1	Red deer Cer	<i>rvus elaphus</i> blink more in larger groups
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17	ABSTRACT	
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19	Most animals need to spend time being vigilant for predators, at the expense of other	
20	activities such as foraging. Group-living animals can benefit from the shared vigilance effort	
21	of other group members, with individuals reducing personal vigilance effort as group size	
22	increases. Behaviours like active scanning or head lifting are usually used to quantify	
23	vigilance, but may not be accurate measures as the individual could be conducting them for	
24	other purposes. We suggest that measuring an animal's blinking rate gives a meaningful	
25	measure of vigilance: increased blinking implies reduced vigilance, as the animal cannot	
26	detect predators when its eyes are closed. We demonstrate that as group size increases in	
27	red deer, individuals increase their blink rate, confirming the prediction that vigilance should	
28	decrease. Blinking is a simple non-invasive measure, and offers a useful metric for	
29	assessing the welfare of animals experiencing an increase in perceived predation risk or	
30	other stressors.	
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32 INTRODUCTION

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34 Most animal species spend some part of their lives aggregated together in groups, and 35 many benefits have been proposed and tested for this behaviour [1,2]. For prey species, 36 grouping behaviour can offer protection from predators through both the dilution of individual 37 risk if an attack occurs [3-5] and an increase in the chance of successfully detecting an 38 approaching predator due to the combined vigilance effort of the group [5–7], along with 39 other anti-predator advantages of grouping behaviour such as synchronising activity to dilute 40 risk [8-11]. If an animal is being actively vigilant, it may be unable to conduct (or less 41 efficient at) other important behaviours (like foraging or resting) at the same time (e.g. [12]). 42 Group membership means that vigilance can be pooled among the group members, which 43 could mean that each individual can spend less time being vigilant and more time 44 conducting other fitness-enhancing behaviours. A rich body of theory and research has 45 explored how group size and individual vigilance effort are related [13–16], with much of it 46 focussing on the prediction that individual vigilance effort will decrease as the group 47 becomes larger. This prediction requires each individual to show a trade-off between 48 vigilance and other behaviours, where being actively vigilant either cannot occur at the same 49 time as other behaviours, or leads to a reduction in the efficiency of other behaviours that 50 are conducted at the same time as being vigilant.

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52 Vigilance is usually assumed to be occurring when an animal is actively scanning its 53 surrounding environment with its head upwards, although there is no obvious consensus in 54 how vigilance is defined in any particular species (see [17] for discussion of this problem in 55 studies on primates). Although scanning behaviour is likely to stop an animal from actively 56 collecting food, this head-up activity may not completely interfere with simultaneous 57 conducted behaviours, such as chewing or social interaction. If a behaviour that is recorded 58 as vigilance allows an individual to do other things at the same time, then we may be falsely 59 assuming that this behaviour incurs the time and attention costs that are associated with 60 vigilance [18]. Without careful experimentation, it is difficult to assess how much of an 61 individual's attention is devoted to vigilance when we observe scanning or other forms of 62 vigilance-like behaviour, which may add to the huge variation (e.g. [13]) in whether a study 63 demonstrates that individual vigilance is related to group size or not.

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Although it is difficult to define exactly when an individual is being vigilant, we may instead be able to define when it is *not* able to be vigilant. Blinking (the temporary closure of both eyes, involving movements of the eyelids [19]) is a good example of an activity where an individual is momentarily unable to visually scan the environment. It is an essential 69 maintenance behaviour to keep the eyes moist and clean [20], and is conducted tens of 70 times every minute in some species of diurnal mammals [21–23] and birds [24]. Although a 71 blink takes only a fraction of a second, the sum of this loss of visual information over multiple 72 blinks could be substantial for the individual. In humans, spontaneous blinking is 73 accompanied by attentional suppression, where the individual experiences a blackout in 74 visual attention for the duration of the blink, meaning that there is no awareness of the 75 temporary blindness and lack of visual information whilst the blinking is occurring [25,26]. 76 Blinking suppresses activity in both the visual cortex and other areas of the brain that are 77 associated with awareness of environmental change [27]. If we assume that other animals 78 show similar attentional suppression, then they are essentially blind and unaware of 79 changes in their visual environment during each blink, which in turn means that they cannot 80 be vigilant for predators.

