Monitoring migratory birds in stopover habitat: assessing the value of extended duration audio recording

Running title: Monitor migratory birds with audio recording

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ABSTRACT

1. Because birds are frequently detected by sound, autonomous audio recorders (called automated recording units or ARUs) are now an established tool in addition to in-person observations for monitoring the status and trends of bird populations. ARUs have been evaluated and applied during breeding seasons, and to monitor the nocturnal flight calls of migrating birds. However, birds behave differently during migration stopover than during the breeding season. Here we present a method for using ARUs to monitor land birds in migration stopover habitat.

2. We conducted in-person point counts next to continuously recording ARUs, and compared estimates of the number of species detected and focal species relative abundance from point counts and ARUs. We used a desk-based audio bird survey method for processing audio recordings, which does not require automated species identification algorithms. We tested two

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23   methods of using extended duration ARU recording: surveying consecutive minutes, and

24   surveying randomly selected minutes.

25 3. Desk-based surveys using randomly selected minutes from extended duration ARU recordings

26   performed similarly to point counts, and better than desk-based surveys using consecutive

27   minutes from ARU recordings. Surveying randomly selected minutes from ARUs provided

28   estimates of relative abundance that were strongly correlated with estimates from point counts,

29   and successfully showed the increase in abundance associated with migration timing. Randomly

30   selected minutes also provided estimates of the number of species present that were comparable

31   to estimates from point counts.

32 4. ARUs are an effective way to track migration timing and intensity in remote or seasonally

33   inaccessible migration stopover habitats. We recommend that desk-based surveys use randomly

34   sampled minutes from extended duration ARU recordings, rather than using consecutive

35   minutes from recordings. Our methods can be immediately applied by researchers with the

36   skills to conduct point counts, with no additional expertise necessary in automated species

37   identification algorithms.

38 **Keywords**

39 autonomous audio recording, bird survey, migration, stopover habitat, passive acoustic monitoring,

40 point count, relative abundance

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1 | INTRODUCTION

Conserving bird populations requires knowledge of bird distribution and habitat use at all stages of their life cycle, including during breeding, migration, and non-breeding periods (Sherry & Holmes, 1995) . Monitoring birds' habitat use during migration is a necessary component of conservation plans for migratory birds. Historically, researchers have primarily relied on in-person observations including mist-netting (Peach, Buckland & Baillie, 1996) and point counts (Ralph, Droege & Sauer, 1995) for migration monitoring, but because birds are frequently detected by sound, audio recording technology offers opportunities to expand monitoring techniques. Here we present a method for using audio recorders to monitor birds in migration stopover habitat during spring migration.

Figuring out how to best monitor bird abundance and diversity in remote habitat is a current challenge. The climate in high latitude continental regions increases the challenges associated with accessing remote areas during spring migration. Significant annual snow accumulation, followed by rapid melting as temperature increases, makes unpaved roads impassable for several weeks each spring in much of northern North America, typically during the same time period when migrant bird species begin to arrive in the region. Developing survey monitoring protocols that can be implemented despite poor traveling conditions is a way to fill in gaps in knowledge of northern forest birds, and birds in similarly remote habitats.

Autonomous recording units (ARUs) are programmable audio recorders that can be deployed in the field for long time periods to efficiently maximize the spatial and temporal extent of monitoring. Passive acoustic monitoring is widely used in ecology to monitor and study vocalizing organisms; ARUs have been deployed to study bats (Tuneu-Corral et al., 2020), whales (Baumgartner et al., 2019), invertebrates (Penone et al., 2013), amphibians (Dutilleux & Curé, 2020)

64   and birds (Shonfield & Bayne, 2017). ARUs are also used to evaluate the success of conservation

65   programs (Shonfield & Bayne, 2017). Current challenges for implementing passive acoustic

66   monitoring include the availability of reference sound libraries, minimizing errors in species

67   identification, and determining the relationship between acoustic index values and their associated real-

68   world underlying parameters (Gibb, Browning, Glover-Kapfer & Jones, 2019).

69   Point-count surveys are the most commonly used bird monitoring protocol for long-term study

70   sites (Ralph, Droege, & Sauer, 1995; Rosenstock, Anderson, Giesen, Leukering & Carter, 2002), but

71   ARUs are now viewed as a viable supplement to point-counts, especially during the breeding season

72   when birds vocalize frequently (Furnas & Callas, 2015; Klingbeil & Willig, 2015; Shonfield & Bayne,

73   2017; Darras et al., 2018; Darras et al., 2019). Many researchers have compared ARUs and point

74   counts in terms of their estimates of species richness and relative abundance or occupancy (Haselmayer

75   & Quinn, 2000; Campbell & Francis, 2011; Tegeler, Morrison & Szewczak, 2012; La & Nudds, 2016),

76   including in temperate forest (Klingbeil & Willig, 2015). However, none of these studies (including the

77   23 studies reviewed in Darras et al.'s (2018) meta-analysis) compared point counts and ARUs during

78   migration. Birds behave and vocalize differently during migration than during the breeding season

79   (Morse, 1991; Rappole & Warner, 1976). Testing and refining migration-specific monitoring techniques

80   for ARUs is therefore necessary to understand how data from ARUs compare to data from in-person

81   observations.

82   ARUs are currently used during migration to record the flight calls of nocturnally migrating

83   species. They are deployed to track the abundance of migrants as they move through an area, and can

84   provide helpful information about migratory flyway locations, migration phenology, and relative

85   abundance (Sanders & Mennill, 2014; Evans & Rosenburg, 2000). Understanding how migrating birds

86   use migratory stopover habitat is a different challenge, and requires different methods. Determining

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87   how birds are distributed in stopover habitat, the relative abundance and species richness of birds in

88   such habitat, and the timing of arrival and departure from the stopover area are all important research

89   questions for applied conservation.

90   To take advantage of the large volume of data generated by continuously recording ARUs,

91   researchers are actively developing methods for automated identification of vocalizing organisms

92   (Salamon et al., 2016; Gibb, Browning, Glover-Kapfer & Jones, 2019). In contrast, we present a

93   method that can be implemented by anyone with the skills to conduct point counts, that does not rely

94   on machine learning for species identification and data processing. Because applications of ARUs in

95   migration stopover habitat have been under-explored in the literature thus far, we demonstrated and

96   assessed an immediately applicable monitoring technique.

