Phylogenomic Discordance in the Eared Seals is best explained by

Incomplete Lineage Sorting following Explosive Radiation in the Southern

Hemisphere

Running title: **Phylogenomics of Fur Seals and Sea Lions**

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ABSTRACT

The phylogeny and systematics of fur seals and sea lions (Otariidae) have long been

studied with diverse data types, including an increasing amount of molecular data. However,

only a few phylogenetic relationships have reached acceptance because of strong gene-tree

species tree discordance. Divergence times estimates in the group also vary largely between

studies. These uncertainties impeded the understanding of the biogeographical history of the

group, such as when and how trans-equatorial dispersal and subsequent speciation events

occurred. Here we used high-coverage genome-wide sequencing for 14 of the 15 species of

Otariidae to elucidate the phylogeny of the family and its bearing on the taxonomy and

biogeographical history. Despite extreme topological discordance among gene trees, we

found a fully supported species tree that agrees with the few well-accepted relationships and

establishes monophyly of the genus *Arctocephalus*. Our data support a relatively recent trans-

hemispheric dispersal at the base of a southern clade, which rapidly diversified into six major

lineages between 3 to 2.5 Ma. *Otaria* diverged first, followed by *Phocarctos* and then four

major lineages within *Arctocephalus*. However, we found *Zalophus* to be non-monophyletic,

with California (*Z. californianus)* and Steller sea lions (*Eumetopias jubatus*) grouping closer

than the Galapagos sea lion (*Z. wollebaeki*) with evidence for introgression between the two

genera. Overall, the high degree of genealogical discordance was best explained by

incomplete lineage sorting resulting from quasi-simultaneous speciation within the southern

clade with introgresssion playing a subordinate role in explaining the incongruence among

and within prior phylogenetic studies of the family.

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 INTRODUCTION

For some time, it was widely accepted that by increasing the volume of molecular

data even simple phylogenetic methods would unravel the true phylogenetic history of

species (Rokas et al. 2003; Faircloth et al. 2013; Hoban et al. 2013; McCormack and

Faircloth 2013). However, studies using whole genome data have found that inference of the

true species tree, if such a tree exists, may be extremely challenging for some parts of the tree

of life (Nakhleh 2013). These difficulties stem from a high degree of genealogical

discordance among genomic fragments (GF) trees estimated from partitioned genomic data

(e.g., genes or independent genomic fragments) (Peter 2016; Harris and DeGiorgio 2016;

Elworth et al. 2018; Jones 2019).

Theoretical and empirical studies have shown that genealogical incongruences have

three leading causes: incorrect estimation of the gene trees (e.g., caused by insufficient

phylogenetic information, incorrect model specification or intralocus recombination);

incomplete lineage sorting (ILS), found when ancestral polymorphism is persistent between

successive speciation events (see Maddison and Knowles 2006; Oliver 2013); and

introgression between lineages (hybridization) (e.g., Rheindt et al. 2014; Figueiró et al. 2017;

Zhang et al. 2017). While technical issues as in the first problem could, in theory, be

resolved, the latter two reflect the biological reality of evolutionary independence among

recombining, genomic fragments (Hudson 1983; Griffiths and Marjoram 1997). Most

methods used to estimate species trees assume only ILS, ignoring the consequences of

hybridization for phylogenetic reconstruction (Stamatakis 2014; Drummond and Bouckaert

2015). Despite recent progress in developing models that include introgression, such as the

so-called multispecies network models (e.g., Leaché et al. 2014; Wen and Nakhleh 2018),

they continue to present several limitations, in particular when dealing with more than a few

species (Degnam 2018). Consequently, resolving relationships among species that radiated

rapidly and putatively underwent both ILS and hybridization has proven challenging

(Chakrabarty et al. 2017; Esselstyn et al. 2017; Reddy et al. 2017).

The difficulty in establishing phylogenetic consensus (see below) and evidence for

current hybridization (Lancaster et al. 2006) make the otariids a compelling test case to assess

the relative impact of ancestral polymorphism and introgression on phylogenetic

reconstruction during rapid diversification. There are 15 extant species of fur seals and sea

lions within the Otariidae (Berta et al. 2018) with some uncertainty regarding the taxonomic

status of species such as *Arctocephalus philippii* and *A. townsendi* (see Committee on

Taxonomy of the Society for Marine Mammalogy 2020 for details, see Repenning et al.

1971; Yonezawa et al. 2009; Berta and Churchill 2012; Churchill et al. 2014, Berta et al.

2018). The initial diversification of the main lineages of Otariidae occurred around 11

(Yonezawa et al. 2009) to 9 Ma (Berta et al. 2018, Nyakatura and Bininda-Emonds 2014, this

study). On the other hand, species in the disputed genus *Arctocephalus* (see below) emerged

during a near-simultaneous succession of cladogenetic events within less than 0.5 Ma (Berta

et al. 2018; this study) corresponding to approximately 2.5 Ne generations (estimated from

data in Suppl. Table 3 of Peart et al. 2020). During such a short period, lineage sorting is

expected to be incomplete (Hudson et al. 2003; Rosenberg et al. 2003; Mugal et al. 2020)

with putative events of hybridization occurring, which makes this group particularly suited to

investigate the underpinnings of gene tree species tree discordance.

Otariids occur in the North Pacific Ocean and Southern Hemisphere and are found from

tropical waters in the eastern Pacific to polar regions (Churchill et al. 2014; Berta et al. 2018).

Although the systematics and phylogeny of the family have been extensively studied for over

100 years (Sclater 1897; Scheffer 1958; Wynen et al. 2001; Deméré et al. 2003; Árnason et

al. 2006; Yonezawa et al. 2009; Berta and Churchill 2012; Nyakatura and Bininda-Emonds

2012; Churchill et al. 2014; Berta et al. 2018), several relationships, in particular those within

Arctocephalus, the most diverse (eight species) otariid genus, remain unclear (Yonezawa et

al. 2009; Berta and Churchill 2012). For example, older studies based on morphology

suggested grouping the fur seals (*Callorhinus ursinus* and *Arctocephalus* spp.) in the

Arctocephalinae, which are characterized by small body size and thick pelage, and the sea

lions in the Otariinae, which are characterized by larger body size and reliance on blubber

rather than fur for thermal insulation (Berta and Demeré 1986; see review in Berta et al.

2018). However, more recent studies that used a combination of a few mitochondrial or

nuclear genes and morphological data did not support these subfamilies (e.g., Yonezawa et al.

2009; Berta and Churchill et al. 2012; Churchill et al. 2014; Nyakatura and Bininda-Emonds

2014; Berta et al. 2018). Most of these phylogenies grouped the Southern Hemisphere

otariids (i.e., *Otaria, Neophoca, Phocarctos,* and *Arctocephalus*) in the so-called southern

clade, which is considered the sister clade of the sea lions of the Northern Hemisphere (i.e.,

Zalophus and *Eumetopias*) (Yonezawa et al. 2009, Churchill et al. 2014).

Another major difference between studies concerns the monophyly of *Arctocephalus*.

A combined phylogeny produced by analyzing published morphological and molecular data

reported *Arctocephalus sensu lato* as paraphyletic (Berta and Churchill 2012), restricting the

genus to the type species *Arctocephalus pusillus*, and assigning the remaining species to

Arctophoca. Other authors proposed that the use of *Arctophoca* was premature because of the

remaining uncertainties surrounding the phylogenetic relationships in the group (e.g.,

Nyakatura and Bininda-Emonds 2014). Subsequently, the Committee on Taxonomy of the

Society for Marine Mammalogy, which initially supported the proposal of Berta and

Churchill (2012), adopted the conservative use of *Arctocephalus sensu lato* for all southern

fur seals pending further studies (Committee on Taxonomy 2020). In short, there seemed to

be no two identical phylogenies for the family and no explanation for the high level of

discordance between studies.

