

1 Extensive Phenomenological Overlap between Induced and 2 Naturally-Occurring Synaesthetic Experiences

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14 **Abstract**

15

16 Grapheme-colour synaesthesia (GCS) is defined by additional perceptual experiences, which are

17 automatically and consistently triggered by specific inducing stimuli. The associative nature of GCS

18 has motivated attempts to induce synaesthesia by means of associative learning. Two recent studies

19 have shown that extensive associative training can generate not only behavioural (consistency and

20 automaticity) and neurophysiological markers of GCS, but also synaesthesia-like phenomenology

21 [1,2]. However, these studies provided only superficial descriptions regarding the training-related

22 changes in subjective experience: they did not directly assess how closely induced synaesthetic

23 experiences mirror those found in natural GCS. Here we report an extended qualitative analysis of

24 the transcripts of the semi-structured interviews obtained following the completion of the associative

25 training protocol used by [2]. In addition, we performed a comparable analysis of responses to an
26 interview with a new population of natural occurring grapheme-colour synaesthetes (NOS), allowing
27 us to directly compare the phenomenological dimensions of induced and naturally occurring
28 synaesthetic experience. Our results provide an extensive addition to the description of the
29 phenomenology of NOS experience, revealing a high degree of heterogeneity both within and across
30 all experiential categories. Capitalising on this unique level of detail, we identified a number of
31 shared experiential categories between NOS and induced synaesthesia-like (ISL) groups, including:
32 *stability of experience, location of colour experience, shape of co-occurring colour experience, relative strength of*
33 *colour experience and automaticity of colour experience.* Only the automaticity of colour experience
34 differed significantly between the two groups: NOS experience was reported as being mostly
35 automatic, whereas induced ISL were mostly described as being 'wilful'. We observed three
36 additional experiential categories relating to the automaticity of synaesthetic experience within the
37 NOS group: *contextually varied experience, semi-automatic experience and reflective association,* which
38 suggests that, as with other experiential categories, the automaticity of synaesthetic experience is also
39 highly heterogeneous. Our results provide new evidence that that intensive training of letter-colour
40 associations can alter conscious perceptual experiences in non-synaesthetes, and that such alterations
41 produce synaesthesia-like phenomenology which substantially resembles similarities to natural
42 grapheme-colour synaesthesia.

43 *Keywords: synaesthesia, induced-synaesthesia, associative training, phenomenology, consciousness*

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49 1.0 Introduction

50

51 In grapheme-colour synaesthesia (GCS), the presence of achromatic (black) letters (inducer) triggers
52 the experience of colour (concurrent). The defining characteristics of GCS include the automaticity of
53 the concurrent experience (i.e., not experienced as being under voluntary control), normally assessed
54 using an adapted version of the Stroop task [3,4,5], and the consistency of the associations (i.e.,
55 repeated presentations of an inducer will elicit highly similar concurrent experiences)[6,7,8].
56 Typically, natural synaesthetes differ in the nature of their synesthetic experiences. Some grapheme-
57 colour synaesthetes, referred to as *projectors*, experience their concurrent outside bodily space,
58 'projected' into the world. Others, referred to as *associators*, report that their experiences exist within
59 their internal mental space without any distinct spatiality [9,10,11]. A less frequently noted
60 characteristic of GCS is that concurrent experiences usually lack what is called *perceptual presence* [12].
61 This means that a concurrent experience is not usually confused with, or perceived as, a 'really-
62 existing' property of the world. In GCS, even though an inducer elicits an additional colour
63 experience (e.g., red), grapheme-colour synaesthetes will still perceive the inducer as being the colour
64 it actually is (e.g., black).

65

66 The associative nature of GCS has led researchers to theorise that a learning component must be
67 involved in the development of synaesthetic associations (for review see [13]). This has prompted a
68 number of studies to investigate if it is possible to train non-synaesthetic (neurotypical) individuals to
69 have synaesthesia-like experiences [1,2,4,14,15,16]. In two previous studies of this kind, we used
70 extensive and adaptive associative training regimes towards this goal [1,2]. Using the gold-standard
71 consistency test (Eagleman, Kagan, Nelson, Sagaram, & Sarma, 2007; Rothen et al., 2013;
72 www.synesthete.org), we found in both studies, that performance for trained letter-colour pairs
73 passed the threshold indicative of synaesthetic experience. In addition, participants also displayed

74 synaesthesia-like behaviour for trained letters on a synaesthetic equivalent of the Stroop test
75 (demonstrated by greater interference effects and slower response times in incongruent trials)[4,5].
76 Critically, the majority of participants in the first study [1], and all participants in the second study [2]
77 self-reported phenomenology suggestive of natural synaesthesia. However, these studies only
78 provided relatively superficial descriptions of these training-induced changes in phenomenology.
79
80 In this study, we investigate in more detail the degree to which trained and natural synaesthetic
81 experiences are similar. We report an in-depth qualitative analysis of the transcripts of the semi-
82 structured interview obtained following the completion of the training protocol (data taken from [2]).
83 We accompany this analysis with an equivalent analysis performed on interview transcripts obtained
84 from similar semi-structured interviews obtained from a new sample of natural GCS subjects.
85 Comparing these detailed phenomenological datasets, we provide a direct comparison between these
86 two types of unusual perceptual experience.

87

88 **2.0 Material and Methods**

89 *2.1 Participants*

90 The Induced Synaesthesia-Like (ISL) group consisted of 18 non-synesthetes (15 women, mean age =
91 23, SD = 3.08), whose data and interview recordings were taken from [2]. The Naturally Occurring
92 Synaesthesia (NOS) group consisted of 15 grapheme-colour synaesthetes (14 women, mean age = 43.3,
93 SD = 11.43). Experiments were undertaken with the understanding and written consent of each ISL
94 participant. All NOS participants were recruited from the University of Sussex synaesthesia database
95 based on indicative consistency scores of GCS ([www. synesthete.org](http://www.synesthete.org); [7]). Informed consent was
96 obtained from NOS participants prior to the beginning of the interview. The experiment was
97 approved by the University of Sussex ethics committee.

98 *2.2 Behavioural tests*

99 All participants completed the internet-based standardized grapheme-colour consistency test (www.
100 synesthete.org; [7]). The test presents each participant with the graphemes A–Z three times in
101 randomized order, for each presentation of a letter participants were asked to select the colour that
102 best fit with each grapheme. The ISL group performed this test twice, once before and once upon
103 completion of the training paradigm [2]. As part of their inclusion in the University of Sussex's
104 synaesthesia database, all NOS participants completed the grapheme-colour consistency test (once).

105 *2.3 Phenomenological analysis*

106 Our research design was constructed around three related approaches in empirical phenomenology
107 and qualitative research: two-phase research [17], sequential analysis [18] and theoretical sampling
108 [19]. Based on these approaches, in Phase one, we gathered data from the ISL group that aimed at
109 constraining our object of inquiry, so that in Phase two, we could acquire more precise and focused
110 qualitative data from NOS participants. The order of the Phases was based on the historical
111 dependencies between the two studies. The semi-structured interview used in [2] was carried out first
112 and enquired about the core characteristics of synaesthesia (e.g., consistency, automaticity,
113 unidirectionality etc.), which based on empirical evidence from NOS studies. This meant that the
114 structure of the interview used in Phase two (with NOS participants) was very similar to that used in
115 Rothen et al., (2018). Specifically, in the first Phase of the study, we conducted a semi-structured
116 interview on participants from our training study [2]. Semi-structured interviews are particularly
117 well-suited for investigating difficult-to-define experiences[2]. The manner in which the participant
118 speaks and phrases particular responses can lead to a greater understanding of participant's
119 experiences, while still allowing the participant the freedom to elaborate [20]. Based on ISL
120 participant's subjective reports, we designed the second Phase of research, in which we gathered
121 commensurable reports from individuals with naturally occurring GCS (the NOS group). In the
122 following subsections, we present the protocol for each Phase of research.

