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VIP plasma levels associate with survival in severe COVID-19 patients, correlating with protective effects in SARS-CoV-2-infected cells.

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22 Short Title: Protective effects of VIP and PACAP in SARS-CoV-2 infection

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32 Abstract

Infection by SARS-CoV-2 may elicit uncontrolled and damaging inflammatory 33 responses. Thus, it is critical to identify compounds able to inhibit virus replication 34 and thwart the inflammatory reaction. Here, we show that the plasma levels of the 35 immunoregulatory neuropeptide VIP are elevated in patients with severe COVID-36 19, correlating with reduced inflammatory mediators and with survival on those 37 patients. In vitro, VIP and PACAP, highly similar neuropeptides, decreased the 38 SARS-CoV-2 genome replication in human monocytes and viral production in lung 39 epithelial cells, also reducing cell death. Both neuropeptides inhibited the production 40 41 of proinflammatory mediators in lung epithelial cells and in monocytes. VIP and PACAP prevented in monocytes the SARS-CoV-2-induced activation of NF-kB and 42 SREBP1 and SREBP2, transcriptions factors involved in proinflammatory reactions 43 44 and lipid metabolism, respectively. They also promoted CREB activation, a transcription factor with antiapoptotic activity and negative regulator of NF-kB. 45 Specific inhibition of NF-kB and SREBP1/2 reproduced the anti-inflammatory, 46 47 antiviral and cell death protection effects of VIP and PACAP. Our results support further clinical investigations of these neuropeptides against COVID-19. 48

49 Introduction

Individuals with coronavirus disease 2019 (COVID-19), caused by the severe
acute respiratory syndrome coronavirus 2 (SARS-CoV-2) [1], may present
asymptomatic or mild disease to severe lung inflammation and acute respiratory
distress syndrome (ARDS) [2,3], besides a variety of extrapulmonary manifestations
[4]. Severe SARS-CoV-2 infection is characterized by elevated serum levels of
proinflammatory mediators (hypercytokinemia, also known as cytokine storm) such

as, for example, IL-2, IL-6, TNF, IL-8, IL-1β, IFN-γ [2,3,5,6]. The dysregulated
immune response and production of cytokines and chemokines are hallmarks of
SARS-CoV-2 infection and have been pointed as the main cause of the severe lung
damage and unfavorable clinical progression of patients with COVID-19 [3–8]. Also,
the in vivo formation of neutrophil extracellular traps (NETs) in the lungs, SARSCoV-2-induced inflammasome activation and cell death by pyroptosis, have also
been considered as risk factors in critically ill COVID-19 patients [9–14].

63 During the inflammatory response to human pathogenic coronaviruses, circulating neutrophils and monocytes migrate and infiltrate the lungs [15,16] and 64 other organs, contributing to potentiate and perpetuate the inflammation and 65 eventually exacerbating the tissue damage [17-19]. Previous studies showed that 66 MERS-CoV- and SARS-CoV-infected macrophages produce high levels of pro-67 inflammatory cytokines and chemokines [20,21], and, more recently, that lung 68 monocytes from severe pneumonia caused by SARS-CoV-2 are potent producers 69 70 of TNF- α and IL-6, whose levels were increased in the serum of the same patients 71 [7]. Also, we and other authors have found that SARS-CoV-2 induces 72 inflammasome activation and cell death by pyroptosis in monocytes, either by experimental or natural infection, which are associated with lung inflammation and 73 74 are risk factors in critically ill COVID-19 patients [13,14].

Thus, it is critical to identify agents able to prevent the infection and concurrently thwart the prototypical dysregulated inflammatory reaction and tissue lesions secondary to SARS-CoV-2 infection. In this work, we evaluated whether the neuropeptides Vasoactive Intestinal Peptide (VIP) and Pituitary Adenylate Cyclase-Activating Polypeptide (PACAP) can present protective effects in SARS-CoV-2

infection. VIP and PACAP share many biological properties through their interaction 80 with the G protein-coupled receptors VPAC1, VPAC2 and PAC1 [22], which are 81 systemically distributed. They have well-characterized regulatory effects on the 82 immune system and anti-inflammatory properties, including control of cell activation 83 and differentiation, down-regulation of inflammatory cytokines and reactive oxygen 84 species and induction of the anti-inflammatory cytokine IL-10 [23-28]. Based on 85 their consistent anti-inflammatory and pro-homeostatic activities. both 86 neuropeptides have been considered as promising therapeutic agents for 87 autoimmune disorders and chronic inflammatory illnesses [29-32]. Therefore, 88 89 based on the well-known properties of both neuropeptides to regulate inflammatory reactions, and on the dysregulated immune responses that affect COVID-19 90 patients, we investigated whether they could present protective roles during SARS-91 92 CoV-2 infection. We report here that VIP levels are elevated in the plasma of individuals with severe manifestations of COVID-19, which correlated with survival 93 on critically ill patients. We also verified, in in vitro assays, that VIP and PACAP 94 inhibit the production of proinflammatory mediators in SARS-CoV-2-infected 95 monocytes and lung epithelial cells; and reduced viral production and cell death. 96

97 Materials and Methods

Cells, virus and reagents. African green monkey kidney cells (Vero, subtype E6) and human lung epithelial cell lines (Calu-3) were expanded in high glucose DMEM (Vero) or MEM (Calu-3) with 10% fetal bovine serum (FBS; Merck), with 100 U/mL penicillin and 100 µg/mL streptomycin (Pen/Strep; Gibco) at 37°C in a humidified atmosphere with 5% CO₂. Peripheral blood mononuclear cells (PBMCs) were isolated by density gradient centrifugation (Ficoll-Pague, GE Healthcare) from buffy-

coat preparations of blood from healthy donors. PBMCs (2 x 10⁶ cells) were plated 104 105 onto 48-well plates (NalgeNunc) in RPMI-1640 with 5% inactivated male human AB 106 serum (Merck) for 3 hours. Non-adherent cells were removed and monocytes were 107 maintained in DMEM (low-glucose) with 5% human serum and 100 U/mL penicillin and 100 µg/mL streptomycin. Purity of monocytes was above 90%, as determined 108 by flow cytometry (FACScan; Becton Dickinson) using anti-CD3 (BD Biosciences) 109 110 and anti-CD14 (BD Biosciences) antibodies. SARS-CoV-2 (GenBank accession no. MT710714) was expanded in Vero E6 cells. Viral isolation was performed after a 111 single passage in a cell culture in a 150 cm² flasks with high glucose DMEM plus 112 113 2% FBS. Observations for cytopathic effects were performed daily and peaked 4 to 5 days after infection. All procedures related to virus culture were handled in 114 biosafety level 3 (BSL3) multiuser facilities, according to WHO guidelines. Virus 115 116 titers were determined as plaque forming units (PFU/mL), and virus stocks were kept in -80°C ultralow freezers. VIP and PACAP and the VPAC1 and VPAC2 117 agonists (Ala^{11,22,28})-VIP and Bay 55-9837, respectively, were purchased from 118 Tocris. The PAC1 agonist Maxadilan was kindly donated by Dr. Ethan A. Lerner 119 (Department of Dermatology, Massachusetts General Hospital, MA, USA). All 120 121 peptides and agonists were diluted in PBS. The inhibitors of the transcription factors SREBP (AM580) and NF-KB (Bay 11-7082) were purchased from Selleckchem. 122

