

1 **Bacterial behavior in human blood reveals complement evaders**
2 **with persister-like features**

3 Short title: Complement evaders

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23 **Abstract**

24 Bacterial bloodstream infections (BSI) are a major health concern and can cause up
25 to 40% mortality. *Pseudomonas aeruginosa* BSI is often of nosocomial origin and is
26 associated with a particularly poor prognosis. The mechanism of bacterial persistence
27 in blood is still largely unknown. Here, we analyzed the behavior of a cohort of clinical
28 and laboratory *Pseudomonas aeruginosa* strains in human blood. In this specific
29 environment, complement was the main defensive mechanism, acting either by direct
30 bacterial lysis or by opsonophagocytosis, which required recognition by immune cells.
31 We found highly variable survival rates for different strains in blood, whatever their
32 origin, serotype, or the nature of their secreted toxins and despite their detection by
33 immune cells. We identified and characterized a complement-tolerant subpopulation
34 of bacterial cells that we named “evaders”. Evaders represented 0.1-0.001% of the
35 initial bacterial load and displayed transient tolerance. Although evaders shared some
36 features with bacterial persisters, which tolerate antibiotic treatment, they appear to
37 have evolved distinct strategies to escape complement. We detected the evaders for
38 five other major human pathogens: *Acinetobacter baumannii*, *Burkholderia*
39 *multivorans*, enteroaggregative *Escherichia coli*, *Klebsiella pneumoniae*, and *Yersinia*
40 *enterocolitica*. Thus, the evaders could allow the pathogen to persist within the
41 bloodstream, and may be the cause of fatal bacteremia or dissemination, notably in
42 the absence of effective antibiotic treatments.

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46 **Author summary for “Complement evaders”**

47 Blood infections by antibiotic resistant bacteria, notably *Pseudomonas aeruginosa*, are
48 major concerns in hospital settings. The complex interplay between *P. aeruginosa* and
49 the innate immune system in the context of human blood is still poorly understood. By
50 studying the behavior of various *P. aeruginosa* strains in human whole blood and
51 plasma, we showed that bacterial strains display different rate of tolerance to the
52 complement system. Despite the complement microbicide activity, most bacteria
53 withstand elimination through phenotypic heterogeneity creating a tiny (<0.1%)
54 subpopulation of transiently tolerant evaders. While genetically identical to the rest of
55 the complement-sensitive population, evaders allow the bacteria to persist in plasma.
56 This phenotypic heterogeneity thus prevents total elimination of the pathogen from the
57 circulation, and represent a new strategy to disseminate within the organism.

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60 Introduction

61 The incidence of bacterial bloodstream infections (BSI) in high-income countries is as
62 extensive as that of strokes, ranging from 113 to 204 cases per 100,000 inhabitants [1].
63 BSI, whether nosocomial or community-acquired, have poor prognosis, with mortality
64 rates up to 40% [1,2]. They are also a leading cause of healthcare-associated
65 infections in intensive care units (ICUs) [3] and neonatal wards [4], and are particularly
66 prevalent in elderly patients (6,000 cases per 100,000 population). In this
67 demographic, mortality rates can be up to 70% [5]. Their extensive impact on overall
68 hospital costs (>\$40,000 per patient in the US) [6] make BSI a major public health
69 concern.

70 Many different bacterial species can cause BSI, among which *Escherichia coli*,
71 *Staphylococcus aureus*, *Klebsiella* species, *Pseudomonas aeruginosa*, *enterococci*,
72 *streptococci* and coagulase-negative *staphylococci* are the most prominent [1]. *P.*
73 *aeruginosa* is mainly associated with nosocomial infections, and bacteremia caused
74 by this pathogen has a poor prognosis [2,7,8] with very high mortality rates [9]. *P.*
75 *aeruginosa* can survive in many different environments and colonizes plants, animals,
76 and humans [10]. In addition to BSI, it is responsible for a number of life-threatening
77 complications including acute pneumonia and skin infection in immunocompromised
78 and elderly patients, as well as degradation of lung function in chronically-infected
79 cystic fibrosis patients [11]. The major health concerns related to *P. aeruginosa* are
80 linked to intrinsic and acquired resistance to currently available antibiotics [12].

81 The capacity of *P. aeruginosa* to survive in the human body hinges on a balance
82 between its numerous virulence factors and the presence of multiple host-defense
83 mechanisms. Regardless of the primary site of infection, *P. aeruginosa* can cross the

84 epithelial and endothelial barriers to reach the bloodstream [13,14]. In the blood, the
85 bacteria encounter the innate immune system, composed essentially of neutrophils,
86 monocytes, and the complement system. Interactions between *P. aeruginosa* and this
87 innate immune system have mainly been studied using selected strains and purified
88 components, such as isolated complement proteins or phagocytes, or serum [15–20],
89 in conditions differing from those found in the human blood [21,22]. Recent data
90 indicated that systemic *P. aeruginosa* infection could lead to pathogen transmission as
91 the bacteria were found to disseminate and propagate through the gallbladder and
92 intestinal tract in a murine model of infection [23]. However, the mechanisms allowing
93 bacteria to persist in the blood remained unclear. Within this study, we examined the
94 behavior of a number of laboratory and recently isolated clinical *P. aeruginosa* strains
95 in a standardized assay using fresh whole blood from healthy donors. Our results
96 showed that, although complement exerts an essential antibacterial activity in the
97 blood, individual bacterial strains display variable levels of tolerance. We evidenced,
98 even for the most sensitive strains, the characteristic biphasic killing curves
99 reminiscent of antibiotic persisters, and characterized a small subpopulation of
100 phenotypic variants that we named complement evaders. These rare cells withstand
101 complement-mediated lysis through phenotypic heterogeneity. Moreover, we
102 discovered that several other major Gram-negative human pathogens shared the
103 same capacity to escape human complement by forming intrinsically plasma-resistant
104 evaders. The complement evaders may have a very significant impact on bacterial
105 dissemination.