97   We compared data from ARU surveys to in-person point count surveys during spring migration

98   in the northern Great Lakes region of the United States. Our goal was to understand how ARUs could

99   be applied to monitor diurnal stopover habitat use during migration by examining whether ARUs could

100   provide estimates of relative abundance and number of species that are comparable to estimates from

101   in-person surveys. We asked the following questions. 1) What are the differences between the number

102   of species detected using point counts and using ARUs? 2) Can ARUs give estimates of relative

103   abundance for focal species that are correlated with estimates of relative abundance from point counts?

104   3) Can randomly sampling from extended duration audio recordings provide better estimates of focal

105   species abundance or the number of species detected than consecutive minutes of audio recording?

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2 | MATERIALS AND METHODS

We conducted in-person point counts alongside continuously recording ARUs on the southern shore of Lake Superior during two months at the start of spring migration. We compared both raw data and model-based estimates of the number of species detected and focal species abundance from point counts and ARUs.

2.1 | Study site

We conducted field work in a 2.7 km^2 area on the Point Abbaye peninsula in Baraga County, Michigan, USA (Fig. 1). Surveys took place from 2 April to 22 May 2019, and were conducted daily unless prevented by weather conditions. Field work was designed to coincide with the arrival and peak abundance of early season migrating birds. Point Abbaye juts into the southern part of Lake Superior and comprises the western border of Keweenaw Bay. Habitat included forested wetland, upland hardwood, and hardwood forest disturbed by recent logging activity. We selected survey sites randomly across the study area. All spatial analyses were done in the R programming language using the 'rgdal', 'geosphere', 'rgeos', 'sp', 'maptools', and 'spatstat' packages (Baddeley, Rubak, & Turner, 2015; Bivand, Keitt, & Rowlingson, 2018; Bivand & Lewin-Koh, 2019; Bivand & Rundel, 2018; Bivand, Pebesma, & Gomez-Rubio, 2013; Hijmans, 2019; Pebesma & Bivand, 2005; R Core Team, 2020). We conducted a pilot study in 2018 to test our protocols and evaluate the accessibility of our randomly selected survey locations. See Appendix A for details about pilot year surveys, and survey site and date selection.

2.2 | Automated recording units

Birds were recorded using three SWIFT bioacoustic recorder rugged units (Cornell Lab of Ornithology, Ithaca, NY, USA), and one AudioMoth bioacoustic recorder that was housed in a thin plastic bag for light weather proofing (Hill et al., 2018; Open Acoustic Devices, Southampton, UK). SWIFT units used a built in PUI Audio brand omni-directional microphone. The AudioMoth unit used

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131   an analog microelectro-mechanical systems (MEMS) microphone. We refer to both the SWIFT and

132   AudioMoth units as "automated recording units" (ARUs). ARUs recorded at a sampling rate of 48 kHz

133   and saved recordings as uncompressed .WAV files. The signal to noise ratio reported by device

134   manufacturers is approximately 58 dB for the SWIFT units, and approximately 44 dB for the

135   AudioMoth unit.

136   **2.4 | Field survey methods**

137   ARUs recorded continuously for five hours each day, beginning within 10 minutes of local

138   sunrise time (United States Naval Observatory, 2016). ARUs were attached to trees less than 0.6 m in

139   diameter, and were placed 1.5–2 m above the ground (Darras et al., 2018). The SWIFT omni-

140   directional microphones were always oriented downward to prevent precipitation landing directly on

141   the microphone. After the five hour recording period ended each day, ARUs were moved to new

142   locations for the next day's samples, thereby rotating the ARU and point count samples through all 18

143   survey locations approximately every five days. The sampling order for the points was chosen

144   randomly.

145   Point counts were conducted daily next to each ARU during the five hour recording period.

146   Point counts involved recording all birds seen and heard at an unlimited distance during a stationary,

147   10-minute count. We did not survey in high wind or heavy precipitation. See Appendix A for detailed

148   point count protocols.

149   **2.5 | Desk-based audio surveys**

150   We conducted desk-based audio bird surveys by listening to ARU recordings played through

151   headphones on a laptop computer in the lab after the end of the field season. We tested three types of

152   desk-based audio surveys: 1) we listened to a recording of the 10 consecutive minutes during which the

153 in-person point count was conducted; 2) we listened to 24 minutes selected randomly from the five

154 hour recording duration; 3) we sampled a subset of 10 of the 24 random minutes (without listening to

155 those minutes again). Our goal was to compare each of these desk-based ARU survey methods to in-

156 person point count observations.

157 For each audio file, the desk-based survey technician noted the identity of each bird species that

158 vocalized, the type of vocalization, and the 30-second time intervals in which each species vocalized.

159 Detailed protocols for completing desk-based audio surveys can be found in Appendix A, and a

160 completed data sheet from a desk-based survey is shown in Fig. S6. We sampled 24 random minutes

161 from each ARU on each day because we wanted at least 20 minutes without anthropogenic disturbance.

162 After discarding randomly selected minutes that contained a human voice, we were ultimately able to

163 use data from 22 randomly selected minutes from each ARU on each survey day (i.e. we never had to

164 discard more than two of the 24 randomly selected minutes because of human voices).

165 **2.6 | Indices for observed number of species and relative abundance**

166 We summed the number of unique species detected (S) separately using each survey type: 10-

167 minute, in-person point counts (S_p); 10 consecutive minute ARU surveys (S_{10C}); 10 random minute

168 ARU surveys (S_{10R}); and 22 random minute ARU surveys (S_{22R}) (Box 1). We calculated a value of S for

169 each individual survey on each day, resulting in three or four values of S for each survey type on each

170 day.

171 We created an index of daily relative abundance (A) for two focal species (*Regulus satrapa*

172 (Golden-crowned Kinglet) and *Troglodytes hiemalis* (Winter Wren)) using each survey type (Box 1).

