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4	Songbirds can learn flexible contextual control over syllable sequencing
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#### 16 Abstract

17

18 The flexible control of sequential behavior is a fundamental aspect of speech, enabling endless 19 reordering of a limited set of learned vocal elements (i.e. syllables or words). Songbirds are 20 phylogenetically distant from humans, but share the capacity for vocal learning as well as neural 21 circuitry for vocal control that includes direct cortical-brainstem projections. Based on these 22 similarities, we hypothesized that songbirds might likewise be able to learn flexible, moment-23 by-moment control over vocal production. Here, we demonstrate that Bengalese finches, which 24 sing variable syllable sequences, can learn to rapidly modify the probability of specific 25 sequences (e.g. 'ab-c' versus 'ab-d') in response to arbitrary visual cues. Moreover, once 26 learned, this modulation of sequencing occurs immediately following changes in contextual 27 cues and persists in the absence of external reinforcement. Our findings reveal a capacity in 28 songbirds for learned contextual control over syllable sequencing that parallels aspects of 29 human cognitive control over speech.

## 30 Introduction

31 A crucial aspect in the evolution of human speech is thought to be the development of flexible 32 control over learned vocalizations (Ackermann, Hage, & Ziegler, 2014; Belyk & Brown, 2017). 33 Humans have unparalleled control over their vocal output, with a capacity to reorder a limited 34 number of learned elements to produce an endless combination of vocal sequences that are 35 appropriate for current contextual demands. This flexibility ingrained in human language stands 36 in striking contrast to the largely innate and stereotypic vocalization patterns of most animal 37 species, including our closest relatives, the non-human primates. One recent hypothesis posits 38 that a marked elaboration of the direct innervation of brainstem and midbrain vocal networks 39 by executive control structures in the frontal cortex underpins the human ability for flexible 40 vocal control (Hage & Nieder, 2016; Simonyan & Horwitz, 2011). However, the evolutionary 41 and neural circuit mechanisms that have enabled the development of this remarkable 42 functionality remain poorly understood.

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44 Songbirds are phylogenetically distant from humans, but they have proven a powerful model 45 for investigating neural mechanisms underlying learned vocal behavior. Song learning exhibits 46 many parallels to human speech learning; in particular, juveniles need to hear an adult tutor 47 during a sensitive period, followed by a period of highly variable sensory-motor exploration 48 and practice, during which auditory feedback is used to arrive at a precise imitation of the tutor 49 song (Brainard & Doupe, 2002). This capacity for vocal learning is subserved by a well-50 understood network of telencephalic song control nuclei. Moreover, as in humans, this vocal 51 control network includes strong projections directly from cortical (pallial) to brainstem vocal control centers (Doupe & Kuhl, 1999; Simonyan & Horwitz, 2011). These shared behavioral 52 53 features and neural specializations led us to consider whether birds might also share the human 54 capcity to learn flexible control of syllable sequencing.

56 In spite of the similarities between speech and birdsong, song has largely been seen as an 57 affective behavior, elicited instinctually by contact with potential mates, rivals, or performed 58 spontaneously (Doupe & Kuhl, 1999; Nieder & Mooney, 2020; T. N. Suzuki, Wheatcroft, & 59 Griesser, 2020). There are differences between songs produced in distinct social contexts, such 60 as courtship or territorial defense, and these can include alteration to the sequencing of syllables 61 (Chen, Matheson, & Sakata, 2016; Sakata, Hampton, & Brainard, 2008; Searcy & Beecher, 62 2009). However, these social influences likely reflect a general modulation of song structure 63 related to the animal's affective state (Berwick, Okanova, Beckers, & Bolhuis, 2011), and do 64 not reveal whether song can be modified more flexibly by different cognitive factors.

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66 Here, we test whether songbirds, like humans, can learn to flexibly control vocal sequences, 67 independently of social or other natural contexts. We specifically asked whether Bengalese 68 finches (Lonchura striata) could learn to alter the sequencing of learned song elements in 69 response to arbitrarily chosen visual cues. Each Bengalese finch song consists of ~5-12 70 acoustically distinct elements ('syllables') that are sung in variable but non-random order 71 (Okanoya, 2004; Warren, Charlesworth, Tumer, & Brainard, 2012). For a given bird, the 72 relative probabilities of specific transitions between syllables normally remain constant over 73 time, but previous work has shown that birds can gradually adjust those probabilities in 74 response to training that reinforces the production of some sequences over others. In this case, 75 changes to syllable sequencing develop over a period of hours to days (Warren et al., 2012). In 76 contrast, we investigate here whether birds can learn to change syllable sequencing on a 77 moment-by-moment basis in response to arbitrary visual cues that signal which sequences are adaptive at any given time. Our data reveal an unexpected capacity of birds to immediately, 78 79 flexibly, and adaptively adjust their sequencing of vocal elements in response to learned contextual cues, in a manner that parallels key aspects of human cognitive control over speech. 80

