# <sup>1</sup> Fluffy feathers: how neoptile feathers contribute to

# <sup>2</sup> camouflage in precocial chicks

- 3 Running title: Chick feathers improve outline diffusion
- 4 Authors: Veronika A. Rohr<sup>1,2</sup>, Tamara Volkmer<sup>3</sup>, Dirk Metzler<sup>2</sup>, Clemens Küpper<sup>1</sup>

<sup>1</sup> Research Group for Behavioural Genetics and Evolutionary Ecology, Max Planck Institute for

- 6 Ornithology, Seewiesen, Germany
- 7 <sup>2</sup> Division of Evolutionary Biology, Faculty of Biology, Ludwig-Maximilians-Universität München,
- 8 Planegg-Martinsried, Germany
- 9 <sup>3</sup> Department of Migration, Max Planck Institute of Animal Behavior, Radolfzell, Germany
- 10 Mail: vrohr@orn.mpg.de; ckuepper@orn.mpg.de

# 11 Keywords

- 12 Irregular marginal form, camouflage, skin filaments, feathers, edge intensity, contour perception
- 13 Abstract

14 Camouflage is a widespread strategy to increase survival. The plumage of precocial chicks often 15 contains elements of disruptive colouration and background matching to enhance concealment. Chick 16 plumage also features fringed feathers as appendages that may contribute to camouflage. Here, we 17 examine whether and how neoptile feathers conceal the outline of chicks. We first conducted a digital 18 experiment to test two potential mechanisms for outline diffusion through appendages: 1) edge 19 intensity reduction and 2) luminance transition. Local Edge Intensity Analysis (LEIA) showed that 20 appendages decreased edge intensity and a mean luminance comparison revealed that the 21 appendages created an intermediate transition zone to conceal the object's outline. The outline was 22 most diffused through an intermediate number of interspersed thin appendages. Increased appendage 23 thickness resulted in fewer appendages improving camouflage, whereas increased transparency 24 required more appendages for best concealment. For edge intensity, the outline diffusion was 25 strongest for a vision system with low spatial acuity, which is characteristic of many mammalian 26 predators. We then analysed photographs of young snowy plover (Charadrius nivosus) chicks to 27 examine whether neoptile feathers increase outline concealment in a natural setting. Consistent with 28 better camouflage, the outline of digitally cropped chicks with protruding feathers showed lower edge 29 intensities than the outline of chicks cropped without those feathers. However, the observed mean luminance changes were not consistent with better concealment. Taken together, our results suggest 30

that thin skin appendages such as neoptile feathers improve camouflage. As skin appendages are
widespread, this mechanism may apply to a large variety of organisms.

# 33 Introduction

Avoiding detection either for protection from predators or to go unnoticed by potential prey is essential for individual survival. The threat of predation has led to the evolution of various camouflage mechanisms, which make potential prey more difficult to detect or recognize. The most prominent mechanism is visual camouflage that includes highly adaptive colouration strategies among animals (Stevens and Merilaita 2009). One strategy to achieve visual camouflage is background matching (also termed "crypsis" by Endler (1981)). For background matching, animals try to match colour, luminance and pattern of their background.

41 While background matching is one of the most common and frequently studied strategies of visual 42 camouflage (Cott 1940, Endler 1981, Farkas et al. 2013, Allen et al. 2015, Stevens et al. 2017), another 43 important mechanism is concealing the outline of the body. Thayer (1909) proposed that detecting the 44 outline of their prey is one of the ways predators locate and identify their prey. In general, the 45 detection of edges is an essential task for object recognition (Marr 1976, Tovée 1996). In this regard, disruptive colouration makes animals less detectable. It involves a set of markings that creates false 46 47 edges within the animal hindering the detection or recognition of its true outline and shape or parts 48 of it (Thayer 1909, Cott 1940, Stevens and Merilaita 2009). Cott (1940) suggested that structural 49 modifications of the organism's outline themselves could contribute to camouflage by creating an 50 'irregular marginal form'. This makes the animal's true body outline effectively diffused and hence 51 makes it harder to detect (Cott 1940). Recently, support for the 'irregular form' hypothesis was found 52 in an experimental study showing that false holes markings reduce avian predations (Costello et al. 53 2020).

54 Birds with their typically advanced vision and high plumage diversity have been featured prominently 55 in camouflage research, either as predators or as prey (Cuthill et al. 2005, Skelhorn et al. 2010, Farkas 56 et al. 2013, Lovell et al. 2013, Stevens et al. 2017, Pike 2018, Costello et al. 2020). When studying 57 camouflage as an anti-predator defence in birds, much research has examined the clutches/eggs of 58 ground-nesting birds (Stoddard et al. 2011, Ekanayake et al. 2015, Stevens et al. 2017). These studies 59 revealed that ground-nesting birds may increase background matching through adaptive egg colouration that matches the nest site (Lovell et al. 2013, Stevens et al. 2017) and some species even 60 61 improve the background matching of their clutches, by soiling their eggs to conceal them better 62 (Mayani-Parás et al. 2015), using egg-matching nest materials (Gómez et al. 2018) or covering the 63 clutch with debris or soil when predators approach (Troscianko et al. 2016).

64 However, not only eggs are vulnerable to predation. Chicks are also often targeted by predators. 65 Precocial chicks leave their nest within a few hours of hatching. Initially, those chicks suffer from high 66 mortality as they are limited in their mobility and hence highly vulnerable to predation (Colwell et al. 67 2007, Brudney et al. 2013, Eberhart-Phillips et al. 2018). To improve their survival, chicks rely on camouflage provided by their feathers especially during the first days of their lives. The plumage 68 69 colouration of precocial chicks featured prominently in the description of camouflage mechanisms 70 such as disruptive colouration (Thayer 1909, Cott 1940, Hill and McGraw 2006). However, we know 71 surprisingly little about plumage characteristics that improve camouflage in chicks. Precocial chicks 72 hatch fully covered with neoptile down feathers (Foth 2011). With maturation, the neoptile feathers 73 are shed, and the natal plumage is replaced by the teleoptile feathers, which can be categorised into, 74 e.g. flight, contour and down feathers (Stettenheim 1976). One striking feature of neoptile feathers is 75 that they are protruding from the chick's body. The unequal length of the very thin feathers creates a 76 fringed feather region that may conceal the chick outline and hence make it harder to detect by 77 predators.