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109 **Results**

110 **Laboratory and clinical strains display diverse survival rates in human blood**

111 Using a highly standardized method in human whole blood (HWB), we examined the
112 survival of six *P. aeruginosa* strains with distinct toxin repertoires and serotypes (Fig
113 1). These assays included the commonly used laboratory strains PAO1 [24], PA14 [25]
114 and PA7 [26], which belong to distinct phylogenetic lineages/groups . PAO1 and PA14
115 both possess the type III secretion system (T3SS) which they use to translocate ExoS
116 or ExoU, respectively, into target host cells. PA7 lacks T3SS genes, but encodes the
117 pore-forming toxin Exolysin A [26,27]. In addition to these laboratory strains, we
118 included in the survey three *P. aeruginosa* strains recently isolated from infected
119 patients [27–29]. *E. coli* CF7968, a derivative of the K12 laboratory strain [30] was
120 added as a control (Table S1). Bacteria were incubated for 3 h in HWB from healthy
121 donors, and bacterial survival was assessed in ten independent experiments by
122 counting colony-forming units (CFU) (Fig 1A). Strains showed clearly distinguishable
123 and reproducible survival rates. Approximately 10% of the laboratory and reference
124 strains, PAO1 (ExoS⁺) and PA7 (ExIA⁺), survived, whereas only around 1% of the most
125 sensitive strain, PA14 (ExoU⁺), was still present following the 3-h incubation in HWB.
126 Very different survival rates were measured for the three recent clinical strains, YIK
127 (ExoU⁺), CLJ1 and IHMA87 (ExIA⁺), ranging from 0.05% for CLJ1 to complete
128 tolerance for YIK. Only the non-pathogenic laboratory strain *E. coli* CF7968 was
129 completely eliminated, with no detectable CFU after 3 h exposure to HWB. The nature
130 of the strain's virulence factors (T3SS versus ExIA) did not appear to confer any
131 significant benefit for survival, as similar sensitivities were measured for ExIA⁺ strains

132 and T3SS⁺ strains (e.g. IHMA87 versus PA14, or PA7 versus PAO1). In addition,
133 survival in HWB did not correlate with a given serotype, as highly variable survival rates
134 were measured for the three O12 strains (Fig 1B). Lack of the O antigen was
135 detrimental for the bacteria, as illustrated by the hypersensitivity of *E. coli* CF7968.

136

137 **Intrinsic *P. aeruginosa* tolerance in blood is linked to complement activity,**
138 **through either MAC insertion or opsonophagocytosis**

139 To explore the origin of the extensive differences in survival measured in HWB, we first
140 determined how well each strain was recognized by immune cells. While YIK induced
141 marginal TNF α and IL6 production, all the other strains tested triggered similar high
142 levels of cytokines (Fig S1). The toxins ExoS, ExoU, and Exolysin A are known to
143 induce apoptosis or necrosis in a variety of eukaryotic cells, including white blood cells
144 which may play a role in bacterial clearance from the blood [16,31–34]. We therefore
145 examined the cytotoxic potential of each strain toward circulating leukocytes. YIK
146 induced the highest cell death in both neutrophils and mononuclear cells, whereas
147 most other strains showed similar limited levels of cytotoxic potential with no significant
148 differences (Fig S2). Thus, except for YIK, the extent to which the bacterial strains
149 tested were recognized by and destroyed circulating leukocytes could not explain the
150 different survival rates measured in HWB.

151 We next assessed the capacity of the strains to cope with the complement system in
152 plasma (Fig 2A). As with bacterial survival in HWB, in plasma the survival rates for the
153 six selected *P. aeruginosa* strains revealed a range of sensitivities from resistance to
154 almost complete eradication. In agreement with previous reports [17,35,36], the two
155 laboratory strains PAO1 and PA14 had contrasting survival patterns: PAO1 was

156 tolerant, whereas PA14 was sensitive. The strains that were most tolerant to HWB
157 (PA7, PAO1 and YIK) were fully resistant to complement-mediated killing. The similar
158 survival profiles between HWB and plasma, and the fact that survival of the
159 complement-sensitive strains CLJ1, IHMA87, and PA14 was 10-fold lower in plasma
160 than in whole blood suggest an important role for complement in bacterial clearance
161 within HWB. Due to its complete resistance to killing, the YIK strain was excluded from
162 subsequent experiments.

163 To assess how complement contributes to bacterial elimination in HWB, we examined
164 the survival of the different strains by counting CFUs following incubation in HWB
165 reconstituted after heat-inactivation of the plasma (see Material and Methods). Heat-
166 inactivation, which eradicates complement activity, resulted in full survival of all strains,
167 including the hypersensitive *E. coli* CF7968 (Fig 2B). Indeed, most strains multiplied
168 when incubated in blood lacking functional complement, as observed by the increased
169 CFUs compared to the starting population.

