1 Targeting Vitamin-D receptor (VDR) by a small molecule antagonist MeTC7 inhibits PD-L1

2 but controls THMYCN neuroblastoma growth PD-L1 independently.

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35 **Abstract:**

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Vitamin-D receptor (VDR) mRNA is enriched in malignant lung, ovarian and pancreatic tissues 36 and showed poor prognoses. Calcitriol and stable or CRISPR-directed VDR upregulation 37 increased PD-L1mRNA and protein expression in cancer cells in-vitro. A ChIP assay showed 38 the binding of VDR with VDRE^{PD-L1}. Stattic, a STAT3 phosphorylation inhibitor blocked calcitriol 39 40 or VDR overexpression induced PD-L1 upregulation. MeTC7, a VDR antagonist developed by 41 us, reduced PD-L1 expression on macrophages, ovarian, lung, breast, and pancreatic cancer cells in-vitro. In radiotherapy inducible PD-L1 model of orthotopic MC38 murine colon cancer, 42 43 MeTC7 decreased PD-L1 surface expression, suppressed inflammatory monocytes (IMs) 44 population and increased intra-tumoral CD69+PD1+CD8⁺T-cells. Intriguingly, MeTC7 reduced 45 TH-MYCN transgenic neuroblastoma tumor growth without affecting PD-L1 and tumor immune milieu. In summary, Vitamin-D/VDR drives PD-L1 expression on cancer cells via STAT-3. 46 Inhibiting VDR exhibited anti-checkpoint effects in orthotopic colon tumors, whereas PDL1-47 independent and anti-VDR/MYCN effects controlled growth of transgenic neuroblastoma and 48 xenografted tumors. 49

Summary: Vitamin-D/VDR induces PD-L1 expression on cancer cells via STAT-3; and targeting
 VDR by a novel small molecule antagonist MeTC7 exhibits both anti-PD-L1 and anti VDR/MYCN effects in tumor models.

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54 Introduction:

Calcitriol, a VDR agonist, had exhibited anti-proliferative effects in experimental models of 55 colon, breast, prostate and pancreatic cancer and hematologic malignancies (Giammanco et al., 56 57 2015). Phase-II/III clinical trials evaluating calcitriol showed lack of therapeutic responses and 58 caused hypercalcemia (Beer et al., 2004, Trump DL et al., 2006). We demonstrate that VDR is 59 overexpressed in malignant tissues of pancreatic, ovarian, and lung cancer compared to normal 60 controls and correlates with poor prognoses. Calcitriol treatment transcriptionally upregulated PD-L1 gene and protein expression in a panel of cancer cells representing diverse tissue 61 62 origins. VDR overexpression (stable and CRISPR directed) increased PD-L1 surface expression on ovarian and endometrial cancer cells. Interference with Stattic, a STAT3 phosphorylation 63 inhibitor suggests that vitamin-D/VDR induced PD-L1 expression in pancreatic cancer cells is 64 65 channeled through oncogene STAT-3. Calcitriol's postulated role in tumor protection in colon, 66 breast and pancreatic cancer contradicts Vitamin-D/VDR induced PD-L1 expression which is shown to promote tumor immune evasion (Sharma et al., 2015). In the context of Vitamin-67 D/VDR's association with PD-L1 and oncogene STAT-3, it may appear that a VDR antagonist 68 69 would be a better fitting tool to block VDR/PD-L axis than calcitriol which enhances expression 70 of PD-L1 on tumor cells. In addition to the lack of therapeutic activities in agonists, progress in targeting VDR is hampered by the unavailability of pharmacologically pure VDR antagonists. 71 Currently known VDR antagonists carry residual agonistic effects diminishing their 72 pharmacologic value (Ishizuka et al., 2001). In this report, we demonstrate that Vitamin-D/VDR 73

74 induced PD-L1 expression on tumor cells is routed through STAT-3, as Stattic, a phosphorylation inhibitor of STAT-3, abrogated calcitriol induced PD-L1 expression in BXPC-3 75 and PANC-1 pancreatic cancer cells. To target VDR, we describe the identification of a nuclear 76 receptor (NR) selective and pharmacologically pure VDR antagonist, MeTC7, which inhibited 77 78 PD-L1 surface expression on a panel of human and murine pancreatic, ovarian, breast and lung 79 cancer cell-lines in vitro. Additionally, MeTC7 suppressed PD-L1 upregulation induced by 80 radiotherapy (RT) in an orthotopic MC38-colorectal cancer model in mice (Gerber et al., 2013). 81 In this model, MeTC7+RT treatment suppressed immune suppressive CD4⁺T cells (data not shown) and reduced the population of inflammatory monocytes (IMs) while increasing 82 83 CD69⁺/PD-1⁺ CD8⁺T cell infiltration in the tumors. Intriguingly, MeTC7 treatment did not affect 84 the immune niche of TH-MYCN transgenic murine model of neuroblastoma which involves VDR/MYCN/PD-L1 pathway (Weiss et al., 1997), Instead, MeTC7 blocked VDR/MYCN axis and 85 86 controlled THMYCN driven neuroblastoma tumor growth without affecting expression of PD-L1 and other tumor immune markers in the tumors. In this study, association of Vitamin-D/VDR with 87 88 PD-L1 and oncogene STAT-3 is shown and the effect of MeTC7 in the context of VDR/PD-L1 and VDR/MYCN/PD-L1 signaling is discussed. 89

90 Results:

91 VDR was overexpressed in malignant tissues and correlated with reduced survival in

92 pancreatic, lung, breast cancer and neuroblastoma patients: Mining of the publicly available

93 microarray data at R2-genomics analysis and visualization platform

94 (https://hgserver1.amc.nl/cgi-bin/r2/main.cgi) showed that compared to normal tissues,

- malignant tissues of the pancreas (p=0.0017), ovary ($p=6.5e^{-05}$) and lung ($p=5.4e^{-08}$) showed
- 96 increased VDR mRNA expression (Figure-1A). Similarly, stroma of malignant ovarian tissues
- 97 expressed elevated VDR mRNA expression compared to normal ovarian tissues (Figure-1A, p=
- 1.2e⁻⁰⁵). VDR mRNA was also enriched in the tumor cells from recurrent neuroblastoma

99 (Supplementary Figure-1). Kaplan-Meier survival of patients with lung and pancreatic cancer, 100 grouped by the extent of VDR expression (from microarray data available at R2-genomics analysis and visualization platform and Human Protein Atlas (Uhlen et al., 2015), show that 101 102 VDR mRNA enrichment significantly correlates with increased mortality (lung: p=0.00043, and 103 pancreatic cancer: p=0.004) (Figure-1B-C). VDR mRNA enrichment also correlates with 104 increased mortality in esophageal cancer and neuroblastoma (Figure-1 D-E). Increased 105 mortality correlating with VDR enrichment was also found to be evident in breast cancer 106 (p=0.011), glioma (p=0.0048), cervical cancer (p=0.055), liver cancer (p=0.048) and bladder cancer (p=0.05) (Supplementary Information-2). Although not statistically significant (p=0.09), 107 the inverse association between VDR mRNA enrichment and mortality of ovarian cancer and 108 SHH-B medulloblastoma patients was evident too (Supplementary information-2). Similar to 109 110 VDR mRNA, VDR protein overexpression in malignant serous and endometrioid ovarian tissues 111 compared to benign ovarian tissues was observed (Supplementary Figure-3: upper). Similarly, malignant tissues of cerebellum and fourth ventricle showed elevated VDR protein expression 112 113 than normal cerebellum (Supplementary Figure-3: lower). We also analyzed whether the 114 disease stage had any impact on the inverse association of VDR mRNA with decreased 115 mortalities in cancer patients. Our analyses showed that among breast cancer patients with 116 disease stage (IIa or IIb), VDR mRNA enrichment was not associated with increased mortalities (Supplementary Figure-4A-B). Among pancreatic cancer patients diagnosed with stage IIa and 117 118 stage-IIb disease, VDR mRNA enrichment was strongly associated with increased mortalities 119 (Supplementary Figure-4C-D). However, among ovarian cancer patients diagnosed with 120 disease stage-II, increased VDR mRNA expression was not associated with increased mortalities either (p=0.19; Supplementary Figure-4E). 121

VDR overexpression increased surface expression of PD-L1 on cancer cells: Stably VDR
 overexpressing SKOV-3 ovarian cancer cell clones showed increased PD-L1 surface

124 expression (Figure-2A). Immunoblot analyses of the total cell-lysates of VDR overexpressing SKOV-3 cell clones showed increased PD-L1 expression compared to pCMV (null vector) 125 transfected control cells (Figure-2B, Left). Conversely, stable VDR knockdown in SKOV-3 cells 126 127 resulted in reduced PD-L1 expression compared to null vector (Figure-2B, right). VDR's impact on the upregulation of PD-L1 was further confirmed by CRISPR mediated VDR overexpression 128 129 in ECC-1 endometrial cancer cell-line which also exhibited increased surface expression of PD-130 L1 (Figure-2C). RT PCR experiments confirmed that transcripts of both VDR and PD-L1 were 131 upregulated in ECC-1 cells upon transfection with CRISPR plasmid (Supplementary Figure-5A-132 left: histogram; right: expression of VDR and PD-L1 transcripts).

133 Calcitriol upregulated PD-L1 in ovarian, endometrial and pancreatic cancer cells:

- 134 Exposure of SKOV-3, OVCAR-8 (ovarian), ECC-1 (endometrial) and PANC-1 (pancreatic)
- cancer cells with calcitriol (100nM, 48 hours) showed increased PD-L1 mRNA expression when
- analyzed by qPCR (Figure-2D and E). VDR levels, except in SKOV-3 cells, were also increased
- 137 compared in OVCAR-8, ECC-1 and PANC-1 cancer cells (Figure-2D-E). Similar to our findings,
- 138 Dimitrov *et al.* had previously described Vitamin-D/VDR driven PD-L1 overexpression in human
- 139 epithelial cancer cells (Dimitrov et al., 2017).

140 VDR and PD-L1 showed co-localization in ovarian and medulloblastoma tissues: Confocal

141 microscopy showed that VDR and PD-L1 co-localized in normal, benign, and malignant ovarian

tissues (Figure-2F). In addition to ovarian tissues, ovarian cancer cell-lines SKOV-3 and

143 OVCAR-8 also showed VDR and PD-L1 co-localization (Figure-2G). Averaged integrated optical

144 density (IOD) values of the ten randomly selected tumor fields showed increased colocalization

- events in malignant and benign ovarian tissues than normal ovarian tissues (IOD values not
- shown). Medulloblastoma tissues also showed VDR and PD-L1 co-localization. (Supplementary
- 147 Figure-5B). An immunoprecipitation experiment using VDR primary antibody showed
- enrichment of PD-L1 in SKOV-3 and OVCAR-8 (Supplementary Figure-5C-Left) and in DAOY

and D283 medulloblastoma cells (Supplementary Figure-5C, Right). A two-gene (VDR and PD-

L1) correlation analysis of microarray data available at R2-genomics analysis and visualization

151 platform showed that VDR and PD-L1 exhibited strong correlation in neuroblastoma (r=0.683,

 $p=2.5e^{-03}$, medulloblastoma (r=0.701, p=3.6e-03) and esophageal cancer (r=0.699, p=0.01)

153 (Figure-2H) than pancreatic (Supplementary Figure-5D, r=0.255, p=0.08) and lung cancer

154 (Supplementary Figure-5D, r=0.478, p=2.5e⁻¹⁰).

