1	Optogenetic delivery of trophic signals
2	in a genetic model of Parkinson's disease
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## 29 Abstract

Optogenetics has been harnessed to shed new mechanistic light on current therapies and to 30 31 develop future treatment strategies. This has been to date achieved by the correction of electrical signals in neuronal cells and neural circuits that are affected by disease. In 32 contrast, the optogenetic delivery of trophic biochemical signals, which support cell survival 33 and thereby may modify progression of degenerative disorders, has never been 34 35 demonstrated in an animal disease model. Here, we reengineered the human and 36 Drosophila melanogaster REarranged during Transfection (hRET and dRET) receptors to be activated by light, creating one-component optogenetic tools termed Opto-hRET and Opto-37 dRET. Upon blue light stimulation, these receptors robustly induced the MAPK/ERK 38 proliferative signaling pathway in cultured cells. In PINK1<sup>B9</sup> flies that exhibit loss of PTEN-39 induced putative kinase 1 (PINK1), a kinase associated with familial Parkinson's disease 40 (PD), light activation of Opto-dRET suppressed mitochondrial defects, tissue degeneration 41 and behavioral deficits. In human cells with PINK1 loss-of-function, mitochondrial 42 43 fragmentation was rescued using Opto-dRET via the PI3K/NF-kB pathway. Our results demonstrate that a light-activated receptor can ameliorate disease hallmarks in a genetic 44 model of PD. The optogenetic delivery of trophic signals is cell type-specific and reversible 45 46 and thus has the potential to overcome limitations of current strategies towards a spatio-47 temporal regulation of tissue repair.

# 48 Significance Statement

49 The death of physiologically important cell populations underlies of a wide range of 50 degenerative disorders, including Parkinson's disease (PD). Two major strategies to counter cell degeneration, soluble growth factor injection and growth factor gene therapy, can lead to 51 the undesired activation of bystander cells and non-natural permanent signaling responses. 52 Here, we employed optogenetics to deliver cell type-specific pro-survival signals in a genetic 53 54 model of PD. In Drosophila and human cells exhibiting loss of the PINK1 kinase, akin to autosomal recessive PD, we efficiently suppressed disease phenotypes using a light-55 activated tyrosine kinase receptor. This work demonstrates a spatio-temporally precise 56 strategy to interfere with degeneration and may open new avenues towards tissue repair in 57 disease models. 58

### 59 Introduction

Biology occurs over a wide range of time and length scales, from milliseconds and 60 nanometers for protein folding, to days and centimeters for organism development. In recent 61 62 years, powerful research methods have been developed that permit the manipulation of biological processes on even the smallest length and shortest time scales. In optogenetics, 63 natural or reengineered photoreceptors are expressed in genetically defined cell populations 64 to optically activate or inhibit, e.g., neuronal action potential firing or cell signaling. The use of 65 66 light provides unprecedented precision in space and time as a way to answer previously unresolvable questions disciplines, 67 in а multitude of including microbiology, cell/developmental biology, synthetic biology, and neuroscience. In particular, spatio-68 temporally precise perturbation of selected cells in intact organisms can reveal cause-69 70 consequence-relationships that are a critical determination for understanding central nervous 71 system function or animal development (1-3). Optogenetics also provides access to the reversible and rapid activation of cell signaling pathways that is required for dissection of 72 73 their dynamic properties (4, 5) and for development of new drug discovery platforms (6). Inspired by these successes, optogenetics is continuously translated into new research 74 75 areas, including disease mechanism and therapy.

76 Shortly after its inception, optogenetics was beginning to be employed in the study of 77 neural circuits that are known to be affected by neurological and neurodegenerative disorders, including spinal cord injury, stroke and Parkinson's disease (PD) (7, 8). In this 78 79 field, optogenetics has shed new light on the mechanisms of currently utilized therapies (e.g., deep brain stimulation in PD) or therapies of the future (e.g., stem cell-based tissue 80 81 regeneration) (9, 10). This work was followed by the development of light-gated prosthetic 82 approaches in which a genetically introduced photoreceptor senses either natural light, e.g. for vision restoration (11), or light from a prosthetic source, e.g. for heart or skeletal muscle 83 pacing (12, 13). Notably, these pioneering studies harnessed optogenetics to excite or inhibit 84 electrical signals through regulated ion flow ions across the cell membrane. In apparent 85 contrast, the optogenetic delivery of trophic signals, which support cell survival and are 86

central to treatment strategies in a variety of degenerative disorders, has never been demonstrated in a disease model. It is unclear if this is feasible as hypo- or hyperactivity of pro-survival pathways is linked to undesired cellular outcomes (see below).

90 We and others have recently engineered light-activated variants of key signaling proteins that now provide a basis for the optogenetic delivery of trophic signals. Particular 91 success was reported for receptor tyrosine kinases (RTKs) (14-18). RTKs are expressed in 92 93 virtually all human cell types and respond to growth factors (GFs) with conformational 94 changes and/or oligomerization state changes that result in receptor *trans*-phosphorylation. Trans-phosphorylation is then followed by recruitment of intracellular secondary messengers 95 in, e.g., the mitogen-activated protein kinase/extracellular signal-regulated kinase 96 (MAPK/ERK) or phosphatidylinositol-3 kinase/AKT (PI3K/AKT) signaling pathways. Because 97 of their ability to activate these proliferative and pro-survival pathways, RTKs are prime 98 targets in several neurodegenerative disorders. In the context of PD, the RET RTK (19) has 99 been intensively investigated in both preclinical and clinical studies. hRET is activated by 100 101 glial cell line-derived neurotrophic factor (GDNF) family ligands (GFLs; these are GDNF, 102 neurturin, artemin, and persephin) that bind GDNF family receptor  $\alpha$  (GFR $\alpha$ ) co-receptors 103 (GFR  $\alpha$ 1-4) to recruit dimeric RET into a ternary complex (**Figure 1A**). GFLs are linked to the 104 development and maintenance of dopaminergic (DA) midbrain neurons and have been 105 pursued as disease-modifying agents in PD, either by local injection or by gene delivery using adeno-associated viruses (20, 21). Despite initial success in animal models, outcomes 106 107 in clinical trials were limited (22, 23), which was attributed to difficulties in GFL delivery, 108 limited responsiveness of the targeted DA neurons and advanced PD in some of the 109 recruited patients. In addition, there are concerns that the continuous delivery of GFLs can 110 lead to counter-productive compensatory effects (24-27). These observations and 111 considerations have highlighted a need for methods that can control the GFL-RET-axis in a 112 reversible and more precise manner (28, 29).

