Single-nuclei transcriptomics of schizophrenia prefrontal cortex primarily implicates

neuronal subtypes

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Abstract

Transcriptomic studies of bulk neural tissue homogenates from persons with schizophrenia and controls have identified differential expressed genes in multiple brain regions. However, the heterogeneous nature prevents identification of relevant cell types. This study utilized single-nuclei transcriptomics of ~311,000 nuclei from frozen human postmortem dorsolateral prefrontal cortex samples from individuals with schizophrenia (n = 14) and controls (n = 16). 2,846 differential expression events were identified in 2,195 unique genes in 19 of 24 transcriptomically-distinct cell populations. ~97% of differential expressed genes occurred in five neuronal cell types, with ~63% occurring in a subtype of PVALB+ inhibitory neurons and HTR2C+ layer V excitatory neurons. Differential expressed genes were enriched for genes associated with schizophrenia GWAS loci and cluster-specific changes in canonical pathways, upstream regulators and causal networks were identified. These results demonstrate cell type specific changes in the context of schizophrenia.

Introduction

Schizophrenia is a chronic psychotic illness affecting ~1% of the population worldwide. With typical onset in late adolescence or early adulthood and a chronic unremitting course, schizophrenia is a devastating disease (reviewed[1]). The heritability of schizophrenia has been estimated at 64%[2] and substantial efforts have been dedicated to identifying genetic variants that are associated with vulnerability to developing the disorder. The largest genome wide association study (GWAS) identified 108 genomic loci associated with schizophrenia[3], and when combined with an additional dataset, subsequent meta-analysis identified 145 genomic loci[4]. Additionally, rare structural variants, such as microdeletions and copy number variants contribute to the heritability of schizophrenia[5-7]. However, these and other studies have only been able to explain a portion of the heritability of schizophrenia, suggesting that gene by environment interactions may play an important role[8]. Supporting this interpretation is

accumulating evidence that demonstrates increased risk for developing schizophrenia is

associated with maternal infection and obstetrics complications, early life trauma and social

adversity, urbanicity, and substance abuse (reviewed[9]). While these studies have helped

elucidate the genetic and environmental components of risk for developing schizophrenia,

determining the underlying mechanisms has proven difficult. One approach to identifying the

mechanisms that underly schizophrenia is transcriptomics.

Transcriptomic studies utilizing bulk homogenates of frozen human postmortem brain

tissue from persons with schizophrenia and controls have identified differentially expressed

genes (DEGs) in the amygdala[10], hippocampus[11, 12], superior temporal gyrus[13], anterior

cingulate cortex[14, 15], and dorsolateral prefrontal cortex (dlPFC)[16, 17], with the largest of these

studies identifying ~700 DEGs in the dlPFC[17]. However, the heterogenous cellular composition

of the bulk homogenates prevents identification of the specific cell types in which relevant genes

are differentially regulated and expressed. To address these limitations, studies used laser

capture microdissection followed with transcriptome analysis by microarray to elucidate the

effect of schizophrenia on the transcriptome of individual neural cell types. Examination of layer

III and V pyramidal neurons in the dlPFC identified ~1,400 DEGs[18] in the context of

schizophrenia, whereas a study of parvalbumin positive (PVALB+) interneurons in the dlPFC

identified ~900 DEGs[19]. A substantial portion of the DEGs identified in these studies were

unappreciated in previous examinations of bulk homogenates of the same brain regions,

supporting more targeted approaches[18, 19]. These findings suggest that examination of

transcriptome changes associated with schizophrenia at the level of neural cellular populations

is necessary to fully appreciate the differences in cell type specific transcript levels. However,

laser capture microdissection studies of human postmortem brain tissue are limited by the ability

to examine a small number of cell types in a targeted fashion, rather than the broader cellular

landscape of the brain, the relative low throughput of the technique, and the pooling of cells,

which loses the variability of the transcriptome between cells and may collapse

transcriptomically-distinct cellular subpopulations.

