

1 **CoVaccine HT™ adjuvant potentiates robust immune responses to recombinant SARS-**  
2 **CoV-2 Spike S1 immunisation**

3

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17

18 **ABSTRACT**

19 The current COVID-19 pandemic has claimed hundreds of thousands of lives and its causative  
20 agent, SARS-CoV-2, has infected millions, globally. The highly contagious nature of this  
21 respiratory virus has spurred massive global efforts to develop vaccines at record speeds. In  
22 addition to enhanced immunogen delivery, adjuvants may greatly impact protective efficacy of a  
23 SARS-CoV-2 vaccine. To investigate adjuvant suitability, we formulated protein subunit  
24 vaccines consisting of the recombinant S1 domain of SARS-CoV-2 Spike protein alone or in  
25 combination with either CoVaccine HT™ or Alhydrogel. CoVaccine HT™ induced high titres of  
26 antigen-binding IgG after a single dose, facilitated affinity maturation and class switching to a  
27 greater extent than Alhydrogel and elicited potent cell-mediated immunity as well as virus

28 neutralising antibody titres. Data presented here suggests that adjuvantation with CoVaccine  
29 HT<sup>TM</sup> can rapidly induce a comprehensive and protective immune response to SARS-CoV-2.

30

## 31 **1. Introduction**

32 The outbreak of 2019-novel coronavirus (SARS-CoV-2), the etiological agent of Coronavirus  
33 Disease 2019 (COVID-19), began in Wuhan, China in late 2019 and quickly spread across the  
34 globe causing epidemics on every continent except Antarctica in under four months. This virus  
35 has caused more than 15.5 million cases and over 630,000 deaths worldwide (as of 7-24-20) <sup>1</sup>.  
36 SARS-CoV-2 is highly transmissible during both the pre-symptomatic and acute symptomatic  
37 phases and the infection fatality rate has been reported as high as 3.4% <sup>2</sup>. COVID-19 often  
38 develops into severe illness, including pneumonia. Currently, there are no licensed vaccines or  
39 effective therapeutic strategies available to treat COVID-19. Evidence indicates that high titres  
40 of antibody targeting the Spike protein may neutralise virus, a concept which carries credence for  
41 the closely related SARS-CoV <sup>3-5</sup>. Vaccine platforms at the forefront of development are mRNA-  
42 based, DNA-based, virally vectored (replication competent or incompetent), as well as  
43 recombinant protein subunits <sup>6,7</sup>. Many vaccine candidates may require adjuvantation to induce  
44 robust immune responses and rapidly induce high antibody titres. However, no consensus is  
45 established on an optimal adjuvant that best induces protective immunity to SARS-CoV-2.

46

47 In order to investigate which adjuvants induce a strong humoral response, our group has  
48 formulated protein subunit vaccine candidates using a recombinant SARS-CoV-2 Spike  
49 subdomain 1 (S1) protein, obtained from Sino Biological, Inc., adjuvanted with either CoVaccine  
50 HT<sup>TM</sup> or Alhydrogel. The former is a proprietary adjuvant and the latter is an FDA approved

51 adjuvant used in several FDA licensed vaccines. CoVaccine HT™ is an oil-in-water emulsion of  
52 hydrophobic, negatively-charged sucrose fatty acid sulphate esters with the addition of  
53 squalane<sup>8,9</sup> whereas Alhydrogel is a colloid of aluminium hydroxide which binds protein to  
54 facilitate antigen recognition and thus, improve the immune response<sup>10</sup>. The mechanism of  
55 action of Alhydrogel remains somewhat elusive, however this adjuvant likely interacts with  
56 NOD like receptor protein 3 (NLRP3) but does not interact with TLRs<sup>11</sup>. This difference in  
57 cellular activation can account for the disparities seen between the use of CoVaccine HT™ and  
58 Alhydrogel presented here. The stabilised oil in water emulsion functions by generating a  
59 response skewed towards a T-helper type 1 cell (T<sub>h</sub>1) direction which can in turn sustain CD8 T  
60 cells capable of mitigating viral infection<sup>12</sup>. This adjuvant is also capable of inducing T cell  
61 differentiation to T-follicular helper (T<sub>fh</sub>) cells which is evident through immunoglobulin class  
62 switching to IgG2a<sup>13</sup>. In concert, these cellular responses enhance the humoral response  
63 evidenced by the overall higher titres of IgG<sup>13</sup>.

64  
65 CoVaccine HT™ also offers an advantage in comparison to Alhydrogel regarding particle size.  
66 Alhydrogel particles typically fall within the range of 1-10 microns<sup>14</sup> whereas CoVaccine HT™  
67 is typically showing droplet sizes around 130 nm<sup>15,16</sup>. Smaller particle sizes offer increased  
68 stability and enhanced adjuvanticity and in comparison, particle sizes of other commercial stable  
69 oil-in-water emulsion adjuvants (MF59 and AS03) are in the range of 160nm<sup>17</sup>. These oil-in-  
70 water emulsion adjuvants utilize squalene, a shark fat derived product<sup>9,18,19</sup>. The use of squalene  
71 in CoVaccine HT™ as a plant-derived product may be advantageous due to availability, reduced  
72 regulatory burden, and potentially also ideologically to the population being immunised. In

73 summary, CoVaccine HT™ could provide a distinct advantage over Alhydrogel as the more  
74 conventional adjuvant choice.

75  
76 Here we tested the immunogenicity of SARS-CoV-2 Spike S1 proteins adjuvanted with either  
77 CoVaccine HT™, Alhydrogel, or phosphate buffered saline (PBS) in BALB/c mice. We assessed  
78 overall antibody titres, immunoglobulin subclass diversity, cell mediated immunity, and in-vitro  
79 neutralisation of wild-type SARS-CoV-2 virus. We demonstrate that CoVaccine HT™ elicits  
80 rapid humoral responses, increased subclass diversity, more interferon gamma (INF $\gamma$ )  
81 production, and higher neutralising antibody titres than the other adjuvants. Collectively,  
82 CoVaccine HT™ may be advantageous over other adjuvants for a SARS-CoV-2 vaccine.

