Exploring Efficient Linear Mixed Models to Detect Quantitative Trait

Locus-by-environment Interactions

AUTHORS

Eiji Yamamoto[*,†,1], Hiroshi Matsunaga[‡]

AFFILIATIONS

[*] Graduate School of Agriculture, Meiji University, Kawasaki 214-8571, Japan

[†] PRESTO, Japan Science and Technology Agency, Kawaguchi 332-0012, Japan

[‡] Institute of Vegetable and Floriculture Science, National Agriculture and Food

Research Organization, Ano, Tsu 514-2392, Japan

SHORT RUNNING TITLE: QTL-by-environment interactions

KEYWORDS: Genome-wide association study (GWAS), Quantitative trait locus

(QTL), Genotype-by-environment interaction (GEI), QTL-by-environment interaction

(QEI), Linear mixed model (LMM)

CORRESPONDING AUTHOR

Eiji Yamamoto, Graduate School of Agriculture, Meiji University, Kawasaki 214-8571,

Japan. Tel/Fax: +81-44-934-7046. Email: yame@meiji.ac.jp

ABSTRACT

Genotype-by-environment interactions (GEIs) are important for not only a precise understanding of genotype–phenotype relationships but also improving the environmental adaptability of crops. Although many formulae have been proposed to model GEI effects, a comprehensive comparison of their efficacy in genome-wide association studies (GWASs) has not been performed. Therefore, the advantages and disadvantages of the formulae are not well recognized. In this study, linear mixed models (LMMs) consisting of various combinations of foreground fixed genetic and background random genetic effect terms were constructed. Next, the power to detect quantitative trait loci (QTLs) with GEI effects was compared across the LMMs by using simulation. The fixed genetic effect terms of the genotype main effects and GEI (GGE) model were preferred over those based on the additive main effects and multiplicative interaction (AMMI) model because the latter showed p-value inflation, whereas the former yielded theoretically expected p-value distribution. With regard to the background random genetic effects, inclusion of genotype-by-trial interaction effects has been recommended to achieve high power and robustness when phenotype data are obtained from multiple environments and multiple trials. The recommended form of LMM was applied to GWASs performed using real agronomic trait data of tomato F_1

37   varieties (*Solanum lycopersicum* L.) that were obtained from two different cropping

38   seasons. The GWASs detected QTLs with not only persistent effects across the cropping

39   seasons, but also cropping season-specific effects. Thus, the application of GWAS

40   strategy to phenotypic data from multiple environments and trials allowed the detection

41   of more QTLs with GEI effects.

INTRODUCTION

Phenotypes are determined by not only genetic potential but also environmental growth

conditions. More specifically, the rank of phenotypic values has been shown to often

change when the same genotype set is phenotyped under different environmental

conditions (Cooper and DeLacy 1994). The phenotypic response to the environment is

explained by reaction norms, and genotype-by-environment interactions (GEIs) occur

when the slope of the reaction norm differs for different genotypes in an environment

(Malosetti *et al.* 2013). Analysis of GEIs is important for not only a precise

understanding of genotype–phenotype relationships but also the designing of crop

varieties that fit a certain environment.

To date, various statistical approaches have been proposed for GEI analysis

(Malosetti *et al.* 2013). The simplest approach is analysis of variance, which compares

the mean and variance of phenotypic values of genotypes in multiple environments.

However, practically, more flexible methods are being used for GEI analysis. In the

additive main effects and multiplicative interaction (AMMI) model, the genetic

contribution for a phenotypic value is divided into fixed genetic main effects (i.e.,

genetic effect irrelevant to environments) and GEI effects (i.e., reaction norms to

environments; Gauch 1988). The reaction norms in the AMMI model are expressed as

multiplicative interaction terms consisting of products of genotypic score and

hypothetical environmental score. Next, principal component (PC) analysis of these

scores is conducted. In the PC analysis, genotypic scores are explained by

environmental scores and vice versa. Therefore, GEIs in the AMMI model can be

explained by a two-axis biplot (i.e., PC1 and 2). This enables a graphical representation

of the interactivity between genotypes and environments. In particular, a genotype will

show the highest performance in the closest environment in the biplot. The genotype

main effects and GEI (GGE) model resembles the AMMI model, but focuses more on

the total genetic variation in each environment (Yan *et al.* 2000). In the GGE model,

both genetic main effects and reaction norms are scored together; therefore, genotypes

in the GGE biplot represent the overall performance, whereas the AMMI biplot

represents only the GEI part of the phenotype.

75   Availability of molecular markers has enabled the analysis of interactions

between environmental conditions and each gene and/or quantitative trait locus (QTL).

The QTL-by-environment interaction (QEI) analysis requires the separation of overall

GEI effects into the effect of a focal QTL and those of the other loci (i.e., background

genetic effects). This separation is achieved using linear mixed models (LMMs) that

account for background genetic effects as random effects (Boer *et al.* 2007; Mathews *et*

al. 2008; Sabadin *et al.* 2012). In the LMMs, background random genetic effects follow

a multivariate normal distribution whose variance–covariance is a product of the genetic

relationship matrix (GRM) and the variance–covariance matrix of the environmental

values or design matrix of categorically classified environmental conditions. Random

effects are being increasingly considered to account for GEI effect in genomic selection

(GS) studies. In GS, a training population, which has been phenotyped and genotyped,

is used to construct a model that predicts the genetic potential of unphenotyped

individuals by using genome-wide genotype data (Meuwissen *et al.* 2001). GS models

that account for GEI effects need to be constructed for increasing predictability and

designing genotypes that are robust to multiple environments and/or suitable for specific

environments (Crossa *et al.* 2017). In the GS models that account for GEI effects, the

overall random genetic effects are separated into main (environment-independent) and

environment-specific random effects. The main random effects follow a multivariate

normal distribution with GRM, as the variance–covariance and environment-specific

random effects follow a multivariate normal distribution with a product of GRM and

variance–covariance matrix for environmental differences (Heslot *et al.* 2014; Jarquín *et*

al. 2014; Lopez-Cruz *et al.* 2015; Cuevas *et al.* 2017). In the abovementioned method,

environment-specific random genetic effects have common variance across the

environments. e Sousa *et al.* (2017) extended the model to allow different variances

across environments, which resulted in an increase in the prediction accuracy in some

experiments.

Genome-wide association studies (GWASs) that analyze associations between

molecular marker genotypes and phenotypic variance by using a diverse population set

are now widely used for the identification of genes and/or QTLs associated with traits

that have complicated genetic architecture (Lipka *et al.* 2015). The most standard

GWAS method is LMM (Yu *et al.* 2006). Therefore, methods developed for GEI

analysis in GS studies are directly applicable to GWASs. For example, in StructLMM

(Moore *et al.* 2019), a product of GRM and variance–covariance matrix of

environmental values is used to model the background random genetic effects. LMMs

that allow different genotypic variances between environments, which was proposed by

e Sousa *et al.* (2017), have been implemented in GxEMM (Dahl *et al.* 2020). These

methods were designed for human and animal genetic studies in which a numerous

samples (genotypes) are generally available. Currently increasing genotype, phenotype

and environmental data make the methods promising approach to reveal QEI effects of

each gene.

Because plants cannot move from their habitat, considering GEI effects is

essential when the phenotypes are measured in multiple environments (e.g., location

and cropping season). One of the disadvantages of plant genetic studies against human

and animal genetic studies is the small number of samples (genotypes) in an experiment.

In general, less than 1,000 genotypes are phenotyped in each experiment owing to the

limited field area size and work force (Atwell *et al.* 2010; Yano *et al.* 2016).