Recent advances in single nuclei RNA sequencing (snRNAseq) allow for simultaneous

transcriptomic profiling of thousands of nuclei, across all neural cell types in frozen human

postmortem brain homogenate, with simultaneous indexing of transcripts at the sample,

nucleus, and individual transcript level (unique molecular identifier, UMI). The utility of this

approach for human postmortem study is supported by evidence suggesting that single cells

and their nuclei have similar transcriptomes, with ~98% of transcripts having the same relative

levels[20]. snRNAseq has identified cell type-specific transcriptomic changes in human

postmortem brain samples from Alzheimer's disease[21], autism[22], multiplesclerosis[23], and major

depressive disorder[24].

In this study, we performed snRNAseq of ~311,000 nuclei from dlPFC of individuals with

schizophrenia (n = 14) and controls (n = 16). We chose to examine the dlPFC due to the

evidence of dlPFC dysfunction in schizophrenia[25]. We identified 2,195 DEGs in 19 of 24

transcriptomically-distinct cell populations. ~97% of the DEGs occurred in five neuronal cell

types, with a subtype of PVALB+ inhibitory neurons and 5-Hydroxytryptamine Receptor 2C

positive (HTR2C+) layer V excitatory neurons accounting for ~63% of DEGs. The DEGs were

enriched for genes associated with schizophrenia GWAS loci and overrepresented in gene

ontologies previously associated with the pathophysiology of schizophrenia. Canonical pathway

analysis identified cluster-specific alterations in metabolic and cell signaling pathways, and

upstream regulator and causal network analysis identified cluster-specific effects of shared DEG

regulators. Taken together, the results of this study help elucidate the cell type specific

transcriptomic and neurobiological changes that underlie schizophrenia.

Results

Single nuclei RNA sequencing and identification of cell types

To better understand the effects of schizophrenia on the neural transcriptome, we utilized snRNAseq to profile dlPFC nuclei from frozen human postmortem brain samples from 14 individuals with schizophrenia and 16 controls (Supplementary Table 1). Schizophrenia and control groups did not differ in age, postmortem interval, or pH (Supplementary Fig. 1). Tissue samples from the 30 participants were processed to generate nuclei suspensions, which were utilized for snRNAseq using version 3.0 of 10x Genomics 3' gene expression assay. After sequencing to an average depth of ~497 million reads per sample, we initially identified a total of 411,171 nuclei with medians of ~2,895 genes and ~6,588 UMI per nucleus (Supplementary Table 2). The number of sequencing reads per sample, nuclei per sample, average sequencing reads per nuclei per sample, median genes per nuclei per sample, and median UMI per nuclei per sample was consistent between the schizophrenia and control groups (Supplementary Fig. 2). After quality controls (Methods), we identified 311,297 high confidence nuclei, with 149,943 from control samples and 161,354 from schizophrenia samples. These nuclei were used for all further analyses.

To identify nuclei from transcriptomically-distinct cellular populations we used the snRNAseq counts for nuclei from all individuals to perform unbiased clustering using Seurat (Methods). 24 distinct cellular clusters were identified (Fig. 1a). Nuclei from the schizophrenia and control groups were found in all clusters (Fig. 1a), with nuclei from individual samples contributing across the range of most clusters (Supplementary Table 3). Clusters were annotated by expression of known markers for cellular types and all major neural cell types were identified, including inhibitory neurons (InNeuro), excitatory neurons (ExNeuro), oligodendrocytes (Oligo), oligodendrocyte precursor cells (OPC), astrocytes (Astro), microglia (Micro), and endothelial cells (Endo; Fig. 1a-b and Supplementary Fig. 3a). While the number of

nuclei from each major cell type varied by sample, the total number of nuclei from each major

cell type was consistent between schizophrenia and control groups (Supplementary Fig. 3b-c

and Supplementary Table 3). ExNeuro clusters were further annotated using known markers of

the different cortical layers. Populations of layer II/III CUX2+ (ExNeuro4 and ExNeuro5), layer V

HTR2C+ (ExNeuro1), layer V SULF2+ (ExNeuro7), layer V KCNK2+ (ExNeuro2 and ExNeuro8),

layer V/VI RXFP1+ (ExNeuro3 and ExNeuro6) and layer VI NR4A2+ (ExNeuro9) excitatory

neurons were identified (Fig. 1c). Similarly, InNeuro clusters were annotated using genes

associated with known subpopulations. Somatostatin positive (SST+, InNeuro1 and InNeuro7),

vasoactive intestinal peptide positive (VIP+, InNeuro6), and PVALB+ positive (InNeuro2 and

InNeuro3) inhibitory neurons were identified (Fig. 1d).