83

## 84 **2. Methods**

### 85 *2.1 Vaccination and Serum Collection*

86 BALB/c mice (7-8 weeks of age, male and female) were immunised twice, three weeks apart,  
87 intramuscularly (IM) with 5  $\mu$ g of SARS-CoV-2 Spike S1 (Sino Biological 40592-V05H)  
88 protein with or without adjuvants, or adjuvant alone, using an insulin syringe with a 29-gauge  
89 needle. The adjuvants used were CoVaccine HT™ (Protherics Medicines Development Ltd,  
90 London, United Kingdom), or 2% Alhydrogel adjuvant (InvivoGen, San Diego, CA). Sera were  
91 collected by tail bleeding at 2 weeks post-immunisation or cardiac puncture for terminal bleeds.  
92 An additional serum sample was collected by cardiac puncture at day 28 along with splenocytes  
93 from three animals in the Spike S1 + CoVaccine HT™ (S1+CoVac) and S1 + Alum groups, and  
94 two animals in the S1+PBS group.

95

## 96 2.2 Serological Immunoglobulin Assays

97 Internally dyed, carboxylated, magnetic microspheres (Mag-Plex™-C) were obtained from  
98 Luminex Corporation (Austin, TX, USA). The coupling of individually addressable  
99 microspheres with all previously mentioned proteins were conducted as described previously<sup>20,21</sup>.  
100 Microspheres dyed with spectrally different fluorophores were also coupled with bovine serum  
101 albumin as a negative control. SARS-CoV-2, SARS-CoV, and MERS-CoV specific  
102 immunoglobulin antibody titres in mouse sera were measured using a microsphere immunoassay  
103 as previously described with some minor alterations<sup>13,22,23</sup>. Briefly, microspheres coupled to his-  
104 tagged Spike S1 proteins of SARS-CoV-2, SARS-CoV, or MERS-CoV (Sino Biological 40591-  
105 V08H, 40150-V08B1, & 40069-V08H, respectively), and control beads coupled to bovine serum  
106 albumin (BSA) were combined and diluted in MIA buffer (PBS-1% BSA-0.02% Tween20) at a  
107 dilution of 1/200. Multiplex beads (at 50 µL containing approximately 1,250 beads of each type)  
108 were added to each well of black-sided 96-well plates. 50 µL of diluted serum were added to the  
109 microspheres in duplicate and incubated for 3 hours on a plate shaker set at 700 rpm in the dark  
110 at 37°C. The plates were then washed twice with 200 µL of MIA buffer using a magnetic plate  
111 separator (Millipore Corp., Billerica, MA). 50 µL of red-phycoerythrin (R-PE) conjugated  
112 F(ab')<sub>2</sub> fragment goat anti-mouse IgG specific to the Fc fragment (Jackson ImmunoResearch,  
113 Inc., West Grove, PA) were added at 1 µg/ml to the wells and incubated for 45 minutes. Antigen-  
114 specific IgG subclass titres were determined using mouse antisera at a 1:1000 dilution. Detection  
115 antibodies were subclass specific goat anti-mouse polyclonal R-PE-conjugated antibodies  
116 (Southern Biotech) used at a 1:200 dilution. The plates were washed twice, as described above,  
117 and microspheres were then resuspended in 120 µl of drive fluid (MilliporeSigma) and analysed  
118 on the MAGPIX Instrument (MilliporeSigma). Data acquisition detecting the median

119 fluorescence intensity (MFI) was set to 50 beads per spectral region. Antigen-coupled beads  
120 were recognized and quantified based on their spectral signature and signal intensity,  
121 respectively. Assay cut-off values were calculated first by taking the mean of technical duplicate  
122 values using the average MFI (indicated as a dashed black line) from the adjuvant only control  
123 group. Cut-offs were generated by determining the mean MFI values plus three standard  
124 deviations as determined by Microsoft Office Excel program. Graphical representation of the  
125 data was done using Prism, Graphpad Software (San Diego, CA).

126

### 127 *2.3 Plaque reduction neutralisation test (PRNT)*

128 A PRNT was performed in a biosafety level 3 facility (at BIOQUAL, Inc.) using 24-well plates.  
129 Mouse sera pooled from individual mice within each group, were diluted to 1:10, and a 1:3 serial  
130 dilution series was performed 11 times. Diluted samples were then incubated with 30 plaque-  
131 forming units of wild-type SARS-CoV-2 (USA-WA1/2020, BEI Resources NR-52281) in an  
132 equal volume of culture media (DMEM-10% FBS with gentamicin) for 1hr at 37°C. The serum-  
133 virus mixtures were added to a monolayer of confluent Vero E6 cells and incubated for 1 hour at  
134 37°C in 5% CO<sub>2</sub>. Each well was then overlaid with 1mL of culture media containing 0.5%  
135 methylcellulose and incubated for 3 days at 37°C in 5% CO<sub>2</sub>. The plates were then fixed with  
136 methanol at -20°C for 30 minutes and stained with 0.2% crystal violet for 30 minutes at room  
137 temperature. Neutralisation titres were defined as the highest serum dilution that resulted in 50%  
138 (PRNT<sub>50</sub>) and 90% (PRNT<sub>90</sub>) reduction in the number of plaques.

