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4	Songbirds can learn flexible contextual control over syllable sequencing
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18 Abstract

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20 The flexible control of sequential behavior is a fundamental aspect of speech, enabling endless 21 reordering of a limited set of learned vocal elements (syllables or words). Songbirds are 22 phylogenetically distant from humans but share both the capacity for vocal learning and neural 23 circuitry for vocal control that includes direct pallial-brainstem projections. Based on these 24 similarities, we hypothesized that songbirds might likewise be able to learn flexible, moment-25 by-moment control over vocalizations. Here, we demonstrate that Bengalese finches (Lonchura 26 striata domestica), which sing variable syllable sequences, can learn to rapidly modify the 27 probability of specific sequences (e.g. 'ab-c' versus 'ab-d') in response to arbitrary visual cues. 28 Moreover, once learned, this modulation of sequencing occurs immediately following changes 29 in contextual cues and persists without external reinforcement. Our findings reveal a capacity 30 in songbirds for learned contextual control over syllable sequencing that parallels human 31 cognitive control over syllable sequencing in speech.

32 Introduction

33 A crucial aspect of the evolution of human speech is the development of flexible control over learned vocalizations (Ackermann, Hage, & Ziegler, 2014; Belyk & Brown, 2017). Humans 34 35 have unparalleled control over their vocal output, with a capacity to reorder a limited number 36 of learned elements to produce an endless combination of vocal sequences that are appropriate 37 for current contextual demands (Hauser, Chomsky, & Fitch, 2002). This cognitive control over 38 vocal production is thought to rely on the direct innervation of brainstem and midbrain vocal 39 networks by executive control structures in the frontal cortex, which have become more 40 elaborate over the course of primate evolution (Hage & Nieder, 2016; Simonyan & Horwitz, 41 2011). However, because of the comparatively limited flexibility of vocal production in nonhuman primates (Nieder & Mooney, 2020), the evolutionary and neural circuit mechanisms 42 43 that have enabled the development of this flexibility remain poorly understood.

44

45 Songbirds are phylogenetically distant from humans, but they have proven a powerful model 46 for investigating neural mechanisms underlying learned vocal behavior. Song learning exhibits 47 many parallels to human speech learning (Doupe & Kuhl, 1999); in particular, juveniles need 48 to hear an adult tutor during a sensitive period, followed by a period of highly variable sensory-49 motor exploration and practice, during which auditory feedback is used to arrive at a precise 50 imitation of the tutor song (Brainard & Doupe, 2002). This capacity for vocal learning is 51 subserved by a well-understood network of telencephalic song control nuclei. Moreover, as in 52 humans, this vocal control network includes strong projections directly from cortical (pallial) 53 to brainstem vocal control centers (Doupe & Kuhl, 1999; Simonyan & Horwitz, 2011). These 54 shared behavioral features and neural specializations raise the question of whether songbirds 55 might also share the capacity to learn flexible control over syllable sequencing.

57 Contextual variation of song in natural settings, such as territorial counter-singing or female-58 directed courtship song, indicate that songbirds can rapidly alter aspects of their song, including 59 syllable sequencing and selection of song types (Chen, Matheson, & Sakata, 2016; Heinig et 60 al., 2014; King & McGregor, 2016; Sakata, Hampton, & Brainard, 2008; Searcy & Beecher, 61 2009; Trillo & Vehrencamp, 2005). However, such modulation of song structure is often 62 described as affectively controlled (Berwick, Okanova, Beckers, & Bolhuis, 2011; Nieder & 63 Mooney, 2020). For example, the presence of potential mates or rivals elicits a global and 64 unlearned modulation of song intensity (James, Dai, & Sakata, 2018a) related to the singer's 65 level of arousal or aggression (Alcami, Ma, & Gahr, 2021; Heinig et al., 2014; Jaffe & Brainard, 66 2020). Hence, while prior observations suggest that a variety of ethologically relevant factors 67 can be integrated to influence song production in natural settings, it remains unclear whether 68 song can be modified more flexibly by learned or cognitive factors.

69

70 Here, we tested whether Bengalese finches can learn to alter specifically targeted vocal 71 sequences within their songs in response to arbitrarily chosen visual cues, independently of 72 social or other natural contexts. Each Bengalese finch song repertoire includes ~5-12 73 acoustically distinct elements ('syllables') that are strung together into sequences in variable 74 but non-random order. For a given bird, the relative probabilities of specific transitions between 75 syllables normally remain constant over time (Okanoya, 2004; Warren, Charlesworth, Tumer, 76 & Brainard, 2012), but previous work has shown that birds can gradually adjust the probabilities 77 of alternative sequences in response to training that reinforces the production of some sequences 78 over others. In this case, changes to syllable sequencing develop over a period of hours to days 79 (Warren et al., 2012). In contrast, we investigate here whether birds can learn to change syllable 80 sequencing on a moment-by-moment basis in response to arbitrary visual cues that signal which 81 sequences are adaptive at any given time. Our findings reveal that songbirds can learn to

immediately, flexibly, and adaptively adjust the sequencing of selected vocal elements in
 response to learned contextual cues.