The divergence times and biogeography within the Otariidae also present

uncertainties, given the disagreement between studies. The most recent biogeographical

studies (e.g., Yonezawa et al. 2009; Churchill et al. 2014) agree on a North Pacific origin for

Otariidae and support the hypothesis of one primary trans-equatorial dispersal event into the

eastern South Pacific Ocean, that gave rise to the Southern Hemisphere clade (see Churchill

et al. 2014). It has been estimated that this dispersal event and the diversification of the

southern clade occurred at ~7 - 6 Ma (Yonezawa et al. 2009, Churchill et al. 2014, Berta et al.

2018). The more recent diversification within *Arctocephalus* may have occurred 4-3 Ma

(Nyakatura and Bininda-Emonds 2012) or as recently as <1 Ma (Berta et al. 2018).

In this study, we used whole genome sequence data to investigate the phylogenetic

relationships and estimate the divergence times of Otariidae species. We used several

phylogenomic approaches, including multispecies coalescent models, to clarify most of the

unresolved issues in the evolutionary history of Otariidae. We also investigated the main

factors responsible for the high level of topological incongruences within the family, finding

they were caused by rampant incomplete lineage sorting and some introgression events.

MATERIAL AND METHODS

Sample Collection and Genome Sequencing

Skin samples from nine otariid species (Table 1) were collected from live or fresh

carcasses found ashore. Piglet ear notch pliers were used to extract ~0.5 cm³ skin samples.

The samples were stored in ethanol 70% and cryo-preserved at -20 ºC. Genomic DNA

extractions were carried out with DNeasy Tissue Kit (Qiagen) following the manufacturer's

protocol.

We sequenced the whole genome of one individual from seven species of

Arctocephalus and two other monospecific genera (*Phocarctos* and *Otaria*) (Table 1 and

Supplementary Table S1 available on Dryad at https://doi:10.5061/dryad.pzgmsbchw).

Genomic libraries were prepared with Illumina DNA PCR-free or TruSeq Nano kits with an

insert size of 350 bp, and two libraries were sequenced (PE150) per lane on the Illumina

HiSeq X platform. Raw genome reads from *Arctocephalus gazella*, *Zalophus wollebaeki*,

Zalophus californianus, *Eumetopias jubatus*, and *Callorhinus ursinus* (Table 1 and

Supplementary Table S1) were retrieved from the NCBI Sequencing Read Archive

(https://www.ncbi.nlm.nih.gov/sra). We used the genome of the walrus, *Odobenus rosmarus*

(ANOP00000000 - Foote et al. 2015) as the reference for mapping and as the outgroup for

most analyses. Since we had already started several analyses before genome-wide data from

C. ursinus, *E. jubatus,* and *Z. californianus* were available, we did not include them in some

less critical but time-consuming analyses.

Our study included 14 of the 15 extant Otariidae species (all *Arctocephalus*,

Phocarctos, *Otaria*, *Zalophus, Eumetopias,* and *Callorhinus*). *Neophoca cinerea* was not

included in our study. However, its position as the sister species of *P. hookeri* is

uncontentious (see Yonezawa et al. 2009; Berta and Churchill 2012; Nyakatura and Bininda-

Emonds 2012; Berta et al. 2018).

Sequencing quality control was performed using FastQC (Andrews 2010). Reads were

trimmed for vestigial adapters, mapped against the *O. rosmarus* genome and locally realigned

using the bam_pipeline implemented on PALEOMIX 1.2.13.2 (Schubert et al. 2014). Reads

with length-size <100 bp and Phred-score <30 were filtered out by AdapterRemoval v2

(Schubert et al. 2016); the remaining paired-end reads were mapped using BWA 0.7.17 (Li

and Durbin 2009) and the -mem algorithm. Paired-end reads with mapping quality Phred-

score <20, unmapped reads and single-reads were discarded from the downstream pipeline

and reads that were sequenced more than two or less than one standard deviations from the

average of coverage of each genome (Supplementary Table S2) were not used in the analyses

(Arnold et al. 2013; Gautier et al. 2013). PCR duplicates were detected and removed by

Picard Tools 2.18.5 (broadinstitute.github.io/picard/), and miscalling indels were locally

realigned by GATK 3.8 (McKenna et al. 2010).

Consensus, Alignments and SNP Calling

Consensus sequences of all genomes were generated with ANGSD 0.921 (Korneliussen
et al. 2014) using the parameters *doFasta 2*, *doCounts1,* and *explode 1*. Single-nucleotide
polymorphisms (SNPs) were called following the filters: *uniqueOnly 1*, *remove_bads 1*,
only_proper_pairs 1, *C 50*, *baq 1*, *setMinDepth* 140, *setMaxDepth 1400*, *setMinDepthInd 5*,
setMaxDepthInd 100, *doCounts 1*, *GL 1*, *doMajorMinor 1*, *SNP_pval 1e-3*, *doGeno 32*,
doPost 1, doPlink2. After the SNP calling, a PLINK variant panel was converted to VCF
format with Plink 1.9 (Chang et al. 2015). The VCF file did not contain SNPs from the
walrus genome. We removed all information of repetitive, coding, and transposons present in
the General Feature Format File of *O. rosmarus* genome with BEDTools 2.27.0 maskfasta
option (Quinlan and Hall 2010).

Phylogenetic Information, Phylogenomic Analyses, and Genealogical Discordance
Estimation

We first estimated relationships between species using the full sequence data set. A
whole-genome maximum-likelihood (ML) tree was inferred with RAxML-NG-MPI (Kozlov
et al. 2019) directly from the SNP panel using the HKY substitution model inferred with
ModelTest-NG, 100 bootstrap replicates and *C. ursinus* as the outgroup. We also used the
VCF2Dis script (github.com/BGI-shenzhen) to estimate the p-distance matrix from the VCF
file, followed by a neighbor-joining tree with PHYLIP 3.697 (Felsenstein 1989).
Additionally, we estimated ML trees for each alignment of the ten largest scaffolds with
RAxML-HPC-PTHREADS 8.2 (Stamatakis 2014) using GTR+G (best-fit substitution model
as estimated by ModelTest-NG for all the largest scaffolds, Darriba et al. 2019) and 100
bootstrap replicates.

Next, we estimated phylogenies using smaller segments partitioning scaffolds into sets

of smaller nonoverlapping genomic fragments (GFs) of 10, 20, 50, 80, 100, and 200 kilobases

(kb) in length. To reduce the effect of linkage disequilibrium between GFs, they were

separated by 100 kb, regardless of window size, following Humble et al. (2018)

demonstrating low levels of linkage disequilibrium ($r^2 \sim 0.05$) at this physical distance in the

Antarctic fur seal. Several filters were used: scaffolds smaller than the GF partition size were

excluded; sites with more than 20% of missing data were removed with trimAl v1.4 (Capella-

Gutierrez et al. 2009); alignments smaller than half of the original alignment size were also

discarded. To reduce the effect of intra-fragment genetic recombination on the phylogenetic

estimation, we used the software 3Seq on *full run mode* (Lam et al. 2017). We removed the

alignments with evidence of recombination at a *p-value* <0.01 after Bonferroni correction

(Rice 1989). To test the effect in quantification of the genealogical discordance (see below)

of both the spacing of GFs by 100 kb and of the 3Seq filtering for recombination, we

generated additionally datasets (only 50 kb GFs): with no 3Seq filtering (i.e. with all GFs)

and without the 100 kb spacing (i.e. contiguous GFs).

To assess the amount of genetic information content on GFs, we randomly sampled

10,000 GFs of 50 kb and used the AMAS tool (Borowiec 2016) to count the number of

parsimony-informative sites in these alignments and the number of differences between two

closely related fur seals (*A. australis* and *A. galapagoensis*). Finally, we reconstructed ML

trees with RAxML-HPC-PTHREADS 8.2 for each GF that passed by the mentioned filters in

all GF partitions (10 to 200 kb) using the same parameters as above.