Here, we explored optogenetics as a means for delivery of trophic signals in a genetic model of PD. We first reengineered full-length hRET and its *Drosophila* orthologue dRET

(30-32) to be activated by light in optogenetic tools termed Opto-hRET and Opto-dRET. We 115 then showed that temporally precise dRET activation in vivo can be used to induce 116 117 degeneration in a tissue sensitive to ectopic RTK signaling. Optogenetic delivery of RET signals was then successfully applied in a genetic fly model of PD. Mutations in the PINK1 118 gene are linked to autosomal recessive PD (33-35), and Drosophila has been shown to be a 119 suitable model to study consequences of PINK1 loss-of-function (36-38). We suppressed 120 121 Drosophila phenotypes associated with PINK1 deficiency and identified the involved 122 downstream signaling pathways in a human cellular model. This work demonstrates the use of optogenetics as a cell-type specific and remote controlled method to exert beneficial 123 trophic effects of in a genetic disease model. 124

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## 126 Results

## 127 Light-activated hRET and dRET receptors

hRET assembles in dimers in the activated ternary  $GFL_2$ -GFR $\alpha_2$ -RET<sub>2</sub> complex (39, 40) 128 (Figure 1A) and forced dimerization by mutations or synthetic binding domains has been 129 shown to induce signaling of hRET (41, 42) and dRET (43, 44). Based on these 130 131 observations, we converted hRET and dRET into optogenetic tools by incorporating a lightactivated dimerization switch. To achieve this switch, we utilized the light-oxygen-voltage-132 sensing (LOV) domain of the AUREOCHROME1 photoreceptor from the yellow-green algae 133 Vaucheria frigida (AU1-LOV) (45) (Figure 1B). AU1-LOV is a member of the large LOV 134 135 domain superfamily and responds to blue light with formation of a symmetric homodimer (46) (Figure S1). AU1-LOV is smaller than other photoreceptors commonly used in optogenetics 136 (145 aa in length; this corresponds to  $\sim a$  third of the length of cryptochromes or 137 phytochromes) (47, 48) and relaxes slower than many other LOV domains from the light-138 139 activated 'lit' state (that is predominantly dimeric) to the dark-adapted state (that is predominantly monomeric; relaxation time constant ~600 s) (49). We and others have shown 140 that small size and slow cycling make AU1-LOV well suited for assembly and activation of 141 membrane receptors (14, 16, 17, 50). We placed AU1-LOV at the far C-terminus of the RET 142

receptors because fluorescent proteins (FPs) were previously incorporated at this site 143 without negative impact on receptor signaling or trafficking (51, 52). To functionally test the 144 145 generated Opto-hRET and Opto-dRET, we took advantage of the fact that Drosophila RTKs can couple to the mammalian MAPK/ERK pathway via Ras (44). We and others utilize 146 human embryonic kidney 293 (HEK293) cells for testing new optogenetic methods because 147 these cells do not exhibit native light-induced signaling events. Using transcriptional reporters 148 (14, 47), we found robust induction of MAPK/ERK signaling upon blue light stimulation of 149 HEK293 cells transfected with Opto-hRET and Opto-dRET (intensity (I) = 250  $\mu$ W/cm<sup>2</sup>, 150 wavelength ( $\lambda$ ) = 470 nm) (**Figure 1C**). Whilst Opto-hRET activated transcriptional responses 151 more strongly than Opto-dRET, Opto-dRET activation levels were comparable to those 152 reached by the Opto-dRET<sup>MEN2B</sup> variant (Figure 1C). Opto-dRET<sup>MEN2B</sup> contains a Met to Thr 153 gain-of-function substitution in the kinase domain that was discovered in multiple endocrine 154 neoplasia (MEN) Type 2B as causative for potent receptor hyperactivation in the absence of 155 GFLs (43, 53). These results show that signaling activity can be induced by blue light through 156 157 Opto-h/dRET receptors.

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### 159 Opto-dRET function in vivo

We next tested if Opto-dRET can be applied in vivo to conduct a temporally-targeted gain-of-160 161 function experiment (Figure 2A). We choose the Drosophila retina for this experiment because RTKs and their downstream pathways are tightly regulated during its 162 morphogenesis, and because RTK hyperactivation during retina development results in 163 marked phenotypes. For instance, two RTKs, the Drosophila epidermal growth factor 164 165 receptor (DER) and Sevenless, orchestrate retinal cell growth, differentiation and regulated 166 death (54, 55). These RTKs act in late larval and early pupal stages to form the fourteen cells 167 that compose each ommatidium unit eye and the ommatidial lattice (56). Hyperactivation of 168 RTK signaling during these stages has been shown to result in irregular ommatidia size and 169 spacing leading to a disrupted tissue pattern termed 'roughening' (57). We generated transgenic flies in which the Opto-dRET gene is placed downstream of five UAS elements 170