155 Vitamin D receptor binds VDRE^{CD274 (PD-L1)} and directly regulates PD-L1 expression in

156 **PANC-1 cells.** To determine whether Vitamin-D/VDR signaling up-regulates transcription of PD-

L1, we searched for VDRE sequence in PD-L1 gene. In silico analysis of published promoter

sequences of PD-L1 identified VDRE with 100% match (data not shown) between the base-

159 sequences 829-813 (Figure-2I-J). We employed ChIP assays and conducted PCR of the bound

sequences to confirm VDR binding to the VDRE sequence in PD-L1 promoter zone of PANC-1

161 cancer cells. Presence of VDRE sequence in anti-VDR antibody immune-precipitated chromatin

sequence and the absence of it in the corresponding IgG control (Figure-2K) indicates that VDR

binds VDRE^{CD274 (PD-L1)} and directly regulates PD-L1 expression in PANC1 cells and is

164 suggestive of potential enhancer activity of VDR/VDRE.

165 Stattic treatment abrogated Vitamin-D/VDR induced PD-L1 expression in cancer cell-

166 **lines:** In silico analysis of the published PD-L1 promoter sequences revealed the presence of

167 STAT1/3 and STAT2/5 in promoter zone of PD-L1 (Figure-2J). STAT/3 sequences were

detected between base sequences (-381 and -360) whereas the STAT2/5 were detected

169 between the sequences (-208 and –181). To capture a glimpse of the mechanism underlying

the Vitamin-D/VDR mediated upregulation of PD-L1, the total cell-lysates of stable VDR

overexpressing SKOV-3 cells were immunoblotted and probed with STAT-3 (phosphorylated

and total). Our western blot analyses showed that stable VDR upregulation in SKOV-3 cells led

to upregulation of PD-L1 and phosphorylated STAT-3 without affecting the expression of

174 GAPDH (internal control) (Figure-3A). A treatment with Stattic (STAT-3 phosphorylation 175 inhibitor) reduced PD-L1 expression in a stable VDR overexpressing SKOV-3 cell clone (Figure-176 3B). Mining of microarray expression of TH-MYCN tumors showed strong correlation in VDR and STAT-3 expression (r=0.919, p=1.19e⁻⁸³) (Figure-3C). Similar strong correlation was also 177 178 observed in pancreatic cancer microarrays (r=0.553, p=9.18e⁻¹⁸) (Figure-3D). A dose-dependent PD-L1 protein expression was observed upon treatment with calcitriol in BXPC-3 pancreatic 179 180 cancer cells (Figure-3E). A 6-hour pretreatment with Stattic (500nM) in serum free medium 181 blocked calcitriol induced PD-L1 expression in BXPC-3 (Figure-3F). Similarly, in PANC-1 182 pancreatic cancer cells, pretreatment with Stattic blocked increased transcriptional (Figure-3G) and protein expression (Figure-3H) of PD-L1 cell suggesting that calcitriol induced PD-L1 183 upregulation is STAT-3 dependent. 184 Stable VDR knockdown reduced growth of SKOV-3 xenograft tumors: To determine the 185

impact of VDR on tumor growth, we implanted VDR stably overexpressing clones (C12), VDR 186 187 knocked down clones (C20) in nude mice subcutaneously along with cohorts implanted with wild-type SKOV-3 cells, cc clones (control to VDR under expressor C20 clones) and pCMV cell 188 189 clones (control to VDR overexpressor cells). The growth of tumors was monitored by measuring 190 the longest tumor diameter. As shown in the Supplementary Figure-6, compared to wild-type 191 SKOV-3 cells and stable shRNA scrambled control, stable VDR knockdown SKOV-3 cells 192 formed significantly slower tumor growth (wild-type vs C20: p= 0.0004 ; cc clone vs C20: p=0.0062).Surprisingly, C12 (VDR overexpressor clone) did not form an aggressive tumor 193 194 phenotype and the difference in the tumor size between wild-type SKOV-3 vs VDR 195 overexpressor clone (C12) derived xenograft tumors was insignificant (Supplementary Figure-196 6).

MeTC7 is a NR selective VDR antagonist: MeTC7 (Figure-4A) (method of synthesis and
 characterization are shown in Supplementary Information-7) showed potent VDR inhibition (IC₅₀

199	= 1.86 μ M) (Figure-4B, left) in a fluorescence polarization (FP) assay performed using VDR-
200	LBD and SRC2-3 Alexafluor 647. Fluorescence polarization studies showed that MeTC7 was
201	void of any VDR agonistic activity (Figure-3B, right). In a cell-based transactivation assay,
202	MeTC7 inhibited VDR transactivation in the concentration range of 13.58 to 46.28 μM (Figure-
203	4C). MeTC7 showed similar activity as the calcitriol derivative (Cal-DT). In contrast, 7-
204	dehydrocholesterol (7DHC), the precursor to Vitamin-D biosynthesis showed inhibition of VDR
205	transactivation only at concentrations above 100uM (Figure-4C). It has been shown that the lack
206	of NR selectivity is a major challenge with existing NR modulators (Burris et al., 2013). MeTC7
207	treatment did not show either agonistic or antagonistic effects on PPAR γ (Figure-4D, left and
208	right), a structurally homologous NR in the VDR family, concentration at or below 100 μ M.
209	In Silico studies identified the critical interactions of MeTC7 with VDR-ligand binding
210	domain: In silico studies were performed to determine the interactions of MeTC7 with VDR-
211	ligand binding domain (VDR-LBD) residues to elucidate the structural mechanism behind
212	antagonistic characteristics of MeTC7. The crystal structure of VDR-LBD (IDB1) co-crystalized
213	with 2- α -(3-hydroxy-1-propyl) calcitriol (Rochel et al., 2000) was used for docking studies
214	(Figure-4 E-G). Our docking studies indicate that A-ring subsite (TYR143 and ARG 274
215	interaction with 1,3-OH of A-ring of calcitriol) was lost when MeTC7 bound with VDR (Figure-
216	4F). MeTC7 interacts with a strong H-bonding with a backbone of ASP144 and SER 237 in the
217	ring-A subsite (Figure-4F). MeTC7 utilizes only hydrophobic residues in the 25-OH-subsite,
218	whereas calcitriol uses a hydrophilic interaction (SER306 & HIS305) as well. Calcitriol may be
219	visualized to consist of a ring A, a conjugated linker, ring C and D along with a flexible chain as
220	shown in Figure-4E (left). The simultaneous interactions mediated by C1, C3 and C25-OHs are
221	crucial for super agonistic behavior of calcitriol. Synchronized interaction is possible only if
222	correct spacing exists between the hydroxyl groups that are achieved by proper folding within
223	the molecular structure of calcitriol to favor the correct orientation of hydroxyl group. Structurally,

224 MeTC7 (Figure 4E-right) is larger in size and a conformationally rigid system compared to 225 calcitriol. To handle this large and rigid molecule, the induced fit docking strategy (Xu et al., 226 2013) was implemented that revealed the possible binding mode of MeTC7 with VDR-LBD. The 227 binding modes of MeTC7 are shown in Figure-4F in comparison with calcitriol. The major 228 binding motifs (M1 to M4) in MeTC7 have been altered causing antagonistic effects. The 229 shortening and removal of the C25-OH group near M1 motif of MeTC7 loses interaction with 230 HIS 305. HIS 305 along with ARG 274 residues play a crucial role in determining the agonistic 231 behavior of calcitriol and mutations at these residues leads to antagonistic effects (Mizwicki et 232 al.,2009). The removal of conjugated linker system followed by shortening distance (2.6 Å) in MeTC7 in comparison to calcitriol (3.7Å) between ring A and C plays a leading factor for 233 antagonistic behavior of MeTC7. Thus, the strategically placed triazolidine-dione moiety and the 234 235 hydrophobic N-methyl group occupy the similar spatial position within VDR as was engaged by 236 hydrophilic C1-OH of calcitriol. As a result, MeTC7 loses H-bonding with Arg 274, instead uses the carbonyl group to interact with SER 237 to retain stronger binding. Further, the conjugated 237 diene linker of calcitriol enters tightly into the hydrophobic cavity of the VDR and agonize the 238 239 system (Figure-4G-upper). In contrast, triazolidine-dione moiety of MeTC7 increases the volume 240 of this VDR-LBD cavity and locks the conformational freedom of VDR due to deeper binding 241 (Figure-4G-lower).

MeTC7 reduced surface expression of PD-L1 *in vitro*: Immunoblotting of total cell lysates of OVCAR-8 (ovarian cancer) and NCI-H460 (lung cancer) cells treated with MeTC7 showed decreased PD-L1 expression (Figure-5A). Next, flow cytometry was employed to estimate the drug effect on the surface expression of PD-L1 on a panel of cancer cells after 48 hours of MeTC7 treatment. The panel of the cells included ovarian (ES2), pancreatic (PANC-1 and KCKO), lung (H1975) and murine breast cancer (E0771) cells (Figure-5B-G). Flow cytometric analysis of viable cells selected by live-dead staining demonstrated that MeTC7 treatment

resulted in reduced PD-L1 surface expression at concentrations between 100-500 nM
compared to IgG microbeads (Figure-5B-F). Both human (PANC-1, ES2, H1975) and murine
cancer cells (KCKO and E0771) exhibited a decrease in PD-L1 surface expression at 100 nM
except E0771 breast cancer cells, which required 500 nM treatment to exhibit PD-L1 reduction
on the cell surface (Figure-5F). Similarly, MeTC7 (100 nM) treated macrophages showed lower
PD-L1 surface levels compared to vehicle when cells were interrogated by flowcytometry
(Figure-5G).

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257 MeTC7 inhibited radiotherapy induced PD-L1 expression in vivo: Next, we tested if MeTC7 treatment could reduce PD-L1 expression in an *in vivo* inducible PD-L1 activation model. It has 258 259 been shown that radiotherapy (RT) induces PD-L1 expression on tumor cells in a MC38 cell 260 derived syngeneic colorectal cancer model (Wu et al., 2016). To test if MeTC7 could prevent the RT-induced expression of PD-L1 on tumor cells, mice were injected with MC38 cells 261 intramuscularly (i.m.) and tumor treated with 15 Gy local irradiation 7 days after inoculation. 262 Vehicle control or MeTC7 was administered s.c. to mice daily on day 5 until endpoint (day 11) 263 (Figure-6A, schema). Tumors were removed on day-11, dissociated into a single cell 264 265 suspension, and analyzed by flow cytometry. Irradiated MC38 tumor cells exhibited a significant 266 increase of PD-L1 expression when compared to unirradiated tumors (Figure-6B). Importantly, 267 MeTC7 (25mg/kg) significantly reduced the surface expression of PD-L1 on tumor cells when compared to vehicle (Figure-6B). In addition, MeTC7, increased CD8+T-cell (CTLs) infiltration 268 269 (Figure-6C). Flowcytometric analysis of the stained cells further showed that CD8+ T cell expressed increased CD69 and PD-1 activation markers when compared to both vehicle and 270 271 RT+vehicle (Figure-6, D-E). Similarly, KLRG+T cells and inflammatory monocyte population in tumors were found to be significantly decreased in the MeTC7+RT group (Figure-6: F-G). 272

273 MeTC7 blocked growth of TH-MYCN transgene driven spontaneous neuroblastoma: It has

274 been shown that VDR drives MYCN, which, in turn induces PD-L1 expression on neuroblastoma tumor cells (Weiss et al., 1997). Therefore, we investigated whether MeTC7 can 275 276 inhibit VDR, MYCN and its downstream PD-L1 and other tumor immune markers and control the 277 tumor growth. TH-MYCN +/+ transgenic mice, which develop spontaneous neuroblastoma 278 tumors that recapitulate human neuroblastoma disease (Kiyonari et al., 2015). H&E staining of 279 tumors harvested from homozygous THMYCN+/+ mice (5.5 weeks old) showed strong 280 presence of VDR, MYCN and TH antigens (Figure-7A). MeTC7 treatment reduced the viability 281 of tumor spheres isolated from two independent homozygous THMYCN+/+ mice dose dependently (Figure-7B). Similarly, an MTS cell viability assay run on the tumor cells derived 282 from three independent homozygous THMYCN+/+ mice showed that MeTC7 treatment was 283 284 deleterious to tumor cell's viability which decreased dose-dependently over three days of 285 treatment monitoring (Figure-7C). Next the efficacy of MeTC7 against growing THMYCN+/+ homozygous tumors was tested in vivo. The response of the drug was monitored using 286 287 Ultrasound imaging images and images were reconstructed to capture 3D tumor volume using 288 inbuilt software. As shown in Figure-7D, MeTC7 (10mg/kg) reduced tumor growth when 289 compared to vehicle. MeTC7 treatment Analysis of the tumor volume (p=0.033) (Figure-7B, middle) and end tumor weight analysis (p=0.053) (Figure-7D) demonstrated the tumor growth 290 291 control mounted by MeTC7 treatment. To further validate the antitumor activity of MeTC7 292 against neuroblastoma, VDR and MYCN positive neuroblastoma xenograft tumors derived from 293 SHSY5Y and Be2C neuroblastoma cells were treated with MeTC7 (10mg/kg, I.P., M-F). Tumor growth was monitored by measuring tumor volume (length x width²/0.5) periodically. Analyses of 294 the tumor growth in the treatment group versus vehicle treated group showed that MeTC7 295 296 treatment reduced the growth of both SH-SY5Y (p=0.012, day:10th) and Be2C tumors (p=0.08, 297 day-8th). Comparison of the tumor weights in the vehicle and drug treated Be2C tumors

harvested at the conclusion of the experiment demonstrated the tumor growth control mountedby MeTC7 treatment (p=0.058).