173 Our relative abundance indices were: the mean observed number of individuals per point count (A_p);

174 the proportion of 30-second intervals with a vocalization calculated by surveying n minutes in sections

175 of 10 consecutive minutes (A_{nC}); the proportion of 30-second intervals with a vocalization calculated by

176 surveying n minutes in sections of one minute chosen randomly from the five hour survey window

177 (A_{nR}). To reduce the number of zero abundance counts in our data, we calculated relative abundance

178 indices by grouping all surveys of each type for each day, so there was a single value for each

179 abundance index on each day. Note that while April 16th and 17th data appear on plots and in results,

180 ARU malfunctions on those dates made the number of sampled minutes n different for those two dates

181 for some of our abundance indices. See Appendix A for detailed discussion of sample size on these

182 dates.

183 **2.7 | Statistical Analysis**

184 **2.7.1 | Observed number of species**

185 To determine whether the survey type (S_{10C}, S_{10R}, S_{22R}) significantly influenced the number of

186 species detected, we modeled the number of species detected using a generalized linear mixed model

187 (GLMM) with a Poisson error distribution and log link function, using the 'lme4' package in R (Bates,

188 Maechler, Bolker, & Walker, 2015; R Core Team, 2020). Our fixed effects were survey type, day of

189 year, a second degree polynomial term for day of year, wind, rain, noise, and interaction terms for day

190 of year x survey type, and survey type x rain. We also used day of year as a random effect; we collected

191 up to four samples of each of four survey types per day, and new birds potentially arrived daily during

192 the study period, so we expected that the number of species detected by all surveys on each day would

193 be strongly correlated, regardless of survey location or survey type. More information about our

194 GLMM can be found in Appendix A.

195 **2.7.2 | Relative abundance**

196 We compared relative abundance estimates for our two focal species using data from A_p and

197 from each of the three desk-based audio survey types (A_{30C}, A_{30R}, A_{66R}). Winter Wrens were abundant in

198 the survey area, and vocalized frequently and loudly during early spring, representing a "best case"

199 scenario for detectability on ARU recordings. Golden-crowned Kinglets were abundant in the survey

200 area, but vocalized quietly (though regularly) during early spring, and so represent a greater challenge

201 for detection using ARUs.

202   We produced a total of eight relative abundance models, one using each of our four relative

203 abundance indices for each of our two focal species. For each relative abundance model, we fit

204 boosted regression trees (BRTs) (Elith, Leathwick, & Hastie, 2008; Friedman, 2001) using 200

205 iterations of five-fold temporal block cross validation, that used blocks of three consecutive days (Fig.

206 S3; Roberts et al., 2017). This resulted in a total of 1000 BRT fits per relative abundance model. We

207 generated predicted relative abundances by averaging predictions from the 1000 fits of each model. The

208 predictor variables in our model were day of year (continuous) and wind speed (categorical with three

209 levels representing Beaufort forces of 0-1, 2, or 3 or higher). We fit BRTs with the 'gbm' package in R

210 (Greenwell, Boehmke, Cunningham and GBM Developers, 2019; R Core Team, 2020). Details of

211 BRTs, including model tuning and control of overfitting are in Appendix A, and Figs. S4-S5.

212   We assessed the correlation between the observed data from our four abundance indices using

213 scatter plots and Spearman's rank correlation coefficient. We also assessed correlation between the

214 predicted values from the BRT models trained using data from each of the four abundance indices. We

215 calculated Spearman's rank correlation coefficient for all pairwise combinations of abundance indices,

216 with the exception A_{30R} and A_{66R}, since the data for A_{30R} is a sub-sample of A_{66R} data.

217 **3 | RESULTS**

218   Between 2 April and 22 May, 2019, we were able to survey on 37 days. During that time, we

219 conducted 137 in-person point counts. All four audio recorders experienced occasional malfunctions

220   that prevented us from recording the full five-hour survey window with some units on some days. One

221   SWIFT unit recorded 36 five-hour survey days, two SWIFT units recorded 35 five-hour survey days,

222   and the AudioMoth unit recorded 24 five-hour survey days, for a total of 650 hours recorded by ARUs.

223   Because of ARU malfunctions, on some days ARUs did not record the full five-hour period, but we

224   were able to manually turn on the units for the 10-minute period during the in-person point count.

225   Therefore, we recorded 130 10 consecutive minute periods with ARUs (during which a human observer

226   was present conducting a simultaneous point count) on 37 survey days, but only 124 periods of 22

227   randomly selected minutes on 36 survey days. A complete list of the species detected by each survey

228   method can be found in Table S1.

229   **3.1 | Observed number of species**

230   A chi-square ANOVA comparing our full model to a null model with survey type removed

231   showed that survey type (the S-index used) had a significant effect on the number of species detected

232   ($\chi^2_{9, 21}$ =247, $p < 0.0001$). We detected a similar number of species using S_{10R} as we did using S_p (Fig. 2;

233   Table 1; change in the log of the number of species detected = -0.065, 95% CI [-0.2; 0.08], $p = 0.3888$).

234   Using S_{22R}, we detected significantly more species than by using S_p (Fig. 2; Table 1; change in the log

235   of the number of species detected = 0.305, 95% CI [0.17; 0.44], $p < 0.0001$). We detected fewer species

236   using S_{10C} than using S_p (Fig. 2; Table 1; change in the log of the number of species detected = -0.614,

237   95% CI [-0.78; -0.44], $p < 0.0001$). Listening to randomly selected rather than consecutive minutes

238   eliminated the gap in number of species detected between 10-minute point counts and 10-minute ARU

239   surveys (Fig. 2). Day of year had a significant effect on the number of species detected (Table 1), with

240   more species expected later in the migration season (Fig. 2).

241   Chi-square ANOVA showed that the overall effect of wind was not significant ($\chi^2_{19, 21}$ =1.44, p =

242   0.48), nor was the overall effect of rain ($\chi^2_{18, 21}$ = 3.45, p = 0.32). The overall effect of noise was

243   significant ($\chi^2_{19, 21}$ = 10.3, p = 0.005). The interaction between survey type and day of year was not

244   significant (Table 1), providing no evidence of a difference in the effect of survey method on the

245   observed number of species over the course of the survey season.