139

### 140 *2.4 Preparation of mouse splenocytes and FluoroSpot assay*

141 Mouse spleens were harvested at day 7 after the second dose, minced, passed through a cell  
142 strainer, and cryopreserved after lysis of red blood cells. Cellular immune responses were  
143 measured by IFN- $\gamma$  FluoroSpot assay according to the manufacturer's instructions (Cat. No. FSP-  
144 4246-2 Mabtech, Inc., Cincinnati, OH). Briefly, splenocytes were rested at 37°C, in 5 % CO<sub>2</sub> for  
145 3 hours after thawing to allow removal of cell debris. A total of 2.5 x 10<sup>5</sup> cells per well in serum-  
146 free CTL-Test<sup>TM</sup> medium (Cellular Technology Limited, Shaker Heights, OH) were added to a  
147 96 well PVDF membrane plate pre-coated with capture monoclonal antibodies and stimulated for  
148 40 hours with peptides, PepTivator® SARS-CoV-2 Prot\_S1 peptide pool consisting of 15-mer  
149 peptides with 11 amino acids overlapping, covering the N-terminal S1 domain of the Spike  
150 protein of SARS-CoV-2 (Miltenyi Biotec, Auburn, CA) at 0.2  $\mu$ g/mL and 0.5  $\mu$ g/mL per  
151 peptide, or medium alone. Splenocytes at (5 x 10<sup>4</sup> per well) were incubated with PMA (0.01  $\mu$ M)  
152 /Ionomycin (0.167  $\mu$ M) cocktail (BioLegend, San Diego, CA) as a positive control. The tests  
153 were set up in duplicates, and the costimulatory anti-CD28 antibody (0.1  $\mu$ g/mL) was added to  
154 the cells during the incubation. Plates were developed using specific monoclonal detection  
155 antibodies and fluorophore-conjugated secondary reagents. Finally, plates were treated with a  
156 Fluorescence enhancer (Mabtech) to optimize detection and then air-dried. The spots were  
157 enumerated using the CTL ImmunoSpot® S6 Universal Analyzer (Cellular Technology Limited,  
158 CTL, Shaker Heights, OH), and the number of antigen specific cytokine-secreting spot forming  
159 cells (SFCs) per million cells for each stimulation condition was calculated by subtracting the  
160 number of spots detected in the medium only wells.

161

### 162 **3. Results**

#### 163 **Murine immunisation with SARS-CoV-2 Spike S1 proteins**

164 Neutralising antibodies of SARS-CoV-2 largely target the receptor binding domain present  
165 within the Spike S1 protein<sup>24</sup>. Therefore, BALB/c mice were given two doses of commercially  
166 available Spike S1, 21 days apart (Fig.1A). To test whether adjuvants may alter immunological  
167 responses to the immunogen, mice were divided into four groups based on vaccine formulation.  
168 The mice receiving S1 protein and CoVaccine HT™ (S1+CoVac), Alhydrogel (S1+Alum), or  
169 PBS (S1+PBS) received SARS-CoV-2 Spike S1 mixed with either CoVaccine HT™ (“CoVac”),  
170 Alhydrogel (“Alum”), or PBS, respectively. One group received CoVaccine HT™ alone as an  
171 adjuvant control (Fig. 1A).

172

### 173 **Adjuvants alter immunogenicity and specificity to immunisation**

174 Serum analysis revealed high reactivity of SARS-CoV-2 S1 specific IgG antibodies in  
175 S1+CoVac after a single dose while S1+Alum titres were near baseline (Fig.1B). Only one  
176 animal showed a detectable titre in the antigen alone group at this time point. Only in the group  
177 with CoVaccine HT™ a low level of cross reactivity was observed after the first dose to SARS-  
178 CoV S1. On day 35, S1+Alum and S1+PBS displayed significantly higher antibody responses  
179 compared to day 14 and variations among individual animals were reduced. S1+CoVac treated  
180 animals on day 35 consistently showed very high antibody responses in every animal. Similarly,  
181 cross-reactivity with SARS-CoV S1 was greatly increased for all groups on day 35 (Fig.1B). As  
182 expected, due to its much lower sequence homology, the SARS-CoV-2 S1 did not induce IgG  
183 responses to MERS-CoV S1.

184

185 In patients suffering from COVID-19, high RBD-specific IgG titres have been observed<sup>25</sup>.

186 However, higher titres of SARS-CoV-2 Spike-specific IgG are associated with patients that did



187 not require intensive care unit treatment while lower titres are associated with increased disease  
188 severity<sup>26</sup>. Therefore, the antibody response kinetics may be an important factor for a successful  
189 vaccine candidate. Time-course analysis of IgG responses reveal that adjuvanted S1 may be  
190 crucial for strong, early IgG responses with SARS-CoV-2 specificity while a second dose may  
191 decrease variability among individual animals and increase cross-reactivity (Fig.1C).

192

### 193 **CoVaccine HT™ improves IgG titres to SARS-CoV-2 and SARS-CoV S1 proteins**

194 To further investigate the matured IgG responses, sera from day 35 were titrated in a four-fold  
195 dilution series starting at 1/250 and analysed by microsphere immunoassay (MIA). The  
196 S1+Alum and S1+PBS groups showed reactivity to SARS-CoV-2 S1 when diluted up to  
197 1/256,000, indicating an abundance of antigen-specific IgG in the sera (Fig. 2A). Titrating sera  
198 from S1+CoVac however, revealed saturating levels of IgG for five dilutions and detectable IgG  
199 levels were present down to a 1/65.5 million dilution. Antiserum to S1+CoVac also showed  
200 significantly greater cross reactivity to SARS-CoV S1 compared to the other groups. All groups  
201 remained negative for cross reactivity to MERS-CoV S1 (Fig.2A). These data suggest that  
202 immunisation with SARS-CoV-2 S1 and CoVaccine HT™ elicits robust antigen-specific IgG  
203 response with the expected cross-reactivity profile to include SARS-CoV S1.