123 *2.4 Phase 1: Subjective reports of induced synaesthesia-like experiences*

124 In the first Phase, following the completion of a 5-week training battery, all (ISL) participants
125 performed a semi-structured interview designed to assess their perceptual phenomenology during
126 exposure to 13 achromatic trained letters (for details see [2]). Due to the nature of our research
127 questions, only sections of the interview that explicitly asked participants to describe their colour
128 experiences were transcribed. These sections included responses to the question “Look at this page
129 that has the 13 letters you have been trained over the last 5 weeks, to associate with 13 specific
130 colours. I want you to describe any associated colour experience you have when looking at these
131 letters”. Additionally, within the interview a subset of 14 participants were asked to compare the
132 strength or vividness of their strongest trained synaesthesia-like colour experience (e.g. ‘r’ is red) to a
133 life-long colour association of a real-world object (e.g. the specific shade of red associated with an
134 English post-box).

135

136 Further, we constrained the focus of responses in a funnel-like structure frequently used in semi-
137 structured interviews[21], by including responses and discussion to a forced choice question that
138 required participants to localise their trained synaesthesia-like experiences in space. Question:

139 “Which statement characterises your grapheme-colour associations best?

140 Whenever I see a letter...

141 - There is only that letter, but no colour at all. I can't even think of an associated colour, no matter how
142 hard I try.

143 - I know the associated colour, but I never see it.

144 - I see the colour in front of my mind's eye.

145 - I see the colour outside my head (i.e., a few inches away).

146 - I see the colour floating on the surface wherever the letter is.”

147

148 The interviews were transcribed following established methods of analysing subjective reports
149 [22,23]. The analysis of the transcripts was carried out in two stages. First, the description of actual
150 subjective experiences relevant to our line of enquiry were highlighted within the transcripts, while
151 other descriptions (such as clarification about the question, or irrelevant discussion) were removed
152 from the transcripts. We then performed a content analysis on the transcripts for all participants (N =
153 18) (transcripts are available https://osf.io/e367d/?view_only=dd61d42daa7a4c848023b89bd38789f8).
154 Experiences within the transcripts were then classified with respect to their specific content. We
155 avoided using preconceived categories, instead allowing the categories and names for categories to
156 emerge from the data [24].
157
158 The data was then analysed according to the principles of *content analysis*, whereby codes are not
159 assigned to the data based on the concrete words used, but rather according to the underlying
160 meaning [25]. We used inductive coding, meaning that we ascribed abstract, more general description
161 to the raw data without recourse to established theoretical constructs [cf. 19]. This approach was
162 chosen as it recognises that the knowledge gathered through interviews is jointly constructed by the
163 researcher and participant, rather than being discovered in an observer-independent fashion, while
164 still providing an established method for the analysis of qualitative data [26,27]. Following the logic of
165 induction (i.e., moving from concrete raw data to more abstract, general descriptions), we assigned
166 descriptive tags to the transcribed interviews. In doing so, we did not follow a pre-existing
167 framework. Rather, we allowed experiential categories to emerge naturally from the experiential
168 reports. Afterwards, we grouped specific codes together based on their structural (i.e., descriptive)
169 similarities. Note that our coding system, while open-ended, was not assumption-free. We
170 constructed a taxonomy of experiential categories such that it allowed for comparison of
171 phenomenological dimensions of induced synaesthesia-like with naturally-occurring synaesthetic
172 experiences. The validity of data acquisition and analysis was evaluated using two methods: a) *the*
173 *annotated codebook* and b) *intercoder verification*. In qualitative research, the annotated codebook

174 approach [28] is an instrument that serves three purposes. Firstly, it is a way of organizing qualitative
175 data by establishing meaningful relationships between individual reports. Secondly, a fully specified
176 codebook (i.e., a codebook in which meaningful relationships between all the categories is
177 established) provides a method for establishing that the gathered data is 'deep' enough for theory-
178 construction [29,30]. Thirdly, it represents an instrument in which the coding process is described in
179 sufficient detail to enable independent replication. The annotated codebook itself consists of two
180 instruments: the saturation grid and the codebook itself. The saturation grid is a tabulation in which
181 for each participant we note new instances of codes established from the interviews. Once we observe
182 no new categories for an individual, conceptual depth has been achieved (also called saturation), and
183 it is no longer necessary to conduct further interviews [31]. Table 1 displays a condensed version of
184 the saturation grid for the ISL group (a fully specified saturation grid for the ISL group can be found
185 in the supplementary materials). As can be seen in Table 1, conceptual depth was reached with
186 participant 17: after this participant, no new codes were identified.

187

Participants	1	2	3	4	5	6	7	9	11	12	13	14	16	17	18	20	21	22
New codes	9	3	1	2	0	1	1	0	0	0	1	0	0	1	0	0	0	0

188

Table 1. Condensed version of a sample saturation grid for the ISL group.

189

Only participants included in [2] were used for analysis.

190

191 The codebook was constructed according to standard approaches in empirical phenomenology [e.g.,
192 32-34]. Each entry in the codebook is specified according to the following elements: name, description,
193 subcategories, examples, and considerations. Considerations describe any specific differences
194 between similar categories as well as concerns that may have emerged during the coding process (see
195 supplementary materials for the full annotated codebooks

196

https://osf.io/e367d/?view_only=dd61d42daa7a4c848023b89bd38789f8). A second measure that was

197

used to ensure the validity of the analysis was intercoder verification. Two coders (DS and AO) coded

198 all transcripts independently and then compared their results for consistency [35]. Experiential
199 categories were only identified and carried forward for analysis if identified by both coders.

200 2.5 *Phase 2: Subjective reports of naturally-occurring synaesthetic experiences*

201 In the second Phase of the study we collected additional data using a semi-structured interview from
202 individuals with NOS. The interviewing protocol for the second Phase was constructed based on the
203 findings from the first Phase; i.e., the interview guided participants towards observing and reporting
204 on the main phenomenological dimensions that we observed in the transcripts from ISL experiences.
205 Specifically, whenever the participants used language based on folk psychological theories or specific
206 theories of synaesthesia, the interviewer used precise follow-up questions prompting the participants
207 to describe their experience in more concrete terms. The interviews were conducted via video
208 conference (Skype or WhatsApp). Audio was recorded using a dictaphone; video was not recorded.

209

210 The semi-structured interview followed a tripartite structure. First, we obtained general information
211 about the nature of the participants' synaesthetic experiences, including questions relevant to the
212 situational demands of the interview:

213

- 214 ☐ Have your synaesthetic experiences always been stable? Have they changed throughout the
215 course of your life?
- 216 ☐ Do your synaesthetic experiences change depending on your mood, time of day, your level of
217 fatigue?
- 218 ☐ Do your synaesthetic experiences change depending on whether you are reading text from a
219 computer screen or a piece of paper?

220

221 We also collected demographic information and whether participants were professionally involved
222 with academic mind-science disciplines, which may have led to theoretically-laden description of

223 experience (e.g. Psychology, Neuroscience). The second Phase also involved participants viewing 13
224 graphemes, which were presented (via screen-share) individually in the same size and font as used in
225 our training study [2], and they were asked to report on their associated colour experience. Following
226 the presentation of each grapheme, they were asked to verbally identify the RGB code that best
227 reflected their specific colour experience in relation to the grapheme, using an online colour picker
228 (<https://htmlcolorcodes.com/color-picker/>). In this Phase, the participants also referred to the strength
229 of the association between the grapheme and the colour on a scale from 1 to 10, with the weakest
230 association being 1 and the strongest being 10. This section of the interview concluded with the
231 participants having to report on the overall automaticity of their synaesthetic experience on a scale
232 from 1 to 10, with 10 being fully automatic.

233

234 The third section of the interview examined participant's synaesthetic phenomenology in more detail
235 by using a number of open-ended questions, as well as a specific mental exercise.

236 The open-ended questions were:

237

- 238 ☐ Can you describe the details of the colour experience (shape, letter, block etc.; precise location
239 your synaesthetic experiences take place).
- 240 ☐ When looking at a letter, for instance, 'r', are you aware of both the actual colour of the letter
241 on the page (black) and your synaesthetic colour experience? Or does one overlay the other?
- 242 ☐ Can you describe how your synaesthetic colour experience are similar/not similar to the
243 colour of a real-world object?
- 244 ☐ Do your synaesthetic experiences change if the grapheme is capitalized or in a different font?
- 245 ☐ Are there any other types of experiences you have had in your life, which are similar to your
246 synaesthetic experiences?