Nonetheless, in plant genetic studies, phenotype measurement of a genotype can be

repeated, leading to more accurate phenotypic evaluation. However, due to limitation in

field size and work force, the replication trials are often performed multiple years. The

unstable and extreme climate changes in recent years suggest genotype-by-trial

interaction (GTI) effects on phenotypic values (Kornhuber *et al.* 2019). Thus, plant

genetic studies often involve not only ordinary GEI effects that have been long

discussed (Malosetti *et al.* 2013), but also unexpected GTI effects that are merely

disturbance factors of genetic studies. That is, a QTL has an effect in an environment,

but the effect size differs across trials because of unexpected GTI effects, and the QTL

might fail to represent the effect in some trials. This could be an unusual assumption

because it violates the ordinary hypothesis that a gene must have a persistent effect in

the same environment. However, we believe that such effects can occur in plant genetic

studies under recent unstable climate conditions (Kornhuber *et al.* 2019). For example, a

linear relationship has been shown to exist between temperature and various plant

phenotypes such as height and flowering time (e.g., Millet *et al.* 2019), but phenotyping

under excessively high and/or low temperatures might not follow a linear relationship

because such conditions are stressful for plant growth (e.g., Yuan *et al.* 2019).

In GS studies, various statistical models for GEI analysis have been proposed,

and their efficacy under unstable environmental conditions has been analyzed

extensively (Heslot *et al.* 2014; Jarquín *et al.* 2014; Lopez-Cruz *et al.* 2015; Cuevas *et*

al. 2017; e Sousa *et al.* 2017). However, the efficacy of statistical models for QTL

detection (i.e., GWASs) has been less investigated. In the present study, we explored

efficient GWAS methods to detect QTLs with QEI effects. To model the reaction norms

of a focal QTL, we compared two methods that are analogous to the formula in the

AMMI (Gauch 1988) and GGE models (Yan *et al.* 2000). Regarding the background

random genetic effects, we compared the methods that have been used in GS studies

(Heslot *et al.* 2014; Jarquín *et al.* 2014; Lopez-Cruz *et al.* 2015; Cuevas *et al.* 2017; e

Sousa *et al.* 2017). The combinations of modeling methods for focal QTLs and the

background effects were compared to identify LMMs that represent robustness and high

power to detect QTLs with QEI effects by conducting simulation experiments. Next, we

performed GWASs by using the efficient LMMs to detect QEI effects by using real

phenotype data of tomato (*Solanum lycopersicum* L.). Our results indicated that the

implementation of both GEI and GTI effects in GWASs was necessary to achieve high

QTL detection power for phenotypic data obtained from multiple environments and

trials.

 MATERIALS AND METHODS

Assumptions

Numerous studies have analyzed the GEI effects for various situations (e.g., Moore *et al.*

2019; Dahl *et al.* 2020). To clarify the aim of the present study and the differences from

previous studies, we describe the assumptions in this study as follows:

1. The target traits are controlled by several major QTLs and numerous minor QTLs,

and the major QTLs are the target of detection.

2. The number of samples (genotypes) is relatively smaller than the standards used in

human and animal genetic studies.

3. Phenotypic values of a genotype were obtained for several trials that were

performed across different years. Therefore, the phenotypic values were affected by

the GTI effects.

4. The effect of QTLs may not be persistent between trials in the same environment.

5. Differences in environments or trials are represented as categorical variables.

Assumptions 1 to 3 are common situations in plant genetic studies. Assumptions 2 and 3

are different from those in ordinary human and animal genetic studies. Assumption 4 is

the problem that we specifically addressed in this study. The β of column "Foreground"

in Table 1 represents the numerical expression of this assumption. Assumption 5 will be

removed if numerical environmental values are available (e.g., growth temperature,

humidity, etc.).

LMMs

We modeled QEI and GEI effects by using LMMs. In the LMMs, the total genetic

effects were divided into foreground and background genetic effects:

$$y = \mu + f_{\text{fixed}}(x) + f_{\text{random}}(g) + \varepsilon \ (1)$$

where $f_{\text{fixed}}(x)$ and $f_{\text{random}}(g)$ are the foreground and background genetic effects,

respectively; y, μ, and ε indicate a vector for phenotypic values, population-wide

mean, and residuals, respectively. In the standard GWAS, eq. 1 is described as

$$y = \mu + x\beta + f_{\text{random}}(g) + \varepsilon \ (2).$$

where x is a vector of SNP genotype values coded as {0, 1, 2} = {aa, Aa, AA}. β

models the SNP effect. Without consideration of differences in environments or trials,

$f_{\text{random}}(g)$ is g that models the genetic background effects as random effects with

$$g \sim MVN(0, [Z_g G Z_g'] \sigma_g^2) \ (3),$$

where G and Z_g represent the GRM and incidence matrix for the phenotype and

genotype, respectively. σ_g^2 is the variance for g. In the GRM, the element G_{jk} is

defined as

$$G_{jk} = \sum_{i=1}^{m} (x_{ij} - 2p_i)(x_{ik} - 2p_i)/2p_i(1 - p_i) \quad (4),$$

199   where x_{ij} is the coded genotype value for the i-th SNP of the j-th individual, p_i is the

200   minor allele frequency for the i-th SNP, and m is the total number of markers. The

201   GRM was calculated using the *A.mat* function in the R package "rrBLUP" (Endelman

202   2011). When the dominance effect terms were included, eq. 2 was extended as

$$y = \mu + x\beta + d\gamma + f_{\text{random}}(g) + \varepsilon \quad (5),$$

where d is an indicator of SNP genotype heterozygosity coded as {0, 1, 0} = {aa, Aa,

AA}. γ is the dominance effect for the SNP. The following sections discuss the

extension of the basic LMMs to model the QEI and GEI effects. The extensions were

performed for both foreground and background genetic effects.

Foreground genetic effects: In this study, the foreground GEI effects represent the

reaction norms of a focal QTL. Hereafter, we refer to the foreground GEI effects as QEI

effects. To model QEIs as fixed effects in LMMs, we used two types of formulae. The

first is

$$y = \mu + x\alpha + \sum_{l}^{L} x\beta_l + f_{\text{random}}(g) + \varepsilon \quad (6),$$

where α is the SNP effect common to all environments, and β_l is the SNP effect

specific to the l-th environment or experimental trial. In the equation, $x\alpha$ is analogous

to the additive main genetic effect in the AMMI model (Gauch 1988). Therefore, we

named the fixed effect formula in eq. 6 (i.e., $x\alpha + \sum_l^L x\beta_l$) as fAMMI. Equation 6 can

be extended to include dominance effects.

$$y = \mu + x\alpha + d\tau + \sum_l^L x\beta_l + \sum_l^L d\gamma_l + f_{\text{random}}(g) + \varepsilon \quad (7).$$

In eq. 7, τ is a dominance effect irrelevant to environmental differences. γ_l is the

dominance effect specific to the l-th environment or trial. We named the fixed effect

formula in eq. 7 as fAMMI$_{\text{d}}$. The second formula used to model QEI is

$$y = \mu + \sum_l^L x\beta_l + f_{\text{random}}(g) + \varepsilon \quad (8).$$

Unlike eq. 6, eq. 8 does not include the additive main genetic effect term (i.e., $x\alpha$).

Therefore, this QEI effect formula is analogous to the GGE model (Yan *et al.* 2000). We

named the fixed effects in eq. 7 (i.e., $\sum_l^L x\beta_l$) as fGGE. The extension of eq. 8 to

include dominance effects is

$$y = \mu + \sum_l^L x\beta_l + \sum_l^L d\gamma_l + f_{\text{random}}(g) + \varepsilon \quad (9).$$

We named the fixed effects formula in eq. 9 as fGGE$_{\text{d}}$.