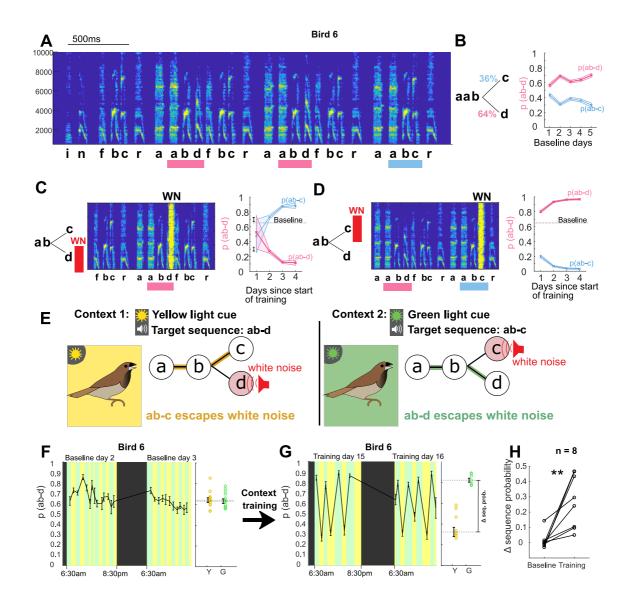
- 84
- 85 **Results**
- 86

87 Bengalese finches can learn context-dependent syllable sequencing

88 For each bird in the study, we first identified variably produced syllable sequences that could 89 be gradually modified using a previously described aversive reinforcement protocol ("single 90 context training"; Tumer & Brainard, 2007; Warren et al., 2012). For example, a bird that 91 normally transitioned from the fixed syllable sequence 'ab' to either 'c' or 'd' (Figures 1A,B, 92 sequence probability of ~36% for 'ab-c' and ~64% for 'ab-d'), was exposed to an aversive burst 93 of white noise (WN) feedback immediately after the "target sequence" 'ab-d' was sung. In 94 response, the bird learned over a period of days to gradually decrease the relative probability of 95 that sequence in favor of the alternative sequence 'ab-c' (Fig. 1C). This change in sequence 96 probabilities was adaptive in that it enabled the bird to escape from WN feedback. Likewise, 97 when the sequence, 'ab-c' was targeted, the probability of 'ab-d' increased gradually over 98 several days of training (Fig. 1D). These examples are consistent with prior work that showed 99 such sequence modifications develop over a period of several days, with the slow time course 100 suggesting a gradual updating of synaptic connections within syllable control networks in 101 response to performance-related feedback (Warren et al., 2012). In contrast, the ability to 102 immediately and flexibly reorder vocal elements in speech must reflect mechanisms that enable 103 contextual factors to exert moment-by-moment control over selection and sequencing of 104 alternative vocal motor programs. Having identified sequences for each bird for which the 105 probability of production could be gradually modified in this manner, we then tested whether 106 birds could be trained to rapidly switch between those same sequences in a context-dependent 107 manner.

108

109 To determine whether Bengalese finches can learn to flexibly select syllable sequences on a 110 moment-by-moment basis, we paired WN targeting of specific sequences with distinct 111 contextual cues. In this context-dependent training protocol, WN was targeted to defined 112 sequences in the bird's song as before, but the specific target sequence varied across alternating 113 blocks, signaled by different colored lights in the home cage (see Methods). Figure 1E shows 114 an example experiment, with 'ab-d' targeted in yellow light, and 'ab-c' in green light. At 115 baseline, without WN, switches between yellow and green contexts (at random intervals of 0.5-116 1.5 hours) did not lead to significant changes in the relative proportion of the target sequences, 117 indicating that there was no inherent influence of the light cues on sequence probabilities 118 (Fig. 1F, p(ab-d) in yellow vs. green context was 67+/-1.6% vs. 64+/-1.5%, p = 0.17, ranksum 119 test, n=53 context blocks from baseline period). Training was then initiated in which WN was 120 alternately targeted to each sequence, over blocks that were signaled by light cues. After 2 121 weeks of such context-specific training, significant sequencing differences developed between 122 light contexts that were appropriate to reduce aversive feedback in each context (Fig. 1G, p(ab-123 d) in yellow vs. green context shifted to $36.5 \pm 4.8\%$ vs. $83.1 \pm 3.5\%$, p < 0.01, ranksum test, 124 n=22 context blocks, block duration between 1-2.5 hours). Likewise, for all birds trained on 125 this protocol (n=8), context-dependent sequencing differences developed in the appropriate 126 direction over a period of weeks (27+/-6% difference in probabilities between contexts after a 127 mean of 33 days training, versus 1%+/-2% average difference in probabilities at baseline; 128 p < 0.01, n=8, signed rank test, Fig. 1H). Thus, Bengalese finches are able to learn context-129 specific modifications to syllable sequencing.



131

132 Figure 1. Bengalese finches can learn context-dependent sequencing

A: Example spectrogram highlighting points in the song with variable sequencing. 133 Individual syllables are labeled based on their spectral structure, and target sequences for 134 the different experiments (ab-c and ab-d) are marked with colored bars. Scale for x-axis 135 136 is 500ms and y-axis shows frequency in Hz. B: Transition diagram with probabilities for 137 the sequences ab-c and ab-d. The sequence probability of ab-d (and likewise of the 138 complementary probability ab-c) stayed relatively constant over five days of baseline 139 song recordings. Shaded area shows 95% confidence interval for sequence probability. **C**: Aversive reinforcement training. Schematic showing aversive WN after target sequence 140 141 ab-d is sung; spectrogram shows actual WN stimulus, covering part of syllable d. WN 142 targeted to the sequence ab-d led to a gradual reduction in the probability of that sequence over several days, and a complementary increase in the probability of ab-c. **D**: 143 In a subsequent experiment, WN targeted to the sequence ab-c led to a gradual increase 144 145 in the sequence probability of ab-d over several days. E: Schematic of the contextual learning protocol, in which the target for WN is signaled by colored lights. F: Left: Two 146 example days of baseline without WN but with alternating blocks of green and yellow 147 context. Colors indicate light context (black indicates periods of lights off during the 148 149 night), error bars indicate SEM across song bouts in each block. Right: Average sequence

150 probability in yellow and green blocks during baseline. Open circles show data from

individual blocks of yellow and green context, error bars indicate SEM across blocks. G:
Left: Two example days after training (WN on) with alternating blocks of green and yellow
context, as in F. Right: Average sequence probability in yellow and green blocks after
training, as in F. H: Contextual difference in sequence probability for eight trained birds
before and after training (** p < 0.01 signed rank test).

156

157 Syllable sequencing shifts immediately following switches in context

158 Contextual differences between different blocks could arise through an immediate shift in 159 sequence probabilities upon entry into a new context and/or by rapid learning within each block. 160 We examined whether trained birds exhibited any immediate shifts in their syllable sequencing 161 when entering a new light context by computing the average probability of target sequences 162 across songs aligned with the switch between contexts (Fig. 2A,B, example experiment). This 163 "switch-triggered average" revealed that across all birds, switches to the yellow context were 164 accompanied by an immediate decrease in the probability of the yellow target sequence, 165 whereas switches out of the yellow context (and into the green context) led to an immediate 166 increase in the yellow target sequence (Fig. 2C,D, p < 0.05, signed rank test comparing first 167 and last song, n=8). To quantify the size of these immediate shifts, we calculated the difference 168 in sequence probability from the last five songs in the previous context to the first five songs in 169 the current context; this difference averaged 0.24 ± -0.06 for switches to green light and -0.22 ± -0.06 170 0.06 for switches to yellow light (Fig. 2 E,F). These results indicate that birds could learn to 171 immediately recall an acquired memory of context-appropriate sequencing upon entry into each 172 context, even before having the chance to learn from reinforcing feedback within that context. 173

We next asked whether training additionally led to an increased rate of learning within each context, which also might contribute to increased contextual differences over time. Indeed, such faster re-learning for consecutive encounters of the same training context, or 'savings', is sometimes observed in contextual motor adaptation experiments (Lee & Schweighofer, 2009). To compare the magnitude of the immediate shift and the magnitude of within-block learning