<u>Differential expression</u>

Cell type specific changes in nuclear transcript levels were compared between the

schizophrenia and control groups using the hurdle model, a linear mixed model (LMM), in

MAST. A total of 2,846 differential expression events were identified in 2,195 unique DEGs (q-

value < 0.1; expression change ≥10%; Supplementary Table 4). DEGs were detected in 19 of

the 24 cellular clusters (Fig. 2a), with 48% and 51% of the differential expression events

occurring in the InNeuro and ExNeuro major cell types, respectively (Fig. 2b. and

Supplementary Table 5). No DEGs were detected in the OPC and Micro cell types and only a

single unique DEG was detected in the Endo cell type (Fig. 2b and Supplementary Table 4).

The number of differential expression events per cellular cluster was not related to the number

of nuclei per cluster (Supplementary Fig. 4a). Of the unique DEGs, ~97% (2,135/2,195) were

detected in five neuronal cell clusters (referred to hereafter collectively as the primary neuronal

clusters), with ~63% (1,382/2,195) occurring in just two neuronal cell types, the PVALB+

InNeuro2 and HTR2C+ ExNeuro1 cellular clusters (Fig. 2c). No DEG was differentially

expressed in more than five cellular clusters (Supplementary Fig. 4b and Supplementary Table

4). The majority of the differential expression events (~66%) were found to be down regulated in

schizophrenia samples compared to controls (Fig. 2a-b, Supplementary Fig. 4c and

Supplementary Table 5). To independently validate a portion of the DEGs, RNAscope

fluorescence *in situ* hybridization was used to validate select DEGs in the PVALB+ InNeuro2

and HTR2C+ ExNeuro1 clusters.

<u>DEGs associated with GWAS loci</u>

The most recent schizophrenia GWAS metanalysis identified 145 genomic loci

associated with schizophrenia[4]. The LMM analyzed a total of 12,239 genes, of which 330 were

associated with these GWAS loci. 67 of the 2,195 DEGs identified in this analysis overlapped

GWAS loci, representing a total of 44 unique loci (Supplementary Table 6). This number of

genes represents an ~13% enrichment over what would be expected by chance

(hypergeometric test, p = 0.029). DEGs associated with schizophrenia GWAS loci were only

present in nine clusters. Cluster specific hypergeometric tests identified significant

overrepresentation of GWAS-associated genes in DEGs from InNeuro5 (~90%), ExNeuro1

(~32%), and InNeuro2 (~23%) (Fig. 3a and Supplementary Table 7). These results suggest that

a fraction of the DEGs identified in this study may represent the transcriptomic changes that

underlie specific schizophrenia-associated GWAS loci.

<u>Gene Ontologies and KEGG pathways</u>

To understand the neurobiological consequences of the DEGs in the primary neuronal

clusters, DAVID was used to identify gene ontologies (Supplementary Tables 8-22) and KEGG

pathways (Supplementary Tables 23-27) in which the DEGs from each cellular cluster were

overrepresented. The gene ontology analysis identified cluster-specific overrepresentation of

DEGs in ontologies currently believed to be related to the pathophysiology of schizophrenia,

such as the biological processes "RNA splicing" and "aerobic respiration" and the cellular

compartments "lysosomal membrane" and "mitochondrion". Similarly, the KEGG pathway

analysis identified overrepresentation DEGs in the KEGG pathways "Spliceosome", "Oxidative

phosphorylation" and "Synaptic vesicle cycle". Taken together, these suggests that the cluster-

specific DEGs may, at least in part, represent a portion of the neurobiological changes that

underly schizophrenia-associated neuropathophysiology.

<u>Canonical Pathways</u>

To better identify the metabolic and cell signaling pathways that are likely to be altered in

the primary neuronal clusters in the context of schizophrenia, canonical pathway analysis was

performed using the DEGs occurring in each of the primary neuronal clusters using Ingenuity

Pathway Analysis (IPA). Shared and unique effected canonical pathways were identified for the

primary neuronal clusters. The top six shared canonical pathways for the primary neuronal

clusters, as determined by IPA activation z-score, a prediction of the direction and magnitude of

dysfunction, are presented in Fig. 3b and the top six canonical pathways from each of the

primary neuronal clusters, as determined by -log(p-value), are presented in Supplementary

Table 28. Given that the majority of DEGs only occurred in the ExNeuro1 and InNeuro2 cellular

clusters, shared canonical pathways for these clusters were also determined (Fig. 3c).