To quantify the genealogical discordance throughout genomes, we counted the

frequency of each topology with Newick Utilities 1.1 (Junier and Zdobnov 2010) for each set

of GF trees by using the sub-programs nw_topology and nw_order in a pipeline. We also

estimated the gene concordance factor (gCF) and the site concordance factor (sCF) (Minh et

al. 2018) implemented in IQ-TREE 1.7 (Nguyen et al. 2015) as a complement to standard

measures of branch support (in this case bootstrap) and to quantify the disagreement among

loci and sites in our phylogenomic dataset. The gCF is the percentage of decisive GF trees

showing a particular branch from a species tree, while sCF is the percentage of decisive

alignment sites supporting a branch in the reference tree when individual gene alignments are

relatively uninformative (Minh et al. 2018). The estimation of gCF and sCF followed three

steps. First, in IQ-TREE, the species phylogeny used as reference was recovered based on all

(10,806) GFs of 50 kb concatenated, the edge-linked proportional partition model and 1,000

replicates of ultrafast bootstraping. Second, using a maximum-likelihood approach and

substitution models inferred for each locus, GF trees were estimated from each genomic

fragment. Then, gCF and sCF were computed across all nodes of the generated species tree

and GF trees. The outputs were visualized with the support of the R script available on

http://www.robertlanfear.com/blog/files/concordance_factors.html

Species Tree Estimation

Two methods were used to reconstruct the species tree from multiple GF trees. First, all

GFs ML trees were used to estimate a maximum quartet support species tree with the

multispecies coalescent model (MSC) of ASTRAL-III (Zhang et al. 2018) by applying the

exact search method. Second, we estimated the species tree and divergence times with the

Bayesian Inference method StarBEAST2 implemented in the BEAST 2.5.2 package

(Rambaut and Drummond 2010; Bouckaert et al. 2014; Ogilvie et al. 2017). Since this

Bayesian analysis is very time-consuming and the ASTRAL species trees of all GF datasets,

except the 10 kb GF, were identical (see Results), we used 300 randomly selected GFs from

the 50 kb dataset. The main priors used were: linked clock models, constant population sizes,

the HKY substitution model with empirical base frequencies, an estimated six gamma

categories site model, and the Yule Tree model. To estimate divergence times, we used a

strict molecular clock as a prior with a lognormal distribution and a standard mammalian

genomic mutation rate of 1×10^{-8} bp^{-1} gen^{-1} (Kumar and Subramanian 2001; Peart et al. 2020),

with a large standard deviation of 0.4 (5% and 95% quantiles of 4×10^{-9} and 4×10^{-8} bp^{-1}

gen^{-1}, respectively) to account for other rates found in the literature. We assumed a generation

time of 10 years based on generation time estimates published by the IUCN (IUCN, 2017) as

compiled in Peart et al. (2020) for a subset of the species considered here. We also added two

calibration points in the phylogeny. One was at the origin of the *Arctocephalus* spp. clade,

based on the age of the oldest *Arctocephalus* fossil record (*Arctocephalus* sp. nov. -

Varswater Formation of South Africa), which constrained the origin of this group to a lower

bound of 2.7 Ma (Avery and Klein 2011), since the incomplete and imperfect nature of the

fossil records only provides evidence for the minimum age of a clade (Benton and Ayala

2003). The second was the date of the root, which we set as a normal prior with a mean of 20

Ma (± 3.0) in the divergence between Otariidae and Odobenidae (Yonezawa et al. 2009;

Nyakatura and Bininda-Emonds 2012). We ran a Bayesian Markov Chain Monte Carlo

(MCMC) of 500,000,000 steps sampled each 20,000 with a burn-in of 10%. To test

underestimation of the internal branches due to possible undetected hybridizations (Leaché et

al. 2014, Elworth et al. 2019), we also estimated a StarBEAST2 species tree using only the

GFs of 50 kb whose ML tree topology was identical to our main species tree (see results)

using the same parameters as above. We checked the MCMC runs with Tracer 1.7 (Rambaut

and Drummond 2007).

As an additional estimation of divergence times, the species tree topology (recovered

by ASTRAL-III and StarBEAST2) was used as input in the Bayesian species tree estimation

of the BP&P program (Ziheng 2015; Flouri et al. 2018). We used the same 300 GFs of 50 kb

applied in the initial StarBEAST analysis, and the following parameters: an MCMC chain of

2,000,000 replicates with burn-in of 200,000, a theta prior of 0.01 and a tau prior of 0.02. The

theta prior specifies the inverse-gamma prior, the number of differences per kb, and the tau

specifies the divergence time parameter for the root. For this analysis, the divergence times

were calibrated based on the age of the root as above (Yonezawa et al. 2009; Nyakatura and

Bininda-Emonds 2012). All trees were visualized and edited for clarity on FigTree 1.4.4

(Rambaut 2017) or Dendroscope 3 (Huson and Scornavacca 2012).

Simulation of Genomic Fragments Trees from Assuming a Known Species Tree

To test if the high level of topological discordances between trees from the GFs could

be explained by ILS alone, we simulated 10,000 GF trees under a multispecies coalescent

framework implemented in the function sim.coaltree.sp in the R phylogenetic package

Phybase (Liu and Yu 2010). As input for the simulations, we used our species tree as

estimated by StarBEAST2, which besides the topology, also estimated the branch lengths and

effective population sizes (*dmv* parameter in the StarBEAST2 species tree) for all internal

and terminal branches. Note that both the estimation of the species tree by StarBEAST2 and

the GF trees simulated allowed the occurrence of ILS. We then tabulated the frequency of

the tree topologies and calculated the linear Pearson's correlation between the simulated and

empirical frequency distribution (following Wang et al. 2018).

Mitochondrial Genome Phylogeny

We obtained the mitochondrial genomes of the fur seals and sea lions by mapping all

reads with PALEOMIX 1.2.13.2, using the parameters reported above for the nuclear

genomes, against a mitochondrial genome (mtDNA) available on GenBank (*A. townsendi* -

NC008420). In order to validate the recovered mtDNAs, we assembled and aligned the

generated sequences with those published on GenBank. After the alignment step, the

mitochondrial control region was excluded. An mtDNA Bayesian phylogenetic tree was

estimated with BEAST 2.5.2 package with the parameters: Yule Tree Model prior; GTR

substitution model with four gamma categories (estimated with ModelTest-NG); and the

Uncorrelated Lognormal Clock Model with lognormal distribution with a mean substitution

rate of 2% site^{-1} million year^{-1} (Nabholz et al. 2007) and a standard deviation of 0.8.

Introgression Between Species

Within the Dsuite package, we used the program Dtrios (Malinski 2019) and jackknife

blocks to infer *D* statistics (also called ABBA-BABA test). This analysis compares the

distribution of ancestral (A) and derived (B) sites in a four-taxa asymmetric phylogeny (((P1,

P2), P3), O) with P1 to P3 being ingroups and O being the outgroup. Under the null

hypothesis that P1 and P2 descend from an ancestor that diverged at an earlier time from the

ancestral population of P3, derived alleles B should be found equally often in P1 and P2.

Consequently, GF trees following allelic ABBA or BABA relationships should be equally

likely for incompletely sorted ancestral polymorphism. Gene flow between P2 and P3 will

lead to an excess of ABBA patterns reflected in a positive *D*-statistic, gene flow between P1

and P3 to a surplus of BABA patterns reflected in a negative *D*-statistic (Durand et al. 2011).

In the Dsuite package, P1 and P2 are ordered so that nABBA >= nBABA and, consequently,

is never negative. Statistical significance for a deviation of the *D*-statistic from zero was

assessed by calculating Z-scores and their associated p-values by the standard block-

jackknife procedure (Durand et al. 2011), using p-value < 0.05 as an indication for a possible

signal of introgression. To take into account the multiple testing problem, the p-values were

adjusted by the Bonferroni correction (Malinski 2019). The Dtrios program orders each trio

of taxa by assuming that the correct tree is the one where the BBAA pattern is more common

than the discordant ABBA and BABA patterns, which are assumed to be introgressed loci.