179 over the course of training, we plotted the switch-aligned sequence probabilities at different 180 points in the training process. Figure 2G shows for the example bird that the magnitude of the 181 shift (computed between the first and last five songs across context switches) gradually 182 increased over 11 days of training. Figure 2H shows the switch-aligned sequence probability 183 trajectories (as in Fig. 2A,B) for this bird early in training (red) and late in training (blue), 184 binned into groups of 7 context switches. Qualitatively, there was both an abrupt change in 185 sequence probability at the onset of each block (immediate shift at time point 0) and a gradual 186 adjustment of sequence probability within each block (within-block learning over the first 80 187 songs following light switch). Over the course of training, the immediate shift at the onset of 188 each block got larger, while the gradual change within blocks stayed approximately the same 189 (learning trajectories remained parallel over training, Fig. 2H). Linear fits to the sequence 190 probabilities for each learning trajectory (i.e. the right side of Fig. 2H) reveal that, indeed, the 191 change in sequence probability at the onset of blocks (i.e. intercepts) increased over the training 192 process (Fig. 2K), while the rate of change within blocks (i.e. slopes) stayed constant (Fig. 2I). 193 To quantify this across birds we measured the change over the course of learning in both the 194 magnitude of immediate shifts (estimated as the intercepts from linear fits) and the rate of 195 within-block learning (estimated as the slopes from linear fits). As for the example bird, we 196 found that the rate of learning within each block stayed constant over time for all five birds 197 (Fig. 2L). In contrast, the magnitude of immediate shifts increased over time for all birds 198 (Fig. 2L). These analyses indicate that adjustments to sequence probability reflect two 199 dissociable processes, an immediate cue-dependent shift in sequence probability at the 200 beginning of blocks, that increases with contextual training, and a gradual adaptation of 201 sequence probability within blocks, that does not increase with contextual training.

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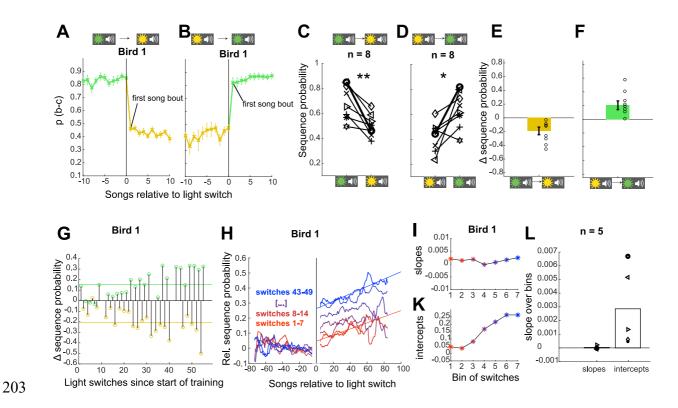


Figure 2. Sequence probabilities shift immediately following a switch in context.

A-B: Average sequence probability per song for example Bird 1 aligned to switches from 205 green to yellow context (A) and from yellow to green context (B). Error bars indicate SEM 206 across song bouts (n = 35 switches (A), n = 33 switches (B)). C: Changes in sequence 207 probability from the last song in green context to the first song in yellow context for all 208 8 birds. Example bird in A-B highlighted in bold. ** p < 0.01 signed-rank test. **D**: Changes 209 210 in sequence probability from the last song in yellow context to the first song in green context. * p < 0.05 signed rank test. E: Shift magnitudes for all birds, defined as the changes 211 in sequence probability from the last five songs in the green context to the first five songs 212 in the yellow context. Open circles show individual birds, error bars indicate SEM across 213 214 birds. **F**: Same as E for switches from yellow to green. **G**: Shift magnitudes over training time for the example bird (11 days and 49 context switches; seven of the original 215 56 context switches are excluded from calculations of shift magnitudes because at least 216 217 one of the involved blocks contained only one or two song bouts.). H: Trajectory of switch-218 aligned sequence probabilities for the example bird early in training (red) and late in 219 training (blue). Probabilities are normalized by the sequence probability in preceding 220 block, and plotted so that the adaptive direction is positive for both switch directions (i.e. 221 inverting the probabilities for switches to yellow.) I: Slopes of fits to the sequence 222 probability trajectories over song bouts within block. Units in change of relative sequence 223 probability per song bout. K: Intercepts of fits to sequence probability trajectories over 224 song bouts within block. Units in relative sequence probability. L: Changes in slopes and changes in intercepts for five birds over the training process, determined as the slopes of 225 226 linear fits to curves as in I,K for each bird.

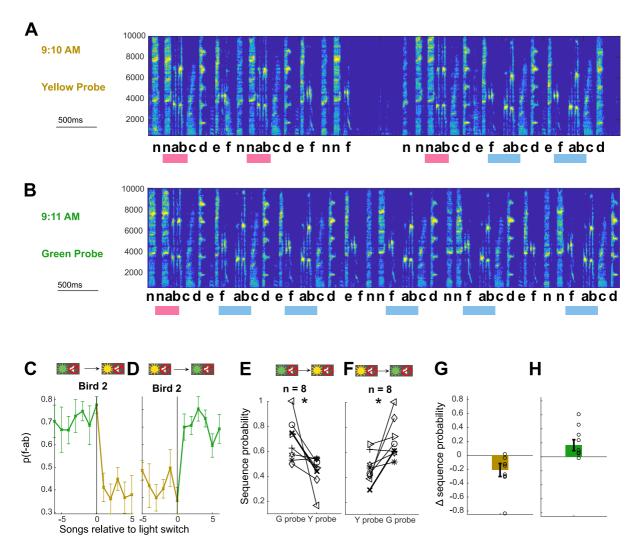
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228 Visual cues in the absence of reinforcement are sufficient to evoke sequencing changes

229 The ability of Bengalese finches to implement an immediate shift in sequencing on the first

230 rendition in a block – and thus before they have a chance to learn from reinforcing feedback –

231 argues that they can maintain context-specific motor memories and use contextual visual cues 232 to anticipate correct sequencing in each context. To explicitly test whether birds can flexibly 233 switch between sequencing appropriate for distinct contexts using only visual cues, we included 234 short probe blocks which presented the same light cues without WN stimulation. Probe blocks 235 were interspersed in the sequence of training blocks so that each switch between types of blocks 236 was possible and, on average, every third switch was into a probe block (see Methods, 10 of 237 34 blocks in sequence). Light switches into probe blocks were associated with similar 238 magnitude shifts in sequence probability as switches into WN blocks of the corresponding color 239 $(-0.22 + - 0.06 \text{ to both yellow WN and yellow probe blocks from green WN blocks, p = 0.94,$ 240 signed rank test; 0.24 ± 0.06 to green WN and 0.23 ± 0.07 to green probe blocks from yellow 241 WN blocks, p = 0.64, signed rank test). As the most direct test of evoked sequencing changes 242 with light cues alone, we compared songs immediately before and after switches between probe 243 blocks without intervening WN training blocks (probe-probe switches). Figure 3A,B shows 244 song bouts for one example bird (Bird 2) which were sung consecutively across a switch from 245 yellow probe to green probe blocks. In the first song following the probe-probe switch, the 246 yellow target sequence ('f-ab') was more prevalent, and the green target sequence ('n-ab') was 247 less prevalent, and such an immediate effect was also apparent in the average sequence 248 probabilities for this bird aligned to probe-probe switches (Fig. 3C,D). Similar immediate and 249 appropriately directed shifts in sequencing at switches between probe blocks were observed for 250 all 8 birds (Fig. 3E,F, p < 0.05 signed rank test, n=8), with average shifts in sequence 251 probabilities of -0.21 +/-0.09 and 0.17 +/-0.08 (Fig. 3G,H). The presence of such changes in 252 the first songs sung after probe-probe switches indicates that visual cues alone are sufficient to 253 cause anticipatory, learned shifts between syllable sequences.