247 The mental exercise was:

248

249 ☐ Can you bring to mind a typical everyday colour association, such as *post-boxes are red* or the
250 *sky is blue*. How is the experience of this colour association similar or different to your
251 synaesthetic associations?

252

253 Finally, we asked the same forced choice question as with the ISL group, which required participants
254 to localise their colour experience in space i.e. “Which statement characterises your grapheme-colour
255 associations best?” Forced choice question options were the same as for the ISL group.

256

257 The audio recordings of the interviews were transcribed verbatim. Whenever participants referred to
258 colour shades that were unfamiliar to the researcher by name, a picture of that colour was included in
259 the transcript. If the participants referred to phonemes rather than graphemes, the audio in question
260 was transcribed using the International Phonetic Alphabet. Subsequently, the transcripts from the
261 NOS group were analysed using the same method as for the ISL group [18].

262

263 The validity of the data in the second Phase was established using the same methods as for Phase 1,
264 through the construction of an annotated codebook and intercoder verification (DS and AO). Finally,
265 as with ISL experience, we determined conceptual depth by constructing a saturation grid (Table 2),
266 which revealed that we had reached saturation by participant NOS-10.

267

NOS Participant	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
New codes	11	10	2	1	1	3	2	0	1	1	0	0	0	0	0

268

Table 2. Condensed version of saturation grid for the NOS group.

269

270 Finally, a chi-square test of independence was performed to examine the relation between ISL and
271 NOS groups for each experiential category. We only included commensurate values and excluded
272 instances where no values could be induced from the raw data. This statistical comparison for each
273 experiential category should be viewed as additional information to aid in the interpretation of
274 qualitative findings, rather than a rigorous quantitative analysis.

275

276 **3.0 Results and Discussion**

277

278 In this study we applied a qualitative analysis of interview transcripts to provide a detailed
279 description of both training Induced Synaesthesia-Like (ISL) and Naturally Occurring Synaesthesia
280 (NOS) experiences, enabling us to directly compare these two types of perceptual experience. Our
281 phenomenological analysis of the transcripts from both groups identified six main overlapping
282 categories of experience. Transcripts of interviews as well as the annotated codebooks are available
283 online https://osf.io/e367d/?view_only=dd61d42daa7a4c848023b89bd38789f8.

284

285 Responses to questions about the nature of the NOS participants' synaesthetic experiences revealed
286 that six out of the fifteen participants worked in mind-science areas, raising the possibility that their
287 reports were informed by their theoretical knowledge about synaesthesia. Verifying that the
288 situational context of the interview (video conference) did not affect their concurrent experience,
289 responses to the question 'Do your synaesthetic experiences change depending on whether you are
290 reading a text from a computer screen or a piece of paper?', revealed that the synaesthetic experiences
291 of all participants (N=15) were not affected by the medium on which the grapheme was presented.

292

293 As described in our previous study [2], all ISL participants reported synesthetic phenomenology
294 following completion of the training regime. Results of the grapheme-colour consistency test (www.

295 synesthete.org; [7]) revealed that post-training consistency scores were on average below the
296 established threshold value of 135 in CIELUV colour space, a level indicative of natural GCS (see top
297 panel of Fig. 3C in [2]). Consistency results for the same test verified that all NOS participants were
298 also below the threshold indicative of natural GCS ($M = 76.53$; $SD = 21$). In addition, all ISL
299 participants also demonstrated letter-specific behavioural effects indicative of automaticity (e.g.,
300 synaesthetic Stroop interference effect), which was further supported by reports that their
301 synaesthesia-like experiences were automatic (assessed via forced-choice question).

302

303 **3.1 Identified phenomenological dimensions**

304 The main phenomenological dimensions that were constructed from the content analysis of the raw
305 transcripts (verified by both coders) for the ISL group, which were used to compare and later
306 observed in the NOS group were:

307

308 ☐ *Stability of colour experience.* This category describes the degree of within-subject variation in
309 colour experience for each letter, including its strength and automaticity.

310 ☐ *Location of colour experience.* Within the transcripts we identified two levels of description
311 referring to the location of the colour experience: the first, *location*, describes whether the
312 colour experience takes place within the participants' mental space or is externally localised.

313 The second level of description is *location (specified)*, describes where in relation to the
314 inducing stimulus the colour experience occurs.

315 ☐ *Shape of colour experience.* This category describes differences in the visual quality of the
316 induced synaesthesia-like experience. In natural GCS variations exist in the precise visual
317 qualities of the colour experience [36,37], such as discernible geometric shapes, letters, auras
318 or as a totality of colour.

319 ☐ *Relative strength of colour experience.* This category refers to a specific mental exercise

320 participants were asked to perform during the interview. Participants were asked to bring to
321 mind a strong real-world colour association (for example, the specific shade of red of an
322 English post box). Importantly, the participants had to be aware of this association as
323 something that remained stable throughout their lives. Then, during the mental exercise they
324 were asked to compare their associated colour experience with this real-world colour
325 association, and report how the two experiences compared to each other in terms of the
326 strength and vividness of experience.

327 ☐ *Automaticity of colour experience.* This category refers to how automatically participants colour
328 experience occurred. On the broadest level, automaticity refers to the distinction between
329 colour associations being experienced as something that the participants need to actively
330 invoke (coded as *willful*) and something that happens to them without any mental effort
331 (coded as *automatic*).

332

333 **3.2 Comparisons of experiential categories between ISL and** 334 **NOS groups**

335 We now discuss the results of each experiential category, their relative similarities and differences,
336 and the continuity between these reports and those found in the synaesthesia literature:

337

338 **3.2.1 Stability of colour experience**

339 This category describes a high-order description of induced-synaesthetic experience in terms of how
340 varied the experience was for each participant across all presented graphemes. It encompasses aspects
341 of experience, such as the vividness of the associated colour experience and how effortful it was to
342 bring the colour experience into awareness and how these aspects of experience varied from

343 grapheme to grapheme. We highlight that the experiential categories, *location*, *shape* and *automaticity* of
344 *colour experience* may also be evaluated with regards to *stability of colour experience*, but within the
345 context of the interviews these categories were identified as separate dimensions of experience.

346

347 As is common in natural synaesthesia[37,38], the majority of ISL participants reported that their
348 experience was *heterogeneous* (15 out of 17), displaying a high degree of variability in the strength and
349 vividness of their synaesthesia-like colour experience associated with each letter i.e. in general, the
350 letter 'r' produced a more vivid colour experience (red) than the letter 'u' (grey). Only two ISL
351 participants reported a *homogenous* colour experience for all graphemes. For some graphemes, the
352 synaesthesia-like colour association was reported as being automatic, whereas for other graphemes, it
353 required mental effort to experience the associated colour (see Table 4).

354

355 We found a similar degree of variability within the phenomenological space of NOS participants. Ten
356 NOS participants reported (N = 15) that their concurrent experiences were highly varied in terms of
357 strength and automaticity between individual graphemes (coded as *heterogeneous*), with only 5
358 participants reporting that their experiences were homogenous across all graphemes (see Table 4). A
359 chi-square test of independence, found no significant difference between the ISL and NOS groups for
360 this experiential category: $\chi^2(1, N = 32) = 1.091, p = 0.296$. Cramér's V measure of association, ϕ_c , was
361 0.185. In terms of the stability of synaesthetic experiences throughout their lives, eight NOS
362 participants reported that their synaesthetic experiences had remained stable throughout their life.
363 Four participants reported that they observed minor changes in synaesthetic experiences throughout
364 their lives, including changes in the synaesthetic colour associated with single letters or words (e.g.,
365 NOS-02 reports the word for *Saturday* shifted from blue to grey at the onset of adulthood), or
366 variability in the intensity of synaesthetic colours (e.g., both NOS-11 and NOS-04 report that they
367 sometimes worry that they may have "lost their colours"). Three participants reported more major
368 changes in their synaesthetic experiences, describing that all of their synaesthetic colours had become

369 faded with age [cf. also 39]. The second dimension of stability of synaesthetic experience is whether
370 the colour of their synaesthetic experience changes with context. Eight participants (N = 15) reported
371 that their colours are present even during goal-oriented behaviour, such as reading. Conversely,
372 seven participants reported that when reading, they were able to push their synaesthetic experiences
373 out of their awareness.