We also estimated the f_3 and f_4-statistics (Patterson et al. 2012) in threepop and fourpop

modules, respectively, of *TreeMix* package (Pickrell and Pritchard 2012; Harris and

DeGiorgio 2016). The f_3-statistics explicitly tests whether a taxon of interest *C* is the result of

admixture between two other taxa *A* and *B* considering the product of allelic differentials

between populations (c-a)(c-b): negative values suggest that allele frequencies c are

intermediate at many positions, which is consistent with a history of admixture while positive

values are not evidence against admixture. F_4-statistics use unrooted four-population

phylogenies to visualize shared genetic drift among taxa. For a f_4 ((A,B),(C,D)) topology

without invoking admixture the allele frequency difference between A and B (a-b) and

between C and D (c-d) should be unrelated and hence results in f_4 =((a-b)(c-d))= 0. A

significantly positive f_4 implies gene flow between A and C, or B and D. Otherwise, a

significantly negative value implies gene flow between A and D, or B and C. Significant f_4

values may also be interpreted as a rejection of the given topology (Peter 2016; Zhenge and

Janke 2018). The significance of f_3 and f_4-statistics is based on the Z-score and was calculated

over 872 jackknife blocks of 50,000 SNPs. Significantly positive (Z > 3) and significantly

negative (Z < -3) values, after Bonferroni correction, reject the null hypothesis. We plotted

the distribution of f_4-values with the function f4stats from admixturegraph (Leppälä et al.

2017), an R package.

We also used the newly developed QuIBL approach (Quantifying Introgression via

Branch Lengths - Edelman et al. 2019), a statistical framework to estimate the number of

discordant loci in a set of GF trees that reflect introgression events or ILS alone. Unlike *D*

and *f*-statistics, a QuIBL analysis does not rely on topology imbalances but instead uses the

distribution of internal branch lengths and calculates the likelihood that the discordant GF

tree for a given region is due to introgression rather than ILS (Edelman et al. 2019). To

distinguish whether the regions with local topologies discordant from the species tree were

more likely to introgression or ILS, we used a Bayesian information criterion (BIC) test with

a strict cutoff of dBIC <-10 to accept the ILS+introgression model as a better fit for the data,

as suggested by the authors (Edelman et al. 2019). For this analysis, we used the GF trees

generated from the partition of 50 kb. Since the analysis with 15 taxa (14 Otariidae plus

Odobenus) is time-consuming, we used every other topology (5,454 GF trees) from the full

dataset of 10,908 topologies. We used *O. rosmarus* as outgroup and our species tree

estimated above with QuIBL default parameters as recommended by the authors (Edelman et

al. 2019).

RESULTS

Fourteen sequenced otariid genomes, including nine fur seals and five sea lion species

(Table 1), were mapped on the walrus genome with an average coverage of 27.79X ($\pm$

12.07X) (see Supplementary Table S2). The largest scaffold was 231.63 million bases (Mb),

and the ten largest scaffolds summed-up to around 1.5 Gb, ~62% of the reference genome

(2.4 Gb). Repetitive regions in the reference genome were masked in the consensus genomes

(~40% of the reference genome), resulting in a high-quality non-repetitive alignment of ~1.1

Gb for further analyses. After filtering (removing masked regions, missing data, genomic

fragments (GFs) with less than 50% of the original information, and those with the signal of

intra-locus recombination), we obtained between 14,075 (with 10 kb) and 5,701 (with 200

kb) GFs a minimum of 100 kb apart from each other for the GF trees analyses (Table 2).

The Bayesian species tree (estimated with StarBEAST2 using 300 GF of 50 kb) (Fig.

1), the ASTRAL-III species trees (from thousands of ML trees using GFs ranging from 20kb

to 200 kb) (Supplementary Fig. S1), the ML trees of eight of the ten largest scaffolds

(Supplementary Fig. S2), the ML whole-genome tree (Supplementary Fig. S3), and the NJ

tree (estimated using the genetic distances among the whole genomes, Supplementary Fig.

S4) all resulted in the same tree topology with high support for most or all branches, hereafter

named as the Otariidae species tree.

This species tree strongly supports the existence of a Southern Hemisphere clade (see

Churchill et al. 2014), the monophyly of the genus *Arctocephalus* and its close relationship to

P. hookeri and *O. flavescens*. The clade of *Z. californianus + E. jubatus + Z. wollebaeki,*

Northern species with the southernmost range reaching the equator, was more distantly

related to the Southern clade. *C. ursinus,* also a Northern hemispheric species, is sister to all

other otariids (Fig. 1b). Surprisingly, *E. jubatus* and *Z. californianus* grouped as sister

species, and *Z. wollebaeki* as sister to them. Within *Arctocephalus*, there were four main

lineages: *A. pusillus + A. tropicalis; A. phillippii + A. townsendi; A. gazella* and the clade

comprised of *A. forsteri + A. galapagoensis + A. australis* (Fig. 1b). Only three alternative

topologies were found in these analyses, one in which *P. hookeri* and the *A. tropicalis + A.*

pusillus clade switched position (ASTRAL-III with GFs 10 kb, Supplementary Fig. S1) and

two in which *A. gazella* was found at two different positions within *Arctocephalus* (found in

two ML scaffold trees) (Supplementary Fig. S2).

The phylogeny of the mitochondrial genomes (Supplementary Figs. S5 and S6) was

similar to the species tree, with high posterior probabilities for most nodes and only two

differences: (1) the switched position between *P. hookeri* and the *A. tropicalis + A. pusillus*

clade, as in the ASTRAL species tree of 10 kb GFs, and 2) the sister relationship of *A.*

australis with *A. forsteri*, instead of with *A. galapagoensis*. The time scales differ, the

mtDNA phylogeny divergences were more recent, especially for *C. ursinus* and the northern

clade, and the southern clade diversification would have started ~2 Ma and did not occur as

rapidly as found in the nuclear genome species tree.

The species tree divergence times estimated with StarBEAST2 and BP&P were very

similar (Fig. 1b and Supplementary Fig. S7). The divergence between walrus and the

Otariidae was 19.4 Ma (95% confidence interval (CI) = 17.2 - 23.2 Ma), and within the

Otariidae, *C. ursinus* diverged ~9.1 Ma (95% CI = 8.1 – 10.9 Ma) followed by the clade *Z.*

wollebaeki + Z. californianus + E. jubatus at 5.4 Ma (95% CI = 4.8 - 6.4 Mya). After that,

around the Pliocene to Pleistocene transition, six lineages diverged almost simultaneously

(between ~3 and 2.5 Ma), originating in order: *O. flavescens*, *P. hookeri*, and the four main

Arctocephalus lineages described above. Specifically, *Arctocephalus* diversification began

~2.8 Ma (95% CI = 2.5 - 3.3), the divergence times between *A. pusillus* + *A. tropicalis* and

between *A. forsteri* + *A. australis* + *A. galapagoensis* were very similar at, ~1.2 Ma (95% CI

= 1.0 - 1.4 and 1.0 - 1.5, respectively). The two groups that diverged more recently were *A.*

phillippii + *A. townsendi* and *A. australis* + *A. galapagoensis*, at 0.6 and 0.5 Ma, respectively

(95% CI = 0.5 - 0.7 and 0.4 - 0.6). The most recent divergence occurred between *Z.*

wollebaeki, Z. californianus, and *E. jubatus* ~0.25 Ma (95% CI = 2.1 – 3.1). The main

difference between StarBEAST2 and BP&P results was that in the latter, the divergence of *A.*

gazella was almost simultaneous with that of *A. forsteri* (~1.2 Ma, Fig. 1 and Supplementary

Figure S7).