Interestingly, while some clusters shared predicted directions of effect for some pathways, the

DEGs underlying the effect for each cellular cluster was a combination of both shared and

unique DEGs (Supplementary Table 28). For example, function of the Oxidative

Phosphorylation canonical pathway is predicted to be substantially downregulated in

schizophrenia in the ExNeuro1 (z-score = -4.146, p-value = 3.98e-11) and InNeuro2 (z-score = -

3.742, p-value = 3.52e-4) cellular clusters (Fig. 3c). Examination of the DEG heat map (Fig. 3d)

and signaling pathway (Supplementary Figure 5) for the Oxidative Phosphorylation canonical

pathway show a combination of both multi-cluster and cluster-specific DEGs contributing to the

overall dysregulation. While the overall results of the canonical pathway analysis suggest that

the primary neuronal clusters share alterations in neurobiologically relevant metabolic and cell

signaling pathways in the context of schizophrenia, with respect to both direction and magnitude

of effect, examination of the underlying changes suggests that it is actually a combination of

shared and cluster-specific alterations of the transcriptome that drive overall pathway

dysfunction.

<u>Upstream Regulators of DEGs</u>

The majority of DEGs were only detected in the ExNeuro1 and InNeuro2 cellular

clusters, suggesting the possibility that there may be cell type-specific upstream regulators of

DEGs unique to these cellular clusters. We utilized IPA to identify putative upstream regulators

of DEGs and identified 52 predicted upstream regulators of DEGs for the ExNeuro1 cluster and

87 for the InNeuro2 cluster (Supplementary Tables 29-30). The top six shared upstream

regulators of DEGs, as determined by IPA activation z-score, are presented in Fig. 3e.

Predicted upstream regulators with the three highest and lowest activation z-scores for the

ExNeuro1 and InNeuro2 cellular clusters are displayed in Fig. 3f-g. Similar to the canonical

pathways, upstream regulators predicted to be involved in the function of both the ExNeuro1

and InNeuro2 cellular clusters demonstrate both shared and unique DEG targets. For example,

the function of the transcription factor NFE2L2, was predicted to be substantially downregulated

in both the ExNeuro1 (z-score = -3.747, p-value = 2.54e-2) and InNeuro2 (z-score = -2.659, p-

value = 7.64e-3) cellular clusters (Fig. 3e-g and Supplementary Tables 29-30), with 9 shared

DEGs out of the 23 and 32 downstream DEG targets, respectively (Supplementary Fig. 6a-b).

These results suggest that the shared predicted upstream regulators identified in this analysis

may act in a cellular cluster-specific fashion to regulate the DEGs. Supporting the validity of the

upstream regulator analysis, 8 DEGs in the ExNeuro1 cluster and 13 DEGs in the InNeuro2

cluster were predicted to be upstream regulators of other DEGs in their respective clusters. All

21 upstream DEGs had altered transcription in the predicted direction of effect (Supplementary

Table 31). In total, these results suggest that the predicted upstream regulators identified in this

analysis may play a role in regulating cluster-specific DEGs in the context of schizophrenia.

<u>Causal Networks of DEG regulation</u>

Knowing that regulation of DEGs in the ExNeuro1 and InNeuro2 cellular clusters would

not be limited to only direct upstream regulators, we utilized IPA to predict upstream causal

networks that putatively regulate the DEGs. The analysis identified 788 and 622 predicted

causal networks that regulate the DEGs in the ExNeuro1 and InNeuro2 cellular clusters,

respectively (Supplementary Tables 32-33). The top six shared causal network master

regulators, as determined by IPA activation z-score, are presented in Fig. 4a. Predicted causal

network master regulators with the three highest and lowest activation z-scores for the

ExNeuro1 and InNeuro2 cellular clusters are displayed in Fig. 4b-c. Similar to the upstream

regulators, there are both shared and cluster-specific DEG targets associated with causal

network master regulators predicted to be involved in both the ExNeuro1 and InNeuro2 cellular

clusters (Supplementary Tables 32-33). The validity of causal network analysis is supported by

the prediction of 32 DEGs in the ExNeuro1 cluster and 30 DEGs in the InNeuro2 cluster as

causal network master regulators (Supplementary Tables 32-33). For example, in the ExNeuro1

cluster, *ST3GAL5* was predicted to be an upregulated causal network master regulator that

acted through four known regulatory targets and caused the observed downregulation of 25