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301 Discussion

302 VDR enrichment and associated increase in mortalities among patients diagnosed with 303 pancreatic, ovarian, lung and breast cancer and neuroblastoma; and immune checkpoint 304 inhibitor ligand PD-L1 and oncogene STAT3 contradicts historically pursued anti-proliferative functions of Vitamin-D/VDR (Beer et al, 2004 and Trump et al, 2006). Association of Vitamin-305 306 D/VDR with PD-L1 and STAT-3 was established by stable or CRISPR directed VDR 307 expression, co-localization of VDR with PD-L1 in ovarian cancer and medulloblastoma tissues 308 and cells, immunoprecipitation of PD-L1 in ovarian cancer (SKOV-3, OVCAR-8) and medulloblastoma (DAOY, D283) cells via a VDR monoclonal antibody; and strong correlation 309 (r>0.68) of VDR with PD-L1 and STAT-3 in neuroblastoma, pancreatic cancer and other cancer 310 311 patient's microarray data (Figure-1H, Supplementary Figure-5D), STAT-3 inhibitor mediated PD-L1 expression inhibition, and a ChIP assay which showed binding of VDR with VDRE^{CD274} and 312 313 the in silico analyses that identified presence of VDRE and STAT-3 sequences in the promoter zone of PD-L1, which, taken together, suggest that aberrant VDR expression can play a role in 314 315 tumor immune evasion and ensuing tumorigenesis. Likelihood that VDR is associated with 316 tumorigenesis is further underlined by polymorphisms in melanoma, lung, pancreatic, prostate, 317 ovarian and colorectal cancers (Gandini et al., 2014; Mocellin et al., 2008; Lei et al., 2013) that negatively impacts response to chemotherapy, overall survival, and time to disease progression 318 319 (Schultheis et al., 2008, Woo et al., 2012); and VDR enrichment seen in premalignant and early 320 malignant lesions (Agic et al., 2007) and the VDR mediated epigenetic corruption to block antiproliferative genes in cancer cells (Abedin et al., 2006), suggesting that VDR inhibition should 321 be the preferred approach to control malignancies than the historical approach of activating 322

323 VDR via calcitriol or its analogs. VDR inhibition can control tumor growth was proven by 324 reduced growth of VDR knocked down SKOV-3 tumors in vivo compared to scrambled vector and wild-type SKOV-3 controls (Supplementary Figure-6). However, the progress in targeting 325 326 VDR has remained hampered by both, the lack of efficacy and hypercalcemia linked to VDR 327 agonist's use in phase-II/III clinical trials, and the unavailability of pharmacologically pure VDR 328 antagonists. Literature described VDR antagonists carry residual agonistic effects that hinder 329 their pharmacologic use in signaling and treatment studies (Ishizuka et al., 2001). Through our 330 approach of chemical modification of vitamin-d related secosteroidal scaffold that started with 331 the development of earlier classes of VDR antagonists (MT19c) (Moore RG et al., 2012), we have now developed MeTC7 which is more potent than MT19 in terms of VDR inhibition 332 (Figure-4A). MeTC7, obtained by hetero-cyclization of 7-dehydrocholesterol (7DHC) with N-333 334 methyltriazoline-1,2,4-dione (MTAD) and subsequent esterification of the hydroxy-adduct with 335 bromoacetic acid; is a pure VDR antagonist and is devoid of any agonistic activity and 336 possesses high nuclear receptor selectivity (Figure-4B-D). We have noticed that 337 heterocyclization of diene system in secosteroidal structure in Vitamin-D by Diel-Alder reaction 338 with dienophiles like MTAD and PTAD removes the hypercalcemic liabilities of Vitamin-D and 339 makes the resultant structures behave like antagonist without any residual agonistic liabilities. 340 Thus, the development of MeTC7 addresses the need for a pharmacologically pure VDR antagonist that can be exploited to delineate the effects of VDR and its role in normal and 341 malignant cells arising from its association with PD-L1 and oncogene STAT-3. 342 Not only did MeTC7 treatment decrease PD-L1 surface expression on pancreatic, ovarian, lung 343

and breast cancer cells of human and murine origin *in vitro*; the radiotherapy inducible PD-L1
overexpression on MC38 colon cancer cell derived orthotopic tumor cells was significantly
reduced *in vivo* also (Figure-6B). PD-L1 surface expression reduction on colon tumors was
followed by increased CD8+T cell infiltration in tumors (Figure-6C). Further flow-cytometric

348 interrogations showed that the tumor infiltrated CD8+T cells exhibited activation markers (CD69 349 and PD-1), suggesting that MeTC7 functions as a small molecule therapeutic checkpoint immunotherapy. Given the association of VDR with PD-L1 and oncogene STAT-3, through 350 future studies we will interrogate whether MeTC7 mediated PD-L1 inhibition followed by 351 352 downregulation of immune suppressive myeloid and inflammatory elements; and increased 353 infiltration of activated CD8+T cells in radiotherapy treated colon tumors can lead to a 354 meaningful control tumor growth; and assess whether MeTC7 could overcome the 355 disadvantages associated with PD-L1/PD-1 targeting antibodies including poor solid tumor 356 penetration and life-threatening immune adverse reactions (irAEs) (Lee et al., 2017, Gong et al., 2019). Similarly, while antibodies targeting PD-L1/PD-1 checkpoint axis have shown 357 unprecedented improvement in tumor control and survival in certain malignancies (Coukas et 358 359 al., 2011), limited eligibility of the patients (Zamarin et al., 2018) and life-threatening immune 360 reactions (Topolian et al., 2012) remain major handicaps against their use. Antibodies also face de novo resistance; and generally, fail to penetrate solid tumor compartments, and are thus, 361 unable to block secreted PD-L1 which was shown to promote resistance to immune checkpoint 362 363 therapies in lung cancer (Gong et al., 2019). Advantages of small molecules include 364 possibilities of the moderate adverse immune reaction events due to their shorter circulation life 365 than antibodies, and the benefits of their abilities to penetrate solid tumor compartments to block the secreted form of PD-L1 which can prevent emergence of resistance against immune 366 367 checkpoint therapies (Melaiu et al., 2017). While a small molecule inhibitor of PD-L1 has yet to 368 be identified, a limited number of molecules that inhibit PD-L1 indirectly have been described (Prima et al., 2017; Lee et al., 2017). However, CA-170, a dual inhibitor of PD-1 and VISTA, is 369 the only small molecule that is undergoing clinical trials for immuno-therapeutic treatment of 370 371 malignancies (Lee et al., 2017).

372 Next, we attempted to determine whether targeting the VDR can generate a meaningful 373 antitumor response in an aggressive neuroblastoma animal model that is driven by VDR. It has been shown that VDR regulates oncogenic transcription factor MYC which drives PD-L1 374 expression in neuroblastoma cells (Seuter et al., 2013; Salehi-Tabar et al., 2012; Veenstra et al., 375 376 1997). PD-L1 expression has been observed in tumor specimens of high-risk neuroblastoma 377 patients (Chaudhary et al., 2015), and blockade of PD-1/PD-L1 in an animal model was shown 378 to enhance the outcome of immunotherapy with anti-GD₂ antibody (Ab) ch14.18/CHO 379 (Rasmusan et al., 2012). Thus, VDR/MYCN/PD-L1 axis in neuroblastoma was found to be an 380 appropriate model to test the effect of MeTC7 treatment on a TH-MYCN driven spontaneous 381 neuroblastoma that closely recapitulates human neuroblastoma disease (Wang et al., 2015). Intriguingly, MeTC7 treatment which blocked MYCN and VDR expression in homozygous TH-382 383 MYCN tumor spheroids and exhibited reduced growth of TH-MYCN driven spontaneous 384 neuroblastoma tumors in animals did not affect PD-L1 expression on tumors and other critical tumor immune niche markers (Supplementary Figure-8). Although, it has been suggested that 385 pharmacologic inhibition of MYCN and MYC may be exploited to target PD-L1 to restore 386 387 antitumor immunity in neuroblastoma (Melaiu et al, 2017), antagonizing the PD-1/PD-L1 axis 388 failed to delay progression of established spontaneous tumors in the TH-MYCN mice (Mao et al, 389 2016). Promising antitumor effects in spontaneous THMYCN murine neuroblastoma and two 390 xenograft models may suggest that targeting VDR/MYCN via MeTC7 can emerge as an 391 effective approach to improve the neuroblastoma patient's survival, utilizing, instead of VDR/PD-392 L1, the inhibitory effects on the VDR/MYCN pathway which may be a distinct and targetable 393 mechanism of tumorigenesis in neuroblastoma.

394 Materials and Methods:

395 VDR expression analyses: Survival analyses of patients diagnosed with pancreatic, lung,
396 bladder, esophageal, bladder, neuroblastoma and other malignancies including the correlation

of VDR with PD-L1 expression presented in this study (Figure-1, Figure-2H, and Supplementary
Figure-1 and 2 and 5D) were generated by analyzing the microarray data available on the R2Genomics Analysis and Visualization Platform (<u>http://hgserver1.amc.nl</u>) or on the Human
Protein Atlas web site (<u>https://www.proteinatlas.org/</u>). Best system recommended cut-offs were
selected.