### 82 **Results**

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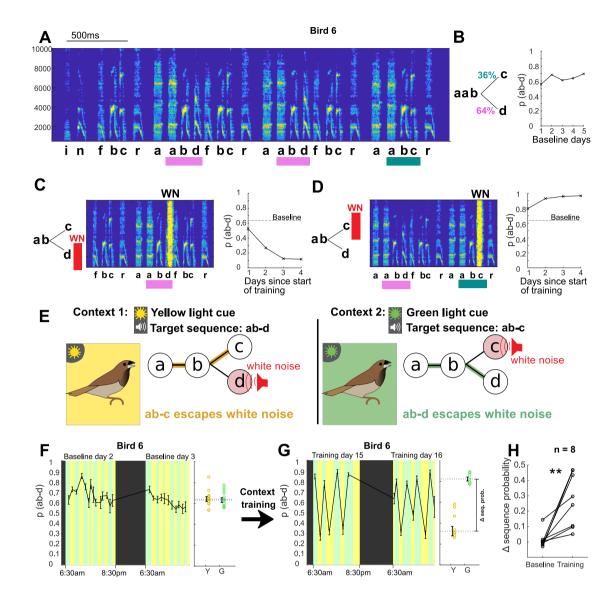
## 84 Bengalese finches can learn context-dependent syllable sequencing

85 For each bird in the study, we first identified variably produced syllable sequences that could be gradually modified using a previously described aversive reinforcement protocol (Tumer & 86 87 Brainard, 2007; Warren et al., 2012). For example, a bird that normally transitioned from the fixed syllable sequence 'ab' to either a 'c' or a 'd' (Figures 1A,B, sequence probability of ~36% 88 89 for 'ab-c' and ~64% for 'ab-d'), was exposed to a aversive white noise (WN) feedback delivered immediately after the "target sequence" 'ab-d' was sung. In response, the bird learned over a 90 91 period of days to gradually decrease the relative probability of that sequence in favor of the 92 alternative sequence 'ab-c' (Fig. 1C). This change in sequence probabilities was adaptive in 93 that it enabled the bird to escape from WN feedback. Likewise, when the alternative syllable 94 sequence, 'ab-c', was the target sequence, the bird gradually increased the probability of the 95 alternate sequence 'ab-d' over several days of training (Fig. 1D). These examples are consistent 96 with prior work that showed such sequence modifications develop over a period of several days, 97 with the slow time course suggesting a gradual updating of synaptic connections within syllable 98 control networks in response to performance-related feedback (Warren et al., 2012). In contrast, 99 the ability to immediately and flexibly reorder vocal elements in speech must reflect 100 mechanisms that enable contextual factors to exert moment-by-moment control over selection 101 and sequencing of alternative vocal motor programs. Having identified sequences for each bird 102 for which the probability of production could be gradually modified in this manner, we then 103 tested whether birds could be trained to rapidly switch between those same sequences in a 104 context-dependent manner

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106 To determine whether Bengalese finches can also learn to flexibly select specific syllable 107 sequences on a moment-by-moment basis, we paired WN targeting of specific sequences with

108 distinct contextual cues. In this context-dependent training protocol, WN was targeted to 109 defined sequences in the bird's song as before, but the specific target sequence varied across 110 alternating blocks, signaled by different colored lights in the home cage. Figure 1E shows an 111 example, with 'ab-d' targeted in yellow light, 'ab-c' in green light. Light contexts switched after 112 random intervals of 1-2.5 hours. At baseline without WN, such light switches did not lead to 113 significant sequencing changes, indicating that there was no inherent influence of the light 114 context on sequence probabilities (Fig. 1F, 67+/-1.6% vs. 64+/-1.5%, p = 0.17, ranksum test, 115 n=53 context blocks from baseline period). In contrast, significant sequencing differences that 116 appropriately reduced aversive feedback in each reinforcement context were observed after 2 117 weeks of training (Fig. 1G, 36.5+/-4.8% vs. 83.1+/3.5%, p < 0.01, ranksum test, n=22 context 118 blocks). Likewise, for all birds trained on this protocol (n = 8) a significant context-dependent 119 shift in sequence probabilities developed over the period of training (1%+/-2% average 120 difference in probabilities between contexts at baseline increased to 27+/-6% after a mean of 121 33 days training; p < 0.01, n=8, signed rank test, Fig. 1H). Thus, Bengalese finches are able to 122 learn context-specific modifications to their syllable sequences.



# 124

# 125 Figure 1. Bengalese finches can learn context-dependent sequencing

A: Example spectrogram highlighting points in the song with variable sequencing. 126 127 Individual syllables are labeled based on their spectral structure, and target sequences for 128 the different experiments (ab-c and ab-d) are marked with colored bars. Scale for x-axis is 500ms and y-axis shows frequency. B: Transition diagram with probabilities for the 129 130 sequences ab-c and ab-d. The sequence probability of ab-d (and likewise of the 131 complementary probability ab-c) stayed relatively constant over five days of baseline 132 song recordings. C: Aversive reinforcement training. Schematic showing aversive WN 133 after target syllable sequence ab-d is sung; spectrogram shows actual WN stimulus, 134 covering part of syllable d. WN targeted to the sequence ab-d led to a gradual reduction 135 in the probability of that sequence over several days. D: In a subsequent experiment, WN 136 targeted to the sequence ab-c led to a gradual increase in the sequence probability of ab-137 d over several days. E: Schematic of the contextual learning protocol, where the target for 138 WN depends on colored lights. F: Left: Two example days of baseline light switches 139 without WN with alternating blocks of green and yellow context. Colors indicate light 140 context (black indicates periods of lights off during the night), error bars indicate SEM 141 across song bouts in each block. Right: Average sequence probability in yellow and green 142 blocks during baseline. Open circles show individual blocks of yellow and green context, 143 error bars indicate SEM across blocks. G: Left: Two example days after training (WN on) 144 with alternating blocks of green and yellow context, as in F. Right: Average sequence

145 probability in yellow and green blocks after training, as in F. **H**: Contextual difference in

146 sequence probability for eight trained birds before and after training.

