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Fezf2 transient expression via modRNA with concurrent SIRT1 inhibition enhances differentiation of cortical subcerebral / corticospinal neuron identity from mES cells

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21 Abstract

During late embryonic development of the cerebral cortex, the major class of 22 cortical output neurons termed subcerebral projection neurons (SCPN: including the 23 predominant population of corticospinal neurons, CSN) and the class of interhemispheric 24 25 callosal projection neurons (CPN) initially express overlapping molecular controls that 26 later undergo subtype-specific refinements. Such molecular refinements are largely 27 absent in heterogeneous, maturation-stalled, neocortical-like neurons (termed "cortical" 28 here) spontaneously generated by established embryonic stem cell (ES) and induced 29 pluripotent stem cell (iPSC) differentiation. Building on recently identified central molecular controls over SCPN development, we used a combination of synthetic modified 30 mRNA (modRNA) for *Fezf2*, the central transcription factor controlling SCPN specification, 31 32 and small molecule screening to investigate whether distinct chromatin modifiers might complement Fezf2 functions to promote SCPN-specific differentiation by mouse ES 33 34 (mES)-derived cortical-like neurons. We find that the inhibition of a specific histone deacetylase, Sirtuin 1 (SIRT1), enhances refinement of SCPN subtype molecular identity 35 by both mES-derived cortical-like neurons and primary dissociated E12.5 mouse cortical 36 37 neurons. In vivo, we identify that SIRT1 is specifically expressed by CPN, but not SCPN, during late embryonic and postnatal differentiation. Together, these data indicate that 38 39 SIRT1 has neuronal subtype-specific expression in the mouse cortex in vivo, and its 40 inhibition enhances subtype-specific differentiation of highly clinically relevant SCPN / 41 CSN cortical neurons in vitro.

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42 Introduction

43 Subcerebral projection neurons (SCPN) are the broad population of cerebral 44 cortex (cortical) neurons that connect and provide high-level descending control via axonal projections from the neocortex (termed "cortical" here) to distal targets in the 45 brainstem (midbrain, hindbrain) and spinal cord (Molyneaux et al., 2007; Woodworth et 46 al., 2012; Custo Greig et al., 2013). The large subtype of SCPN providing descending 47 48 motor control to the spinal cord (direct or via sensory feedback) are termed corticospinal 49 neurons (CSN), a term often considered to also include cortical neurons projecting to 50 brainstem targets. SCPN are the brain neurons that degenerate in ALS and related motor 51 neuron diseases, and whose injury (in particular, to CSN) is responsible for the loss of voluntary motor function in spinal cord injury (Sances et al., Nat Neurosci. 2016). Early 52 53 and defining molecular features of SCPN include high-level expression of FEZF2 and 54 CTIP2, required for the specification and control of SCPN molecular, cellular, and 55 anatomical identity (Molyneaux et al., 2005; Arlotta et al., 2005; Chen et al., 2005; Ozdinler and Macklis, 2006; Lai et al., 2008; Chen et al., 2008; Shim et al., 2012; 56 Woodworth et al., 2012; Greig et al., 2013; Woodworth et al., 2016). 57

58 Midway through corticogenesis, post-mitotic SCPN identity is initially masked by 59 transient co-expression of regulators of interhemispheric callosal projection neuron (CPN) 60 development, including SATB2 (Alcamo *et al.*, 2008; Britanova *et al.*, 2008; Azim *et al.*, 61 2009; Woodworth *et al.*, 2012; Sadegh and Macklis, 2014; Leone *et al.*, 2015). At later 62 stages of maturation, SCPN discontinue expression of SATB2, and further resolve into 63 diverse subpopulations of FEZF2- and CTIP2-expressing projection neurons with cortical 64 area- and target-specific molecular identities, properties, and functional circuit

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connectivity (Woodworth *et al.*, 2012; Custo Greig *et al.*, 2013; Woodworth *et al.*, 2016;
Greig *et al.*, 2016; Galazo *et al.*, 2016).

67 Multiple epigenetic factors support the post-mitotic identity refinement of contrasting cortical neuron subtypes, such as SCPN and CPN, and enable their 68 maturation (Kishi and Macklis, 2004; MacDonald and Roskams, 2008, 2009; Kishi et al., 69 2012; Yip et al., 2012). For example, SATB2 is a matrix-attachment region (MAR) binding 70 71 protein (Britanova et al., 2005; Gyorgy et al., 2008; Alcamo et al., 2008; Britanova et al., 72 2008) that can mediate long-range interactions of enhancer sites with promoters (Yasui 73 et al., 2002; Cai et al., 2003; Dobreva et al., 2003), and, together with SKI, can recruit the 74 nucleosome remodeling and histone deacetylase (NuRD) complex (Baranek et al., 2012). Moreover, the transcription factors CTIP2 and CTIP1 (BCL11B, BCL11A; Leid et al., 75 76 2004), which are differentially expressed with subtype-specificity in the cortex, and which 77 regulate the precision of SCPN and CPN differentiation (Arlotta et al., 2005; Lai et al., 78 2008; Tomassy et al., 2010; Woodworth et al., 2016; Greig et al., 2016), have also been 79 demonstrated to interact with both the NuRD complex (Topark-Ngarm et al., 2006; 80 Cismasiu et al., 2005) and SIRT1 (Senawong et al., 2003; Senawong et al., 2005) to 81 mediate chromatin remodeling in cells outside of the brain. Together, these reports 82 suggest that chromatin remodeling might contribute to post-mitotic refinement of cortical 83 projection neuron subtypes, particularly in refinement of CTIP2-expressing SCPN from SATB2-/CTIP1-expressing CPN. 84

ES/iPSC-based models of cortical differentiation are emerging as useful tools to investigate roles of chromatin modifications in cortical development (Tiberi *et al.*, 2012; Juliandi *et al.*, 2012). While protocols for directing cortical differentiation from ES/iPSC

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cells in systems ranging from monolayer cultures to organoids have succeeded in 88 replicating some of the molecular characteristics of cortical development (Eiraku et al., 89 90 2008; Gaspard et al., 2008; Michelsen et al., 2015; Lancaster et al., 2013, Arlotta, 2018; 91 Pasca, 2018), the mature refinement of cortical subtypes is incomplete with these protocols; immature neurons become "stalled" at an mid-embryonic developmental stage 92 93 (Sadegh and Macklis, 2014). These data suggest that ES-derived cortical cells are 94 unlikely to have a sufficiently permissive molecular context for the precise refinement of 95 SCPN identity. In vivo, Fezf2 mis-expression in multiple embryonic and early postnatal 96 forebrain progenitor and early post-mitotic neuron populations can redirect their differentiation to SCPN-like identities, suggesting a strategy to potentially circumvent this 97 problem (Molyneaux et al., 2005; Lai et al., 2008; Chen et al., 2008; Rouaux and Arlotta, 98 99 2010; Rouaux and Arlotta, 2013; De la Rossa et al., 2013). However, Fezf2 is regulated 100 by multiple cofactors, including Sox family transcription factors (Lai et al., 2008; Azim et 101 al., 2009; Shim et al., 2012), and, in the absence of a forebrain-specific molecular context 102 at early stages of ES cell differentiation, Fezf2 mis-expression by ES cells does not drive 103 SCPN molecular identity (Wang et al., 2011; Miskinyte et al., 2018; Sadegh, unpublished 104 data).