402 Methods of VDR activation and stable knockdown in ovarian cancer cells: For stable overexpression of VDR (Figure-2A), human VDR transcript variant 2 cDNA (OriGene, 403 404 RC519628) was transfected into the SKOV3 cells using Lipofectamine 2000TM (Invitrogen) following the manufacturer's instructions. Stably transfected cells were selected under the 405 pressure of 500µg/mL of G418. Cells transfected with empty pCMV6-entry expression vector 406 were used as control. For stable knockdown, SKOV-3 cells were transfected with human VDR 407 408 shRNA (Santa Cruz Biotechnologies, SC-106692-SH) and the stably transfected cells were generated under 5 µg/mL of puromycin pressure. Cells transfected with a scrambled oligo 409 vector (Plasmid-A, Santa Cruz Biotechnology, SC-108060) were used as control. Single cell 410 cloning was done by limiting dilution method. 411

CRISPR directed activation of VDR in ECC-1 endometrial cancer cells: ECC-1 cells were 412 413 seeded at a density of 200,000 cells in 3 ml antibiotic-free standard growth medium per well (6well plate) (Figure-2C and Supplementary Figure-5A). After reaching 70% confluency, cells 414 were transfected with 2 µg of plasmid DNA. CRISPR overexpression plasmid DNA (Santa Cruz 415 416 Biotechnology, sc-400171-ACT) was first added to OPTI-MEM medium (Gibco, catalog:31985-070) in a 1.5 mL microcentrifuge tube and allowed to sit for 5 minutes. The transfection reagent 417 418 (Lipofectamine 3000; Invitrogen catalog: 1000022234) was added to OPTI-MEM medium in a 419 microcentrifuge tube and allowed to sit for 5 minutes. The tubes containing plasmid DNA and transfection reagent were mixed together and allowed to sit for at least 20 minutes. Tubes were 420 421 mixed well and 300 µL of plasmid DNA/transfection reagent was added to each well. Cells were incubated at 37°C, 5% CO₂ for 24 hours, after which the media was replaced. Three days' posttransfection cells were maintained under puromycin selection (1 μg/mL). Cells were kept in
puromycin media until antibiotic-resistant clones grew. Once enough antibiotic-resistant cells
were available, they were assayed for VDR protein and transcript levels via Western blotting
and qPCR, respectively. Cells were assayed for PD-L1 surface expression via flow cytometry
using PDL1-BV605 antibody (Biolegend Inc, catalog number:124321) using IgG beads (BD
Biosciences, catalog number:552843) as negative control.

429 Confocal and immuno-histochemical analyses of tumors: Immunohistochemical staining was performed on paraffin-embedded slides of ovarian tissue (normal, benign and malignant) 430 specimens (thickness 5 µm) as described previously (Moore et al., 2014). Tissue sections were 431 deparaffinized and rehydrated with serial ethanol dilutions of 100, 95 and 70%. Heat-induced 432 433 antigen retrieval was then performed using DAKO Antigen Retrieval Solution for 20 min. Tissue 434 sections were blocked with normal goat blocking serum (Vector Laboratories) for 60 min at room temp before incubating with primary antibodies for VDR (Santa Cruz Biotechnologies) and PD-435 L1 (Cell Signaling Technologies, 1:200) in a humidified chamber overnight at 4°C. Secondary 436 437 antibodies (DyLight 594 goat anti-rabbit IgG, Jackson Immuno-research Laboratories, INC. and Alexa Fluor 594 goat anti-mouse IgG at 1:500, Invitrogen) were applied and incubated for 60 438 439 min for 1 hour at room temperature in the dark. Vectashield medium with DAPI (Vector 440 Laboratories) was used to mount cover-slips for further analysis. Sixteen bit images were 441 acquired with a Nikon E800 microscope (Nikon Inc., Mellville NY) using a 40× PlanApo 442 objective. A Spot II digital camera (Diagnostic Instruments, Sterling Heights MI) was used to acquire the images (Figure-2E-F and Supplementary Figure: 3 and 5B). The cameras built-in 443 green filter was used to increase image contrast. Camera settings were based on the brightest 444 slide. All subsequent images were acquired with the same settings. Image processing and 445 analysis was performed using iVision (BioVision Technologies, Exton, PA.) image analysis 446

447 software. Positive staining was defined through intensity thresholding and integrated optical 448 density (IOD) was calculated by examining the thresholded area multiplied by the mean. All measurements were performed in pixels. Confocal images were acquired with Nikon C1si 449 450 confocal (Nikon Inc. Mellville NY.) using diode lasers 402, 488 and 561. Serial optical sections 451 were performed with EZ-C1 computer software (Nikon Inc. NY). Z series sections were collected 452 at 0.3 µm with a 40× PlanApo lens and a scan zoom of 2. The gain settings were based on the 453 brightest slide and kept constant between specimens. Deconvolution and projections were done 454 in Elements (Nikon Inc. Mellville, NY) computer software. Neuroblastoma tissues were fixed in 455 10% neutral buffered saline for several days, then dehydrated into paraffin using a Sakura VIP tissue processor and Sakura Tissue Tek 5 embedding center. Sections of 5-10µm in thickness 456 were cut using a Leica RM2265 microtome for staining with either hematoxylin or eosin (H&E) 457 458 or immuno-histochemical staining. Immuno-histochemical stains were performed using the GBI 459 Polink-2 anti-rabbit HRP Plus Detection System (GBI International, D39) or Mouse-on-Mouse 460 HRP-Polymer Bundle (BioCare Medical) and were counterstained with hematoxylin. Prior to primary antibody addition, sections were rehydrated, followed by 30 min antigen retrieval in 461 462 sodium citrate buffer pH 6.0, and blocked of endogenous peroxidase with hydrogen peroxide. 463 Primary antibodies used for immunohistochemistry were mouse rabbit anti-VDR (Abcam 464 ab3508), mouse anti-VDR (Santa Cruz Biotechnology, sc-13133), mouse anti-MYCN (Santa Cruz Biotechnology, sc-53993), rabbit anti-tyrosine hydroxylase (TH) (Millipore AB152), normal 465 466 rabbit IgG (Millipore 12-370), or normal mouse IgG (Millipore 12-371). Slides were visualized 467 using an Olympus BX41 light microscope and imaged with an Olympus DP70 camera. Photographs were captured using CellSens digital software. 468

469 Chromatin Immunoprecipitation (ChIP)

ChIP assay was performed using the Magna ChIP kit (Millipore, MA, USA) according to the
manufacturer's instructions with minor modification. PANC-1 cells were cross-linked with 1.0%

472 formaldehyde for 10 minutes at room temperature. Sonications were done in nuclear buffer (four 473 30-s pulses, output 3.0, duty cycle 30% in ice with 120-s rest between pulses; Branson Sonifier 450). A fraction of the mixture of protein-DNA complex was used as "input DNA." Soluble 474 chromatin was immunoprecipitated with anti-VDRE antibody (D-6, Santa Cruz Biotechnology 475 476 Inc) and normal mouse immunoglobulin G (IgG) (sc-2025, Santa Cruz Biotechnology Inc) 477 directly conjugated with Magnetic Protein A beads. Immuno-precipitated DNA was eluted and reverse cross-linked, and then DNA was extracted and purified using a spin filter column. DNA 478 479 samples were analyzed by PCR. PCR products were electrophoresed on 1% agarose gel, and ethidium bromide stained DNA was visualized by a Gel Doc XR+ (Biorad, Hercules, CA). 480 Synthesis of MeTC7: Synthesis of MeTC7 is described in Supplementary Information-7A. 481 482 Structure of MeTC7 was confirmed by ¹H, ¹³C and correlative NMR experiments 483 (Supplementary Figure-7B). 484 Cell culture and cytotoxicity assay: TH-MYCN+/+ cell lines were derived by mechanical 485 dissociation of tumors obtained from TH-MYCN homozygous mice (Chesler et al, 2011). These cells were maintained in RPMI 1640 media (Gibco, catalog number: 11875) supplemented with 486 20% heat-inactivated FBS, 10⁻⁵ mM 2-mercaptoethanol, 1 mM sodium pyruvate, and 1Xnon-487 essential amino acids (Gibco, catalog number: 11140076). SKOV-3, OVCAR-8, PANC-1, 488 489 KCKO, DAOT, D283 and E0771 cells were grown in complete DMEM. ECC-1 and H1975 cells 490 were grown in complete RPMI medium. MC38 murine colorectal cancer cells were grown in complete DMEM medium. ES2 was grown in McCoy's 5A complete medium. 491 Determination of antagonistic properties of MeTC7 against VDR and PPAR-Y: Agonistic 492 493 and antagonistic activity of MeTC7 against VDR and PPAR-Y was studied using a FP assay 494 (Feau et al., 2009). This assay was conducted in black polystyrene plates (Corning Inc) using a buffer [25 mM PIPES (pH 6.75) 50 mM NaCl, 0.01% NP-40, 2% DMSO], VDR-LBD protein (0.1 495 μM), LG190187 (3 μM), and Alexa Fluor 647-labeled SRC2-3 (5 nM). Fluorescence polarization 496

497 was detected after 1 hour at excitation and emission wavelengths of 650 nm and 665 nm, 498 respectively. To determine the activity against PPARy, PPARy-LBD was expressed in BL21 (DE3) (Invitrogen), purified by affinity chromatography, and stored at -80°C in buffer (50 mM 499 500 Tris (pH 8.0), 25 mM KCl, 2 mM DTT, 10% glycerol, 0.01% NP-40). For the assay, MeTC7 was 501 serially diluted in DMSO and 100 nL of each concentration was transferred into 20 µL protein 502 buffer (20 mM TRIS (pH 7.5), 100 mM NaCl, 0.01% NP-40, 2% DMSO, 10 nM DRIP2 503 (CNTKNHPMLMNLLKDNPAQD) labeled with Texas-Red maleimide, and 1 µM PPARy-LBD) in the presence and absence of GW1929 (5 µM) in quadruplet using black 96 well plate (Costar, 504 catalog number: 3658). The samples were allowed to equilibrate for two hours. Binding was 505 then measured using fluorescence polarization (excitation 595 nm, emission 615 nm) using a 506 Tecan M1000 plate reader. The experiments were evaluated using GraphPad Prism 5, and IC_{50} 507 508 values were obtained by fitting the data to an equation (Sigmoidal dose-response-variable slope 509 (four parameters). Values are given as the mean values of two independent experiments with a 510 95% confidence interval. The data were analyzed using nonlinear regression with a variable slope (GraphPad Prism). 511

Immunoprecipitation of VDR in ovarian cancer and medulloblastoma cells: Total cell 512 lysates of ovarian (SKOV-3 and OVCAR-8) cells and medulloblastoma (DAOY and D283) cells 513 were incubated with a primary VDR antibody (Santa Cruz Biotechnology, catalog number: VDR 514 antibody (H-81): SC-9164) or a corresponding IgG antibody. The beads were washed 515 516 repeatedly with washing buffer. The proteins were separated from the beads and analyzed by 517 immunoblotting using primary antibodies against VDR and PD-L1. Similarly, the VDR antibody (Santa Cruz Biotechnology, catalog number: VDR Antibody (H-81): SC-9164) was utilized to 518 519 immuno-precipitate PD-L1 from the total cell lysates of DAOY and D283 medulloblastoma cells. 520 The immunoblots were probed with PD-L1 antibody (Cell Signaling Technology, rabbit mAb, catalog number: 13684). 521

522 Estimation of effect of MeTC7 treatment on surface expression of PD-L1 in cultured 523 cancer cells. To determine the impact of MeTC7 treatment on total PD-L1 levels in cultured cancer cells, OVCAR-8 ovarian cancer cells and NCI-H460 lung cancer cells were treated with 524 MeTC7 (50, 100nM) respectively for overnight. The total cell lysates were probed with the PD-525 526 L1 monoclonal antibody (Cell Signaling Technology, catalog number:13684S, dilution: 1:1000). 527 GAPDH and β -actin were used as loading control (Figure-5A). To determine the impact of 528 MeTC7 treatment on surface expression of PD-L1, cultured PANC-1 and KCKO (human and murine pancreatic cancer cells); ES2 (clear cell ovarian carcinoma cells). NCI-H460 (lung 529 cancer), E0771 (murine breast cancer cells) and macrophage cells were treated with non-toxic 530 concentrations (100nM or 500nM for NCI-H1975 and E0771 cells). Prior to treatment with 531 MeTC7, the donor monocytes were differentiated by treatment with MCSF over a period of 48 532 533 hours before treatment with MeTC7 was carried out. The cells were processed and analyzed by 534 flow cytometry to assess the PD-L1 levels on cells. Anti-mouse IgG antibody coated beads (BD Biosciences, catalog number: 552843) were used as the internal IgG control. Both PANC-1 and 535 KCKO cells were treated separately with VDR agonist calcitriol as positive control. 536

Orthotopic colorectal cancer model of PD-L1 activation: MC38 tumor cells (1 x 10⁵) were 537 injected intramuscularly in the left legs of female C57BL/6J mice. Sample size consisted of 538 vehicle (n=5), MeTC7 (n=5), RT(n=5) and RT+MeTC7 (n=5). Mice were treated locally with RT 539 7 days after tumor cell injection using a 3200 Curie-sealed ¹³⁷Cesium source that operates at 540 roughly 1.90 Gy/min. Jigs were constructed and designed to specifically treat the tumor bearing 541 542 leg with 15 Gy radiations (Gerber et al., 2013). This source and the collimators used are calibrated periodically to ensure equal distribution of radiation. Standard caliper was used to 543 measure tumor growth. Tumor-bearing mice were administered 25 mg/kg of MeTC7 or vehicle 544 control (40% Hydroxypropyl-beta-cyclodextrin [Acros Organics] & solutol HS15 [Sigma] in sterile 545

water) subcutaneously (s.c.) 1X/day starting 2 days before RT for the indicated amount of time.
Experiment was carried out once.