171 (Figure S2). We also generate analogous flies expressing the constitutively-active OptodRET<sup>MEN2B</sup>. We then targeted Opto-dRET or Opto-dRET<sup>MEN2B</sup> to the retina using the *GMR*-172 173 GAL4 driver, which induces expression in cells located posterior of a morphogenetic furrow that sweeps in anterior direction to initiate mitosis and cell differentiation (55). In Opto-174 dRET<sup>MEN2B</sup> flies, scanning electron microscopy (SEM) revealed a marked rough retina 175 phenotype (compare Figure 2B and C). Roughening was previously observed in flies 176 expressing dRET<sup>MEN2B</sup> (43), and based on the severe outcome observed for Opto-dRET<sup>MEN2B</sup> 177 178 we concluded that AU1-LOV attachment does not negatively impact receptor function. We next illuminated control flies and Opto-dRET flies in a time window that captures ommatidia 179 and lattice formation (from third instar larva through to the second day after pupariation; I = 180 385  $\mu$ W/cm<sup>2</sup>,  $\lambda$  = 470 nm; Figure 2A). In control flies without Opto-dRET, we did not observe 181 light-induced roughening indicating that light alone did not have an effect on the retina 182 183 (compare Figure 2D and E; in agreement with previous studies, we observed mild phenotypes upon GAL4 expression with the GMR driver (58)). In apparent contrast, we found 184 that light stimulation resulted in a marked increase in roughening in Opto-dRET flies 185 (compare Figure 2F and G). To quantify this effect, we manually metered in each retina 186 187 image the 'fused area' (the area without identifiable ommatidia) and also applied computational methods to count individual ommatidia (~600 ommatidia can be assigned in 188 189 our frontal view images) as two measures of tissue integrity. We found that upon illumination 190 the fused area increased and the number of identified structures decreased specifically in the illuminated Opto-dRET flies (Figure 2H and I). For these and control flies, we also 191 192 determined lattice regularity, which is defined as the ratio of the mean and the standard 193 deviation (SD) of the ommatidia nearest-neighbor distance (NND) distribution (59). Regularity decreased from 3.98  $\pm$  0.39 in WT flies to 2.15  $\pm$  0.55 in illuminated Opto-dRET flies, and 194 these values are indicative of near-perfect regularity and near-random assembly, 195 respectively (60). The potent effects induced by Opto-dRET upon light stimulation and the 196 lack of light responses in the absence of Opto-dRET establish the suitability of this 197 198 optogenetic approach to modify tissue behavior in vivo.

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### 200 Suppression of defects in a genetic model of PD

201 With Opto-dRET in hand, we went on to explore if defects in a genetic disease model can be ameliorated using optogenetics (Figure 3A). PINK1 is a Ser/Thr kinase that localizes to 202 mitochondria and supports their integrity and function. Loss-of-function mutations and 203 dominant negative mutations in the PINK1 gene are associated with autosomal recessive PD 204 205 (33-35). In Drosophila, loss of X-linked PINK1 leads to a striking phenotype, including tissue 206 degeneration, locomotor defects and disruption of mitochondrial structure and function (61-64). To test if optogenetics can suppress phenotypes associated with PINK1 deficiency, we 207 expressed Opto-dRET in indirect flight muscles (IFMs) of PINK1<sup>B9</sup> flies using the MEF2-208 209 GAL4 driver (65). IFMs are frequently studied in Drosophila models of PD and PINK1 loss-offunction leads to a marked 'crushed' thorax phenotype and reduced locomotion. We first 210 compared PINK1<sup>B9</sup> flies to Opto-dRET PINK1<sup>B9</sup> flies that were not illuminated. Similar 211 penetrance of thoracic defects (58 and 61% of flies exhibited a crushed thorax, respectively) 212 213 shows that the engineered optogenetic receptor did not affect the phenotype in the absence of light (Figure 3B). When proceeding to light stimulation, we took into consideration that the 214 opaque case and cuticle of pupa and adults may reduce blue light penetration to IFMs. To 215 address this, we first confirmed that AU1-LOV can be activated by light of 1-5  $\mu$ W/cm<sup>2</sup> 216 intensity, which corresponds to the product of minimal blue light transmission through the 217 case or cuticle (~0.5% (66, 67)) and the light intensity applied in our light chambers (I = 320 218  $\mu$ W/cm<sup>2</sup>; Figure S3A). We observed that light of this intensity is indeed sufficient to activate 219 AU1-LOV (Figure S3B). We then went on to light stimulate Opto-dRET PINK1<sup>B9</sup> flies during 220 221 late pupal stages and adult states (Figure 3A) (these stages coincide with the onset of 222 degeneration (64, 68)). Strikingly, we observed phenotype suppression in Opto-dRET PINK1<sup>B9</sup> flies resulting in only 16% of flies with defects (Figure 3B). This result indicates 223 marked improvement in tissue integrity and was comparable to the improvement observed 224 previously upon PINK1 overexpression in the PINK1<sup>B9</sup> model (Park et al, 2006). We also 225 226 tested if illumination restored the climbing deficits that accompany PINK1 loss-of-function.

This was indeed the case with illuminated Opto-dRET flies reaching climbing pass rates similar to those of WT flies (**Figure 3C**).

229 Mitochondrial dysfunction is a major pathological alteration observed in sporadic and familial PD and also the primary cellular consequence of loss of PINK1. We therefore tested 230 the effect of illumination on mitochondrial function and integrity in Opto-dRET PINK1<sup>B9</sup> flies. 231 PINK1<sup>B9</sup> flies exhibited reduced muscle ATP levels and these levels could be restored by 232 233 Opto-dRET and light stimulation (Figure 4A). To examine mitochondrial integrity, we conducted ultrastructure analysis using transmission electron microscopy (TEM). PINK1<sup>B9</sup> 234 muscles exhibited broadening of the myofibril Z-line and enlarged mitochondria with 235 fragmented cristae (compare **Figure 4B** and **C**). Illumination of Opto-dRET PINK1<sup>B9</sup> flies was 236 237 clearly beneficial with a reduced fraction of impaired mitochondria and an increased fraction of mitochondria with WT-like cristae structure (compare Figure 4D and E) that approached 238 levels of control flies (Figure 4F). Overall, these results on the cell- and tissue-level 239 demonstrate optogenetic suppression of consequences of PINK1 loss-of-function in a 240 241 Drosophila model. In these experiments, we took advantage of temporally precise light stimulation to prevent undesired side effects of continuous growth signal delivery, specifically 242 lethality associated with dRET overactivation in muscle at early developmental stages (69). 243

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## 245 Amelioration of mitochondrial defects in PINK1-deficient human cells

