 lysate	This study
USA300 JE2	Wild-type for genetic validation experiments and background for transposon mutant backcrossing	(37)
USA300 JE2 *tarM*::Tn (NE611)	Transposon mutant transduced into RN4220 to make RN4220 *tarM*::Tn by a	(37)

	NE611 phage 11 lysate	
USA300 JE2 *trpA*::Tn	Backcrossed mutant NE304 back into USA300 JE2	This study
USA300 JE2 *trpA*::Tn pOS1	Complemented backcrossed mutant with empty vector	This study
USA300 JE2 *trpA*::Tn pOS1 *trpA*	Complemented backcrossed mutant with *trpA* from USA300 JE2	This study
USA300 JE2 *phoR*::Tn	Backcrossed mutant NE618 back into USA300 JE2	This study
USA300 JE2 *phoR*::Tn pOS1	Complemented backcrossed mutant with empty vector	This study
USA300 JE2 *phoR*::Tn pOS1 *phoR*	Complemented backcrossed mutant with *phoR* from USA300 JE2	This study
USA300 JE2 *isdB*::Tn	Backcrossed mutant NE1102 back into USA300 JE2	This study
USA300 JE2 *isdB*::Tn pOS1	Complemented backcrossed mutant with empty vector	This study
USA300 JE2 *isdB*::Tn pOS1	Complemented backcrossed mutant with *isdB* from USA300 JE2	This study

isdB		
USA300 JE2 *sodM*::Tn	Backcrossed mutant NE1224 back into USA300 JE2	This study
USA300 JE2 *sodM*::Tn pOS1	Complemented backcrossed mutant with empty vector	This study
USA300 JE2 *sodM*::Tn pOS1 *sodM*	Complemented backcrossed mutant with *sodM* from USA300 JE2	This study
USA300 JE2 *fmtC*::Tn	Backcrossed mutant NE1360 back into USA300 JE2	This study
USA300 JE2 *fmtC*::Tn pOS1	Complemented backcrossed mutant with empty vector	This study
USA300 JE2 *fmtC*::Tn pOS1 *fmtC*	Complemented backcrossed mutant with *fmtC* from USA300 JE2	This study
USA300 JE2 *fmtC*::Tn pOS1 *fmtC209*	Complemented backcrossed mutant with *fmtC* from NRS209	This study
USA300 JE2 *relA*::Tn	Backcrossed mutant NE1714 back into USA300 JE2	This study
USA300 JE2	Complemented backcrossed mutant with	This study

relA::Tn pOS1	empty vector	
USA300 JE2 *relA*::Tn pOS1 *relA*	Complemented backcrossed mutant with *relA* from USA300 JE2	This study
Phages		
Phage 11 (p11)	*Siphoviridae* phage; also used for backcrossing and pOS1-P*lgt-fmtC* transduction from RN4220 into USA300 *fmtC*::Tn; GenBank accession NC_004615.1	(5, 6, 117)
Phage p0006 (K)	*Myoviridae* phage; GenBank accession NC_005880.2	(30, 31, 118)
Phage p0017 (HER49/p66)	*Podoviridae* phage; GenBank accession NC_007046.1	This study
Phage p0017S	*Siphoviridae* phage	This study
Phage p002y (DI)	*Myoviridae* phage	This study
Phage p003p (Mourad 87)	*Siphoviridae* phage	This study
Phage p0040 (Mourad 2)	*Siphoviridae* phage	This study

Phage pyo	*Myoviridae* phage; BioProject accession PRJNA477834	(105, 119)
Plasmids		
pOS1-P*lgt*	Empty complementation vector	(63)
pOS1-P*lgt-trpA*	Complementation vector with *trpA* cloned downstream of P*lgt*	This study
pOS1-P*lgt-phoR*	Complementation vector with *phoR* cloned downstream of P*lgt*	This study
pOS1-P*lgt-isdB*	Complementation vector with *isdB* cloned downstream of P*lgt*	This study
pOS1-P*lgt-sodM*	Complementation vector with *sodM* cloned downstream of P*lgt*	This study
pOS1-P*lgt-fmtC*	Complementation vector with *fmtC* cloned downstream of P*lgt*	This study
pOS1-P*lgt-fmtC209*	Complementation vector with *fmtC* from NRS209 cloned downstream of P*lgt*	This study
pOS1-P*lgt-relA*	Complementation vector with *relA* cloned downstream of P*lgt*	This study

Table 2: Summary statistics of phage host range phenotypes.

For each phage, the number of strains falling into each category were counted. These phenotypes

were determined for each phage using the high-throughput assay. Sensitive (0.1-0.4), semi-

sensitive (0.4-0.7), and resistant (0.7 and higher) strain numbers and percentages are listed first,

followed by mean, standard deviation, and median quantitative phenotypes for all tested strains.

Statistics summarize at least six biological replicates for each page.

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Phage	p11	p0006	p0017	p0017S	p002y	p003p	p0040	pyo
Sensitive (%)	25 (9.7%)	131 (50.6%)	89 (34.4%)	221 (85.3%)	225 (86.9%)	229 (88.4%)	64 (24.7%)	220 (84.9%)
Semi-sensitive (%)	48 (18.5%)	88 (34.0%)	77 (29.7%)	36 (13.9%)	31 (12.0%)	27 (10.4%)	96 (37.1%)	35 (13.5%)
Resistant (%)	186 (71.8%)	40 (15.4%)	93 (35.9%)	2 (0.7%)	3 (1.2%)	3 (1.2%)	99 (38.2%)	4 (1.5%)
Mean	0.80	0.49	0.56	0.31	0.27	0.32	0.61	0.26
Stdev	0.24	0.20	0.27	0.12	0.12	0.12	0.23	0.14
Median	0.88	0.44	0.54	0.27	0.22	0.31	0.60	0.21

1108 Table 3: Measures of phylogenetic signal for each phage resistance phenotype.

1109 Values that are significant are shown in bold. Significance was determined for 999 random

1110 permutations of the data.

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Phage	Moran's I	Abouheif's C_{mean}	Pagel's λ	Blomberg's K
p11	**0.23**	**0.23**	**1.00**	**0.005**
p0006	**0.17**	**0.17**	**1.00**	**0.008**
p0017S	**0.32**	**0.32**	**1.00**	**0.007**
p002y	**0.23**	**0.23**	**1.00**	**0.008**
p003p	**0.30**	**0.30**	**1.00**	**0.012**
p0040	**0.28**	**0.28**	**1.00**	**0.014**
Pyo	**0.36**	**0.37**	**1.00**	**0.006**
p0017	**0.31**	**0.31**	**1.00**	**0.014**

1112   Table 4: GWAS summary statistics for each associated genetic element.

1113   Each value represents the number of unique genetic elements of a particular type found to be

1114   significantly associated with the phage host range phenotype.

Phage	p11	p0006	p0017	p0017S	p002y	p003p	p0040	pyo
COGs (pyseer)	131	49	76	48	163	175	163	347

SNPs (pyseer)	0	27	1	0	134	48	6	249
k-mers (pyseer)	820	18	7078	101	1734	866	180	14
SNPs (treeWAS)	0	1	0	0	0	1	1	4

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