255

Figure 3. Contextual cues alone are sufficient to enable immediate shifts in syllable

257 sequencing. 258 A,B: Examples of songs sung by Bird 2 immediately before (A) and after (B) a switch from 259 a yellow probe block to a green probe block (full song bouts in Sup. Fig. 3). Scale for x-axis 260 is 500ms, y-axis shows frequency in Hz. C,D: Average sequence probability per song for 261 Bird 2 aligned to switches from green probe to yellow probe blocks (C) and from yellow 262 probe to green probe blocks (D). Error bars indicate SEM across song bouts (n = 14 263 switches (C), 11 switches (D)).

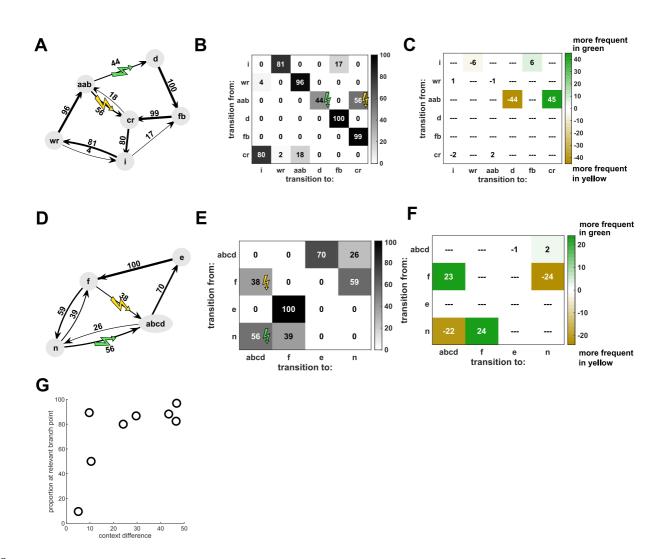
E,F: Average sequence probabilities for all eight birds at the switch from the last song in
 green probe context and the first song in yellow probe context, and vice versa. Example
 Bird 2 is shown in bold. * p < 0.05 signed rank test. G,H: Shift magnitudes for probe-probe
 switches for all birds. Open circles show individual birds, error bars indicate SEM across
 birds.

269

270 Contextual changes are specific to target sequences

- 271 A decrease in the probability of a target sequence in response to contextual cues must reflect
- 272 changes in the probabilities of transitions leading up to the target sequence. However, such
- 273 changes could be restricted to the transitions that immediately precede the target sequence, or

274 alternatively could affect other transitions throughout the song. For example, for the experiment 275 illustrated in Figure 1, the prevalence of the target sequence 'ab-d' was appropriately decreased 276 in the yellow context, in which it was targeted. The complete transition diagram and 277 corresponding transition matrix for this bird (Fig. 4A,B) reveal that there were four distinct 278 branch points at which syllables were variably sequenced (after 'cr', 'wr', 'i', and 'aab'). 279 Therefore, the decrease in the target sequence 'ab-d' could have resulted exclusively from an 280 increase in the probability of the alternative transition 'ab-c' at the branch point following 'aab'. 281 However, a reduction in the prevalence of the target sequence could also have been achieved 282 by changes in the probability of transitions earlier in song such that the sequence 'aab' was 283 sung less frequently. To investigate how specific contextual changes in probability were to 284 transitions immediately preceding target sequences, we calculated the difference between 285 transition matrices in the yellow and green probe contexts (Fig. 4C). This difference matrix 286 indicates that changes to transition probabilities were highly specific to the branch point 287 immediately preceding the target sequences (specificity was defined as the proportion of total 288 changes which occurred at the branch points immediately preceding target sequences; 289 specificity for branch point 'aab' was 83.2%). Such specificity to branch points that 290 immediately precede target sequences was typical across experiments, including cases in which 291 different branch points preceded each target sequence (Fig. 4D-F, specificity 96.9%). Across 292 all 8 experiments, the median specificity of changes to the most proximal branch points was 293 84.95%, and only one bird, which was also the worst learner in the contextual training 294 paradigm, had a specificity of less than 50% (Fig. 4G). Hence, contextual changes were specific 295 to target sequences and did not reflect the kind of global sequencing changes that characterize 296 innate social modulation of song structure (Sakata et al., 2008; Sossinka & Böhner, 1980).



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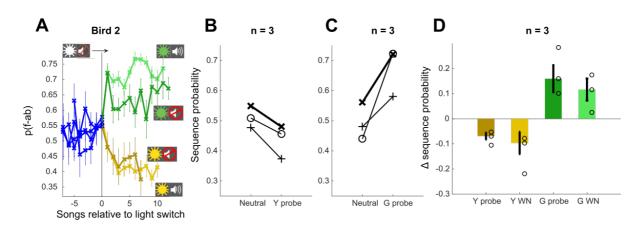
299 Figure 4. Contextual changes are local to the target sequences

300 A: Transition diagram for the song of Bird 6 (spectrogram in Fig. 1) in yellow probe context. Sequences of syllables with fixed transition patterns (e.g. 'aab') as well as repeat 301 phrases and introductory notes have been summarized as single states to simplify the 302 diagram. **B**: Transition matrix for the same bird, showing same data as in A. **C**: Differences 303 between the two contexts are illustrated by subtracting the transition matrix in the yellow 304 305 context from the one in the green context, so that sequence transitions which are more frequent in green context are positive (colored green) and sequence transitions which are 306 more frequent in yellow are negative (colored yellow). For this bird, the majority of 307 contextual differences occurred at the branch point ('aab') which most closely preceded 308 309 the target sequences ('ab-c' and 'ab-d'), while very little contextual difference occurred at the other three branch points ('i', 'wr', 'cr'). **D-F:** Same for Bird 2 for which two different 310 branch points ('f' and 'n') preceded the target sequences ('f-abcd' and 'n-abcd') 311 312 (spectrogram in Fig. 3).

313 **G**: Proportion of changes at the branch point(s) most closely preceding the target 314 sequences, relative to the total magnitude of context differences for each bird (see 315 Methods). Most birds exhibited high specificity of contextual changes to the relevant 316 branch points.