Finally, we tested if light activation of RET signaling can revert defects induced by loss of 246 PINK1 in human cells. We performed these experiments in dopaminergic neuroblastoma SH-247 SY5Y cells that have been previously applied to study how mutations observed in PD. 248 249 including those in the PINK1 gene (70), impact mitochondrial integrity. We transfected the cells with either control siRNA or *PINK1* siRNA as well as expression vectors for Opto-dRET, 250 Opto-dRET<sup>MEN2B</sup> or an inactive 'kinase-dead' (KD) Opto-dRET (Opto-dRET<sup>KD</sup>). Western blot 251 (WB) analysis revealed efficient downregulation of PINK1 levels (Figure 5A) and that 252 expression levels of the Opto-dRET variants were comparable (Figure 5B). Silencing of the 253 PINK1 gene resulted in severe mitochondrial defects with ~65% of cells exhibiting 254

fragmented mitochondria (Figure 5C, rows 1 and 2, Figure 5D, bars 1 and 2). As shown 255 previously, mitochondrial integrity was restored in this model through endogenous RET 256 257 stimulated with GDNF/GFRa1 for 4 h (69, 71). In this paradigm, the fraction of cells with fragmented mitochondria was reduced to 20%, which is comparable to cells treated with 258 control siRNA (Figure 5C, rows 1 and 3, Figure 5D, bars 1 and 3). We then analyzed Opto-259 dRET<sup>MEN2B</sup> and Opto-dRET cells and found that either expression of Opto-dRET<sup>MEN2B</sup> or light 260 stimulation of Opto-dRET cells (I = 232  $\mu$ W/cm<sup>2</sup>,  $\lambda$  = 470 nm, 4 h) rescued mitochondria with 261 similar efficiency (~25% of cells displaying fragmentation; Figure 5C, rows 4 to 6, Figure 5D, 262 bars 4 to 6). Similarly to the Drosophila experiments, no rescue was observed upon Opto-263 dRET expression in dark conditions, indicating limited basal receptor activity in the absence 264 of the light stimulus (Figure 5C, rows 2 and 5, Figure 5D, bars 2 and 5). We also verified 265 that the kinase activity of dRET is required for rescue (Figure 5C, rows 5 to 8, Figure 5D, 266 267 bars 5 to 8) and that light alone did not influence mitochondrial morphology (Figure S4). We 268 also tested which signaling pathways downstream of RET are involved in mediating 269 mitochondrial integrity. Of the main pathways activated by RET, we found that reversion of 270 mitochondrial fragmentation depended on both the PI3K and nuclear factor 'kappa-light-271 chain-enhancer' of activated B-cells (NF-kB) pathway, but not on the MAPK/ERK pathway (Figure 5E). This result is in agreement with previous studies showing that the protein 272 273 network regulated by GFL/RET overlaps with that involved in PINK1 function (69, 71). Collectively, these findings show that beneficial trophic signals can be delivered to a human 274 cellular model of PD using optogenetics. 275

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### 277 Discussion

278 Choreographed signaling of GFs and their cognate RTKs underlies tissue morphogenesis 279 and homeostasis, whereas their aberrant activity is linked to human disorders. For instance, 280 in the case of RET, gain-of-function is implicated in several forms of cancer and loss-of-281 function is linked to developmental disorders and neurodegeneration (72, 73). Motivated by 282 the importance of RET, we engineered human and *Drosophila* RET receptors that can be

activated by light. Recent work has demonstrated light activation of RTKs generally following 283 seminal designs that built on either dimerizing (14) or oligomerizing (15) photoreceptor 284 285 domains. We developed Opto-hRET and Opto-dRET using the homodimerizing AU1-LOV 286 domain, and whilst LOV domains have enabled dimerization of isolated kinase domains in the past, we here show that this approach is suited for activation of full-length RET receptors. 287 This suggests that enforced association at the RET C-terminus can overcome autoinhibition 288 289 by elements of the extracellular domain that can counteract ligand-independent dimerization. 290 These light-activated human and Drosophila RTKs add to an optogenetic arsenal that 291 already consists of light-activated enzymes and optically-recruited signaling proteins, some of which have already permitted the optogenetic control of cell behavior in Drosophila (1, 2). 292

In the first experiment, we applied Opto-dRET in the Drosophila retina to interfere with 293 294 tissue morphogenesis. Retina development depends on concerted cell proliferation and differentiation events, and RTKs play a key role in ommatidia formation and ommatidial 295 lattice generation. We observed retina malformations specifically in flies that were illuminated 296 297 during tissue formation stages, in agreement with earlier observations that downstream pathways are not operating at maximal levels during retina development because of multiple 298 and reiterative uses of RTKs (54, 55, 74). This experiment complements recent optogenetic 299 300 studies in Drosophila tissues other than the retina that have incorporated spatio-temporal 301 regulation to identify tissues and stages with high sensitivity to ectopic signals (75-78).

302 We then explored if optogenetics can suppress phenotypes in a genetic model of PD. 303 Previous optogenetic studies in the context of neurological and neurodegenerative disorders 304 focused on understanding or correcting aberrant electrical activity in excitable cells (7, 8). 305 whilst our goal was the delivery of trophic effects through the optical control of biochemical 306 pro-survival pathways. Our model was Drosophila with loss of PINK1, a Ser/Thr kinase that 307 causes autosomal recessive PD (33-35). Although evidently not able to recapitulate all features of human PD, we chose Drosophila as the model because PINK1 loss-of-function 308 manifests in robust phenotypes that have previously helped to delineate pathways implicated 309 in mitochondrial physiology and in PD pathogenesis (61, 64, 68, 79-86). Cell degeneration in 310

this model occurs most strongly in cells outside of the nervous system, such as in IFMs, 311 likely because of their high energy demand. Activation of Opto-dRET resulted in efficient 312 313 suppression of mitochondrial alterations, tissue degeneration and attendant locomotion 314 fitness. We also demonstrated rescue of mitochondrial morphology in PINK1-deficient human cells, and this second model allowed us to identify signaling pathways downstream of dRET 315 that are essential for reversion of the defects. PI3K and NF-kB activity were required to 316 317 reestablish the healthy mitochondrial network. These pathways are known to act as an 318 important node of crosstalk downstream of tyrosine kinases (87-89), and their involvement is 319 in line with previous observations that the protein networks regulated by GFL/RET overlap with those altered in PD (69, 71). We noted that PINK1 deficiency phenotypes in flies and 320 human cells were only modified by Opto-dRET upon stimulation with light but not in the dark, 321 indicating little background activity of the receptor in the absence of activation of the 322 introduced optical switch. It will be interesting to determine in future studies whether Opto-323 dRET is efficacious in ameliorating phenotypes in mammalian in vivo models of PD. 324