374

375 Previous research has shown that the letter–colour associations of synaesthetes are not random, but in
376 fact display some structure [40]. In both of our previous training studies, the
377 letter–colour associations chosen for training were based on their prevalence in synaesthetic and non-
378 synaesthetic populations. Within natural synaesthesia, the majority of these letter–colour associations
379 displayed a clear semantic association (e.g. r = red)(see Fig 3, [40]; also [1]). In our first training study,
380 we found that even before training, letter–colour pairings that exhibited a strong semantic association
381 caused a significant Stroop interference effect. Following training, Stroop interference effects
382 increased, but were almost entirely driven by those letters that exhibited a clear semantic association
383 [Fig 1, 1]. In addition, we found that synaesthesia-like colour experiences were particularly strong for
384 those letter–colour associations that involved the same semantic component [1]. These observations
385 raise the possibility that conceptual associations may facilitate the development of at least some
386 letter–colour associations in natural synaesthesia, which in turn, may explain why they were so
387 successful in driving synaesthesia-like behaviours and phenomenology in our training studies.

388

389 **3.2.2 Location of colour experience**

390 Within this category we constructed two levels of description. The higher-order level of description
391 (referred to as *location*) describes whether the participants colour experience was located within their
392 mental space or if it was externally localised. The lower-order level of description (*location (specified)*)
393 describes the location of the colour experience in relation to the grapheme: *letter-adjacent*, denotes

394 situations in which the colour was experienced proximal to the letter; conversely, *letter-overlapping*
395 describes the associated colour experience occurring precisely over the letter.

396 ***Location***

397 For the category *location* we found that, as reported in NOS [41,42], the ISL group differed
398 substantially in the description of the location of their synaesthesia-like experience. Some (9 out of 17
399 ISL participants) reported their synaesthesia-like experience as occurring in external space (*projector-*
400 *like*), whereas others (8 out of 17 ISL participants) reported them as occurring within their “mind’s
401 eye” (*associator-like*). Responses to the forced choice question: “Which statement characterises your
402 grapheme-colour associations best?” revealed a slightly different picture (see Table 3), which may be
403 due to the constrained nature of the forced choice questioning. 12 out of 18 ISL participants reporting
404 their synaesthesia-like experience occurred in front of their “mind’s eye”, and the rest reporting that
405 they occurred ‘floating on the surface wherever the letter is’.

406

407 Within the NOS group, we found fewer projector-like descriptions (3 out of 15), with the majority (12
408 out of 15) describing their concurrent experience as occurring within their *mental space* (see Table 4).
409 This finding was supported by responses to a forced choice question that required participants to
410 localise their synaesthetic experiences in space (see Table 3). As responses to the forced choice
411 question were taken in the context of a face-to-face interview, NOS participants had the opportunity
412 to elaborate on their answers. The table therefore contains two counts: *primary* and *secondary features*
413 for individuals with NOS. Primary feature represents the count of their typical experiences, whereas
414 the secondary feature represents experiences that may happen (usually qualified in the interviews
415 with phrases “It happens sometimes,” or “It happens in specific circumstances”).

416

Forced choice statement	ISL: Responses	NOS: Primary response	NOS: Secondary response

Whenever I see a letter, there is only that letter, but no colour at all. I can't even think of an associated colour, no matter how hard I try.	0	0	0
Whenever I see a letter, I know the associated colour, but I never see it.	0	2	2
Whenever I see a letter, I see the colour in front of my mind's eye.	12	11	0
Whenever I see a letter, I see the colour outside my head (i.e., a few inches away).	0	0	2
Whenever I see a letter, I see the colour floating on the surface wherever the letter is.	6	2	4

417 *Table 3. Absolute frequencies of forced-choice responses to the question "Which statement characterises your*
 418 *grapheme-colour associations best?" for ISL and NOS groups.*

419 A chi-square test of independence, found no significant difference between the ISL and NOS groups
 420 for the experiential category *location*: $\chi^2(1, N = 32) = 2.418, p = 0.120$. Cramér's V measure of
 421 association, ϕ_c , was 0.275.

422 *Location (specified)*

423 Within this category, we found a large degree of heterogeneity for both groups when describing the
 424 precise location of their colour experiences. In reports where this information was available,
 425 approximately half of the ISL participants (7 out of 13) described their colour experience as
 426 overlapping with the grapheme, the others (6 out of 13) described it as occurring near or around the
 427 grapheme.

428

429 Within the NOS group we observed two cases ($N = 7$) of *letter-adjacent* colour experience, and five
 430 cases of *letter-overlapping* colour experiences (i.e., the colour experience was described as occurring

431 precisely over the grapheme). However, for the remaining NOS participants we identified an
432 additional experiential category that was not present in the ISL group, in which the location of
433 concurrent experience could not be discerned, because the colour experiences were tied to vague
434 descriptions that occurred in the participant's mental space. These participants described their
435 synaesthetic experience as containing a component (N = 6) or consisted solely (N = 1) of propositional
436 knowledge of the grapheme-colour association. In other words, the participants *knew* the concurrent
437 colours without being able to localise them in space [10; 43]. Finally, one participant (NOS-04),
438 reported experiencing an embodied connection to the concurrent colour, such that she experiences
439 her concurrent through her body. A chi-square test of independence, found no significant difference
440 between the ISL and NOS groups for the experiential category *location (specified)*: $\chi^2(1, N = 21) = 0.257$,
441 $p = 0.612$, Cramér's V measure of association, ϕ_c , was 0.111.

442

443 On first inspection reports from both groups appear to support the associator/projector subtype
444 distinction. However, a more detailed examination of reports from the NOS group reveal a more
445 complicated picture. Four aspects of reported experience challenge this distinction. First, unlike
446 classic descriptions [42], in the NOS group we observed a number of individuals in which both
447 associator and projector phenomenology co-occurred (8 out of 15) (for a similar finding, see [36]). For
448 example, consider the following report:

449

450 Participant NOS-12: ... The colours become even more intense if I'm tired. If I'm super
451 caffeinated. And I can become more of a projector and less of an associator during those
452 experiences. Like if my brain is frazzled, all of a sudden, I am a projector, and not an
453 associator.

454

455 This duality is quite apparent in Participant NOS-08. On the one hand, her synaesthetic experiences
456 may take the form of an awareness of colour inside her mental space:

457

458 Participant NOS-08: They are in my head. They are sort of in the middle. The colours for the
459 alphabet, they, or with words, they are sort of in the middle of my head there but down. So,
460 behind my eyes, and in the middle of my brain. And how I see it, it's all black in my brain. It's
461 like black, with just the words in the middle inside of my head. And I'm reading it, sort of,
462 left to right. I see them as I would on the page.

463

464 However, under specific circumstances (in this case reading), these colour experiences are reported as
465 occurring in external space:

466

467 Participant NOS-08: I am basically sitting, this is my son's bedroom, these are his
468 bookshelves. Some of his bookshelves. And I am actually looking at some words here, all the
469 time. And so, [pause] I am looking at *Civil War* – He's a historian – [laughs] where it says *Civil*
470 *War*, obviously I can see it written in red. But then in my head, it's going green, white, brown,
471 white, white, it's going green, yellow, brown. In my head. It's almost like I can read it.
472 Obviously, I can see it. But it's not changing in front of me. It's not changing on the book
473 spine. It's in my head. I translated it into colour.

474

475 Researcher: Yeah. [...]. Are you aware of these two separate colours of the actual red of the
476 title and then of your synaesthetic experience, are you aware of them simultaneously or do
477 you switch between the two?