548 Flow-cytometric analysis: Peripheral blood was collected from tail veins at various time points 549 into tubes containing heparin (Hospira, Inc.). Tumors were removed 4 days post-RT and processed into single cell suspensions as previously described (Gerber et al., 2013). A total of 1 550 x 10⁶ tumor cells and 15uL of whole blood were blocked with Fc Block (clone 2.4G2) followed by 551 staining with a cocktail of directly conjugated primary antibodies (Supplementary Information: 9) 552 553 for 30 minutes. VDR overexpressing SKOV-3 and ECC-1 cells were processed and stained with 554 PDL1-BV605 antibody (Biolegend Inc, Catalog number: 124321). VDR expressing SKOV-3 and ECC-1 cells, tumor cells from mice and the peripheral blood cells were washed with 1 mL of 555 556 PBS/1% BSA/0.1% azide, fixed with BD Cytofix/Cytoperm (BD Biosciences), and analyzed 557 using a 12-color LSRII (BD Biosciences) and FlowJo software (Tree Star). For mice tumor and blood cells, the data is reported as percent of CD45+ events and normalized per milligram of 558 tumor where indicated. Anti-mouse IgG antibody coated beads (BD Biosciences, catalog 559 560 number: 552843) as internal IgG control.

Efficacy of MeTC7 in THMYCN transgenic neuroblastoma mice: TH-MYCN hemizygous 561 562 mice (129X1/SvJ-Tg(TH-MYCN)41Waw/Nci) were initially obtained from the NCI Mouse Repository (strain code 01XD2) and maintained in a 129X1/SvJ background though cross-breeding with 563 either wild-type 129X1/SvJ mice obtained from The Jackson Laboratory (stock number 000691) 564 565 or other TH-MYCN hemizygous mice. TH-MYCN homozygous mice were identified through genotyping as previously described (Haraguchi et al., 2009). All mice were maintained on a 566 567 breeder diet (Labdiet 5021) and tumor-bearing mice were further supplemented with Diet Gel® 568 67A (ClearH2O®). Mice in control (n=7), MeTC7 (10mg/kg, n=8) mice were treated intraperitoneally with indicated doses. Mice in control and MeTC7 (10mg/kg) group received six 569

570 treatments in total, whereas the mice in MeTC7(100mg/kg) group were given just three

treatments to see the effect of escalated drug dose on tumor burden (data not shown).
Experiment was carried out once. The tumor burden in each mouse was estimated using
ultrasound imaging instrumentation as described below. Portion of tumors from three
homozygous THMYCN+/+ each in control and treatment group were broken into single cell
suspension and tumor immune antigens were analyzed by flow cytometry as described above.
The flow cytometry data is shown in Supplementary Figure-8.

Ultrasound imaging of the TH-MYCN mice: Tumors in vehicle/drug treated group were
visualized by abdominal ultrasound using a Vevo 3100 Imaging System and MX550D
transducer (FUJIFILM VisualSonics, Inc). Animals were anesthetized (1-3% isoflurane and

580 oxygen mixture) and restrained on a heated stage with monitors for respiration and

581 heartbeat. Ventral hair was removed with depilatory cream prior to monitoring with ultrasound

probe. 3D volume measurements were carried out using Amira 6.1 software with a XImagePAC
 extension (FEI).

584 Xenograft animal model: SKOV-3 (wild-type), pCMV, CC and VDR stably overexpressing clones (C12) and VDR stably knocked clone (C20) (Supplementary Figure-6) and SHSY5Y and 585 Be2C (Figure-6E-F) cells isolated from 70-80% confluent petri-dishes were spun down (1000 586 RPC, 5 minutes). Media was removed and cells (1million) were suspended in matrigel:serum 587 588 free RPMI media mix (1:1) and implanted subcutaneously in the right flank of the nude mice. For 589 SHSY5Y and Be2C cells, NSG mice were used. Prior to inoculation, NSG mice were shaved at 590 the inoculation site using a clean shaving machine and skin was cleaned using alcohol swaps. After tumors became palpable, mice were treated with vehicle (n=5 for SKOV-3 clones and n=7 591 592 for SHSY5Y and Be2C each) and MeTC7 (n=7) intraperitoneally till tumor volumes [length x 593 width²)/0.5] reached 2000mm³. Tumor sizes and animal weights were recorded every third day. Be2C cells formed highly aggressive tumors and treatment had to be stopped early due to 594 tumor volume reached 2000mm³. Mice in the control and drug groups were euthanized and 595

tumors were harvested. The % change in tumor growth and the difference in the tumor volumes
and tumor weights in the treatment groups were compared to vehicle treated groups using
Graph Prism software.

599 **Statistical analyses**: Statistical analyses of the tumor sizes between the control and MeTC7

treated group were determined using Graphpad Prism 7 software (GraphPad Inc.). Two tailed

601 unpaired t-test was used to determine the difference between two groups. F-test was conducted

to determine the size of the difference. Ordinary one-way ANOVA test was employed to

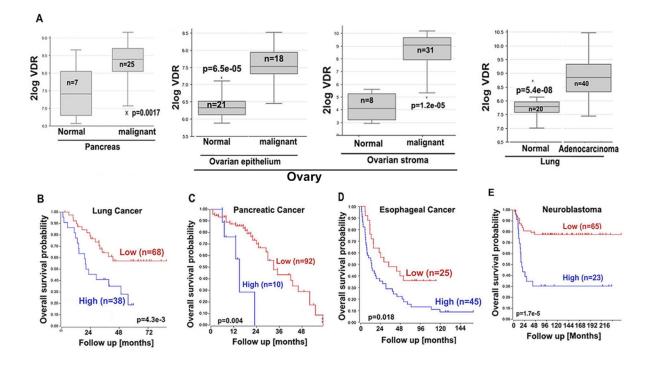
603 determine the statistical difference between groups at multiple time points as the tumor

treatment progressed. Brown-Forsynthe and Bartlett's tests were performed to assess the

605 statistical differences.

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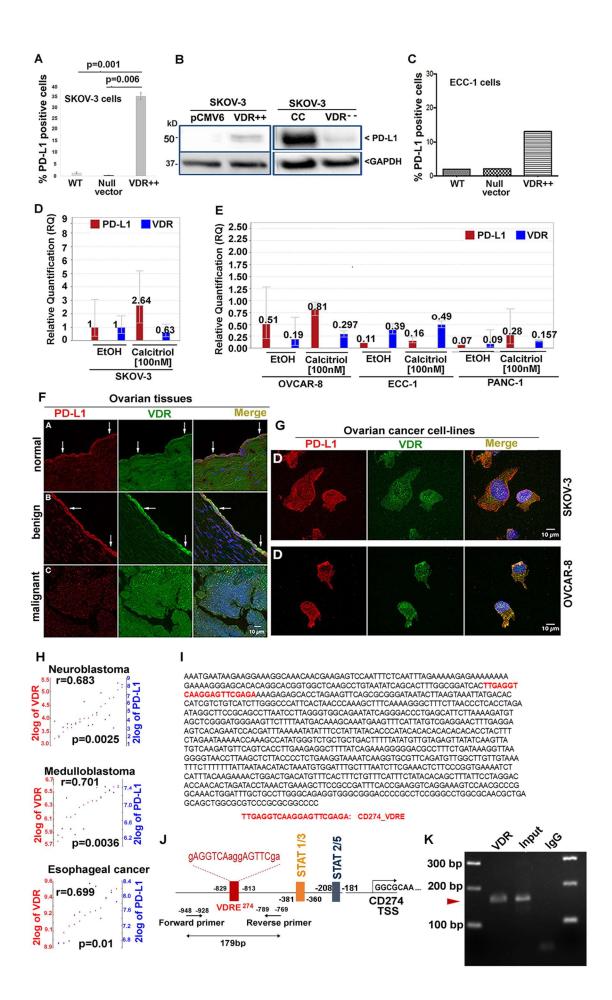
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610 Figure-1: (A): Mining of microarray expression data available at R2 genomics analysis and visualization platform showed that VDR mRNA was enriched in the malignant tissues of 611 612 pancreas, ovaries and lung compared to normal tissues. Stroma in ovarian malignant tissues also exhibited significantly increased VDR mRNA enrichment (third from left). VDR is also 613 enriched in neuroblastoma cells from patients with recurrent/progressed disease 614 (Supplementary Figure-1). (B-E): Kaplan Meier analyses of the pancreatic, lung, 615 neuroblastoma, and esophageal cancer patients using the data and tools available at the R2 616 genomics and visualization platform or Human Protein Atlas show that VDR mRNA enrichment 617 618 in lung, pancreatic and esophageal tumors and neuroblastoma correlate with decreased survival. Microarray data from breast, glioma, cervical, liver and bladder cancer also show 619 statistically significant association of VDR mRNA enrichment with increased mortalities 620 (Supplementary Figure-2). Although not statistically significant, microarray data from tumors of 621 622 ovarian cancer (p=0.09) and SHH- β medulloblastoma (p=0.09) exhibited similar trend in the

- association of decreased survival of patients with increased VDR mRNA expression
- 624 (Supplementary Figure-2).