Finally, we evaluated whether the almost simultaneous divergence time for the six

lineages estimated in our species tree could be an artifact caused by the underestimation of

divergence times (shortening of internal branch lengths) in methods that do not account for

introgression (Elworth et al. 2019). In this context, we estimated a new StarBEAST2

calibrated species tree using only the 113 GFs of 50 kb whose ML tree topologies were

identical to the species tree. The divergence times of this tree were almost identical to the 300

GFs species tree, in particular, the six nodes related to the explosive radiation

(Supplementary Fig. S7), suggesting these very short divergence times were not artifacts of

unaccounted hybridizations (see discussion).

Genome Fragment Information Content and Phylogenetic Discordance

When the ML phylogeny of each GF was estimated separately, we found thousands

of different topologies in each GF dataset (Supplementary Fig. S8); most occurred just once

or a few times (that is, were estimated from one or a few GFs). The most frequent topology in

the 10 kb dataset occurred in only 45 of the 14,012 GFs (i.e., in ~0.4% of the GF, Table 2).

Although the frequency of the most common topology in each dataset proportionally

increased with the size of the GFs (from 10 to 200kb), the most common topology only

comprised ~3.8% of all topologies in even in the 200 kb data set (Table 2 and Supplementary

Fig. S8). A very similar pattern was obtained for the alternative datasets with no filtering for

recombination and without the 100 kb spacing between GFs (Supplementary Table S3). The

Otariidae species tree was the most frequent topology in all datasets except for the 10 kb GF.

Information content was high for all GF size classes. Considering the 50 kb GF as an

example, the mean variable sites between the two closest species (*A. australis* and *A.*

galapagoensis) was ~40, and the mean number of parsimony informative sites in the

alignments ~200 (Supplementary Fig. S9) yielding enough variation to estimate reliable GF

trees.

The IQ-TREE analysis recovered the same species tree topology with the highest

branch support (100) for all nodes (Supplementary Fig. S10). However, the four nodes (nodes

16, 17, 19 and 20) that define the relationships between the six main lineages of the southern

clade that arose almost simultaneously presented very low gene concordance (gCF: 19.9 -

32.2) and site concordance (sCF: 39.2 - 43.7) factors (Supplementary Fig. S9). In contrast,

the other nodes showed much higher values for both statistics. Furthermore, less than 33% of

the 10,806 GF trees supported the species tree for those nodes, but >76% supported the

remaining nodes (Fig. 2).

Hybridization vs. Incomplete Lineage Sorting

We used *D* (ABBA-BABA test), *f3,* and *f4*-statistics to investigate whether there is

evidence of past events of hybridization (genomic introgression) between the species and if

these events could explain the high level of topological discordance found in the southern

clade. No evidence of introgression was found in the f_3-statistics as all values were positive

(not shown). For the ABBA-BABA test, a few *D*-statistics were significant ($p < 0.05$), but all

turn out non-significant after Bonferroni correction (not shown). Otherwise, f_4-statistics

identified many significant (even after Bonferroni correction) sets of shared drift pathways

between the species (Supplementary Figs. S11 and S12) that could be interpreted as signals

of introgression or as supporting an alternative (to the species tree) phylogenetic relationship

between the species considered (Peter 2016; Zhenge and Janke 2018). The strongest signals

($f_4 > 0.01$) supported introgression between *A. australis* and *A. forsteri*. The other significant

f_4 values were very small ($f_4 < 0.001$, Supplementary Figs. S11 and S12). Note that except for

the tests that support introgression between *A. australis* and *A. forsteri* (Supplementary Fig.

S11), all the other significant results could be interpreted as implying an alternative

phylogenetic relationship between the six lineages that diverged almost simultaneously

within the southern clade (see above and Fig. 1, and Supplementary Fig. S7), that is, where

the internal branches were extremely small. Therefore, we next used two approaches to test if

the high level of GF trees discordance (see above) and these f_4-statistics results could be

explained mostly by ILS, not introgression.

First, we used QuIBL analysis in distinguishing between ILS and introgression, which

is thought to be more powerful than previous methods, such as f_4-statistics or the *D* statistics

(Edelman et al. 2019). This method uses the distribution of internal branch lengths to

calculate the likelihood that a given genome fragment shows its GF topology due to

introgression rather than ILS. QuIBL suggested that ILS could explain almost all significant

f_4 results in those clades that emerged almost simultaneously (Supplementary Table S3). It

identified only three significant events of hybridization with similar intensities: between *A.*

forsteri and the ancestor of *A. australis* and *A. galapagoensis*; between the ancestor of *A.*

philippii and *A. townsendi* and *A. gazella*; and between *Z. wollebaeki* and the ancestor of *Z.*

californianus and *E. jubatus* (Fig. 3).

Next, 10,000 GF trees were simulated using a multispecies coalescent model (that

allows ILS but not introgression), whose parameters (the topology, divergence times, and

effective population sizes) were those estimated by the StarBEAST2 species tree (Fig. 1).

The frequency distribution of the simulated GF tree topologies was similar to the observed

distributions, in particular, for the 200 kb GFs partition (Supplementary Fig. S8). The

simulation also presented the species tree as the most frequent topology (Table 2). The

coefficient of correlation between the observed (50 and 200 kb data sets) and simulated

distributions was 0.73 (Supplementary Fig. S13), which was high considering that the

simulated topologies are true GF trees and are not affected by the uncertainties of the

estimation as in the empirical dataset. These results suggest that the high level of GF tree

discordance observed here could mostly be explained by ILS alone rather than by

introgression events.

DISCUSSION

Otariidae Phylogenomics

We present the first whole genome species tree of the Otariidae, which consistently

recovered a phylogeny with high support using several different approaches. Our phylogeny

also resolved uncertainties still prevalent to date in this group, such as the monophyly of

Arctocephalus. The only species for which we could not obtain a genome sequence was

Neophoca cinerea. Although some recent molecular studies support that *N. cinerea* is sister

to *P. hookeri* (e.g., Yonezawa et al. 2009; Nyakatura and Bininda-Emonds 2012), some have

suggested that it may be positioned elsewhere (Deméré and Berta 2003) including a sister

position to all Otariidae except *C. ursinus* (Churchill et al. 2014). Future integration of the

Neophoca genome to the data presented here thus constitutes a critical step to fully resolve

the phylogeny of the Otariidae.

Our results strongly support *C. ursinus* as a sister species to all other Otariidae, which

was also supported by other phylogenetic studies (Wynen et al. 2001; Árnason et al. 2006;

Yonezawa et al. 2009; Nyakatura and Bininda-Emonds 2012; Berta and Churchill 2012;

Churchill et al. 2014), thoroughly refuting the validity of the subfamilies Arctocephalinae and

Otariinae. It is noteworthy that, considering our whole-genome phylogenies and other recent

studies, *Callorhinus* diverged ca. 4 million years before the diversification of the rest of the

family (Yonezawa et al. 2009; Boessenecker 2011; Nyakatura and Bininda-Emonds 2012;

Berta et al. 2018).

Our study results offer robust support for the existence of the Northern Sea Lion clade,

proposed by Churchill et al. (2014), consisting of *Zalophus* and *Eumetopias* (see Fig. 1). This

Northern clade has been recovered in several previous studies (see Berta et al. 2018;

Churchill et al. 2014; Berta and Churchill 2012; Yonezawa et al. 2009; Higdon et al. 2007;

Árnason et al. 2006; Wynen et al. 2001), that also supported the monophyly of *Zalophus*

(Wolf et al. 2007; Yonezawa et al. 2009; Churchill et al. 2014; Berta and Churchill 2012;

Berta et al. 2018). Our analysis, however, recovered an unexpected but fully supported and

close relationship between *E. jubatus* and *Z. californianus* with *Z. wollebaeki* as sister to

them. It should be noted that most previous studies that support the monophyly of *Zalophus*

used a few fragments of mtDNA (Yonezawa et al. 2009; Nyakatura and Bininda-Emonds

2012; Berta and Churchill 2012; Churchill et al. 2014, including the extinct Japanese sea lion

- Wolf et al. 2007). Interestingly, the only study that used exclusively nuclear markers (AFLP

data) found the same non-monophyletic relationship as found here (Dasmahapatra et al.