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# 148 Syllable sequencing shifts immediately following context switches

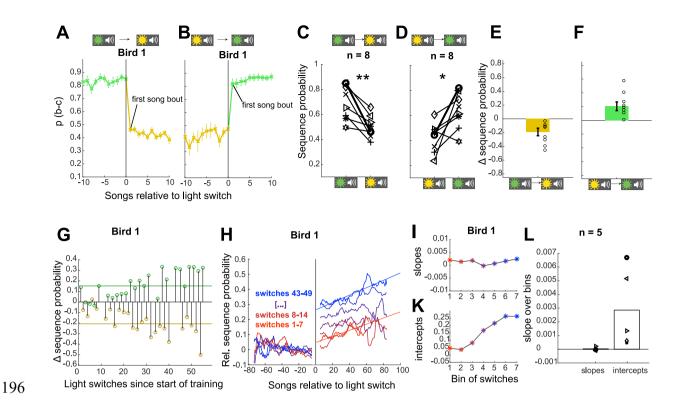
149 Contextual differences between different blocks could arise through an immediate shift in 150 sequence probabilities upon entry into a new context and/or by rapid learning within each block. 151 We examined whether trained birds exhibited any immediate adjustments to their syllable 152 sequencing when entering a new light context by computing the average probability of targeted 153 sequences across songs aligned by the time of transition between contexts (Fig. 2A,B, example 154 experiment). This "transition-triggered average" of sequence probabilities revealed that across 155 all birds, transitions to the yellow context were accompanied by an immediate decrease in the 156 probability of the yellow target sequence, whereas transitions out of the yellow context (and 157 into the green context) led to an immediate increase in the yellow target sequence (Fig. 2C,D, 158 p < 0.05, signed rank test comparing first and last song, n=8). To quantify the size of immediate 159 shifts, we calculate the difference in sequence probability from the last five songs in the old 160 context to the first five songs in the new context; this difference was on average 0.24 + -0.06 for 161 switches to green light and -0.22+/-0.06 for switches to yellow light (Fig. 2 E,F). These results 162 indicate that birds could learn to immediately recall an acquired memory of context-appropriate sequencing upon entry into each context, even before having the chance to learn from 163 164 reinforcing feedback within that context.

165

We next asked whether training additionally led to an increased rate of learning within each context, which also might contribute to increased contextual differences over time. Indeed, such faster re-learning for consecutive encounters of the same training context, or 'savings', is sometimes observed in contextual motor adaptation experiments (Lee & Schweighofer, 2009). To compare the magnitude of the immediate shift and the magnitude of within-block learning over the course of training, we plotted the switch-aligned sequence probabilities at different

172 points in the training process. Figure 2G shows for the example bird that the magnitude of the 173 shift (computed between the first and last five songs across context switches) gradually 174 increased over 11 days of training. Figure 2H shows the switch-aligned sequence probability 175 trajectories (as in Fig. 2A,B) for this bird early in training (red) and late in training (blue), 176 binned into groups of 7 context switches. Qualitatively, it is apparent for each of the context 177 switches that there was both an abrupt change in sequence probability at the onset of each block 178 (immediate shift at time point 0) and a gradual adjustment of sequence probability within each 179 block (within-block learning over the first 80 songs following light switch). Over the course of 180 training, the switch-aligned trajectories shifted approximately in parallel, indicating that the 181 immediate shift at the start of the new context got larger, while the gradual change within blocks 182 stayed approximately the same. Linear fits to the sequence probabilities for each trajectory (over 183 the first 80 songs following light switch) reveal that, indeed, the change in sequence probability 184 at the onset of blocks (i.e. intercepts) increased over the training process (Fig. 2K), while the 185 change within block (i.e. slopes) stayed constant (Fig. 2I). To quantify this across birds we 186 measured the change over the course of learning in both the magnitude of immediate shifts 187 (estimated as the intercepts from linear fits) and the rate of within-block learning (estimated as 188 the slopes from linear fits). Similar to the example bird, we found that the rate of learning within 189 each block stayed constant over time for all five birds (Fig. 2L). In contrast, the magnitude of 190 immediate shifts grew over time in all birds (Fig. 2L). This indicates that adjustments to 191 sequence probability are due to two dissociable processes, a gradual adaptation of sequence 192 probability within each block of WN, which is not modified by increased contextual training, 193 and an immediate shift in sequence probability at the beginning of blocks, which is sensitive to contextual cues and increases with contextual training. 194

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197 Figure 2. Sequence probabilities can be shifted immediately following a change in198 context.