325 The new ability to remotely and spatio-temporally control cellular events relevant to human disease has previously inspired the pursuit of optogenetics-based treatment 326 strategies (see above); but what makes optogenetics an attractive method for pro-survival 327 328 signal delivery, in general or in the context of PD models? The protection or regeneration of 329 cells is a key target in the treatment of a variety of disorders, but the practical application of GFs is challenging. Many GF receptors have widespread tissue distribution and thus 330 331 systemic growth factor administration may result in off-target effects, such as toxicity or 332 undesired proliferation, in cells other than those targeted (90, 91). Furthermore, many GFs exhibit limited half-life in the circulation or do not reach target tissues (92, 93). Additionally, 333 334 GF gene therapy results in permanent hyperactivation of signaling pathways that can be linked to side effects and potential safety issues (94). In PD specifically it is not clear if the 335 cellular signaling machinery of degenerating DA neurons can respond to GFLs, e.g. because 336 of impaired RTK retrograde trafficking or expression (23, 95). Optogenetics has properties 337 that may address some of these challenges. For instance, optogenetic control can be 338

reversible and limited to specific cell populations. In addition, optogenetic receptors do not 339 340 rely on ligand binding in neuronal projections. It has been recently demonstrated that RET 341 downregulation in a mouse model of PD can be compensated by a virally delivered of RET (96). This finding provides an encouraging basis for further exploration of Opto-RETs in 342 mammalian models of PD. Translation of optogenetics into the brain may be facilitated by 343 innovations that are currently pursued by many groups, such as wirelessly-powered 344 345 microscale electronics that are implantable and biocompatible, or transcranial energy delivery. In this study, we demonstrated in a genetic model of PD that ligand-independent 346 optical delivery of trophic signals is in principle possible, paving the way for future studies in 347 animal models of PD and potentially also other disorders linked to the GF-RTK axis. 348

## 349 Author contributions (CRediT taxonomy)

Conceptualization, A.I.P., D.S., P.S., K.W. and H.J.; Funding Acquisition, D.S., P.S., K.W.
and H.J.; Methodology, A.I.P., P.S., K.W. and H.J.; Project Administration, H.J.;
Investigation, A.I.P., N.F., N.H., M.P., E.R. and V.Z.; Data curation, A.I.P., N.F., S.C., N.H.,
M.P.; Resources, A.G. and J.B.; Supervision, D.S., P.S., K.W. and H.J.; Writing - Original
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# 373 Materials and Methods

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375 Engineering light-activated RET receptors. The gene encoding full-length dRET with the MEN2B M955T substitution (*dRET<sup>MEN2B</sup>*, a kind gift of Ross Cagan, Icahn School of Medicine 376 at Mount Sinai, NY) was amplified from an expression vector by PCR and inserted into 377 pUAST. To obtain Opto-dRET<sup>MEN2B</sup> in pUAST, AU1-LOV (14) was inserted at the far C-378 379 terminus of the receptor. To obtain Opto-dRET in pUAST, the M955T substitution of dRET<sup>MEN2B</sup> was reverted using site-directed mutagenesis. To express Opto-dRET in 380 381 mammalian cells, the gene was amplified by PCR and sub-cloned into pcDNA3.1(-) including a hemagglutinin (HA)-epitope. To obtain Opto-dRET<sup>MEN2B</sup> in pcDNA3.1(-), the M955T 382 substitution was introduced using site-directed mutagenesis. To obtain the KD variant, the 383 K805M substitution was introduced using site-directed mutagenesis. To express Opto-hRET 384 in mammalian cells, the full-length receptor was inserted into a pcDNA3.1(-) vector 385 containing AU1-LOV (14). All constructs were verified by DNA sequencing. Sequences of the 386 387 receptors are given in Tables S1 and S2.

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Cell culture, transfection and MAPK/ERK pathway activation (HEK293). The MAPK/ERK 389 390 pathway was assayed in HEK293 cells with the Elk1 trans-reporting system (PathDetect, 391 Agilent). HEK293 cells were maintained in DMEM supplemented with 10% FBS, 100 U/ml penicillin and 0.1 mg/ml streptomycin in a humidified incubator (37°C, 5% CO<sub>2</sub>). 50'000 cells 392 393 were seeded in each well of white clear bottom 96-well plates (triplicates for each construct) 394 coated with poly-L-ornithine (Sigma). Cells were reverse transfected with 3 to 25 ng receptor vector and ~200 ng combined reporter vectors per well using polyethylenimine 395 (Polysciences). Six h after transfection, medium was replaced with CO<sub>2</sub>-independent reduced 396 serum starve medium (Gibco/Life Technologies; supplemented with 0.5% FBS, 2 mM L-397 Glutamine, 100 U/ml penicillin and 0.1 mg/ml streptomycin). Cells were then either 398 illuminated with blue light in a custom incubator (PT2499, ExoTerra) for 8 h or protected from 399 light with foil as described previously (97). After incubation, plates were processed with a 400

401 luciferase assay (One-Glo, Promega) and luminescence was detected in a microplate reader 402 (Synergy H1, BioTek). Low-light stimulation (**Figure S3B**) was performed as previously 403 described (47) using a light blocking sample with an optical density of 1 and an external light 404 intensity of 15  $\mu$ W/cm<sup>2</sup> (resulting in a final intensity of 1.5  $\mu$ W/cm<sup>2</sup>).

