

1 **A novel prokaryotic CRISPR-Cas12a based tool for programmable transcriptional**
2 **activation and repression**

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22 regulation

23

24 Abbreviations: CRISPR: clustered regularly interspaced short palindromic repeats;
25 CRISPRi: CRISPR interference; CRISPRa: CRISPR activation; gRNA: guide RNA;
26 GTi: initiating glycosyltransferase; 2,3-BDL: 2,3-butanediol; EPS:
27 exopolysaccharide

28

29 **Abstract**

30 Transcriptional perturbation using inactivated CRISPR-nucleases (dCas) is a common
31 method in eukaryotic organisms. While rare examples of dCas9 based tools for
32 prokaryotes have been described, multiplexing approaches are limited due to the used
33 effector nuclease. For the first time, a dCas12a derived broad host range tool for the
34 targeted activation and repression of genes was developed. Therefore, a previously
35 described SoxS activator domain was linked to dCas12a to enable programmable
36 activation of gene expression. Proof of principle of transcriptional regulation was
37 demonstrated based on fluorescence reporter assays using the alternative host
38 organism *Paenibacillus polymyxa* as well as *Escherichia coli*. Single target and
39 multiplex CRISPR interference targeting the exopolysaccharide biosynthesis of *P.*
40 *polymyxa* was shown to emulate polymer compositions of gene knock-outs.
41 Simultaneous expression of 11 gRNAs targeting multiple lactate dehydrogenases and
42 a butanediol dehydrogenase resulted in decreased lactate formation, as well as an
43 increased butanediol production in microaerobic fermentation processes. Even though
44 Cas12a is more restricted in terms of its genomic target sequences compared to Cas9,
45 its ability to efficiently process its own guide RNAs *in vivo* makes it a promising tool to
46 orchestrate sophisticated genetic reprogramming of bacterial cells or to screen for
47 engineering targets in the genome. The developed tool will accelerate metabolic
48 engineering efforts in common synthetic bacterial cell factories such as *E. coli*, as well
49 as promising alternative host organisms.

50 **1. Introduction**

51 Seeking a biobased and sustainable economy, bacterial cell factories have been used
52 for the production of a variety of high value products such as amino acids¹, biofuels²
53 or biosynthesis of complex pharmaceutical compounds like artemisinic acid³. The

54 development of robust production strains for industrial scale production typically
55 requires a deep understanding of the underlying metabolic networks enabling
56 sophisticated engineering technologies to optimize fluxes towards the product of
57 interest and eliminating unwanted side products⁴. Within the last decade, the
58 development of new technologies such as CRISPR-Cas9 mediated genome editing
59 resulted in a dramatic increase in the complexity and scope of metabolic engineering
60 approaches⁵⁻⁸.

61 Catalytically inactive variants of CRISPR-nucleases (dCas), which still bind specific
62 DNA sequences via programmable guide RNAs (gRNA) but do not cause a double
63 strand break, have been applied to physically block gene expression in a multitude of
64 CRISPR interference (CRISPRi) approaches in bacteria⁹⁻¹¹ modulating the expression
65 of genes of interest. In eukaryotic organisms, CRISPRi has been further expanded by
66 direct fusion of Cas9 and effector domains to remodel the chromatin structure of target
67 genes resulting in an even tighter control of expression¹²⁻¹⁴. Contrary, CRISPR-
68 mediated activation (CRISPRa) of gene expression was achieved by linking the
69 deactivated CRISPR-nuclease from *Streptococcus pyogenes* dCas9 to transcriptional
70 activation domains via translational fusion or recruitment domains on the target
71 gRNA^{15,16}. While CRISPRa has been extensively used and further developed for
72 eukaryotic organisms to activate transcription of target genes¹⁷, the number of
73 synthetic tools for prokaryotes is still limited. Recently, new CRISPR-Cas9 based
74 systems were developed for bacteria using effector domains such as RpoZ⁹, RpoA^{18,19},
75 bacteriophage derived transcriptional activators like AsiA^{20,21} or the more effective
76 AraC family transcription factor SoxS²² that facilitate the recruitment of the RNA
77 polymerase holoenzyme. In order to overcome narrow target site requirements, more
78 flexible CRISPRa toolkits using σ^{54} -dependent promoters were established²³. Thereby,

79 CRISPR-dCas guided bacterial enhancer binding proteins were directed to upstream
80 activating sequences in order to enable long distance regulation of target promoters.
81 Similar to eukaryotic systems, a remarkable dynamic output range was achieved²³.

82 While Cas9 derived from *Streptococcus pyogenes* is the most well-studied RNA-
83 guided endonuclease and was used in a multitude of studies, it has demonstrated
84 several downsides in simultaneously targeting multiple loci. Although, multiplex
85 genome editing can be realized, a uniform expression of multiple gRNAs proved to be
86 challenging. Strategies to overcome this constraint include the expression of sgRNA
87 transcripts from multiple plasmids, the co-expression of RNA processing enzymes
88 such as RNase III²⁴ and Csy4^{25,26} or flanking of consecutive gRNAs by ribozymes or
89 tRNAs that enable efficient processing of the mature gRNA^{27,28} from a single transcript.
90 However, all these strategies are limited in the number of multiplex targets due to
91 cytotoxic effects. Contrary, multiplex genome editing approaches using Cas12a
92 nuclease orthologs (also known as Cpf1) from *Francisella novicida*, *Acidaminococcus*
93 sp. or *Lachnospiraceae* sp. require only the expression of a single crRNA array^{29,30}.
94 Opposed to Cas9, these minimalistic class 2 type V-A CRISPR-Cas systems do not
95 contain the HNH nuclease domain. Instead, the staggered double strand cleavage of
96 the target DNA is mediated by a single RuvC domain upon binding of the
97 ribonucleoprotein complex to its target site^{31,32}. Interestingly, unlike Cas9, Cas12a
98 additionally possesses RNase activities to process the precursor crRNA array and form
99 the gRNAs necessary to direct the CRISPR nuclease to the target DNA³⁰. Leveraging
100 this dual RNase/DNase function, simultaneous perturbation of 25 individual targets
101 was demonstrated in mammalian cell lines using a single transcript harboring both the
102 open reading frame of Cas12a and a CRISPR array³³. The protospacer adjacent motif
103 (PAM), required for CRISPR-nucleases to bind and cleave its target DNA, of Cas12a

104 nucleases differs from Cas9. Contrary to Cas9, the PAM is located upstream of the
105 cleavage site and consists of a sequence with a very low GC content. For all commonly
106 used Cas12a nucleases from *Francisella novicida*, *Acidaminococcus* sp. or
107 *Lachnospiraceae* sp. the most efficient PAM was determined as TTTV^{34,35}. While this
108 particular PAM is more restrictive compared to NGG of *SpCas9*, protein engineering
109 efforts to loosen the stringency of CRISPR nucleases to enable genome editing in
110 otherwise inaccessible loci were successful^{36,37}.

111 Due to its advantages for multiplex genome perturbation studies, dCas12a has been
112 extensively used for the tunable transcriptional regulation of gene expression via
113 CRISPRi and CRISPRa in eukaryotic cells^{29,33,37}. Despite rapid advances in CRISPR-
114 based technologies, to the best of our knowledge, only CRISPR interference studies
115 have previously been reported for prokaryotic cells using dCas12a³⁸, while publications
116 demonstrating the targeted gene activation via CRISPRa are still missing for this
117 promising CRISPR system. Therefore, the aim of this study was to establish a
118 functional dCas12a based multiplex gene modulation system capable of CRISPRa and
119 CRISPRi using a broad-host range plasmid.