ES/iPS cell-derived models of neocortical differentiation necessarily bypass precisely orchestrated, spatiotemporal mechanisms of embryonic differentiation (as demonstrated in the analysis of intermediate states of ES cell-derived spinal motor neurons; Briggs *et al.*, 2017), suggesting that, in contrast to established spinal motor neuron differentiation, additional subtype-specific epigenetic modulation might be required for optimal in vitro generation of diverse neocortical neuron subtypes.

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111 We hypothesized that alteration of the chromatin landscape within incompletely 112 specified mES-derived cortical progenitors might promote a permissive molecular context for Fezf2-directed SCPN subtype refinement. To identify candidate chromatin remodeling 113 114 enzymes, we conducted a high-content screen of mouse mES-derived cortical cells using 115 a library of small molecules that modulate known epigenetic enzymes. Mature SCPN 116 refinement was assessed by measuring changes in the ratio of positive (CTIP2) and 117 negative (SATB2, CTIP1) markers of SCPN differentiation. This strategy emphasizes the 118 utility of multiple exclusionary markers to delineate SCPN-specific differentiation among 119 mES-derived cortical progenitors, in contrast to the approach of evaluating for multiple positive markers that are often expressed in immature SCPN-like neurons (Sadegh and 120 121 Macklis, 2014).

122 From this screen, we identify the histone deacetylase Sirtuin1 (SIRT1) as an 123 effective repressor of Fezf2-mediated SCPN molecular refinement. Small molecule 124 inhibitors of SIRT1 (e.g., EX-527, CHIC-35) enhance Fezf2-induced molecular maturation of SCPN by maintaining CTIP2 expression and reducing SATB2 and CTIP1 expression 125 126 by both mES-derived cortical-like neurons and primary dissociated mouse cortical 127 neurons in vitro. We also identify differential refinement of SIRT1 expression in late 128 embryonic cortical neuron subtypes in vivo: elevated SIRT1 expression by CPN, and 129 diminished expression by SCPN. Together, these data identify chromatin remodeling as 130 an important mechanism of cortical subtype refinement both in vivo and for in vitro 131 directed differentiation, and identify a route to enhanced subtype-specific differentiation of developmentally and clinically important cortical neurons from pluripotent stem cells. 132

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134 **Results**

135 ModRNA provides dose- and time-dependent protein expression in mES-derived cells

136 Synthetic modified mRNA (modRNA) enables precision over gene dosage and timing by multiple cell types (Warren et al., 2010). Because modRNA does not integrate 137 into the genome, and has a limited duration of expression (~2 days), modRNA enables 138 transient gene expression without manipulating the genomes of ES-derived cells. We 139 140 tested the feasibility of modRNA transfection in feeder-free E14Tg2a mES cells 141 undergoing an established monolayer protocol of differentiation that generates 142 heterogeneous, maturation-limited, neocortical-like neurons (Gaspard et al., 2008; 143 Gaspard et al., 2009; Sadegh and Macklis, 2014).

144 In agreement with the prior literature, we find a dose-dependent intensity of GFP 145 expression after transfection of mES-derived cells with GFP modRNA. At the peak of 146 pallial-like differentiation at day 14, modRNA-induced GFP expression peaks between 147 12-24 hours, with a sharp reduction of expression by 48 hrs (Figure 1A,B). We also find 148 that modRNA transfection is not biased to a specific neural population; modRNA broadly 149 transfects NESTIN-expressing neural progenitors, TuJ1-expressing immature neurons, 150 and other cells (Figure S1). There is no appreciable change in cell density between 151 conditions, consistent with previously published work (Warren et al., 2010). Importantly, 152 the timing and duration of modRNA expression matches the known kinetics for other 153 proteins and transcription factors (Mandal and Rossi, 2013). These data indicate that 154 modRNA transfection enables dose- and time-dependent gene expression in mES-155 derived cells, including progenitors and neurons.

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157 Transient Fezf2 expression rescues SCPN fate specification in Fezf2^{-/} mice in vivo

158 Prior to using Fezf2 encoded modRNA for transient expression in mES-derived 159 neurons, we first tested the functionality of *Fezf2* modRNA in mice in vivo, using an 160 established Fezf2 null experimental rescue paradigm. In Fezf2 null mice, SCPN do not 161 develop, and their progenitors are re-specified to CPN (Molyneaux et al., 2005; Chen et 162 al., 2005). However, delivering Fezf2 by in utero plasmid electroporation in Fezf2 null 163 mice at E12.5 (when FEZF2 would normally be expressed at a high level) can rescue 164 SCPN specification and their projections to the distal hindbrain (Azim, 2009). Moreover, at E13.5, E15.5, and later ages, Fezf2 mis-expression by plasmid electroporation can 165 166 redirect CPN to acquire most critical features of SCPN identity (Molyneaux et al., 2005; 167 Chen et al., 2008; Rouaux and Arlotta, 2010; Rouaux and Arlotta, 2013; De la Rossa et 168 al., 2013).

169 We hypothesized that, if transient Fezf2 expression delivered by in utero 170 electroporation of a single dose of *Fezf2* modRNA in Fezf2 null mice can rescue SCPN 171 differentiation, then a similar approach to modRNA delivery might effectively direct 172 differentiation of ES-derived pallial-like progenitors in vitro. The extent of in utero GFP 173 modRNA electroporation into the pallium (Figure 1C) is largely limited to the mitotic (Ki67-174 expressing) ventricular zone (Figure 1D). In Fezf2 null mice at E12.5, we find that in utero 175 electroporation of Fezf2 modRNA (with tdTomato plasmid for long-term visualization of 176 axons; Figure 1E,F,G) rescues a subset of SCPN that project beyond the thalamus to the cerebral peduncle (Figure 1H,I), comparable to similarly timed plasmid-mediated 177 178 Fezf2 expression using the same, robust *in utero* electroporation platform (Molyneaux et 179 al., 2005; Arlotta et al., 2005; Chen et al., 2005; Chen et al., 2008; Shim et al., 2012). In

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180	contrast, in utero electroporation of Fezf2 modRNA does not induce SCPN specification
181	at E15.5 (data not shown) when progenitors are no longer producing SCPN. These data
182	suggest that a single, transient dose of Fezf2 is sufficient to rescue Fezf2-null SCPN at
183	E12.5, and that this relatively small dose of Fezf2 modRNA is only functional within the
184	permissive molecular context of E12.5 neocortical progenitors.

185

Transient Fezf2 expression alone does not significantly promote SCPN differentiation by mES-derived neocortical-like neurons

188 We next asked whether Fezf2 modRNA alone can induce SCPN-specific 189 differentiation by mES-derived neocortical-like cells (Gaspard et al., 2008; Gaspard et al., 190 2009; Sadegh and Macklis, 2014). Because these previously established protocols of 191 monolayer differentiation generate limited quantities of mES-derived neocortical neurons, 192 we used randomized, automated imaging (at 20x magnification, on approximately 40 193 fields per well; ~5,000 cells), to count sufficient numbers of neocortical-like neurons for 194 these analyses. A high threshold for positive antibody labeling was manually established 195 because populations of mES-derived neurons express a continuum of transcription factor 196 labeling intensities, in striking contrast to populations of primary dissociated E15.5 mouse 197 neocortical neurons, which typically display distinct trimodal labeling (negative, low 198 expression, high expression; see Methods for details). Normally, induction of CTIP2 199 expression occurs within 48 hrs of *Fezf2* plasmid expression. However, 48 hrs after Fezf2 200 modRNA transfection in mES-derived neocortical cells at in vitro day 18, the total 201 numbers of either CTIP2- or SATB2-expressing neocortical neurons are not increased 202 (Figure S2A). This result is not surprising given the heterogeneity and immaturity of

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203 neocortical-like neurons in this established protocol of ES cell culture (Sadegh and204 Macklis, 2014).