In this study, we investigated whether neoptile down feathers improve camouflage through outline diffusion. Cott (1940) discussed this strategy of an 'irregular marginal form' mainly with examples of masquerade, where the irregular shapes of animals resemble elements of their environment, e.g. parts of plants. In contrast, we hypothesized that the fringed feathery outline helps the chick to better blend with the background by reducing edge contrasts and/or creating a transition zone of intermediate luminance.

84 In a first experiment, we explored the mechanism of outline diffusion by appendages in principle 85 modelling a circular object with varying protruding appendages. We then used the Local Edge Intensity 86 Analysis (LEIA) (van den Berg et al. 2019) to investigate whether appendages decreased the edge 87 contrast of the object's outline. Additionally, we investigated how appendage characteristics such as 88 their density, thickness, transparency, and variation in background complexity and spatial acuity of the 89 predator's visual system affected edge intensity in the contour region. As a second mechanism, we 90 tested whether appendages altered the luminance of a narrow 'transition zone' between object and 91 background. We hypothesized that an intermediate mean luminance in the transition zone that 92 reduces the contrast would help to blend the object better with the background.

In a second experiment, we tested whether the neoptile feathers contribute to the camouflage of precocial chicks. We analysed images taken from precocial snowy plover (*Charadrius nivosus*) chicks in natural habitats. Very young plover chicks rely on their crypsis to evade predation as they stay motionless on the ground when a threat is approaching (Colwell et al. 2007). We digitally cropped all chicks once with and once without protruding feathers and transferred them on to images of their

hiding background taken after gently removing the chicks. For chicks cropped with their protruding
feathers, we predicted the edge intensity of the chick outline to be reduced and the mean luminance
difference of the transitions zone to be closer to intermediate optimum than for the images of those
chicks cropped without their feathers.

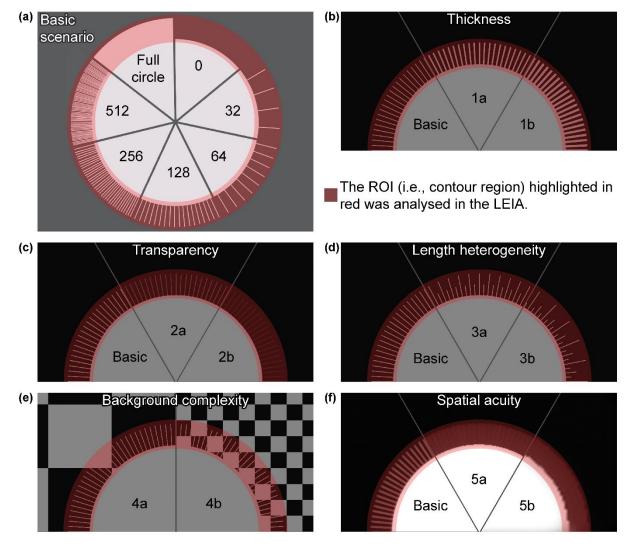
# 102 Material and methods

## **103** Experiment 1: Proof of principle

104 As a proof of principle, we designed the first experiment to test whether appendages may help to 105 conceal the outline. We created an image of a uniformly light grey coloured circular object with a size 106 of 2950 pixels (px)/250.0 mm circumference and 470 px/39.8 mm radius on a dark grey background. 107 The initial setup started with no appendages added to the outline (Figure 1a, '0'). We then added 108 object-coloured appendages (i.e. lines of 1 Pt/4 px/0.4 mm thickness and 118 px/10.0 mm length) with 109 regular intervals resembling protruding neoptile chick feathers orthogonally to the object outline 110 ('Basic Scenario', Figure 1a). The first image with appendages had 32 appendages added to the outline 111 (Figure 1a, '32'). We then doubled the number of appendages stepwise creating denser spaced 112 appendages to the outline until the extended outline was completely filled (Figure 1a, 'full circle'). For 113 the vision of a simulated predator, we used the spatial acuity from humans (Homo sapiens, 72 cycles 114 per degree, cpd) (Land 1981; Land and Nilsson 2012; Caves and Johnsen 2018) in the basic scenario. 115 The full details for the parameters are provided in Table S1 (a to g).

116 To further explore the mechanism, we altered appendage characteristics, background and the spatial 117 acuity of the predator. First, we increased appendage thickness to 2 Pt/8 pixels/ 0.7 mm (Scenario 1a) 118 and 3 Pt/12 pixels/1.1 mm (Scenario 1b) resulting in decreased inter-appendage intervals (Figure 1b 119 and Table S1, h to u). Second, we changed appendage transparency to 25 % (Scenario 2a) and 50 % 120 transparency (Scenario 2b) (Figure 1c and Table S1, v to ai). Third, we varied the appendage length 121 heterogeneity; half of the appendages having 50 % of the length (Scenario 3a), and half of the 122 appendages at 25 % and one quarter at 50 % of the original appendage length (Scenario 3b) (Figure 1d 123 and Table S1, aj to aw). Fourth, we investigated the effect of background complexity on the 124 detectability of the outline. As background, we used a chessboard pattern with large squares 125 (346 pixels/29.3 mm, Scenario 4a) and with small squares (86 pixels/7.3 mm, Scenario 4b) (Figure 1e 126 and Table S1, ax to bk). Fifth, we altered the spatial acuity to test whether or how the visual systems 127 of different predators would affect detectability. We simulated the spatial acuity of a corvid predator (30 cpd, Scenario 5a) and canid predator (10 cpd, Scenario 5b) (Figure 1f and Table S1, bl to by), the 128 129 two most common predators of ground-nesting plovers (Burrell and Colwell 2012, Ekanayake et al. 130 2015, Ellis et al. 2020). This range also covered other potential predators (Table S2).

- 131 We did not account for differences in colour vision between different predators as the setup mostly
- 132 consists of greyscale images that predominantly differ in luminance. Note that in many animals, visual
- acuity is greater for achromatic than chromatic stimuli (Giurfa et al. 1997, Endler et al. 2018).