2009). If this relationship is supported by further studies, a taxonomy change would be

necessary, such as synonymizing *Zalophus* with *Eumetopias*. The introgression we found

between these species (see below) may help to explain their very recent divergence times and

the very short internal branch separating *Z. wollebaeki* from the other two species (Fig. 1b).

Together, our results motivate in-depth genomic-scale studies of this clade revisiting previous

small-scale genetic studies of these species (Wolf et al. 2008; Schramm et al. 2009).

Previous authors had reached no consensus regarding the relationships between

Arctocephalus spp., *P. hookeri*, *O. flavescens*, and *N. cinerea*, which has been called the

southern clade (Churchill et al. 2014), except for a few subgroups within *Arctocephalus*

(Berta et al. 2018). Our dated species trees showed that most of the speciation within the

southern clade was almost simultaneous (Fig. 1b), which could explain the high number of

different phylogenetic relationships found for this group to date. Our analyses based on

genome-wide data provide strong support for this clade, with the South American sea lion (*O.*

flavescens) being the first species to diverge around 3 Mya, followed by the New Zealand sea

lion (*P. hookeri*) and a monophyletic *Arctocephalus*, both at ~2.8 Mya. The genomic data and

the many different phylogenetic approaches we used support monophyly of *Arctocephalus*

and did not support the use of *Arctophoca* as first suggested by Berta and Churchill (2012).

Within *Arctocephalus*, four main lineages originated in fast succession between ~2.8

and 2.5 Ma. The first to diverge was *A. pusillus* + *A. tropicalis*. This position within

Arctocephalus for this clade was also found in several other recent studies (e.g., Berta et al.

2018), although some studies found it to have diverged before *Phocarctos* (e.g., Yonezawa et

al. 2009). The divergence time between the two species was ~1.2 Ma. The next clade to

diverge was the clade with *A. phillippii* + *A. townsendi*, with those species diverging more

recently at ~0.6 Ma. These results were expected since they were reported as sister species in

all previous molecular phylogenies (Yonezawa et al. 2009; Nyakatura and Bininda-Emonds

2012) and are morphologically very similar, with some authors still considering *A. townsendi*

a subspecies of *A. phillippii* (e.g., Committee on Taxonomy 2020 following Berta and

Churchill 2012). Considering the divergence time between these two species, which is similar

or older than that between *A. australis* and *A. galapagoensis*, and their geographic isolation,

we agree with most of the recent literature on their taxonomic status as full species

(Repenning et al. 1971; Higdon et al. 2007; Yonezawa et al. 2009; Nyakatura et al. 2012;

Aurioles-Gamboa 2015; Berta et al. 2018). The grouping of *A. gazella* with the *A. forsteri* +

A. australis + *A. galapagoensis* clade was found in some recent studies (e.g., Yonezawa et al.

2009; Churchill et al. 2014), although *A. gazella* was found in a polytomy with other

Arctocephalus species in most cases (e.g., Yonezawa et al. 2009; Berta et al. 2018) or in other

positions. Finally, the *A. forsteri* + *A. australis* + *A. galapagoensis* clade was also highly

expected, as these species were always closely related in previous phylogenetic studies and,

until around 1970s, these three taxa were considered conspecific (Reppening et al. 1971,

Brunner 2004). Nevertheless, there is a question over the species status of the New Zealand

fur seal (*A. forsteri*), that was also considered a subspecies under *A. australis* (see Berta and

Churchill 2012), and indeed, we found evidence of a low level of introgression between New

Zealand fur seal and the South American fur seal (see below). However, we support *A.*

forsteri as a full species based on the same arguments mentioned above for *A. phillippii* and

A. townsendi, also considering that they diverged from *A. australis + A. galapagoensis* more

than 1 Ma.

The placement of *P. hookeri* and *A. tropicalis + A. pusillus* were switched in the

phylogeny obtained from mitochondrial genomes (Supplementary Figs. S5 and S6). This

helps to explain why most of the previous molecular studies recovered a non-monophyletic

Arctocephalus, as mtDNA constituted most or all the sequence data used in these studies

(e.g., Árnason et al. 2006; Higdon et al. 2007; Wolf et al. 2007; Yonezawa et al. 2009;

Churchill et al. 2014; Berta et al. 2018). The position of *A. forsteri* as sister species of *A.*

australis in our mtDNA tree instead of *A. galapagoensis*, as in our nuclear genome species

tree, is also observed in other mtDNA phylogenies (e.g., Wynen et al. 2001; Yonezawa et al.

2009). However, studies with mtDNA sequences from multiple individuals from *A. forsteri*

and *A. australis* found several lineages in each species that are intermixed (e.g., Yonezawa et

al. 2009). This complex picture, in particular the intermixing of lineages, could be explained

by ILS since the grouping of *A. australis* and *A. forsteri* occurred in ~0.8% of the simulated

trees. However, introgression could also have played a role in the history of this group, as the

QuIBL analysis and the f_4-statistics (Fig. 3 and Supplementary Fig. S11) indicated significant

admixture between *A. australis* and *A. forsteri* (see below). Intermixing of individuals of *A.*

australis and *A. galapagoensis* has likewise been reported for mtDNA (Wolf et al. 2007),

further emphasizing that the *A. forsteri/australis/galapagoensis* clade warrants further study.

 Divergence Times and Biogeographical Inferences

Our results agree with most previous divergence time estimates and fossil dating that

supported a North Pacific origin for Otariidae and the split from Odobenidae at ~19 Ma, in

the lower Miocene (Fig. 1 and Supplementary Fig. S7 - Demeré et al. 2003; Árnason et al.

2006; Yonezawa et al. 2009; Nyakatura and Bininda-Emonds 2012; Churchill et al. 2014;

Berta et al. 2018), when early Odobenidae and Otariidae co-occurred (Boessenecker and

Churchill 2015). The divergence of *Callorhinus* at ~9 Ma is also similar to most previous

estimates (e.g., Yonezawa et al. 2009; Nyakatura and Bininda-Emonds 2012), although Berta

et al. (2018) suggested a much older divergence at ~16 Ma. A comparison of our results with

previous divergence times (Yonezawa et al. 2009; Nyakatura and Bininda-Emonds 2012;

Berta et al. 2018) is not straightforward given the differences in topologies. Some significant

points, however, can be made. First, no previous study detected the explosive radiation at the

beginning of the diversification of the southern clade around the Pliocene-Pleistocene

boundary. Second, our estimates of divergence between the northern (*C. ursinus*, *Zalophus*

spp. and *E. jubatus*) and the southern clades (*O. flavescens*, *P. hookeri,* and *Arctocephalus*

spp.) at ~5.3 Ma, and the initial diversification within the northern (~0.25 Ma) and the

southern (3-2.5 Ma) clades are younger than most previous estimates (Yonezawa et al. 2009;

Nyakatura and Bininda-Emonds 2014; Churchill et al. 2014; Berta et al. 2018). As an

extreme example, Berta et al. (2018) estimated the divergence between *Otaria, Phocarctos,*

and *Arctocephalus* at >6 Ma. On the other hand, Berta et al. (2018) estimated that the

diversifications within *Arctocephalus* (except for the *A. pusillus* + *A. tropicalis* clade) are

very recent, <1 Ma. It should be noted that, although we detected possible evidence for three

introgression events with only a moderate extent (~10% of genomic introgression, Fig. 3 and

below), we may have still underestimated some divergence times since the methods used here

did not consider introgression. This may be the case for the very recent speciation times

between the three species of the northern clade that could be underestimated due to past

introgression events (Fig. 3).