318 Distinct sequence probabilities are specifically associated with different visual cues

319 Our experiments establish that birds can shift between two distinct sequencing states in 320 response to contextual cues. In order to test whether birds were capable of learning to shift to 321 these two states from a third neutral context, we trained a subset of three birds with three 322 different color-cued contexts. For these birds, after completion of training with WN targeted to 323 distinct sequences in vellow and green contexts (as described above), we introduced interleaved 324 blocks cued by white light in which there was no reinforcement. After this additional training, 325 switches from the unreinforced context elicited changes in opposite directions for the green and 326 yellow contexts (example bird Fig. 5A). All birds (n = 3) showed adaptive sequencing changes 327 for the first song bout in probe blocks (Fig. 5B,C) as well as immediate shifts in the adaptive 328 directions for all color contexts (Fig. 5D, 0.11+/-0.04 and 0.19+/-0.05 for switches to green 329 WN and green probe blocks, respectively; -0.15+/-0.06 and -0.09+/-0.02 for switches to yellow 330 WN and yellow probe blocks, respectively). While additional data would be required to 331 establish the number of distinct associations between contexts and sequencing states that can 332 be learned, these findings suggest that birds can maintain at least two distinct sequencing states 333 separate from a 'neutral' state, and use specific associations between cue colors and sequencing 334 states to rapidly shift sequencing in distinct directions for each context.







339 **A**: Sequence probability for Bird 2 at the switch from neutral context to yellow and green 340 WN contexts, as well as yellow and green probe contexts (no WN). Error bars indicate 341 SEM across song bouts (n = 68 switches (green WN), 78 switches (yellow WN), 342 27 switches (green probe), 24 switches (yellow probe)). **B,C**: Sequence probabilities for 343 three birds for the last song in neutral context and the first song in the following probe 344 context. Example bird in A highlighted in bold. D: Shift magnitude for three birds at the 345 switch from neutral context to all other contexts. Open circles show individual birds, error 346 bars indicate SEM across birds.

- 347
- 348

349 **Discussion**

350

351 Speech, thought, and many other behaviors are composed of ordered sequences of simpler 352 elements. The flexible control of sequencing is thus a fundamental aspect of cognition and 353 motor function (Aldridge & Berridge, 2002; X. Jin & Costa, 2015; Tanji, 2001). While the 354 flexibility of human speech is unrivaled, our contextual training paradigm revealed a simpler, 355 parallel capacity in birds to produce distinct vocal sequences in response to arbitrary contextual cues. The colors of the cues had no prior relevance to the birds, so that their meaning had to be 356 357 learned as a new association between cues and the specific vocal sequences that were 358 contextually appropriate (i.e. that escaped white noise, given the current cues). Learned 359 modulation of sequencing was immediately expressed in response to changes in cues, persisted 360 following termination of training, and was largely restricted to the targeted sequences, without 361 gross modifications of global song structure. Hence, for song, like speech, the ordering of vocal elements can be rapidly and specifically reconfigured to achieve learned, contextually 362 363 appropriate goals. This shared capacity for moment-by-moment control of vocal sequencing in 364 humans and songbirds suggests that the avian song system could be an excellent model for 365 investigating how neural circuits enable flexible and adaptive reconfiguration of motor output 366 in response to different cognitive demands.

367

368 *Flexible control of vocalizations*

369 Our demonstration of contextual control over the ordering of vocal elements in the songbird 370 builds on previous work showing that a variety of animals can learn to emit or withhold innate 371 vocalizations in response to environmental or experimentally imposed cues. For example, non-372 human primates and other animals can produce alarm calls that are innate in their acoustic 373 structure, but that are deployed in a contextually appropriate fashion (Nieder & Mooney, 2020; 374 T. N. Suzuki & Zuberbühler, 2019; Wheeler & Fischer, 2012). Similarly, animals, including 375 birds, can be trained to control their vocalizations in an experimental setting, by reinforcing the 376 production of innate vocalizations in response to arbitrary cues to obtain food or water rewards 377 (Brecht, Hage, Gavrilov, & Nieder, 2019; Hage & Nieder, 2013; Nieder & Mooney, 2020; 378 Reichmuth & Casey, 2014). In relation to these prior findings, our results demonstrate a 379 capacity to flexibly reorganize the sequencing of learned vocal elements, rather than select from 380 a fixed set of innate vocalizations, in response to arbitrary cues. This ability to contextually 381 control the ordering, or syntax, of specifically targeted syllable transitions within the overall 382 structure of learned song parallels the human capacity to differentially sequence a fixed set of 383 syllables in speech.

384

385 The ability to alter syllable sequencing in a flexible fashion also contrasts with prior studies that 386 have demonstrated modulation of vocalizations in more naturalistic settings. For example, 387 songs produced in the context of courtship and territorial or aggressive encounters ("directed 388 song") differ in acoustic structure from songs produced in isolation ("undirected song") (Sakata 389 et al., 2008; Searcy & Beecher, 2009). This modulation of song structure by social context is 390 characterized by global changes to the intensity of song production, with directed songs 391 exhibiting faster tempo, and greater stereotypy of both syllable structure and syllable 392 sequencing, than undirected songs (Sakata et al., 2008; Searcy & Beecher, 2009; Sossinka & 393 Böhner, 1980). This and other ethologically relevant modulation of song intensity may serve to 394 communicate the singer's affective state, such as level of arousal or aggression (Alcami et al.,

2021; Hedley, Denton, & Weiss, 2017; Heinig et al., 2014), and may largely reflect innate mechanisms (James, Dai, & Sakata, 2018b; Kojima & Doupe, 2011) mediated by hypothalamic and neuromodulatory inputs to premotor regions (Berwick et al., 2011; Gadagkar, Puzerey, & Goldberg, 2019; James, Dai, & Sakata, 2018b; Nieder & Mooney, 2020). In contrast, here we show that birds can learn to locally modulate specific features of their songs (i.e. individually targeted syllable transitions) in response to arbitrarily assigned contextual cues that have no prior ethological relevance.

402

403 <u>Evolution of control over vocal sequencing</u>

404 The capacity for moment-by-moment adjustment of vocalizations in response to arbitrary 405 learned cues may depend on similar capacities that evolved to enable appropriate modulation 406 of vocalizations in ethologically relevant natural contexts. For example, some species of 407 songbirds preferentially sing different song types depending on factors such as time of day, 408 location of the singer, or the presence of an audience (Alcami et al., 2021; Hedley et al., 2017; 409 King & McGregor, 2016; Searcy & Beecher, 2009; Trillo & Vehrencamp, 2005). Even birds 410 with only a single song type, such as Bengalese finches, vary parameters of their song 411 depending on social context, including the specific identity of the listener (Chen et al., 2016; 412 Heinig et al., 2014; Sakata et al., 2008). The ability to contextually control vocalizations is also 413 relevant for the customization of vocal signatures for purposes of individual and group 414 recognition (Vignal, Mathevon, & Mottin, 2004), and to avoid overlap and enhance 415 communication during vocal turn-taking and in response to environmental noises (Benichov & 416 Vallentin, 2020; Brumm & Zollinger, 2013). Such capacities for vocal control likely reflect 417 evolutionary advantages of incorporating sensory and contextual information about 418 conspecifics and the environment in generating increasingly sophisticated vocal signaling. Our results indicate a latent capacity to integrate arbitrary sensory signals into the adaptive 419 420 deployment of vocalizations in songbirds, and suggest that some of the contextual control

421 observed in natural settings may likewise rely on learned associations and other cognitive 422 factors. Perhaps evolutionary pressures to develop nuanced social communication led to the 423 elaboration of cortical (pallial) control over brainstem vocal circuitry (Hage & Nieder, 2016), 424 and thereby established a conduit that facilitated the integration of progressively more abstract 425 cues and internal states in that control.