123 Infections and virus titration. Infections were performed with SARS-CoV-2 at MOI 124 of 0.01 (monocytes) or 0.1 (Calu-3) in low (monocytes) or high (Calu-3) glucose 125 DMEM without serum. After 1 hour, viral input was removed and cells were washed 126 and incubated with complete medium with treatments or not. For virus titration, 127 monolayers of Vero E6 cells (2×10^4 cell/well) in 96-well plates were infected with 128 serial dilutions of supernatants containing SARS-CoV-2 for 1 hour at 37°C. Semi-

2% FBS 129 solid high glucose DMEM medium containing and 2.4% 130 carboxymethylcellulose was added and cultures were incubated for 3 days at 37 °C. Then, the cells were fixed with 10% formalin for 2 hours at room temperature. The 131 cell monolayer was stained with 0.04% solution of crystal violet in 20% ethanol for 132 1 hour. Plague numbers were scored in at least 3 replicates per dilution by 133 independent readers blinded to the experimental group, and the virus titers were 134 135 determined by plaque-forming units (PFU) per milliliter.

136 Molecular detection of virus RNA levels. The total RNA was extracted from cells using QIAamp Viral RNA (Qiagen), according to manufacturer's instructions. 137 Quantitative RT-PCR was performed using QuantiTect Probe RT-PCR Kit (Qiagen) 138 139 in a StepOnePlus[™] Real-Time PCR System (Thermo Fisher Scientific). Amplifications were carried out in 15 µL reaction mixtures containing 2x reaction 140 mix buffer, 50 μ M of each primer, 10 μ M of probe, and 5 μ L of RNA template. 141 Primers, probes, and cycling conditions recommended by the Centers for Disease 142 Control and Prevention (CDC) protocol were used to detect the SARS-CoV-2 [33]. 143 144 The standard curve method was employed for virus quantification. For reference to the cell amounts used, the housekeeping gene RNAse P was amplified. The Ct 145 values for this target were compared to those obtained to different cell amounts, 10^7 146 147 to 10^2 , for calibration.

SDS-PAGE and Western blot for SREBPs. After 24h of SARS-CoV-2 infection,
monocytes were harvested using ice-cold lysis buffer (1% Triton X-100, 2% SDS,
150 mM NaCl, 10 mM HEPES, 2 mM EDTA containing protease inhibitor cocktail Roche). Cell lysates were heated at 100 °C for 5 min in the presence of Laemmli
buffer (20% β-mercaptoethanol; 370 mM Tris base; 160 µM bromophenol blue; 6%

glycerol; 16% SDS; pH 6.8), and 20 µg of protein/sample were resolved by 153 154 electrophoresis on SDS-containing 10% polyacrylamide gel (SDS-PAGE). After electrophoresis, the separated proteins were transferred to nitrocellulose 155 membranes and incubated in blocking buffer (5% nonfat milk, 50 mM Tris-HCl, 150 156 mM NaCl, and 0.1% Tween 20). Membranes were probed overnight with the 157 following antibodies: anti-SREBP-1 (Proteintech #14088-1-AP), anti-SREBP-2 158 159 (Proteintech #28212-1-AP) and anti-β-actin (Sigma, #A1978). After the washing steps, they were incubated with IRDye - LICOR or HRP-conjugated secondary 160 antibodies. All antibodies were diluted in blocking buffer. The detections were 161 162 performed by Supersignal Chemiluminescence (GE Healthcare) or by fluorescence 163 imaging using the Odyssey system. Densitometries were analyzed using the Image Studio Lite Version 5.2 software. 164

Measurements of inflammatory mediators, cell death, NF-kBp65, CREB and 165 neuropeptides. A multiplex biometric immunoassay containing fluorescent dyed 166 microbeads was used to measure cytokines in plasma samples (Bio-Rad 167 168 Laboratories). The following cytokines were quantified: Basic-FGF, CTRAK, 169 Eotaxin, G-CSF, GRO-α, HGF, IFN-α2, IFN-β, IFN-γ, IL-1α, IL-1β, IL-1RA, IL-2, IL-2RA, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IP-10, IL-10, IL-12(p40), IL-13, IL-15, IL-170 171 16, IL-17A, IL-18, LIF, M-CSF, MCP-3, MIF, MIG, MIP-1B, PDGF-BB, RANTES, SCF, SCGF-1 α , SCGF- β , TNF α , TNF β , VEGF, β -NGF and PF4. Cytokine levels 172 were calculated by Luminex technology (Bio-Plex Workstation; Bio-Rad 173 174 Laboratories). The analysis of data was performed using software provided by the 175 manufacturer (Bio-Rad Laboratories). A range of 0.51-8000 pg/mL recombinant cytokines was used to establish standard curves and the sensitivity of the assay. 176 177 The levels of IL-6, IL-8, TNF- α and MIF were quantified in the supernatants from

uninfected and SARS-CoV-2-infected Calu-3 cells and monocytes by ELISA (R&D 178 179 Systems), following manufacturer's instructions, and results are expressed as percentages relative to uninfected cells. Cell death was determined according to the 180 activity of lactate dehydrogenase (LDH) in supernatants using CytoTox® Kit 181 182 (Promega) according to the manufacturer's instructions. Supernatants were centrifuged at 5,000 rpm for 1 minute to remove cellular debris. Evaluation of NF-183 184 kBp65 and CREB activation was performed in infected or uninfected monocytes using NF-kB p65 (Total/Phospho) InstantOne[™] and CREB (Total/Phospho) 185 Multispecies InstantOne™ ELISA Kits (Thermo Fisher), according to manufacturer's 186 187 instructions. VIP and PACAP levels were quantified in the plasma from patients or 188 control volunteers using standard commercially available ELISA and EIA Kits, according to the manufacturer's instructions (Abelisa). 189