3.2 | Relative abundance models

247   BRT models of relative abundance over time differed in how well they showed the initial period

248   of absence, and the increase in abundance corresponding with the arrival of migrant birds in our study

249   area, depending on the survey method used (Fig. 3). The general pattern of initial absence followed by

250   arrival of migrants can be seen in both the raw data and the model predictions of relative abundance for

251   A_p, A_{30R} and A_{66R} for Winter Wrens (Fig. 3 a, c and d), and for A_p and A_{66R} for Golden-crowned Kinglets

252   (Fig. 3 e, and h).

253   For both Winter Wrens and Golden-crowned Kinglets, the observed abundance indices from

254   ARU surveys were positively correlated with the observed abundance index from point counts (Fig. 4,

255   Fig. 5), indicating that the relative abundance proxies we calculated using ARUs are comparable to

256   relative abundance estimates from in-person observations. Winter Wren showed moderate to strong

257   correlation between the observed abundance index values from point counts and from ARU surveys

258   (Fig. 4, Table 2). Abundance indices for Golden-crowned Kinglets were less correlated than for Winter

259   Wrens, with weak correlation between A_{30C} and A_{30R} in particular (Fig. 5, Table 2). Correlations for

260   predicted values of our abundance indices were moderate to strong for both species (Figs. S1-S2), and

261   were higher than correlation coefficients for observed values of the same index pairs (Table 2). The

262   strong correlation between predicted values of the abundance indices indicates that our models found

263   the same underlying signal regardless of whether training data were from ARUs or point counts.

264   For Winter Wrens, observed abundance indices using randomly selected minutes from ARUs

265   (A_{30R}, A_{66R}) were more closely correlated with the observed abundance index from point counts (A_P) than

266   was the abundance index from 10 consecutive minute ARU surveys (A_{30C}). For both species, A_P was

267   most strongly correlated with A_{66R}.

268 **4 | DISCUSSION**

269   Importantly, abundance models trained with ARU data showed the increase in relative

270   abundance indicating the arrival of migrants at the study site, suggesting that ARUs can be used to

271   track migration phenology in stopover habitat for vocal species. ARUs also provided similar estimates

272   of the number of species detected as point counts when analyzing randomly sampled minutes. Our

273   results suggest that ARUs recording for an extended duration in migration stopover habitat can be just

274   as effective as in-person point counts for monitoring migrating land birds.

275   Data from randomly selected minutes of ARU recordings detected more species and produced

276   modeled abundance estimates that better showed the expected seasonal pattern of migration timing than

277   data from consecutive minutes of ARU recordings. There are two likely explanations for this. First,

278   randomly selected minutes are less temporally auto-correlated than consecutive minutes. For example,

279   during a 10-minute in-person point count, little new information is gained during the seventh minute of

280   the survey compared to what was collected during the sixth minute of the survey; a Winter Wren

281   singing near the end of the sixth minute of a point count survey will likely still be singing in the

282   beginning of the seventh minute. By selecting minutes randomly from across the five-hour survey

283   window, the temporal correlation between each successive minute that is analyzed is minimized.

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284   Second, during migration stopover, birds may move more and farther distances within the study area

285   than they would during the breeding season, when they have established a territory. The community of

286   birds within the immediate detection radius of an observer (either a person or a recording ARU) may

287   therefore change over the course of five hours. Using randomly selected minutes provides a more

288   complete sample of the birds using a spatial location over the entire course of the survey window.

289   For in-person point counts, the time taken to travel to a survey site takes up a major portion of

290   the total time invested, so site visits are typically limited to once per day. With ARUs, no such

291   constraints exist; it is possible to do multiple short-duration surveys from many locations over the

292   course of one day without additional travel and field work logistics. We recommend that studies using

293   ARUs on migration should randomly sample recordings of short periods of time (e.g. one-minute

294   recordings) from a defined survey window relevant to the study question (e.g. the five hours following

295   sunrise for passerines in temperate forest, or twilight to dawn for crepuscular and nocturnal species). In

296   many studies using ARUs during the breeding season, researchers listened to consecutive minutes of

297   recordings (e.g. 10 minutes or 2 minutes in Klingbeil & Willig (2015)). Given the improvement we saw

298   when listening to random rather than consecutive minutes from an extended duration recording, we

299   recommend that future studies using ARUs to monitor birds during wintering or breeding seasons test

300   whether randomly selected minutes provide a more effective sample than consecutive minutes.

301   We did not detect an effect of either wind or rain in our model of the number of species detected.

302   However, because we controlled for adverse weather conditions during our field surveys by not

303   surveying on rainy or windy days, the number of high wind values in our data was low, as was the

304   number of rainy survey days. We also noted anecdotally that occasionally the wind values recorded in

305   person for a survey day did not correlate with the amount of wind heard while conducting our desk-

306   based audio surveys; we speculate that wind direction in relation to the microphone may make a

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307   difference in how much wind is actually picked up by the ARU. Given that wind and rain have an

308   effect on the detectability of birds in the study system (Ralph, Droege & Sauer, 1995), they remain

309   important predictors to include, whether or not they appear significant in our model. Likewise, we

310   suspect that the insignificance of the polynomial day of year term is due to sample size limitations.

311   Interpreting the significance of the noise variable is challenging, because we used the variable to

312   describe all non-avian noise in the environment, which could include waves, airplanes, and frogs. We

313   suspect that the overall significance of the noise variable may be due to frogs. Future studies may want

314   to carefully consider whether they wish to distinguish between other vocalizing taxa and surrounding

315   environmental noise. ARUs can be used to simultaneously sample multiple taxa (e.g. crickets and bats;

316   Newson, Bas, Murray & Gillings, 2017) so researchers may want to incorporate analyses of non-bird

317   biotic noise into study designs.

318   Estimates of abundance are more useful than estimates of occupancy for prioritizing

319   conservation resources at dynamic temporal scales, such as during migration (Johnston et al., 2015).