170 The antimicrobial activity of complement relies on three main mechanisms: i/ bacterial
171 lysis due to the insertion of the membrane attack complex (MAC) into the bacterial
172 envelope [37], ii/ opsonophagocytosis, which combines C3b binding at the pathogen's
173 surface and its recognition by complement receptors [37], and iii/ formation of
174 neutrophil extracellular traps (NETs) to trap and kill invading bacteria [38]. To elucidate
175 which of these mechanisms was involved in bacterial clearance, we treated the blood
176 with Cytochalasin D to inactivate phagocytosis, or with DNase I to prevent NETs
177 formation [22,39] and monitored bacterial survival. Following treatment with DNase I,
178 the same level of bacterial elimination was observed as with untreated HWB (Fig 2C),
179 indicating that NETs play a negligible role in the process observed here. In contrast,

180 PA7 and PAO1 elimination appear to involve some internalization by phagocytes, as
181 their survival was consistently increased in Cytochalasin D-treated blood (Fig 2C).
182 Because the clearance of these two strains was also complement-dependent, we
183 conclude that they are eliminated through opsonophagocytosis. Blocking phagocytosis
184 had no impact on the other complement-sensitive strains CLJ1, IHMA87, PA14, and
185 *E. coli* CF7968, suggesting that they are killed through direct MAC-induced lysis.
186 Importantly, even though most of the strains were highly sensitive to complement, we
187 recurrently detected a subpopulation of survivors corresponding to <1% or even
188 0.002% of the initial inoculum, in HWB and plasma, respectively (Fig 1A and 2A).
189 These results suggest that a small bacterial subpopulation, that we termed “evaders”,
190 differ in phenotype from the majority of the population, and display increased tolerance
191 to complement-mediated killing.

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193 **Complement evaders display persister-like features**

194 As indicated above, only the laboratory strain *E. coli* CF7968 was entirely eliminated
195 upon exposure to complement. The subpopulation of *P. aeruginosa* evaders in plasma
196 ranged from 0.1% down to 0.002% of the initial bacterial load, depending on the strain
197 (Fig 2A). We further investigated this intriguing difference in sensitivities using the three
198 complement-sensitive strains PA14, CLJ1, and IHMA87, by carefully examining the
199 kinetics of bactericidal activity in plasma over a 6-h incubation (Fig 3A). A biphasic
200 curve of bacterial killing was observed, with the majority of the sensitive population (>
201 99.9%) eliminated within 2 h. Following this first phase, killing slowed down, reached
202 a plateau and left a minor subpopulation of surviving cells. However, this subpopulation
203 failed to grow, even after 6 h. We verified that the drop off in killing rate was not due to

204 depletion of complement activity after 2 h by retesting the used plasma. The used
205 plasma was still sufficiently active to kill $> 10^7$ *P. aeruginosa* PA14 cells during a 1-h
206 incubation (Fig S3A). Thus, the plasma had a residual bactericidal capacity, sufficient
207 to eliminate a population at least 4-log more numerous than the number of evaders.
208 As further evidence that evaders are not simply a result of bacterial overload of the
209 complement system, inoculating HWB with 10-fold fewer bacterial cells resulted in the
210 same proportion of evaders (Fig S3B). Based on these results, evaders correspond to
211 phenotypic variants displaying complement tolerance, and appear to be present in
212 similar proportions to antibiotic-tolerant persisters [40,41].

213 To further phenotypically characterize evaders, we re-cultured the survivors recovered
214 from a first incubation in plasma and challenged their progeny. As shown in Fig 3B,
215 following re-culture, the bacterial population had a similar sensitivity profile to
216 previously unchallenged cells. In some cases, the number of evaders in these repeat
217 challenges was below the limit of detection in our experimental settings (e.g. CLJ1
218 after 2 h). This apparent paradox is a hallmark of antibiotic persisters [42]. Thus,
219 neither evaders nor persisters reflect the emergence of resistant mutants, rather the
220 evader phenotype is transient and reversible.

221 As complement evaders were detected for all sensitive *P. aeruginosa* strains, we
222 tested whether this behavior could be extended to other Gram-negative bacteria. To
223 that aim, we selected strains of seven Gram-negative species (Table S1) and
224 assessed their survival in pooled plasma. Among the strains tested,
225 *Stenotrophomonas maltophilia* was undetectable after 1 h of incubation, and *Serratia*
226 *marcescens* presented what we called a tolerant phenotype in plasma, with a slow but
227 constant elimination rate. In contrast, the five other strains tested – *Acinetobacter*

228 *baumannii*, *Burkholderia multivorans*, enteroaggregative (EA) *E. coli* 17-2, *Klebsiella*
229 *pneumoniae*, and *Yersinia enterocolitica* – presented a biphasic survival curve similar
230 to the one recorded for *P. aeruginosa* (Fig 3C). For these strains, the proportion of
231 evaders withstanding complement-mediated lysis after 3 h of incubation ranged from
232 1% to 0.0002% of the initial population. When individual evader colonies were re-
233 cultured, a population as sensitive as the parental one was recovered, as seen for *P.*
234 *aeruginosa*. Unexpectedly, for *K. pneumoniae*, one evader colony out of the three that
235 were randomly selected gave rise to a resistant population (Fig 3D). Thus,
236 complement-resistant mutants can be selected and we do not currently know whether
237 selection occurs over the course of the pre-challenge culture steps, or during contact
238 with plasma.