205 We hypothesized that, rather than broadly promoting CTIP2 expression in non-206 neocortical-like neurons, a transient dose of Fezf2 modRNA might promote SCPN 207 subtype-specific refinement by only a smaller subset of neocortical-like neurons, perhaps 208 those already "poised" to differentiate further into corticolugal neurons. We developed a 209 metric for delineating SCPN identity refinement in this subset of neocortical-like neurons 210 by guantifying the ratio of neurons that have matured and only express CTIP2 to neurons 211 that remain immature and have overlapping expression of CTIP2 and SATB2. Even by 212 this more nuanced metric of SCPN identify refinement, we find that Fezf2 modRNA 213 expression in mES-derived neocortical cells does not, by itself, significantly increase 214 SCPN subtype differentiation (Figure S2A, B; although there is a trend toward increased 215 SCPN). These data indicate that a single, transient dose of *Fezf2* expression by mES-216 derived neurons is not sufficient to refine SCPN identity given the inappropriate molecular 217 context of heterogeneous and maturation-stalled mES-derived neocortical-like neurons 218 (Sadegh and Macklis, 2014), suggesting that additional, potentially complementary 219 manipulations are needed to direct SCPN differentiation.

220

221 Small molecule screening of mES-derived neocortical-like neurons identifies SIRT1

We next asked whether remodeling the chromatin landscape might enable a higher proportion of neocortical-like neurons to respond to *Fezf2*-mediated SCPN subtype refinement. To address this question, we designed an approach combining small molecule screening with transient *Fezf2* induction: 1) directed mES cell differentiation to

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day 14 neocortical-like progenitors, 2) addition of a small molecule library and incubation 226 227 for four days to precondition the cells to a more permissive epigenetic landscape, 3) Fezf2 modRNA transfection and incubation for two days to direct SCPN subtype refinement, 228 and 4) immunohistochemical assessment using multiple exclusionary markers to 229 230 evaluate the extent of SCPN identity refinement (Figure S3A). We designed a custom 231 library of eighty small molecules modulating known epigenetic enzymes, with targets 232 including histone deacetylases, methyltransferases, and kinases (Figure S3B). Using 233 automated confocal imaging, cell segmentation, and threshold analyses, we guantified 234 the expression of both CTIP2 and SATB2 by individual neurons (Figure S3C,D).

235 We used multiple selection criteria to identify leading candidates. In the first assay, 236 we found that multiple Sirtuin modulators can either enhance or diminish Fezf2-mediated 237 subtype refinement, as indicated by our metric of SCPN identity refinement, which is the 238 ratio of maturing CTIP2⁺/SATB2⁻ neurons to relatively immature CTIP2⁺/SATB2⁺ double-239 positive neurons (Figure 2A). Focusing on small molecules that might enhance Fezf2mediated refinement of CTIP2⁺/SATB2⁻ expression, we then asked which small 240 241 molecules globally increase the total number of CTIP2 expressing neurons, relative to 242 *Fezf2* modRNA induction alone (**Figure 2B**). In a third level assay, we tested a smaller 243 group of leading candidate small molecules for their ability to either maintain or decrease 244 the total number of SATB2 expressing neurons compared with Fezf2 induction alone 245 (Figure 2C). Optimized by these stringent criteria and given the prevalence of candidates 246 independently targeting the same Sirtuin pathway, we chose the SIRT1 inhibitor EX-527 247 as a leading candidate to enhance *Fezf2*-mediated SCPN differentiation. As an internal 248 control, we compared the activity of EX-527 to other Sirtuin inhibitors and activators. Non-

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specific Sirtuin inhibitors (nicotinamide, forskolin, and tenovin-6) do not increase SCPN
 refinement. Reinforcing these results, the SIRT1-specific *activator* (CAY10591) displays
 antagonism to SCPN refinement.

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- 253

53 SIRT1 inhibition refines primary dissociated E12.5 neuron SCPN subtype identity

254 We next asked whether SIRT1 inhibition also promotes SCPN subtype distinction 255 by primary neocortical neurons. In these experiments, Fezf2 was not induced with 256 modRNA, because *Fezf2* is already highly expressed by primary SCPN progenitors. 257 Dissociated E12.5 neocortical neurons were treated with small molecule inhibitors of 258 SIRT1 for six days. We again identified EX-527, and an even more specific SIRT1 259 inhibitor, CHIC-35, as potent enhancers of CTIP2⁺/SATB2⁻ subtype identity refinement (Figure 3A). CHIC-35 is highly SIRT1-specific, with a binding site within the SIRT1 260 261 catalytic cleft that blocks substrate binding (Napper et al., 2005; Zhao et al., 2013). 262 Compared to non-specific inhibitors, both EX-527 and CHIC-35 show selective 263 enhancement of SCPN molecular refinement (Figure 3A). Notably, there was no 264 observable change in the density of cultured cells following small molecule application, 265 which was confirmed by automated cell segmentation analysis demonstrating stable cell 266 counts, sizes, and fluorescence in the imaging wells across conditions.

To further investigate whether SIRT1 inhibition broadly regulates SCPN subtype identity, rather than potentially only downregulating SATB2 expression, we asked whether other subtype-specific refinements occur. CTIP1 is a transcription factor that regulates both subtype- and area-specific identity (Woodworth *et al.*, 2016; Greig *et al.*, 2016). Despite its close homology to CTIP2, CTIP1 is initially co-expressed with CTIP2, but its

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expression later becomes restricted to CPN and corticothalamic projection neurons, becomes excluded from SCPN, and is overall restricted to primary sensory areas. We find that the strategy of small molecule SIRT1 inhibition additionally promotes CTIP2⁺/CTIP1⁻ subtype distinction (**Figure 3B**). Based on both CTIP2⁺/SATB2⁻ and CTIP2⁺/CTIP1⁻ subtype distinction in the context of *Fezf2* expression, these data indicate that SIRT1 inhibition enhances and enables *Fezf2* refinement of neocortical subtype identity toward SCPN.

279 Given the known roles of SIRT1 in cortical neural progenitor differentiation and neuronal survival (Prozorovski et al., 2008; Li et al., 2008; Tiberi et al., 2012; Hisahara et 280 281 al., 2008; Herskovits and Guarente, 2014; Cai et al., 2016; Iwata et al., 2020) and post-282 mitotic cortical neuron genomic stability (Dobbin et al. 2013), we next tested an alternative 283 theoretical hypothesis that SIRT1 inhibition might potentially alter the proportions of 284 progenitors and neurons, giving an impression of post-mitotic subtype distinction, while 285 instead acting at the progenitor level. To the contrary, we find that the increase in proportion of CTIP2-expressing neurons is nearly completely compensated by the 286 reduction of CTIP2⁺/SATB2⁺ dual expressing neurons (Figure 3C,D). Because the 287 288 combined fraction of CTIP2- and SATB2-expressing neurons remains constant between 289 samples, these experiments indicate that the subtype refinement phenotype is not due to 290 changes in the proliferation of neocortical progenitors.

Although EX-527 and CHIC-35 are highly specific small molecule inhibitors of SIRT1 (Zhao *et al.*, 2013), we pursued *Sirt1*-specific molecular knockdown with siRNA to further confirm whether SIRT1 is the main target of repression in primary dissociated neocortical neurons. We find that *Sirt1* knockdown in primary dissociated E12.5

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295 neocortical neurons recapitulates the effect of small molecule inhibition of SIRT1, 296 increasing both CTIP2⁺/SATB2⁻ and CTIP2⁺/CTIP1⁻ subtype-specific SCPN identity 297 refinements (**Figure S4**).