320   ARUs do not solve the problem of how to estimate true abundance in stopover habitat during

321   migration. Imperfect detection means that the number of individuals detected is not necessarily a good

322   estimate of the number of individuals present (MacKenzie & Kendall, 2002). Hierarchical models that

323   account for imperfect detection (MacKenzie et al., 2002; Kéry & Royle, 2016) rely on assumptions

324   about population closure that may be badly violated during migration, when birds do not adhere to

325   territories and are present in stopover habitat for short periods of time. The period in which we can

326   reasonably assume population closure for our study area during migration may be as short as several

327   hours or as long as several days, depending on weather conditions. Therefore disentangling true

328   occupancy or abundance from detectability is difficult, whether using traditional in-person survey

329   methods or ARUs. Using a relative abundance index that does not account for detection probability

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330   could result in misleading estimates of abundance if detection probability is not constant (MacKenzie

331   & Kendall, 2002). It is possible that individual birds' vocalizations may increase over the spring

332   migration period, as birds prepare for the breeding season. Using our abundance indices, increases in

333   vocalizations would look like an increase in relative abundance, but the apparent increase would

334   merely be an artifact of changing detectability. However, given the moderate (for Golden-crowned

335   Kinglets) and strong (for Winter Wrens) correlation between A_p (observed abundance from point

336   counts) and our ARU abundance indices, we believe increases in relative abundance seen in our model

337   results (Fig. 3) are not mere artifacts of changes in detectability, but rather show real increases in

338   abundance associated with the arrival of our focal species in the study area. ARUs can therefore

339   provide valuable data about migration phenology and stopover habitat use, even if they cannot be used

340   to estimate true abundance.

341   Differences in how well relative abundance models captured the arrival of migrants seemed to be

342   partly dictated by how well aggregating detections by day reduced the number of "zero" counts in our

343   data. For Golden-crowned Kinglets, the A_p and A_{66R} models seemed to be effective at detecting the

344   increase in abundance associated with initial arrival, though they did not capture a peak of abundance,

345   if one existed during the study period. It is unclear whether days with high counts of Golden-crowned

346   Kinglets represent a real pulse of newly arrived birds, or the same birds already present in the region

347   clustering more densely, or just random variation around a more or less constant number of individuals.

348   A dense network of simultaneously recording ARUs in the region could help answer this question.

349   Future studies might also consider increasing ARU survey effort beyond our maximum of 66 randomly

350   selected minutes per day. The improvement in our models associated with increasing from 30 to 66

351   minutes suggests that increasing the number of minutes surveyed is beneficial.

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352   Future studies using ARUs to monitor bird migration may wish to take advantage of ARUs'

353 unique ability to scale research in ways that may be infeasible or prohibitively expensive for in-person

354 field work. For example, ARUs could be deployed in dense, small-scale networks to examine micro-

355 habitat use in stopover regions. Alternatively, they could be deployed on a latitudinal gradient covering

356 hundreds or thousands of kilometers to examine how vocal behavior changes over the spring migration

357 period as birds approach their breeding grounds.

358   Applying the methods described here can facilitate an increase in survey effort in difficult-to-

359 access migratory stopover habitat in high latitude forests. Temporal variation in accessibility in these

360 habitats is dramatic, as unpaved roads typically turn from snow to slush to impassable mud before

361 hardening into reliably dry surfaces in early summer. ARUs can eliminate many of the restrictive

362 logistics and safety concerns for researchers interested in monitoring spring migration. Our method of

363 using desk-based surveys of randomly selected minutes from ARUs can be used by any researcher with

364 the skills to conduct point counts. Researchers can set up ARUs during winter conditions when access

365 to study sites over snow is relatively easy (e.g. using snowmobiles, skis or snowshoes), and revisit to

366 collect the audio data once conditions have stabilized in late spring. Our methods for using ARU data to

367 model relative abundance of focal species and the number of species present during migration can be

368 immediately applied to increase monitoring effort in logistically difficult regions.

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Author Contributions

379   ER and WG developed the study design and methodology; ER prepared for and performed all field

380   work, and conducted all desk-based audio surveys; ER and WG conducted the data analysis, wrote the

381   manuscript, and gave final approval for publication.

Data Availability Statement

383   Data and code to reproduce analyses can be found at https://doi.org/10.5281/zenodo.3964500

384   (ellieroark, 2020). Audio recording files used to produce this analysis are archived at

385   https://doi.org/10.5281/zenodo.3964574 (Roark & Gaul, 2020).

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Figure and Table Captions

Figure 1: Study area on the Point Abbaye Peninsula in Baraga County, Michigan, USA. Points denote survey locations visited during April and May of 2019. The survey area covers 2.7 km^2. White lines on the left panel show unploughed four wheel drive roads which are impassible from early April to early May each year.

Figure 2: The number of bird species detected by in-person point counts and automated recording units (ARUs) during the spring migration period on the Point Abbaye peninsula, Michigan, USA in 2019. Points show observed number of species detected, lines show predictions from a generalized linear mixed model, holding weather variables constant. See Box 1 for a description of the species richness index abbreviations. Listening to 10 random minutes of data from an ARU (S_{10R}) allowed for detection of the same number of species as a 10 consecutive minute in-person point count (S_p). Increasing survey effort to 22 random minutes of ARU data (S_{22R}) increased the number of species detected to above the number of species detected by in-person point counts.

526

527 *Figure 3:* Predicted (lines) and observed (points) relative abundance of (a) through (d) Winter Wren,

528 and (e) through (h) Golden-crowned Kinglet, in April and May of 2019 on the Point Abbaye peninsula.

529 Points show observed values for each abundance index, while lines show the mean predicted values

530 from 200 five-fold cross validated boosted regression tree models. The vertical axes show the daily

531 abundance index value (see Box 1) calculated from: (a) and (e) three 10-minute in person point counts,

532 (b) and (f) three samples of 10 consecutive minutes of audio recordings from automated recording units

533 (ARUs), (c) and (g) three samples of 10 randomly selected minutes of audio recordings from ARUs, (d)

534 and (h) three samples of 22 randomly selected minutes of audio recordings from ARUs.

535

536 *Figure 4:* Correlation between daily observed values of relative abundance indices (Box 1) for Winter

537 Wren. See Table 2 for Spearman's correlation coefficients for each plot. Abundance indices for ARUs

538 (the proportion of 30-second intervals with a vocalization) are correlated with the abundance index

539 from point counts (mean number of individuals observed per count per day). Note that axis scales vary

540 by abundance index; absolute values are less important here than the relationship between observations.