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626



629 Figure-2: (A): Stable VDR overexpression in SKOV-3 EOC cells increased surface expression 630 of PD-L1 compared to control and pCMV6 null vector. Surface expression of PD-L1 was estimated by flow-cytometry using a PD-L1 antibody (PDL1-BV605, Biolegend Inc, catalog 631 number: 124321). Anti-IgG kappa beads (BD Biosciences. catalog number: 552843) were used 632 633 as negative controls. (B): Immuno-blot analysis of the total cell-lysates of VDR overexpressing SKOV-3 clone and null vector showed elevated expression of PD-L1 protein. Stable knockdown 634 of VDR using shRNA showed decreased PD-L1 protein expression compared to control shRNA 635 636 (CC) transfected SKOV-3 cells. Total cell-lysates were probed using PD-L1 antibody (Cell Signaling Technology, catalog number:13684S, dilution: 1:1000). PVDF membranes were 637 stripped and re-probed for GAPDH as a loading control. (C): VDR upregulation via CRISPR 638 activation plasmid increased PD-L1 surface expression on ECC-1 endometrial cancer cells. 639 640 Both VDR and PD-L1 mRNAs were found to be co-upregulated (Supplementary Figure-5A) 641 upon VDR overexpression. (D-E): SKOV-3 and OVCAR-8 (ovarian cancer), ECC-1 (endometrial cancer) and PANC-1 cells (pancreatic cancer) were treated with vehicle or calcitriol (100nM) for 642 48 hours. Relative VDR and PD-L1 mRNA expression in cells was analyzed by qpcr. Relative 643 644 Quantification (RQ) values are shown on each bar. (F-G): VDR and PD-L1 exhibit co-645 localization in normal, benign and malignant ovarian tissues. Randomly selected tissue slides 646 representing normal ovaries, benign ovaries and malignant serous ovarian tissues obtained from the pathology archives of Women and Infants Hospital of Rhode Island or (SKOV-3 and 647 OVCAR-8 cell seeded slides) were processed, fixed and stained overnight with VDR primary 648 649 antibody (Santa Cruz Biotechnology, catalog number:SC-9164, dilution: 1:500), washed and stained with source matched secondary (DyLight-488, Vector Laboratories, catalog number: DL-650 1488). Cells were washed and stained again with PD-L1 (Cell Signaling Technology, Mouse 651 652 mAb catalog number: 29122, dilution: 1:1000) followed by staining with mouse secondary 653 DyLight-594 antibody. DAPI containing mounting medium (Vectashield, Vector Laboratories, catalog number: H-1200) was applied and cover-slipped. Confocal images were recorded as 654

655	described in material and methods section. Micron bars =10 μ m. (H): Analysis of microarray
656	expression data available at R2-genomics analysis and visualization platform showed
657	statistically strong correlation of VDR and PD-L1 in neuroblastoma, medulloblastoma and
658	esophageal cancer patients. (I): Sequence of VDRE in PD-L1 promoter zone is shown. (J):
659	Schema of VDRE, STAT/3 and STAT2/5 sequences and TSS of PD-L1 is shown. (K): ChIP
660	assay using VDR (D6, sc-13133, Santa Cruz Biotechnology) antibody captured VDRE
661	sequence in PD-L1 promoter. The sequence of VDRE primers (forward and reverse) are shown
662	in Supplementary Information-9.
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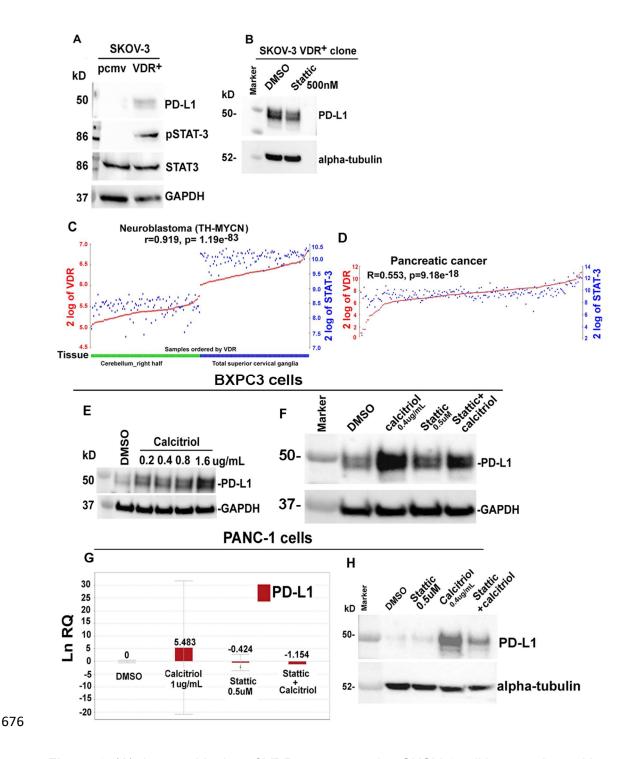


Figure-3: (A): Immunoblotting of VDR overexpressing SKOV-3 cell lysates showed increased
PD-L1 and STAT-3 phosphorylation (Cell Signaling Technology, catalog number:9145). Total
STAT3 (Cell Signaling Technology, catalog number: 30835) protein level was not altered. (B):

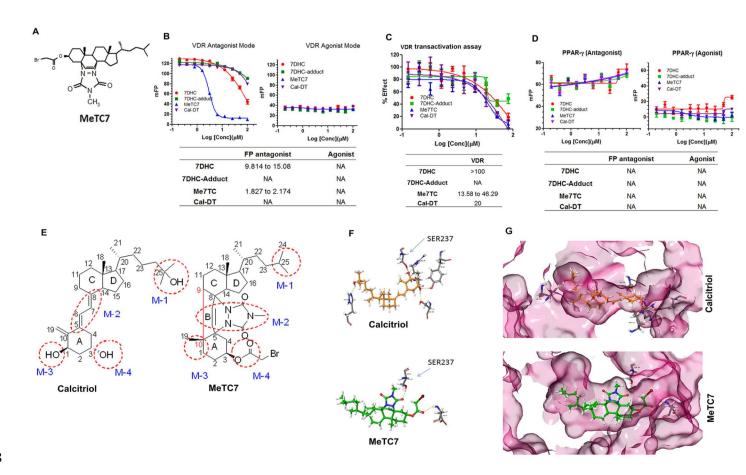
680	Treatment with Stattic (500nM, 24 hours, STAT-3 phosphorylation inhibitor) reduced PD-L1
681	expression in a stable VDR overexpressing SKOV-3 cell-lines. (C): Mining of a neuroblastoma
682	microarray database (TH-MYCN, R2-genomics analysis and visualization platform) exhibited
683	strong correlation of VDR expression with STAT-3 expression (r=0.919, p=1.19 e^{-83}). (D): Mining
684	of pancreatic cancer database also exhibited strong correlation of VDR and STAT-3 (r=0.553,
685	p=9.8e ⁻¹⁸). (E): Calcitriol (0-1.6ug/mL) dose-dependently increased PD-L1 protein expression in
686	BXPC-3 pancreatic cancer cells. GAPDH levels were not altered. (F): Stattic (0.5nM) pre-
687	treatment (6hrs) blocked calcitriol ($0.4\mu g/mL$) induced PD-L1 upregulation in BXPC-3 cells.
688	GAPDH expression was not altered. (G): A 6hrs pretreatment with Stattic (0.5nM) blocked
689	calcitriol (0.4ug/mL) induced PD-L1 mRNA expression in PANC-1 cancer cells. Naïve or treated
690	cells were processed, and mRNA expression was analyzed by qPCR. (H): A 6hrs pretreatment
691	with Stattic (0.5nM) blocked calcitriol(0.4µg/mL) induced PD-L1 protein upregulation in PANC-1
692	pancreatic cancer cells. Membranes were stripped and re-probed for α -tubulin expression,
693	which as a loading control was not altered.

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Figure-4: (A): Chemical structure of MeTC7. (B): Fluorescence polarization (FP) assay showed 700 701 VDR antagonistic effect (left) without any residual agonistic effects (right). (C): MeTC7 treatment inhibited VDR transactivation in a cell-based assay. Interestingly, a calcitriol-adduct (Cal-DT) 702 also showed similar inhibitory effect on VDR. D) MeTC7 did not exhibit any agonistic or 703 antagonistic effects against PPAR-Y, a nuclear receptor that shares high sequence homology 704 705 with VDR. (E): For in-silico binding to VDR, calcitriol (upper, left) and MeTC7 (lower, left) were marked into four structurally relevant zones (M1-M4). (F): Key interactions of both calcitriol 706 (upper) and MeTC7 (lower) with Ser237 are shown. Snapshots of calcitriol (upper) and MeTC7 707 (lower) inserted into VDR-ligand binding domain (LBD) are shown. MeTC7 due to its structural 708 709 bulk distorts VDR-LBD largely.

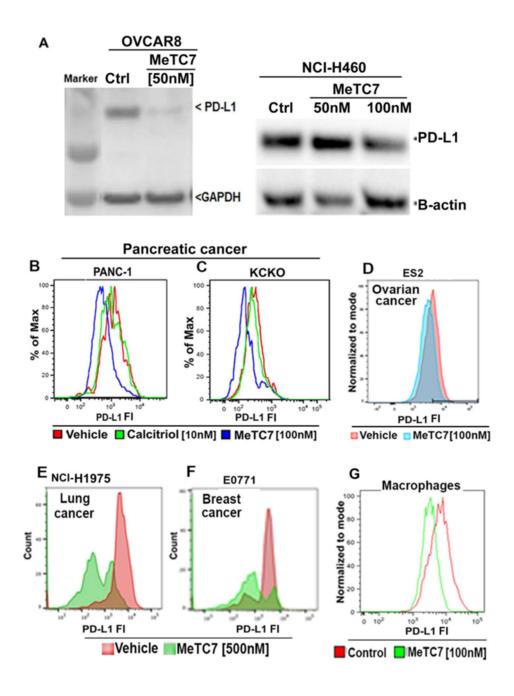
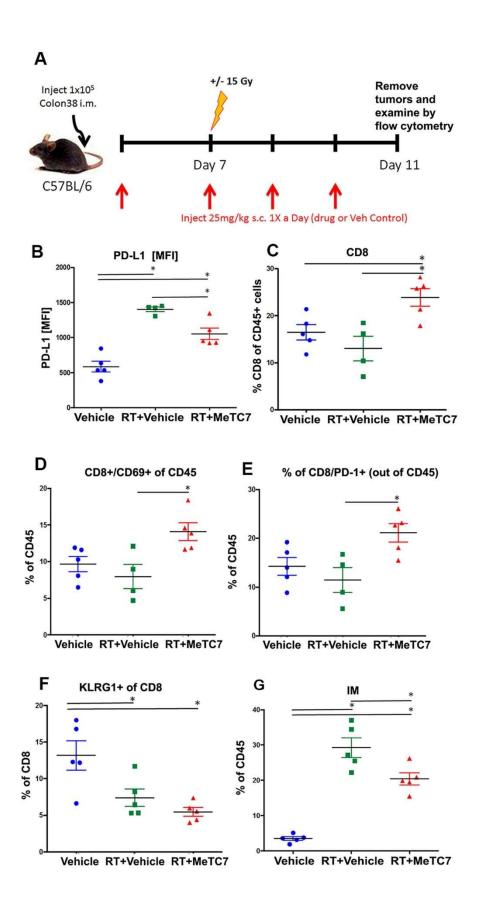


Figure-5: (**A**): MeTC7 (50-100nM, 48 hours) treatment reduced total PD-L1 levels in OVCAR-8 and NCI-H460 cells. The total cell lysates of vehicle or MeTC7 treated OVCAR8 and NCI-H460 cells were analyzed by immunoblotting techniques using PD-L1 monoclonal antibody (Cell Signaling Technology, catalog number:13684S, dilution: 1:1000). Either GAPDH or β-actin antibodies were used to assess the loading uniformity. (**B-D**): MeTC7 (100nM) treatment for 48 hours reduced surface expression of PD-L1 on human pancreatic cancer cell-line (PANC-1, **B**)

- 717 murine pancreatic cancer cell-line (KCKO, C) and clear cell ovarian carcinoma cell-line ES2 in
- vitro (D). Calcitriol was used as a control. (E-F). Non-toxic dose of MeTC7 (500nM) reduced
- surface expression of PD-L1 expression on H1975 (human lung cancer cell-line) (E) and murine
- breast cancer cell-line (F). (G): Similarly, MeTC7 reduced PD-L1 surface expression on
- 721 macrophages derived from CLL patient donated monocytes. The donor monocytes were
- differentiated by treatment with MCSF for 48 hours prior to treatment with MeTC7.