120 *Paenibacillus polymyxa* is a Gram-positive, spore forming, non-pathogenic, soil
121 bacterium³⁹ of biotechnological interest for its ability to produce enantiopure *R,R*-2,3-
122 butanediol (2,3-BDL) and exopolysaccharides (EPS) with interesting material
123 properties^{40,41}. *P. polymyxa* DSM 365 putatively produces two distinct
124 heteroexopolysaccharides we termed Paenan I and Paenan II, which is reflected in
125 two functionally complete EPS clusters encoding all genes required for the Wzx/Wzy
126 biosynthesis pathway^{42,43}. Knock-outs of distinct glycosyltransferases within the
127 clusters resulted in EPS variants with altered rheological behavior⁴². While more
128 laborious and time consuming knock-outs can be conducted, CRISPRi-based knock-

129 downs might be used to enable the fast screening of new EPS variants with interesting
130 material properties. *P. polymyxa* is also applied in the production of 2,3-BDL via the
131 mixed-acid fermentation pathway in microaerobic conditions. Depending on oxygen
132 availability, production of side products such as lactate, formate and ethanol is required
133 to maintain the redox balance⁴⁴. We recently showed that metabolic engineering of the
134 side pathways competing for pyruvate was able to increase productivity of 2,3-BDL
135 biosynthesis⁴⁵. However, despite the knock-out of one specific lactate dehydrogenase,
136 lactate as a by-product was still produced due to the action of redundant homologs. In
137 this study, lactate should be eliminated by the concerted knock-down of all four
138 different lactate dehydrogenases found in the genome. Additionally, the carbon flux
139 should be directed towards 2,3-BDL by inducing the expression of the butanediol
140 dehydrogenase in parallel via a newly developed CRISPRa/i system.

141 **2. Materials and Methods**

142 **2.1 Strains and media**

143 *P. polymyxa* DSM 365 was acquired from the German Collection of Microorganisms
144 and Cell Culture (DSMZ), Braunschweig, Germany. *E. coli* NEB Turbo cells (New
145 England Biolabs, USA) were used for any plasmid construction presented in this study.
146 *E. coli* S17-1 (DSMZ strain DSM 9079) was utilized for transformation of *P. polymyxa*
147 DSM 365 via conjugation. All medium components were obtained from Carl Roth
148 GmbH (Germany) if not indicated differently. For cloning procedures, strains were
149 grown in LB media (5 g L⁻¹ yeast extract, 10 g L⁻¹ tryptone, 10 g L⁻¹ NaCl) and
150 additionally supplemented with 50 µg mL⁻¹ neomycin and 20 µg mL⁻¹ polymyxin if
151 required. All strains were stored in 30 % glycerol at -80 °C. Prior to cultivation, strains
152 were streaked on LB agar plates and grown at 30 °C. All strains used or constructed
153 in this study are listed in Table S1.

154 For 2,3-BDL fermentations a single colony was used for inoculation of 50 mL pre-
155 culture medium containing 60 g L⁻¹ glucose, 5 g L⁻¹ yeast extract, 5 g L⁻¹ tryptone, 0.2
156 g L⁻¹ MgSO₄ heptahydrate (Sigma Aldrich, USA), 3.5 g L⁻¹ KH₂PO₄, 2.5 g L⁻¹ K₂HPO₄.
157 Fermentation medium components were autoclaved separately and contained 120 g
158 L⁻¹ glucose, 5 g L⁻¹ yeast extract, 3.5 g L⁻¹ tryptone, 0.2 g L⁻¹ MgSO₄·7 H₂O, 3.5 g L⁻¹
159 KH₂PO₄, 2.5 g L⁻¹ K₂HPO₄, 5 g L⁻¹ ammonium acetate, 4 g L⁻¹ (NH₄)₂SO₄ and 3 mL L⁻¹
160 ¹ trace element solution. Trace element solution contained 2.5 g L⁻¹ iron sulfate
161 heptahydrate, 2.1 g L⁻¹ sodium tartrate dihydrate, 1.8 g L⁻¹ manganese chloride
162 dihydrate, 0.075 g L⁻¹ cobalt chloride hexahydrate, 0.031 g L⁻¹ copper sulfate
163 pentahydrate, 0.258 g L⁻¹ boric acid, 0.023 g L⁻¹ sodium molybdate dihydrate and
164 0.021 g L⁻¹ zinc chloride. Trace element solution was filter-sterilized and added to the
165 media after cooling down to room temperature.

166 For EPS production, MM1 P100 medium⁴⁰ was used as described before, containing
167 30 g L⁻¹ glucose and 5 g L⁻¹ peptone. The corresponding pre-culture medium contained
168 a reduced amount of 10 g L⁻¹ glucose and was buffered to pH 6.8 with 20 g L⁻¹ MOPS.

169 **2.2 Plasmid construction**

170 The gene encoding for an engineered (E174R, N282A, S542R, K548R)³⁷ catalytically
171 inactivate (D908A) variant of AsCas12a was codon optimized for *Bacillus* ssp. and
172 synthesized by ATG:biosynthetics (Germany). The basic plasmid pCRai (Figure S1)
173 was assembled by isothermal Gibson Assembly⁴⁶ from three PCR-amplified fragments
174 consisting of a pUB110 derived backbone including oriT for conjugational transfer⁴², a
175 lacZ replacement cassette for BbsI based cloning of target gRNAs and the codon
176 optimized *enAsdcas12a* cassette. Activator domains were PCR-amplified from
177 extracted gDNA of *P. polymyxa* DSM 365 and *E. coli* NEB Turbo respectively and
178 cloned into pCRai by Golden Gate Assembly using BsaI. Cloning of gRNA sequences

179 was conducted as previously described⁴². The PsgsE-sfGFP reporter was cloned via
180 isothermal Gibson Assembly using a unique SpeI site of pCRai. The dual reporter
181 plasmid was constructed by cloning a PsgsE-mRFP and a PsgsE-sfGFP reporter
182 cassette in tandem by Golden Gate Assembly after linearization of pCRai_soxS with
183 SpeI/Sall. All oligonucleotides used for the construction of plasmids are listed in Table
184 S2 and S3.

185 **2.3 Conjugation based transformation of *P. polymyxa* DSM 365**

186 *P. polymyxa* was transformed by conjugation using *E. coli* S17-1 harboring the various
187 plasmids. Overnight cultures of donor and recipient strains were diluted 1:100 with
188 selective or non-selective LB media respectively and cultivated at 37 °C for 3 h, 280
189 rpm. 900 µL of the recipient culture was heat shocked at 42 °C for 15 min and mixed
190 with 300 µl of the donor strain culture. Cells were centrifuged at 6,000 g for 2 min,
191 resuspended in 800 µl LB media and dropped on non-selective LB agar plates. After
192 24 h of incubation at 30 °C, cells were scrapped off, resuspended in 500 µl LB-broth
193 and 100 µl thereof plated on selective LB-agar containing 50 µg mL⁻¹ neomycin and 20
194 µg mL⁻¹ polymyxin for counter selection. *P. polymyxa* conjugants were analyzed for
195 successful transformation after 48 h incubation at 30 °C by cPCR. Confirmed knock
196 out strains were plasmid cured by cultivation in LB broth without antibiotic selection
197 pressure and subsequent replica plating on LB agar plates both with and without
198 neomycin. Strains that did not grow on plates with selection marker were verified by
199 sequencing of the target region and used for further experiments.

200

201 **2.4 Photometric assay**

202 For sfGFP fluorescence experiments, 3 mL of EPS medium supplemented with 50 µg
203 mL⁻¹ neomycin was inoculated with a single colony of the respective strains and grown

204 over night at 37 °C, 200 rpm. After 18 h, each strain was sub-cultured 1:100 in 3 mL
205 selective MM1 P100 medium and grown for 24 h at 37 °C, 200 rpm. After 24 h, 100 µl
206 were transferred to a 96 well microtiter plate and OD₆₀₀, GFP fluorescence (Ex. 488
207 nm Em. 515 nm) and mRFP fluorescence (Ex. 560 nm Em. 600 nm) measured in a
208 Ultraspec 10 spectrophotometer (Amersham Biosciences, UK). In parallel, 1 mL of
209 each culture was pelleted by centrifugation and used for qPCR experiments.