426

427 <u>Neural implementation of context-dependent vocal motor sequencing</u>

428 The ability of birds to switch between distinct motor programs using visual cues is reminiscent 429 of contextual speech and motor control studies in humans. For example, human subjects in both 430 laboratory studies and natural settings can learn multiple 'states' of vocal motor adaptation, and 431 rapidly switch between them using contextual information (Houde & Jordan, 2002; Keough & 432 Jones, 2011; Rochet-Capellan & Ostry, 2011). Similarly, subjects can learn two separate states 433 of motor adaptation for other motor skills such as reaching, and switch between them using 434 cues or other cognitive strategies (Cunningham & Welch, 1994). Models of such context-435 dependent motor adaptation frequently assume at least two parallel processes (Abrahamse, 436 Ruitenberg, De Kleine, & Verwey, 2013; Ashe, Lungu, Basford, & Lu, 2006; Green & 437 Abutalebi, 2013; Hikosaka et al., 1999; Lee & Schweighofer, 2009; McDougle, Ivry, & Taylor, 438 2016; Rochet-Capellan & Ostry, 2011; Wolpert, Diedrichsen, & Flanagan, 2011), one that is 439 more flexible, and sensitive to contextual information (McDougle et al., 2016), and a second 440 that cannot readily be associated with contextual cues and is only gradually updated during 441 motor adaptation (Howard, Wolpert, & Franklin, 2013). Specifically, in support of such a two-442 process model, Imamizu and colleagues (Imamizu & Kawato, 2009; Imamizu et al., 2007) 443 found that contextual information can drive rapid shifts in adaptation at the beginning of new 444 blocks, without affecting the rate of adaptation within blocks. The similar separation in our 445 study between rapid context-dependent shifts in sequence probability at the onset of blocks, and 446 gradual adaptation within blocks that does not improve with training (Fig. 2 G-L), suggests that

such contextual sequence learning in the Bengalese finch may also be enabled by two distinctprocesses.

449

450 Humans studies of two-process models suggest that slow adaptation occurs primarily within 451 primary motor structures, while fast context-dependent state switches, including for cued 452 switching between languages in bilinguals, engage more frontal areas involved in executive 453 control (Bialystok, 2017; Blanco-Elorrieta & Pylkkänen, 2016; De Baene, Duyck, Brass, & 454 Carreiras, 2015; Imamizu & Kawato, 2009). In songbirds, the gradual adaptation of sequence 455 probabilities within blocks might likewise be controlled by motor and premotor song control 456 structures, while visual contextual cues could be processed in avian structures analogous to 457 mammalian prefrontal cortex, outside the song system. For example, the association area 458 nidopallium caudolaterale (Güntürkün, 2005), is activated by arbitrary visual cues that encode 459 learned rules (Veit & Nieder, 2013; Veit, Pidpruzhnykova, & Nieder, 2015), and this or other 460 avian association areas (Jarvis et al., 2013) may serve as an intermediate representation of the 461 arbitrary contextual cues that can drive rapid learned shifts in syllable sequencing.

462

463 At the level of song motor control, our results indicate a greater capacity for rapid and flexible 464 adjustment of syllable transition probabilities than previously appreciated. Current models of 465 song production include networks of neurons in the vocal premotor nucleus HVC responsible 466 for the temporal control of individual syllables, that are linked together by activity in a recurrent 467 loop through brainstem vocal centers (Andalman, Foerster, & Fee, 2011; Ashmore, Wild, & 468 Schmidt, 2005; Cohen et al., 2020; Hamaguchi, Tanaka, & Mooney, 2016). At branch points in 469 songs with variable syllable sequencing, one influential model posits that which syllable 470 follows a branch point is determined by stochastic processes that depend on the strength of the 471 connections between alternative syllable production networks, and thus dynamics local to HVC 472 (D. Z. Jin, 2009; D. Z. Jin & Kozhevnikov, 2011; Troyer, Brainard, & Bouchard, 2017; Zhang,

473 Wittenbach, Jin, & Kozhevnikov, 2017). Such models could account for a gradual adjustment 474 of sequence probabilities over a period of hours or days (Lipkind et al., 2013; Warren et al., 475 2012) through plasticity of motor control parameters, such as the strength of synaptic 476 connections within HVC. However, our results demonstrate that there is not a single set of 477 relatively fixed transition probabilities that undergo gradual adjustments, as could be captured 478 in synaptic connectivity of branched syllable control networks. Rather, the song system has the 479 capacity to maintain distinct representations of transition probabilities and can immediately 480 switch between those in response to visual cues. HVC receives a variety of inputs that 481 potentially could convey such visual or cognitive influences on sequencing (Bischof & 482 Engelage, 1985; Cynx, 1990; Seki, Suzuki, Takahasi, & Okanova, 2008; Ullrich, Norton, & 483 Scharff, 2016; Wild, 1994), and one of these inputs, Nif, has previously been shown to be 484 relevant for sequencing (Hosino & Okanoya, 2000; Vyssotski, Stepien, Keller, & Hahnloser, 485 2016). It therefore is likely that the control of syllable sequence in Bengalese finches involves 486 a mix of processes local to nuclei of the song motor pathway (Basista et al., 2014; Zhang et al., 487 2017) as well as inputs that convey a variety of sensory feedback and contextual information. 488 The well-understood circuitry of the avian song system makes this an attractive model to 489 investigate how such top-down pathways orchestrate the kind of contextual control of 490 vocalizations demonstrated in this study, and more broadly to uncover how differing cognitive 491 demands can flexibly and adaptively reconfigure motor output.

493 Methods

494

495 Subjects & Sound recordings

496 The experiments were carried out on eight adult male Bengalese finches (Lonchura striata) 497 obtained from the lab's breeding colony (age range 128-320 days post hatch, median 178 days, 498 at start of experiment). Birds were placed in individual sound-attenuating boxes with 499 continuous monitoring and auditory recording of song. Song was recorded using an 500 omnidirectional microphone above the cage. We used custom software for the online 501 recognition of target syllables and real-time delivery of short 40ms bursts of white noise (WN) 502 depending on the syllable sequence (Tumer & Brainard, 2007; Warren et al., 2012). All 503 procedures were performed in accordance with animal care protocols approved by the 504 University of California, San Francisco Institutional Animal Care and Use Committee 505 (IACUC).