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Figure 1: (a) Basic Scenario: Seven stages of the artificial chick setup with varying number of thin, non-transparent appendages
having all the same length. (b) Scenario 1: varying appendage thickness applied to the Basic Scenario. (c) Scenario 2: varying
appendage transparency applied to the Basic Scenario. (d) Scenario 3: varying appendage length heterogeneity applied to the
Basic Scenario. (e) Scenario 4: varying background complexity with artificial chessboard backgrounds. (f) Scenario 5: high,
medium and low visual acuity applied to the Basic Scenario. (a – f) The analysed region of interest (ROI) is highlighted in red
for clarification only.

We conducted visual modelling and visual analysis using the Quantitative Colour Pattern Analysis (QCPA) framework (van den Berg et al. 2019) integrated into the Multispectral Image Analysis and Calibration (MICA) toolbox (Troscianko and Stevens 2015) for ImageJ version 1.52a (Schneider et al. 2012). We converted the generated images into multispectral images containing the red, green and blue channel in a stack and transformed them further into 32-bits/channel cone-catch images based on the human visual system, which are required by the framework. To create the luminance channel, 147 we averaged the long and medium wave channel, which is thought to be representative of human 148 vision (Livingstone and Hubel 1988) (Figure S1a). We modelled the spatial acuity with Gaussian Acuity 149 Control at a viewing distance of 1300 mm and a minimum resolvable angle (MRA) of 0.01389 (Figure 150 S1b). To increase biological accuracy, we applied a Receptor Noise Limited (RNL) filter that reduces noise and reconstructs edges in the image. The RNL filter used the Weber fractions "Human 0.05" 151 provided by the framework (longwave 0.05, mediumwave 0.07071, shortwave 0.1657), luminance 0.1, 152 153 5 iterations, a radius of 5 pixels and a falloff of 3 pixels (Figure S1c) as specified in van den Berg et al. 154 (2019).

### 155 Local Edge Intensity Analysis

156 To test for the detectability of the outline, we used LEIA (van den Berg et al. 2019), which is 157 conceptually similar to the boundary strength analysis (Endler et al. 2018). Boundary strength analysis requires an image with clearly delineated (clustered) colour and luminance pattern elements. 158 159 However, a large degree of subthreshold details, which may be still perceived by the viewer gets lost 160 in the clustering process. LEIA has the advantage of not requiring such a clustered input and therefore 161 can be directly applied to RNL filtered images. LEIA measures the edge intensity (i.e. the luminance 162 contrast) locally at each position in the image. The output image displays  $\Delta S$  values in a 32-bit stack of 163 four slices, where each slice shows the values measured in different angles (horizontal, vertical and 164 the two diagonals, for more details, see van den Berg et al. (2019)).

165 We ran LEIA on the chosen region of interest (ROI) with the same Weber fractions used for the RNL 166 filter. The ROI was the contour region, a 180 pixel-wide band that included the area of the appendages 167 extended by 30 pixels towards the object inside and towards the outside (Figure 1a). We logtransformed the  $\Delta S$  values as recommended for natural scenes (Troscianko and van den Berg 2020) to 168 169 make the results comparable to the natural background images used in Experiment 2 (see below). To test whether the size of the ROI affected our results, we ran an additional analysis using a 1500 x 1500 170 171 pixel-wide rectangle surrounding the object as the ROI, which included a bigger area of the background 172 and the full object inside (Figure S2a).

We extracted the luminance  $\Delta S$  values from the four slices of the output image stack in ImageJ and stored them in separate matrices for further analysis using R version 3.5.3 (R Core Team 2019). ImageJ generally assigned values outside the chosen ROI to zero. Thus, we first discarded all values of zero. We then set all negative values that arose as artefacts in areas without any edges to zero, in order to make them biologically meaningful. We then identified the parallel maximum (R function *pmax ()*) of the four interrelated direction matrices and transferred this value to a new matrix. 179 High luminance and colour contrasts imply high conspicuousness (Endler et al. 2018). Consequently, a 180 lower luminance contrast leads to lower conspicuousness and therefore, better camouflage. As the 181 outline is an important cue for predators locating and identifying a prey item (Thayer 1909), we 182 assumed that especially low contrasts in the outline of an object improve camouflage. Thus, a 183 reduction of edge intensity in the object outline by the appendages indicates a camouflage improvement. To test whether the object outline became less detectable we compared the edge 184 185 intensity of the outline pixels in the basic scenario without appendages (Table S1, a) with 186 corresponding pixels from other scenarios. The outline pixels were characterised by high edge intensity 187 and constituted a prominent peak. They comprised 1.59 % of all pixels in the analysis focused on the 188 contour region (see Results, Figure 2a). For all scenarios, we calculated the mean edge intensity of the high edge intensity pixels (HEI pixels) and identified the changes with parameter variation. 189

#### 190 Mean Luminance Comparison

191 For the Mean Luminance Comparison (MLC), we analysed the same images as with the LEIA. We 192 divided the filtered image into three regions of interest (ROIs) (Figure 3a). 1) The object region included 193 the whole object inside up to 20 pixels next to the object outline. 2) The appendage region was an 194 80 pixel-wide band including only the area covered by appendages. It started 20 pixels outside the 195 object outline and reached up to 20 pixels before the boundary created by the appendages 196 (appendage-boundary). 3) The background region ranged from 20 pixels outside the appendageboundary to a 1500x1500 pixel-wide rectangle surrounding the object. A buffer zone of 40 pixels 197 198 between all three regions was excluded from the analysis to ensure a clear separation of the regions. 199 In the luminance channel of each image, we measured the mean luminance in the three regions and 200 compared them subsequently. Luminance values range from 0 to 1.

According to background matching, objects that differ more in luminance from the background are more conspicuous and hence less well camouflaged (Endler 1981). We assumed that detectability based on possible luminance differences between object and background are weakened by the appendages as they form a transition zone helping to blend the object better into the background. Accordingly, from a camouflage perspective, the appendage region would provide an optimal transition zone when its mean luminance is exactly the mean of the object and background region's luminance.