DEGs (Fig. 4d). In agreement with this prediction, *ST3GAL5* was upregulated ~19% in the

ExNeuro1 cluster. Taken together, these results suggest that at least a portion of the predicted

master regulators identified in the causal network analysis may be important regulatory

elements that control cell cluster-specific transcriptomic changes in the context of

schizophrenia.

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Figure Legends

Fig. 1 | snRNAseq and clustering. a, The transcriptome profile of ~311,000 nuclei were

utilized for unbiased clustering (dendrogram) and the resulting clusters were annotated with

genes known to be markers for major neural cell types (dot plot, cell types and their respective

markers are color coded). The size and color of dots is proportional to the percentage of cells

expressing the gene (Pct. Exp.) and the average expression level of the gene (Avg. Exp.),

respectively. The number columns list number of nuclei from the control (CT) and schizophrenia

(SZ) groups that are present in each cluster. The bar graph depicts the average number of

unique molecular identifiers (UMI) per nucleus for each cluster. InNeuro, inhibitory neuron;

ExNeuro, excitatory neuron; Oligo, oligodendrocyte; OPC, oligodendrocyte precursor cell; Astro,

astrocyte; Endo, endothelial cell; Micro, microglia/macrophages. **b,** A uniform manifold

approximation and projection (UMAP) dimension reduction plot of all nuclei color coded by

major neural cell type. **c,** Excitatory neuron clusters were annotated with known markers of

cortical layer II/III (CUX2), layer V (HTR2C, SULF2, KCNK2 and PCP4), layer V/VI (RXFP1),

and layer VI (NR4A2) to determine the cortical layer represented by each excitatory neuron

cluster. **d,** Inhibitory neuron clusters were annotated using known markers of inhibitory neuron

subtypes, including calcium binding proteins (PVALB, CALB1, and CALB2), neuropeptides

(SST, VIP, and CCK), and other known markers of cortical inhibitory neurons (GAD1, GAD2,

RELN, and NOS1).

Fig. 2 | Differential expression. a, A categorical scatter plot depicting the $\log_2$ fold change of all

differential expression events. Dashed lines indicate the minimum bioinformatic cutoff of 10%

change in gene expression. This figure is depicted with a continuous y-axis in Supplementary

Fig. 4b. **b,** The number of differential expression events per major cell type. **c,** UpSet plot of the

number of unique DEGs that are unique or shared between the five neuronal cell cluster that

encompass the majority (~97%) of all DEGs.

Fig. 3 | Functional correlations of DEGs. a, Hypergeometric test p-values for cluster specific

overlap between DEGs associated with individual cellular clusters and genes associated with

the schizophrenia GWAS loci. **b & c,** Canonical pathways predicted to be disrupted in the (b)

primary neuronal clusters and in the (c) ExNeuro1 and InNeuro2 cellular clusters, ranked by

absolute magnitude of IPA activation z-score, showing multi-cluster and cluster-specific

directions of effect. **d,** DEGs associated with disruption of the oxidative phosphorylation

canonical pathway. **e,** Shared predicted upstream regulators of DEGs, ranked as in (c). **f & g,**

Predicted upstream regulators in the (f) ExNeuro1 and (g) InNeuro2 cellular clusters with the

three highest and lowest predicted activation z-scores.

Fig. 4 | Causal networks. a, Shared predicted causal network master regulators of DEGs, in

the ExNeuro1 and InNeuro2 cellular clusters, ranked by absolute magnitude of IPA activation z-

score. **b & c,** Predicted causal network master regulators of DEGs in the (b) ExNeuro1 and (c)

InNeuro2 cellular clusters with the three highest and lowest predicted activation z-scores. **d,** The

predicted causal network of ST3GAL5 in ExNeuro1. Based on the 25 downregulated DEGs

(green), it was predicted that the function of ST3GAL5 was upregulated (red) and acted through

the predicted intermediates (white). ST3GAL5 was upregulated in the ExNeuro1 cluster.