478

479 Participant NOS-08: I switch. I sort of, I can, oh, no, actually, when I am looking at it there, it's
480 going green, the C is going green in my head as I am looking at it there. Obviously, I'm aware

481 of the red, and I'm reading it in red, but then it's going into colour in my head. I know that
482 sounds so bizarre.

483

484 Conversely, participant NOS-03 reports that when external stimuli appear spontaneously or take him
485 by surprise, his synaesthetic experience was described as occurring in the *outside world*, but when
486 elicited in the artificially constrained environment of the interview, his concurrent experience was
487 described as residing within his mental space.

488

489 Second, for some NOS participants (7 out of 15) the synaesthetic experience contained a component
490 (6) or consisted solely (1) of propositional knowledge of the grapheme-colour association. In other
491 words, these participants *knew* the associated concurrent colour without being able to localise it in
492 space. Others have described this instantiation of GCS as 'know-associator', that is, such synaesthetes
493 simply know the colour associated with each letter but do not have a visual representation of it
494 [36,43]. This non-perceptual subtype, does not fit within the subtype classification (even though these
495 participants display consistency for letter-colour pairings), and may reflect a weak form of
496 synaesthesia, in which, the perceptual concurrent experience has faded over time, but the associative
497 aspects remain.

498

499 Third, within cases that described their grapheme-colour associations as propositional knowledge,
500 five out of the six NOS participants described their concurrent phenomenology as consisting of
501 multiple streams of experience. Rather than being aware of a concrete colour, the participants
502 experienced a vague awareness or a feeling of a colour. This finding is in line with previous research,
503 which also found that some synaesthetes could not easily describe their concurrent experience [36].
504 Again, these descriptions present difficulties when attempting to place them within the projector-
505 associator continuum.

506

507 Finally, one participant (NOS-04), reported experiencing an embodied connection to the concurrent
508 colour. Her description implies an active participation in attending to the colour such that she
509 experiences her concurrent through her body (matching what has been referred to as *attentional*
510 *dispositions*, [34,44] and *existential orientation* [45] in phenomenological literature; and *overarching states*
511 *of mind* in neuroscientific literature [46]. Again, this description falls outside of the classical subtype
512 classification.

513

514 Together, the results of the experiential categories *location* and *location (specified)* demonstrate that
515 similar to NOS, ISL experiences were reported to occur either in external space (*projector*), or within
516 their “mind’s eye” (*associator*). In terms of the specific location of colour experience (*location*
517 (*specified*)), the majority of both groups described them as occurring either adjacent to, or overlapping
518 with the letter. However, within the NOS group, we discovered an additional category of experience,
519 in which the location of concurrent experience could not be discerned. A detailed examination of
520 reports from the NOS group for these categories revealed a number of aspects of experience which
521 are at odds with the subtype classification. Results from these experiential categories, combined with
522 previous work [11,36,47], suggests that while initially useful as a tool for dividing synaesthetes into
523 subgroups, the project-associator distinction may require evolving into a more nuanced
524 phenomenological space.

525

526 **3.2.3 Shape of colour experience**

527 This experiential category describes the shape or form associated with the colour experience reported
528 by participants. All of the ISL and the majority of the NOS group described the same three forms of
529 colour experience for this category, reporting that either their colour experience mirrored the shape of
530 the grapheme (ISL: 7 out of 14; NOS: 8 out of 15) (coded as *letter*), that their associated colour
531 experience had a *discernible geometric shape* (e.g., a block of colour) (ISL: 3 out of 14; NOS: 1 out of 15),

532 or that their colour experience exhibited a shapeless presence of colour or an *aura* (ISL: 4 out of 14;
533 NOS: 6 out of 15)(the participants' entire mental space was filled with the awareness of the associated
534 colour)(see Table 4). In terms of the specific shape of synaesthetic colour experience, we identified
535 two additional experiential categories within the NOS group. Specifically, a distinction was
536 established between cases where colour experiences were explicitly described as having no shape
537 (coded as *none*; N = 4) and a single case in which the participant described the colour experience as
538 taking up the whole of their mental space (*totality of colour*), with it becoming part of their sense of
539 embodiment. We note that values for the category *shape* may overlap; i.e., an individual may associate
540 one letter with a specific shape and another letter with a different shape. Thus, we observed one case
541 where the shape of the letter co-occurred with the shape of an aura, and one case where it co-occurred
542 with totality of colour. A chi-square test of independence, found no significant difference between the
543 ISL and NOS groups for this experiential category: $\chi^2(2, N = 22) = 1.228, p = 0.541$. Cramér's V
544 measure of association, ϕ_c , was 0.236.

545

546 **3.2.3 Relative strength of colour experience**

547 This category refers to a specific mental exercise participants were asked to perform during the
548 interview, in which they were asked to compare the vividness of their strongest trained/natural
549 synaesthetic colour experience to visual imagery associated with a life-long colour association of a
550 real-world object (e.g. the specific shade of red associated with an English post-box). We created three
551 values to describe the strength of synaesthesia-like experience: *weaker than non-synaesthetic colour*
552 *association, stronger than non-synaesthetic colour association, and equal to non-synaesthetic colour*
553 *association*. However, we note that the strength values of synaesthesia-like experience may overlap, as
554 we found that experiences in both groups displayed a high degree of heterogeneity from grapheme to
555 grapheme.

556

557 Following the mental exercise, the majority of participants in both groups reported their associated
558 synaesthetic/synaesthesia-like colour experience as being equal to or weaker than visual imagery
559 associated with a non-synaesthetic colour association (ISL: 11 out of 16; NOS: 11 out of 15). Five ISL
560 and two NOS participants reported that their synaesthetic experience was stronger than visual
561 imagery associated with a life-long colour association (see Table 4). Interestingly, NOS participants
562 frequently reported that there was no qualitative difference between their synaesthetic experience
563 and visual imagery of a real-world colour association, for example:

564

565 Researcher: *Bananas are yellow* is okay. Compare that colour association with *red is rusty brown*,
566 or sorry, *R is rusty brown*. [...] How are these two statements similar or different in your
567 experience?

568

569 Participant NOS-10: They are pretty much exactly the same, to be honest. [pause] There's no
570 difference. Bananas will always be yellow, and R is always going to be rusty brown.

571

572 Findings for this experiential category may be interpreted as suggesting an equivalence between
573 visual imagery and synaesthetic experience. Indeed, ever since synaesthesia was first described,
574 debate has continued over whether synaesthetic experiences should be viewed as distinct from vivid
575 mental imagery [48,49,50]. Some synaesthetic experiences are described as occurring within the
576 “mind’s eye”, which is evidently similar to descriptions of visual mental imagery. Nonetheless,
577 phenomenological differences exist between these two forms of perception. For example, in projector
578 variants of GCS, the concurrent experience is described as being externally localised, whereas visual
579 imagery is normally reported as occurring within the “mind’s eye”. In addition, visual imagery is also
580 associated with a sense of volition, whereas a widely agreed hallmark of the synaesthetic concurrent
581 experience is that they are automatically triggered by specific inducing stimuli [37]. We will return to
582 this point shortly.

583

584 **3.2.4 Automaticity of colour experience**

585 This category of experience refers to whether the colour experience was described as being *automatic*
586 (i.e., experienced as if it happened to the participants) or *wilful* (i.e., experienced as if it was performed
587 by the participants). In our previous training study, we reported that 12 out of 18 ISL participants
588 indicated that the translation from letter to colour did not require any effort, 3 out of 18 were
589 undecided and 3 out of 18 said the translation required effort [2]. In contrast, to these findings, our
590 qualitative analysis revealed that for the majority of ISL participants (9 out of 14) their synaesthesia-
591 like experience required mental effort to occur, with only five cases reporting that the experience was
592 automatic. A possible explanation for this divergence relates to the different prompts (open-ended vs.
593 closed-form) used to assess automaticity, which may have led participants to provide an answer
594 based on different aspects of their experience [e.g.51,52]. In our original training study automaticity
595 was assessed by asking participants: 'Does it require mental effort from your side, in order to have
596 these experiences?'. By contrast, the results of this experiential category were based on an analysis of
597 each participants entire interview (data here
598 https://osf.io/e367d/?view_only=dd61d42daa7a4c848023b89bd38789f8).