Background genetic effects: Appropriate statistical modeling for background genetic

effects has been the key for efficient GWASs. In the standard GWASs performed using

LMMs, genetic background effects are modeled as random effects as in eq. 3 (Yu *et al.*

2006). The first background genetic effect model in the present study is the same as the

standard GWAS, which is represented in eq. 3. Hereafter, we refer to this standard

background genetic effect model as rgeG, in which random genetic effects are shown as

g. Notably, rgeG does not include reaction norms for the GEIs. Therefore, we extended

eqs. 2 and 5 as

$$y = \mu + f_{\text{fixed}}(x) + g + ge + \varepsilon \quad (10)$$

where ge models the GEI effects with

$$ge \sim MVN\left(0, \left[Z_g G Z_g'\right] \circ \left[Z_e Z_e'\right]\sigma_{ge}^2\right) \quad (11).$$

Z_e represents the incidence matrix for the phenotype and focal environmental

differences (e.g., location and cropping season). Thus, eq. 10 allows different random

genetic effects between environments. The same formula for random genetic effects was

used in GWASs and implemented in StructLMM (Moore *et al.* 2019). In this study, we

named this formula (i.e., $g + ge$) rgeGE. The third random genetic effect model is

$$y = \mu + f_{\text{fixed}}(x) + g + gt + \varepsilon \quad (12)$$

where gt models the reaction norm for GTI effects with

$$gt \sim MVN\left(0, \left[Z_g G Z_g'\right] \circ \left[Z_t Z_t'\right]\sigma_{gt}^2\right) \quad (13).$$

Z_t represents the incidence matrix for the phenotype and trials. Thus, eq. 12 allows

independent genetic random effects between trials and has the potential to capture

unexpected GTI effects mentioned in assumption 4. We hereafter refer to this random

genetic effect model as rgeGT. Equations 10 and 12 can be combined as follows:

$$y = \mu + f_{\text{fixed}}(x) + g + gt + ge + \varepsilon \quad (14).$$

Eq. 14 can model both environment-specific and trial-specific random genetic effects.

We named this random effect modeling rgeGTE. The last random genetic effect

modeling is

$$y = \mu + f_{\text{fixed}}(x) + g + \sum_{tr}^{TR} gt_{tr} + \varepsilon \quad (15)$$

where TR is the total number of trials included in the phenotype data. $\sum_{tr}^{TR} gt_{tr}$ is

represented as follows:

261
$$\sum_{tr}^{TR} gt_{tr} \sim N(0, \sigma_{gt1}^2 \begin{bmatrix} Z_g G Z_g' & \cdots & 0 & \cdots & 0 \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ 0 & \cdots & 0 & \cdots & 0 \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ 0 & \cdots & 0 & \cdots & 0 \end{bmatrix} + \sigma_{gt2}^2 \begin{bmatrix} 0 & \cdots & 0 & \cdots & 0 \\ 0 & Z_g G Z_g' & 0 & \cdots & 0 \\ 0 & \cdots & 0 & \cdots & 0 \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ 0 & \cdots & 0 & \cdots & 0 \end{bmatrix}$$
$$+ \cdots + \sigma_{gtTR}^2 \begin{bmatrix} 0 & \cdots & 0 & \cdots & 0 \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ 0 & \cdots & 0 & \cdots & 0 \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ 0 & \cdots & 0 & \cdots & Z_g G Z_g' \end{bmatrix}) \quad (16)$$

This random genetic effect design is the same as that of e Sousa *et al.* (2017) and Dahl

et al. (2020), which allows different heritability between trials. We named this random

effect modeling rgeGTd.

Fitting the LMMs: All calculations and parameter estimations for the LMMs were

performed using R package "gaston" (Perdry and Dandine-Roulland 2018). The LMMs

in the present study included multiple random effects. We tentatively generalized the

background genetic random effects as

$$bg_r \sim MVN\left(0, \left[Z_g G Z_g'\right] \circ \left[Z_r Z_r'\right]\sigma_r^2\right) \ (17),$$

where bg_r is the r-th random genetic effect, and Z_r is the incident matrix for

phenotype and r-th random effects. σ_r^2 is the variance for bg_r. To solve the LMMs

with R random effects, we estimated the genetic variances (i.e., $\sigma_1^2 \sim \sigma_r^2 \sim \sigma_R^2$)

without any fixed genetic effects, that is,

$$y = \mu + \sum_r^R bg_r + \varepsilon \ (18).$$

Equation 18 was solved using the AIREML algorithm implemented in the *lmm.aireml*

function. The estimated genetic variances were used to calculate the weight for each

random effect as

$$w_s = \hat{\sigma}_s^2 \ / \ \sum_r^R \hat{\sigma}_r^2 \ (19),$$

where w_s is the weight of the s-th random effect. The variance–covariance matrix for

the integrated random effects K was calculated as

$$K = \sum_r^R \left[Z_g G Z_g'\right] \circ \left[Z_r Z_r'\right] w_r \ (20).$$

Next, the integrated background random genetic effect u was derived as

$$u \sim MVN(0, K\sigma_K^2) \quad (21).$$

where σ_K^2 is the variance for u. Thus, the LMMs used for the calculation of the test

statics in the present study can be expressed as follows:

$$y = \mu + f_{\text{fixed}}(x) + u + \varepsilon \quad (22).$$

Therefore, variance component analysis for each LMM was performed against u and

ε during the GWASs.

Statistical tests: The statistical significance of the fixed effects in each LMM was

evaluated using the log-likelihood (LL) ratio test (LRT). The tests and formulae for the

calculation of deviance and degree of freedom are described in Table 2. Hypotheses QEI

and QTI in Table 2 are the test for significance of the full (i.e., main and QEI effects)

model against the main genetic effects. Therefore, these effects will be significant when

the QTLs have different reaction norms between environments or trials (Malosetti *et al.*

2013). The LL of maximum likelihood (ML) estimates was calculated using the

lmm.diago.profile.likelihood function. The *p*-value of each test was calculated using the

chi-square test with deviance and degrees of freedom.

Although the LRT can be used to obtain more accurate statistics in small- and

moderate-sized samples, its drawback is the absence of test statistics for each coefficient

included in the model. As a complement to the LRT, we performed the Wald test for

each coefficient included in the LMMs. The scores for the Wald test were calculated as

$$W_i = \hat{\beta}_i^2 / var(\hat{\beta}_i) \quad (23),$$

where $\hat{\beta}_i$ and W_i indicate the estimated effect size of SNP i and the Wald score,

respectively. Next, the p-value of W_i was calculated on the basis of the chi-square test

with degree of freedom = 1.

In GWASs, determining a stringently applicable genome-wide significant

threshold is difficult (Bian and Holland 2017). In this study, we used two methods to

determine the genome-wide significant thresholds. The first method is the arbitrary

significant threshold (e.g., $-\log_{10}(p) \geq 2$). The second is the false discovery rate (FDR),

which is a popular method in GWASs (Benjamini and Hochberg 1995; Storey and

Tibshirani 2003). In this study, the FDR rate was calculated using the method proposed

by Storey and Tibshirani (2003), which was implemented in R package "qvalue"

(Storey *et al.* 2020).

Genomic inflation factor

In the LRT, $-\log_{10}(p)$ values from the chi-square tests represented inflation or deflation

from the expected distribution. The degree of inflation or deflation was evaluated using

the genomic inflation factor (λ_{GC}; Devlin and Roeder 1999), which was calculated as

321
$$\lambda_{GC} = \frac{log_{10}(p_{obs}[0.5])}{log_{10}(0.5)} \quad (24),$$

where $p_{obs}[q]$ indicates the q-quantile of the observed p-values.

Simulation experiments

To evaluate the QTL detection power, we designed simulation experiments. The detailed

conditions are described in Table 1. The simulated phenotypes were designed using real

genotype data of F_1 tomato varieties (Yamamoto *et al.* 2016). The simulated phenotypic

values were generated using the following equation:

329
$$y = \sum_i^3 x\beta_i + \{(g \times w_g) + (ge \times w_{ge}) + (gt \times w_{gt})\}/\omega + \varepsilon/\varphi \quad (25)$$

where $\sum_i^3 x\beta_i$ is the foreground major genetic effects that are the target of detection.

We randomly selected three major QTLs from 16,782 SNP markers. The proportion of

variance (PVE) explained by each QTL in the present study refers to the PVE of the

QTL in phenotypic values in all environments and trials. The PVE was set to 0.1 for all

the simulated major QTLs. In this study, we assumed four reaction norms, namely,

"persistence," "divergence," "convergence," and "crossover" (Malosetti *et al.* 2013).