199 **A-B**: Sequence probability for example Bird 1 over time, switching from green to yellow 200 context (A) and switching from yellow to green context (B). Error bars indicate SEM 201 across song bouts (n = 35 switches (A), n = 33 switches (B)). C: Change in sequence 202 probability from the last song in green context to the first song in yellow context for all 8 birds. Example bird in A-B highlighted in bold. **D**: Change in sequence probability from 203 204 the last song in yellow context to the first song in green context. E: Shift magnitude for all 205 birds, defined as the change in sequence probability from the last five songs in the green 206 context to the first five songs in the yellow context. Open circles show individual birds, 207 error bars indicate SEM across birds. F: Same as E for switches from yellow to green. G: 208 Shift magnitude over training time for the example bird (11 days and 49 context switches; 209 seven of the original 56 context switches are excluded from calculations of shift 210 magnitudes because at least one of the involved blocks contained only one or two song bouts.). **H**: Trajectory of switch-aligned sequence probabilities for the example bird early 211 212 in training (red) and late in training (blue). Probabilities are normalized by the sequence probability in preceding block, and plotted so that the adaptive direction is positive for 213 214 both switch directions (i.e. inverting the probabilities in yellow switches.) I: Slope of fits to the sequence probability trajectories over song bouts within block. Units in change of 215 relative sequence probability per song bout. K: Intercept of fits to sequence probability 216 trajectories over song bouts within block. Units in relative sequence probability. L: 217 218 Change in slope and change in intercept for five birds over the training process, 219 determined as the slope of a linear fit to the curves in I,K.

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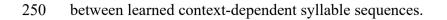
## 221 Visual cues in the absence of reinforcement are sufficient to evoke sequencing changes

222 The ability of Bengalese finches to implement an immediate shift in sequencing on the first

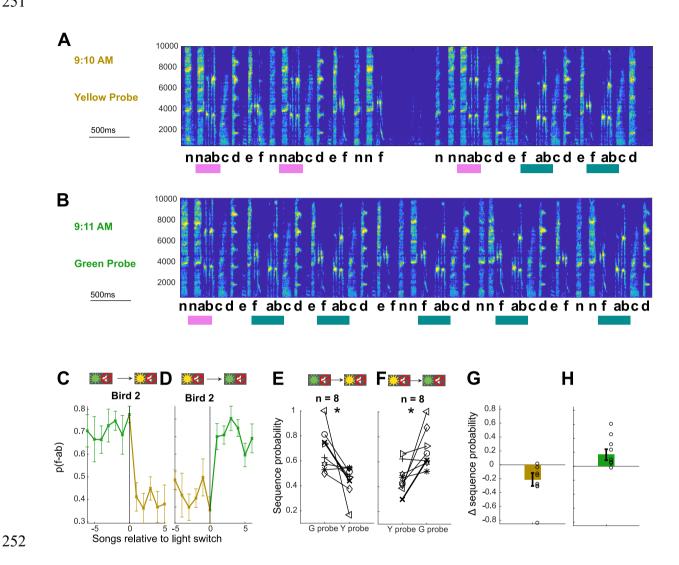
223 rendition in a block – and thus before they have a chance to learn from reinforcing feedback –

224 argues that they can maintain context-specific motor memories and use contextual visual cues 225 to anticipate correct sequencing in each context. To explicitly test whether birds can flexibly 226 switch between behaviors appropriate for distinct contexts using solely visual cues, we included 227 short probe blocks which presented the same light colors without WN stimulation. Probe blocks 228 were interspersed in the sequence of training blocks so that each transition between types of 229 blocks was possible and, on average, every third transition was into a probe block (see Methods, 230 10 of 34 blocks in sequence). Light switches into probe blocks were associated with similar 231 magnitude shifts in sequence probability as switches into WN blocks of the corresponding color 232  $(-0.22 + -0.06 \text{ to both yellow WN and yellow probe blocks from green WN blocks, p = 0.94,$ 233 signed rank test;  $0.24 \pm 0.06$  to green WN and  $0.23 \pm 0.07$  to green probe blocks from yellow 234 WN blocks, p = 0.64, signed rank test). As the most direct test of evoked sequencing changes 235 with light cues alone, we compared transitions directly between probe blocks without 236 intervening WN training blocks (probe-probe transitions). The sequence of context blocks 237 included direct probe-probe transitions approximately every 17 transitions. Figure 3A,B shows song bouts for one example bird (Bird 2) which were sung consecutively across a context switch 238 239 from yellow probe to green probe. In this case, immediately following the switch from the 240 yellow context to the green context, the yellow target sequence ('fab') was more prevalent, and 241 the green target sequence ('nab') was less prevalent. Consistent with this example, this bird 242 exhibited appropriate shifts in syllable sequencing when changing directly between probe 243 blocks in the absence of any WN (Fig. 3C,D), demonstrating that the arbitrary visual cues alone 244 had become sufficient to induce an immediate shift in sequence probabilities. Likewise, all eight 245 birds showed appropriate shifts in sequencing for the first song in the new context compared to 246 the old context (Fig. 3E,F, p < 0.05 signed rank test, n=8), with average shift magnitude -247 0.21+/-0.09 and 0.17+/-0.08 (Fig. 3G,H), confirming that they can indeed maintain context-248 specific sequencing shifts for consecutive unreinforced switches without immediate experience