190 subjects. We prospectively enrolled patients Human with severe or mild/asymptomatic COVID-19 RT-PCR-confirmed diagnosis, and SARS-CoV-2-191 negative healthy controls. Blood and respiratory samples were obtained from 24 192 193 patients with severe COVID-19 within 72 hours from intensive care unit (ICU) 194 admission in two reference centers (Instituto Estadual do Cérebro Paulo Niemever and Hospital Copa Star, Rio de Janeiro, Brazil). Severe COVID-19 was defined as 195 196 those critically ill patients presenting viral pneumonia on computed tomography scan 197 and requiring oxygen supplementation through either a nonrebreather mask or mechanical ventilation. Eight outpatients presenting mild self-limiting COVID-19 198 199 syndrome, and two SARS-CoV-2-positive asymptomatic subjects were also 200 included. Patients had SARS-CoV-2 confirmed diagnostic through RT-PCR of nasal swab or tracheal aspirates. Peripheral vein blood was also collected from 10 SARS-201 CoV-2-negative healthy participants as tested by RT-PCR on the day of blood 202

sampling. Characteristics of severe (n=24), mild/asymptomatic (n=10) and healthy 203 204 (n=10) participants are presented in **Table 1**. Mild and severe COVID-19 patients presented differences regarding age and presence of comorbidities, such as 205 obesity, cardiovascular diseases and diabetes (**Table 1**), which is consistent with 206 previously reported patient cohorts [2,34–36]. The SARS-CoV-2-negative control 207 group included subjects of older age and chronic non-communicable diseases, so it 208 209 is matched with mild and critical COVID-19 patients, except for hypertension (Table 1). All ICU-admitted patients received usual supportive care for severe COVID-19 210 and respiratory support with either noninvasive oxygen supplementation (n=5) or 211 212 mechanical ventilation (n=19) (Supplemental Table 1). Patients with acute 213 respiratory distress syndrome (ARDS) were managed with neuromuscular blockade and a protective ventilation strategy that included low tidal volume (6 mL/kg of 214 215 predicted body weight) and limited driving pressure (less than 16 cmH2O) as well as optimal PEEP calculated based on the best lung compliance and PaO2/FiO2 216 217 ratio. In those patients with severe ARDS and PaO2/FiO2 ratio below 150 despite optimal ventilatory settings, prone position was initiated. Our management protocol 218 219 included antithrombotic prophylaxis with enoxaparin 40 to 60 mg per day. Patients 220 did not receive routine steroids, antivirals or other anti-inflammatory or anti-platelet drugs. The SARS-CoV-2-negative control participants were not under anti-221 inflammatory or anti-platelet drugs for at least two weeks. All clinical information was 222 prospectively collected using a standardized form - ISARIC/WHO Clinical 223 Characterization Protocol for Severe Emerging Infections (CCPBR). Clinical and 224 225 laboratory data were recorded on admission in all severe patients included in the study and the primary outcome analyzed was 28-day mortality (n = 11 survivors and 226 13 non-survivors, **Supplemental Table 2**). Age and frequency of comorbidities were 227

not different between severe patients requiring mechanical ventilation or
 noninvasive oxygen supplementation neither between survivors and non-survivors
 (Supplemental Table 1 and 2).

231 Statistical analysis. Statistics were performed using GraphPad Prism software version 8. Numerical variables were tested regarding distribution using the Shapiro-232 Wilk test. One-way analysis of variance (ANOVA) was used to compare differences 233 among 3 groups following a normal (parametric) distribution, and Tukey's post-hoc 234 235 test was used to locate the differences between the groups; or Friedman's test (for 236 non-parametric data) with Dunn's post-hoc test. Comparisons between 2 groups were performed using the Student t test for parametric distributions or the Mann-237 238 Whitney U test for nonparametric distributions. Correlation coefficients were calculated using Pearson's correlation test for parametric distributions and the 239 Spearman's correlation test for nonparametric distributions. 240

241 Results

242 Plasma levels of VIP are elevated in patients with severe forms of COVID-19 and associate with survival. From April to May 2020, we followed up 24 critically 243 244 ill COVID-19 patients, at the median age of 53-year-old (**Table 1**), presenting the most common infection symptoms and comorbidities, from whom we evaluated the 245 246 plasma levels of the neuropeptides VIP and PACAP, comparing with patients with mild COVID-19 symptoms and non-infected healthy individuals. We found that 247 patients affected by the most severe forms of infection had higher plasma levels of 248 the neuropeptide VIP than uninfected healthy controls and asymptomatic/mild 249 250 patients (Fig. 1A). Comparing the viral load in positive swab samples from mild and severe COVID-19 patients we found a modest positive correlation with VIP levels 251

(Fig. 1B). Following, we examined a possible correlation between VIP levels of 252 253 severe patients and inflammatory markers. We identified that VIP negatively correlated with five pro-inflammatory factors (IL-8, IL-12p40, IL-17A, TNF-α and 254 CXCL10/IP-10), and positively with two anti-inflammatory factors (IL-1RA and IL-10) 255 (Fig. 1C-I). Next, severe COVID-19 patients were further subdivided between those 256 257 requiring invasive mechanical ventilation or noninvasive O₂ supplementation or 258 according to the 28-day mortality outcome as survivors or non-survivors. We did not find a significant difference when analyzing O2 supplementation versus mechanical 259 ventilation (Fig. 1J), probably due to the low number of patients under the first 260 261 condition. On the contrary, we observed that VIP plasma levels associated with 262 survival of patients with severe COVID-19, being significantly higher in survivors than in non-survivors (Fig. 1K). For PACAP plasma levels, we did not find significant 263 264 differences between the groups analyzed, inflammatory markers, viral load or with VIP levels (data not shown). The finding that survival of severe COVID-19 patients 265 is associated with higher levels of circulating VIP, a molecule with pro-homeostasis 266 and anti-inflammatory activities [32,37], moreover pointing to an application as a 267 prognostic marker, also implies to a therapeutical potential of VIP in COVID-19. In 268 269 fact, VIP has been approved for three clinical trials against COVID-19 in intravenous [38] and inhaled [39,40] formulations. Our initial clinical data prompted us to 270 evaluate the effects of VIP (and of PACAP as well) on SARS-CoV-2-infected cells 271 272 to better corroborate the use of VIP as therapeutical agent in COVID-19 patients.