Persistence means the QTL has a persistent effect across environments or trials, and

thus has no QEI or QTI effects. Divergence means that the QTL has different degrees of

effect size between trials (i.e., the direction of β is the same across trials, but the

values are different). Convergence means that the QTL shows effect in an environment,

but not in the other environments or trials (i.e., β is zero in some environments or

trials). Crossover means that the direction of the QTL effect is different between

environments. Persistence, divergence, and convergence were used for simulated QTI

effects, whereas convergence and crossover were used for simulated QEI effects (Table

1). In eq. 25, $(g \times w_g)$, $(ge \times w_{ge})$, and $(gt \times w_{gt})$ are the background polygenic

effects that follow eqs. 3, 11, and 13, respectively. The polygenic effect values were

generated using the *mvrnorm* function in R by using the corresponding variance–

covariance matrix (R Core Team 2019). The contribution of the three polygenic effects

was adjusted by multiplying the generated values from *mvrnorm* and specified weight

parameters (w_g, w_{ge}, and w_{gt} in Table 1). In all simulated phenotypes, heritability was

set to 0.5. The residual values ε were generated using the *rnorm* function in R (R Core

Team 2019). In eq. 25, ω and φ were optimized to adjust the phenotypic values to

satisfy the given PVE and heritability. The optimal ω and φ were determined using

the *optimize* function in R.

Because QTLs may have a dominance effect (e.g., Krieger *et al.* 2010), we

simulated the dominance effect of QTLs. To model the dominance effects, we coded a

vector of SNP genotype values for the simulated QTLs as {0, 3, 2} = {aa, Aa, AA}, and

then, the coded SNP genotype values were used in eq. 25 to generate the simulated

phenotypic values. In generation of simulated phenotypic values including

dominance-effect QTLs, SNPs with minor genotype count < 3 were excluded.

To enable the direct comparison of GWAS results from different experimental

conditions, we used a receiver operating characteristic (ROC) curve; the GWAS power

was compared on the basis of the area under the ROC curve (AUC). An AUC of 0.5

indicates that the GWAS has no power to detect true signals, whereas an AUC of 1.0

indicates that all the top signals predicted by the GWAS agree with the true signals. The

roc function in "pROC" package (Robin *et al.* 2011) was used to calculate the AUC

from $-\log_{10}(p)$ values.

In addition, we used F-measure, a harmonic mean of recall and precision,

calculated as

$$\text{F measure} = 2/(\text{Recall}^{-1} + \text{Precision}^{-1}) \quad (26).$$

A high F-measure is achieved only by balancing high precision and high recall. In the

simulation experiments, F-measures were calculated using a genome-wide significant

threshold from FDR 0.2 or $-\log_{10}(p) \geq 2$.

Tomato genotype and phenotype data

We applied the LMMs to real genotype and phenotype data in tomato. The tomato data

used in this study were obtained from 96 big-fruited F_1 varieties that were also used in

previous studies (Yamamoto *et al.* 2016 and 2017). Genotype data consisting of 16,782

SNP markers were obtained using Axiom myDesign genotyping arrays (Affymetrix Co.,

Ltd., Santa Clara, CA, USA). All the SNP markers had minor allele frequency of >0.05

and missing value rate of 0. Phenotyping was performed in winter and summer cropping

seasons with four and two trials, respectively. All plants were grown hydroponically by

using a high-wire system in a greenhouse at the National Agriculture and Food Research

Organization, Institute of Vegetable and Tea Science in Tsu, Japan. One plant was

grown for each variety in each trial. Among the phenotypes obtained (Yamamoto *et al.*

2016), we focused on the average fruit weight and fruit set ratio. The fruit set ratio

indicates the ratio of flowers that reached fruit set. The values were transformed using

the empirical logit transformation.

                                          RESULTS

Genomic inflation factor

In this study, the genomic inflation factor (λ_{GC}) was calculated to assess the deviation of

test statistics from the expected null distribution. In the tests for Main (Table 2),

$-\log_{10}(p)$ values were close to the theoretically expected distribution (i.e., $\lambda_{GC} = 1$)

except when rgeGTd was the random genetic effect (Figure 1 and Table S1). The tests

that included QEI and QTI fixed effects (i.e., fAMMI and fGGE) yielded different

distributions depending on the random genetic effects. The λ_{GC} values inflated and

fluctuated when the random effects were rgeG or rgeGTd (Figure 1 and Table S1).

When the fixed effects included QTI, the inflation and fluctuation of λ_{GC} values were

also noted in rgeGE (Full.T and QTI in Figure 1 and Table S1). The use of rgeGT or

rgeGTE successfully restrained the λ_{GC} fluctuations (Figure 1 and Table S1). When the

fixed effects were fGGE, the use of rgeGT or rgeGTE resulted in theoretically expected

λ_{GC}, whereas it was deflated in fAMMI (Figure 1 and Table S1). $\lambda_{GC} = 1$ is necessary

to calculate the FDR (Storey and Tibshirani 2003). Therefore, these results indicate that

GGE is preferable to model QEI or QTI effects in LMMs.

QTI effects in a single environment

This study aimed to compare the power between the tests (Table 2) when the target QTL

effects and heritability are unstable owing to GTI effects (column N.env = 1 in Table 1).

The power between the tests was compared using AUC and F-measure with

genome-wide significant threshold FDR of 0.2 and $-\log_{10}(p) \geq 2$. In this analysis, we

did not calculate F-measure for the tests using fAMMI because the deflation in λ_{GC} did

not meet the prior assumptions for FDR calculation (Table S1; Storey and Tibshirani

2003). Although we generated conditions that had different contribution rates in

background random genetic effects (column Background in Table 1), the differences had

little effect on the power to detect QTLs (Table S2 and S3). Conversely, the method to

model random genetic effects had a significant impact on power (Figure 2). In particular,

rgeGT represented higher AUC and F-measure than the other random genetic effect

models (Figure 2). Condition which simulated QTLs with a persistent effect across trials

fitted the assumption in test Main (Table 2). As expected, Main showed the highest

AUC and F-measure under Persistence conditions (Figure 2). The tests for QTI yielded

lower AUC and F-measures than those for Main and Full.T except for condition

crossover (Figure 2). The decrease in AUC and F-measures in QTI was the most evident

when the QTL had a persistent effect across trials (i.e., Persistence in Figure 2), whereas

the decrease in divergent and convergent QTLs was small in AUC and moderate in

F-measures (Figure 2). These results suggested that the comparison of results between

Main and QTI enables the detection of unexpected QTI effects in a single environment.

QEI effects between multiple environments

With regard to the QEI effects between two or three environments, we generated two

reaction norm scenarios—convergence and crossover—(Table 1). Again, power

between the tests was compared using AUC and F-measure with genome-wide

significant threshold FDR of 0.2 and $-\log_{10}(p) \geq 2$; we did not calculate F-measure for

tests using fAMMI because of the deflation in λ_{GC} (Figure 1 and Table S1). When the

QEI effects were convergent, the tests for Main yielded equivalent AUC as those with

tests including QEI or QTI terms ("Full," "QEI," and "QTI" in Figure 3A). The

advantage of the tests including QEI or QTI terms became clearer when the power was

compared using F-measure (Figure 3B and C). In most cases, power was higher in QEI

(i.e., Full.E and QEI in Figure 3B and C) than in QTI (i.e., Full.T and QTI in Figure 3B

and C). When the QEI effects were crossover, the tests for Main almost lost the power

(i.e., AUC ≈ 0.6 in Figure 3A and F-measure ≈ 0 in Figure 3B and C). Conversely, tests

including QEI or QTI terms ("Full," "QEI," and "QTI" in Figure 3) represented the

power in both AUC (>0.7 in Figure 3A) and F-measure (Figure 3B and C). Tests

including QEI terms (i.e., Full.E and QEI in Figure 3, Table S2 and Table S3) showed

slightly higher power than those including QTI terms (i.e., Full.T and QTI in Figure 3,

Table S2 and Table S3). Notably, Full.E and QEI had higher power when the

background random genetic effect was rgeGE or rgeGTE, whereas Full.T and QTI had

higher power with rgeGT or rgeGTE (Figure 3). These results indicated that, for higher

power, LMMs including QEI in the fixed effects require rgeGE, whereas those with QTI

require rgeGT. In addition, the inclusion of both GEI and GTI in the random genetic

effects (i.e., rgeGTE) had no drawback in terms of the detection power. Similar to the

results from a single environment (Figure 2), power in rgeG and rgeGTd was smaller

than that in the other random genetic effect models (Figure 3).