Our phylogenomic results broadly agree with a scenario of a relatively recent trans-

equatorial dispersal towards the Southern Hemisphere, likely along the Pacific coast of South

America (see Yonezawa et al. 2009; Churchill et al. 2014, Berta et al. 2018). For a better

understanding of this biogeographical history, we used data such as the age and phylogenetic

position of fossils. In the Southern Hemisphere, most of the otariid fossils date back to the

Pleistocene, with *Hydrarctos* known from sediments of the end of the Pliocene. *Hydrarctos*

(Muizon 1978; Muizon and DeVries 1985; Avery and Klein 2011) is the oldest known otariid

fossil from South America and comes from the Pisco Formation of Peru. However, its

phylogenetic position is uncertain. It has been more consistently placed within the southern

clade due to its morphological similarity with *Arctocephalus* (Muizon 1978), but was also

positioned outside the southern clade as the sister taxon to all extant otariids (Churchill et al.

2014; Berta et al. 2018). Our divergence time estimates do not support the position of

Hydrarctos inside the southern clade since the diversification of the latter group started at ~3

Mya, and the youngest date of the fossil is ~3.9 Ma (it may be as old as ~6.6 Ma, see Muizon

1978; Muizon and DeVries 1985; Churchill et al. 2014). Therefore, *Hydrarctos* is likely a

sister clade to the southern clade or, assuming the oldest dates, may represent an independent

(and extinct) trans-equatorial dispersal towards the west coast of South America that

preceded the one that gave rise to the extant southern clade. *Arctocephalus* sp. nov., a fossil

that belongs to the Varswater Formation of South Africa, was dated between ~2.7-5 Ma

(Avery and Klein 2011), and we used its most recent date as the minimum age for

Arctocephalus in our StarBEAST2 calibrated species tree. Our point estimate in the origin of

Arctocephalus was ~2.8 Ma (95% CI ranging from 2.4 to 3.3 Ma, Fig. 1b), close to the

minimum limit. The divergence times of the species tree reestimated using only the calibrated

point at the root (20 Ma) with BP&P (Supplementary Fig. S7b) resulted in very similar

values, therefore supporting our estimates of diversification of the southern clade dates

between ~3 Mya and 2.5 Ma. However, the occurrence of *Arctocephalus* in South Africa at

this time means that it had already been established in South America before its eastern

dispersal to Africa.

Based on these results, dispersal to the Southern Hemisphere could have occurred

anytime between ~5 Ma, the split of the southern clade from the northern *Zalophus* group,

and ~3 Ma, the beginning of the explosive radiation within the southern clade. However, if

Hydrarctos is considered a member of the southern clade with a minimum age of ~4 Ma, the

southern dispersal could have occurred more than 1 myr before the burst of diversification of

the extant species. At the moment, it is not practical to speculate as to the specific moment of

the trans-equatorial dispersal within this large interval (between ~3 and 5 Ma). There are,

however, environmental conditions within this timeframe that may have facilitated trans-

equatorial dispersal such as lower sea temperatures in the equatorial zone (Churchill et al.

2014) and its concomitant higher ocean primary productivity. The period between the early

Pliocene (~4 Ma) and the mid-Pliocene (~3.5 Ma) was characterized by warm temperatures

(Fig. 1c, Fedorov et al. 2013) and low-productivity waters that likely impeded the trans-

hemispheric dispersal at that time (O'Dea et al. 2012; Fedorov et al. 2013; Churchill et al.

2014). A trans-hemispheric dispersal more recent than estimated in previous studies (>5Ma,

e.g., Yonezawa et al. 2009), and closer to the time of the southern clade diversification (~3

Ma), better explains the absence of otariids in the North Atlantic waters, since the total

closure of the Central American Seaway finished ~3 Ma (O'Dea et al. 2012).

Conversely, the rapid diversification of the southern clade over the Southern

Hemisphere, occurring during a relatively short time interval (between ~3.0-2.5 Ma), may be

more firmly linked to major climatic events. Around 3 Ma, a sharp global cooling started

(Fig. 1c), associated with the beginning of the Northern Hemisphere Glaciation (Fedorov et

al. 2013; Marlow et al. 2000). The environmental changes caused by the concomitant global

cooling during the Plio-Pleistocene transition and the total closure of the Panama Isthmus

would have provided a suitable niche for otariids, driven by the increase of primary

productivity in the Southern Pacific Ocean (O'Dea et al. 2012; Churchill et al. 2014). These

changes may have opened the way for long-distance dispersal events within the Southern

Hemisphere, with the establishment of new colonies and local adaptation to new niches,

facilitating rapid speciation.

Genealogical Discordances, ILS and Introgression

We found a high degree of topological discordance between the trees estimated from

GFs along genomes (including the single locus mtDNA), with many topologies appearing in

only one GF, even in the GFs of 200 kb (Table 2, Supplementary Fig. S8). These results

explain the high degree of discordances among the phylogenies estimated by all previous

studies and why it has been challenging to find a robust classification for the Otariidae based

on a few genes. This high GF tree discordance could not be attributed to a lack of information

in the GFs in general since most internal nodes, both older and recent, had high support

values (e.g., sCF values in Supplementary Figs. S10). Most of the discordance was

concentrated in the four nodes that gave rise to the six main lineages in the southern clade

(Fig. 2 and Supplementary Fig. S10) and their extremely short internal branches. The

explosive radiation (i.e., fast successive speciation events) at the origin of the southern clade

was accompanied by short internal branches increasing the occurrence of ILS (see the small

gCF values at theses nodes in Fig. 2) (Suh et al. 2015).

Topological discordance between genomic regions is not unusual and is being observed

with increasing frequency in recent phylogenomic studies (e.g., Martin et al. 2013; Li et al.

2016; Pease et al. 2016; Árnason et al., 2018; Sun et al. 2020). The sources of topological

discordances are mainly attributed to ILS, as suggested above, and hybridization (Bravo et al.

2019). Recent genomic studies have shown that introgression, mainly inferred with *D-*

statistics or related statistics (e.g., ABBA-BABA, *f3,* and *f4*), is widespread in the history of

several groups (e.g., Pease et al. 2016; Figueiró et al. 2017; Masello et al. 2019). Here, we

suggest that the several rapid successive events of speciation violated the assumptions of the

bifurcating species tree and led to substantially false-positive signals of introgression in *f4*

analysis, since the extremely short internal nodes do not allow this method to distinguish the

true tree from alternative topologies (Durand et al. 2011; Eriksson and Manica 2012;

Malinsky et al. 2018). In similar cases, we suggest replacing *f4*-statistics with methods that

seem more robust to such artifacts, such as the recently developed QuIBL approach (Edelman

et al. 2019). Instead, for a limited number of cases, prominent in the genus *Arctocephalus*,

introgression seems to have contributed to the incongruencies. Considering present-day lack

of firm reproductive barriers between several *Arctocephalus* species (Churchill et al. 2014),

introgression during cladogenesis or shortly thereafter seems indeed plausible.

There have been recent implementations in the phylogenetic algorithms to infer

divergence times that included hybridization in the multispecies coalescent models to

estimate species networks (Zhang et al. 2017; Wen et al. 2018; Wen and Nakhleh 2018; Jones

2019). We have tried to recover a species network using four of these methods: the

SpeciesNetwork (Zhang et al. 2018) and DENIM (Jones 2019), both implemented in

StarBEAST2, and the MCMC_GT and MCMC_SEQ from PhyloNet (Wen et al. 2018). For

these analyses, we used 100 GFs of 1 kb and 5 kb selected among those with more variation

from the 300 GFs of 50 kb used in the StarBEAST2 analyses (Fig. 1b and Supplementary

Fig. S7a). Unfortunately, either the Bayesian estimations did not stabilize even after long runs

(>1 billion steps, DENIM), or we recovered several topologies that differed markedly from

all other main topologies recovered with other methods (SpeciesNetwork and PhyloNet).