239

240 **Evaders and persisters are distinct subpopulations**

241 To further describe the features of complement evaders, we compared them with
242 antibiotic-tolerant persisters. Antibiotic persisters can correspond to up to 100% of cells
243 in the stationary phase of growth, presumably due to growth arrest [43–45]. Thus, after
244 verifying bacterial growth-rates and states (log versus stationary) (Fig S4), we tested
245 whether the evaders observed in exponentially growing cultures corresponded to
246 residual non-growing cells from the previous overnight culture, or to rare cells that had
247 already entered the stationary state after a few hours of culture. To eliminate possible
248 artefacts due to the growth phases [42,46], we challenged stationary-phase cells or
249 exponentially growing cells with plasma. For the three strains *P. aeruginosa* IHMA87,
250 *A. baumannii* and *Y. enterocolitica*, we observed that the level of evaders was higher
251 in actively growing cultures, while for the other strains their proportions were similar

252 between the two states (Fig 4A). Therefore, exponentially growing bacteria had a
253 similar or higher capacity to produce evaders, suggesting that the emergence of
254 evaders is unrelated to dormancy before complement challenge. To assess whether
255 metabolic shut-down could increase the proportion of evaders, prior to exposure to
256 plasma, *P. aeruginosa* IHMA87 was treated with the protonophore cyanide m-
257 chlorophenylhydrazine (CCCP), which uncouples oxidative phosphorylation.
258 Exposure to CCCP has been reported to increase the proportion of antibiotic persisters
259 in *P. aeruginosa* by almost 2-log [47]. The addition of CCCP had no effect on bacterial
260 survival in LB (Fig S5), but its use before the plasma challenge completely abolished
261 the detection of evaders from 2 h of incubation (Fig 4B), suggesting that proton-motive
262 force is necessary for survival. To assess more growth parameters of evaders and the
263 possibility that they display a “slow growth phenotype”, we performed time-lapse
264 microscopy of IHMA87-GFP bacteria on agarose pads after incubation in normal or
265 heat-inactivated plasma (Fig 4C to 4F). Our results show that evaders immediately and
266 actively grow following plasma removal and spotting on agarose pads, forming
267 exponentially-growing microcolonies (Fig 4C). In both normal and heat-inactivated
268 plasma-treated conditions, growth rate was low upon spotting ($0.6 \pm 0.3 \text{ h}^{-1}$) but
269 stabilized at levels similar to batch cultures ($1.2 \pm 0.1 \text{ h}^{-1}$) after 2.5 h (Fig 4D). Division
270 was not impaired in evaders as the mean time to reach a first division did not vary
271 between normal ($1.08 \pm 0.35 \text{ h}$) and heat-inactivated plasma-treated cells ($0.98 \pm 0.34 \text{ h}$)
272 (Fig 4E and 4F). Altogether, these results suggest that survival to plasma treatment is
273 not dependent on growth rate but requires energy and active metabolism.

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276 **BSI isolates show full range of complement resistance and presence of evaders**

277 As we had demonstrated that the central driver of bacterial clearance from blood was
278 the complement system, mainly through its direct lytic activity, we next investigated
279 survival in plasma of a cohort of twelve clinical strains isolated from patients with BSI
280 (Table S1 and S2) to determine their capacity to form evaders. Like the data obtained
281 with the initial six selected strains, BSI isolates displayed differences in survival rates
282 in plasma, of up to five orders of magnitude. Four strains (PaG2, PaG5, PaG6, and
283 PaG10) were tolerant to complement killing, displaying > 50% survival, with some even
284 able to multiply in these conditions (PaG2, PaG6 and PaG10) (Fig 5A). In contrast, for
285 other strains (PaG8, PaG9, PaG14) just < 0.02% of the initial population survived (Fig
286 5A). The limited number of strains and the high diversity of serotypes identified (Table
287 S1) would make any attempt to correlate bacterial survival in plasma with strain
288 serotype too speculative. Even though some strains were highly sensitive to
289 complement, none were fully eliminated. To verify that these surviving cells
290 corresponded to complement evaders, we also assessed their survival kinetics in
291 plasma (Fig. 5B). The three isolates PaG3, 9, and 17 presented a tolerant phenotype,
292 with a constant rate of elimination, never reaching a plateau even after 4 h incubation.
293 In contrast, a biphasic killing curve was recorded for the five other isolates, the kinetics
294 of the curve varied from strain to strain, sometimes reaching a plateau after just 1 h,
295 whereas for others the death rate started to slow from the 3-h time point. As indicated
296 above, in some cases, surviving cells were scarce and below the limit of detection
297 (e.g. PaG8 at 2 and 3 h). Thus, most clinical isolates form evaders which can withstand
298 complement-mediated lysis, suggesting that this phenomenon could be exploited by
299 *P. aeruginosa* to persist within the bloodstream in clinical settings.

301 **Discussion**

302 Bacteremia caused by the multi-drug resistant opportunistic pathogen *P. aeruginosa*
303 presents a particular threat to hospitalized patients. To gain more knowledge on host
304 and pathogen strategies associated with BSI, we undertook an extensive analysis of a
305 cohort of *P. aeruginosa* strains in an *ex vivo* HWB model of infection. Although many
306 previous studies have addressed bacterial transmigration across epithelial and
307 endothelial barriers, the interplay between the immune system and bacterial survival
308 in HWB has been less extensively documented. We found that the nature of *P.*
309 *aeruginosa* toxins (ExoS, ExoU or ExlA) was unrelated to a strain's capacity to survive
310 in HWB, even though these toxins play important roles in breaching epithelial and
311 endothelial barriers [13,27,31,48,49]. The levels of bacterial survival measured in HWB
312 were highly variable and directly related to the action of the complement system, as
313 previously reported for carbapenem-resistant epidemic clones of *Klebsiella*
314 *pneumoniae* [50]. NETs did not contribute significantly to bacterial killing. In some
315 cases, PMNs contributed to bacterial elimination through complement-dependent
316 opsonophagocytosis, but this process was less efficient than direct MAC-induced lysis.
317 In line with this observation, Thanabalasuriar and colleagues [51] observed that
318 neutrophils had a limited capacity to phagocytose *P. aeruginosa* in the mouse lung
319 vasculature, in contrast to PMNs recruited to the organ at the site of infection [52].
320 Complement resistance is the main driver of survival in the bactericidal environment
321 that human blood represents. Nevertheless, we observed that to fully resist the
322 immune system, strains had to display both complement resistance and cytotoxicity
323 toward immune cells, as documented for the highly virulent YIK strain recently isolated
324 from a 49-year-old individual with no known immunodeficiency [29].