541 Photo: "Winter Wren" by ilouque, used under license CC BY 2.0. Cropped from original.

542

543 *Figure 5:* Correlation between daily observed values of relative abundance indices (Box 1) for Golden-

544 crowned Kinglet. See Table 2 for Spearman's correlation coefficients for each plot. Abundance indices

545 for ARUs (the proportion of 30-second intervals with a vocalization) are correlated with the abundance

546 index from point counts (mean number of individuals observed per count per day). Note that axis scales

547 vary by abundance index; absolute values are less important here than the relationship between

548   observations. Photo: "Golden-crowned Kinglet" by Laura Gooch, used under license CC BY-NC-SA

549   2.0. Cropped from original.

550

551   *Box 1:* Indices of relative abundance and species richness for both in-person point count observations,

552   and desk-based listening counts using audio data from Automated Recording Units (ARUs).

553

554   *Table 1:* Results of a generalized linear mixed model of the number of species detected as a function of

555   day of year, count type and environmental condition covariates. Each variable included in the model is

556   shown, along with the coefficient point estimate, 95% confidence interval, and significance level.

557   *denotes p <0.05, ** denotes p <0.01, *** denotes p < 0.001

558

559   *Table 2:* Spearman's rank correlation coefficients for each combination of abundance indices. We did