210 **2.5 Quantitative RT-PCR**

211 RNA extraction of positive samples of the GFP fluorescence assay as well as
212 butanediol fermentation processes was performed using the Aurum Total RNA Mini Kit
213 (BioRad, USA) according to the manufacturer's instructions. Synthesis of cDNA was
214 conducted using iScript reverse transcriptase (BioRad, USA) using 1 µg total RNA
215 template. The qPCR reactions were performed in triplicates on a CFX-96 thermocycler
216 using SsoAdvanced Universal SYBR Green Supermix (BioRad, USA) using 5 ng of
217 cDNA as a template in 10 µl reaction volume. Negative controls without reverse
218 transcriptase during cDNA synthesis were used in order to evaluate the absence of
219 gDNA contaminations. Relative gene expression levels were calculated based on the
220 $\Delta\Delta Cq$ method⁴⁷ and *gyrA* as a reference gene. After qPCR, a melting curve analysis
221 was performed to confirm the presence of a single PCR product for each target.
222 Designed primers were analyzed by the OligoAnalyzer Tool (IDT, USA) to avoid hairpin
223 formation, self- and hetero dimer formation with free energy values more than 10 kcal
224 mol⁻¹. Oligonucleotides used for qPCR experiments are listed in Table S2.

225 **2.6 CRISPR-Cas9 mediated genome editing**

226 All gene knock-outs were performed as previously described by Rütering et al.⁴². In
227 brief, gRNAs for the targeted genome regions were designed using Benchling CRISPR
228 Design Tool. For each target a minimum of two gRNAs were designed typically

229 targeting distinct regions of the open reading frame. Oligonucleotides were
230 phosphorylated, annealed and cloned into pCasPP by Golden Gate assembly.
231 Approximately 1 kB up- and downstream homology flanks for each targeted nucleotide
232 sequence were amplified from genomic DNA of *P. polymyxa* DSM 365 using Phusion
233 Polymerase according to the manufacturer's instructions and fused by overlap
234 extension PCR via a 20 bp overlap. Homology flanks were cloned into pCasPP through
235 Gibson Assembly or molecular cloning after linearization by use of *Spe*I. After
236 transformation of *E. coli* NEB Turbo, clones were analyzed for correct construct
237 assembly by colony PCR (cPCR) and sequencing of the amplicons. Finally, correct
238 constructs were transferred to chemical competent *E. coli* S17-1 cells for the following
239 conjugational transformation of *P. polymyxa*.

240 **2.7 EPS batch fermentation**

241 EPS fermentations were conducted in a 1 L DASGIP parallel bioreactor system with a
242 working volume of 500 mL. A single colony from a freshly streaked plate was used to
243 inoculate 100 mL MM1 P100 pre-culture medium by following incubation for 16 h at 30
244 °C, 160 rpm. Bioreactors were inoculated to give an initial OD of 0.1. Fermentation was
245 performed at 30°C and stirrer speed (200 - 600 rpm) and gassing (6 - 10 L h⁻¹) with
246 pressurized air through a L-sparger were controlled to maintain 30 % DO saturation.
247 The stirrer was equipped with a 6-plate-rushton impeller placed 2.5 cm from the bottom
248 of the shaft. The pH value was maintained at 6.8 and automatically adjusted with 2 M
249 NaOH or 1.35 M H₃PO₄ as required. Foam control was performed using 1 % of
250 antifoam B (Merck, Germany). For monitoring the process parameters, reactors were
251 equipped with redox and dissolved oxygen probes.

252 After the end of the process the fermentation broth was diluted 1:10 with dH₂O and the
253 biomass was separated by centrifugation (15 000 g, 20°C, 20 min) followed by cross-

254 flow filtration of the supernatant using a 100 kDa filtration cassette (Hydrosart,
255 Sartorius AG, Germany). EPS was precipitated by slowly pouring the concentrated
256 fermentation supernatant into two volumes of isopropanol. EPS was collected and
257 dried overnight in a VDL53 vacuum oven at 40°C (Binder, Germany). Dry weight of the
258 obtained EPS was determined gravimetrically prior to milling to a fine powder in a ball
259 mill at 30 Hz for 1 min (Mixer Mill MM400, Retsch GmbH, Germany).

260 **2.8 Carbohydrate fingerprinting**

261 EPS monosaccharide composition was analysed using the 1-phenyl-3-methyl-5-
262 pyrazolone high-throughput method (HT-PMP) as previously described using 1 g L⁻¹
263 reconstituted EPS solutions⁴⁸. In brief, 0.1 % EPS solutions were hydrolyzed in a 96
264 well plate, sealed with a rubber mat and further covered by a custom-made metal
265 device with 2 M TFA (90 min, 121°C). Samples were neutralized with 3.2 % NH₄OH.
266 75 µl of PMP master mix (125 mg PMP, 7 mL MeOH, 3,06 mL dH₂O, 437.5 µL 3.2%
267 NH₄OH) were mixed with 25 µl of neutralized hydrolysate and incubated at 70°C for
268 100 min in a PCR cycler. 20 µl of derivatized samples were mixed with 130 µl of a 1:26
269 dilution of 0.5 M acetic acid and filtered with a 0.2 µm filter plate (1,000 g, 2 min)
270 followed by HPLC-UV-MS analysis as previously described⁴⁸.

271 **2.9 Butanediol batch fermentation**

272 Batch fermentations were conducted in 1 L DASGIP bioreactors (Eppendorf, Germany)
273 with an initial volume of 550 mL. A single colony from a freshly streaked plate was used
274 to inoculate 100 mL pre-culture medium by following incubation for 16 h at 30 °C, 160
275 rpm. 50 mL of this cultivation broth (diluted with pre-culture medium if required) were
276 used to inoculate the bioreactor by an initial OD₆₀₀ of 0.1. Fermentation was performed
277 at 35 °C and constant aeration of 0.075 vvm. The stirrer was equipped with a 6-plate-
278 rushton impeller placed 4 cm from the bottom of the shaft and constantly stirring at 300

279 rpm. The pH value was maintained at 6.0 and automatically adjusted with the addition
280 of 2 M NaOH or 1.35 M H₃PO₄ as required. Foam control was performed using 1 % of
281 antifoam B (Merck, Germany). In order to monitor process parameters, reactors were
282 equipped with redox and pH probes. Glucose and product concentrations were
283 determined via a HPLC-UV-RID system (Dionex, USA) equipped with Rezex ROA-H⁺
284 organic acid column (300 mm x 7.8 mm Phenomenex, USA). Column temperature was
285 set to 70 °C and 2.5 mM H₂SO₄ was used as the mobile phase with a flow rate of 0.5
286 ml min⁻¹. All measured concentrations of 2,3-BDL in this publication represent solely
287 the levo-stereoisomer of the alcohol if not explicitly noted differently.

288

289 **3. Results and Discussion**

290 **3.1 Identification of functional transcriptional activator domains**

291 In a first step, different transcription activator domains of distinct regulatory protein
292 families were tested in order to identify a suitable candidate for CRISPRa. Each domain
293 was linked by translational fusion to the C-terminal end of dCas12a through a 10 amino
294 acid flexible linker peptide (-GSEASGSGRA-). As endogenous transcription activators
295 from *P. polymyxa*, the cAMP receptor protein (CRP) RNA polymerase subunits σ^{70}
296 (RpoD) and ω (RpoZ), as well as the regulator of the glutamate synthase operon (GltC)
297 were evaluated. SoxS, an activator of the superoxide stress genes from *E. coli* was
298 chosen as an additional heterologous regulator. Out of these, only RpoZ and SoxS
299 have previously been reported as suitable candidates using dCas9 based CRISPRa
300 systems^{9,22}. The plasmid pCRai_sfGFP was constructed by isothermal assembly
301 based on the previously established Cas9 genome editing plasmid pCasPP⁴².
302 pCRai_sfGFP encodes dCas12a linked to the different transcriptional activators, the

303 corresponding gRNA expression cassette, as well as *sfgfp* under the control of
304 constitutive promoters.