325 A plethora of previously identified bacterial factors could interfere with the complement
326 system in the HWB model. Thus, bacteria could alter C3b binding [19,36,51,53–56],
327 recruit negative complement regulators [18,20,57,58], break down complement
328 proteins [15,59,60], or stabilize outer membrane integrity [61,62]. Consequently,
329 bacterial resistance to complement activity appears to be multifactorial and strain-
330 dependent, and should thus be investigated in a more systematic and uniform way to
331 obtain a better overall picture of the complex interactions involved. Indeed, a very
332 recent Tn-Seq approach performed in parallel on four *Klebsiella pneumoniae* strains
333 revealed a few general, but mainly strain-dependent, factors contributing to
334 complement-resistance [63].

335 The most prominent result from our study is the evidence that strains highly sensitive
336 to plasma can nevertheless escape complement's bactericidal activity by forming
337 phenotypic variants, or evaders. In some instances, complement evaders represent
338 < 0.01% of the initial population, with survival kinetics reaching a plateau reminiscent
339 of bacterial persisters following antibiotic challenges [42]. As with antibiotic persisters
340 [42], we found that complement evaders do not harbor genetic mutations and that they
341 lose their complement-tolerant phenotype upon elimination of the stress. However,
342 complement evaders differ from antibiotic persisters through several features. First,
343 persisters are more numerous in stationary-phase cultures [45], whereas the fraction
344 of evaders is similar or even higher in exponentially growing cells. Second, we
345 observed no growth defect/delay of these rare cells following removal of the stress.
346 CCCP treatment, which decreases ATP production by membrane-bound ATPases as
347 a result of inhibition of proton motive force was previously shown to increase the
348 number of persister cells by 2-log upon challenge with ciprofloxacin [47]. Here,
349 metabolic shut-down with CCCP prior to the plasma challenge abolished the

350 emergence of evaders. This result further evidences that complement evaders are not
351 in a dormant state, and that they emerge through an energy-dependent process.
352 Based on these results, we propose that complement evaders share few
353 characteristics with antibiotic persisters to withstand a stress [40,64–67], however the
354 mechanisms leading to the development of these characteristics remain a subject of
355 debate [68].

356 Interestingly, following serum challenge of uropathogenic *E. coli*, Putrinš and
357 colleagues [69] reported the emergence of complement-resistant stationary-phase
358 persisters. This result suggests that, in addition to complement evaders, bacteria may
359 have developed a number of ways to transiently hide from the immune system when
360 present in the blood. Putrinš and colleagues also identified a subpopulation of non-
361 quiescent cells undergoing rapid division in serum, which could withstand complement-
362 mediated lysis but was sensitive to antibiotics. This population may be the same as
363 the evaders described here. However, Putrinš and colleagues only observed these
364 cells from stationary-phase cultures, as exponentially growing bacteria appeared to be
365 serum-resistant in their experiments.

366 Host immunity can amplify a pathogen's phenotypic heterogeneity, promoting the
367 formation of antibiotic persisters both *in vivo* and *in vitro*. For example, upon lung
368 infection with *M. tuberculosis*, cell-to-cell variations in ribosomal RNA transcription
369 patterns increased markedly compared to growth-permissive *in vitro* conditions [70].
370 Bacterial uptake by macrophages was also recently shown to induce persistence in
371 both *Salmonella enterica* and *S. aureus*, in response to the stress conditions
372 encountered during vacuolar internalization [71–73]. Exposure to human serum has
373 also been linked to an increased frequency of antibiotic persisters in *Vibrio vulnificus*

374 [74]. The process involved in the emergence of this population is at least partly
375 mediated by complement activity, as a lower proportion of surviving cells was detected
376 following exposure to heat-inactivated serum. Our results show that human plasma
377 can trigger phenotypic diversity in addition to antibiotic persistence, although we still
378 lack information on whether the evader phenotype emerges spontaneously (are these
379 cells already present in the population prior to the stress?) or in response to a trigger
380 (do they only appear upon contact with complement?) [46]. This minor population of
381 evaders has been ignored so far as it can represent less than 0.01% of the initial
382 population, and because bacterial survival in serum is often monitored at a single time
383 point (usually after a 1-h challenge), rather than examining the kinetics of survival over
384 a long enough period to reach a plateau.

385 Although BSIs were historically considered “dead-ends” for infectious agents, Bachta
386 and colleagues [23] recently reported that once in the blood, a subpopulation of *P.*
387 *aeruginosa* migrates to the gallbladder in mice, where it replicates and can exit the
388 organism through the intestinal tract, causing contamination of cage-mates. In our
389 experiments, not all BSI isolates were complement-tolerant, but most of the sensitive
390 strains could form evaders, making the bacteria potentially transmittable.

391 Numerous bacterial pathogens affecting humans may form an evader population,
392 which could represent a reservoir of complement-resistant cells capable of
393 disseminating and spreading throughout the organism. By elucidating the molecular
394 mechanisms through which complement evaders emerge, notably by performing
395 transcriptomic/proteomic profiling of these populations, we hope to identify ways to
396 diminish the risks of bacteremia caused by various bacterial pathogens.