## **208** Experiment 2: Chick photographs

Using pictures of young snowy plover chicks hiding when approached by a predator, we tested if protruding neoptile feathers helped to conceal the chicks' outline and therefore improve their camouflage.

212 We studied snowy plovers in their natural environment at Bahía de Ceuta, Sinaloa, Mexico. The 213 breeding site consists of salt flats that are sparsely vegetated and surrounded by mangroves (Cruz-214 López et al. 2017). General field methodology is provided elsewhere (Eberhart-Phillips et al. 2020). In 215 2017, we took photographs of young (one to three days old) chicks hiding on the ground, that had 216 already left the nest scrape. To photograph the chicks, two observers approached free-roaming 217 families with two mobile hides (Székely et al. 2008) within the period one hour after sunrise and one 218 hour before sunset. At a distance of 100-200 m, one observer acted as 'predator', left the hide and 219 openly approached the brood while the second observer kept watching the chicks. The chicks responded by crouching to the ground and staying motionless while the parents were alarming. The 220 221 second observer directed the 'predator' to the approximate hiding place. When searching for the 222 chicks, we took great care to reduce the number of steps to avoid modification of the ground through 223 our tracks.

224 Once the first chick had been found, the second observer joined the predator and took chick 225 photographs. We used a Nikon D7000 camera converted to full spectrum including the UV range (Optic 226 Makario GmbH, Germany) and a Nikkor macro 105 mm lens that allows transmission of light at low 227 wavebands. The equipment was chosen because calibration data were available for this combination 228 (Troscianko and Stevens 2015). Each hiding background was photographed with and without the chick 229 using a UV pass filter for the UV spectrum and a UV/IR blocking filter ("IR – Neutralisationsfilter NG", 230 Optic Makario GmbH, Germany) for the visible spectrum. The camera was set to an aperture of f/8, 231 ISO 400 and the pictures were stored in "RAW" file format. We used exposure bracketing to produce three images to ensure that at least one picture was not over or underexposed. A 25 % reflectance 232 233 standard (Zenith Polymer TM) placed in the corner of each picture enabled a subsequent standardizing 234 of light conditions.

In total, we took pictures of 32 chicks from 15 families. For 21 chicks we obtained photographs suitable for further analyses with an unobstructed view to the entire chick and only one chick per photograph. Of these, we randomly selected pictures of 15 chicks. Unfortunately, it was not possible to obtain proper alignment of visual and UV pictures in ImageJ as either chick or camera moved slightly in the break between changing filters for the two settings. Therefore, we restricted our analyses to human colour vision and discarded the UV pictures for further analysis.

In each picture, we manually selected the chick outline and the feather-boundary as a basis for the ROIs (Figure 4a-c). The chick outline included bill, legs, rings and all areas densely covered by feathers without background shining through. We then marked the feather-boundary, i.e., the smoothened line created by the protruding neoptile feather tips. In the next step, we transferred images of chicks with or without protruding feathers, i.e. cropped at feather-boundary or chick outline, respectively, and

inserted them into a uniform or the natural background. First, we cropped the chick without protruding
feathers and transferred it into a uniform black background. Second, we cropped the chick including
all feathers and inserted it into exactly the same hiding spot on the picture of the natural background
(Figure 4b). Third, we cropped the chick excluding the protruding feathers and transferred it into the
natural background (Figure 4c).

251 Local Edge Intensity Analysis

252 We then proceeded with LEIA following the protocol of experiment 1 with the following changes. 253 Again, the selected ROI was the contour region ranging from the chick outline extended by 30 pixels 254 towards the chick inside to the feather-boundary extended by 30 pixels towards the outside. We 255 excluded all areas of the ROI that showed a shadow of the chick as the chicks' shadow was missing on 256 the empty natural background images to which the cropped chicks were transferred to (Figure 4a-c). 257 We used the images of the cropped chicks on the black background to determine the threshold of the 258 HEI pixels according to the protocol of experiment 1 for each chick separately. For each cropped chick 259 that was transferred to the picture with the natural background, we compared the mean edge intensity 260 of the HEI pixels provided by LEIA with and without protruding feathers (Figure 4b-c) using a two-sided 261 paired t-test.

262 Mean Luminance Comparison

263 We also calculated mean luminance differences for each chick using the same cropped photographs 264 as for the LEIA. Similar to the artificial object experiment, the chick region included everything inside 265 the chick outline, the background region included everything outside the feather-boundary up to a 266 1500x1500 pixel-wide rectangle surrounding the chick and the feather region (FR) was between chick 267 outline and feather-boundary. Note that the FR is different from the contour region, which additionally 268 includes a small part of chick and background region. We reduced the FR by excluding all areas that were shaded by the chick since this shadow was missing on the empty background images. 269 270 Additionally, we excluded the buffer zone (Figure 3a, the area between the coloured regions) to cover 271 the whole variation in feather density in the FR (Figure 5a-b). Close to the chick outline, the feathers 272 were still relatively dense thinning more and more towards the feather-boundary as they were very 273 variable in length.

For each chick, we measured the mean luminance of all three regions in the luminance channel of the image containing the chick without feathers (Figure 5a). The FR we measured again in the image containing the chick with feathers (Figure 5b).

In theory, the best transition zone between chick and background that reduces the outline of the chickagainst the background the most should have an exactly intermediate luminance between chick and

background region. In a first analysis, we checked whether the absolute distance of mean luminance of the FR with feathers was closer to those optimal values than without feathers. Because the luminance data were not normally distributed according to the Shapiro-Wilk normality test we conducted a Wilcoxon paired signed rank test. To compare the data graphically in an intuitive way, we transformed the values so that the chick region always was the reference with a value of 0, the background region became 1. The two values measured in the FR stayed in their initial relative distance to chick and background value.