VIP and PACAP reduce SARS-CoV-2 RNA synthesis in human primary monocytes and viral replication in pulmonary cells, protecting them from virus-mediated cytopathic effects. Upon identifying the association of VIP with survival of critical COVID-19 patients and considering that, in the setting of COVID-

19, the main affected cells are those present in the lung epithelium, including the 277 278 immune cells recruited upon infection, we sought to investigate the in vitro effects of VIP and PACAP in SARS-CoV-2-infected cells. To this end, we initially evaluated 279 the SARS-CoV-2 RNA synthesis in monocytes (as the infection by SARS-CoV-2 in 280 this cell is non-productive [41,42]) and the viral replication in Calu-3 cells (a lineage 281 of lung epithelial cells highly susceptible to SARS-CoV-2) exposed to VIP or 282 283 PACAP). We found that VIP reduced the SARS-CoV-2 RNA synthesis in monocytes, achieving up to 40% and 50% inhibition at 5 nM and 10 nM, respectively 284 (Fig. 2A). PACAP similarly decreased the levels of viral RNA synthesis with 5 nM 285 286 and 10 nM (up to 50% for both doses) (Fig. 2B). We next evaluated whether VIP 287 and PACAP could also be able to restrict virus production in pulmonary cells, one of the major targets of SARS-CoV-2. We found that VIP reduced viral replication, 288 289 reaching up to 50% and 40% inhibition with 1 nM and 5 nM, respectively (Fig. 2C and Supp Fig. 1A). PACAP also diminished virus production up to 40% and 50% 290 291 at concentrations equivalent to 10 nM and 50 nM (Fig. 2D and Supp Fig. 1B). In parallel, VIP and PACAP protected monocytes and Calu-3 cells from SARS-CoV-2-292 293 mediated cytopathic effect, as measured by LDH activity in supernatants (Fig. 2E 294 and 2F). Overall, these results show that cells exposed to VIP or PACAP present 295 decreased viral output and resistance to damages induced by the infection.

Receptor contribution for the VIP and PACAP mediated inhibition of SARS-CoV-2 replication. The different optimal concentrations of VIP and PACAP to reduce SARS-CoV-2 replication in Calu-3 cells might be explained by the relative abundance of the neuropeptide receptors, since it has been shown that these cells express only VPAC1 [43]. However, all three receptors are reported to be expressed in lungs, with some studies showing that VPAC1 levels are higher than VPAC2 or

PAC1 (to which PACAP binds with higher affinity than to VPAC1 and VPAC2 302 303 [22,44–46]). With that in mind, we evaluated the role of the individual receptors in the neuropeptide-mediated inhibition of SARS-CoV-2 in both cells. To this end, 304 monocytes were treated with specific agonists to VPAC1, VPAC2 and PAC1 (Ala-305 306 VIP, Bay 55-9837 and Maxadilan, respectively), and then infected with SARS-CoV-2. Activation of VPAC1 at 1 nM, 5 nM and 10 nM, and of VPAC2 at 1 nM, significantly 307 308 reduced the SARS-CoV-2 genome replication (Fig. 3A). We also verified that VPAC1 is the main receptor involved the inhibition of SARS-CoV-2 in Calu-3 cells, 309 resembling the level of inhibition achieved with VIP, while the exposure to a VPAC2 310 311 agonist resulted in a more modest inhibition (Fig. 3B). The stimulus with a PAC1 312 agonist had no effect on viral replication (Fig. 3A and 3B). As a whole, these findings suggest that VPAC1 receptor is the main contributor for the VIP- and 313 314 PACAP-mediated SARS-CoV-2 inhibition in monocytes and Calu-3 cells, and that activation of this receptor can lead to a diminished viral replication similar to that 315 induced by the own neuropeptides. 316

317 VIP and PACAP reduce the production of proinflammatory cytokines by 318 SARS-CoV-2-infected monocytes and Calu-3 cells. Controlling the production of proinflammatory cytokines may be critical for reducing SARS-CoV-2 replication and 319 320 limiting tissue damages, and based on evidence that VIP and PACAP can regulate the inflammatory response [27,47], we next evaluated whether both neuropeptides 321 could attenuate the production of proinflammatory mediators by SARS-CoV-2-322 323 infected monocytes or lung epithelial cells. As shown in Fig. 4A, SARS-CoV-2infected monocytes produced large amounts of the proinflammatory mediators IL-6, 324 IL-8, TNF and MIF relative to uninfected cells (15, 4, 12 and 18 times more, 325 respectively). In contrast, the treatment of SARS-CoV-2-infected monocytes with 326

either neuropeptide reduced to 66%, 50%, 66% and 50% the cellular production of 327 328 IL-6, IL-8, TNF and MIF, respectively. Furthermore, VIP and PACAP reverted by approximately the same degree the release of IL-6 and IL-8 by Calu-3 cells (Fig. 329 **4B**), implying that VIP and PACAP may offer a critical protection to inflamed lungs 330 331 affected by SARS-CoV-2 replication. Because proinflammatory cytokines may favor SARS-CoV-2 replication, which, in turn, can amplify the cellular synthesis of these 332 333 mediators, these findings may support our assumption that VIP and PACAP offer tissue protection by inhibiting virus replication and regulating the boost of cytokine 334 production. 335

VIP and PACAP regulate the activation of transcription factors in SARS-CoV-336 337 2-infected monocytes. Given that the transcription factor NF-kB is critically involved in the cellular production of inflammatory mediators [48], and our own 338 findings showing that VIP and PACAP can inhibit its activation in HIV-1-infected 339 macrophages [49], we investigated whether both neuropeptides would exert this 340 341 same effect on SARS-CoV-2-infected monocytes. We found that activated NF-kB is 342 up-modulated in infected cells (as measured by the increased amount of 343 phosphorylated NF-kBp65 subunit), and that VIP and PACAP were able to reduce NF-KBp65 phosphorylation (Fig. 5A). Following, we analyzed the effects of both 344 345 neuropeptides on the activation of CREB, a transcription factor induced by several GPCR ligands, including VIP and PACAP [50], and also involved in the induction of 346 anti-inflammatory cytokines [51,52]. CREB and NF-kB share the CREB-binding 347 348 protein/p300 (CBP/p300 protein) as a cofactor, and CREB activation results in the inhibition of NF-kB [53]. We found that activation of CREB was diminished in SARS-349 CoV-2-infected monocytes (Fig. 5B), a result coherent with NF-kB activation in the 350 same cells. Consistent with this finding, VIP and PACAP promoted CREB activation 351

(as measured by increase of CREB phosphorylation) in those infected monocytes, 352 353 a result matching the inhibition of NF-kB and the reduction of cellular production of proinflammatory cytokines. We also evaluated in SARS-CoV-2-infected monocytes 354 the expression of the active form of SREBP-1 and SREBP-2, transcription factors 355 that also interact with CBP/p300 [54], and are crucial for the replication of several 356 viruses, including coronaviruses [55–57]. In fact, we and other authors reported that 357 358 SARS-CoV-2 infection promotes the activation of SREBP, and that this activation is associated with enhanced viral replication [58,59] and COVID-19 disease severity 359 [60]. We detected that the levels of both isoforms of SREBP in active state are 360 361 increased in SARS-CoV-2-infected monocytes and that VIP or PACAP treatment 362 prevented this augmentation, lowering them to the same basal levels found in uninfected monocytes (Fig. 5C and 5D, Supp. Fig. 2). 363