397

398 **Methods**

399 **Bacterial strains and culture conditions**

400 The bacterial strains used in this study are listed in Table S1. Bacteria were grown in
401 liquid Lysogeny Broth (LB) prepared according to Miller's formulation (0.5% yeast
402 extract, 1% tryptone, 1% NaCl) for >16 h with agitation (300 rpm) at 37 °C, except for
403 *Y. enterocolitica*, which was cultured at 28 °C. If not otherwise specified, the culture
404 was diluted and placed at 300 rpm until the OD_{600nm} reached ~ 1.

405 **Whole blood and plasma killing assays**

406 Heparinized HWB from healthy donors was provided by the French National blood
407 service (EFS, Grenoble, France) and was used within 3 h of collection. Bacteria
408 resuspended in RPMI (Thermo Fisher Scientific, Illkirch, France) were incubated in
409 HWB (90% final blood concentration) at a theoretical multiplicity of infection (MOI) of 5
410 per phagocyte (monocytes and granulocytes), which corresponded to a final bacterial
411 concentration of 2.25×10^7 mL⁻¹. The precise value was verified for each experiment by
412 plating the bacteria on LB agar plates at t_0 and counting colony-forming units (CFU)
413 after ~ 15 h incubation at 37 °C. Tubes were incubated for 3 h on a rotating wheel at
414 37 °C in a 5% CO₂ atmosphere. Following incubation, bacterial survival was
415 determined following serial dilutions in H₂O by colony counting on LB or selective PIA
416 (*Pseudomonas* Isolation Agar) medium. Bacterial survival was expressed as a
417 percentage (%) of survivors calculated from the CFU number after 3 h (t_{3h}) incubation
418 relative to the CFU measured in the initial inoculum (t_0). To inhibit the potential
419 bactericidal effect of phagocytosis and Neutrophil Extracellular Traps (NETs),
420 Cytochalasin D (10 μM) and DNase I (200 U/mL) (Sigma-Aldrich, Saint-Quentin-
421 Fallavier, France) were applied to the HWB for 30 min at 37 °C prior to the addition of

422 bacteria. In the non-treated condition, RPMI medium without inhibitors was added to
423 the blood.

424 To inactivate complement, HWB was centrifuged for 5 min at 400 g to isolate plasma,
425 which was subsequently heat-inactivated for 30 min at 56 °C. Meanwhile, cells were
426 washed twice with RPMI and pelleted by centrifugation for 5 min at 400 g.
427 Complement-inactivated whole blood was reconstituted by mixing the heat-inactivated
428 plasma with the washed cells. A control condition was also prepared, combining
429 washed cells with untreated plasma.

430 For plasma killing assays, heparinized HWB was centrifuged for 10 min at 1000 g. The
431 supernatant was recovered and filtered through a 0.2- μ m membrane prior to storage
432 at -80 °C until needed. Pools of plasma used in experiments were from ten individual
433 donors. After thawing, plasma was systematically filtered once again through a 0.2- μ m
434 membrane. Bacteria in PBS supplemented with calcium and magnesium (Thermo
435 Fisher Scientific, Illkirch, France) were incubated in plasma (90% final plasma
436 concentration) at the same concentration as that used for whole blood assays
437 (2.25×10^7 CFU/mL). At various time points (see figures), survival was determined by
438 counting colonies on LB or PIA, following serial dilutions in PBS supplemented with
439 calcium and magnesium. As for the HWB assays, the CFU count for the starting
440 inoculum was taken as reference (100% survival) to quantify bacterial killing.

441 **Cyanide m-chlorophenylhydrazone treatment before plasma challenge**

442 CCCP (Sigma-Aldrich, Saint-Quentin-Fallavier, France) solubilized in dimethyl
443 sulfoxide (DMSO) was added to the LB culture once an $OD_{600nm} \sim 1$ was reached. The
444 final CCCP and DMSO concentrations were 200 μ g/mL and 0.5%, respectively.
445 Following 1 h incubation at 37 °C with agitation (300 rpm), bacteria were recovered by

446 centrifugation for 5 min at 5,000 g. The bacterial pellet was resuspended in PBS
447 supplemented with calcium and magnesium.

448 **Cytokine quantification in whole blood**

449 Cytokine concentrations in whole blood were assessed by flow cytometry. Briefly,
450 bacteria suspended in RPMI were added to HWB (2.25×10^7 CFU/mL) and incubated
451 for 3 or 6 h. Plasma was recovered following a 5-min centrifugation step at 400 g and
452 stored at -80°C until required. Analytes were quantified using the LEGENDplex
453 Human Inflammation Panel 1 (Biolegend, San Diego, USA) according to the supplier's
454 instructions, and samples were analyzed on a FACSCalibur (Becton Dickinson, Pont
455 de Claix, France). For the non-infected control (NI), RPMI was added to the blood.

456 **Bacterial cytotoxicity in whole blood**

457 Heparinized whole blood from healthy donors (100 μL) was infected with bacteria in
458 the same conditions described for the killing assays (MOI 5). After incubation for 3 h
459 at 37°C on a rotating wheel, CD45VioBright 667 antibody from Miltenyi Biotec
460 (Bergisch Gladbach, Germany) was added. Samples were incubated for 20 min at
461 room temperature, and then diluted 10x with RPMI medium without phenol red but
462 containing penicillin and streptomycin. Flow cytometry was performed on a
463 FACSCalibur, and data were analyzed using FCS Express software (DeNovo
464 software). Leucocytes were first defined based on CD45⁺ expression to exclude red
465 blood cells. Lymphocyte/Monocyte and Neutrophil gates were then defined according
466 to size and granulometry of events, and quantified. The percentage of
467 lymphocytes/monocytes and neutrophils was determined for each infection condition,
468 and compared to uninfected conditions.