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299 SIRT1 expression in vivo is CPN subtype-specific

We next investigated whether in vivo SIRT1 expression is consistent with the 300 301 results of the screening approach in mES-derived neurons. We used 302 immunocytochemistry to investigate SIRT1 protein localization in the developing mouse 303 neocortex. At P4, we find that SIRT1 is expressed throughout the rostro-caudal extent of 304 the neocortex, in layers II/III, V (at a relatively lower level), VI, and subplate (Figure 4A). 305 While SIRT1 expression is broadly distributed, as previously reported (Hasegawa and 306 Yoshikawa, 2008; Michan and Sinclair, 2007; Qin *et al.*, 2006), we hypothesized that its 307 level of expression varies in distinct neocortical subtypes. Strikingly, we find that SIRT1 308 expression is subtype-specific by E18.5, with near complete co-localization with SATB2-309 expressing CPN in layers II/III, V, and VI, and exclusion by CTIP2-expressing SCPN/CSN 310 in layer V (Figure 4B). Similarly, at P4, SIRT1 expression is excluded from increasingly 311 mature SCPN/CSN (Figure 4C).

We next asked whether SIRT1 is differentially transcribed in pure populations of retrogradely-labeled CPN versus CSN, the important subtype of SCPN in layer V that project axons to the spinal cord. Using an existing microarray-based comparative gene expression analysis of retrogradely-labeled CPN and CSN (Arlotta *et al.*, 2005; Molyneaux *et al.*, 2009), we find that SIRT1 is the only differentially expressed histone deacetylase (HDAC) throughout post-mitotic neocortical differentiation at E18.5, P3, P6,

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and P14, with highest expression by CPN at all ages (**Figure S5**). Combined with the protein expression data in **Figure 4**, these results demonstrate that *Sirt1* mRNA and protein are specifically and highly expressed by SATB2-expressing CPN subtypes during corticogenesis, and are expressed at significantly lower levels by CTIP2-expressing SCPN/CSN during early, middle, and late stages of subtype identity refinement. These *in vivo* findings support the CPN-specific expression of SIRT1, and its relative exclusion from SCPN/CSN and other neocortical neurons.

Together, these data describe a context-specific role for SIRT1 in fine-tuning SCPN/CSN post-mitotic identity refinement during late embryonic neocortical development. Identified by high content, small molecule screening of epigenetic factors, SIRT1 inhibition enhances SCPN/CSN molecular refinement among primary dissociated E12.5 neocortical neurons and complements the approach of using transient *Fezf2* modRNA expression to promote SCPN/CSN identity refinement among heterogeneous mES-derived neocortical-like neurons.

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332 Discussion

333 Our data indicate that Sirt1 inhibition or knockdown approaches are effective for 334 the refinement of ES-derived SCPN/CSN in vitro, and suggest that SIRT1 is functionally 335 important for the refinement of SCPN/CSN identity in vivo during mouse neocortical development. This is likely also relevant for human iPSC directed differentiation into 336 SCPN/CSN. From the initial screening experiment (Figure 2), we find that inhibition of 337 338 SIRT1 preceding Fezf2 induction enhances SCPN/CSN identity refinement in mES-339 derived neocortical neurons. Within primary mouse neocortical neurons, we identify that 340 Sirt1 inhibition, by either small molecule or knockdown approaches, promotes mature 341 molecular refinement of Fezf2-mediated SCPN/CSN identity (Figure 3, Figure S4). Although Sirt1-null mice have not yet been assessed for subtype-specific deficits in the 342 343 neocortex, their gross neocortical anatomy (e.g. intact corpus callosum, absence of 344 Probst bundles) appears intact (Cheng et al., 2003; McBurney et al., 2003; Michán et al., 345 2010), suggesting that Sirt1 is not required for CPN specification.

346 SIRT1 is a ubiquitously expressed NAD-dependent histone deacetylase (HDAC) 347 with context-dependent roles in neocortical differentiation (Hisahara et al., 2008; Tiberi et 348 al., 2012). At early developmental stages, SIRT1 regulates neurogenesis within 349 neocortical progenitors by repressing the Notch-Hes pathway (Tiberi et al., 2012). Later 350 in development, SIRT1 is ubiquitously expressed, with minimal enrichment in the upper 351 layers of mouse neocortex at E14.5 and at 10 months of age, although the level of SIRT1 352 expression by specific neocortical subtypes had not been previously assessed 353 (Hasegawa and Yoshikawa, 2008; Michan and Sinclair, 2007; Qin et al., 2006). We find 354 that neocortical SCPN/CSN have markedly reduced SIRT1 expression in mid- to late-

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corticogenesis, in contrast to deep and superficial layer CPN that are relatively enriched
for SIRT1 expression (Figure 4, Figure S5). Based on these results, SIRT1 should be
considered to have neuronal subtype specificity as a chromatin modifier in the neocortex
(Molyneaux *et al.*, 2005; MacDonald and Roskams, 2008; Molyneaux et al., 2009).

359 Multiple lines of evidence indicate that SCPN/CSN identity refinement (in vivo and 360 in mES-derived neurons) requires both *Fezf2* and a permissive molecular context during 361 differentiation. First, transient Fezf2 expression is sufficient to generate SCPN/CSN in Fezf2-null mice at E12.5, but not at E15.5 (Figure 1). At later ages (E13.5 through P7), a 362 higher dose or duration of Fezf2 expression (e.g., by plasmid vector) can re-specify 363 364 alternate neocortical subtypes toward most aspects of SCPN/CSN identity (Molyneaux et al., 2005; Chen et al., 2008; Rouaux and Arlotta, 2013; De la Rossa et al., 2013). 365 366 However, after E15.5, mis-expression of *Fezf2* does not induce Ctip2 expression in most 367 neurons, highlighting context specificity (Chen et al., 2008; Rouaux and Arlotta, 2013; De 368 la Rossa et al., 2013). Together, these prior in vivo findings indicate that E12.5 is the 369 optimal molecular context to most completely enable Fezf2-mediated specification of 370 SCPN, induction of Ctip2 expression, and stable epigenetic silencing of Satb2. 371 Consistently, in vitro, high dose Fezf2 induction (plasmid or viral mediated) by neocortical-372 like neurons does not alone induce SCPN/CSN identity (Wang et al., 2011; Sadegh, 373 unpublished data, 2011). Moreover, when Fezf2 modRNA is induced within mES-derived 374 neocortical cells at a time approximating E12.5 neocortical differentiation, it alone does 375 not significantly increase SCPN/CSN subtype-specific transcription factor expression 376 (Figure S2). These *in vitro* data indicate that, although the timing of *Fezf2* expression is 377 important, this cannot be accomplished by targeting cells with a suboptimal chromatin

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378 landscape, as would be expected in maturation-stalled ES-derived neocortical neurons379 (Sadegh and Macklis, 2014).