Inhibition of NF-kB and SREBP in monocytes reduces SARS-CoV-2 RNA 364 synthesis, production of proinflammatory mediators and protects the cells 365 366 from virus-mediated cytopathic effects. To directly connect these latter findings 367 with viral replication and production of pro-inflammatory mediators, we treated SARS-CoV-2-infected monocytes with pharmacological inhibitors of NF-KB (Bay 368 369 11-7082) or SREBP (AM580) [55,59], together or not with VIP and PACAP. We found that the sole inhibition of SREBP decreased viral RNA synthesis and 370 production of TNF- α and IL-6, and reduced cell death, measurements that were all 371 amplified when the inhibitors were associated with either neuropeptide (Fig. 6, A-372 D). Except for viral RNA synthesis, the sole inhibition of NF-KB, or in combination 373 374 with VIP or PACAP, produced similar results (Fig. 6, A-D). Importantly, the protecting effects mediated by VIP or PACAP alone were identical to those seen 375

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376 when the signaling pathways triggered by NF-KB or SREBP activation were 377 specifically inhibited.

Together, our data suggest that the restriction of SARS-CoV-2 replication in 378 379 monocytes and in pulmonary cells by VIP and PACAP can be the outcome of the 380 intrinsic modulation of inflammatory mediators and transcription factors that are involved directly and indirectly with the viral replication. Considering that VIP and 381 382 PACAP regulate inflammatory reactions, it is possible that their increased circulating 383 amounts reflect a counter-regulatory effect elicited by the dysregulated immune response typical of the more severe clinical status of COVID-19 patients. Since 384 385 SARS-CoV-2-induced NF-kB and SREBP activation are key events involved in the elevated production of proinflammatory cytokines in COVID-19 [58,60,61], the 386 inhibition of these transcription factors, associated with the reduction of 387 proinflammatory cytokines and with the decrease of viral replication by VIP and 388 PACAP, strengthen the potential of these neuropeptides as possible therapeutical 389 390 candidates for COVID-19.

391 Discussion

In this work, we identified that the plasma levels of the neuropeptide VIP are 392 elevated in patients with severe forms of COVID-19, correlating with viral load, 393 associated with reduced inflammation, and that the elevated VIP levels at ICU 394 395 admission predicted patients' favorable outcome, including association with patient survival. In in vitro SARS-CoV-2-infected monocytes and epithelial lung cells, the 396 397 neuropeptides VIP and PACAP, endogenous molecules presenting antiinflammatory properties, reduced the exacerbated synthesis of proinflammatory 398 mediators, coupled with the inhibition of SARS-CoV-2 replication. Our findings 399

support and encourage clinical trials with VIP in COVID-19 patients, which are in progress with intravenous [38] and inhaled [39,40] formulations and are expected to be full disclosed this year and next. An initial release of the data, as preprint, shows an increase in survival rates and reduction of IL-6 levels on those who received intravenous Aviptadil (VIP) [62]. Our present data may substantiate additional larger trials with VIP, an overlooked molecule associated with antiviral, anti-inflammatory and enhanced survival activities.

Both neuropeptides regulate the inflammatory response due to their ability to decrease the production of proinflammatory mediators, and to elicit the production of anti-inflammatory molecules. Given that VIP and PACAP and their receptors are systemically distributed, including lungs [22,63], brain, and gut, we believe that the anti-SARS-CoV-2 effects of both neuropeptides would not be restricted to the respiratory tract, as shown by many studies in other chronic inflammatory illnesses.

VIP and PACAP decreased SARS-CoV-2 genome replication in monocytes, 413 while protecting them from virus-induced cytopathicity. By diminishing the 414 intracellular levels of viral RNA and other viral molecules, VIP and PACAP could 415 416 prevent the cell death by pyroptosis, which has been described as one of the main causes of cell damage during SARS-CoV-2 infection [13,14]. VIP and PACAP also 417 diminished the production of the proinflammatory cytokines IL-6, IL-8, TNF- α and 418 MIF by these cells, in agreement with the reported ability of these neuropeptides to 419 regulate the inflammatory response [24-27,64]. We found similar results with lung 420 epithelial cells, supporting that VIP and PACAP may offer a critical protection to 421 inflamed lungs affected by SARS-CoV-2 replication. It is possible that the higher 422

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amounts of VIP in patients with severe forms of infection may reflect a counter regulatory feedback elicited by the dysregulated immune response of these patients.

We detected that the transcription factor CREB, which can act as a negative 425 426 regulator of NF-kB [65,66], is down-regulated in SARS-CoV-2-infected monocytes, in opposition to NF-kB activation in the same cells, and that VIP and PACAP 427 reversed both phenomenon in infected monocytes. In some models [67–72], CREB 428 activation is related to induction of anti-inflammatory cytokines concomitant with 429 430 reduction of pro-inflammatory molecules and through competition with NF-kB by their shared co-activator protein CBP/p300 [51,65,66,72]. CREB activation is also 431 involved with the anti-apoptotic response in monocytes and macrophages, during 432 433 differentiation and inflammatory stimuli [73,74]. The imbalance between CREB and NF-kB, either as a direct effect of infection by SARS-CoV-2 or a consequence of 434 exposure of bystander cells to viral products and inflammatory molecules, could be 435 an important target for inhibition of SARS-CoV-2 deleterious effects, at least in 436 monocytes and probably also in lung cells, as a similar imbalance between CREB 437 438 and NF-kB was observed in an acute inflammatory pulmonary condition [53].

439 Induction of SREBP activity by SARS-CoV-2 was consistent with data showing its increase and association with COVID-19 severity in patients [60]. 440 SREBP1 regulates the expression of genes of fatty acid biosynthesis, whilst 441 SREBP2 regulates genes involved in cholesterol biosynthesis, intracellular lipid 442 movement and lipoprotein import [75]. While crucial for metabolic homeostasis, both 443 transcription factors are involved in pathologies when misbalanced or overactivated 444 [75], and several viruses are reported to induce their activation, as the up-regulation 445 of host lipid biosynthesis is a requirement for their optimal replication [55–57]. As 446