249 of white noise. Therefore, visual cues alone were sufficient to act as cues for anticipatory shifts



# 251



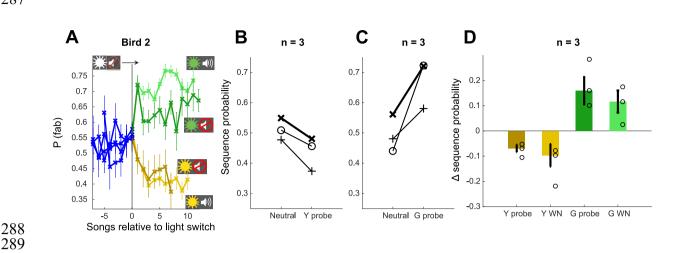
#### Figure 3. Contextual cues alone are sufficient to enable fast changes to syllable 253 254 sequencing.

- 255 A: Example last song spectrogram in yellow probe context for Bird 2. Scale for x-axis is 256 500ms, y-axis shows frequency. B: Example first song spectrogram in green probe context 257 for Bird 2, sung less than one minute after the song in A. C.D: Sequence probability for 258 example Bird 2 over time, switching from green probe to yellow probe context (C) and 259 switching from yellow probe to green probe context (D). Error bars indicate SEM across 260 song bouts (n = 14 switches (C), 11 switches (D)). The display is cut off at the point where less than half of the curves were still contributing data (i.e. in **D**, the median number of song 261
- 262 bouts after the switch is 6).
- **E**,**F**: Sequence probability changes for all eight birds at the transition from the last song in 263
- green probe contexts to the first song in yellow probe contexts, and vice versa. Example 264
- 265 bird in A-D highlighted in bold. **G,H**: Shift magnitude for direct probe-probe transitions
- for all birds. Open circles show individual birds, error bars indicate SEM across birds. 266
- 267

#### 268 Distinct sequence probabilities are specifically associated with different visual cues

269 The ability to adjust behavior in response to switches between two contexts could potentially 270 depend on a simplified strategy of learning two different motor states and switching between 271 them in response to a detected light switch, independent of the particular colors being switched. 272 In order to test whether birds were using such a strategy or indeed learning specific associations 273 between particular visual cues and particular syllable sequencing states, in a subset of birds we 274 recorded switches between three different color-sequencing pairings. After the conclusion of 275 the other experiments, we introduced blocks of unreinforced context (white light), interleaved 276 with the two previously trained colored contexts. In an example bird (Fig. 4A), switching from 277 the unreinforced context elicited changes in opposite directions for the two different light 278 colors. This was true both for switches into WN blocks and into probe blocks (Fig. 4A). All 279 birds (n = 3) showed adaptive sequencing changes for the first song bout in probe blocks 280 (Fig. 4B,C) as well as fast shifts in the adaptive directions for all color contexts (Fig. 4D 0.11+/-281 0.04 and 0.19+/-0.05 for switches to green WN and green probe blocks, respectively; -0.15+/-282 0.06 and -0.09+/-0.02 for switches to yellow WN and yellow probe blocks, respectively). This 283 indicates that birds can indeed maintain at least two different trained sequencing states separate 284 from a 'neutral' state, and use specific pairings between colored cues and sequencing states to 285 adjust behavior in distinct directions adaptive for each context.

287



## 290 Figure 4. Contextual cues allow shifts in both directions

291 A: Sequence probability for Bird 2 at the transition from neutral context to yellow and 292 green WN contexts, as well as yellow and green probe contexts. Error bars indicate SEM 293 across song bouts (n = 68 switches (green WN), 78 switches (yellow WN), 27 switches 294 (green probe), 24 switches (vellow probe)). **B**,**C**: Sequence probabilities for three birds 295 for the last song in neutral context and the first song in the following probe context. 296 Example bird in I highlighted in bold. **D**: Shift magnitude for three birds at the transition 297 from neutral context to all other contexts. Open circles show individual birds, error bars 298 indicate SEM across birds.

299

# 300 Discussion

301

Speech, thought, and many other behaviors are composed of ordered sequences of simpler 302 303 elements. The flexible control of sequencing is thus a fundamental aspect of cognition and 304 motor function in health and disease (Aldridge & Berridge, 2002; X. Jin & Costa, 2015; Tanji, 305 2001). While the flexibility of communication in human speech is unparalleled, our contextual 306 training paradigm revealed a striking capacity in birds to produce distinct vocal sequences in 307 response to arbitrary cues. Hence, for song, like speech, the ordering of vocal elements can be 308 rapidly reconfigured to achieve contextually appropriate goals. This shared capacity for 309 moment-by-moment control of vocal sequencing in humans and songbirds suggests that the 310 avian song system could be an excellent model for investigating how neural circuits enable 311 flexible and adaptive reconfiguration of motor output in response to different cognitive 312 demands.