380 CPN are an evolutionarily more recent and diversified subtype of neocortical 381 neurons, and likely employ multiple sequential epigenetic mechanisms in their specification, molecular refinement, and maturation (Molyneaux et al., 2009; MacDonald 382 and Roskams, 2009; Kishi and Macklis, 2010; Fame et al., 2011; Fame et al., 2016a; 383 384 Fame et al. 2016b). At late stages of maturation of layer 2/3 CPN (e.g. eight postnatal 385 weeks in mice), the widely expressed methyl binding protein MeCP2 is required for proper 386 development and/or maintenance of dendritic complexity and soma size (Kishi and 387 Macklis, 2004, 2010; Kishi et al., 2016). At earlier stages of CPN development, SATB2 is required for proper differentiation, indirectly guiding chromatin remodeling by binding to 388 389 matrix attachment regions (MAR) and recruiting HDAC enzymes through a binding 390 partner, SKI (Britanova et al., 2005; Britanova et al., 2008; Alcamo et al., 2008; Gyorgy 391 et al., 2008; Baranek et al., 2012). Together – with varying extents of CPN-specificity – 392 SIRT1, SATB2/SKI, MeCP2, and likely others might coordinate chromatin remodeling in CPN at distinct stages of development. 393

The postmitotic subtype-specificity of SIRT1 expression in the neocortex is also remarkable because SIRT1 is implicated in the oxidative stress response and survival of neurons (Li *et al.*, 2008; Prozorovski *et al.*, 2008). Because SIRT1-expressing CPN might be resistant to metabolic insults, it raises the possibility that SCPN/CSN, by virtue of having reduced SIRT1 expression, might be more sensitive to metabolic stress. SCPN and especially the subpopulation of corticospinal neurons (CSN) are the brain neurons that selectively degenerate in amyotrophic lateral sclerosis (ALS; Ozdinler and Macklis,

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2006; Zang and Cheema, 2002). Intriguingly, *Sirt1* over-expression has been shown to
promote short-term survival of dissociated neocortical neurons mis-expressing ALS
associated mutant SOD1 (Kim *et al.*, 2007). More broadly, non-specific HDAC inhibitors
show neuroprotective properties in mouse models of ALS (Petri *et al.*, 2006; Rouaux *et al.*, 2007).

406 Overall, our findings indicate subtype-specific functions for SIRT1 in the molecular refinement of neocortical SCPN/CSN versus CPN identity. Importantly, these results 407 408 demonstrate the utility of combining epigenetic priming with subtype-specific transcription factor induction in ES (and not unlikely, human iPSC) directed differentiation. These 409 410 results provide a proof-of-concept strategy for specific and progressive enhancement of 411 directed CSN/SCPN or other subtype differentiation from pluripotent cells. These results 412 further suggest that subtype-specific epigenetic modulation might enhance optimal in vitro 413 generation of other diverse neocortical neuron subtypes.

414

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415 Methods

416 RNA synthesis and transfection

417 Synthetic modified RNA (modRNA) was generated as previously described 418 (Warren et al., 2010). Briefly, RNA was synthesized with the MEGAscript T7 kit (Ambion, Austin, TX). A custom ribonucleoside blend was used, comprising 6 mM 5' cap analog 419 (New England Biolabs), 7.5 mM adenosine triphosphate and 1.5 mM guanosine 420 421 triphosphate (USB, Cleveland, OH), 7.5 mM 5-methylcytidine triphosphate and 7.5 mM 422 pseudo-uridine triphosphate (TriLink Biotechnologies, San Diego, CA). Transfections of 423 modRNA and multiple siRNA targeted against *Sirt1* and *Satb2* (both from Santa Cruz) 424 were carried out with RNAiMAX (Invitrogen), as per the manufacturer's instructions.

425

426 Cell culture and differentiation

427 Feeder-free E14Tg2a (Baygenomics) mouse embryonic stem cells (mES) were passaged on gelatin-coated (0.1% gelatin, StemCell Technologies) cell culture treated 428 429 plastic dishes using established media and cell culture techniques (Sadegh and Macklis, 430 2014). For differentiation, mES were plated at low density (5,000 cells/cm²) on gelatin-431 coated plastic dishes in ESC medium, and cultured as described (Gaspard et al., 2009). Cyclopamine (Calbiochem) was added from day 2 to day 10 in the differentiation 432 medium at a final concentration of 1 uM. After 10 to 14 days of differentiation, cells were 433 434 trypsinized, dissociated, and plated on poly-lysine/laminin (Becton-Dickinson) coated 435 glass coverslips, and allowed to grow for 4-14 days in N2B27 medium (Gaspard et al., 2009). 436

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437

438 High-content small molecule screening

A high content screening protocol was adapted from Makhortova *et al.* (2011). Briefly, mES were seeded at 5,000 cells per well in 96-well plates, and treated in duplicate at 10 μ M, 1 μ M, and 0.1 μ M with individual compounds from the screening library, a custom set of 80 chemicals affecting histone deacetylases, methyltransferases, and kinases. Most small molecules, including EX-527 (Sigma), CHIC-35 (Sigma), and nicotinamide (Sigma), were re-suspended in DMSO, according to the manufacturer's instructions.

446 96-well plates were scanned by an automated confocal microscope (PerkinElmer 447 Opera) at 20X magnification with separate fluorescence exposures from a UV light source and 488, 546, and 647nm lasers. Image analysis was performed using Columbus 448 449 software (version 2.3.0; PerkinElmer; see also Figure S3C,D), which automatically set 450 the boundaries of cell nuclei based on Hoechst staining. These boundaries were 451 optimized by manual inspection to exclude nuclear fragments or adjacent double nuclei 452 based on the total area and staining intensity of Hoechst-positive nuclei. Next, the 453 intensity of antibody labeling for each distinct transcription factor in each nucleus was 454 quantified. The threshold for positive antibody labeling was manually established 455 individually for CTIP2, SATB2, and CTIP1, compared to baseline labeling without primary 456 antibody (omission of primary controls). This threshold for labeling a nucleus positive for 457 individual transcription factor expression was calibrated to approximately 20% of the 458 maximum average pixel intensity observed in other nuclei for that transcription factor. 459 Setting this threshold for positive labeling was necessary because populations of mES-

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460 derived neurons express a near-continuum of transcription factor labeling intensities. 461 creating a large population of cells with simultaneous faint nuclear expression of multiple 462 transcription factors, which could be interpreted as indicative of atypical transcriptional regulation ("confused" neurons). This is in striking contrast with the expression levels 463 464 exhibited by bona fide populations of primary dissociated E15.5 mouse neocortical 465 neurons, using the same labeling and analysis methods. These primary neurons typically 466 display much more distinctly grouped average pixel intensities for each nucleus, with 467 largely trimodal labeling that can be quantitatively delineated (negative, low expression, 468 high expression).

469

470 Mice

471 *Fezf2*-null mice were the generous gift of S. McConnell (Chen *et al.*, 2005). Wild-472 type CD1 mice were used in all other experiments (Charles River Laboratories). The date 473 of vaginal plug detection was designated E0.5, and the day of birth as P0. Mice used in 474 these experiments were handled according to guidelines of the National Institutes of 475 Health (NIH), and all procedures were conducted in accordance with Harvard University's 476 institutional guidelines.

477

478 Immunocytochemistry

Immunocytochemistry and both wide-field and confocal imaging were performed
as previously described (Sadegh and Macklis, 2014). Primary antibodies and dilutions
used were: rat antibody to CTIP2 (1:500, Abcam); mouse antibody to SATB2 (1:200,

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482	Abcam); rabbit antibody to SIRT1 (1:250, Millipore); rabbit antibody to CTIP1 (1:500,
483	Abcam); rabbit antibody to GFP (1:500, Invitrogen); chicken antibody to NESTIN (1:500,
484	Novus Biologicals); mouse antibody to TuJ1 (1:500, Covance); mouse antibody to MAP2
485	(1:500, Sigma); and mouse antibody to NeuN (1:250, Millipore). Alexa fluorophore
486	conjugated secondary antibodies from Invitrogen were used at a dilution of 1:1000.
487	Hoechst 33342 counterstain was used to visualize nuclei (1:3,000, Invitrogen).