reported by our group [58] and others authors [59], recently reported that SARS-447 448 CoV-2 activates SREBP-1 and other pathways of lipid metabolism in human cells, and that lipid droplets enhance viral replication and production of inflammatory 449 mediators. Similar to NF-kB and CREB, the association of SREBPs with CBP/p300 450 [54] makes its function susceptible to the availability of this co-factor, which 451 abundance can be low or high depending on the state of activation of NF-kB and 452 453 CREB. Thus, the modulation of each one of these factors by VIP and PACAP can reflect a fine tuning of the transcriptional regulation of metabolic and inflammatory 454 pathways, which in turn can affect the replication of SARS-CoV-2. Our results with 455 456 inhibitors of SREBPs and NF-KB, used alone or in combination with either 457 neuropeptide, provide further connection between the ability of VIP and PACAP to regulate the activity of these transcription factors and to control viral replication and 458 459 production of pro-inflammatory mediators, as well as to reduce SARS-CoV-2induced cell damages. The decline of viral genome replication and production of 460 inflammatory cytokines secondary to SREBP blockage are in agreement with 461 previous reports showing that this transcription factor is essential for replication of a 462 463 broad range of viruses, including coronaviruses in Calu-3 cells [55-57,59] and 464 contributes to cytokine storm in COVID-19 patients [60]. The diminished production of TNF-α and IL-6 in our assays due to NF-KB inhibition agrees with its well-known 465 role to eliciting inflammatory responses. Overall, we believe that the protecting role 466 of VIP and PACAP against SARS-CoV-2 infection in vitro can be explained, at least 467 in part, by their ability to simultaneously regulate the signaling pathways elicited by 468 469 these transcription factors. Our findings are summarized in the model presented in 470 Fig. 7.

Because VIP and PACAP signaling outcome is dependent of the combined action 471 472 of the receptors activated by them (VIP and PACAP receptors can elicit cell signaling in homo and hetero dimers; 72), we evaluated whether they were involved 473 in the final outcome analyzed. Our assays suggest that signaling through the 474 receptors VPAC1 and VPAC2 contributed for VIP- and PACAP-mediated reduction 475 of SARS-CoV-2 RNA synthesis in monocytes and viral production in Calu-3 cells, 476 477 with VPAC1 activation alone being able to reproduce the SARS-CoV-2 inhibition promoted by the natural neuropeptides. The inhibition profile of SARS-CoV-2 by VIP 478 479 and PACAP in Calu-3 cells may be biased regarding the expected action in the 480 lungs, since Calu-3 cells appear to express only VPAC1 [43]. However, lung tissues, while reported to express high levels of VPAC1, also express VPAC2 and PAC1 481 [44,46], and, more specifically, VPAC2 mRNA was detected in airway epithelial, 482 483 glandular, and immune cells of the lung [45]. Therefore, while the inhibition curve of SARS-CoV-2 by VIP and PACAP in Calu-3 cells points to different optimal doses 484 than those obtained for monocytes, it is possible that in normal lung cells and tissue, 485 VIP and PACAP could present a broader range of action in the inhibition of SARS-486 CoV-2. In fact, VIP and specific agonists for VPAC1 or VPAC2 have been proposed 487 488 and tested for respiratory conditions, such as asthma [77–79], pulmonary arterial hypertension (PAH) [77,80,81] and chronic obstructive pulmonary disease (COPD) 489 [77,78,82], demonstrating that the anti-inflammatory actions of VIP and PACAP can 490 491 be achieved in lung tissues. Future studies should define which of these receptors would preferentially be activated by specific agonists to restrain SARS-CoV-2 492 replication in lungs or other sites. Also, as G-coupled receptors ligands, it is 493 expected that VIP and PACAP curve profiles be subject to variation due receptor 494 density in cell, receptor isoforms, and subtypes of associated G proteins. Those 495

factors can influence the threshold and outcome of activation, and have been 496 497 described for a variety of G-coupled receptors, including VIP/PACAP receptors [83-85]. Together with the possible differences of receptor expression and self-498 regulatory characteristics of GPCRs, a third regulation level of VIP and PACAP 499 500 action on pulmonary cells can be achieved by the activity of proteases and 501 peptidases, as lungs are described to express high levels of several of them in both 502 normal and pathological conditions [86–88]. Some of these peptidases could target 503 VIP and PACAP, thus altering the ligand/receptor ratio and modulating the signaling 504 pathways.

505 Since our results were obtained with the pandemic SARS-CoV-2 D614G, we cannot 506 assure that the VIP and PACAP protective effects will also prevail against emerging 507 variants. Nonetheless, given that both neuropeptides regulate cellular mechanisms, 508 actions that might be independent of virus genotypes, we suppose that their 509 protective effects will likely be replicated against SARS-CoV-2 variants.

As up to now the availability of antivirals specific to SARS-CoV-2 is limited, and that the hyper-inflammation may persist in COVID-19 patients even after the lowering of the viral load, the searching for compounds that target the aberrant production of proinflammatory cytokines and, simultaneously, the own viral replication, should be stimulated. Our present results showing that VIP and PACAP hold these two critical activities point these neuropeptides or their analogue molecules as potential therapeutic agents for COVID-19.

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529 Statement of Ethics

Experimental procedures involving human cells from healthy donors were performed with samples obtained after written informed consent and were approved by the Institutional Review Board (IRB) of the Oswaldo Cruz Institute/Fiocruz (Rio de Janeiro, RJ, Brazil) under the number 49971421.8.0000.5248. The National Review Board approved the study protocol (CONEP 30650420.4.1001.0008) for clinical samples, and informed consent was obtained from all participants or patients' representatives.

537 Conflict of Interest Statement

538 The authors declare no competing financial interests.

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552 Author Contribution

553 Conceived the study: JRT, TMLS, DCBH; Designed the experiments: JRT, PTB,

554 TMLS, DCBH; Performed the experiments: JRT, CQS, NFR, CRRP, CSF, SSGD,

ACF, MM, VCS, LT, IGAQ, EDH, PK; Analyzed the data: JRT, PTB, IGAQ, EDH,

- 556 PK, FAB, TMLS, DCBH; Wrote the paper: JRT, PTB, TMLS, DCBH. All authors
- reviewed and approved the manuscript.