405

Cell culture. RNA interference. transfection and treatments (SH-SY5Y cells). SH-SY5Y 406 407 cells (DSMZ ACC 209) were maintained in DEMEM/F-12 (1:1) supplemented with 15% FBS 408 (Sigma), 1% non-essential amino acid solution, 100 U/ml penicillin and 100 µg/ml streptomycin (Life Technologies) in a humidified incubator ( $37^{\circ}$ C, 5% CO<sub>2</sub>), 1.5 x 10<sup>5</sup> cells 409 were seeded in each well of a 6-well plate containing two 15 mm coverslips per well. 410 Transient co-transfection of siRNA oligos and DNA plasmids were performed using 411 Lipofectamine 2000 (Thermo Fisher). The following three *PINK1* siRNAs were used at a final 412 concentration of 60 pmol/ml each: siRNA PINK1 HSS127945/127946/185707 (Life 413 Technologies). To identify transfected cells by fluorescence microscopy, a plasmid encoding 414 GFP was co-transfected (0.2 µg/well; in total, 1.2 µg/well were transfected). Mitochondrial 415 morphology was analyzed 2 days after transfection as described below. For illumination of 416 417 the cells, the 6-well plate was placed in a LED illumination unit inside the incubator. Cells were illuminated for 4 h at a wavelength of 470 nm and an intensity of 232  $\mu$ W/cm<sup>2</sup>. To 418 419 activate endogenous RET, cells were treated with recombinant human GDNF (Shenandoah 420 Biotechnology) and human GFRa1 (R&D Systems) for 4 h at a final concentration of 100 ng/ml. Signaling pathway inhibitors were added to cells 1 h prior to illumination at the 421 following concentrations: 50 µM LY294002 (PI3K inhibitor, Cell Signaling) or 30 µM PD98059 422 (MEK1 inhibitor, Cell Signaling). The HA-IkB-2S32A/S36A plasmid (IkB-2S/A (98)) was 423 generated by overlap extension PCR using the following primers: mut-IkB-2S-fwd 424 CCACGACGCCGGCCTGGACGCCATGAAAG, 425 mut-IkB-2S-rev 426 CGTCTTTCATGGCGTCCAGGCCGGCGTCG, BamHI-IkB2S-fwd ATATGGATCCTTCCAGGCGGCCGAGCGCCCCCAGGAG and IkB2S-NotI-rev 427

428 ATATGCGGCCGCCTATAACGTCAGACGCTGGCCTCCAAACACACAGTC. The amplified

fragments were digested with BamHI and NotI and cloned into the pcDNA3.1-N-HA vector.pEGFP-N3 was purchased from Clontech.

431

Analysis of mitochondrial morphology (SH-SY5Y cells). Mitochondria in SH-SY5Y cells 432 growing on 15 mm coverslips were stained for 15 min with 25 nM MitoTracker red CMXRos 433 (Life Technologies) diluted in cell culture media and then washed twice with medium. 434 435 Mitochondrial morphology of living cells was immediately analyzed with a fluorescence 436 microscope (Nikon Eclipse E400). Cells displaying an intact network of tubular mitochondria 437 were classified as tubular. When this network was disrupted and mitochondria appeared either globular or rod-like, they were classified as fragmented (70). For quantification of 438 mitochondrial morphology, five independent experiments were performed. At least 150 439 transfected cells were analyzed per condition for each experiment. 440

441

WB analysis (SH-SY5Y cells). SH-SY5Y cells were analyzed two days after transient transfection for expression of Opto-dRET constructs and *PINK1* silencing efficiency. For stabilization of endogenous full-length PINK1, cells were treated with 10  $\mu$ M FCCP (Agilent) for 2 h before cell lysis. Proteins were detected by WB using a monoclonal rabbit anti-PINK1 antibody (1:1000; Cell Signaling, D8G3) or an anti-HA antibody (1:1000; Covance, 16B12) for the Opto-dRET constructs. Data were normalized to monoclonal mouse anti-β-actin staining (1:2000; Sigma, AC-74).

449

Fly strains, maintenance and scoring. Flies were raised on standard agar, cornmeal and molasses substrate supplemented with 1.5% nipagin. *GMR-GAL4* flies were a kind gift of Ross Cagan. *PINK1<sup>B9</sup>/FM6; MEF2-GAL4* flies were a gift of Alex Whitworth (University of Cambridge, UK). Transgenic flies expressing Opto-dRET and Opto-dRET<sup>MEN2B</sup> were generated by injection of pUAST receptor constructs (BestGene). For selection, balanced fly lines (~12 transformants/line) were crossed with *GMR-GAL4* flies. Approximately 10 days after crossing, descendants were visually inspected for the presence of a rough retina

phenotype. Rough retina and hollow thorax phenotypes were scored on a dissecting
microscope equipped with a digital camera (M205FA and DFC3000G, Leica Microsystems).
Genotypes of fly lines utilized in this study are summarized in **Table S3**.

460

Light stimulation of flies. Flies were illuminated inside their vials in the custom LED incubator (Figure S3A) set to the temperature and light intensities indicated in the text and figures. Vials containing control flies were wrapped with foil and placed in the same incubator. Light incubators were placed in a controlled environment to maintain humidity at 65%. Experiments with GAL4 drivers were conducted at 29°C to increase receptor expression.

467

Scanning electron microscopy. Adult flies were anaesthetized with CO<sub>2</sub>, placed in 25% ethanol for 24 h at room temperature (RT) and dehydrated in a graded ethanol series for 3 days. Samples were dried from 100% ethanol with a critical point dryer (EM-CPD300, Leica Microsystems), gold-coated using a sputter coater (EM-ACE600, Leica Microsystems) and imaged at a magnification of 152X (FE-SEM Merlin compact VP, Carl Zeiss; operated at 3 kV).

474

475 **Quantification of rough retina phenotype.** Three analysis methods were applied to retinas. Fused retinal area was defined as the ratio of the total retina area divided by the total 476 disrupted area. The disrupted area was defined as a region containing two or more fused 477 478 ommatidia. The number of distinct structures was determined using a distortion algorithm 479 (99). The output of the algorithm is mapping of boundaries surrounding single or fused 480 ommatidia that are the structures of interest. Structure count and structure centers were then identified in Fiji. Regularity was determined based on structure centers and their nearest 481 neighbor distance distributions using macros written in Igor Pro (Wavemetrics). Regularity 482 was defined as the ratio of the mean nearest neighbor distance and its SD for each image 483 (59). 484

485

Transmission electron microscopy. Thoraces were fixed in 2.5% glutaraldehyde and 2% 486 487 paraformaldehyde in 0.1 M phosphate buffer (pH 7.4) for 2 h at RT. Samples were post-fixed and contrast enhanced with 1% osmium tetroxide in phosphate buffer for 1.5 h and 1% 488 uranyl acetate in 50% ethanol/water for 45 min. Samples were then dehydrated in a graded 489 490 ethanol series and embedded in Durcupan (Sigma-Aldrich). Ultrathin sections (70 nm) were 491 sliced using a microtome (EM UC7 Ultramicrotome, Leica Microsystems) and mounted on 492 formvar coated copper slot grids. Images were acquired at a magnification of 9000X (Tecnai 10, FEI/Thermo Fisher Scientific; operated at 80 kV, equipped with OSIS Megaview III 493 camera). The electron dense fraction of the cytoplasm (mitochondria) was determined by 494 495 manual selection, application of threshold and the area fraction command in Fiji.