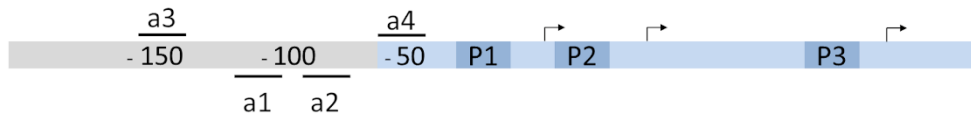
305 The *sgsE*-promoter from *Geobacillus stearothermophilus* used for sfGFP expression
306 is a temperature sensitive promoter containing three core promoter sites⁴⁹. At low
307 temperatures of 28 °C the front most core promoter (P3) is active, resulting in a weak
308 basal expression, while elevated temperatures of 37 - 45°C lead to highly increased
309 expression from RNA-polymerase binding sites further upstream (P1 and P2) as
310 shown in *B. subtilis*⁴⁹. Therefore, this promoter was chosen to test CRISPRa activities
311 of the different activator domains in order to induce strong expression levels even at
312 low temperature (Figure 1 A). Eukaryotic CRISPRa systems allow a relatively broad
313 range, in which gRNAs mediate the binding of the CRISPR effector module to
314 efficiently activate or repress the expression of target genes. Contrarily, bacterial
315 CRISPRa systems have demonstrated to be highly sensitive to the correct distance of
316 the gRNA binding site to the promoter²². Bacterial CRISPRa systems act by facilitating
317 the recruitment of the RNA-polymerase to the promoter, while eukaryotic systems
318 typically cause chromatin re-arrangements to interfere with the expression of target
319 genes¹³. For bacterial dCas9 based systems, an optimal distance was determined in
320 the range between 60 to 100 bp upstream of the transcriptional start site (TSS)²². The
321 optimal distance might vary depending on different activator domains. Consequently,
322 we tested four different gRNAs binding to the template and non-template strand in the
323 range of 40 to 120 bp upstream of the TSS to induce expression from the strong RNA-
324 polymerase binding site P3 (Figure 1). In order to test whether observed effects
325 actually arise from the binding of the dCas12a-activator complex to the respective
326 target sites, additional constructs with off-target gRNAs expression were constructed.

327 Out of all tested gRNA-activator constructs, *EcSoxS* demonstrated the best
328 performance in *P. polymyxa* (Figure 1 B). Three out of four tested gRNAs significantly
329 increased expression of sfGFP and showed an increased fluorescence signal during
330 photometric evaluation. The qPCR experiments showed up to 6.5-fold increased
331 transcription levels for gRNA_a4, but also gRNA_a1 and gRNA_a3 displayed
332 increased transcription and fluorescence signals (Figure 1 C). Surprisingly, the highest
333 fluorescence signal was achieved using gRNA_a4, which was positioned 50 bp
334 upstream of the TSS of the heat-inducible promoter site P1 (Figure 1 A). While the
335 close proximity of the binding site of this gRNA lies outside of the ideal distance
336 determined for a dCas9-soxS construct²², the distance to the second RNA-polymerase
337 binding site (P2) of 85 bp might result in transcription from the secondary heat-inducible
338 promoter.

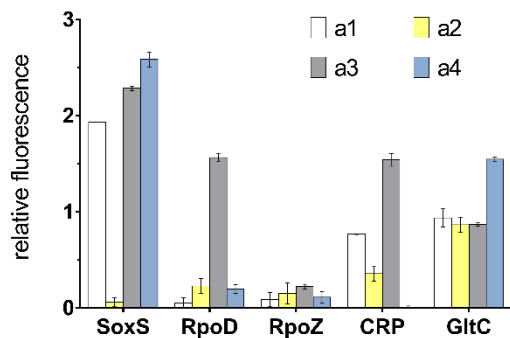
339 Additionally, dCas12a fused to other activator domains such as RpoD, GltC and CRP
340 respectively also demonstrated increased fluorescence results for individual gRNAs
341 (Figure 1 B). However, some combinations also led to a decreased fluorescence signal
342 of GFP in *P. polymyxa* indicating that the effector module blocks the binding of the
343 RNA-polymerase to the promoter and therefore effectively represses transcription of
344 the gene of interest. For GltC and CRP, the use of gRNAs a3 and a4, which are located
345 in close proximity to each other (10 bp), effects on GFP expression changed from 2-
346 fold increased GFP signal to transcriptional repression. All of the investigated
347 activators act by direct interaction with the RNA-polymerase⁵⁰⁻⁵². Contrary to other
348 activators, there is experimental evidence suggesting that SoxS already forms a binary
349 pre-recruitment complex with the C-terminal domain of the α -subunit and scans DNA
350 for cognate SoxS binding sites^{53,54}. Therefore, we hypothesize that a similar pre-
351 recruitment is formed with the dCas12a-SoxS fusion protein, which allows more

352 flexibility in the correct distancing of the gRNA to the promoter binding site. Due to the
353 consistent performance of the dCas12a-soxS constructs, this particular activator
354 domain was used for all further experiments.

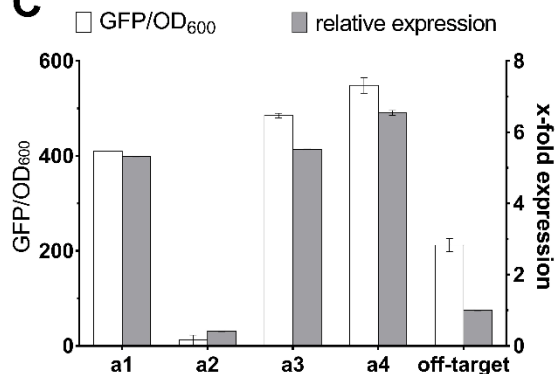
A



B



C



355

356 **Figure 1: Establishment of a CRISPRa system using dCas12a linked to activator**
357 **domains** A) Schematic overview of CRISPRa target sites upstream of the *sgsE*
358 promoter (blue). dCas12a was fused to different activator domains (SoxS, RpoD,
359 RpoZ, CRP, GltC) and positioned upstream of the *sgsE*-promoter with multiple gRNAs
360 targeting template and non-template strand (a1 - a4). The promoter consists of three
361 core promoter binding sites (P1 - P3), of which the heat-inducible P1 site corresponds
362 to the strongest expression⁴⁹. CRISPRa experiments aim to activate expression from
363 P1 already at low temperatures. Arrows indicate the TSS of the corresponding core
364 promoter sites. B) GFP expression using different activator domains and gRNAs (a1 -
365 a4) relative to a corresponding off-target gRNA. SoxS showed up to 2.5-fold GFP
366 fluorescence with three gRNAs, while RpoD, CRP and GltC demonstrated elevated
367 fluorescence for only one gRNA respectively. C) Expression levels determined by
368 qPCR (relative expression) showed up to 6.5-fold increased transcription levels of *gfp*
369 for soxS variants compared to off-target gRNAs.