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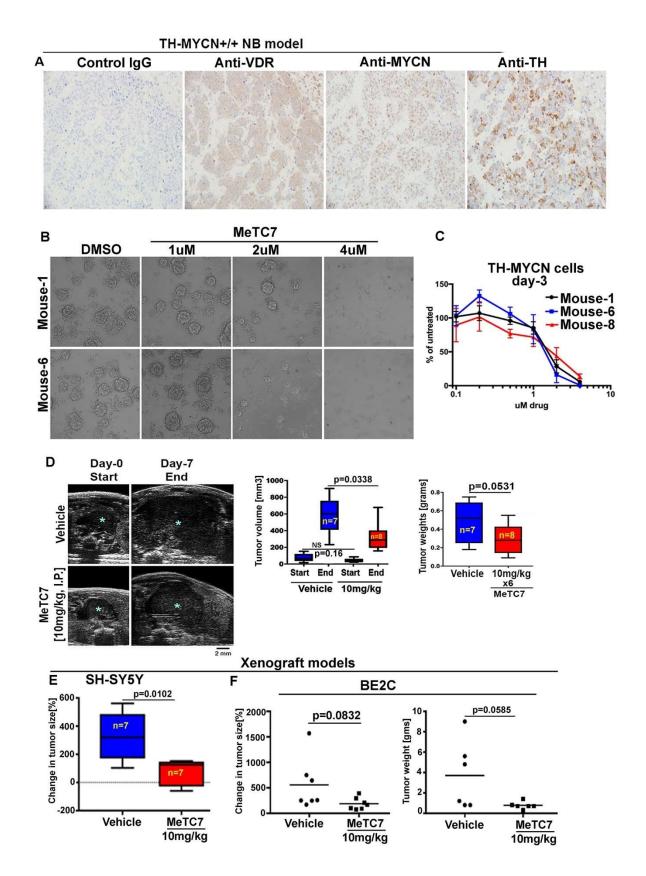


727	Figure-6: (A): Schema of evaluation of MeTC7's activity against PD-L1 activated by RT in an
728	orthotopic model of MC38 colorectal cancer in BL7 mice. Healthy MC38 cells were injected
729	intra-muscularly in thighs of BL7 mice. On the day-7 mice (vehicle: n=5; MeTC7: n=5; RT: n=5;
730	RT+MeTC7: n=5) were exposed to 15 Gy RT. MeTC7 at a dose of 25mg/kg subcutaneously
731	was injected once daily until day 11 when mice were euthanized and tumors were isolated;
732	fragmented to single cell suspension and analyzed by flow cytometry using a panel of murine
733	antibodies. Analyses of the data showed that MeTC7 in combination with RT abrogated RT
734	induced PD-L1 activation in tumors post RT (${f B}$), and (${f C}$) increased CD8+T-cell infiltration in
735	tumors significantly compared to vehicle or RT+vehicle. (D): Combination of MeTC7 with RT
736	increased activation marker CD69 on CD8+ cells and also PD-1 (E), another key CTL activation
737	marker, compared to vehicle and vehicle+RT. (F): MeTC7+RT treatment reduced KLRG, a
738	senescence marker of T cell. (G): MeTC7+RT treatment also reduced inflammatory monocytes
739	(IMs). Catalog numbers of the antibodies used are listed in the Supplementary Information-9.
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746 Figure-7: (A): Tumors from homozygous TH-MYCN (5.5 weeks old) mouse were isolated and 747 H&E stained with IgG, VDR and MYCN antibodies. Tumors were also stained for the transgene-TH. Tumors showed strong expression of VDR, MYCN and TH. (B): MeTC7 treatment dose-748 749 dependently decreased the viability of murine neuroblastoma tumor spheres isolated from 750 THMYCN mouse (tags: 1 and 6). Murine tumor sphere cells were grown in complete DMEM 751 media and treated with varying doses of MeTC7. Representative images of the cells after 24 752 hours of treatment were recorded. (C): MeTC7 treatment reduced the viability of THMYCN tumor cells isolated from the three independent mice (tags: 1, 6 and 8) during three days of 753 treatment. (D): Antitumor activity of MeTC7 in a TH-MYCN driven model of spontaneous 754 755 neuroblastoma. (D-left): Compared to vehicle (upper, n=8), MeTC7 (lower, 10mg/kg, I.P., n=8) 756 treatment reduced growth of THMYCN driven neuroblastoma in transgenic mice. Tumor burden 757 was measured by an ultrasound imaging instrument. (D-middle): MeTC7 treatment dose-758 dependently reduced THMYCN tumor volume at the end of the treatment. (D-middle): Mice were euthanized and extracted tumors were weighed. (E-F): MeTC7 treatment reduced the 759 760 growth of xenograft tumors derived from VDR high expressor neuroblastoma cells-lines (SH-761 SY5Y and Be2C). The response of MeTC7 against SHSY5Y xenograft tumors on day-10 of 762 treatment is shown. Be2C cells (VDR++ and MYCN++) formed very aggressive tumors in mice and the response of the drug on day-8th of the treatment is shown. 763

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 training/assistance. Nicole Romano PhD (Women and Infant's Hospital of Rhode Island) is
 acknowledged for staining tumor slides for co-localization studies.

769	Author contribution: RKS conceived the project, designed and synthesized the MeTC7 and				
770	organized the studies. SAG and YJ designed and completed the evaluation of MeTC7 in				
771	radiation induced PD-L1 activated colon cancer model. JNH designed and performed				
772	experiments related to TH-MYCN model under supervision of NFS. PD-L1 levels on stably				
773	overexpressing SKOV-3 cell or clones thereof were analyzed by RRT. YN developed VDR				
774	stably overexpressing and knockdown clones of SKOV-3. ALA designed and directed the				
775	fluorescence polarization assay to measure agonistic or antagonistic activity against VDR. MS				
776	in supervision of JA developed CRISPR activated ECC-1 clones and measured the PD-L1				
777	levels. MS also measured PD-L1 levels on macrophages. RH performed extensive NMR				
778	experiments to establish the structure of MeTC7. TC conducted the flow cytometry studies on				
779	cells treated with MeTC7. Western blots and pcr experiments were done by AJ, NK, RP and				
780	KKK. RKS wrote the manuscript. TY and TG conducted ChIP assay. DL, MTM, JA, HM, NFS				
781	and RGM analyzed data, reviewed and edited the manuscript or contributed resources.				
782	Competing interests: RKS and RGM are listed as inventors on a granted US patent.				
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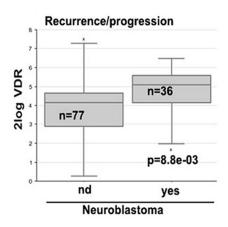
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1048 Supplementary Figures



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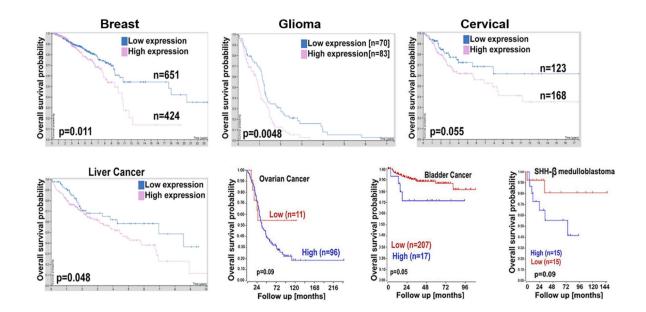
1050 **Supplementary Figure-1**: VDR mRNA is enriched in recurrent/progressed neuroblastoma.

1051 Microarray expression data available at R2 Genomics Analysis and Visualization Platform was

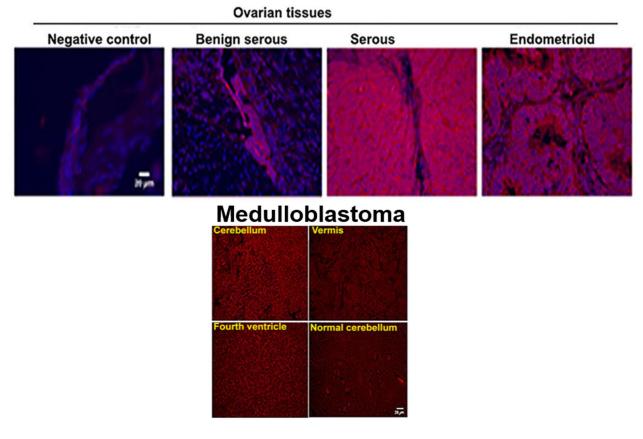
1052 analyzed using inbuilt tools and the system generated best expression cut-offs were selected.

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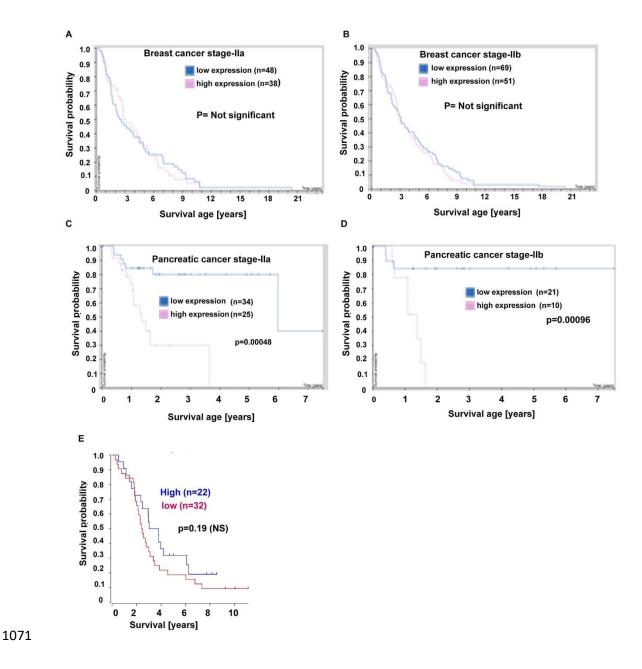
- 1056 Supplementary Figure-2: Kaplan Meier survival analyses of patients with bladder cancer,
- 1057 ovarian cancer and SHH-B medulloblastoma, show that VDR mRNA overexpression correlates
- 1058 with reduced survival. Microarray expression data available at R2 Genomics Analysis and
- 1059 Visualization Platform or Human Protein Atlas were analyzed using inbuilt tools and the system
- 1060 generated best expression cut-offs were selected.
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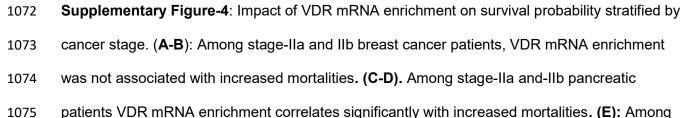


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- Supplementary Figure-3: (Upper): Ovarian cancer tissues showed increased VDR expression
 compared to benign ovarian tissues. The cells were stained with primary VDR antibody and
 subsequently with corresponding secondary DyLight-594 (Vector Laboratories, Catalog number:
 DL2594). The micron bar (20µm) is shown. (Lower): Medulloblastoma tumor microarray (US
 Biomax Inc.) was stained with VDR primary and matched DyLight-594(Vector Laboratories,

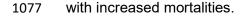
1069 Catalog number: DI2594). Compared to normal cerebellum, malignant cerebellum and fourth

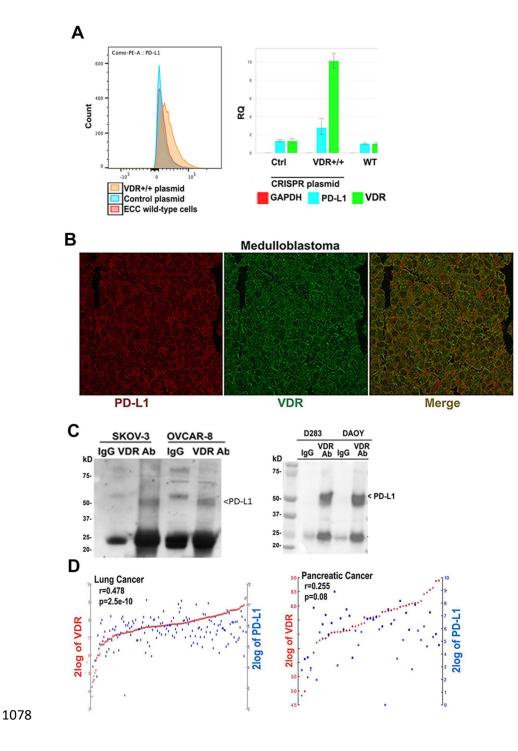


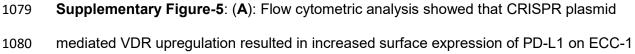




1076 ovarian cancer patients, disease stage (II) did not exhibit association of VDR mRNA enrichment