### 204 **Increased IgG subclass diversity and enhanced viral neutralising antibody titres with** 205 **CoVaccine HT™**

206 Adjuvants serving as TLR4 agonists, such as postulated for CoVaccine HT™, elicit a primarily  
207 T<sub>h</sub>1 type response<sup>27,28</sup>. Meanwhile, Alhydrogel facilitates a mainly T<sub>h</sub>2 type response, possibly  
208 through NOD-like receptor signalling<sup>29,30</sup>. IgG subclass analysis can be used to determine if a  
209 T<sub>h</sub>1 or T<sub>h</sub>2 response may have been more prominent. Therefore, sera from each S1+adjuvant

210 group were analysed for their subclass composition (Figure 3). Consistent with previous  
211 findings, the S1+CoVac group displayed a diverse immunoglobulin response composed of IgG1,  
212 IgG2a, and IgG2b subclasses all of which were further elevated after a second dose of vaccine.  
213 Low levels of IgG3 were also observed. Alternatively, the Alum and antigen alone groups  
214 primarily produced an IgG1 response with some detectable IgM in the Alum group, representing  
215 a classical T<sub>h</sub>2-biased humoral response. Heterogeneous subclass populations such as those  
216 observed in the S1+CoVac group are typically associated with T<sub>h</sub>1 responses while IgG1 is  
217 characteristic of a T<sub>h</sub>2 response. To further investigate the nature of these adjuvant effects, the  
218 subclass data were stratified to analyse ratios of T<sub>h</sub>1 vs T<sub>h</sub>2 subclasses (Figure 3C). This analysis  
219 clearly shows that of the three tested formulations, only S1+CoVac induced a relatively balanced  
220 humoral response. Furthermore, only the S1+CoVac formulation was able to induce detectable  
221 SARS-CoV-2 neutralising antibody titres as demonstrated in a plaque reduction neutralisation  
222 test using wildtype virus (Table 1). PRNT<sub>90</sub> and PRNT<sub>50</sub> titres for this formulation indicate  
223 potent neutralisation (1:1620).

224

### 225 **Adjuvant effect on the SARS-CoV-2 S1-specific IFN $\gamma$ responses**

226 We assessed the adjuvant effect of CoVaccine HT<sup>TM</sup> and Alum on the cellular immune responses  
227 directed against SARS-CoV-2 S1 using an IFN- $\gamma$  FluoroSpot assay. Individual mouse spleens  
228 from each group harvested at day 7 post-second immunisation were processed, and single cell  
229 suspensions stimulated with SARS-CoV-2 S1 peptides. The number of IFN- $\gamma$  secreting cells  
230 from the mice given CoVaccine HT<sup>TM</sup> was significantly higher than those for mice given Alum  
231 or S1 antigen only at two different peptide concentrations (Figure 4). Splenocytes from  
232 unvaccinated (naïve) mice did not respond to S1 peptide stimulation with only 2 spot forming

233 cells (SFCs)/10<sup>6</sup> cells detected. The results suggest that CoVaccine HT™ is a superior adjuvant  
234 for induction of an antigen-specific Th1-focused cellular immune response, which is critical for  
235 SARS-CoV-2 vaccine development.

236

#### 237 **4. Discussion**

238 The COVID-19 pandemic has stimulated global efforts to rapidly develop vaccines against  
239 SARS-CoV-2. Many vaccine strategies are being explored, including inactivated virus, non-  
240 replicating viral vectors, recombinant protein, DNA, and RNA several of which have reached  
241 human clinical trials<sup>7,6</sup>. The number of clinically applied adjuvants are limited and include Alum  
242 and newer formulations such as MF59 and AS03, both oil-in-water emulsions using squalene<sup>31</sup>.  
243 The small number of adjuvants approved for clinical use has limited vaccine development in the  
244 past and impacts current clinical trials of SARS-CoV-2 vaccines. Many approaches use no  
245 adjuvant, Alum, MF59, or AS03, however, Novavax is testing the experimental adjuvant Matrix-  
246 M™<sup>32</sup>. While Alum is known to primarily enhance a Th2 response, Matrix-M™ and CoVaccine  
247 HT™ have both been shown to elicit a Th1 response with recombinant subunits. Due to the  
248 previously observed potential for enhanced immunopathology associated with primarily Th2-  
249 targeted anti-SARS-CoV vaccines<sup>33,34</sup>, the development of a COVID-19 vaccine may require  
250 testing of a multitude of adjuvants to elicit protective immune responses to SARS-CoV-2. The  
251 squalane-in-water based adjuvant, CoVaccine HT™, has previously been shown to induce potent  
252 virus neutralisation antibody titres and protective efficacy in mice and non-human primates to  
253 several infectious agents, and has recently been licensed by Soligenix, Inc. for use in SARS-  
254 CoV-2 vaccine development<sup>35-38</sup>.

255

256 In this study, we investigated the immunogenicity of recombinant SARS-CoV-2 Spike S1 alone  
257 or in combination with Alum or CoVaccine HT™ as potential adjuvants. Overall, we observed  
258 the most potent humoral and cellular immune responses, including neutralising antibody  
259 responses in the CoVaccine HT™ study group. Day 14 titres (post-dose 1) indicate that this  
260 formulation may even be efficacious after administration of a single dose, however, this was not  
261 investigated in the current study. Neither antigen alone nor the combination with Alum was able  
262 to induce detectable neutralising antibodies with the model antigen utilized in this study. This  
263 may be due to slower response kinetics caused by antigen presentation, subclass homogeneity, or  
264 T<sub>h</sub>2 restricted immune responses compared to administering S1 with CoVaccine HT™.