Power to detect QTLs with dominance effects

The above simulation experiments assumed the detection of additive-effect QTLs. In

this study, we also performed simulation experiments for detecting QTLs with

dominance effects. The dominance effect was designed on the basis of real

dominance-effect QTLs in tomato (Krieger *et al.* 2010). The LMMs including

dominance effect terms showed slightly deflated λ_{GC} values (Figure 4A). We compared

the power to detect the dominance effect of QTLs by using AUC (Figure 4B and Table

S4). Under our simulation conditions, LMMs including dominance effect terms had

advantage over those with additive effect terms for detecting dominance-effect QTLs

(Figure 4B and Table S4). On the other hand, LMMs including dominance effect terms

showed inferior power for the detection of additive-effect QTLs (Figure 4B and Table

S4).

468   GWASs of tomato agronomic traits

The results from λ_{GC} indicated that fGGE is better than fAMMI for fixed effect design

(Figure 1). Regarding the background random genetic effects, rgeGTE showed stable

λ_{GC} and higher QTL detection power without any drawbacks (Figures 1–3). Therefore,

we used the LMM consisting of fGGE and rgeGTE for real agronomic trait data in

tomato. We focused on QTLs with FDR > 0.05, which are often used as genome-wide

significant thresholds in GWASs (e.g., Endelman 2011). There was no significant

difference between LMMs with or without dominance effect terms (Figure S1). We

elaborate this result in the discussion section. Because the data for winter cropping

season was obtained from four trials, we assessed the potential unexpected GTI effects

under environmental condition (Figure 5A). This situation resembles the simulation

experiment that assumes a single environment with multiple trials (N.env. = 1 in Table 1

and Figure 2). For average fruit weight, test for Main detected a significant signal on

chromosome 9, whereas the test for Full.T detected significant signals on chromosomes

4 and 9 (Figure 5B). In the QTI test, chromosome 4 retained high $-\log_{10}(p)$ (>4),

whereas the peak for chromosome 9 disappeared. The estimated SNP effects and Wald

scores suggested that the QTL on chromosome 4 was effective only in 2011 (Table 3).

Conversely, the QTL on chromosome 9 showed a persistent effect across trials (Table 3).

Thus, the test for QTI effects enabled the detection of trial-specific QTLs. Next, we

determined QEI effects between winter and summer cropping seasons. The significant

signal for average fruit weight was again detected on chromosome 9 in the tests for

Main and Full.E (Figure 5C). This signal disappeared in the test for QEI (Figure 5C).

Both the estimated effect size and Wald scores for this signal indicated that the QTL on

chromosome 9 had an effect in both winter and summer cropping seasons (Table 4).

Fruit set ratio is recognized as a trait that is highly sensitive to environmental

differences (Adams *et al.* 2001). For the fruit set ratio, a significant signal was noted on

chromosomes 1 in the test for QEI, whereas no significant signal was detected in the

tests for Main and Full.E (Figure 5D). The estimated SNP effect size and Wald scores

indicated that the QTL is effective only in the summer cropping season (Table 4). In fact,

these QTLs were not detected in the tests performed using the data from the winter

cropping season (Table 3 and Figure S2).

DISCUSSION

In this study, we explored efficient LMMs to detect QTLs with QEI effects. For this, we

used various combinations of foreground (i.e., fAMMI and fGGE) and background

genetic effect modeling methods (i.e., rgeG, rgeGE, rgeGT, rgeGTE, and rgeGTd). The

efficiency of background genetic effect modeling has been extensively compared in GS

studies (e.g., e Sousa *et al.* 2017). However, to the best of our knowledge, GWASs

performed using LMMs have not been compared, although the modeling methods are

directly applicable to LMMs in GWASs. Although LMMs have been used for the

detection of QEI effects in some previous studies (e.g., Boer *et al.* 2007; Mathews *et al.*

2008; Sabadin *et al.* 2012), these studies did not focus on using modeling methods for

conducting genome-wide analyses. Therefore, the advantages and disadvantages of

these methods are not yet known.

Because the inclusion of QEI terms from numerous environments results in

curse of dimensionality problem, we simulated up to three environments (Table 1). If

the similarity between the environments can be expressed by a numeric relationship

matrix, the use of a random effect term from the matrix to design the reaction norm of a

focal QTL might be a powerful approach to address the curse of dimensionality (Moore

et al. 2019). Although the method in Moore *et al.* (2019) was mainly designed for

human and animal genetics (data where numerous phenotypes, genotypes, and

environmental data are available), it could be applicable for plant genetic studies

because the number of data depositions consisting of multiple phenotypes,

environments, and condition data have been increasing (Pommier *et al.* 2019).

Nevertheless, the methods and approaches used in this study (i.e., GWASs for QEI

effects in a limited number of environments) are still valuable when the number of

environments of interest is limited and when the conditions that affect QTL function

cannot be represented as continuous numeric values.

Inflation or deflation of λ_{GC} causes various problems in GWASs (Devlin and

Roeder 1999). For example, although FDR is a popular method to determine

genome-wide significant thresholds in GWASs (Benjamini and Hochberg 1995; Storey

and Tibshirani 2003), its calculation requires theoretically expected distribution in

p-values (i.e., $\lambda_{GC} = 1$). Genomic control (GC) corrects inflated or deflated *p*-values by

using the λ_{GC} value (Devlin and Roeder 1999). However, GC does not always work

well with GWAS results. Therefore, $\lambda_{GC} = 1$ is desirable even if no difference in the

p-value rank exists. In this study, we compared the QTL detection power between

fAMMI and fGGE (Figures 1–3). fAMMI showed deflated λ_{GC} although the AUCs

were equivalent to those of fGGE (Figures 1–3). This is mainly attributed to the degree

of freedom in the LRT (Table 2). Because of the additive main effect term ($x\alpha$ in eq. 6),

the LRT that uses fAMMI has one more degree of freedom than that of fGGE (eq. 7),

although the power of explanation for phenotypic variance is basically the same

between fAMMI (eq. 6) and fGGE (eq. 7). Thus, fGGE is preferable for genome-wide

analysis of QEI effects. One of the desirable features of fAMMI is the separation of

genetic effects into main and QEI effects (Gauch *et al.* 1998). Therefore, fixed effect

terms analogous to fAMMI have been used in previous studies (Boer *et al.* 2007;

Mathews *et al.* 2008), although they were used for the analysis of some focal QTLs

rather than for genome-wide analysis. To perform exhaustive QEI effect analysis with

minimal oversight, our findings suggest that (1) a genome-wide analysis with LMMs

should be performed using fGGE and (2) QEI effect should be assessed using fAMMI.