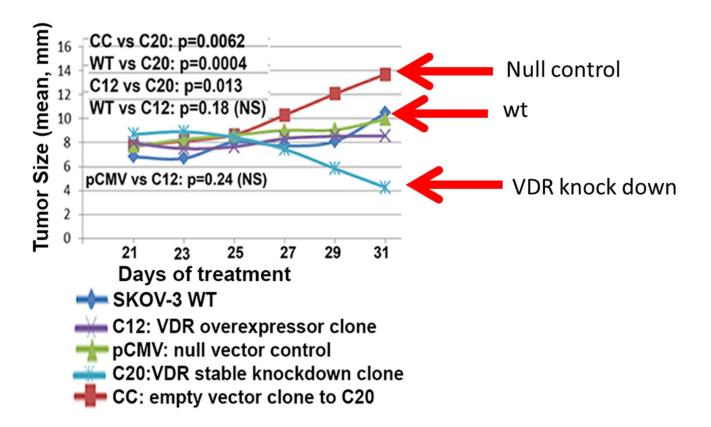






endometrial cancer cells. VDR overexpressing plasmid and null vector transfected ECC-1 cells 1081 1082 were analyzed by flow cytometry using PD-L1 antibody (PDL1-BV605, Biolegend, catalog no: 124321). Anti-mouse IgG Kappa beads (BD Biosciences, catalog number: 552843) were used 1083 1084 as the negative control (B): VDR and PD-L1 exhibited co-localization in medulloblastoma 1085 tissues. Medulloblastoma tissues (US Biomax Inc, MD, USA) were processed, fixed and stained 1086 overnight with VDR primary antibody (Santa Cruz Biotechnology, catalog:SC-9164, dilution: 1087 1:500). Slides were washed (PBST, 2x10mL, 5 minutes each) and stained with source matched 1088 secondary (DyLight-488, Vector Laboratories, catalog number: DL-1488) for an hour in dark. 1089 Tissues were washed (5x 10mL, 5 minutes each) and stained again with PD-L1 (Cell Signaling 1090 Technology, Mouse mAb catalog number: 29122, dilution: 1:1000) followed by staining with mouse secondary DyLight-594 antibody. Slides were bathed in PBST (5x10mL, 5 minutes 1091 1092 each). DAPI containing mounting medium (Vectashield, Vector Laboratories, catalog number: 1093 H-1200) was applied and cover-slipped. Confocal images were recorded as described in the 1094 material and methods section. Micron bars = $10\mu m$. (C): A monoclonal VDR antibody (Santa 1095 Cruz Biotechnology, catalog number: H-81; SC-9164) immuno-precipitated PD-L1 from the total 1096 cell-lysates of SKOV-3 and OVCAR-3 cells. Similarly, the VDR antibody (Santa Cruz 1097 Biotechnology, catalog number: H-81; SC-9164) immuno-precipitated PD-L1 from the total cell 1098 lysates of DAOY and D283 medulloblastoma cells. (D-H): Two gene correlation analyses 1099 showed correlation between VDR and PD-L1 in lung (r=0.478, p=2.5e-10) and pancreatic 1100 cancer tissues (r=0.255, p=0.08). Publicly available lung and pancreatic cancer microarray data 1101 deposited at R2-Genomics Analysis and Visualization Platform were analyzed using their two-1102 gene correlation analysis tool.

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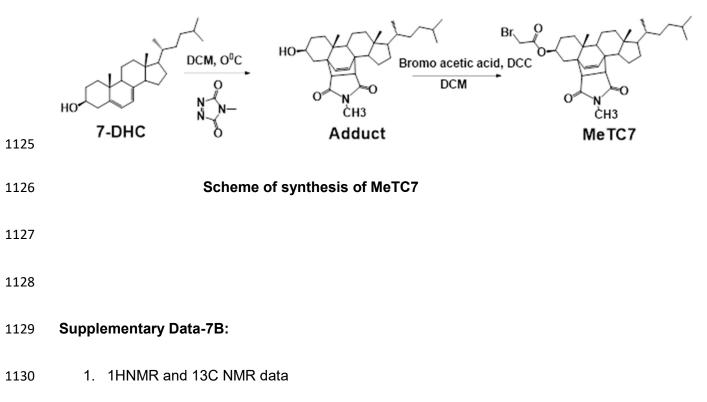
1106 Supplementary Figure-6: Stably VDR knock-down SKOV-3 cell clones (C20) showed negative tumor growth and burden during 31 days of tumor burden monitoring compared to wild-type 1107 SKOV-3, CC scrambled control, VDR high expressor clones (C12) and pCMV null vector 1108 1109 transfected SKOV-3 cell clones. 1 million cells of wild-type and stably VDR overexpressing and 1110 under-expressing clones and their control counterparts were inoculated in nude mice. The tumor 1111 growth was monitored via measuring the longest diameter. Reduced tumor growth shown by clone-C20 (VDR knock-down) provided the key rationale that inhibiting VDR may reduce tumor 1112 burden. 1113

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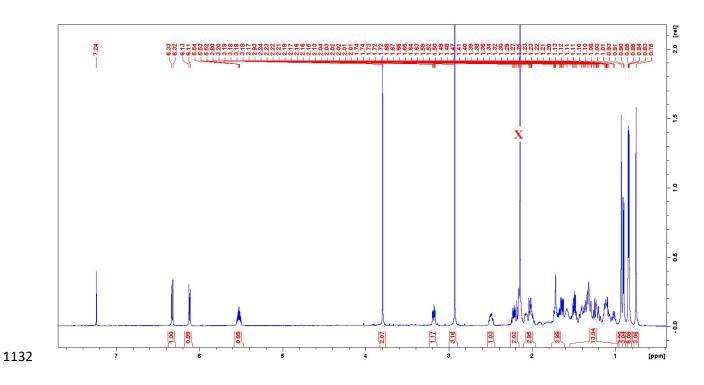
1115 Supplementary Information-7a:

Method of Synthesis: 7DHC (Sigma Aldrich) was stirred with N-methyl-1,2-4- triazolinedione
(0.1:0.1 molar ratio) in dichloromethane at 0°C. Within four hours the pink color was found to be

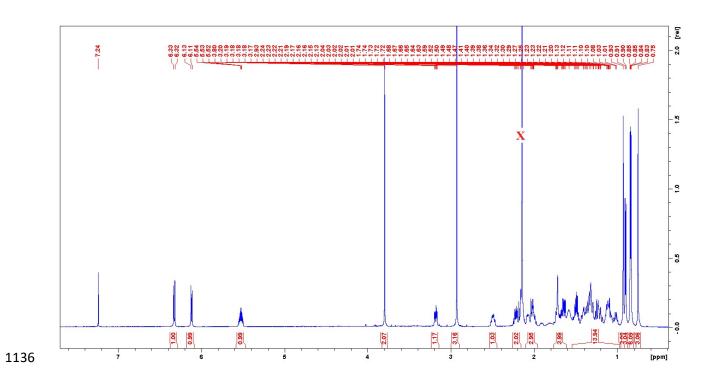
disappeared. The separated product (adduct) was filtered and coupled with bromoacetic acid in presence of DCC and catalytic amount of DMAP. Dichloromethane was removed using Buchi rotavapor and the crude product obtained was purified using a preparative thin layer chromatography plate. The band containing the product was collected and the compound was stripped off the silica gel by washing through MeOH:DCM (9:1). The solvent was removed using rotary evaporator and the compound (MeTC7) was collected after drying under vacuum as an off-white powder. The compound was stored at -20°C till used.



1131 2. 1H-1H COSY data

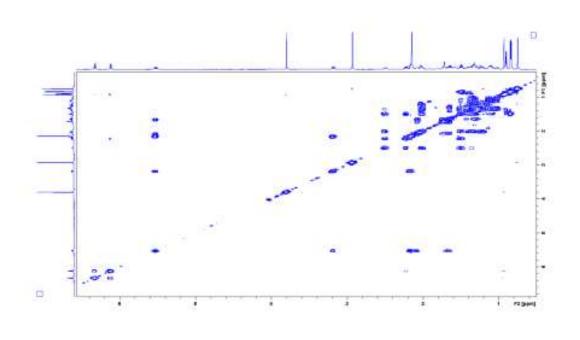


- 1133 Figure-7B-1: 1H NMR profile of MeTC7
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1137 **Figure-7B-2:** ¹³C NMR profile of MeTC7

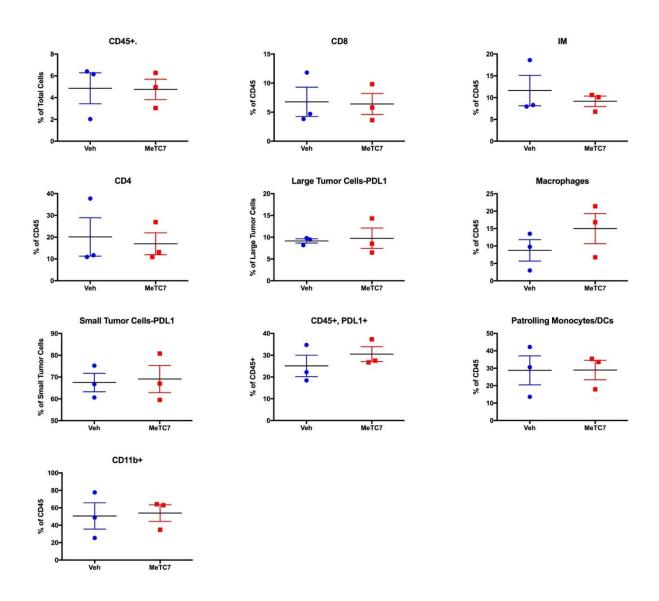
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1140 Figure-7B-3: 1H-1H NMR spectral profile of MeTC7





Supplementary Information-8: Spontaneous THMYCN tumor treated with vehicle or MeTC7
(10mg/kg, IP, once-daily) were harvested, broken into single cell suspension as described in
materials and method section. The cells were stained with mouse flowcytometric antibodies
representing CD45, PD-L1, CD11b, CD4 and CD8 antigens and those representing patrolling
monocytes/DCs, macrophages and inflammatory monocytes. Analysis of the data showed that
MeTC7 did not affect expression levels of immune markers analyzed.

1150 **Supplementary Information-9**: List and catalog numbers of the antibodies or primers used for

- the flow cytometric analyses and the ChIP assay.
- 1152 1. CD45-FITC, BD Bioscience, cat#553080
- 1153 2. CD8-PerCP-CyTM5.5, BD Bioscience, cat#551162
- 1154 3. CD69-APC, BD Bioscience, cat#560689
- 1155 4. PD1-PE-Cy7, Biolegend, cat#109110
- 1156 5. PDL1-BV605, Biolegend, cat#124321
- 1157 6. VDRE (f) primer sequence: 5' GGA AAGGCAAACAACGAAGA-3'; VDRE(r):
- 1158 5'GCGCTGAACTTCTAGGTGCT-3'
- 1159

1160 **Abbreviations:**

- 1161 CDs: Cell differentiation markers (such as CD3, -8, -45 and -69)
- 1162 irAEs: Immune related adverse reactions
- 1163 MeTC7: Name of the drug candidate
- 1164 MTAD: N-methyl-1,2,4-triazoline dione
- 1165 PTAD: N-phenyl-1,2,4- triazoline dione
- 1166 RT: Radiation Therapy
- 1167 PD-L1: Programmed death receptor ligand
- 1168 PD-1: Programmed death receptor
- 1169 VDR: Vitamin-D receptor

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