469 **Time-lapse microscopy to assess the regrowth of complement-resilient bacteria**

470 After incubation in normal plasma (or in heat-inactivated plasma for the control
471 condition), bacteria were collected by centrifugation for 5 min at 5,000 g, washed and
472 resuspended in PBS and then spotted on a 2% agarose pad containing LB. After
473 complete absorption of the liquid into the pad, the preparation was sealed under a
474 0.17-mm glass coverslip using an adhesive plastic frame (Gene Frame 125 μ L,
475 Thermo Fisher Scientific, Illkirch, France). Time-lapse microscopy was performed at
476 37 °C using a 100x oil immersion objective on an inverted microscope (Axio Observer
477 Z1, Zeiss, Germany). Phase-contrast and fluorescent images were recorded every
478 15 min with a Hamamatsu ORCA-Flash 4.0 digital camera and a Zeiss 38HE filterset.
479 In each experiment performed (two for normal and two for heat-inactivated plasma),
480 eight isolated microcolonies were segmented using MicrobeJ (27572972) to determine
481 their surface, growth rate and time of first division.

482 **Serotyping of BSI isolates**

483 The twelve clinical isolates were serotyped using 16 monovalent antisera directed
484 against *P. aeruginosa* LPS (Bio-Rad, Marnes-la-Coquettes, France), according to the
485 manufacturer's instructions.

486 **Statistical analysis**

487 Statistical tests were performed using SigmaPlot software. To analyze multiple
488 comparisons, a one-way ANOVA or Kruskal-Wallis test were applied, depending on
489 the normality of the data. A Student-Newman-Keuls pairwise comparison was then
490 performed. Mann-Whitney U test or Student's t-test were used to compare two groups,
491 depending of the normality of the data. Where indicated, values were log-transformed
492 to convert initially non-normally-distributed data into a normally-distributed dataset.
493 GraphPad Prism was used to create graphs.

494 **Ethics statement**

495 Medical data from patients infected with *P. aeruginosa* strains were extracted from
496 medical records. No nominative or sensitive personal data were recorded, and the
497 study only involved the reuse of already available data. This study falls within the scope
498 of the French Reference Methodology MR-004 for studies not involving human
499 subjects.

500

501 **Data Availability Statement**

502 All relevant information are provided in the article and the supporting information
503 files.

504

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525

526 **Conflict of interest**

527 All authors declare no competing interests.

528

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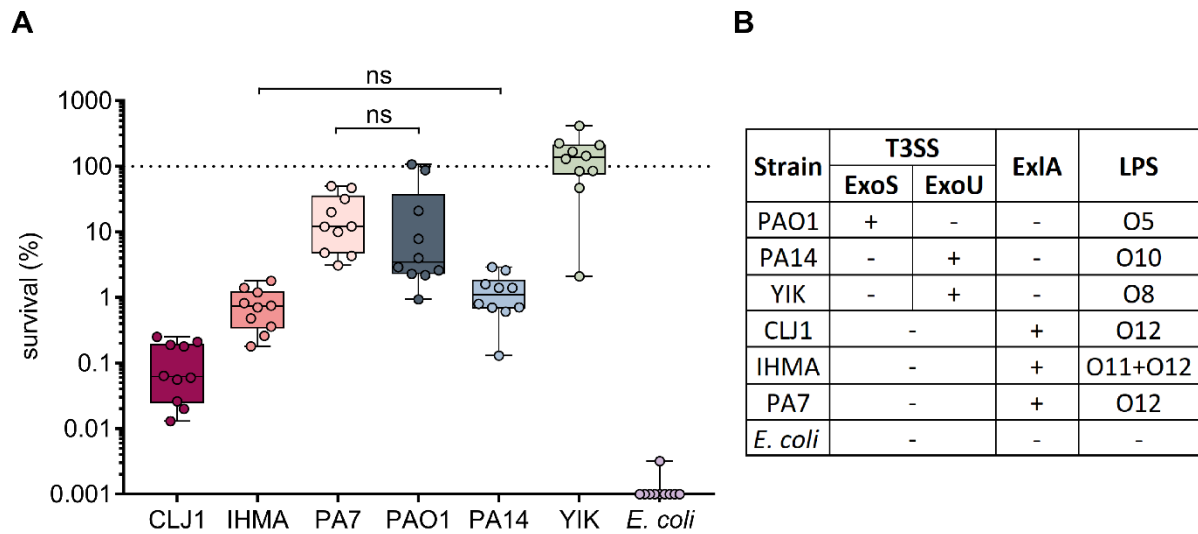
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800 **Figures**