For the construction of LMMs, we compared various modeling methods for the

background random effects (i.e., rgeG, rgeGE, rgeGT, rgeGTE, and rgeGTd in Figures

1–3). When the fixed effect terms included QTI effects (i.e., Full.T and QTI in Figures

1–3), the random effects rgeG and rgeGE resulted in inflated λ_{GC} values (Figure 1 and

Table S1) and inferior power to detect QTLs (Figures 2 and 3). These λ_{GC} inflations in

the QTI fixed effects disappeared when the random effect terms included GTI effects

(rgeGT and rgeGTE in Figures 1–3). Interestingly, the inclusion of more random effect

terms (i.e., rgeGTE) did not affect the λ_{GC} values and QTL detection power (Figures

1–3). Therefore, when the phenotype data are obtained from multiple environments and

multiple trials, we recommend the use of rgeGTE for random genetic effects in the

LMMs. rgeGTd was less effective in controlling λ_{GC} values and power to detect QTLs

(Figures 1–3), although its efficacy has been confirmed in previous studies (e Sousa *et*

al. 2017; Dahl *et al.* 2020). One of the possible reasons could be the differences in

methods for variance component estimation during the LMM fitting. In this study, we

performed direct estimation by using AIREML without any fixed genetic effect terms

(Gilmour *et al.* 1995; Perdry and Dandine-Roulland 2018). Conversely, for example, the

variance component estimation and fitting method in e Sousa *et al.* (2017) were based

on a Bayesian approach that updates the parameters by using a Gibbs sampler (Pérez

and de Los Campos 2014). In general, use of Bayesian approach can yield more reliable

convergence in the parameters. Because model fitting in e Sousa *et al.* (2017) was used

to construct prediction models for GS, one model fitting process per trait was sufficient.

Conversely, because this was a GWAS, we needed to perform model fitting for every

marker in the data, which might become computationally unfeasible as the number of

markers increases. Therefore, we did not use the Bayesian approach for model fitting in

this study, although it has the potential to increase the power of QTL detection.

In this study, the effect size of simulated QTLs with dominance effects was

determined on the basis of real dominance-effect QTLs reported in a previous study

(Krieger *et al.* 2010). When we assessed the power of the tests including the dominance

effect terms (Table 2), the λ_{GC} values were slightly deflated in all the tests (Figure 4A).

This is likely because of the many fixed terms, which resulted in greater degree of

freedom in the subsequent chi-square test. The inclusion of dominance effect terms in

the LMMs showed advantage in detecting dominance-effect QTLs simulated in this

study (Figure 4B). This is reasonable because models including dominance effect terms

generally represent a better fit to phenotypic values with a dominance effect (Letter *et al.*

2007). Nevertheless, LMMs including dominance effect terms did not detect

dominance-effect QTLs for real agronomic trait data in the present study (Figure S1).

Some previous studies suggested that the contribution of dominance effects in

quantitative traits is less evident, and the use of an additive effect model is sufficient for

genome-wide analysis (e.g., Varona *et al.* 2018). In addition, problems in the inclusion

of dominance effect terms has been discussed by Balding (2006). That is, the existence

of few homozygotes results in the neglect of homozygote genotypes and linear

regression fitting between the other homozygote and heterozygote genotypes (Balding

2006). Moreover, the inclusion of QEI terms with dominance effects leads to inflation

of p-values owing to the cost of degree of freedom, which results in decrease of power

for detection of additive QTLs. Although this problem can be addressed by increasing

the number of samples (genotypes), phenotyping numerous samples is often difficult,

especially in plant genetic studies (Atwell *et al.* 2010; Yano *et al.* 2016). Thus, further

studies are required to determine the efficacy of the inclusion of dominance effect terms,

especially in plant genetic studies.

The findings from the simulation experiments can be summarized as follows. First,

LMMs using fGGE represent p-values closer to theoretically expected distributions than

those using fAMMI. Second, rgeGTE is preferable to model the background random

genetic effects if the phenotypic data of an environmental condition were obtained from

multiple trials. Therefore, we recommend the following steps for GWASs to detect QEI

effects:

1.   Tests for QTI effects for each environment to detect (or remove) QTLs affected by

unexpected QTI effects.

2.   Tests for QEI effects to detect QTLs with QEI effects.

Application of the above steps for real phenotypic data in tomato revealed loci with QEI

effects, although further experiments are neccesary to confirm the QEI effects of the

detected loci (Figure 5, Tables 3 and 4). Thus, the application of the strategy developed

in this study to phenotypic data from multiple environments and trials has the potential

to contribute to the identification of more QTLs with QEI effects.

616   **ACKNOWLEDGMENTS**

617   This work was supported by the Japan Science and Technology Agency (JST) PRESTO

618   (Grant number, JPMJPR16Q9) and JSPS KAKENHI (Grant number, 19H02951) to E.Y.

620   **COMPETING INTERESTS**

621   The authors declare no competing interests.

LITERATURE CITED

Adams, S. R., K. E. Cockshull, and C. R. J. Cave, 2001 Effect of temperature on the

growth and development of tomato fruits. Ann. Bot. 88: 869‑877.

Atwell, S., Y. S. Huang, B. J. Vilhjálmsson, G. Willems, M. Horton *et al.*, 2010

Genome-wide association study of 107 phenotypes in *Arabidopsis thaliana* inbred lines.

Nature 465: 627‑631.

Balding, D. J., 2006 A tutorial on statistical methods for population association studies.

Nat. Rev. Genet. 7: 781‑791.

Benjamini, Y., and Y. Hochberg, 1995 Controlling the false discovery rate: a practical

and powerful approach to multiple testing. J. Roy. Statist. Soc. Ser. B57: 289−300.

Bian, Y., and J. B. Holland, 2017 Enhancing genomic prediction with genome-wide

association studies in multiparental maize populations. Heredity 118: 585−593.

Boer, M. P., D. Wright, L. Feng, D. W. Podlich, L. Luo *et al.*, 2007 A mixed-model

quantitative trait loci (QTL) analysis for multiple-environment trial data using

environmental covariables for QTL-by-environment interactions, with an example in

maize. Genetics 177: 1801−1813.

Cooper, M., and I. H. DeLacy, 1994 Relationships among analytical methods used to

study genotypic variation and genotype-by-environment interaction in plant breeding

multi-environment experiments. Theor. Appl. Genet. 88: 561−572.

Crossa, J., P. Pérez-Rodríguez, J. Cuevas, O. Montesinos-López, D. Jarquín *et al.*, 2017 Genomic selection in plant breeding: methods, models, and perspectives. Trends Plant Sci. 22: 961−975.

Cuevas, J., J. Crossa, O. A. Montesinos-López, J. Burgueño, P. Pérez-Rodríguez, and G. de los Campos, 2017 Bayesian genomic prediction with genotype × environment interaction kernel models. G3 (Bethesda) 7: 41−53.

Dahl, A., K. Nguyen, N. Cai, M. J. Gandal, J. Flint, and N. Zaitlen, 2020 A robust method uncovers significant context-specific heritability in diverse complex traits. Am. J. Hum. Genet. 106: 71−91.

Devlin, B., and K. Roeder, 1999 Genomic control for association studies. Biometrics 55: 997−1004.

e Sousa, M. B., J. Cuevas, E. G. de Oliveira Couto, P. Pérez-Rodríguez, D. Jarquín *et al.*, 2017 Genomic-enabled prediction in maize using kernel models with genotype × environment interaction. G3 (Bethesda) 7: 1995−2014.

Endelman, J. B., 2011 Ridge regression and other kernels for genomic selection with R package rrBLUP. Plant Genome 4: 250−255.

Gauch Jr, H. G., 1988 Model selection and validation for yield trials with interaction.

Biometrics 44: 705−715.

Gilmour, A. R., R. Thompson, and B. R. Cullis, 1995 Average information REML: an

efficient algorithm for variance parameter estimation in linear mixed models.

Biometrics 51, 1440‑1450.

Heslot, N., D. Akdemir, M. E. Sorrells, and J. L. Jannink, 2014 Integrating

environmental covariates and crop modeling into the genomic selection framework to

predict genotype by environment interactions. Theor. Appl. Genet. 127: 463−480.

Jarquín, D., J. Crossa, X. Lacaze, P. Du Cheyron, J. Daucourt *et al.*, 2014 A reaction

norm model for genomic selection using high-dimensional genomic and environmental

data. Theor. Appl. Genet. 127: 595−607.

Krieger, U., Z. B. Lippman, and D. Zamir, 2010 The flowering gene SINGLE FLOWER

TRUSS drives heterosis for yield in tomato. Nat. Genet. 42: 459−463.

Kornhuber, K., S. Osprey, D. Coumou, S. Petri, V. Petoukhov *et al.*, 2019 Extreme

weather events in early summer 2018 connected by a recurrent hemispheric wave-7

pattern. Environ. Res. Lett. 14: 054002.