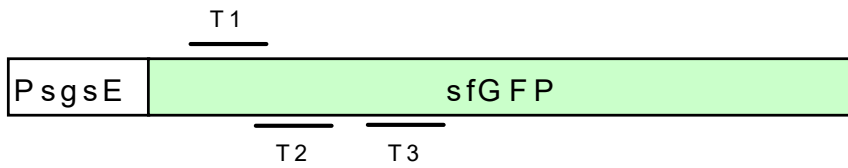
370 Interestingly, while all experiments using the fluorescence reporter system were
371 performed in *P. polymyxa*, observed effects were almost identical in *E. coli* S17-1 that
372 was used for the conjugational transformation of *P. polymyxa* DSM 365 (Figure S 2).
373 Consequently, we demonstrated a broad-host range use of the constructed
374 pCRai_soxS plasmid in both Gram-positive, as well as Gram-negative bacteria. In case
375 of the fluorescence reporter assays, in which all functional parts were encoded on a
376 single plasmid, it was possible to accelerate the screening of potential guide RNAs by
377 using *E. coli* S17-1 as a pre-screening platform prior to the more time-consuming
378 conjugational transformation of *P. polymyxa* DSM 365.

379 Our results exemplified that the stringency of gRNA positioning with the SoxS domain
380 is lower compared to other activators. Empirical testing of multiple gRNAs is still
381 required to enable improved activation of target promoters. However, it might be
382 possible to establish a design rule set to enable *a priori* construction of optimized
383 gRNAs with more experimental data using different promoters.

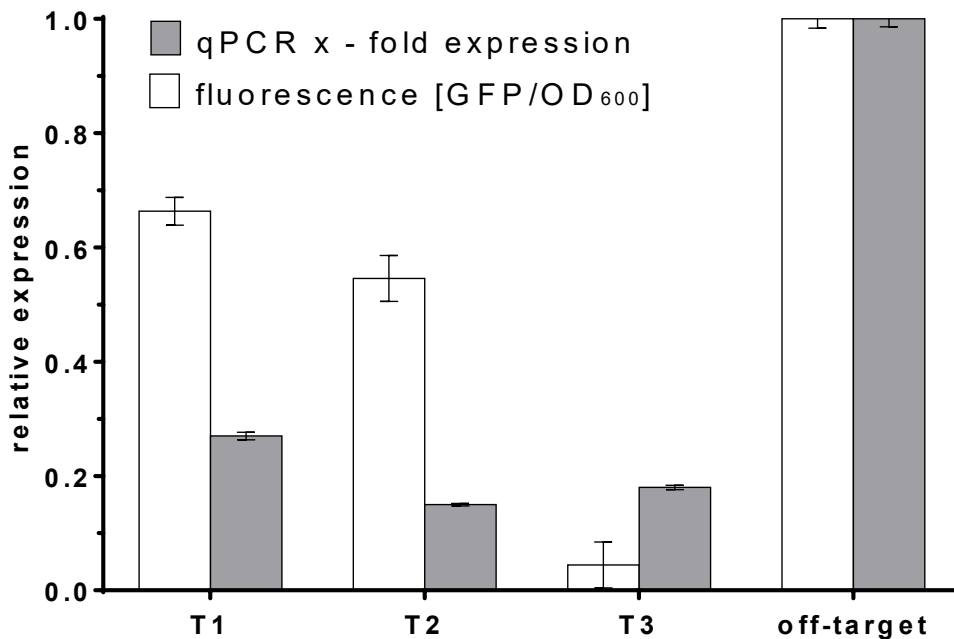
384 **3.2 Establishment of CRISPRi and multiplexing CRISPRi/a**

385 In a next step, we evaluated whether the use of the dCas12a-soxS activator constructs
386 is also possible for CRISPRi by re-positioning the gRNA within the open reading frame
387 of sfGFP. Thereby, the effector module acts as a road block for the RNA-polymerase
388 and inhibits the elongation of the nascent transcript. Three different gRNAs binding
389 sites were tested (Figure 2 A). While expression levels of *sfgfp* were significantly
390 decreased by approximately 80 % for all constructs, the actual fluorescence of GFP
391 remained at higher levels for gRNAs T1 and T2 (Figure 2 A B). Even though,
392 fluorescence signals were not fully eliminated, a severe decrease of up to 95 % for
393 gRNA T3 was observed.

A



B



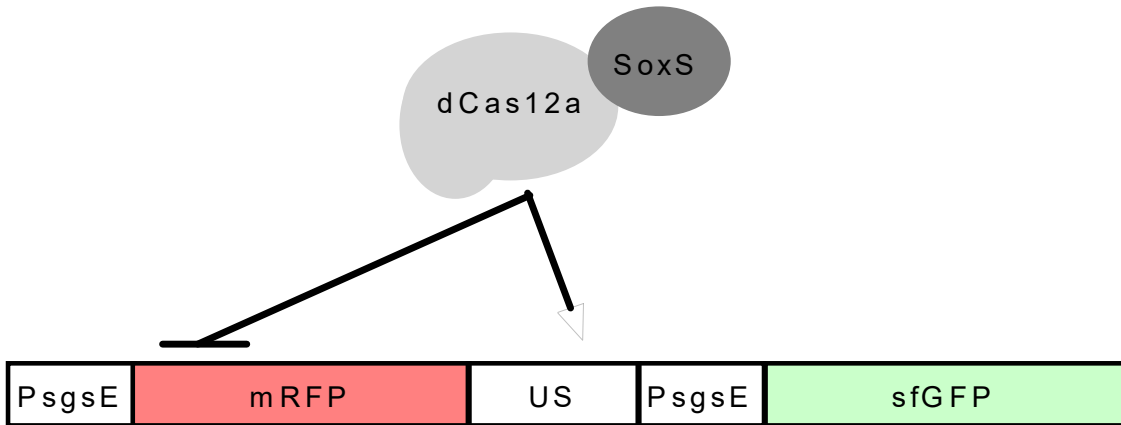
394

395 **Figure 2: Establishment of CRISPRi in *P. polymyxa* using pCRai_soxS.** A)
396 Schematic overview of gRNA binding sites within the ORF of sfGFP. B) Three gRNAs
397 targeting the ORF of *sfgfp* (T1-T3) were tested respectively by fluorescence
398 experiments and qPCR expression analysis relative to an off-target gRNA. While
399 transcriptional expression levels were reduced by 75 % to 80 % for all gRNAs,
400 measured fluorescence levels of GFP fluctuated more between different gRNAs. The
401 gRNA T3 demonstrated the best repression resulting in a highly reduced fluorescence
402 signal as well as a reduced transcription of *sfgfp*. Reporter expression was determined
403 photometrically and by qPCR experiments in biological triplicates.