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488	Author contributions: C.S. and J.D.M. designed research; C.S. performed research;
489	C.S. and J.D.M. analyzed data; C.S. and J.D.M. wrote the manuscript. W.E. provided
490	expertise for the application of synthetic modified mRNA technology, reagent support,
491	and discussion for this work. T.A., L.D., and L.L.R. provided expertise for small
492	molecule screening, reagent support, and discussions.
493	
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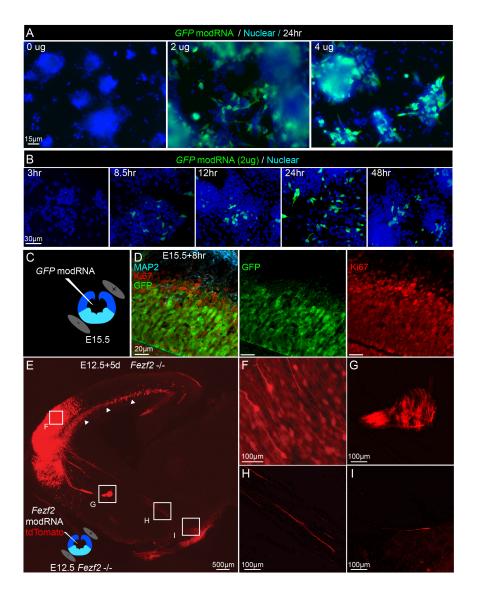
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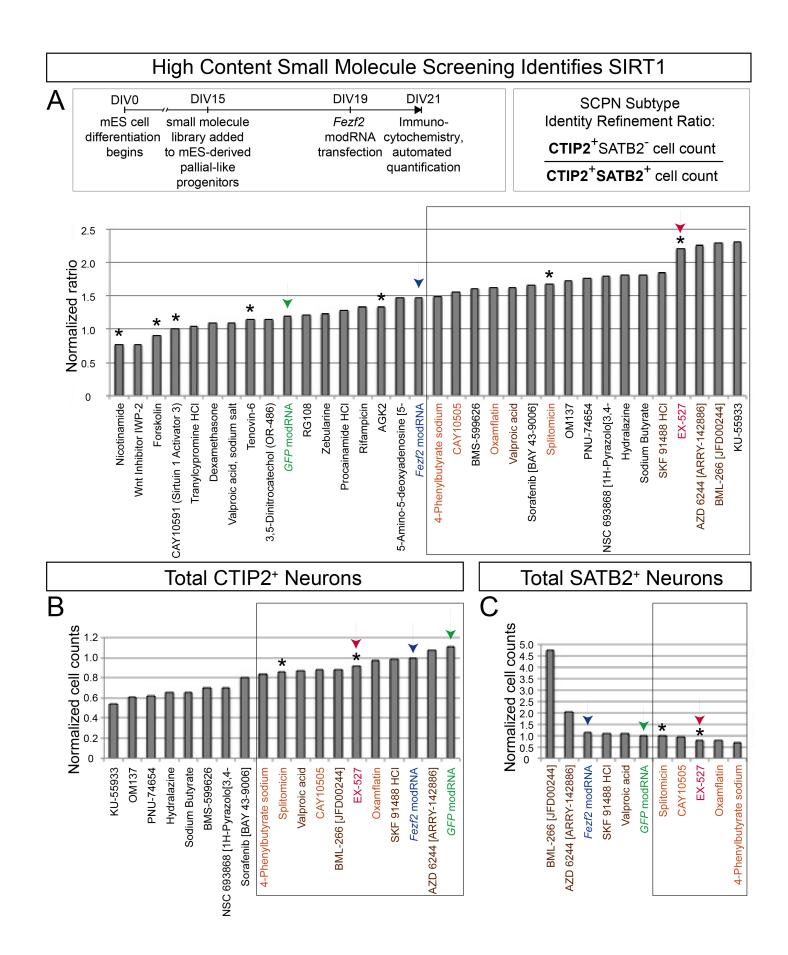


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905 Figure 1. ModRNA enables temporally controlled, dose-dependent protein 906 expression in cultured mES-derived populations, and in vivo. (A) GFP modRNA expression is dose-dependent. Native GFP expression is detected in 25-50% of cells after 907 908 24hrs; the intensity of expression increases over the range of 0, 2, 4 ug modRNA 909 transfection. (B) GFP modRNA expression is time-dependent over 48hrs. (C) GFP 910 modRNA is injected into the lateral ventricles (coronal cross-section of mouse embryo 911 forebrain is shown), and is directionally electroporated into the dorsal forebrain, or pallium 912 (positive paddle above dark blue colored tissue). (D) Following GFP modRNA in utero 913 electroporation, GFP (green) is expressed after eight hours, and is restricted to Ki67-914 expressing progenitors (red) of the pallium, not in more superficially located post-mitotic 915 neurons, which express MAP2 (blue). (E) Sagittal section of an E17.5 Fezf2-null mouse 916 following E12.5 in utero electroporation of Fezf2 modRNA (0.8 ug/uL) and tdTomato 917 plasmid (1 ug/uL) shows targeted tdTomato fluorescence appropriately restricted to the 918 rostral pallium. (F) Higher magnification image showing tdTomato expression in the 919 cortical plate. (G) tdTomato+ axons project across the cerebral commissures, including 920 anterior commissure (depicted) and corpus callosum in (arrowheads in E). (H) Several 921 tdTomato+ axons project caudal to the thalamus, indicating partial genetic rescue of 922 E12.5 SCPN, which do not exist at all in *Fezf2* null mice and can only be generated by 923 the in utero rescue (Molyneaux et al., 2005). (I) A smaller subset of these tdTomato+ 924 axons reach the cerebral peduncle (N = 3), confirming that they are SCPN.