506

507 Training procedure and blocks

508 Bengalese finch song consists of a discrete number of vocal elements, called syllables, that are 509 separated by periods of silence. At the start of each experiment, a template was generated to 510 recognize a specific sequence of syllables (the target sequence) for each bird based on their 511 unique spectral structure. In the context-dependent auditory feedback protocol, the target 512 sequence that received aversive white noise (WN) feedback switched between blocks of 513 different light contexts. Colored LEDs (superbrightleds.com, St. Louis, MO; green 520 nm, 514 amber 600 nm) produced two visually distinct environments (green and yellow) to serve as 515 contextual cues to indicate which sequences would elicit WN and which would 'escape' (i.e. 516 not trigger WN). We wanted to test whether the birds would be able to associate song changes 517 with any arbitrary visual stimulus, therefore there was no reason to choose these specific colors, 518 and the birds' color perception in this range should not matter, as long as they were able to

519 discriminate the colors. The entire day was used for data acquisition by alternating the two 520 possible light contexts. We determined sensitivity and specificity of the template to the target 521 sequence on a randomly selected set of 20 song bouts on which labels and delivery of WN was 522 hand-checked. Template sensitivity was defined as: sensitivity = (number of correct hits)/(total 523 number of target sequences). The average template sensitivity across experiments was 91.3% 524 (range 75.2-100%). Template specificity was defined as: specificity = (number of correct 525 escapes)/(number of correct escapes plus number of false alarms), where correct escapes were 526 defined as the number of target sequences of the currently inactive context that were not hit by 527 WN, and false alarms were defined as any WN that was delivered either on the target sequence of the currently inactive context, or anywhere else in song. The average template specificity 528 529 was 96.7% (range 90.6-100%).

530

531 At the start of each experiment, before WN training, songs were recorded during a baseline 532 period in which cage illumination was switched between colors at random intervals. Songs from 533 this baseline period were separately analyzed for each light color to confirm that there was no 534 systematic, unlearned effect of light cues on sequencing before training. During initial training, 535 cage illumination was alternatingly switched between colors at random intervals. Intervals were 536 drawn from uniform distributions which differed between birds (60-150min (4 birds), 10-30min 537 (2 birds), 60-240min (1 bird), 30-150min (1 bird)). Different training schedules were assigned 538 to birds arbitrarily and were not related to a bird's performance. After an extended period of 539 training (average 33 days, range 12-79 days), probe blocks without WN were included, to test 540 whether sequencing changes could be elicited by visual cues alone. During this period, probe 541 blocks were interspersed with WN training blocks. Probe blocks made up approximately one 542 third of total blocks (10 of 34 blocks in the sequence) and 7-35% of total time, depending on 543 the bird. The duration of probe blocks was typically shorter or equal to the duration of WN 544 blocks (10-30min for 6 birds, 30-120min for one bird, 18-46min for one bird). The total duration

545 of the experiment, consisting of baseline, training, and probe periods, was on average 52 days. 546 During this period, birds sang 226 (range 66-356) bouts per day during baseline days and 258 547 (range 171-368) bouts per day during the period of probe collection at the end of training (14% 548 increase). The average duration of song bouts also changed little, with both the average number 549 of target sequences per bout (8.7 during baseline, 7.7 during probes, 7% decrease) and the 550 average number of syllables per bout (74 during baseline, 71 during probes, 2% decrease) 551 decreasing slightly. In addition to the eight birds that completed this training paradigm, three 552 birds were started on contextual training but never progressed to testing with probe blocks, 553 because they did not exhibit single-context learning (n=1); because of technical issues with 554 consistent targeting at branch points, (n=1); or because they lost sequence variability during 555 initial stages of training (n=1); these birds are excluded from the results. Of the 8 birds that 556 completed training, three birds exhibited relatively small context-dependent changes in 557 sequencing (Fig. 1H). We examined several variables to assess whether they could account for 558 differences in the magnitude of learning across birds, including the bird's age, overall transition 559 entropy of the song (Katahira, Suzuki, Kagawa, & Okanoya, 2013), transition entropy at the 560 targeted branch points (Warren et al., 2012), as well as the distance between the WN target and 561 the closest preceding branch point in the sequence. None of these variables were significantly 562 correlated with the degree of contextual learning that birds expressed (Sup. Fig. 4A-D) and 563 consequently all birds were treated as a single group in analysis and reporting of results. In a 564 subset of experiments (n=3), after completing measurements with probe blocks, we added a 565 third, neutral context (Fig. 5), signaled by white light, in which there was no WN reinforcement. 566

567 Syllable sequence annotation

568 Syllable annotation for data analysis was performed offline. Each continuous period of singing 569 that was separated from others by at least two seconds of silence was treated as an individual 'song', or 'song bout'. Song was bandpass filtered between 500 Hz and 10000 Hz and 570

571 segmented into syllables and gaps based on amplitude threshold and timing parameters 572 determined manually for each bird. A small sample of songs (approximately 20 song bouts) 573 was then annotated manually based on visual inspection of spectrograms. These data were used 574 to train an offline autolabeler ('hybrid-vocal-classifier', (Nicholson, 2021)) which was then 575 used to label the remaining song bouts. Autolabeled songs were processed further in a semi-576 automated way depending on each bird's unique song, for example to separate or merge 577 syllables that were not segmented correctly (detected by their duration distributions), to deal 578 with WN covering syllables (detected by its amplitude), and to correct autolabeling errors 579 detected based on the syllable sequence. A subset of songs was inspected manually for each 580 bird to confirm correct labeling.

581

582 Sequence probability analyses

583 Sequence probability was first calculated within each song bout as the frequency of the yellow
584 target sequence relative to the total number of yellow and green target sequences:

 $p = \frac{n(target_Y)}{n(target_Y) + n(target_G)}$. Note that this differs from transition probabilities at branch points 585 586 in song in that it ignores possible additional syllable transitions at the branch point, and does 587 not require the targeted sequences to be directly following the same branch point. For example 588 for the experiment in Figure 3, the target sequences were 'n-ab' and 'f-ab', so the syllable 589 covered by WN ('b' in both contexts) was 2-3 syllables removed from the respective branch 590 point in the syllable sequence ('n-f' vs. 'n-a', or 'f-n' vs. 'f-a'). Note also that units of sequence 591 probability are in percent, therefore reported changes in percentages (for example Fig. 1H, 592 2E,F) describe absolute changes in sequence probability, which reflect the proportion of each 593 target sequence, not percent changes. Song bouts that did not contain either of the two target 594 sequences were discarded. In the plots of sequence probability over several days in Figure 1A-595 C, we calculated sequence probability for all bouts on a given day (average n=1854 renditions 596 of both target sequences per day). We estimated 95% confidence intervals by approximation 597 with a normal distribution as $p \pm z * \sqrt{\frac{p*(1-p)}{n}}$ with $n = n(target_Y) + n(target_G)$ and z

598 =1.96. Context switches were processed to include only switches between adjacent blocks 599 during the same day, i.e. excluding overnight switches and treating blocks as separate contexts 600 if one day started with the same color that had been the last color on the previous day. If a bird 601 did not produce any song during one block, this block was merged with any neighboring block 602 of the same color (for example, green probe without songs before green WN, where the context 603 switch would not be noticeable for the bird). If the light color switched twice (or more) without 604 any song bouts, those context switches were discarded.