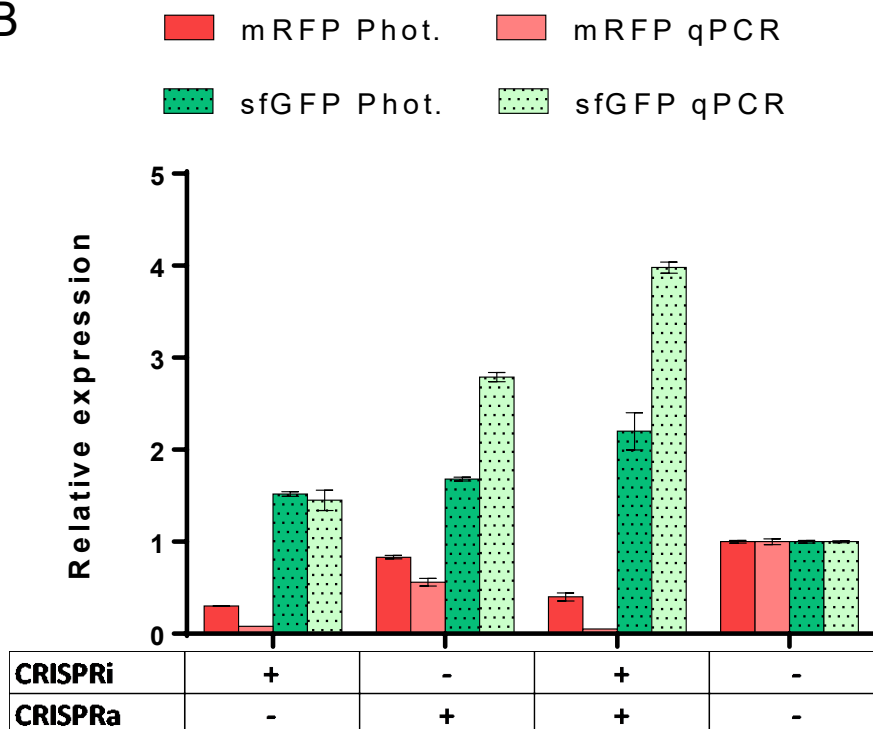
404

405 In order to test the capability of our construct to simultaneously repress and activate
406 different target genes, a continuously expressed mRFP reporter cassette was cloned
407 in pCRai_soxS in addition to the sfGFP reporter. For CRISPRa, the previously used
408 gRNA_a1 was chosen to induce the expression of sfGFP. For CRISPRi a new gRNA
409 was designed binding within the ORF of *mrfp* (Figure 3 A). All strains of *P. polymyxa*
410 were compared to a strain harboring an off-target CRISPR-array. Due to weak
411 fluorescence signals after 24 h of inoculation, only transcriptional expression levels
412 were determined via qPCR at this point of time, but photometric evaluation of the
413 reporters was performed after 48 h. When expressed individually, CRISPRi resulted in
414 a reduction of the mRFP fluorescence signal by 74 %, while CRISPRa increased
415 sfGFP expression by 68 % (Figure 3 B). Simultaneous expression of both gRNAs from
416 a single CRISPR-array decreased mRFP fluorescence by 60 %, while increasing the
417 fluorescence signal of sfGFP by 120 %. Therefore, we demonstrated the efficient
418 control of the expression of multiple genes using a single CRISPR-array. Depending
419 on the positioning of the gRNAs, it proved possible to activate or repress multiple
420 target genes in parallel.

A



B



421

422 **Figure 3: Simultaneous repression (CRISPRi, mRFP) and activation (CRISPRa,**
 423 **sfGFP) of fluorescence reporters.** A) Schematic display of gRNA binding sites within
 424 the ORF of mRFP and in the upstream region (US) of PsgsE controlling the expression

425 of sfGFP. B) Multiplex transcriptional perturbation was tested in *P. polymyxa* harboring
426 a plasmid for the constitutive expression of GFP and mRFP fluorescence reporters.
427 Single CRISPR arrays were designed targeting the ORF of mRFP or the upstream
428 region of PsgsE controlling *sfgfp* expression (gRNA_a1). Expression of gRNAs
429 resulted in the repression of mRFP or induction of sfGFP respectively. When both
430 gRNAs were expressed simultaneously, obtained fluorescence results were similar to
431 the expression of individual gRNAs alone. All results are depicted relative to an off-
432 target CRISPR array encoding a gRNA not present in the strain. Reporter expression
433 was determined photometrically and by qPCR experiments in biological triplicates.

434

435 **3.3 Multiplex CRISPRi to modify exopolysaccharide composition of *P. polymyxa***

436 **DSM 365**

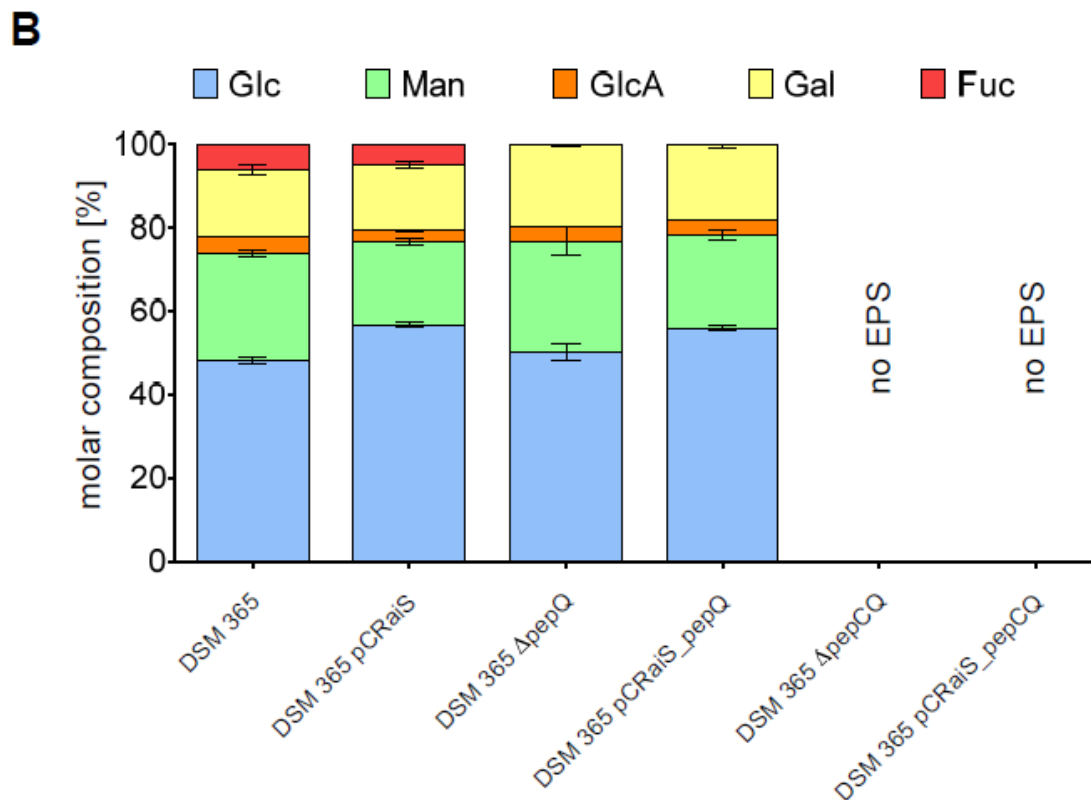
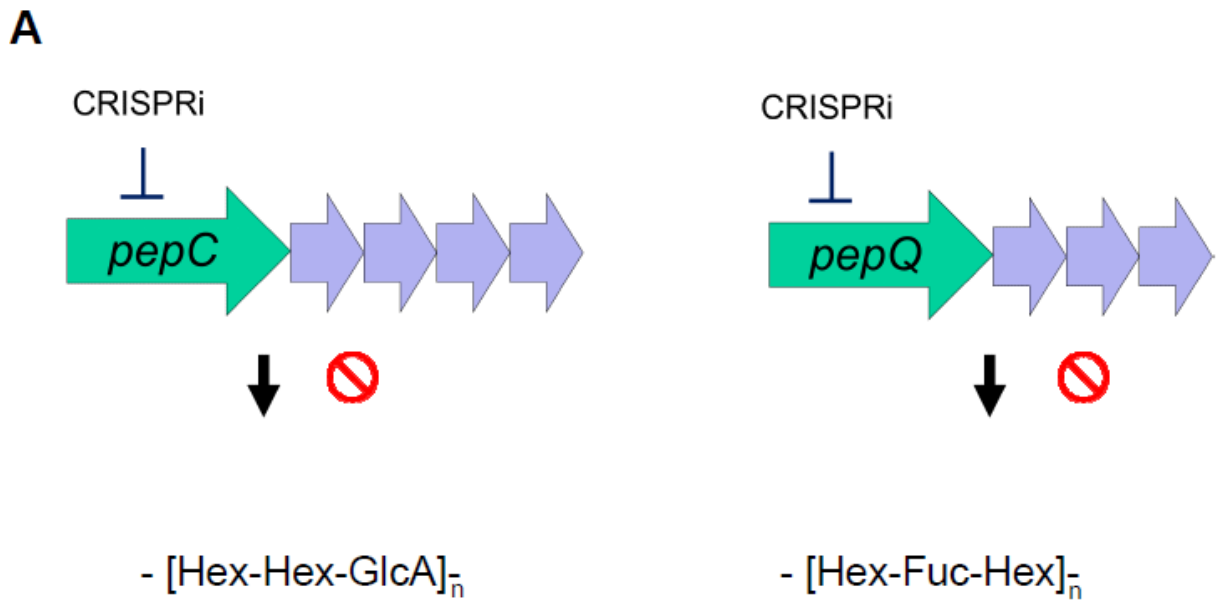
437 The most important advantage of Cas12a over the more commonly used Cas9 is the
438 ability of the effector nuclease to process its own crRNA, allowing the simultaneous
439 targeting of multiple loci through a single CRISPR-array³³.