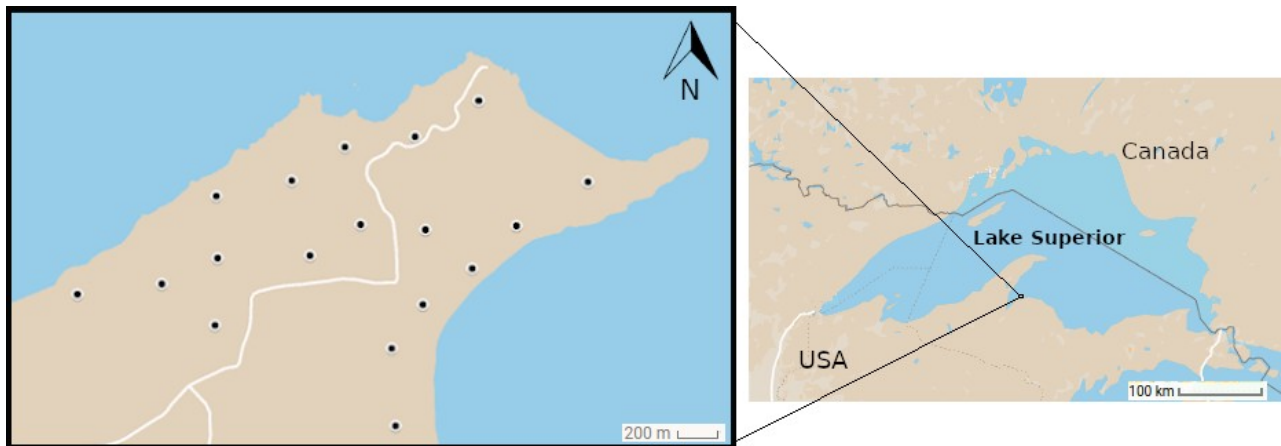560   not report a correlation for A_{30R} and A_{66R} because A_{30R} data is a subset of A_{66R} data.
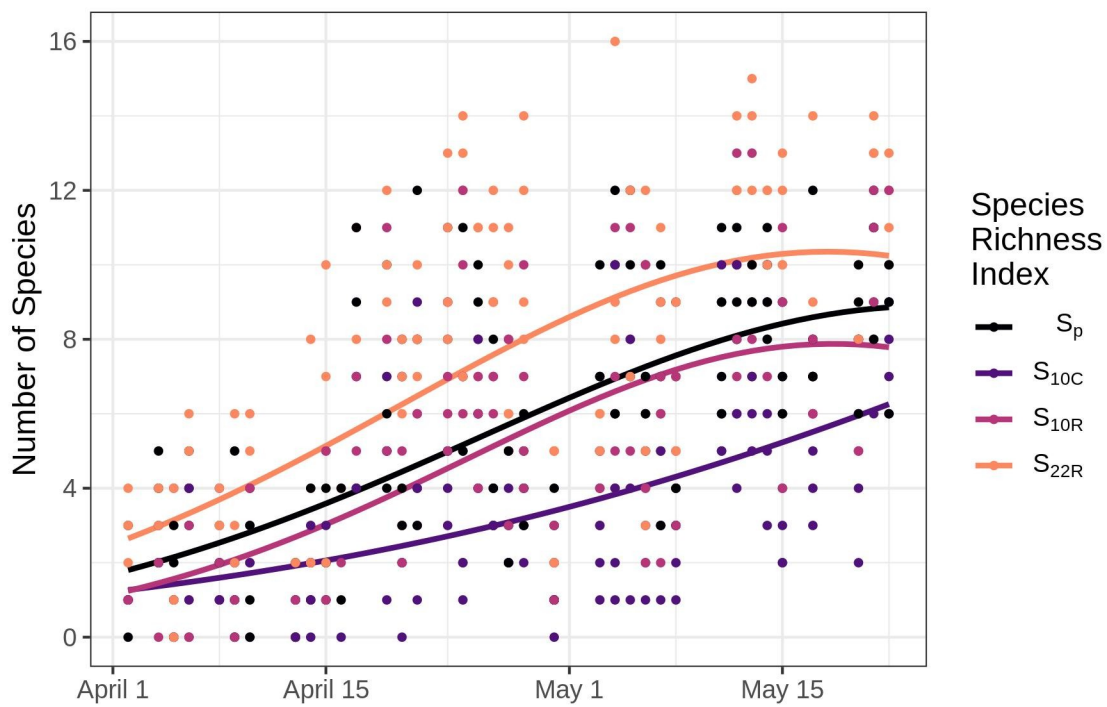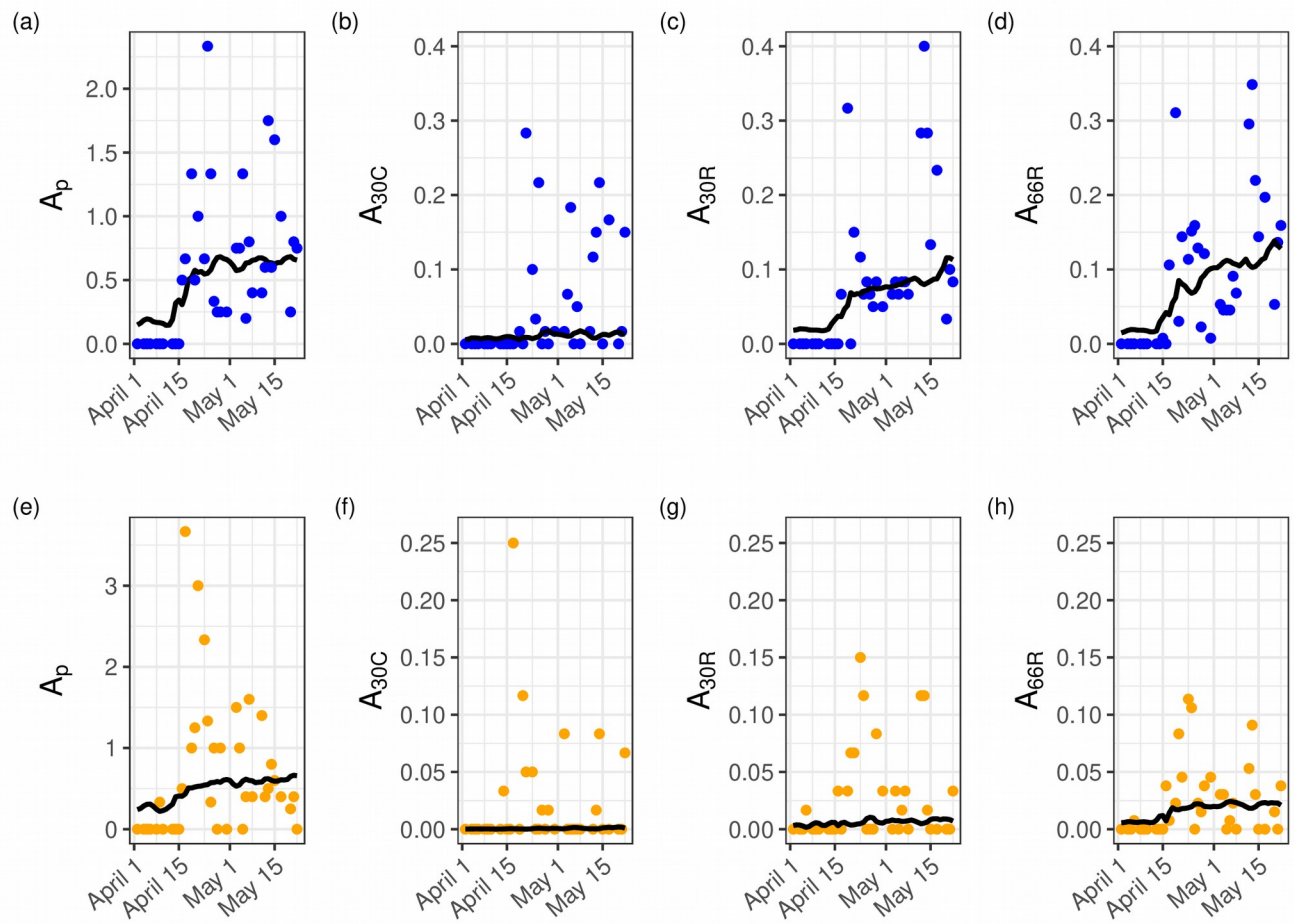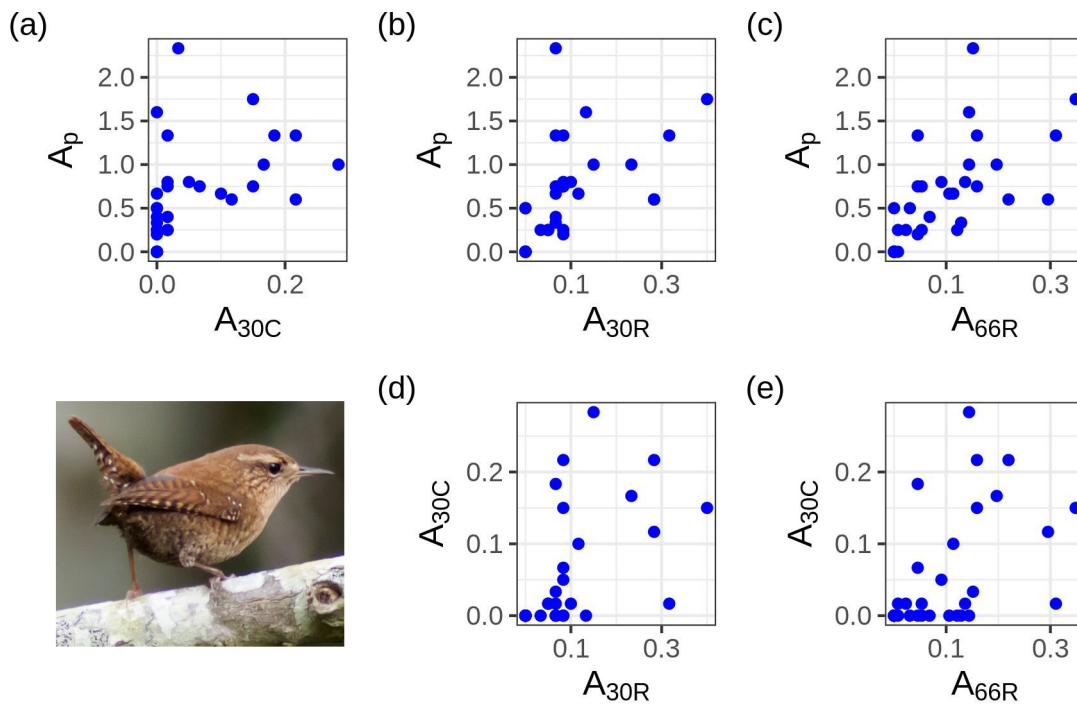
562   *Figure 1*
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567   *Figure 2*