Lettre, G., C. Lange, and J. N. Hirschhorn, 2007 Genetic model testing and statistical

power in population-based association studies of quantitative traits. Genet. Epidemiol.

31: 358‑362.

Lipka, A. E., C. B. Kandianis, M. E. Hudson, J. Yu, J. Drnevich *et al.*, 2015 From

association to prediction: statistical methods for the dissection and selection of complex

traits in plants. Curr. Opin. Plant Biol. 24: 110‑118.

Lopez-Cruz, M., J. Crossa, D. Bonnett, S. Dreisigacker, J. Poland *et al.*, 2015 Increased

prediction accuracy in wheat breeding trials using a marker × environment interaction

genomic selection model. G3 (Bethesda) 5: 569−582.

Malosetti, M., J. M. Ribaut, and F. A. van Eeuwijk, 2013 The statistical analysis of

multi-environment data: modeling genotype-by-environment interaction and its genetic

basis. Front. Physiol. 4: 44.

Mathews, K. L., M. Malosetti, S. Chapman, L. McIntyre, M. Reynolds *et al.*, 2008

Multi-environment QTL mixed models for drought stress adaptation in wheat. Theor.

Appl. Genet. 117: 1077−1091.

Meuwissen, T. H., B. J. Hayes, and M. E. Goddard, 2001 Prediction of total genetic

value using genome-wide dense marker maps. Genetics 157: 1819‑1829.

Millet, E. J., W. Kruijer, A. Coupel-Ledru, S. A. Prado, L. Cabrera-Bosquet *et al.*, 2019

Genomic prediction of maize yield across European environmental conditions. Nat.

Genet. 51: 952−956.

Moore, R., F. P. Casale, M. J. Bonder, D. Horta, L. Franke *et al.*, 2019 A linear

mixed-model approach to study multivariate gene‑environment interactions. Nat. Genet.

51: 180−186.

Perdry, H., and C. Dandine-Roulland, 2018 gaston: Genetic Data Handling (QC, GRM,

LD, PCA) & Linear Mixed Models. R package version 1.5.6. Available at:

https://CRAN.R-project.org/package=gaston.

Pérez, P., and G. de Los Campos, 2014 Genome-wide regression and prediction with the

BGLR statistical package. Genetics 198: 483−495.

Pommier, C., C. Michotey, G. Cornut, P. Roumet, E. Duchêne *et al.*, 2019 Applying

FAIR principles to plant phenotypic data management in GnpIS. Plant Phenomics 2019:

1671403.

R Core Team, 2019 R: A language and environment for statistical computing. R

Foundation   for   Statistical   Computing,   Vienna,   Austria.   Available   at:

https://www.R-project.org/.

Robin, X., N. Turck, A. Hainard, N. Tiberti, F. Lisacek *et al.*, 2011 pROC: an

open-source package for R and S+ to analyze and compare ROC curves. BMC

Bioinformatics 12: 77.

Sabadin, P. K., M. Malosetti, M. P. Boer, F. D. Tardin, F. G. Santos *et al.*, 2012 Studying

the genetic basis of drought tolerance in sorghum by managed stress trials and

713 adjustments for phenological and plant height differences. Theor. Appl. Genet. 124:

714 1389−1402.

715 Storey, J. D., and R. Tibshirani, 2003 Statistical significance for genomewide studies.

716 Proc. Natl. Acad. Sci. U. S. A. 100: 9440−9445.

717 Storey, J. D., A. J. Bass, A. Dabney, and D. Robinson, 2020 *qvalue:* Q-value estimation

718 for false discovery rate control. R package version 2.20.0. Available at:

719 http://github.com/jdstorey/qvalue.

720 Varona, L., A. Legarra, M. A. Toro, and Z. G. Vitezica, 2018 Non-additive effects in

721 genomic selection. Front. Genet. 9: 78.

722 Yamamoto, E., H. Matsunaga, A. Onogi, H. Kajiya-Kanegae, M. Minamikawa *et al.*,

723 2016 A simulation-based breeding design that uses whole-genome prediction in tomato.

724 Sci. Rep. 6: 19454.

725 Yamamoto, E., H. Matsunaga, A. Onogi, A. Ohyama, K. Miyatake, *et al.*, 2017

726 Efficiency of genomic selection for breeding population design and phenotype

727 prediction in tomato. Heredity 118: 202−209.

728 Yan, W., L. A. Hunt, Q. Sheng, and Z. Szlavnics, 2000 Cultivar evaluation and

729 mega-environment investigation based on the GGE biplot. Crop Sci. 40: 597−605.

730 Yano, K., E. Yamamoto, K. Aya, H. Takeuchi, P. C. Lo *et al.*, 2016 Genome-wide

association study using whole-genome sequencing rapidly identifies new genes

influencing agronomic traits in rice. Nat. Genet. 48: 927−934.

Yu, J., G. Pressoir, W. H. Briggs, I. V. Bi, M. Yamasaki *et al.*, 2006 A unified

mixed-model method for association mapping that accounts for multiple levels of

relatedness. Nat. Genet. 38: 203−208.

Yuan, Y., J. E. Cairns, R. Babu, M. Gowda, D. Makumbi *et al.*, 2019 Genome-wide

association mapping and genomic prediction analyses reveal the genetic architecture of

grain yield and flowering time under drought and heat stress conditions in maize.

Frontiers Plant Sci. 9: 1919.

FIGURE LEGENDS

Figure 1

Box and whisker plots for genomic inflation factors in the simulated phenotypes. The

title in each facet indicates the simulation conditions described in Table 1. The labels on

the *x*-axis indicate the name of the test in Table 2. The values were obtained from 100

simulations. The red horizontal lines indicate the theoretically expected value (i.e., λ_{GC}

= 1).

Figure 2

Power to detect quantitative trait loci (QTLs) in the simulated phenotypes that assume

single environment with multiple trials (N.env = 1 in Table 1). The title in each facet

indicates the simulation condition on the QTL effects. The labels in *x*-axis indicate the

name of the test in Table 2. The values were obtained from 100 simulations. (A) Box

and whisker plots for area under the receiver operating characteristic curve (AUC). (B)

Box and whisker plots for F-measures calculated using false discovery rate (FDR) of

0.2 as the genome-wide significant threshold. (C) Box and whisker plots for F-measures

calculated using $-\log_{10}(p) \geq 2$ as the genome-wide significant threshold.

Figure 3

Power to detect quantitative trait loci (QTLs) in the simulated phenotypes that assume

multiple environments with multiple trials. The title in each facet indicates the

simulation condition described in Table 1. The labels in x-axis indicate the name of the

test in Table 2. The values were obtained from 100 simulations. (A) Box and whisker

plots for area under the receiver operating characteristic curve (AUC). (B) Box and

whisker plots for F-measures calculated using false discovery rate (FDR) of 0.2 as the

genome-wide significant threshold. (C) Box and whisker plots for F-measures

calculated using $-\log_{10}(p) \geq 2$ as the genome-wide significant threshold.

Figure 4

Comparison of the linear mixed models (LMMs) with or without dominance effect

terms. Additive and Dominance in the title of each facet indicate additive-effect and

dominance-effect QTLs, respectively. The labels in x-axis indicate the name of the test

in Table 2. The values were obtained from 100 simulations. (A) Box and whisker plots

for genomic inflation factor. The red horizontal lines indicate the theoretically expected

value (i.e., $\lambda_{GC} = 1$). (B) Box and whisker plots for area under the receiver operating

characteristic curve (AUC).

Figure 5

Genome-wide association studies (GWASs) for tomato agronomic traits. (A) Schematic

representation for the periods of phenotyping. (B) Manhattan plots for average fruit

weight in the winter cropping season. The title in each plot indicates the name of the test

in Table 2. The red horizontal lines indicate the genome-wide significant threshold

(false discovery rate (FDR) < 0.05). (C) Manhattan plots for the average fruit weight in

the winter and summer cropping seasons. The title in each plot indicates the name of the

test in Table 2. The red horizontal lines indicate the genome-wide significant threshold

(FDR < 0.05). (D) Manhattan plots for the fruit set ratio in the winter and summer

cropping seasons. The red horizontal lines indicate the genome-wide significant

threshold (FDR < 0.05).