599

600 Using the same qualitative analysis, in contrast to the ISL group, we found that the majority of NOS
601 participants (8 out of 15) described their synaesthetic colour experience as fully automatic (see Table
602 4). This result was confirmed using a forced choice question, in which participants rated the
603 automaticity of their synaesthetic experience from 1-10, 10 being fully automatic; the average score
604 across all participants was 8.99 (N = 15, SD = 1.62). We found that only one participant described their
605 synaesthetic colour experiences as being *wilful*, i.e. they needed to be wilfully brought to the front of
606 their awareness.

607

608 This divergence in experience between the two groups was highlighted by a chi-square test of
609 independence, which identified a significant difference between the ISL and NOS groups for the
610 experiential category *automaticity*: $\chi^2(1, N = 24) = 4.934, p = .026$. Cramér's V measure of association,
611 ϕ_c , was 0.453. In reference to the category *stability of experience*, it needs to be restated that the
612 automaticity of letter-colour associations varied from grapheme to grapheme.

613

614 While the ISL group only reported on the distinction between *wilful* and *automatic* experiences, we
615 identified three additional aspects of NOS experience for this category. The first is *contextually varied*
616 *experience*, which describes individuals whose synaesthetic experiences displayed different levels of
617 automaticity based on their immediate situation. For example, we found three NOS participants who
618 reported that while reading prose, were able to ignore their synaesthetic experiences, and three
619 participants whose synaesthetic colours were dependent on the context in which they were viewed.

620

621 The second additional experiential category identified within the NOS group is *semi-automatic*
622 *experience*, which refers to situations in which participants experience the option of bringing their
623 concurrent experience to the forefront of their awareness or to block it from occurring at all. We
624 observed two cases of individuals who reported *semi-automatic* experiences. Consider the following
625 report:

626

627 Participant NOS-04: I don't focus in on the colour quite as much as if I am focusing on it. So,
628 to say, like, for example, I am reading a novel. I don't focus on the colours that are there. So,
629 they become sort of pushed back out of my conscious thought until I individually think of a
630 letter.... It's a background noise type thing.

631

632 The third additional experiential category we identified is named *reflective association*. This category
633 describes reports of colour experiences only become present in the participants' awareness when they

634 consider a given grapheme, or when they reflect on the letter itself. If they do not assume this
635 reflective stance, no synaesthetic experience occurs. Surprisingly, similar to reports from the ISL
636 group that their colour experience required mental effort, we found codes referring to the *reflective*
637 nature of concurrent experiences in the majority of NOS participants (10 out of 15).

638

639 The category *reflective association* contains two values: *phase-in* and *phase-out*. The former describes
640 situations in which participants perform a mental gesture in order to bring the synaesthetic
641 experience to the forefront of their awareness, as in the following example:

642

643 Participant NOS-05: So, this, and perhaps I don't have the right kind of synaesthesia for you.
644 I don't *see* the colour when I look at the word on the page. But when I envision a letter in my
645 mind, it has a colour. Words in my mind have a colour, and certainly days of the week and
646 numbers very strongly have a colour. But if I look at your list, I don't see the colours when I
647 see the letters.

648

649 As well as the following:

650

651 Participant NOS-06: I'm only aware of it ... if I'm reading, there are no colours at all, I'm just
652 reading. If I stop for a moment and think about synaesthesia ... if someone says ... or if my
653 mind drifts from the reading to synaesthesia, then I can see letters. They are kind of there all
654 the time. I can see them if I want to. The colours are there. I can see that it's black. It's just
655 black. The text. But if I want to see the colours, they will pop up in a way, but it's different in
656 a way, because I am physically seeing the black letters, and I am mentally seeing the colours.
657 Because it happens so automatically – that's it! It's the synthesized bit of synaesthesia, in that
658 it is so automatic that I am imagining the colour, it's not in the imaginary visualization way,
659 because it's just there and I can tap into my brain or not. And most of the time, I never do.

660 Conversely, the value *phase-out* refers to the mental gesture whereby participants move their
661 synaesthetic experience to the back of their mind (see excerpt from participant NOS-04, above).

662 If they did not assume this reflective stance, no synaesthetic experience was reported.

663

664 Similar reports of mental action altering perceptual experience have also been described in other
665 forms of synaesthesia, such as sequence-space synaesthesia. In a single case study, the concurrent
666 experience was described as occurring within a “mental room”, with the participant reporting the
667 ability to selectively shift their attention to either the mental room in which the concurrent occurred,
668 or to the real world (Gould, et al., 2014). Within the NOS group we identified seven participants who
669 reported a similar ability: while reading prose, they were able to ignore their synaesthetic
670 experiences, pushing them to the back of their mind.

671

672 The identification of this experiential category *reflective association* suggests that, for most NOS
673 participants, an inducer must be attended to in order to elicit a concurrent experience. This finding is
674 in line with previous work, which suggests that attention must be deployed to a grapheme for the
675 associated colour experience to emerge [36,53-56]. Indeed, a key feature of the description of
676 automaticity in relation to concurrent experience is that an inducer must be sufficiently processed to
677 elicit a concurrent experience. For example, within a visual search task, in which a target digit was
678 presented within an array of distractors, both synaesthetes and matched-controls were shown to be
679 equally inefficient at locating the target when the target and distractors were achromatic, despite the
680 same digit eliciting a distinct colour experience for the synaesthetes when presented outside of the
681 search task [54,56]. The authors suggest that synaesthetic colour experience does not arise early
682 enough in visual processing to attract focal attention. In addition, other studies have shown that
683 reducing the available attentional resources when processing an inducing stimulus significantly
684 reduces synesthetic interference effects, within a modified Stroop task [53,54]. These findings
685 collectively suggest that the concurrent experience requires attention to be focused on an inducer in

686 order to occur. However, the precise meaning of ‘sufficiently processed’ is unclear and open to
687 interpretation, if it refers to a participant being consciously aware of the stimulus, then our findings
688 differ from the literature, as some participants reported being aware of a letter, but needed to further
689 reflect on its associative aspects to elicit a concurrent experience. Based on the subjective reports of
690 the majority of our NOS participants, the category *reflective association*, supports the notion that in
691 addition to inducers requiring selective attention, they also need to be considered in order for them to
692 elicit a synaesthetic colour experience. Further research is required to validate if this particular aspect
693 of synaesthetic experience extends to a wider GCS population.

694

695 The results of the chi-square test suggests that a distinguishing aspect of experience between ISL and
696 NOS groups is that NOS experiences are subjectively perceived to occur automatically, whereas ISL
697 experiences are generally reported as being wilful. However, the category *reflective association* leads to
698 an apparent contradiction in our data, namely, for NOS participants the closed-form questions point
699 to concurrent experiences being automatic, while the qualitative data suggests that for the majority of
700 participants they are experienced as being wilful. How can we explain this contradiction in our data?
701 One possibility is that, similar to the ISL group, the different prompts (open-ended vs. closed-form)
702 lead the participants to provide an answer based on different aspects of their experience [e.g. 51]. The
703 open-ended questions (within the context of the interview) may have been interpreted as enquiring
704 about the awareness of colour in their visual consciousness, whereas the closed-form measures may
705 have been interpreted as referring to their propositional knowledge about the grapheme-colour
706 association. For example, the associative link between ‘R’ and ‘red’ may be automatic in a participant’s
707 experience, whereas the visual experience of redness elicited by the letter ‘R’ seems to be associated
708 with the reflection on the letter itself.

709

710 Observations from this experiential category also speaks to the relationship between synaesthetic
711 experience and visual imagery. As previously mentioned, a key distinction between these two forms

712 of perception is volitional control, - a defining characteristic of synaesthetic experiences is its
713 automaticity, while visual imagery usually exhibits an element of volitional control. However, we
714 found that some NOS participants reported volitional control over their synaesthetic experience.
715 Additionally, the majority of NOS participants reported that their concurrent experience only
716 occurred following a volitional *reflective association*. We acknowledge that visual imagery is a complex
717 and flexible phenomenon; that likely consists of many components [57]. However, reports of NOS
718 participants in our study provides tentative evidence that for some synaesthetes their concurrent
719 experience exhibits a degree of volitional control, which appears similar to visual imagery [58].