265

266 Immunogenicity of protein subunit vaccines is often inferior in generating robust immune  
267 responses compared to other platforms such as those based on live attenuated viruses. As seen  
268 here, the (monomeric) S1 domain alone is not adequate for generating a high titre immune  
269 response. The addition of CoVaccine HT™ improved antibody titres and response kinetics and  
270 proved to induce high titres of antibodies neutralising wild-type SARS-CoV-2. It has been shown  
271 by others that SARS-CoV-2 S1 IgG titres correlate with viral neutralisation in humans<sup>39</sup>. Virus  
272 neutralising responses in rabbits after two immunisations with 50µg of SARS-CoV-2 S1 and  
273 Emulsigen adjuvant (oil-in-water emulsion) were at 1:160 in the wild-type neutralisation assay,  
274 compared to titres at 1:800 achieved when immunising with SARS-CoV-2 RBD<sup>40</sup>. Similarly,  
275 emulsion-based adjuvants improved kinetics and antibody titres in guinea pigs compared to  
276 Alhydrogel or no adjuvant with HIV-1 gp140 immunisation<sup>41</sup>. This demonstrates the importance  
277 of achieving an antigen/adjuvant combination with desirable properties. In our study, post-dose 1  
278 titres in the S1+CoVac group resemble post-dose 2 titres with Alum or no adjuvant and may

279 suggest at least partial protection after a single dose. Generating potent immunity after a single  
280 dose is an attractive target for any SARS-CoV-2 vaccine in development and may improve the  
281 impact of a vaccine on the further course of the pandemic.

282

283 The high potency for SARS-CoV-2 S1 in the CoVaccine HT<sup>TM</sup> formulation may be attributable  
284 to the observed immunoglobulin subclass diversity. This indicates CoVaccine HT<sup>TM</sup> may  
285 efficiently induce class switching often considered to increase antibody affinity. Furthermore, a  
286 broad IgG subclass composition is key for inducing complement-mediated antibody effector  
287 functions as well as neutralisation and opsonisation, which are typically essential for mitigating  
288 viral infections. The ideal antibody population has yet to be elucidated for combating SARS-  
289 CoV-2. However, our murine serological data suggests kinetics and subclass diversity may be  
290 key to developing effective immune responses. Additionally, we have demonstrated that  
291 CoVaccine HT<sup>TM</sup> is not only a suitable adjuvant for vaccination but is preferable to Alhydrogel  
292 given the quality of the humoral response due to rapid onset, balance, overall magnitude of the  
293 response, as well as significantly greater cell-mediated immune responses.

294

295 Concerns have been raised regarding antibody dependent enhancement (ADE) with SARS-CoV-  
296 2 infection or immunisation. This phenomenon occurs when non-neutralising or poorly binding  
297 antibodies interact with Fc receptors on antigen presenting cells and facilitate infection. This  
298 interaction increases pro-inflammatory cytokine production which exacerbates  
299 immunopathology<sup>42</sup>. ADE was previously observed with SARS-CoV infection caused by anti-  
300 Spike antibodies through the Fc $\gamma$ R and Fc $\gamma$ RII pathways<sup>43,44</sup>. In respiratory syncytial virus  
301 infections, a T<sub>H</sub>2 response alone can lead to aberrant immune responses associated with ADE

302 caused by either a prior infection or immunisation<sup>45,46</sup>. For these reasons, caution may be  
303 warranted when using Alum as an adjuvant for SARS-CoV-2, at least for the subunit protein  
304 used in this study. Additionally, our data suggest that antigen alone may also drive a  
305 predominantly Th2 type response with recombinant S1 antigen immunisation in mice. In contrast,  
306 the subunit protein with CoVaccine HT<sup>TM</sup> produces neutralising antibodies while boosting Th1  
307 responses which may increase durability, vaccine safety, and efficacy.

308

309 Previous studies of SARS-CoV, the most closely related human betacoronavirus to SARS-CoV-  
310 2, have shown that recovered patients develop substantial T cell responses, which persist for up  
311 to 11 years<sup>47,48</sup>. In addition, animal studies indicated that T cell responses play a crucial role in  
312 protection against SARS-CoV infection<sup>49-51</sup>, suggesting that it is most likely that T cell responses  
313 to SARS-CoV-2 are protective. Despite very limited understanding on the adaptive immune  
314 responses to SARS-CoV-2, it has been reported that virus-specific T cell responses are detected  
315 in 70% to 100 % of COVID-19 convalescent patients and about 50% of the CD4<sup>+</sup> T cell  
316 response is directed against S protein and correlates with the magnitude of anti-S antibody  
317 response<sup>52</sup>. Furthermore, the majority of CD4<sup>+</sup> T cells appears to be Th1 type with little or no Th2  
318 cytokine secretion detected<sup>52</sup>. This suggests that a SARS-CoV-2 vaccine candidate consisting of  
319 S protein can induce a robust CD4<sup>+</sup> T cell response that recapitulates the elicited immune  
320 response during the natural infection. In this study we showed that SARS-CoV-2 S1 adjuvanted  
321 with CoVaccine HT<sup>TM</sup> elicits a strong IFN- $\gamma$  secreting cellular immune response upon peptide  
322 stimulation, indicating the induction of a Th1-targeted T cell response, which highlights the  
323 potential of this antigen/adjuvant combination to protect against SARS-CoV-2 infection.

324 However, further in-depth analysis of cellular immune responses will be needed to characterize  
325 CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses and their correlation with the antibody titres.

326

327 Altogether, CoVaccine HT<sup>TM</sup> is an effective adjuvant that in combination with a properly chosen  
328 recombinant subunit protein promotes rapid induction of balanced humoral and cellular immune  
329 responses and allows accelerated preclinical and clinical development of a SARS-CoV-2 vaccine  
330 to mitigate the ongoing COVID-19 pandemic.