313

# 314 *Flexible control of vocalizations*

315 Our finding that Bengalese finches can learn to exert contextual control over the ordering of 316 vocal elements builds on previous work showing that a variety of animals can learn to emit or 317 withhold innate vocalizations in response to environmental or experimentally imposed cues. 318 For example, non-human primates and other animals can produce alarm calls that are innate in 319 their acoustic structure, but that are deployed in a contextually appropriate fashion (Nieder & 320 Mooney, 2020; T. N. Suzuki & Zuberbühler, 2019; Wheeler & Fischer, 2012). Similarly, 321 animals, including birds, can be trained to exert contextual control over their vocalizations in 322 an experimental setting, by reinforcing the production of innate vocalizations in response to 323 arbitrary cues to obtain food or water rewards (Brecht, Hage, Gavrilov, & Nieder, 2019; Hage 324 & Nieder, 2013; Nieder & Mooney, 2020; Reichmuth & Casey, 2014). In relation to these prior 325 findings, our results demonstrate a particularly sophisticated capacity to flexibly reorganize the 326 sequencing of learned vocal elements, rather than select from a fixed set of innate vocalizations, 327 in response to arbitrary cues. This ability to contextually control the ordering, or syntax, of 328 specifically targeted syllable transitions within the overall structure of learned song is striking 329 in its parallel to the human capacity to differentially sequence a fixed set of syllables to create 330 distinct meanings.

331

The ability to alter syllable sequencing in a flexible fashion also contrasts with prior studies that have demonstrated modulation of vocalizations in more naturalistic settings. For example, songs produced in the context of courtship and territorial or aggressive encounters ("directed song") differ in acoustic structure from songs produced in isolation ("undirected song"). This modulation of song structure by social context is characterized by global changes to the intensity of song production, with directed songs exhibiting greater tempo and greater stereotypy of both syllable structure and syllable sequencing than undirected songs (Hedley,

Denton, & Weiss, 2017; Sakata et al., 2008; Searcy & Beecher, 2009). Moreover, the 339 340 modulation of song and other simpler vocalizations in natural settings may be largely innate 341 and affective in nature, with influences of conspecific signaling potentially hardwired via 342 hypothalamic and neuromodulatory inputs to premotor regions (Berwick et al., 2011; Gadagkar, 343 Puzerey, & Goldberg, 2019; James, Dai, & Sakata, 2018; Nieder & Mooney, 2020; Wheeler & 344 Fischer, 2012). In contrast, here we show that birds can learn to locally modulate specific 345 features of their songs (i.e. individually targeted syllable transitions) in response to arbitrarily 346 assigned contextual stimuli that have no prior ethological relevance.

347

# 348 <u>Evolution of control over vocal sequencing</u>

349 While birds are phylogenetically distant from humans, our results raise the question of whether 350 similar evolutionary forces may have led to a shared capacity for flexible control of vocal 351 sequencing, and whether such forces might have contributed to the eventual development of 352 human speech and language. The capacity for moment-by-moment adjustment of vocalizations 353 may have developed in part to enable the customization of vocal signatures for purposes of 354 individual and group recognition (Vignal, Mathevon, & Mottin, 2004), to enhance 355 communication, or to avoid overlap or 'jamming' during vocal turn-taking and in response to 356 environmental noises (Benichov & Vallentin, 2020; Brumm & Zollinger, 2013). Such 357 ethologically relevant capacities for vocal control likely reflect evolutionary advantages of 358 incorporating sensory and contextual information about conspecifics and the environment in 359 generating increasingly sophisticated vocal signaling. Our results indicate a latent capacity to 360 integrate arbitrary sensory signals into the adaptive deployment of vocalizations in songbirds. 361 Perhaps evolutionary pressures to develop more nuanced social communication led to an 362 elaboration of cortical control over vocalizations in both songbirds and humans, establishing a 363 conduit that facilitated the integration of progressively more abstract cues and internal states in

that control. The avian song system provides an ideal opportunity to investigate
 mechanistically how such top-down pathways orchestrate the flexible control of vocalizations.
 366

# 367 <u>Neural implementation of context-dependent motor sequencing</u>

368 The ability of birds to switch between distinct motor programs using visual cues is reminiscent 369 of contextual motor control in humans, where subjects can learn two separate states of motor 370 adaptation, and switch between them using contextual cues or other cognitive strategies 371 (Cunningham & Welch, 1994). Models of such context-dependent motor adaptation frequently 372 assume at least two parallel processes (Abrahamse, Ruitenberg, De Kleine, & Verwey, 2013; 373 Ashe, Lungu, Basford, & Lu, 2006; Hikosaka et al., 1999; Lee & Schweighofer, 2009; 374 McDougle, Ivry, & Taylor, 2016; Wolpert, Diedrichsen, & Flanagan, 2011). One is more 375 flexible, and sensitive to contextual information (McDougle et al., 2016), while a slower 376 process, related to basic motor adaptation, might not be easily associated with arbitrary cues 377 (Howard, Wolpert, & Franklin, 2013). Specifically, in a test of one two-process model, 378 Imamizu and colleagues (Imamizu & Kawato, 2009; Imamizu et al., 2007) found that contextual 379 information can be used for rapid adaptation at the beginning of new blocks, without affecting 380 the rate of adaptation within blocks. In striking parallel to these results in a human motor 381 adaptation study, our analysis of the progression of learning (Fig. 2 G-L) reveals two separate 382 processes: fast context-dependent shifts in sequence probability, which increased over the 383 course of training, and gradual adaptation within blocks, which did not change over training. 384 For humans, the slow processes are thought to rely on primary motor structures, while fast 385 context-dependent adaptation has been associated with activation of prefrontal and parietal 386 association areas (Imamizu & Kawato, 2009). In songbirds, the gradual adaptation of sequence 387 probabilities within blocks might likewise be controlled by motor and premotor song control 388 structures, while visual contextual cues might be processed in avian structures analogous to 389 mammalian prefrontal cortex outside the song system. For example, the association area