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848 Figure legends

Figure 1. Plasma levels of VIP are elevated in patients with severe forms of 849 850 COVID-19 and associates with reduced levels of inflammatory markers and 851 with survival. The levels of VIP (A) in the plasma of SARS-CoV-2-negative control participants, SARS-CoV-2-positive asymptomatic subjects, or symptomatic patients 852 presenting mild to severe COVID-19 were quantified by ELISA. Correlation between 853 levels of VIP and viral load (B) or inflammation markers (C-I). Severe COVID-19 854 855 patients admitted to the ICU were sub-divided between those requiring invasive mechanical ventilation or noninvasive O_2 supplementation (J) and according to the 856 28-day mortality outcome as survivors or non survivors (K). Linear regression (with 857 858 the 95% confidence interval) and Spearman's correlation were calculated according to the distribution of the data. Dots represent: Controls, grey; Asymptomatics, blue; 859 Mild, brown; Severe, red). The horizontal lines in the box plots represent the median, 860 the box edges represent the interguartile ranges, and the whiskers indicate the 861 minimal and maximal value in each group. $p \le .05$; $p \le .01$. 862

Figure 2. VIP and PACAP reduce SARS-CoV-2 RNA synthesis in human 863 864 primary monocytes and viral replication in pulmonary cells, protecting them from virus-mediated cytopathic effects. Monocytes (A, B) and Calu-3 cells (C, 865 **D**) were exposed (overnight) or not to the indicated concentrations of VIP (**A**, **C**) or 866 PACAP (**B**, **D**). Culture medium was removed and then cells were infected with 867 SARS-CoV-2. After infection, viral input was removed and cells were washed, then 868 re-exposed to the neuropeptides. Viral RNA synthesis was evaluated by qPCR in 869 monocytes 24 hours after infection. In Calu-3 cells, supernatants were collected at 870 48 hours after infection, and viral replication was evaluated by guantifying PFUs in 871

Vero E6 plaque assays. Cellular viability was analyzed by measuring LDH release in the supernatants of uninfected or SARS-CoV-2-infected monocytes (**E**) treated or not with VIP or PACAP (10 nM), and Calu-3 cells (**F**) treated or not with VIP (1 nM) or PACAP (50 nM). Data in (**A**, **B**) are shown normalized to infected cells kept only with culture medium, and in (**C**, **D**, **E**, **F**) represent means \pm SD of absolute values. * $p \le .05$; ** $p \le .01$; (A, B, E) n=6; (C, D, F) n=5. Each dot represents an independent assay with three replicates.

879 Figure 3. Receptor contribution for the VIP and PACAP mediated inhibition of SARS-CoV-2 replication. Monocytes (A) and Calu-3 cells (B) were treated 880 (overnight) or not with agonists for VIP and PACAP receptors, as indicated, at 881 882 different concentrations. Culture medium was removed and then cells were infected with SARS-CoV-2. After infection, viral input was removed, and cells were washed, 883 and then re-exposed to the receptor agonists. Viral RNA synthesis was evaluated 884 by qPCR in monocytes 24 hours after infection. In Calu-3 cells, supernatants were 885 collected at 48 hours after infection, and viral replication was evaluated by 886 887 quantifying PFUs in Vero E6 plaque assays. Data in (A) are shown normalized to infected cells kept only with culture medium, and in (B) represents means \pm SD of 888 absolute values. * $p \le .05$; ** $p \le .01$; *** $p \le .001$; (A, B) n=4. Each dot represents an 889 890 independent assay with three replicates.

Figure 4. VIP and PACAP reduce the production of proinflammatory mediators by SARS-CoV-2-infected monocytes and Calu-3 cells. Monocytes (A) and Calu3 cells (B) were treated (overnight) or not with VIP or PACAP (10 nM each for

removed and then cells were infected with SARS-CoV-2. After infection, viral input

monocytes, 1 nM of VIP or 50 nM of PACAP for Calu-3 cells). Culture medium was

was removed and cells were washed, and then re-exposed to the neuropeptides. The levels of IL-6, IL-8, TNF-α and MIF were measured in culture supernatants of monocytes after 24 hours (**A**), and of IL-6 and IL-8 after 48 hours for Calu-3 cells (**B**), by ELISA. Data represent means \pm SD. * $p \le .05$; ** $p \le .01$; (A) n=6; (B) n=4. Each dot represents an independent assay with three replicates.

Figure 5. VIP and PACAP regulate the activation of transcription factors in 901 902 SARS-CoV-2-infected monocytes. Monocytes were treated (overnight) or not with 903 to VIP or PACAP (10 nM), culture medium was removed and then cells were infected with SARS-CoV-2. After infection, viral input was removed, and cells were washed 904 and then re-exposed to the neuropeptides. After 24 hours, cells were lysed and the 905 906 ratios between phosphoNF-kBp65 and total NF-kBp65 (A), phosphoCREB and total CREB (**B**), active SREBP-1 and β -actin (**C**), and active SREBP-2 and β -actin (**D**) 907 were quantified by ELISA (A, B) or by western blot (C, D) in the cell lysates. Data 908 represent means \pm SD. * $p \le .05$; ** $p \le .01$; *** $p \le .001$; (A, B) n=3; (C, D) n=4. Each 909 910 dot represents an independent assay with three replicates.

Figure 6. Inhibition of NF-kB and SREBP in monocytes reduces SARS-CoV-2 911 912 RNA synthesis, production of proinflammatory mediators and protects the cells from virus-mediated cytopathic effects. Monocytes were treated 913 (overnight) or not with to VIP or PACAP (5 nM), culture medium was removed and 914 915 then cells were infected with SARS-CoV-2. After infection, viral input was removed, and cells were washed and then re-exposed to the neuropeptides associated or not 916 with inhibitors of SREBP (AM580, 10 uM) or NF-kB (Bay 11-7082, 10 uM). Viral 917 918 RNA synthesis (A), cellular viability (B) and levels of TNF- α and IL-6 (C, D) were evaluated by gPCR, ELISA and LDH release, respectively, in the culture 919

920	supernatants 24 hours after infection. Data in (A) are shown normalized to infected
921	cells kept only with culture medium, and in (B, C, D) represent means \pm SD of
922	absolute values. * $p \le .05$; ** $p \le .01$; *** $p \le .001$; (A - D) n=6. Each dot represents an
923	independent assay with three replicates.

924	Figure 7. Graphical summary of study data. In severe COVID-19 patients, VIP
925	plasma levels correlated with decreased inflammatory markers and survival. In in
926	vitro assays with monocytes and lung epithelial cells, VIP and PACAP were found
927	to decrease SARS-CoV-2 RNA synthesis (monocytes) and viral replication (lung
928	epithelial cells). Both neuropeptides also reduced inflammatory factors and cell
929	death of infected cells. Created with BioRender.com

Characteristics ¹	Control (n=10)	Asymptomatic/ Mild (n=10)	Severe/critical (n=24)
Age, years	53 (32 – 60)	43 (24 – 52)	58 (48 – 66)
Sex, male	4 (40%)	4 (40%)	12 (50%)
Respiratory support			
Oxygen supplementation	0 (0%)	0 (0%)	5 (20.8%)
Mechanical ventilation	0 (0%)	0 (0%)	19 (79.2%)
SAPS 3	-	-	60 (55 – 71)
PaO2/FiO2 ratio	-	-	154 (99 – 373)
Vasopressor	-	-	10 (41.6%)
Time from symptom onset to blood sample, days	-	6 (-1 – 8) ²	14 (8 – 17)
28-day mortality	-	-	13 (54.2%)
Comorbidities			
Obesity	1 (10%)	1 (10%)	5 (20.8%)
Hypertension	1 (10%)	2 (20%)	6 (25%)
Diabetes	0 (0%)	0 (0%)	9 (37.5%)
Cancer	0 (0%)	0 (0%)	3 (12.5%)
Heart disease ³	0 (0%)	0 (0%)	2 (8.3%)
Presenting symptoms			
Cough	0 (0%)	3 (30%)	17 (70.8%)
Fever	0 (0%)	5 (50%)	18 (75%)
Dyspnea	0 (0%)	0 (0%)	20 (83.3%)
Headache	0 (0%)	4 (40%)	3 (12.5%)

Table 1: Characteristics of COVID-19 patients and control subjects.