Figure S1

Genome-wide association studies (GWASs) using LMMs including dominance effect

terms for tomato agronomic traits. (A) Manhattan plots for the average fruit weight in

the winter and summer cropping seasons. The title in each plot indicates the name of the

test in Table 2. The red horizontal lines indicate the genome-wide significant threshold

(FDR < 0.05). (B) Manhattan plots for the fruit set ratio in the winter and summer

cropping seasons.

Figure S2

Manhattan plots for the fruit set ratio in the winter cropping season. The title in each

plot indicates the name of the test in Table 2.

Table 1. Parameters used for simulated phenotypes.

N.env	N.trials	Background[c] $w_g{:}w_{ge}{:}w_{gt}$	RN[a]	Trial	1	2	3	4	5	6
1	3	3 : 0 : 1	Persistence	Env.	A	A	A	-	-	-
				β	1	1	1	-	-	-
1	3	1 : 0 : 3	Persistence	Env.	A	A	A	-	-	-
				β	1	1	1	-	-	-
1	3	1 : 0 : 1	Persistence	Env.	A	A	A	-	-	-
				β	1	1	1	-	-	-
1	3	3 : 0 : 1	Divergence	Env.	A	A	A	-	-	-
				β	0.2	0.5	1	-	-	-
1	3	1 : 0 : 3	Divergence	Env.	A	A	A	-	-	-
				β	0.2	0.5	1	-	-	-
1	3	1 : 0 : 1	Divergence	Env.	A	A	A	-	-	-
				β	0.2	0.5	1	-	-	-
1	3	3 : 0 : 1	Convergence	Env.	A	A	A	-	-	-
				β	0	1	1	-	-	-
1	3	1 : 0 : 3	Convergence	Env.	A	A	A	-	-	-
				β	0	1	1	-	-	-
1	3	1 : 0 : 1	Convergence	Env.	A	A	A	-	-	-
				β	0	1	1	-	-	-
1	3	3 : 0 : 1	Crossover	Env.	A	A	A	-	-	-
				β	-0.2	0.5	1	-	-	-
1	3	1 : 0 : 3	Crossover	Env.	A	A	A	-	-	-
				β	-0.2	0.5	1	-	-	-
1	3	1 : 0 : 1	Crossover	Env.	A	A	A	-	-	-
				β	-0.2	0.5	1	-	-	-
2	4	1 : 1 : 1	Convergence	Env.	A	A	B	B	-	-
				β	0	0	0.5	1	-	-
2	4	1 : 1 : 1	Crossover	Env.	A	A	B	B	-	-
				β	-0.5	-1	0.5	1	-	-
3	6	1 : 1 : 1	Convergence	Env.	A	A	B	B	C	C
				β	0.5	1	0.5	1	0	0
3	6	1 : 1 : 1	Crossover	Env.	A	A	B	B	C	C
				β	-0.5	-1	0.5	1	0	0

[a] RN, Reaction norm of the major genes.

[b]β in the column indicates the effect size of the major QTLs in the trial. Env. indicates the identifier of environment in the trial.

[c] Values in the column indicate the relative weight of random genetic effects (see Methods).

Table 2. Tests and arguments used for the chi-square test in this study.

Tests	Formula for the deviance	Degree of freedom	Details
Main	$-2 \times (LL_{Eq.2} - LL_{Eq.18})$	1	Additive effect only
Main$_d$	$-2 \times (LL_{Eq.5} - LL_{Eq.18})$	2	Additive and dominance effects
Full.E (fAMMI)	$-2 \times (LL_{Eq.6} - LL_{Eq.18})$	N.env + 1	
Full$_d$.E (fAMMI$_d$)	$-2 \times (LL_{Eq.7} - LL_{Eq.18})$	$(2 \times$ N.env$) + 2$	β models SNP effect
Full.E (fGGE)	$-2 \times (LL_{Eq.8} - LL_{Eq.18})$	N.env	for each environment
Full$_d$.E (fGGE$_d$)	$-2 \times (LL_{Eq.9} - LL_{Eq.18})$	$2 \times$ N.env	
Full.T (fAMMI)	$-2 \times (LL_{Eq.6} - LL_{Eq.18})$	N.trials + 1	
Full$_d$.T (fAMMI$_d$)	$-2 \times (LL_{Eq.7} - LL_{Eq.18})$	$(2 \times$ N.trials$) + 2$	β models SNP effect
Full.T (fGGE)	$-2 \times (LL_{Eq.8} - LL_{Eq.18})$	N.trials	for each trial
Full$_d$.T (fGGE$_d$)	$-2 \times (LL_{Eq.9} - LL_{Eq.18})$	$2 \times$ N.trials	
QEI (fAMMI)	$-2 \times (LL_{Eq.6} - LL_{Eq.2})$	N.env	
QEI$_d$ (fAMMI$_d$)	$-2 \times (LL_{Eq.7} - LL_{Eq.5})$	$2 \times$ N.env	
QTI (fAMMI)	$-2 \times (LL_{Eq.6} - LL_{Eq.2})$	N.trials	
QTI$_d$ (fAMMI$_d$)	$-2 \times (LL_{Eq.7} - LL_{Eq.5})$	$2 \times$ N.trials	Tests for significance
QEI (fGGE)	$-2 \times (LL_{Eq.8} - LL_{Eq.2})$	N.env $- 1$	of QEI or QTI effects
QEI$_d$ (fGGE$_d$)	$-2 \times (LL_{Eq.9} - LL_{Eq.5})$	$(2 \times$ N.env$) - 2$	
QTI (fGGE)	$-2 \times (LL_{Eq.8} - LL_{Eq.2})$	N.trials $- 1$	
QTI$_d$ (fGGE$_d$)	$-2 \times (LL_{Eq.9} - LL_{Eq.5})$	$(2 \times$ N.trials$) - 2$	

N.env and N.trials indicate the number of environments and trials in the test, respectively.

$LL_{Eq.n}$ indicates the loglikelihood of Eq. n in the main text.

Table 3. Statistics obtained from the GWAS for phenotypic data from the winter cropping season.

Trait	SNP	Chr	pos (cM)	$-\log_{10}(p)$ Main	Full.T	QTI		Trial 2011	2012	2013	2014
Fruit weight	AX-107553846	9	52.7	5.6	4.85	0.87	β[a]	-0.59	-1.05	-1.23	-1.08
							Wald[b]	1.59	4.01	5.47	4.32
	AX-95783660	4	47.3	0.46	3.93	4.24	β	1.27	-0.12	0.39	-0.37
							Wald	3.05	0.12	0.52	0.49
Fruit set	AX-107525913	1	117.8	1.12	0.32	0.03	β	-0.42	-0.35	-0.34	-0.62
							Wald	0.54	0.41	0.43	0.98

[a] Estimated SNP effect size

[b] $-\log_{10}(p)$ value from chi-square test of Wald score

Table 4. Statistics obtained from the GWAS for phenotypic data from the winter and summer cropping seasons.

Trait	SNP	Chr	pos (cM)	$-\log_{10}(p)$				Environment	
				Main	Full.E	QEI		Sum	Win
Fruit weight	AX-107553846	9	52.7	5.10	5.34	1.50	β[a]	-0.58	-1.03
							Wald[b]	1.90	6.56
	AX-95783660	4	47.3	0.49	0.21	0.00	β	0.27	0.28
							Wald	0.37	0.46
Fruit set	AX-107525913	1	117.8	0.05	4.20	4.96	β	0.87	-0.40
							Wald	2.39	0.93

[a] Estimated SNP effect size

[b] $-\log_{10}(p)$ value from chi-square test of Wald score

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

A

B

Figure S1

Figure S2