720

721 Finally, individual variation in the vividness of visual mental imagery in the general population has
722 been well documented [58,59]. We note that reports from both ISL and NOS participants displayed
723 individual variations in the vividness of their concurrent experience. This raises the question, if
724 variations in visual mental imagery could account for individual differences in the reported vividness
725 of synaesthetic concurrent experiences observed in this study? Indeed, grapheme-colour synaesthetes
726 have been shown to display more vivid visual imagery compared to matched controls [60]. A finding
727 which has led some researchers to conclude that there must be a continuum of experience in GCS,
728 from those who never have a visual experience of a concurrent (possibly reflected by the non-
729 perceptual subtype) to those individuals who always enjoy a vivid conscious concurrent [49]. We did
730 not explore variations in visual mental imagery within our NOS participants; future work is
731 warranted to examine if variations in visual mental imagery affects the reported vividness of
732 concurrent colour experience.

733

734 To aid in the interpretation of results, Table 4, summarises the frequency distribution of
735 phenomenological dimensions between the ISL and NOS groups.

736

Phenomenological dimensions of ISL and NOS experience							
Stability of experience	Value	Heterogeneous	Homogenous	NA			
	f(ISL)	15	2	1			
	f(NOS)	10	5	0			
Strength of association	Value	Weaker than colour association	Equal to colour association	Stronger than colour association	NA		
	f(ISL)	6	5	5	5		
	f(NOS)	3	5	2	2		
Location	Value	Outside location	Mental space	NA			
	f(ISL)	9	8	1			
	f(NOS)	3	12	0			
Location (specified)	Value	Letter-adjacent	Letter-overlapping	NA			
	f(ISL)	6	7	5			
	f(NOS)	2	6	5			
Shape	Value	Letter	Discernible shape	Totality of colour	Aura	None	NA
	f(ISL)	7	3	2	2	/	4
	f(NOS)	8	1	1	3	4	0
Automaticity	Value	Willful	Automatic	Varied	Semi-automatic	NA	
	f(ISL)	10	5	/	/	3	
	f(NOS)	1	8	3	2	0	

737 *Table 4. Frequency distribution of phenomenological dimensions associated with ISL and NOS experience. The*

738 *symbol “/” represents values that were not induced from the data in that specific group.*

739

740 **3.3 Implications for synaesthesia research**

741 Our phenomenological analysis of the NOS group raises a series of points for discussion regarding

742 the core definitional characteristics of natural synaesthesia, which we now consider in terms of its

743 internal consistency, automaticity and spatial characteristics [7,10,37,61].

744

745 The consistency of synaesthetic experience has become the behavioural 'gold standard' for
746 determining the genuineness of the condition, being described by some as a fundamental
747 characteristic of synaesthesia [11]. Using the colour-consistency test for the behavioural diagnosis of
748 synaesthesia [7] our previous training studies, including the participants reported on here, found that
749 trained graphemes demonstrated levels of consistency indicative of synaesthetic experience [1,2].
750 These results suggest that, while a useful indication of natural synaesthesia, consistency cannot be
751 viewed as unique to naturally occurring synaesthesia. Indeed, debate exists around the validity of
752 consistency as a diagnostic criterion in synaesthesia research. For example, Simner et al., [62] found
753 that not all synaesthetes meet the criteria of consistency even though they feel strongly that they
754 experience the condition, and also noted that some synaesthetes reported that their concurrent
755 experiences were never consistent. Some have argued that the criterion of consistency over time
756 provides a circular definition; that is, it fits the profile of synaesthesia described in the literature
757 precisely because this group has been selected based on this criterion [41,63]. It is possible that studies
758 investigating synaesthesia have been self-selecting a biased sample of consistent synaesthetes, while
759 claiming that consistency is a defining feature of this condition.

760

761 A wealth of contemporary studies have described the automaticity of synaesthetic experiences, i.e. a
762 concurrent experience is automatically triggered by an inducer and is not under voluntary control, as
763 another hallmark of the condition, which is normally assessed using an adapted version of the Stroop
764 task [4,5]. We found, using subjective reports, that the majority of NOS participants reported a need
765 to selectively attend to the inducing stimulus in order for a concurrent experience to be elicited. This
766 finding is in line with previous research [8,36,53,54,55,56]. However, we found that the majority of
767 NOS participants also needed to 'reflectively consider' the inducer, in addition to paying attention, to
768 elicit a concurrent experience. We also found that three NOS participants reported that while reading
769 prose they were able to ignore their synaesthetic experiences. How do these findings fit within the

770 wider synaesthesia literature? A key feature of the description of automaticity in relation to
771 concurrent experience is that an inducer must be sufficiently processed to elicit a concurrent
772 experience. However, this description is open to interpretation, if sufficiently processed refers to a
773 participant being consciously aware of the stimulus, then our findings differ from the literature. This
774 difference is highlighted by some participants not experiencing a synaesthetic concurrent in
775 circumstances where this would be undesirable (e.g., reading prose), even though they are
776 consciously aware of the inducer. If, on the other hand, sufficiently processed refers to paying close
777 attention to the inducing stimulus (i.e., focusing attention to the stimulus and its associations), then
778 our findings are in line with previous research.

779

780 In standard terminology, natural synaesthetes have often been characterised along a projector to
781 associator continuum [3]. In both ISL and NOS groups, some participants indeed reported that their
782 concurrent occurred within their mind's eye, while others indicated that it appeared in external space.
783 However, we found that some NOS participants reported both types of description, while others
784 stated that there was no specific spatiality associated with their concurrent experience. Therefore,
785 while our results suggest that some synaesthetic experience may be spatially localised, we found
786 other variants that lacked a defined spatial location. Our findings suggest that there is no clear
787 rationale for future studies to subdivide synaesthetes simply based on the spatial location of their
788 concurrent experience.

789

790 The comparisons between induced and natural synaesthesia described in this paper cast further
791 doubt to the claim that natural synaesthetic phenomenology can only occur in a rare subset of the
792 population that exhibit a genetic predisposition [38,64,65]. Even in cases where a genetic
793 predisposition leads to the development of synaesthesia, the observation that in most forms of
794 synaesthesia concurrent experiences are triggered by cultural artefacts, suggests that natural
795 synaesthesia must include a substantial dependence on learning and prior experience [1,2,13,66,67].

796

797 **3.4 Limitations and future directions**

798 The phenomenological descriptions reported here are by no means exhaustive, particularly with
799 regards to naturally occurring synaesthesia (NOS). Indeed, even within the present set of transcripts,
800 additional experiential themes and categories were identified, which remain to be fully explored.

801

802 Among these additional categories, *veridicality*, is an aspect of experience that describes if participants
803 colour experience lacked either veridicality or what has been called “perceptual presence” i.e. they
804 were not perceived as properties of the world [68]. The majority of both groups provided similar
805 descriptions about the veridicality of their associated colour experiences, which was compatible with
806 a lack of perceptual presence (for more detail see supplementary material
807 https://osf.io/e367d/?view_only=dd61d42daa7a4c848023b89bd38789f8). There were possible
808 exceptions, but the evidence we have speaks more to the location of concurrent experience rather than
809 to its continuity with ‘real world’ perception in the sense of perceptual presence. For example, in one
810 surprisingly expressive example, participant ISL-12 describes their experience as follows: “I would
811 say that they feel roughly the same, but it might sound stupid, but like tomato is more of a real
812 colour, but when I think of the green (trained colour) I think of it on a computer screen and it’s a sort
813 of not a real thing, so it doesn’t feel as natural. When you think of a tomato you think about how the
814 light hits something, that shape, and that’s part of the colour”. This single quote nicely illustrates how
815 this participant experiences appear to lack perceptual presence. Further research is needed to examine
816 if induced or natural synaesthetic experience do indeed lack perceptual presence and what cognitive
817 and neural underpinnings may explain this aspect of experience compared to normal, non-
818 synaesthetic perception.