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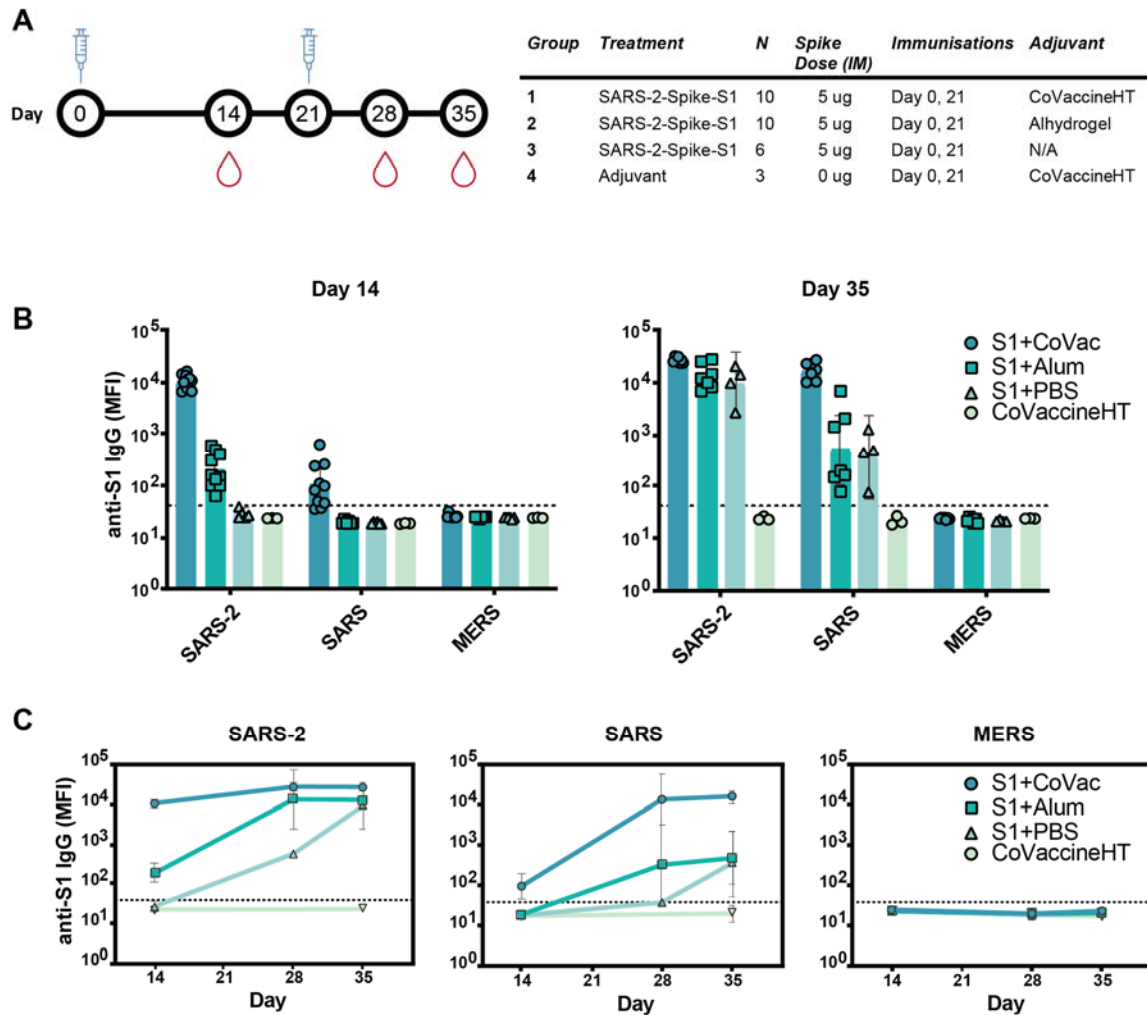
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**Figure 1. Immunogenicity and specificity to SARS-CoV-2 S1 immunisation.**

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**A** Timeline schematic of BALB/c immunisations and bleeds with a table detailing the study

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design. **B** Median fluorescence intensity (MFI) of serum antibodies from each group binding to

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custom magnetic beads coupled with Spike S1 proteins from either SARS-CoV-2 (SARS-2),

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SARS-CoV (SARS), or MERS-CoV (MERS) on day 14 and 35. **C** Antibody reactivity to SARS-2,

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SARS, and MERS antigens throughout the study. Graphs in panels (B) and (C) are on a

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logarithmic scale representing geometric mean MFI responses with 95% confidence interval

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(CI). The dashed lines represent assay cut-off values determined by the mean plus three

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standard deviations of the negative control (BSA coupled beads).