440 *P. polymyxa* DSM 365 is an avid producer of different exopolysaccharides. Depending
441 on process conditions, variable polymer-mixtures are produced⁴⁰. However, it has also
442 been shown that the engineering of a polysaccharide structure with modified
443 physicochemical properties is feasible⁴². The underlying gene cluster contains the two
444 so-called initiating glycosyltransferases (GTi) PepC and PepQ, which are putatively
445 responsible for the initiation of the biosynthesis of two distinct polysaccharides (Figure
446 4 A). Contrary to the first polymer (Paenan I), the second polymer (Paenan II) initiated
447 by PepQ contains the deoxyhexose fucose (unpublished data). To evaluate the effects
448 of CRISPRi constructs on genomic targets, the GTi PepQ was targeted alone or in
449 combination with PepC. Strains harboring the plasmids pCRaiS (pCRai_soxS
450 encoding an off-target spacer), pCRaiS_pepQ (targeting the ORF of *pepQ*) and
451 pCRaiS_pepCQ (targeting the ORFs of *pepC* and *pepQ*) were constructed and used

452 in EPS batch fermentations. Carbohydrate fingerprints of the obtained EPS were
453 performed and compared to the respective knock-out strains (Figure 4 B).

454 Strains harboring the pCRaiS plasmid expressing an off-target CRISPR-array did not
455 show altered EPS composition. When the second GTi *pepQ* was targeted, fucose
456 diminished, indicating the absence of Paenan II in the EPS mixture. In a next step,
457 both initiating GTs were targeted simultaneously. With both GTis down-regulated, no
458 EPS at all was produced. In order to evaluate whether the observed effects actually
459 resulted from interference with the respective target genes, knock-out strains of the
460 respective target genes with a previously established CRISPR-Cas9 genome editing
461 system⁴² were constructed. Our experiments confirmed that the monomer composition
462 was comparable to the respective CRISPRi variants (Figure 4 B). In the previous
463 fluorescence reporter assays, reduced signal of mRFP and GFP respectively could still
464 be detected in CRISPRi approaches. Contrary, for the glycosyltransferase targets, no
465 significant differences in EPS composition were observed between the CRISPRi
466 constructs and the knock-out strains, indicating a more efficient repression of the target
467 genes in comparison to the fluorescence assays.

468



469

470 **Figure 4: Carbohydrate fingerprint of the heteroexopolysaccharide of *P.***
471 ***polymyxa* DSM 365 and engineered variants.** Transformation of *P. polymyxa* with a
472 plasmid encoding the SoxS activator domain and off-target gRNA (pCRaiS) did not
473 alter the EPS composition significantly. Expression of a gRNA targeting the ORF of the
474 initiating glycosyltransferase *pepQ* (pCRaiS_pepQ) resulted in the loss of fucose within

475 the EPS composition that was also observed in the KO strain $\Delta pepQ$. Targeting the
476 ORFs of both GTis (pCRaiS_pegCQ) did not yield any EPS resembling the same
477 phenotype as the double KO $\Delta pepCQ$, Δ : gene deletion by CRISPR-Cas9 mediated
478 genome engineering. DSM 365: *P. polymyxa* DSM 365; pCRaiS: pCRai_soxS; Hex:
479 hexose; GlcA: glucuronic acid; Fuc: fucose; Glc: glucose; Man: mannose; Gal:
480 galactose

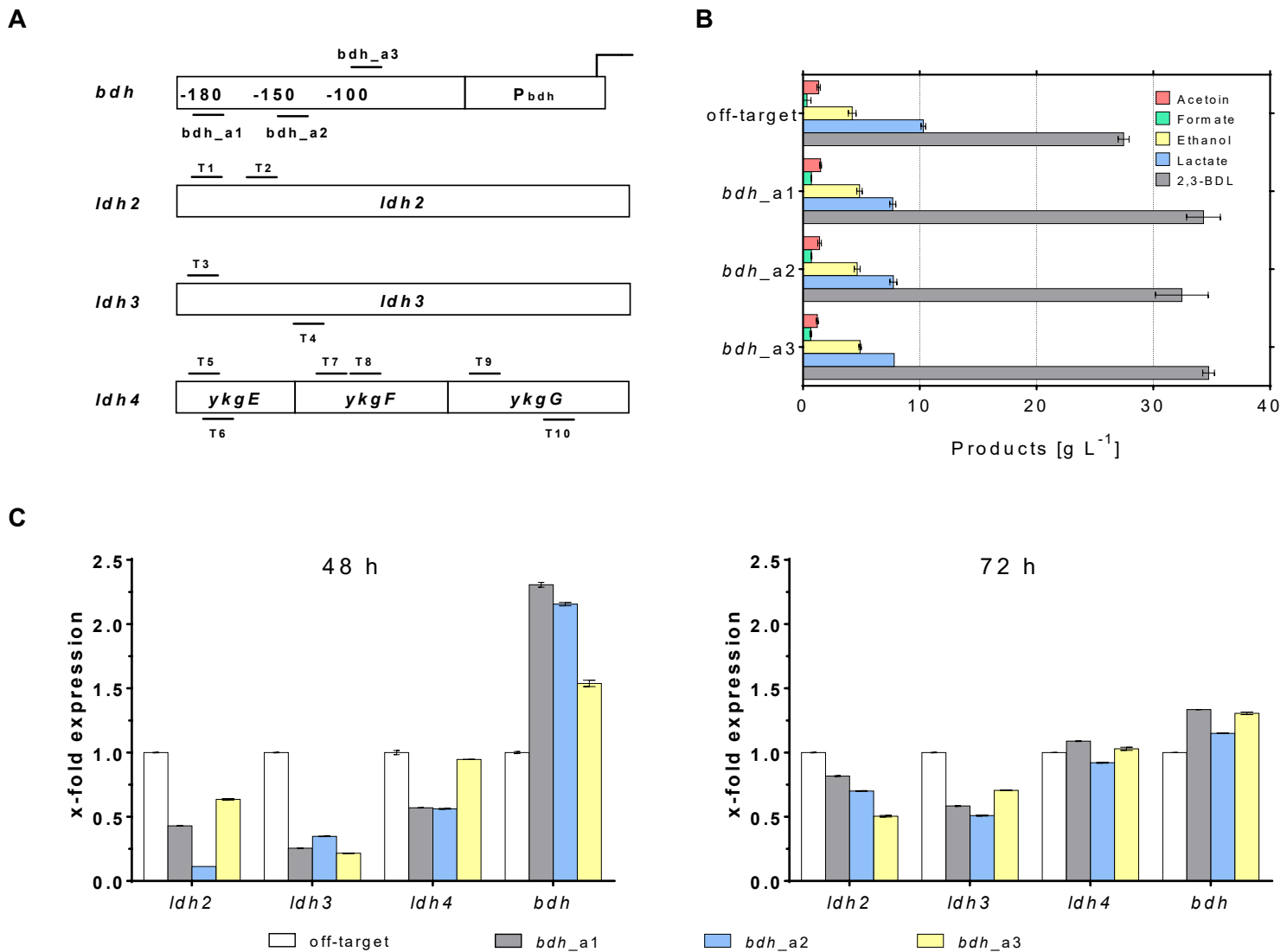
481 In conclusion, this approach showed that the developed pCRai_soxS tool can be used
482 for the parallel screening for multiple interesting knock-out targets in the genome. In
483 addition, the efficiency of this tool proved to be comparable to more laborious and time-
484 consuming genome-editing approaches.