568   *Figure 3*

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572   *Figure 4*
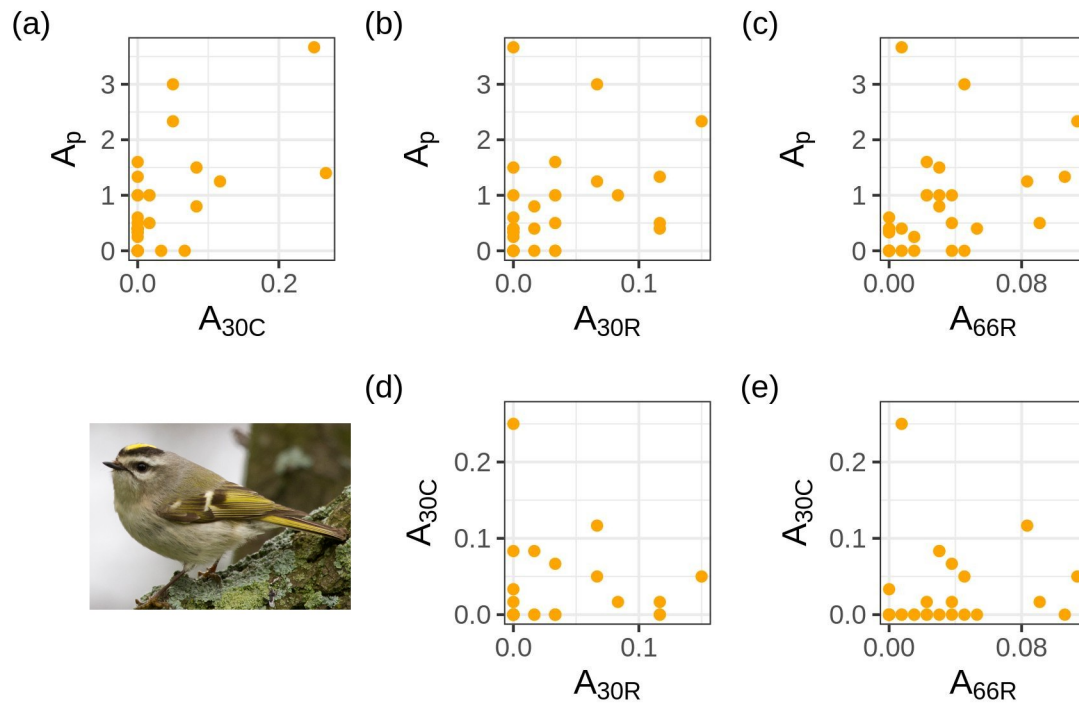
574   *Figure 5*

Box 1

Index Abbreviation	Survey type	Temporal continuity	Measured Value
A_p	Point count	Consecutive	Relative abundance measured as the mean number of observed individuals per 10 minute point count per day
A_{nC}	Automated Recording Unit	Consecutive	Relative abundance measured as the proportion of 30 second intervals with a vocalization calculated by surveying n minutes in sections of 10 consecutive minutes
A_{nR}	Automated Recording Unit	Random	Relative abundance measured as the proportion of 30 second intervals with a vocalization calculated by surveying n minutes in sections of 1 minute chosen randomly from the full five hour survey window.
S_p	Point count	Consecutive	Number of species detected during a 10 minute in person point count
S_{nC}	Automated Recording Unit	Consecutive	Number of species detected during n consecutive minutes, in sections of 10 consecutive minutes
S_{nR}	Automated Recording Unit	Random	Number of species detected during n minutes, chosen randomly from the full five hour survey window

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29

576

Table 1

Variable	Coefficient estimate	95% CI lower bound	95% CI upper bound	*P*-value
Survey type (S_{10C})	-0.6146	-0.7824	-0.4467	<0.0001***
Survey type (S_{10R})	-0.065	-0.213	0.0829	0.3888
Survey type (S_{22R})	0.3056	0.1702	0.441	<0.0001***
Wind (2)	-0.0301	-0.135	0.0748	0.574
Wind (3+)	-0.1007	-0.2644	0.0629	0.2277
Rain (Wet)	-0.1984	-0.4624	0.0657	0.1409
Noise (1)	0.1552	0.0595	0.251	0.0015**
Noise (>2)	0.0544	-0.147	0.2557	0.5967
Day of year	0.4346	0.305	0.5641	<0.0001***
Day of year^2	-0.1159	-0.2496	0.0178	0.0892
Survey type (S_{10C}) x Rain (Wet)	-0.0163	-0.3944	0.3617	0.9325
Survey type (S_{10R}) x Rain (Wet)	0.1861	-0.1374	0.5096	0.2595
Survey type (S_{22R}) x Rain (Wet)	0.2288	-0.0677	0.5252	0.1304
Survey type (S_{10C}) x Day of Year	0.0153	-0.1191	0.1498	0.823
Survey type (S_{10R}) x Day of Year	0.0595	-0.0659	0.1849	0.3525
Survey type (S_{22R}) x Day of Year	-0.0709	-0.1808	0.0389	0.2058
Survey type (S_{10C}) x Day of year^2	0.086	-0.048	0.2199	0.2083
Survey type (S_{10R}) x Day of year^2	-0.0583	-0.1825	0.0659	0.3575
Survey type (S_{22R}) x Day of year^2	-0.0148	-0.125	0.0953	0.7917

577

Table 2

Relative Abundance Index Pairs		Golden-crowned Kinglet		Winter Wren	
		Correlation of Observed Values	*Correlation of Predicted Values*	*Correlation of Observed Values*	*Correlation of Predicted Values*
A_P	A_{30C}	0.532	0.794	0.72	0.822
A_P	A_{30R}	0.499	0.652	0.781	0.882
A_P	A_{66R}	0.588	0.813	0.817	0.854
A_{30C}	A_{30R}	0.286	0.817	0.716	0.757
A_{30C}	A_{66R}	0.485	0.897	0.676	0.736

578