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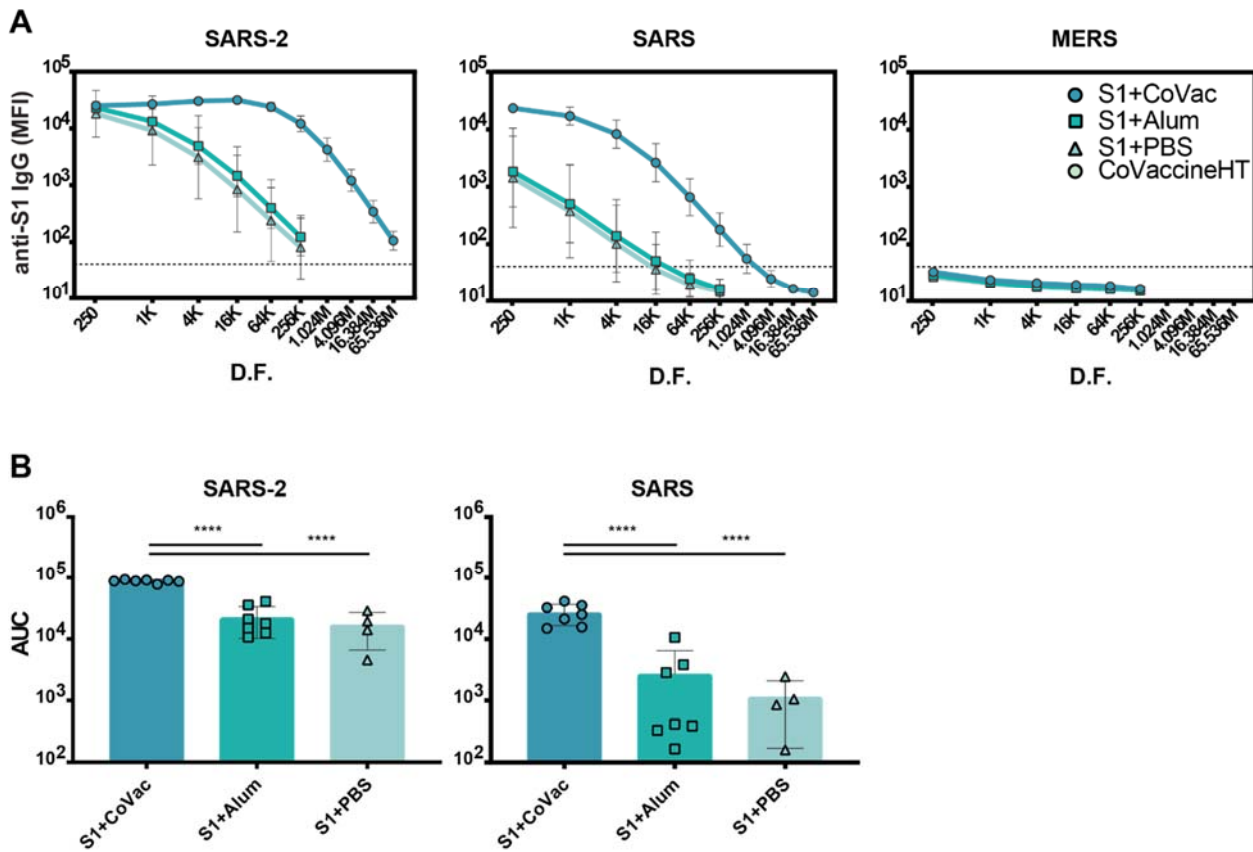
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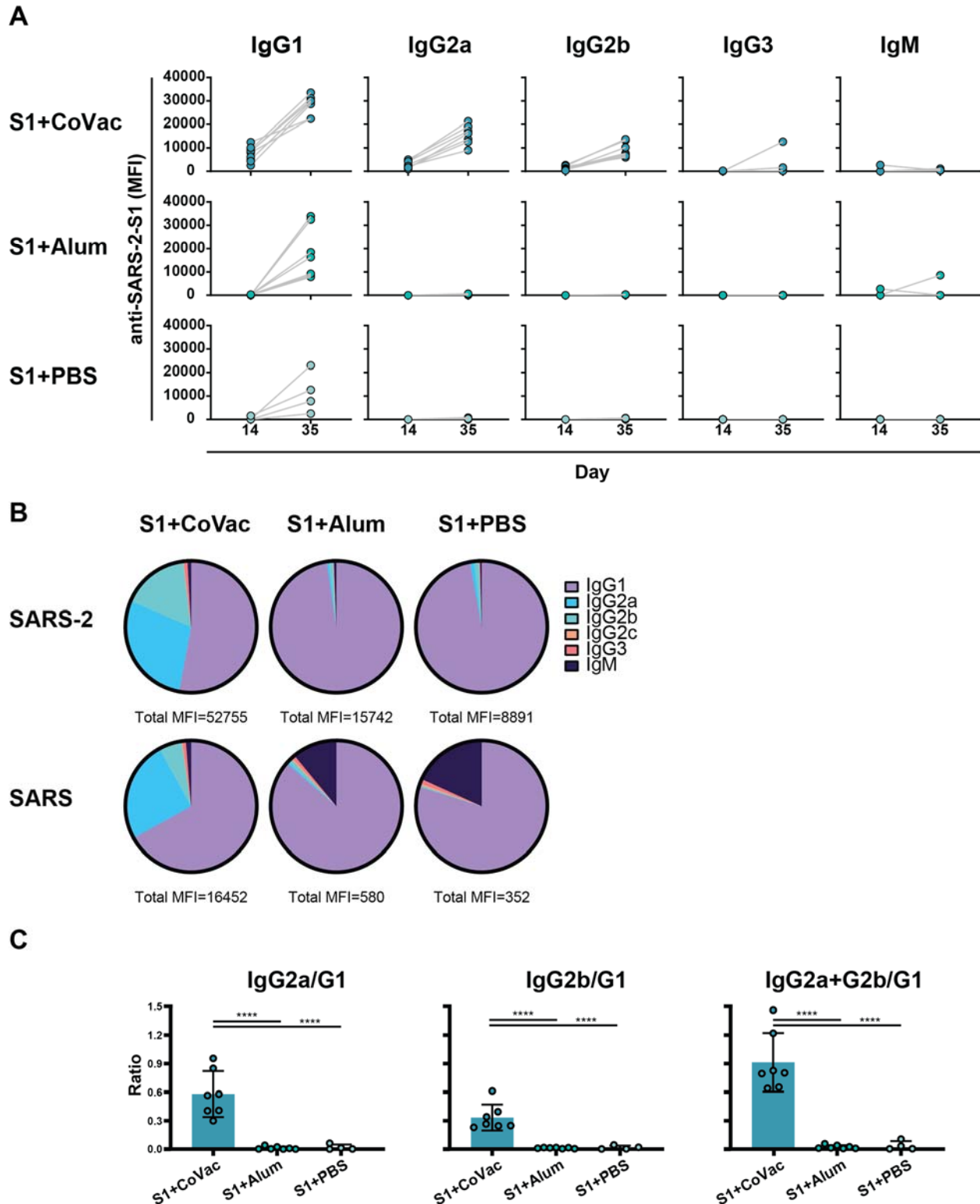
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 382 **Figure 2. Serum IgG titres against Coronavirus S1 antigens.**  
 383 **A** Antigen reactivity in a four-fold dilution series of mouse sera. **B** Area under the curve (AUC) of  
 384 data in (A). Both graphs are in log scale with geometric mean and 95% CI. The dashed lines in  
 385 panel (A) represent the cut-off value determined by the mean plus three standard deviations of  
 386 the negative control (BSA coupled beads). K= x1000, M= x1,000,000, D.F.= dilution factor.  
 387 Statistics by standard one way-ANOVA. \*\*\*\* = p-value < 0.0001.