390 nidopallium caudolaterale (Güntürkün, 2005), is activated by arbitrary visual cues that encode 391 learned rules (Veit & Nieder, 2013; Veit, Pidpruzhnykova, & Nieder, 2015), and this or other 392 avian association areas (Jarvis et al., 2013) may serve as an intermediate representation of the 393 arbitrary contextual cues that drive rapid contextual shifts.

394

395 At the level of song motor control, our results indicate a greater capacity for rapid and flexible 396 adjustment of syllable transition probabilities than previously appreciated. Current models for 397 song production include networks of neurons in the vocal premotor nucleus HVC responsible 398 for the temporal control of individual syllables, which are linked together by activity in a 399 recurrent loop through brainstem vocal centers (Andalman, Foerster, & Fee, 2011; Ashmore, 400 Wild, & Schmidt, 2005; Cohen et al., 2020; Hamaguchi, Tanaka, & Mooney, 2016). At branch 401 points in songs with variable syllable sequencing, one influential model posits that which 402 syllable follows a branch point is determined by stochastic processes that depend on the strength 403 of the connections between alternative syllable production networks, and dynamics local to 404 HVC (D. Z. Jin, 2009; D. Z. Jin & Kozhevnikov, 2011; Troyer, Brainard, & Bouchard, 2017; 405 Zhang, Wittenbach, Jin, & Kozhevnikov, 2017). Such models could account for a gradual 406 adjustment of sequence probabilities over a period of hours or days (Warren et al., 2012) 407 through plasticity of motor control parameters, such as the strength of synaptic connections 408 within HVC. However, our results demonstrate that there is not a single set of relatively fixed 409 transition probabilities that undergo gradual adjustments, as could be captured in synaptic 410 connectivity of branched syllable control networks. Rather, the song system has the capacity to 411 maintain distinct representations of transition probabilities and can immediately switch between 412 those in response to visual cues. HVC receives a variety of inputs that could potentially convey 413 such visual or cognitive influences on sequencing (Bischof & Engelage, 1985; Cynx, 1990; 414 Seki, Suzuki, Takahasi, & Okanoya, 2008; Ullrich, Norton, & Scharff, 2016; Wild, 1994). One 415 of these inputs, Nif, has previously been shown to be relevant for sequencing (Hosino &

416 Okanoya, 2000; Vyssotski, Stepien, Keller, & Hahnloser, 2016). It therefore is likely that the 417 control of syllable sequence in Bengalese finches involves a mix of processes local to HVC (Basista et al., 2014; Zhang et al., 2017) as well as inputs that convey a variety of sensory 418 419 feedback and contextual information. Our behavioral studies pave a way for investigating how 420 neural circuits enable flexible and adaptive reconfiguration of motor output in response to 421 different cognitive demands. Together with the quantifiable nature of syllable sequencing and 422 well-understood neural circuitry of the bird song system, the ability to elicit moment-by-423 moment contextual adjustment should provide insight into contextual learning and execution 424 of skilled motor behavior and the neural underpinnings of cognitive flexibility.

#### 425 Methods

426

### 427 Subjects & Sound recordings

428 The experiments were carried out on eight adult Bengalese finches (Lonchura striata) obtained 429 from the lab's breeding colony (age range 128-320 days post hatch, median 178 days, at start 430 of experiment). Birds were placed in individual sound-attenuating boxes with continuous 431 monitoring and auditory recording of song. Song was recorded using an omnidirectional 432 microphone above the cage. We used custom software for the online recognition of target 433 syllables and real-time delivery of short 40ms bursts of white noise (WN) depending on the 434 syllable sequence (Tumer & Brainard, 2007; Warren et al., 2012). All procedures were 435 performed in accordance with animal care protocols approved by the University of California, 436 San Francisco Institutional Animal Care and Use Committee (IACUC).

437

#### 438 Training procedure

439 Bengalese finch song consists of a discrete number of vocal elements, called syllables, that are 440 separated by periods of silence. At the start of each experiment, a template was generated to 441 recognize a specific sequence of target syllables for each bird based on their unique spectral 442 structure. In the context-dependent auditory feedback protocol, the specific sequence of 443 syllables that received aversive white noise (WN) feedback (the target sequence) switched 444 between blocks of different light contexts. Colored LEDs (superbrightleds.com, St. Louis, MO; 445 green 520 nm, amber 600 nm) produced two visually distinct environments (green and vellow) 446 to serve as contextual cues to indicate which sequences would elicit WN and which would 447 'escape' (i.e. not trigger WN).