819

820 Another identified experiential category within the NOS group was *functionality*, which describes
821 whether individuals can use synaesthesia as a beneficial cognitive strategy, or whether the
822 synaesthetic experience is disruptive (see annotated codebook for further description). As well as the
823 widely reported cognitive benefits found in natural synaesthesia, such as an enhanced memory [for a
824 review, see 69], we found that for our participants any benefits co-occurred with detrimental effects,
825 such as interference effects in daily life between the concurrent experience and inducer.

826

827 In addition, we identified the experiential category *colour-as-a-feature*, which describes a common
828 report within the NOS group, whereby the colour is understood as a basic, elementary feature of the
829 inducing stimulus, similar to its shape or the phoneme associated with a given letter. Further research
830 is required to identify if this is a general feature of GCS.

831

832 The interview also included a detailed exploration of how the phonetics of a grapheme, its outward
833 appearance or its underlying meaning affected the concurrent experience. For example, some
834 participants reported that visually distinct forms of a grapheme induced the same colour, as long as
835 they were members of the same linguistic category, i.e. 'b was blue' for all instances of the letter 'b'
836 regardless of its font or even when presented in other non-Roman languages (e.g. the letter Б in
837 Cyrillic). These data could be used to further explore the relative contributions of conceptual
838 associations or the sensory/perceptual features of a grapheme in the generation of synaesthetic
839 phenomenology [70,71].

840

841 The phenomenological dimensions identified here display a large degree of overlap with previous
842 reports of synaesthesia (e.g. 3,11,37). It is therefore likely that these dimensions are typical of a wider
843 grapheme-colour synaesthesia (GCS) population. However, we acknowledge the conclusions drawn
844 in our study are limited to our participants and the training regime used [2]. Further work is required
845 to examine if the identified experiential overlap between induced and naturally occurring

846 synaesthesia apply to a wider population. In addition, we acknowledged that qualitative analysis is
847 particularly susceptible to researcher bias. We employed a number of strategies to mitigate this
848 influence, such as the annotated codebooks and intercoder verification. We also provide access to all
849 interview data as raw transcripts, alongside the annotated codebooks and saturation grids (see
850 supplementary material https://osf.io/e367d/?view_only=dd61d42daa7a4c848023b89bd38789f8)
851 However, we acknowledge that qualitative research does not reflect an objective, opinion-free point
852 of view, but we suggest that its strength is in adding a unique level of understanding to a condition
853 such as synaesthesia, which in turn can be used to drive future experimental work.

854

855 Demographic information from the NOS group revealed that six out of the fifteen participants
856 worked in mind-science areas (e.g. Psychology, Neuroscience), raising the possibility that they may
857 have provided theoretically-laden descriptions of their experience. NOS represents a relatively small
858 subset of the population, with many researchers relying on the same group of synaesthetes across
859 multiple studies. Future studies should take care to make sure that participants detailed knowledge
860 of the synaesthesia are not influencing their results, creating a circular confirmation of the condition;
861 that is, the profile of synaesthesia described in the literature occurs precisely because participants are
862 aware of normative responses within NOS.

863

864 A potential limitation of our training studies is the possible influence of demand characteristics – i.e.,
865 the social dynamics present in a researcher-participants relationship, whereby the latter modifies their
866 behaviour in accordance with what the participants perceive to be the research goal of the study [72].
867 To guard (as far as possible) against this potential influence, the term ‘synaesthesia’ was not referred
868 to at any point during the study. The training protocol was always described as a memory training
869 task. The interview, however, was the first point in the study where a possible connection to
870 synaesthesia was alluded to by the researcher. Following completion of the study a debriefing email
871 was sent to all subjects asking if they had become aware of the synaesthesia component of the study

872 at any point (yes/no responses only). 15/18 subjects answered no, indicating that for most participants
873 demand characteristics were minimal, if present at all. This combined with training induced
874 behavioural and neurophysiological effects argue against demand characteristics driving responses
875 during the interview [2]. However, we acknowledge the potential confounding effects of demand
876 characteristics in driving experience within psychological science, especially for subjects who score
877 high on scales of hypnotic suggestibility or 'phenomenological control' [73]. Phenomenological
878 control refers to an individual's ability to alter what they experience, both within and outside of the
879 hypnotic context, in ways that are consistent with their plans and goals [74,75]. Recent work has
880 investigated the possible influence of phenomenological control in generating experiences in mirror-
881 sensory synaesthasias: mirror touch, in which the observation of someone being touched elicits a
882 reported tactile sensation in the observer [76]; and vicarious pain, in which observed pain elicits
883 reports of experienced pain [77]. Lush et al., [74] found that hypnotisability scores strongly predicted
884 mirror-sensory synaesthesia responses, suggesting that some of the experienced touch and pain
885 sensations may have been the result of the participants' capacity to 'create' the experiences of touch
886 using phenomenological control. The authors suggest that in the case of mirror-sensory synaesthasias,
887 individuals may habitually (but involuntarily) implement phenomenological control in everyday life,
888 when it is in-line with their goals, creating tactile sensations. These findings raise the possibility that
889 habitual phenomenological control may also underlie the reported colour experiences in both induced
890 and natural synaesthesia. To address this question, future studies investigating phenomenology
891 within grapheme-colour synaesthesia should also administer measures of phenomenological control
892 such as the Sussex-Waterloo Scale of Hypnotisability (SWASH; [78]), to investigate if synaesthetic
893 phenomenology is related to individual differences in phenomenological control ability.

894

895 Finally, extensions of our approach may be used to advance neurophenomenological accounts of
896 synaesthesia. For example, first-person data could be used as a heuristic to describe and quantify the
897 neurodynamics associated with synaesthesia. Indeed, some have already shown how individual

898 differences in synaesthetic phenomenology (localisation) are associated with characteristic neural
899 responses [79]. It remains an open question to what extent associative training paradigms that lead to
900 dramatic alterations in phenomenology, such as those described here, can modify structural and
901 large-scale dynamical features of the human brain.

902

903 **4.0 Conclusion**

904

905 We report the results of an in-depth qualitative analysis of a specific form of training-induced
906 synaesthesia, resulting from extensive and adaptive associative training, in conjunction with an
907 analysis of responses to a similar analysis performed on naturally occurring grapheme-colour
908 synaesthetes. We compared commensurate categories for both forms of novel perceptual experience,
909 identifying 5 main experiential categories that were common across induced and naturally occurring
910 synaesthetic experience:

911

- 912 1. Stability of colour experience.
- 913 2. Location of colour experience.
- 914 3. Shape of colour experience.
- 915 4. Relative strength of colour experience.
- 916 5. Automaticity of colour experience.

917

918 For these experiential categories only reports relating to the automaticity of colour experience differed
919 significantly between the two groups, with NOS experiences being described as mostly automatic,
920 whereas induced ISL experiences were mostly described as being 'wilful'. However, descriptions of
921 automaticity differed within the ISL and NOS groups depending on the method of questioning used
922 (open-ended vs. closed-form). Together, these results suggest that as with other experiential

923 categories, this aspect of synaesthetic experience displays a high degree of heterogeneity, which
924 further varies depending on the method of questioning.

925

926 While many of these experiential categories have been identified elsewhere [3,11,37], previous work
927 has provided only limited information about the first-person experience of the participant in relation
928 to each phenomenological category. In contrast, the present study provides an extensive description
929 of the phenomenology of induced and natural synaesthetic experience, which has revealed a
930 surprising degree of heterogeneity in the experience, both within and between individuals experience
931 for all experiential categories. This rich resource can be used as a basis for devising future
932 investigations.

933

934 Finally, our results extend previous reports of the parallels between induced and natural synaesthetic
935 experience [1,2]. While we refrain from ascribing a phenomenological equivalence between training-
936 induced and natural synaesthetic experiences, in combination with our previous findings [1,2], our
937 results provide strong evidence that intensive and adaptive training of letter-colour associations can
938 alter conscious perceptual experiences of non-synaesthetes, producing a coordinated set of
939 synaesthesia-like characteristics, which bear striking similarities to those found in natural
940 synaesthesia.

941

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943

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952

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