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82 An individual's blink rate therefore presents a trade-off between the physiological benefits of 83 blinking and the loss of visual information during the blink [20]. If an animal needs to 84 dedicate more time to vigilance in a risky environment, then it has to reduce or suppress 85 blinking to accommodate this increased vigilance. This is anecdotally demonstrated in 86 American crows Corvus brachyrhynchos, which reduce their blink rates when looking at 87 potentially dangerous stimuli [28], and in horses Equus caballus, which decrease their 88 spontaneous blink rate in response to stress-inducing stimuli [29]. This link between blink 89 rate and vigilance implies that blink rate will also be related to group size. As group size 90 increases, theory predicts that individual vigilance can be reduced [5], and so any 91 requirement to suppress blinking will be relaxed. Blink rate may therefore increase with an 92 increase in group size. Evidence supporting this is anecdotal: a comparison of chickens 93 Gallus gallus feeding solitarily or in pairs showed a non-significant increase in blink rate in 94 the group-feeding birds [30], while a comparison of the blink rates of olive baboons Papio 95 anubis [31] showed individuals in a small group blinked less than those in a large group 96 (although the two groups were studied in different years). Here, we test this hypothesis by 97 observing the blink rates of group-living red deer Cervus elaphus. Red deer are a prev 98 species that spend most of their lives in dispersed groups, and females are known to reduce 99 vigilance behaviour as group size increases [32,33]. Because they increase vigilance in 100 smaller groups, we therefore predict that they should also reduce their blink rate to avoid 101 losing visual information about their environment. Given that vigilance has been shown to be 102 related to the sex and age of an individual [32,33], we included these individual 103 characteristics in our analysis.

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106 METHODS

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108 Study area, time and subjects

109 This observational study was conducted on the herd of red deer within the 40.5 hectare deer 110 park in Ashton Court Estate, Bristol, England (51.4440° N, 2.6378° W), which is composed 111 mainly of open grassland, with scattered forestry. The herd, managed by Bristol City 112 Council, consists of c. 110 individuals of varying age and sex, who appear to mix freely. The 113 enclosure is open to the public outside of the rutting season, so the deer are habituated to 114 both dogs and humans. Our observations were conducted over five days during the rutting 115 season; observations were restricted between 1200-1630 h so they were outside of the 116 dawn and dusk peaks of regular rutting activity [34]. 117

118 Behavioural sampling and observations

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120 A random individual was selected as described in previous research on this herd [35]. The 121 selected individual was observed and dichotomously aged (mature or young) and sexed 122 (male or female). The individual was sexed by the presence of antlers, as after one year of 123 age only males have antlers [36]. Age was identified by an individual's size and morphology 124 (larger individuals were older). If the individual was observed suckling, it was discarded and 125 randomisation was repeated, as young individuals are hard to sex and exhibit behaviours 126 uncommon to the rest of the herd [34]. A count of the total number of young/mature males 127 and females, along with suckling young, was made on three different days. The rounded 128 averages of these five demographic classes were calculated, and used in the 129 pseudoreplication analyses presented (see the Supplementary Information). 130 131 Prior to the study, the observers (ZWR and JHR, who both conducted the measurements

132 described) were trained in identifying the recorded behaviours, and pilot trials ensured 133 repeatability of measurements. A blink was defined as a rapid full closure of the eye. Group 134 size was arbitrarily defined as the number of individuals aggregated no more than five body 135 lengths away from at least one member of the group containing the focal deer, meaning a 136 measured group was composed of individuals associated by a chain rule of association (see 137 [37] for discussion of defining groups by associated neighbours within arbitrary distances). 138 Before starting any set of observations, the observers waited 10 minutes at the site to 139 habituate the deer. Observations were conducted approximately 10-100 m away from focal 140 individuals using a 30x zoom spotting scope. An observation for a single selected individual 141 was recorded for a maximum of 10 minutes. At the start of each minute the group size was 142 counted by one observer, with blink rate (blinks per minute) being continuously recorded for

each minute by the other observer. If the deer's eye(s) were obstructed or there was a

144 human/animal disturbance the observations were stopped with the current minute's

145 measures being disregarded. 75 individuals were observed using this method.

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147 Statistical analysis

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149 Data were recorded as blinks per minute with group size recorded for that minute.

150 Individuals were recorded a mean of 4.9 (± 2.0 SD) minutes before the data collection had to

151 be discontinued due to the observer's view of the eyes being obstructed. For each individual,

152 we calculated the mean number of blinks in a minute, and the mean group size per minute.

153 To compensate for any unevenness caused by some mean values being based on more

observations than others, we conducted the same analyses using just the first minute of data

155 for all individuals (see the Supplementary Material): these data gave qualitatively similar

results to the analysis involving mean group sizes, and are not discussed further.

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Using *R* 4.0.3 [38], we constructed a linear mixed effects model where the natural logarithm

of mean blink rate was described by the natural logarithm of group size, and the maturity

and sex of the focal individual, including the observation date as a random effect.

161 Logarithms were used to satisfy model conditions. A full model including interactions was

162 initially considered, but no interaction terms were significant and so the basic additive model

163 with the three explanatory variables was used. The Supplementary Material describes

164 resampling analysis conducted to explore any effects of pseudoreplication arising from

165 potentially observing the same individuals multiple times.