- The FR generally was quite narrow compared to chick and background region and its effect probably acts predominantly from close proximity. Therefore, we focussed the next analysis only on chick and FR. We assumed that the chick to a certain extent differs in luminance from its immediate background in the FR and that including the feathers decreases this difference and thus possibly improves the camouflage. Therefore, we compared the absolute distances between the mean luminance of chick region and FR with and without feathers. As the data were normally distributed according to the Shapiro-Wilk normality test we conducted a two-sided paired t-test.
- For an easier comparison of the measurements, we transformed the luminance values in this analysis. The chick region again was the reference with a value of 0. As the background region was excluded, we scaled the FR without feathers to 1. The value measured in the FR with feathers stayed in its initial relative distance to the other two values.
- 297 The analysis aimed to check if the FR meets the basic requirement of a transition zone having 298 intermediate luminance. Thus, we checked whether the mean luminance value of the FR with feathers fell between the one of chick region (mean luminance = 0) and FR without feathers (mean 299 300 luminance = 1) constituting the immediate surrounding background to account for the local scale. We 301 calculated the probability for the FR with feathers of having a value between 0 and 1 when randomly 302 distributed. For this, we drew a random sample (n = 10,000) from a normal distribution with the mean 303 and standard deviation in the transformed data. Then, we ran an exact binomial test to determine 304 whether the observed intermediate luminance value was different from the expected value.
- 305 Results
- **306** Experiment 1: Artificial object
- 307 Local Edge Intensity Analysis

All images showed multimodal density distributions of pixels (Figure 2a). Pixels showing the highest edge intensities were found at the object outline. These HEI pixels showed prominent modal peaks in all multimodal density distributions (Figure 2a). For the object without appendages, 1.59% of pixels

made up the distinct modal area with a mean edge intensity of 2.7 (Figure 2a, '0'). Consequently, we used a threshold of 1.59% to define HEI pixels for all images. Adding appendages reduced the mean edge intensities of the HEI pixels with the lowest mean edge intensity reached in the image with 256 appendages (Figure 2a-b).

#### 315 *Appendage characteristics*

316 Increasing appendage thickness (Scenario 1) resulted in overall higher mean edge intensities 317 suggesting higher detectability than in the basic scenario. With thicker appendages, the lowest mean 318 edge intensity of the HEI pixels was reached already with 128 appendages. Images with more than 128 319 appendages had higher mean edge intensity values implying a deterioration of camouflage (Figure 2b). 320 Increasing appendage transparency (Scenario 2) yielded overall slightly higher mean edge intensities 321 than observed in the basic scenario. The lowest mean edge intensities were reached with more 322 appendages than in the basic scenario (Figure 2c) with the minimum mean edge intensity shown for 323 512 appendages at 25 % transparency and the full circle of appendages at 50 % transparency (Figure 324 2c). Increasing appendage length heterogeneity (Scenario 3) yielded the same low mean edge intensity 325 values as the basic scenario (Figure 2d). However, more appendages were required to reach minimal 326 mean edge intensity values than in the basic scenario. The minimum mean edge intensity was reached 327 with 512 appendages when half of the appendages had 50 % of the length or with the full circle when 328 half of the appendages had 25 % and a quarter had 50 % of the length (Figure 2d).

**329** *Background complexity and spatial acuity* 

330 Introducing background complexity (Scenario 4) resulted in similar mean edge intensities of the HEI 331 pixels for 256 appendages as in the basic scenario for large squares. The ROI on the background with 332 small squares showed slightly higher mean edge intensities for the HEI pixels than for the background 333 with large squares. More appendages did not lead to such a pronounced increase of mean edge 334 intensities as in the basic scenario (Figure 2e). Lowering the spatial acuity of the perceiver (Scenario 5) 335 decreased the mean edge intensity severely. At a spatial acuity of 10 cpd, the minimum mean edge 336 intensity of the HEI pixels in the image with 256 appendages was only half of the value obtained in the 337 basic scenario (Figure 2f).

338 ROI Size

Changing the ROI size and examining a larger part of background and object (Figure S2a) produced
qualitatively similar results (Figure S2b-d, f) except for variation in background complexity (Scenario
4). In that scenario, the number of appendages had no influence on the mean edge intensity of the HEI
pixels (Figure S2e) for the enlarged ROI.

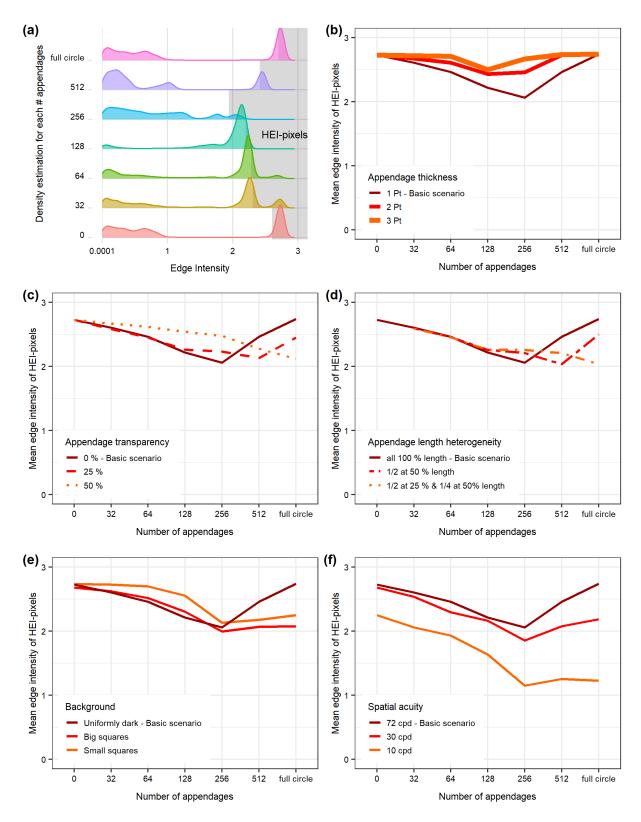




Figure 2: Local edge intensity analysis of the contour region in the artificial object experiment. (a) Ridgeline plots showing the
density distribution of the edge intensity according to number of appendages. The highest 1.59 % of the pixels are shaded in
grey (High edge intensity pixels, HEI pixels). (b) Scenario 1: variation in appendage thickness. (c) Scenario 2: variation in
appendage transparency. (d) Scenario 3: variation in appendage length. (e) Scenario 4: variation in background complexity.
(f) Scenario 5: variation in spatial acuity.