496

ATP determination. Thoraces from two-day old flies were homogenized in 50  $\mu$ l of 497 extraction buffer (100 mM Tris-HCl, 4 mM EDTA, pH 7.8) supplemented with 6 M Guanidine-498 HCl using a pellet homogenizer (47747-370, VWR international). The lysate was boiled for 3 499 min and cleared by centrifugation at 20'000 g for 5 min. The samples were diluted 1:100 in 500 501 extraction buffer before quantification using a luciferase-based ATP kit (A22066, Thermo Fisher Scientific). Values were expressed relative to total protein concentration measured by 502 503 using a BCA assay (Pierce). Luminescence and absorbance at 562 nm were measured 504 using the microplate reader. ATP levels were normalized to those of Opto-dRET female flies. 505

**Negative geotaxis (climbing) assay.** Male flies of the indicated genotype have been exposed to blue light (I =  $320 \ \mu$ W/cm<sup>2</sup>,  $\lambda = 470 \ nm$ ) or kept in the dark during the indicated developmental time points. For each experiment, males hatching on the same day were pooled. Adults were aged for 2-3 days on standard fly food. On day 3, flies were anaesthetized briefly with CO<sub>2</sub> and 10 flies each were placed in an acrylic glass tube of 30 cm length closed with a flyplug (Carl Roth PK13.1). Flies were allowed to recover and adapt for 1 h. Negative geotaxis climbing performance was then assayed as previously described

(100). Flies were tapped down and the number of flies reaching the 15 cm mark within 15 s was recorded. 10 technical repeats (1 min break between repeats) were performed for each genotype to obtain an average climbing score (defined as fly count above the 15 cm line / total fly count). For each genotype and condition, at least 5 independent experiments were performed.

518

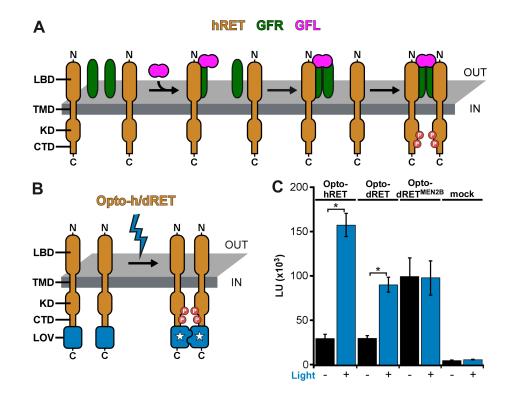
519 **Statistical analysis.** HEK293 cell assays were performed in triplicate wells and in at least 520 three independent experiments. Statistical analysis was performed using unpaired, two-tailed 521 t-tests for comparison of dark and light conditions.

Fly assays were performed in at least three independent experiments with the 522 number of flies indicated in the figures. Statistical analysis of numerical outcomes was 523 performed using one-way analysis of variance (ANOVA) followed by Bonferroni corrected 524 multiple t-test comparisons. For categorical outcomes (thorax defect and climbing pass), 525 SEMs shown in the figure derived from binomial distributions. Statistical significance was 526 527 tested using Fisher's exact tests. Climbing experiments were performed in 10 repeats for each animal group consisting of 10 animals. Statistical significance is indicated using the 528 'compact letter display'. 529

530 SH-SY5Y cell fragmentation assays were performed in five independent experiments 531 with at least 150 cells per condition in each experiment. Statistical analysis was performed 532 using one-way analysis of variance (ANOVA) followed by followed by Bonferroni corrected 533 multiple t-test comparisons. Statistical significance is indicated using 'compact letter display'.

# 534 Figures

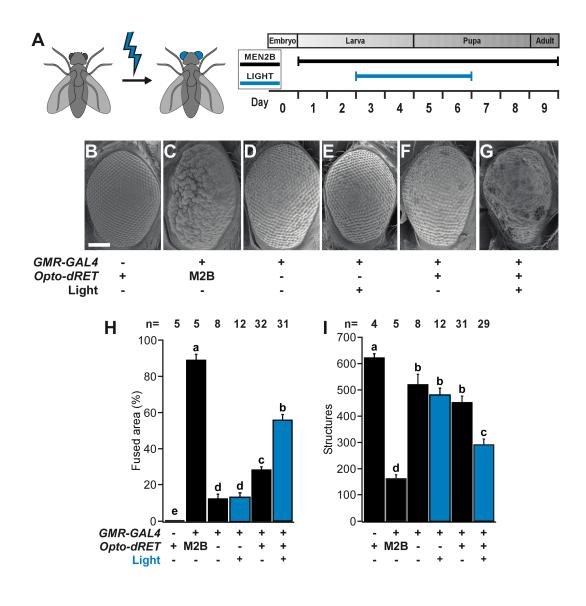
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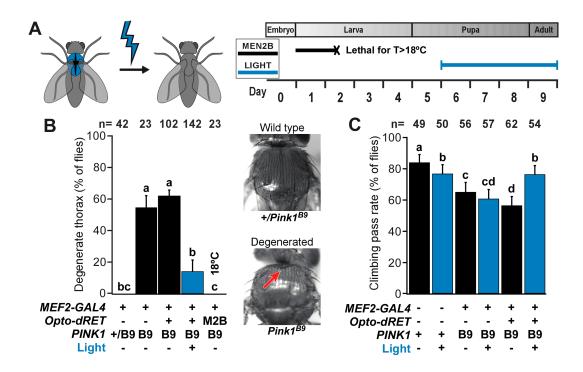
Figure 1. Engineering of light-activated RET receptors. (A) hRET and dRET consist of an 538 extracellular ligand-binding domain (LBD), single-span transmembrane domain (TMD) and 539 intracellular domain (KD: kinase domain, CTD: C-terminal tail domain). Activation by GFL 540 and GFR $\alpha$  was shown to result in the formation of a human ternary complex (binding model 541 of Schlee et al. (39)). (B) In light-activated Opto-h/dRET, the LOV domain of the 542 543 AUREOCHROME1 photoreceptor of *V. frigida* is incorporated at the receptor C-terminus. (C) MAPK/ERK pathway activation in response to blue light (I = 250  $\mu$ W/cm<sup>2</sup>,  $\lambda$  = 470 nm) for 544 HEK293 cells transfected with Opto-hRET, Opto-dRET or Opto-dRET<sup>MEN2B</sup>. Light units (LU; 545 mean  $\pm$  SD) for dark treated cells and illuminated cells are given (n = 9 to 18, three 546 independent experiments, t-test, \*: p<.0001). 547