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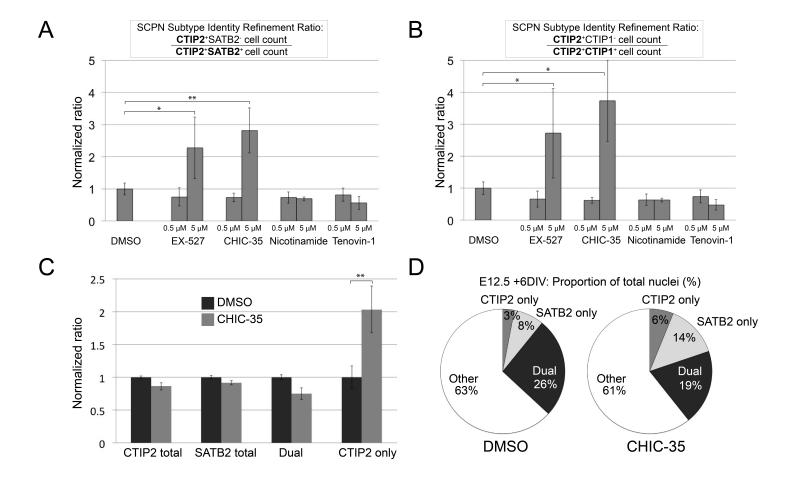
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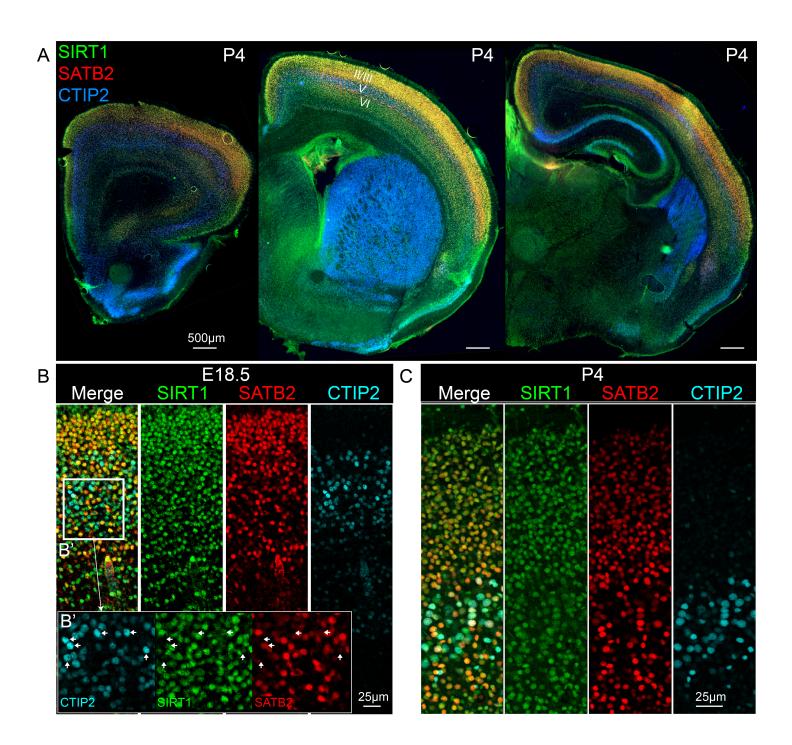
928 Figure 2. High-content small molecule screen of SCPN/CSN molecular refinement

- 929 by mES-derived neurons identifies candidate small molecule regulators,
- 930 including the SIRT1 inhibitor EX-527.
- (A) Each well of a 96-well plate containing ES-derived neurons at 15 days *in vitro* (DIV)
- 932 was incubated with a distinct small molecule from the library (Figure S3B) for 96hrs,
- followed by transfection with *GFP* or *Fezf2* modRNA, incubated for an additional 48hrs,
- and then analyzed at 21 DIV. Distinct small molecules enhanced, inhibited, or did not
- 935 substantially alter *Fezf2*-mediated SCPN subtype refinement, as indicated by the ratio
- 936 of CTIP2(+)/SATB2(-) neurons to CTIP2(+)/SATB2(+) neurons. The ratios are
- 937 normalized to the untreated condition.
- 938 (B) Some candidate small molecules, from the box marked in (A), increase the number
- 939 of CTIP2-expressing neurons relative to *Fezf2* induction alone. The ratios are
- normalized to the Fezf2 modRNA condition.
- 941 (C) A subset of candidate small molecules, from the box marked in (B), decrease the
- number of SATB2-expressing neurons relative to *Fezf2* induction alone; these include
- 943 the SIRT1 inhibitor EX-527. Asterisks indicate small molecules that modify HDAC Class
- 944 III (Sirtuin). Black arrowheads indicate *GFP* and *Fezf2* transfection conditions, for
- 945 comparison. The red arrowhead indicates EX-527, a relatively specific SIRT1 inhibitor.
- 946 The ratios are normalized to the GFP modRNA condition.
- Data from this first-level screen experiment (N=1) represent approximately 1,000 cells
- 948 per condition, from 40 randomly sampled fields at 20x magnification.
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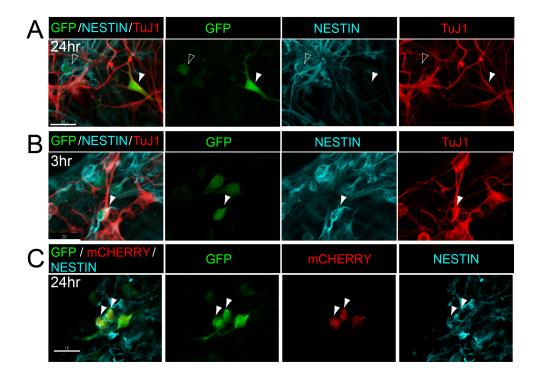
952	Figure 3. SIRT1 inhibition in dissociated E12.5 neocortical neurons enhances
953	SCPN/CSN subtype refinement, increasing the number of CTIP2-expressing
954	neurons at the expense of CTIP2/SATB2 dual expressing neurons. (A) EX-527 and
955	a more specific SIRT1 inhibitor, CHIC-35, increase CTIP2/SATB2 subtype distinction
956	relative to DMSO-only controls (0.5 μM and 5 μM). (B) EX-527 and CHIC-35 also trend
957	toward CTIP2/CTIP1 subtype distinction. (C) Importantly, while the proportions of total
958	CTIP2- and total SATB2-expressing neurons do not change relative to DMSO control
959	following CHIC-35 SIRT1 inhibition, the relative proportion of CTIP2/SATB2 dual
960	expressing neurons decreases. In important contrast, the relative proportion of more
961	fully distinguished CTIP2(+)/SATB2(-) neurons (reflecting SCPN/CSN) increases.
962	(D) Pie chart schematics show the relative proportions of total nuclei of CTIP2+-only
963	neurons, SATB2+-only neurons, CTIP2+/SATB2+ dual expressing neurons, and
964	unlabeled cells derived from E12.5 neocortical cells in CHIC-35 SIRT1 inhibition
965	treatment conditions versus DMSO control. Data are presented as mean +/- s.e.m.
966	(N=3; >10,000 nuclei screened per condition from 60 randomly sampled fields at 20x
967	magnification) *P < 0.05; **P < 0.01 (unpaired t-test).



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969 Figure 4. SIRT1 is differentially expressed by CPN and SCPN/CSN in vivo. (A) At P4,

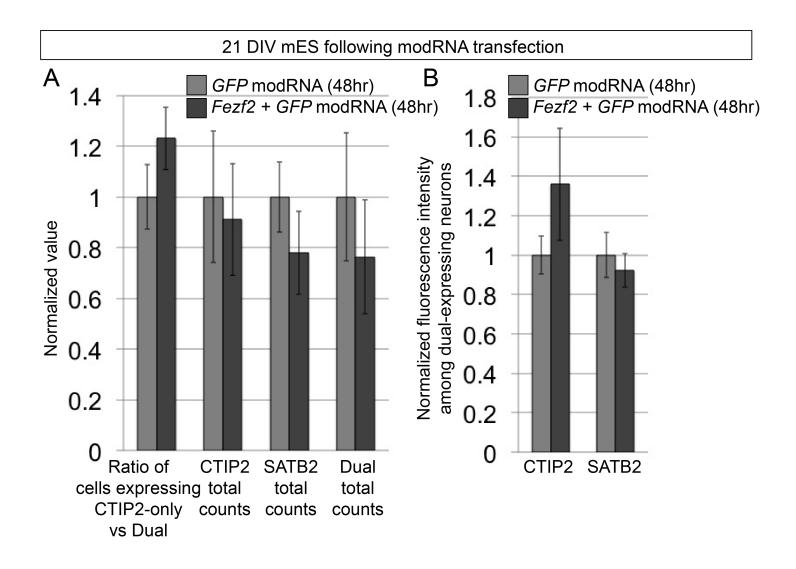
immunocytochemical labeling indicates that SIRT1 is expressed along the entire rostral-970 971 caudal extent of the neocortex, in layers II/III, VI, and subplate (50 µm coronal section, wide-field fluorescence imaging). (B) At E18.5, SIRT1 expression in deep layers of motor 972 973 cortex is predominantly restricted to SATB2-expressing neurons, and is absent or 974 expressed at guite low levels by CTIP2-expressing neurons (50 µm coronal section, 975 confocal fluorescence imaging). (C) At P4, SIRT1 expression in deep layers of motor 976 cortex is almost completely restricted to SATB2-expressing neurons (50 µm coronal 977 section, confocal fluorescence imaging).