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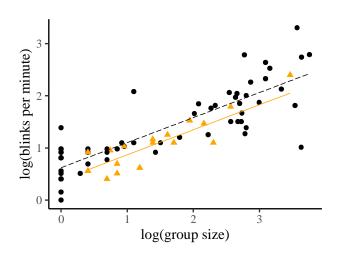
169 **RESULTS**

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Blink rate increased with group size ($t_{67} = 11.38$, p < 0.001; figure 1), and adults blinked more than young deer ($t_{67} = 2.11$, p = 0.038; figure 1). There was no relationship between sex and blinking ($t_{67} = 0.35$, p = 0.727). Model estimates and effect sizes are given in the

174 Supplementary Material, along with analyses demonstrating that pseudoreplication is

175 unlikely to have influenced the group size effect.



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Figure 1. Scatterplot showing that blink rate increases with group size and maturity (where
orange triangles and the fitted solid line represent young individuals, and black circles and
the fitted dashed line represent adults).

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185 DISCUSSION

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Our results demonstrate that blinking increases as group size increases. Given that blinking interferes with vigilance behaviour, and that individual vigilance is predicted to decrease as groups get larger, this supports our argument that the blink rate represents a trade-off between gaining visual information through vigilance and the physiological benefits of blinking. We note that these results are only correlational, and we suggest that a link between vigilance and blinking could be demonstrated with suitable experimental manipulation of perceived risk (*e.g.* [8,39–41]).

194

195 We argued earlier that observed behaviours that are typically recorded as vigilance (such as 196 holding a head upright or active scanning - see [42,43] for discussion) may not be 197 conducted solely for vigilance. Similarly, blinking may not solely be a maintenance behaviour 198 that is traded-off against being able to collect visual information. Blinking may include a 199 social element, as rhesus macaques Macaca mulatta are able entrain their blink rate in 200 response to social cues, coordinating their blinking with partners that they were interacting 201 with [44]. Our results suggest that the proportion of scanning time that an individual red deer 202 allocates to vigilance is related to the size of its immediate group, but we should 203 acknowledge that scanning behaviour may be influenced by social behaviour as well as

204 vigilance. Earlier studies showed that young red deer were less vigilant than older ones 205 [32,33], and suggest this may be because the younger individuals are unlikely to be able to 206 outrun a predator if one appears. If the young in our study are following this behavioural 207 pattern, this also suggests that blinking may not be completely correlated with vigilance 208 level, as the younger deer had low blink rates when compared to mature adults. This may 209 reflect some form of social signalling between adults, although we note that we did not see a 210 difference between males and females (which contradicts previous results showing sex-211 determined patterns of vigilance, where only females altered behaviour proportionally to 212 group size [32,33]). Other aspects of social behaviour may also be important for determining 213 blink rates, such as position within the group (echoing the vigilance changes seen in [33]). 214 Theory predicts that individuals on the outside of the group should be more vigilant than 215 those in the middle, and anecdotal evidence from olive baboons suggests that peripheral 216 individuals may blink less [31]. It may be possible to test many of the standard predictions 217 connecting vigilance and group size (e.g. [13–16]) using blink rate as a proxy for vigilance. 218 219 The blink rate may also be influenced by factors other than the size of the group, including 220 rainfall and wind (which have been shown to influence blink rate in captive grackles 221 Quiscalus mexicanus [45,46]). Similarly, the behaviour that an individual conducts 222 simultaneously to the blink may be important. Experiments in humans suggest a mechanism 223 controlling the timing of blinks, which occurs to minimise the chance of missing crucial 224 information [20,47–50], with evidence of similar behaviours in rhesus macaques Macaca 225 mulatta [44]. Peafowl Pavo cristatus also time their blinks to coincide with gaze shifts [51] 226 while grackles blink less during flight behaviours [52] and chickens blink more when feeding 227 when compared to scanning [30], all minimising the time where visual information cannot be 228 collected from the environment. Therefore, if an individual is moving, the timing and 229 frequency of its blinks may reflect this movement, and it may therefore be sensible to assay 230 blinking in response to group size in resting deer groups, which would not be undergoing 231 head and body movements that could confound the measure of blinking that is recorded. 232 Similarly, animals in different attentional states may change their frequency of blinking, such

- as during sleep in herring gulls Larus argentatus [53].
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Our results suggest that the measurement of blinking presents a simple and non-invasive technique for observing attention that can be conducted remotely. Although we conducted our sampling in the field, this could be done using video footage. Being able to analyse video footage means that information about blink duration can also be collected, and previous studies have demonstrated that this additional metric can also vary between individuals and species [22,23,30,31,45,46], and may increase in relation to group size [30]. Given that

- blinking has been shown to decrease under stressful conditions [28,29], this simple
- technique could help us to understand the welfare requirements of managed animals that
- 243 normally live in social groups.

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