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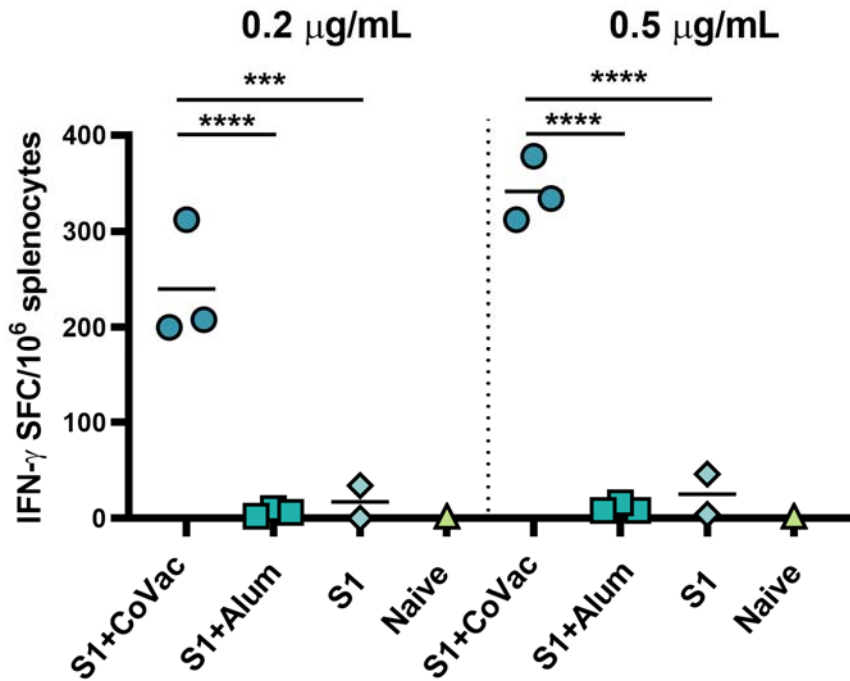


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410 **Figure 3. Adjuvant effects on immunoglobulin subclass diversity**

411 **A** IgG subclasses reacting with SARS-2 S1 antigen between day 14 and day 35 plotted on a  
 412 linear scale. **B** Relative abundance of Immunoglobulin isotypes and IgG subclasses reacting to  
 413 SARS-2 and SARS antigens determined by subtracting the specified subclass cut-off values  
 414 from the geometric mean of each group. The total MFI from which the subclasses are a fraction  
 415 of is listed below each pie-chart. **C** Ratios of subclasses. The normalized MFI values of each

416 subclass per mouse were plotted as ratios using mean and SD. Statistics by standard one way-  
 417 ANOVA. \*\*\*\* = p-value < 0.0001.  
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420 **Figure 4. Detection of IFN- $\gamma$  secreting cells from mice immunised with SARS-CoV-2**  
 421 **vaccines.** The splenocytes were obtained from mice (2 to 3 per group) immunised with SARS-  
 422 CoV-2 S1 protein, adjuvanted with CoVaccine HT™ or Alum, or S1 protein alone on day 28 (  
 423 one-week after booster immunisations). Pooled splenocytes obtained from two naïve mice were  
 424 used as controls. The cells were incubated for 40 hours with PepTivator® SARS-CoV-2 Prot\_S1  
 425 peptide pools at 0.2 μg/mL or 0.5 μg/mL per peptide or medium. IFN- $\gamma$  secreting cells were  
 426 enumerated by FluoroSpot as detailed in the methods section. The results are expressed as the  
 427 number of spot forming cells (SFC)/10<sup>6</sup> splenocytes after subtraction of the number of spots  
 428 formed by cells in medium only wells to correct for background activity. \*\*\* p ≤ 0.001, \*\*\*\* p ≤  
 429 0.0001.  
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432 **Table 1. SARS-CoV-2 neutralisation titres**  
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Group ID	Titre (PRNT <sub>90</sub> )	Titre (PRNT <sub>50</sub> )
S1+CoVac	1620	1620
S1+Alum	<20	<20
S1+PBS	<20	<20
CoVaccine HT	<20	<20

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436 **Conflict of interest and author declaration**

437 The authors have no known conflicts of interest.

438

439 **Declaration of Competing Interest**

440 The authors declare no competing interest.

441

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452

453 **Author Contributions:** Authors BKH and CYL have equal contribution.

454 BKH, CAW, TW, CYL, MML, AL: Conceived and designed the experiments. BKH, TW:

455 Immunisations and blood collection. BKH, CYL: Splenectomy. BKH: Microsphere

456 immunoassays and Flow cytometry studies. CYL: Fluorospot assay. BIOQUAL: Virus

457 neutralisation assays. BKH, CAW, TW, MML, AL: Manuscript writing and editing.

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