#### 349 Mean Luminance Comparison

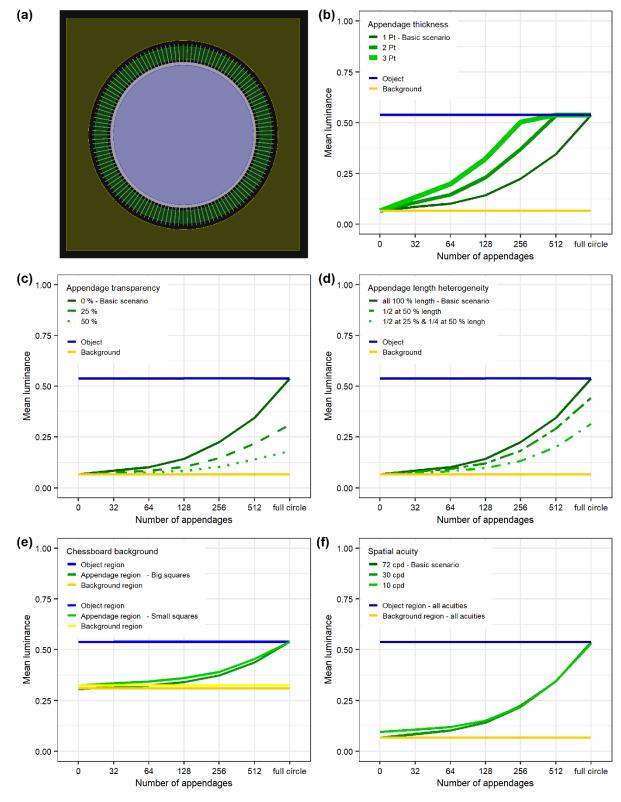
The mean luminance of the area covered by appendages (appendage region) was generally intermediate between the luminance of object and background across all scenarios indicating the formation of a luminance transition zone (Figure 3b-f). Without appendages, the appendage region's mean luminance was the same as the one of the background region. With an increasing number of appendages, the appendage region's mean luminance became more and more similar to the object region's luminance until they were identical when the appendages formed a full circle (Figure 3b, dark green curve).

#### **357** *Appendage characteristics*

358 Increasing the appendage thickness in Scenario 1 caused the appendage region's luminance to 359 converge sooner with the object region's luminance. The optimum was also reached sooner, between 360 128 and 256 appendages at 2 Pt thickness and around 128 appendages at 3 Pt thickness respectively 361 (Figure 3b). Having 128 appendages of 3 Pt thickness was the best parameter combination tested. In 362 this image, approximately 50 % of the appendage region's area was covered with appendages. This 363 suggests for the basic scenario that the optimal intermediate luminance would have been reached for objects that have between 256 and 512 appendages (Figure 3b, '1Pt'), when 50 % of the appendage 364 365 region would have been covered by appendages. In contrast, with increasing appendage transparency 366 (Scenario 2) more appendages were needed to reach the same luminance values compared to the Basic Scenario. At 25 % transparency, the full circle of appendages was needed to reach the optimum 367 368 intermediate value and with 50% transparency, the intermediate value could not be reached at all 369 (Figure 3c). Similarly, with increasing appendage length heterogeneity (Scenario 3) more appendages 370 were required to reach the optimum but it was obtained when half of the appendages had 50 % of the 371 length as well as when half of the appendages had 25 % and a quarter had 50 % of the length (Figure 372 3d).

#### **373** Background complexity and spatial acuity

Increasing the background complexity did not affect the curve trajectories in the transition zone. Without appendages, the appendage region's mean luminance was similar to the background's luminance and became increasingly similar to the object's luminance when raising the number of appendages until they converged with a full circle of appendages (Figure 3e). Likewise, lowering the spatial acuity in Scenario 4 did not clearly change the curve trajectory in the transition zone (Figure 3f).



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Figure 3: Mean Luminance Comparison in experiment 1. (a) The three regions of interest (ROIs) analysed were object region (blue), background region (yellow) and appendage region (green). The ROIs were separated by 40 pixels to ensure a clear separation of the regions. (b) Scenario 1: variation in appendage thickness. (c) Scenario 2: variation in appendage transparency. (d) Scenario 3: variation in appendage length. (e) Scenario 4: variation in background complexity. (f) Scenario 5: variation in spatial acuity. Note that the 30 and 72 cpd curves overlap fully.

## 385 Experiment 2: Chick photographs

386 Local Edge Intensity Analysis

387 For eight of the 15 analysed chicks, the empty background image was slightly shifted because of a

388 camera movement. Therefore, we corrected their position manually to place the chicks exactly at the

389 same spot in the empty background.

390 After removing the areas of the ROIs where the chick shaded the background, we were able to analyse

391 on average 72 % of the contour region with LEIA. Across the ROIs of the 15 chicks, the mean threshold

392 for the HEI pixels was 0.9826 (Table S3). Consequently, we compared on average 1.74 % of the pixels

393 between photographs of cropped chicks with and without the protruding neoptile feathers.

For 13 of 15 chicks (87 %), the mean edge intensities of HEI pixels were lower for the cropped image of each chick with protruding neoptile feathers (e.g. Figure 4b) than for the corresponding images without protruding neoptile feathers (e.g. Figure 4c). Accordingly, images including the protruding feathers showed lower mean edge intensities of HEI pixels than those excluding them (Figure 4d, paired t-test: t = 4.365, df = 14, p-value < 0.001). The mean edge intensity difference of HEI pixels between measurements with and without feathers was 0.178 (95 %CI: 0.091, 0.265).