605

606 In order to reduce variability associated with changes across individual song bouts, shift 607 magnitude was calculated as the difference between the first five song bouts in the new context 608 and the last five song bouts in the old context. Only context switches with at least 3 song bouts 609 in each adjacent block were included in analyses of shift magnitude. In plots showing songs 610 aligned to context switches, the x-axis is limited to show only points for which at least half of 611 the blocks contributed data (i.e. in **Fig. 2D**, half of the green probe blocks contained at least 6 612 songs). All statistical tests were performed with MATLAB. We used non-parametric tests to 613 compare changes across birds (Wilcoxon ranksum test for unpaired data, Wilcoxon signed rank 614 test for paired data), because with only 8 birds/data points, it is more conservative to assume 615 that data are not Gaussian distributed.

616

617 *Analysis of acquisition*

In order to investigate how context-dependent performance developed over training (Fig. 2 GL), we quantified changes to sequence probabilities across block switches for 5 birds for which
we had a continuous record from the onset of training. Sequence probability curves (e.g. Fig.
2 H) for yellow switches were inverted so that both yellow and green switches were plotted in

the same direction, aligned by the time of context switches, and were cut off at a time point relative to context switches where fewer than five switches contributed data. We then subtracted the mean pre-switch value from each sequence probability curve. For visual display of the example bird, sequence probability curves were smoothed with a 9 bout boxcar window, and displayed in bins of 7 context switches. To calculate the slope of slopes and slope of intercepts (**Fig. 2 L**), we calculated a linear fit to the post-switch parts of the unsmoothed sequence probability curve for each individual context switch.

629

630 Specificity to relevant branch points

631 To calculate the specificity of the context difference to the targeted branch points in song, we 632 generated transition diagrams for each bird. To simplify the diagrams, introductory notes were 633 summarized into a single introductory state. Introductory notes were defined for each bird as 634 up to three syllables occurring at the start of song bouts before the main motif, which tended to 635 be quieter, more variable, with high probabilities to repeat and to transition to other introductory 636 notes. Repeat phrases were also summarized into a single state. Motifs, or chunks, in the song 637 with fixed order of syllables were identified by the stereotyped transitions and short gap 638 durations between syllables in the motif (Isola, Vochin, & Sakata, 2020; Suge & Okanoya, 639 2009) and were also summarized as a single state in the diagram. Sometimes, the same syllable 640 can be part of several fixed chunks (Katahira et al., 2013), in which case it may appear several 641 times in the transition diagram. We then calculated the difference between the transition 642 matrices for the two probe contexts at each transition that was a branch point (defined as more 643 than 3% and less than 97% transition probability). These context differences were split into 644 'targeted branch points' i.e. the branch point or branch points most closely preceding the target 645 sequences in the two contexts, and 'non-targeted branch points' i.e. all other branch points in 646 the song. We calculated the proportion of absolute contextual difference in the transition matrix that fell to the targeted branch points, for example for the matrix in Figure 4C 647

648 (44+45)/(44+45+6+6+1+1+2+2) = 83.2%. Typically, birds with clear contextual differences at
649 the target sequence also had high specificity of sequence changes to the targeted branch points.
650

To calculate the transition entropy of baseline song, we again summarized introductory notes into a single introductory state. In addition, the same syllable as part of multiple fixed motifs, or in multiple positions within the same fixed motif, were renamed as different syllables, so as not to count as sequence variability what was really a stereotyped sequence (i.e. a-b 50% and b-c 50% in the fixed sequence 'abbc'). Transition entropy was then calculated as in (Katahira et al., 2013): $H = -\sum_{x} p(x) \sum_{y} p(y|x) \log p(y|x)$ with x denoting the preceding syllable and y denoting the current syllable, over all syllables in the song.

658

660

659 Acknowledgements

We thank Alla Karpova, Jon Sakata, Dave Mets, William Mehaffey, Assaf Breska, Guy Avraham for helpful discussions and comments on earlier versions of this manuscript. This work was supported by the Howard Hughes Medical Institute. Lena Veit was supported as a Howard Hughes Medical Institute Fellow of the Life Sciences Research Foundation and by a postdoctoral fellowship from Leopoldina German National Academy of Sciences. Christian J Monroy Hernandez was supported by an HHMI EXROP summer fellowship.

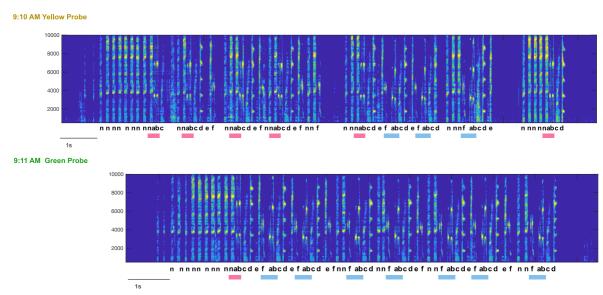
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668 **Competing interests:**

669 The authors declare no competing interests.

670 Supplementary Figures





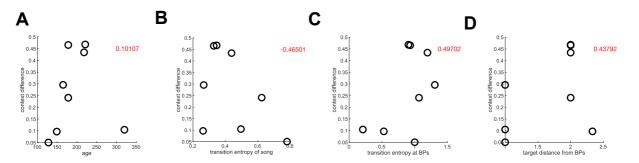
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673

674 Supplement to Figure 3: Example song bouts surrounding a probe-probe context switch

Full song bouts (same as in Fig.3 A,B) sung by Bird 2 immediately before and after a switch
from a yellow probe block to a green probe block. Scale for x-axis is 1s, y-axis shows
frequency in Hz. The recording program was set to never switch lights during an ongoing
song recording, so the time of light switch in between these two recordings cannot be
shown.

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681

682 Supplement to Figure 4: Possible explanations for differences in contextual learning
 683

684 Correlations of the magnitude of contextual differences with the birds' age (A), transition 685 entropy of the entire song (B), transition entropy at the branch points preceding the target 686 sequences (C) and distance of the target sequence from the immediately preceding branch 687 points (D). None of these variables were significantly correlated with the degree of contextual 688 learning that birds expressed, p=0.81 (A), p=0.24 (B), p=0.21 (C), p=0.28 (D). Red numbers 689 indicate correlation coefficients.

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