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Anosmia	0 (0%)	4 (40%)	8 (33.3%)
Laboratory findings on admission			
Leukocytes, x 1000/µL	-	-	138 (102 – 180)
Lymphocyte, cells/µL	-	-	1,167 (645 – 1,590)
Monocytes, cells/µL	-	-	679 (509 – 847)
Platelet count, x 1000/µL	-	-	169 (137 – 218)
C Reactive Protein, mg/L ⁴	0.1 (0.1 – 0.18)	0.2 (0.1 – 0.13)	178 (74 – 308)*
Fibrinogen, mg/dL ⁴	281 (232 – 302)	248 (182 – 341)	528 (366 – 714)*
D-dimer, IU/mL ⁴	292 (225 – 476)	191 (187 – 313)	4836 (2364 – 10816)*

¹Numerical variables are represented as the median and the interquartile range, and
 qualitative variables are represented as the number and the percentage.

²Day of sample collection after the onset of symptoms was not computed for asymptomatic
 subjects.

³Coronary artery disease or congestive heart failure.

⁴Reference values of C reactive Protein (0.00 - 1.00), Fibrinogen (238 - 498 mg/dL) and D-dimer (0 - 500 ng/mL).

⁹³⁸ *p < 0.05 compared to control. The qualitative variables were compared using the two tailed

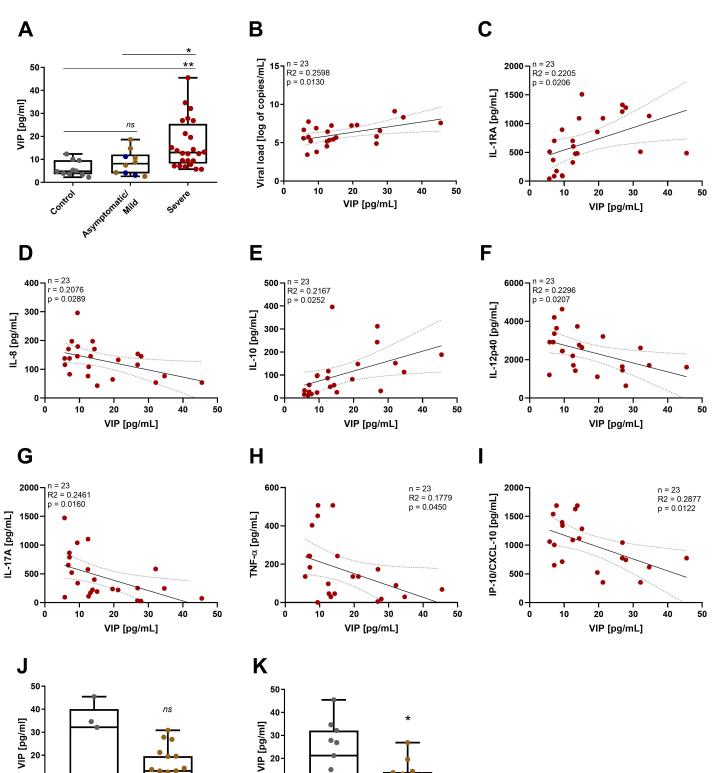
Fisher exact test, and the numerical variables using the t test for parametric and the Mann

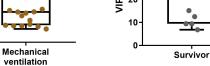
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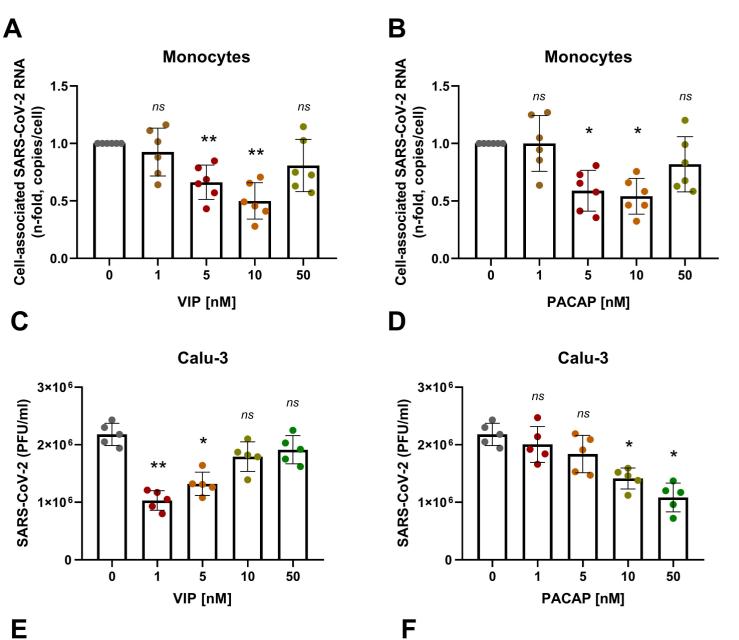
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O₂ supplementation





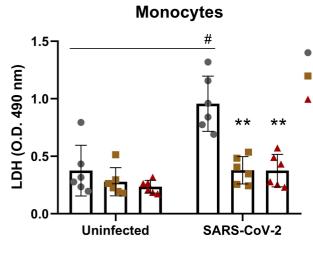




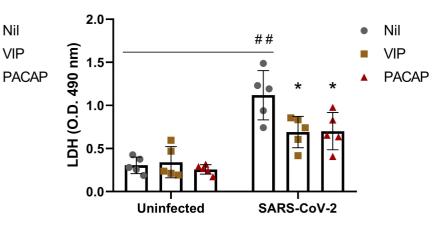
Nil

VIP

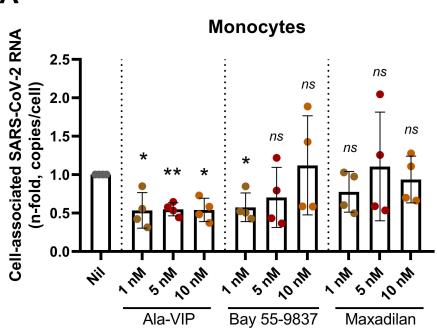




Calu-3



Α



В

Calu-3