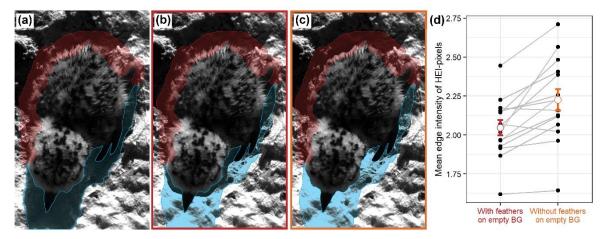


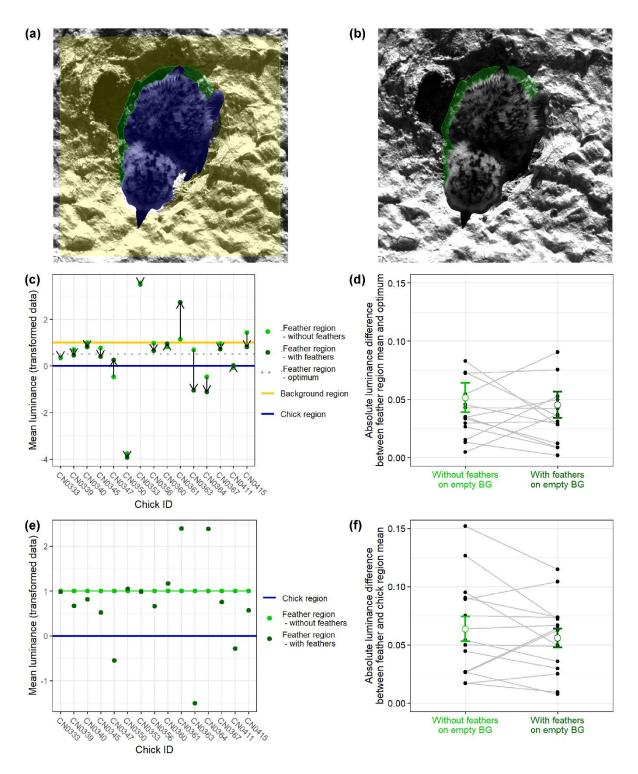
Figure 4: (a) A snowy plover chick hiding on the ground from an approaching predator (b) cropped chick transferred to image of empty natural background with neoptile contour feathers protruding the outline (c) cropped chick transferred without protruding neoptile contour feathers. The contour region (red) as the region of interest was analysed in the Local Edge Intensity Analysis. Areas, where the background was shaded by the chick in the original image (blue), were excluded from the analysis. (d) Mean edge intensity of the HEI pixels in the contour region with and without feathers for 15 snowy plover chicks (t = 4.365, df = 14, p-value < 0.001). Measurements are paired by chick ID. The error bars indicate group mean ± standard error.</p>

#### 408 Mean Luminance Comparison

- 409 For the MLC, presence of protruding neoptile feathers did not contribute to creating a transition zone
- 410 between chick and background, as we did not observe more intermediate mean luminance values in
- 411 the ROI in comparison to ROI of chick pictures without protruding feathers (Figure 5c-f).
- After removing the areas that were shaded by the chicks, on average 73 % of the FR remained for the
  MLC (Table S3). This value was slightly different from the 72 % that remained of the contour region in
- the LEIA because FR and contour region differed in size and the extent to which they were shaded by
- 415 the chick.
- 416 Presence of feathers did not change the mean luminance of the transition zone adaptively. The
- distance of the mean luminance of the FR to the optimal intermediate value was in 9 of 15 chicks (60 %)
- 418 shorter with than without feathers (Figure 5c-d). The Wilcoxon paired signed rank test showed no clear
- 419 difference between the distribution of the two groups (p = 0.45).
- Feathers did not make mean luminance of the FR more similar to the mean luminance of the chick region. Although the distance of the mean luminance of the FR with feathers to the mean luminance of the chick region was in 10 of 15 cases (66.7 %) shorter than without feathers (Figure 5f), there was no clear difference between images with and without protruding feathers (t = 1.1263, df = 14, p = 0.28).
- The mean luminance difference between the measurements with and without feathers was 0.0077

425 (95 %CI: -0.0070, 0.0224).

The FR with feathers had an intermediate mean luminance between the chick region and the FR without feathers in 8 of 15 chicks (53 %) (Figure 5e). In the random sample (n = 10,000) from a normal distribution with the same mean and standard deviation as observed in the transformed data, 38 % of the values were intermediate between 0 and 1. Including the protruding feathers, we observed a proportion of 0.53 (95 %CI: 0.27, 0.79) intermediate values, however, this was not clearly different from expected by chance (p = 0.29).





433 Figure 5: Mean Luminance Comparison in experiment 2. The regions of interest (ROIs) analysed were (a) chick (blue) 434 background (yellow) and feather region (FR) without feathers (green) measured in scene 2 and (b) the FR with feathers (green) 435 measured in scene 1. (c) Measurements were transformed so that the chick region (blue) was always "0" and the background 436 region (yellow) "1". The FR optimum (grey, dotted) portrays the mean of chick and background region. The arrows indicate 437 the direction in which the value of the FR was shifted when the feathers were present. (d) Absolute luminance difference 438 between FR mean and optimum with and without feathers.\* (e) Measurements were transformed so that the chick region 439 (blue) was always "0" and the FR without feathers (light green) "1". (f) Absolute luminance difference between chick region 440 and FR mean with and without feathers.\*

\*Measurements are paired by chick ID. The error bars indicate group mean +/- standard error.

# 442 Discussion

443 The plumage of newly hatched chicks has several known functions. The feathers are important for 444 thermoregulation (Wekstein and Zolman 1971). Plumage colour variation is also an important signal 445 that may reveal chick condition and facilitate individual recognition for parents (Johnsen et al. 2003, 446 Hill and McGraw 2006, Lyon and Shizuka 2020). In precocial chicks, the plumage provides camouflage 447 through cryptic colouration (Cott 1940, Hill and McGraw 2006). Here we tested whether neoptile 448 feathers help to conceal the outline of chicks to make them harder to detect for predators. Our results 449 from a proof of principle analysis (experiment 1) and analysis of real chick images in their natural 450 environment (experiment 2) suggest that appendages, such as protruding neoptile feathers, improve 451 concealment of the object outline, particularly by decreasing the edge intensity. Weak contrast edges 452 are associated with low conspicuousness (Endler et al. 2018). This enhances diffusion of the outline 453 and decreases detectability as the shape is an important cue for predators locating and identifying a 454 prey item (Thayer 1909).