549

Figure 2. Induction of retina roughening and phenotype quantification. (A) Developmental time window targeted by light in retina experiments. (**B-G**) Representative retina SEM images. Scale bar: 0.1 mm. (**H** and **I**) Quantification of rough retina phenotypes of one-day old flies as fused area and the number of structures identified. "M2B" denotes Opto-dRET<sup>MEN2B</sup>. For H and I, mean  $\pm$  SD for the indicated number of flies is given (at least three independent experiments). Means sharing the same label are not significantly different (ANOVA/Bonferroni corrected t-tests, p>.04). Light intensity was 385  $\mu$ W/cm<sup>2</sup>.



558

557

559 Figure 3. Suppression of thorax defects and locomotion deficits. (A) Time window targeted by light in experiments with PINK1<sup>B9</sup> flies. Illumination of pupal and adult stages 560 561 prevented lethality observed upon Opto-dRET signaling in earlier stages (e.g., OptodRET<sup>MEN2B</sup> flies were grown at 18°C to prevent lethality during development; see Main Text). 562 (B) Percentage of two-day old flies with a degenerate thorax phenotype. Representative 563 bright field thorax images shown on the right. Hollow thorax is highlighted by the red arrow. 564 (C) Climbing ability of flies. "M2B" denotes Opto-dRET<sup>MEN2B</sup>. PINK1 "+" denotes the WT 565 566 gene. For B and C, counts  $\pm$  SE for the indicated number of flies (n) is given (see Materials 567 and Methods for repetitions in climbing assays). Means sharing the same label are not significantly different (Fisher's exact test, p>.04). Light intensity was 320  $\mu$ W/cm<sup>2</sup>. 568

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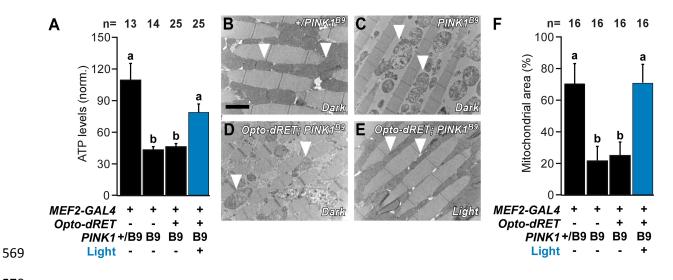
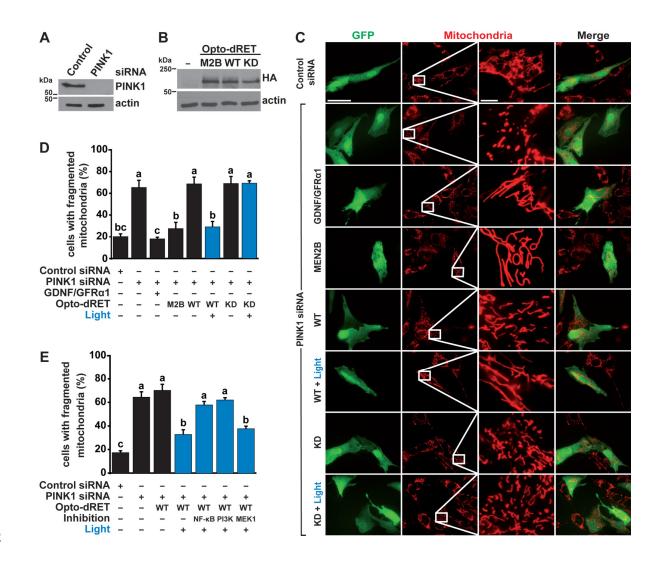


Figure 4. Improved mitochondrial structure and function. (A) ATP content in fly thoraces 571 from PINK1<sup>B9</sup> flies at the indicated conditions. (**B-E**) Representative TEM images of thoracic 572 573 indirect flight muscles. Arrow heads indicate mitochondria that are either electron dense (B: controls, E: illuminated PINK1<sup>B9</sup> Opto-dRET flies) or malformed with disintegrated cristae (C: 574 PINK1<sup>B9</sup> flies, D: PINK1<sup>B9</sup> Opto-dRET flies in the absence of light). Scale bar: 2 µm. (F) 575 Analysis of mitochondrial density in TEM images. "M2B" denotes Opto-dRET<sup>MEN2B</sup>. PINK1 "+" 576 denotes the WT gene. For A, mean ± SD for the indicated number of flies is given (at least 577 three independent experiments). For F, mean  $\pm$  SD for the indicated number of micrographs 578 579 is given (at least three independent experiments). Means sharing the same label are not significantly different (ANOVA/Bonferroni corrected t-tests, p>.04). Light intensity was 320 580  $\mu$ W/cm<sup>2</sup>. 581



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584 Figure 5. Rescue of mitochondrial fragmentation in human cells. (A and B) WB analysis of PINK1 knock-down by siRNA and Opto-dRET expression. (C) Representative images for 585 586 fragmentation of mitochondria induced by PINK1 silencing. Red: MitoTracker. Green: GFP marker. Scale bar: 200 (column 1, 2) or 20 µm (columns 3, 4). (D) Quantification of 587 mitochondrial fragmentation upon stimulation of RET, Opto-dRET, Opto-dRET<sup>MEN2B</sup> or Opto-588 dRET<sup>KD</sup>. (E) Quantification analysis of mitochondrial fragmentation upon light activation of 589 590 Opto-dRET and inhibition of NF-kB signaling (by lkB-2S/A), PI3K (by LY294002) or MEK1 (by 591 PD98059). For D and E, mean  $\pm$  SD for five independent experiments is given (at least 150 cells per condition in each experiment). Means sharing the same label are not significantly 592 different (ANOVA/Bonferroni corrected t-tests, p>.04). 593

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