Similar inconsistencies have also been found in other studies (e.g., Chen et al. 2019) and

could be related to the high complexity of the models with a higher number of taxa (since

these methods are mostly recommended for use with less than six taxa). The virtual polytomy

between six lineages and the consequent high levels of ILS in our dataset may also have

contributed to the non-stabilization of the analyses.

The relationships within the clade comprising *A. australis*, *A. galapagoensis,* and *A.*

forsteri seem to reflect a complex scenario since we found evidence for both introgression

and ILS between these species. Furthermore, previous studies based on mtDNA have found

the absence of reciprocal monophyly among species (Wynen et al. 2001; Wolf et al. 2007;

Yonezawa et al. 2009) and the possible existence of at least one cryptic species (King 1954;

Repenning et al. 1971; Wynen et al. 2001; Oliveira et al. 2008; Yonezawa et al. 2009;

Oliveira and Brownell 2014). Therefore, this clade needs a more in-depth study, analyzing

samples from several populations.

CONCLUSIONS

1. We used entire genome sequencing for 14 (missing *Neophoca cinerea*) of the extant

15 species of Otariidae to determine the phylogeny of this family and its bearing on its

taxonomy and biogeographical history. Despite extreme topological discordance among GF

trees, we found a fully supported species tree that agrees with the few well-accepted

relationships found in previous studies.

2. Overall, the substantial degree of genealogical discordance was mostly accounted for

by incomplete lineage sorting of ancestral genetic variation, though with a contribution of

introgression in some clades.

3. A relatively recent trans-equatorial dispersal 3 to 2.5 Ma at the base of the southern

clade rapidly diversified into six major lineages. *Otaria* was the first to diverge, followed by

Phocarctos and then four lineages within *Arctocephalus*. This dispersal most likely occurred

along the Pacific coast.

4. We found *Zalophus* and *Eumetopias*, from the northern clade, to be paraphyletic,

with California sea lions (*Z. californianus*) more closely related to Steller sea lions

(*Eumetopias jubatus*) than to Galapagos sea lions (*Z. wollebaeki*). However, the internal

branch separating *Z. wollebaeki* from the other two species is very short, their divergence

times are very recent, and we detected a signal of introgression between these two groups. It

is necessary to conduct a more in-depth study of this clade, with genomic information from

many individuals throughout the species' distributions.

5. Quasi-simultaneous speciation within the southern clade led to extensive incomplete

lineage sorting throughout the genomes, resulting in a high level of genealogical discordance,

which explains the incongruence among and within prior phylogenetic studies of the family.

6. We suggest the use of recently developed methods of QuIBL when rapid successive

events of speciation are detected to quantify events of genomic introgression. In similar

cases, *f4*-statistics can violate the assumptions of the bifurcating species tree, leading to

substantially false-positive signals of introgression.

7. Resolving a long-standing controversy, we found that the genus *Arctocephalus* is

monophyletic, which makes the genus *Arctophoca* a junior synonym of *Arctocephalus*.

SUPPLEMENTARY MATERIAL

- Raw sequencing data is available at NCBI BioProject PRJNA576431

- Data available from the Dryad Digital Repository: doi:10.5061/dryad.pzgmsbchw

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CONFLICT OF INTEREST

807   The authors declare no conflict of interest.

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Legends

Table 1. Whole genome shotgun sequences produced for this study (in bold) and obtained from GenBank digital repository.

Table 2. The ten most frequent topologies for the southern clade estimated with RAxML in each GF dataset and the absolute frequencies of occurrence in the different sets of windows sizes.

Figure 1. (a) Current distribution of fur seals and sea lions obtained from the IUCN Red List (IUCN 2020). *A. galapagoensis* (Agal) and *Z. wollebaeki* (Zwol) have very similar and small distributions and are represented with the same color and an arrow. *A. philippii* (Aphi) is endemic to the Juan Fernández Island, and its distribution is also represented with an arrow. The symbols represent the four sites of the past temperature data in "c." (b) Time calibrated Bayesian species tree estimated with StarBEAST2 using 300 GFs of 50 kb. Blue bars represent the divergence time 95% confidence interval. The vertical gray bar represents the 95% confidence interval of the period of fast diversification of the southern clade. All nodes have the highest posterior density (HPD) = 1 except for the *Arctocephalus* node (HPD = 0.92), shown as an open circle in the phylogeny. (c) The Sea Surface Temperature (SST) temperature data from four sites in the Tropical and Subtropical Pacific eastern Pacific (Fedorov et al. 2013). The vertical gray bar represents the same time interval depicted in the species tree.

Figure 2. Gene concordance factor (gCF) for the nodes (14-23) that support (red bars) the species

tree (bottom right) and the two most common alternative resolutions (gDF1 and gDF2, blue and

green bars, respectively). The yellow bars are the relative frequencies of all other alternative

resolutions. The nodes showing lower concordance factors (16, 17, 19 and 20) represent the

lineages of fast radiation of eared seals in the Southern Hemisphere, with a remarkable number of

alternative resolutions. These nodes are represented with an asterisk in the species tree.

1150

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Figure 3. QuIBL significant results. The table at the left shows three alternative relationships for

each species trio tested (the last of which, in grey type, is the species tree), the number of GF trees

that significantly supported that relationship, and the proportion of these trees that could be

explained by ILS or by alternative explanations (non-ILS, i.e., introgression or the phylogeny

itself). Total non-ILS is the percentage of all GF trees that were introgressed between the pair of

species that are not the outgroup (in the species tree this is explained by the phylogeny). To the

right is the species tree depicting the introgression events supported.

1159

a)

Ejub Curs
Zcal
Atow
Agal
Zwol
Aaus/Ofla
Ofla
Aphi
Aaus/Ofla
Apus
Atro
Apus
Afor
Phoo
Agaz

b)

A. australis
A. galapagoensis
A. forsteri
A. gazella
A. philippii
A. townsendi
A. pusillus
A. tropicalis
P. hookeri
O. flavescens
Z. wollebaeki
Z. californianus
E. jubatus
C. ursinus
O. rosmarus

0.48
1.19
2.49
2.54
2.78
2.80
1.17
0.62
3.03
5.36
0.25
9.11
0.12
19.40

Arctocephalus

Southern Eared Seals

Northern Eared Seals

Miocene | Pliocene | Pleistocene
Neogene | Quaternary

20   15   10   5   0
Time (Ma)

c)

SST (°C)

1012 SST ★
1237 SST ■
1239 SST ●
847 SST ▲

5   4   3   2   1   0
Time (Ma)

Introgression		Outgroup	mixprop1 ILS (%)	mixprop2 non-ILS (%)	Number of Trees	Total non-ILS (%)
Afor	Aaus	Agal	5	95	706	12
Afor	Agal	Aaus	8	92	559	9
Agal	Aaus	Afor	3	97	4222	75
Atow	Afor	Agaz	84	16	1610	0
Atow	Agaz	Afor	70	30	1464	8
Agaz	Afor	Atow	76	24	2413	11
Atow	Agal	Agaz	96	4	1625	0
Atow	Agaz	Agal	70	30	1451	8
Agaz	Agal	Atow	78	22	2411	9
Atow	Aaus	Agaz	92	8	1620	0
Atow	Agaz	Aaus	70	30	1450	8
Agaz	Aaus	Atow	77	23	2417	10
Aphi	Afor	Agaz	85	15	1611	0
Aphi	Agaz	Afor	69	31	1465	8
Agaz	Afor	Aphi	77	23	2411	10
Aphi	Agal	Agaz	97	3	1624	0
Aphi	Agaz	Agal	69	31	1453	8
Agaz	Agal	Aphi	80	20	2410	0
Aphi	Aaus	Agaz	94	6	1621	0
Aphi	Agaz	Aaus	69	31	1451	8
Agaz	Aaus	Aphi	78	22	2415	10
Zwol	Ejub	Zcal	79	21	1302	5
Zwol	Zcal	Ejub	56	44	1385	11
Zcal	Ejub	Zwol	61	39	2800	20