448

At the start of each experiment, each color of cage illumination was alternatingly turned on fora random duration within a range of several minutes to hours (example bird 6: 30-90 min)

451 without WN playback to gather baseline data on sequence probability in the two contexts, in 452 order to confirm that sequencing changes we observed after training were not just unlearned 453 responses to the light cues. After gathering baseline data, we started WN training. During initial 454 training, each color of cage illumination was alternatingly active and then automatically 455 switched to the other color at a random time within an interval of one to several hours. After 456 several days of training (average 33), we started including probe blocks in the sequence of block 457 transitions, to confirm that sequencing changes could be elicited by visual cues alone. Probe 458 blocks presented the individual light cues without WN. Presentation sequence was set so that 459 approximately one third of blocks were probe blocks (10/34 blocks) and probes made up on 460 average between 7-35% of total time. In additional to the eight birds that completed this training 461 paradigm, three birds were started on training but never progressed to the full probe sequence 462 either because they did not exhibit single-context learning or because of technical issues with 463 consistent targeting at branch points; these birds are excluded from the results. In a subset of 464 experiments, after completing measurements with probe transitions, we added a third, neutral 465 context (Fig. 4), signaled by white light, in which there was no WN reinforcement.

466

# 467 *Syllable sequence annotation*

468 Syllable annotation for data analysis was performed offline. Each continuous period of singing 469 that was separated from others by at least two seconds of silence was treated as an individual 470 song bout. Song was bandpass filtered between 500 Hz and 10000 Hz and segmented into 471 syllables and gaps based on amplitude threshold and timing parameters determined manually 472 for each bird. A small sample of songs (approximately 20 song bouts) was then annotated 473 manually based on visual inspection of spectrograms. These data were used to train an offline 474 autolabeler ('hybrid-vocal-classifier', (Nicholson, 2016)), which was then used to label the 475 remaining song bouts. Autolabeled songs were processed further in a semi-automated way 476 depending on each bird's unique song, for example to separate or merge syllables that were not 477 segmented correctly (detected by their duration distributions), to deal with WN covering 478 syllables (detected by its amplitude), and to correct autolabeling errors detected based on the 479 syllable sequence. A subset of songs was inspected manually for each bird to confirm correct 480 labeling.

481

482 Sequence probability analyses

483 Sequence probability was first calculated within each song bout as the frequency of the yellow
484 target sequence relative to the total number of yellow and green target sequences:

485  $p = \frac{n(target_Y)}{n(target_Y) + n(target_G)}$ . Note that this differs from transition probabilities at branch points

486 in song in that it ignores possible additional syllable transitions at the branch point, and does 487 not require the targeted sequences to be directly following the same branch point. For example 488 for the experiment in Figure 3, the target sequences were 'n-ab' and 'f-ab', so the syllable 489 covered by WN ('b' in both contexts) was 2-3 syllables removed from the respective branch 490 point in the syllable sequence ('n-f' vs. 'n-a', or 'f-n' vs. 'f-a'). Song bouts that did not contain 491 either of the two target sequences were discarded. Context switches were then processed to 492 include only switches between adjacent blocks during the same day, i.e. excluding overnight 493 switches and treating blocks as separate contexts if one day started with the same color that the 494 previous day ended. If a bird did not produce any song during one block, this block was merged 495 with any neighboring block of the same color (for example, green probe without songs before 496 green WN, where the context switch would not be noticeable for the bird). If the light color 497 switched twice (or more) without any song bouts, those context switches were discarded.

498

In order to reduce variability associated with changes across individual song bouts, shift magnitude was calculated as the difference between the first five song bouts in the new context and the last five song bouts in the old context. Only context switches with at least 3 song bouts in each adjacent block were included in analyses of shift magnitude.

503

# 504 Analysis of acquisition

505 In order to investigate how context-dependent performance developed over training (Fig. 2 G-506 L), we quantified changes to sequence probabilities across block transitions for 5 birds for 507 which we had a continuous record from the onset of training. Sequence probability curves (e.g. 508 Fig. 2 H) for yellow switches were inverted so that both yellow and green switches were plotted 509 in the same direction, aligned by the time of context switches, and were cut off at a time point 510 relative to context switches where fewer than five switches contributed data. We then subtracted 511 the mean pre-switch value from each sequence probability curve. For visual display of the 512 example bird, sequence probability curves were smoothed with a 9 bout boxcar window, and 513 displayed in bins of 7 context switches. To calculate the slope of slopes and slope of intercepts 514 (Fig. 3 L), we calculated a linear fit to the post-switch parts of the unsmoothed sequence 515 probability curve for each individual context switch.

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518

# 517 Acknowledgements

519 We thank Alla Karpova, Jon Sakata, Dave Mets, William Mehaffey, Assaf Breska, Guy 520 Avraham for helpful discussions and comments on earlier versions of this manuscript.

Lena Veit has been a Howard Hughes Medical Institute Fellow of the Life Sciences Research
Foundation and was supported by a postdoc fellowship from Leopoldina German National
Academy of Sciences. Christian J Monroy Hernandez was supported by a HHMI EXROP
summer fellowship.

525

# 526 **Competing interests:**

527 The authors declare no competing interests.

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