485 **3.4 Multiplex CRISPRi/a to screen for metabolic engineering targets of the** 486 **butanediol biosynthesis pathway in *P. polymyxa* DSM 365**

487 In a previous study we engineered the mixed acid pathway of *P. polymyxa* DSM 365
488 to increase the production of 2,3-BDL and remove undesirable side-products.
489 Interestingly, knock-out of a lactate dehydrogenase (*ldh1*) resulted in an adapted
490 growth behavior, increased biomass formation and consequently enhanced 2,3-BDL
491 formation⁴⁵. However, due to the presence of additional homologs of *ldh* within the
492 genome, lactate formation could not be completely eliminated. Therefore, in order to
493 demonstrate the capabilities of our dCas12a-based CRISPR-tool, all additional copies
494 of *ldh* homologs were targeted in parallel. Leveraging the CRISPR-array processing
495 abilities of Cas12a, each gene was targeted with two gRNAs at the same time. As
496 decoupling of the 2,3-BDL biosynthesis from its natural regulon showed positive
497 effects, the expression of the butanediol dehydrogenase should also be
498 transcriptionally activated in *P. polymyxa* DSM 365 $\Delta ldh1$. Therefore, the
499 corresponding promoter was predicted using the Softberry CNNPromoter_b tool⁵⁵.
500 Three distinct gRNAs positioned 106 - 180 bp upstream of the putative TSS were

501 tested separately (*bdh_a1* – *a3*). Thereby, three strains carrying plasmid constructs,
 502 each targeting 11 genomic sites in parallel were designed and evaluated in batch
 503 fermentations using microaerobic conditions (Figure 5 A).

504



505

506 **Figure 5: Multiplex CRISPRi and CRISPRa to engineer the mixed acid pathway of**
 507 ***P. polymyxa* and increase 2,3-BDL production.** A) Schematic overview of gRNA
 508 binding sites. Three different gRNAs binding upstream of the P_{bdh} promoter were tested
 509 individually (*bdh_a1*-3). Simultaneously, all constructs also targeted three putative
 510 lactate dehydrogenase genes with a total of nine gRNAs (T1-T9) to knock-down the
 511 respective genes. B) Product titer obtained after 72 h cultivation at microaerobic

512 conditions. Compared to an off-target construct, lactate production was reduced by
513 ~20 % in strains expressing target gRNAs. 2,3-BDL production was increased and
514 reached a maximum of 34.7 g L⁻¹ in the construct using *bdh_a3* to target *bdh*
515 expression. Depicted values represent the mean of biological duplicates. C)
516 Expression of target genes was analyzed via qPCR after 48 h and 72 h of cultivation.
517 After 48 h, transcription levels of lactate dehydrogenases (*ldh2* - 4) were significantly
518 reduced compared to a strain expressing off-target gRNAs. Furthermore, also
519 expression of a butanediol dehydrogenase was increased. However, after 72 h of
520 cultivation, effects of CRISPRi and CRISPRa were severely reduced.

521

522 2,3-BDL fermentations were conducted for 72 h. Despite the fact that each ORF
523 encoding the different lactate dehydrogenases was targeted with two gRNAs, lactate
524 production in all strains was reduced only by ~20 % compared to the strain harboring
525 the off-target gRNA (Figure 5 B). However, 2,3-BDL titers were increased from 27.5 g
526 L⁻¹ to 34.7 g L⁻¹ for the *P. polymyxa* expressing gRNA *bdh_a3*, corresponding to a 26 %
527 increased product titer. Additionally, also the strains encoding *bdh_a1* and *bdh_a2*
528 showed 25 % and 18 % increased 2,3-BDL titers respectively. All other end products
529 of the mixed acid pathway that were not targeted by any gRNA remained similar in all
530 variants (Figure 5, Figure S 3). Furthermore, 2,3-BDL yields were increased by
531 approximately 20 % for all strains encoding target gRNAs, indicating a redirection of
532 the carbon flux from lactate to 2,3-BDL (Table S4).

533 While the general principle of our developed CRISPRi/a tool could be successfully
534 demonstrated, effects of both transcriptional repression and activation were not as
535 pronounced as observed in the fluorescence and EPS experiments. Expression
536 analysis via qPCR revealed transcriptional perturbation of all *ldh* homologs ranging
537 from 50 % to 80 % after 48 h of cultivation (Figure 5 C). Furthermore, two gRNAs
538 binding 106 bp and 146 bp respectively upstream of the TSS of the *bdh* promoter

539 caused more than a 2-fold increased expression on the transcriptional level. However,
540 after 72 h effects on the transcriptional perturbation were significantly decreased. We
541 hypothesize that the observed decreased effects by our dCas12a tool are a combined
542 result of a rather low expression of the CRISPR-tool at microaerobic conditions and
543 long cultivation times used for 2,3-BDL fermentations. Due to the restrictive PAM site
544 of Cas12a of *Acidaminococcus* sp., optimal distancing from the TSS might not always
545 be possible and impede genome wide screenings. However, engineered variants of
546 Cas12a have shown expanded binding motifs and enabled the targeting of otherwise
547 inaccessible PAMs³⁷.

548 **4. Conclusion**

549 While CRISPRi has been continuously demonstrated in bacteria, CRISPRa technology
550 is lacking behind on their eukaryotic counterparts. Currently available systems are still
551 limited in their number of targets that can be modified in parallel due to the use of
552 dCas9. In this study, we showed the first successful utilization of dCas12a for the
553 simultaneous activation and repression of multiple genes in the alternative host
554 organism *P. polymyxa* DSM 365.

555 While gRNAs particularly for CRISPRa still need to be optimized individually for each
556 target promoter, utilization of SoxS as an activator domain enables more flexibility in
557 the correct distancing to the target promoter compared to other tested activator
558 domains. In this study, we demonstrated an efficient broad host range tool for the
559 parallel transcriptional modulation of expression patterns in bacteria that can be
560 applied for both metabolic engineering efforts and screening of potential targets for
561 further studies. With ongoing studies using dCas12a-SoxS based tools for CRISPRa
562 in bacterial hosts it will be possible to establish more precise design rule sets for the

563 efficient positioning of the effector module for CRISPRi and CRISPRa to facilitate and
564 accelerate the use of dCas12a based transcriptional perturbation tools.

565 Even though Cas12a is more restricted in terms of its PAM sequence compared to
566 Cas9, its ability to efficiently process its own gRNAs makes it a promising tool to
567 orchestrate sophisticated genetic reprogramming of bacterial cells or to screen for
568 engineering targets in the genome.

569 In conclusion, this work demonstrated both, the simultaneous activation and repression
570 of multiple targets in the genome of *P. polymyxa* using a single CRISPR array and
571 represents therefore an important extension of current Cas9-based tools. We
572 demonstrated that the developed tool is functional in common bacterial cell factories
573 such as *E. coli* as well as in the Gram-positive alternative host organism *P. polymyxa*.
574 Usage of multiplex transcriptional perturbation will facilitate genome wide screenings
575 of potential engineering targets and thereby accelerate metabolic engineering efforts.

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581

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