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979 Figure S1. modRNA transfection is not biased to a specific neural population. (A)

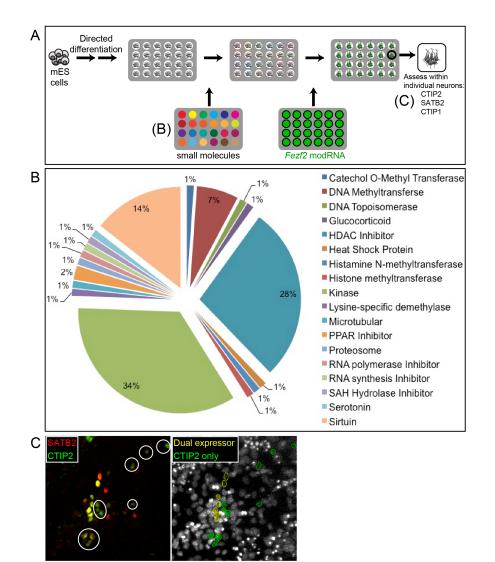
- 980 At 24 hours, GFP modRNA transfected mES-derived neocortical-like cells express GFP
- 981 within progenitors (NESTIN-expressing, empty arrows), immature neurons (TuJ1-
- 982 expressing, filled arrows), and other cells. (B) GFP is expressed by NESTIN-positive
- 983 mES-derived cells as early as three hours following transfection with *GFP* modRNA. (C)
- 984 *mCherry* and *GFP* modRNA are co-expressed by the same mES-derived cells 24 hours
- 985 following transfection (native fluorescence).



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987 Figure S2. Fezf2 induction in ES-derived neurons does not on its own

- 988 significantly increase subtype distinction. (A) At 21 days in vitro (DIV), the ratio of
- 989 CTIP2+/SATB2- neurons to CTIP2+/SATB2+ dual expressing neurons is not statistically
- significantly increased 48hrs after *Fezf2* and *GFP* modRNA co-transfection (dark grey)
- relative to GFP modRNA transfection alone (light gray) (though a trend suggests
- 992 potentially modest increase of approximately 20%). The total number of CTIP2-
- 993 expressing neurons is largely unaffected, as is the number of total SATB2-expressing
- and CTIP2+/SATB2+ dual expressing neurons (though the latter two display non-
- statistically significant trends toward decrease in number). (B) The intensity of CTIP2
- 996 expression within *Fezf2, GFP* modRNA co-transfected neurons increases relative to
- 997 *GFP* modRNA controls. Data are presented as mean +/- s.e.m. (N=3; approximately
- 5,000 cells per condition, from 40 randomly sampled fields at 20x magnification).

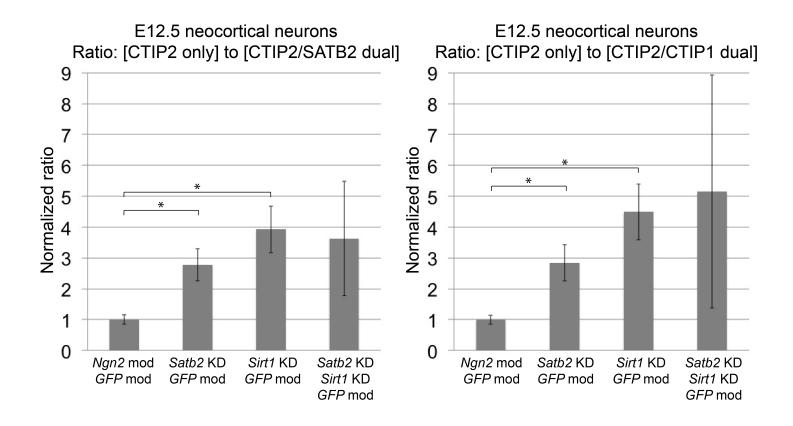


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1000 Figure S3. Design of high throughput small molecule screening protocol to

1001 identify potential regulators of subtype refinement within cortical-like neurons.

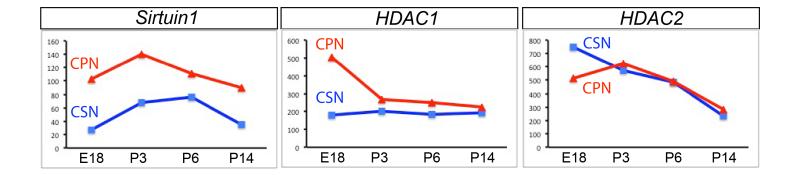
- 1002 (A) Schematic of screening strategy in 96-well plates. Monolayer mES differentiation to
- 1003 telencephalic progenitors is followed by the addition of a custom small molecule library;
- 1004 the composition of this library is described in (B). After small molecule incubation for six
- 1005 days, each well is transfected with *Fezf2* modRNA. Two days later, cells are fixed and
- immunolabeled for CTIP2, SATB2, and CTIP1. Automated imaging and fluorescence
- 1007 intensity thresholding algorithms distinguish and count neurons; an example of this is
- 1008 shown in (C).
- 1009 (B) The composition of a custom set of 80 chemicals regulating histone deacetylases,
- 1010 methyltransferases, and kinases is depicted in this pie chart.
- 1011 (C) Automated imaging and counting algorithms identify CTIP2 and SATB2 expression
- 1012 levels. Manually determined thresholds distinguish CTIP2+/SATB2- neurons (pseudo-
- 1013 colored green) from dual expressing CTIP2+/SATB2+ neurons (pseudo-colored yellow).



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1015 Figure S4. siRNA knockdown of Sirt1 recapitulates the effect of small molecule

- 1016 inhibition of SIRT1. (A) CTIP2/SATB2 refinement increases with *Sirt1* knockdown (KD)
- 1017 in primary dissociated E12.5 neurons co-transfected with GFP modRNA, as compared
- 1018 to alternate conditions (co-transfection of Ngn2 and GFP modRNA, or co-transfection of
- 1019 Satb2 siRNA with GFP modRNA). (B) Consistent with multiple molecular refinements
- 1020 during neocortical projection neuron subtype distinction, CTIP2/CTIP1 refinement also
- 1021 increases with Sirt1 knockdown. Data are presented as mean +/- s.e.m. (N=3; >10,000
- 1022 nuclei screened per condition, from 60 randomly sampled fields at 20x magnification).
- 1023 *P < 0.05 (unpaired t-test).



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1025 Figure S5. Sirt1 mRNA expression is CPN-specific in the neocortex at late

- 1026 embryonic and postnatal ages. (A) Sirt1 mRNA expression is higher by CPN (red
- 1027 lines) than by CSN (blue lines) at E18.5, P3, P6, and P14; these populations were
- 1028 retrogradely labeled and purified by fluorescence-activated cell sorting (FACS) for
- 1029 comparative gene expression analysis at each time-point (data from Arlotta et al.,
- 1030 2005). (B) Other HDACs (e.g., HDAC1 and HDAC2) are not differentially expressed at
- all ages, using the same microarray data.