455 In the artificial setup (experiment 1), appendages both reduced edge intensity and created a transition 456 zone with an intermediate mean luminance in the appendage region suggesting that both mechanisms 457 help to conceal the object outline. However, when analysing the impact of neoptile feathers on outline 458 concealment of chicks in their natural background (experiment 2), we found that the 459 presence/absence of protruding feathers did only change edge intensity but not mean luminance of 460 the ROI in the predicted way. ROIs on images where the chick was cropped including its protruding 461 feathers had lower edge intensity but no consistent change in the intermediate luminance was found. 462 This suggests that the lowering of edge intensity, which we analysed through LEIA (van den Berg et al. 463 2019) is a better mechanism for outline diffusion than creating a transition zone with intermediate luminance for concealing the outline of precocial chicks. However, the MLC may be methodologically 464 465 problematic for these pictures. Measuring mean luminance across the ROI may not capture the outline 466 diffusion when both object and background are not monochromatic coloured but consist of a mottled 467 pattern, which is frequently the case for natural habitats.

468 Altering the characteristics of appendages, background and predator vision had mechanism-specific 469 consequences. As we concluded that reduction of edge intensity is the more likely mechanism, we 470 restrict our discussion here to the impact of parameter changes on edge intensity. In the artificial 471 setup, we found that an intermediate number of regular appendages helped to conceal the outline of 472 the monochromatic object best. Further, we found that appendage thickness, transparency and length 473 heterogeneity influenced outline concealment. They altered the optimal number of appendages 474 needed and, in some cases, changed also the edge intensity. Protruding neoptile feathers of precocial 475 chicks are thin, somewhat transparent and vary in the extent to which they stand out from the outline.

Our results show that thicker appendages would lead overall to higher detectability and in that case, fewer appendages would lead to better concealment. In contrast, higher transparency required more appendages for best concealment. Similarly, we found that with increasing length heterogeneity more appendages were needed to achieve low edge intensities and reduce detectability.

480 Variation in spatial acuity is high across visual systems of different predators and had the largest effect 481 on edge intensity. Intermediate to high appendage numbers reduced the edge intensity of the ROI 482 most, regardless of spatial acuity of the simulated predator. Yet, mean edge intensities were highest 483 for the simulated system with the highest spatial acuity. From the same viewing distance, predators 484 with high spatial acuity, such as humans or birds of prey, perceive a lot more details of an object compared to predators with a lower spatial acuity such as canids or corvids (Caves et al. 2018). As 485 486 spatial acuity decreases with viewing distance (Caves and Johnsen 2018), mammalian predators need 487 to approach feathered chicks closer to detect their outline.

488 Interestingly, background complexity did not alter the optimal number of appendages nor impact 489 overall edge intensities dramatically. Background complexity often makes detection of objects harder 490 and therefore contributes to camouflage (Dimitrova and Merilaita 2010, Xiao and Cuthill 2016). The 491 multicoloured fringed feathers themselves could contribute to increasing complexity. Such an effect 492 would have the largest impact on a more uniform background. The mixture of appendages and 493 background will also create new false edges and increase disruptive colouration (Troscianko et al. 494 2017). Nevertheless, any such effects by protruding feathers are likely to be small as the feather region 495 is only very narrow and, hence, will only impact the immediate surrounding of the chick. Hence it is 496 unclear whether this effect is biologically relevant for detection through predators.

497 One drawback of our study is that we did not test empirically whether the appendages indeed reduce 498 detectability by predators, e.g. through a predation experiment (e.g. similar to (Cuthill et al. 2005, 499 Farkas et al. 2013)). Measuring the detection time of objects with and without appendages similar to 500 protruding neoptile feathers would be an important test for the relevance of this mechanism in nature. 501 Concealing the outline is unlikely to be the main antipredator strategy of chicks. We rather suggest 502 that it works in concert with the cryptic colouration of the downy plumage, chick behaviour such as 503 finding optimal hiding places and predator distraction or defence through their parents. Yet our results 504 regarding the spatial acuity suggest that the fringed feathers could be an important component of a 505 visual antipredator strategy against mammalian predators. Even if the reduction in detectability is only 506 small, concealing the outline may enhance survival of precocial chicks during early life when chicks face 507 a very high predation risk (Colwell et al. 2007, Brudney et al. 2013, Eberhart-Phillips et al. 2018), 508 especially as the costs for having the protruding feathers may not be high.

509 Appendages that alter the outline are commonly found in nature. Examples of vertebrates with 510 irregular outlines are known, e.g. from cephalopods (Panetta et al. 2017), fish (Allen et al. 2015), 511 amphibians (Rauhaus et al. 2012) and reptiles (Buxton 1923). A striking example is provided by many 512 insect larvae such as hairy caterpillars which, as chicks, have typically reduced mobility in comparison with the adult form. Birds have a strong influence on caterpillar mortality (Campbell and Sloan 1977), 513 but hairy caterpillars are less preferred prey for avian predators than non-hairy caterpillars (Whelan et 514 515 al. 1989). We suggest that concealing the outline might be one currently underappreciated function of 516 hairy appendages contributing to improved camouflage.

### 517 Conclusion

The 'irregular marginal form' as a camouflage strategy has inspired early researchers on camouflage 518 519 (Cott 1940) but evidence for this mechanism so far has been limited. Our results suggest that body 520 appendages such as feathers or hairs can help to create an 'irregular marginal form' that serves to 521 diffuse the object outline. Appendages with the characteristics of protruding neoptile feathers reduced the edge intensity in a proof of principle analysis and on images of precocial chicks taken in their 522 523 natural environment. Appendages also served to reduce mean luminance differences when both 524 object and background were uniformly coloured but this mechanism failed to contribute to outline 525 diffusion when we analysed images of chicks in their natural backgrounds. Improved camouflage 526 through outline diffusion could be an important function of heterogenous integuments which are 527 found in a variety of organisms.

## 528 Declarations

Data availability statement – Raw images, data and script are stored in Edmond the Open
Research Data Repository of the Max Planck Society (https://edmond.mpdl.mpg.de/imeji/).

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- 539 Author contributions VAR, CK and DM conceptualised the study. TV carried out field work.
- 540 VAR and CK analysed, interpreted the data and wrote the manuscript. All authors revised the
- 541 manuscript.
- 542 Permits Fieldwork permits to collect the data were granted by the Secretaría de Medio
- 543 Ambiente y Recursos Naturales (SEMARNAT). All field activities were performed in accordance
- 544 with the approved ethical guidelines outlined by SEMARNAT.
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