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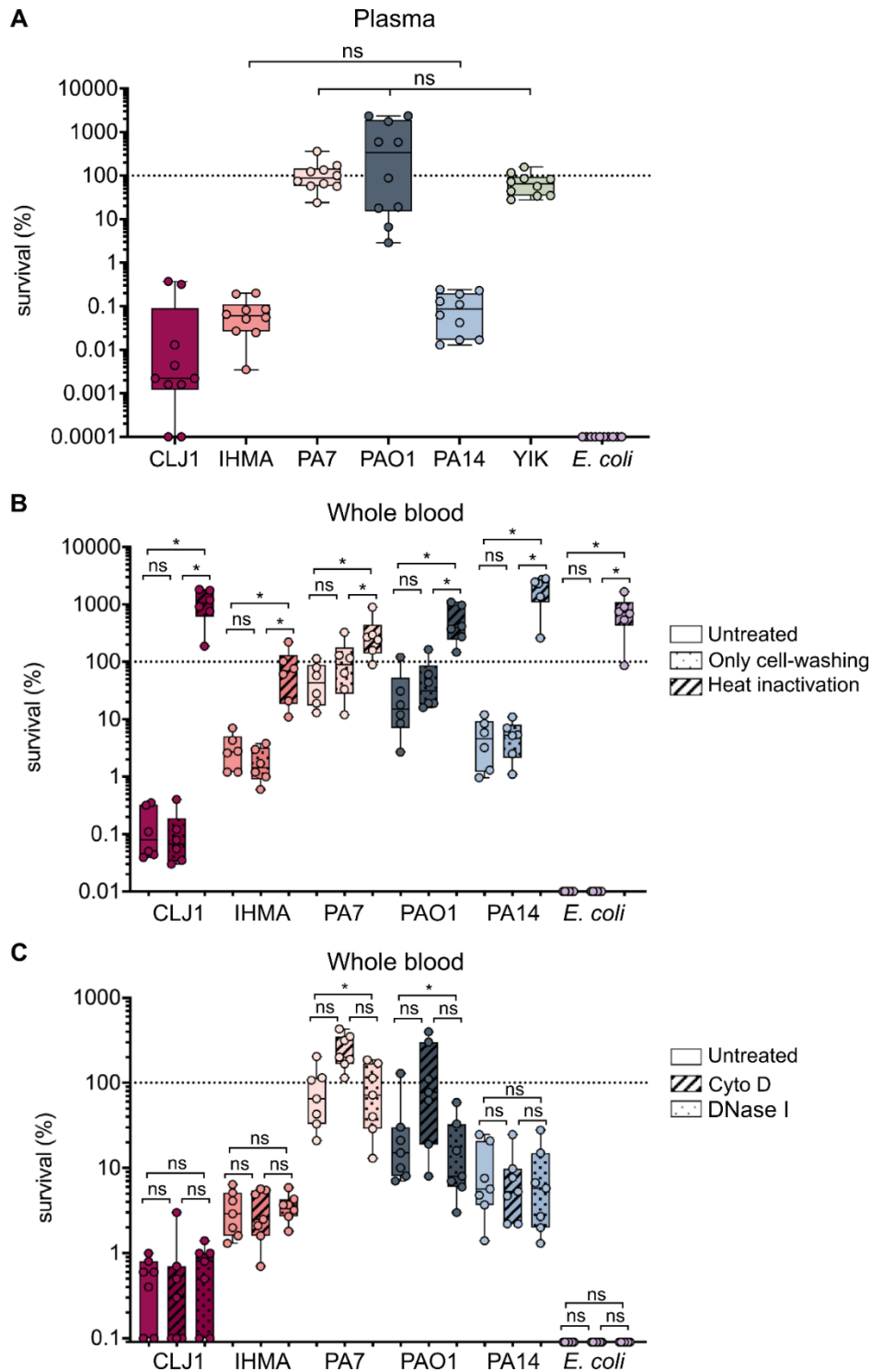


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804 **Fig1. *P. aeruginosa* strains display various rates of resilience in the HWB model.**

805 **(A)** *P. aeruginosa* survival in blood is independent of strain origin or the secreted toxin
806 profile (ExoU, ExoS, or ExIA). Exponentially-growing bacteria were incubated for 3 h
807 in blood obtained from 10 different healthy donors (n=10), and bacterial survival was
808 determined by serial dilutions and CFU counting. ns: non-significant. When not stated,
809 differences in survival were significant. Kruskal-Wallis test, $p < 0.001$; Student-
810 Newman-Keuls post-hoc test: $p < 0.05$. **(B)** Nature of the toxins secreted by each strain,
811 and serotypes of these bacteria. Note that the IHMA87 strain cross-reacts with the two
812 antisera O11 and O12, while *E. coli* CF7968 lacks O antigens.

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815 **Fig2. Complement is the main immune factor involved in bacterial clearance in**
 816 **HWB. (A)** Survival of *P. aeruginosa* strains in human plasma. Strains were incubated
 817 in plasma from different healthy donors (n=10), and survival was measured based on
 818 CFU counts. ns: non-significant. When not stated, differences in survival were

819 significant. Kruskal-Wallis test, $p < 0.001$; Student-Newman-Keuls post-hoc test: p
820 < 0.05 . Note similarities in survival profiles to those shown in Figure 1. **(B)** Heat-treating
821 plasma prevents elimination of bacteria from HWB. Bacteria were incubated for 3 h in
822 HWB from different donors ($n=6$) with (hashed) and without (solid) heat-treatment to
823 inactivate complement, or only with washed blood cells without plasma heating
824 (dotted). The effect of this treatment on bacterial survival was assessed based on CFU
825 counts. **(C)** Phagocytes are involved in the elimination of a limited number of strains.
826 Bacteria were incubated for 3 h in HWB from different donors ($n=7$) in the absence
827 (solid) or presence of Cytochalasin D (hashed) or DNase I (dotted), to monitor the
828 impact of these treatments on strain survival. **(B)** and **(C)**: Kruskal-Wallis test, $p < 0.05$;
829 Student-Newman-Keuls post-hoc test: * $p < 0.05$.

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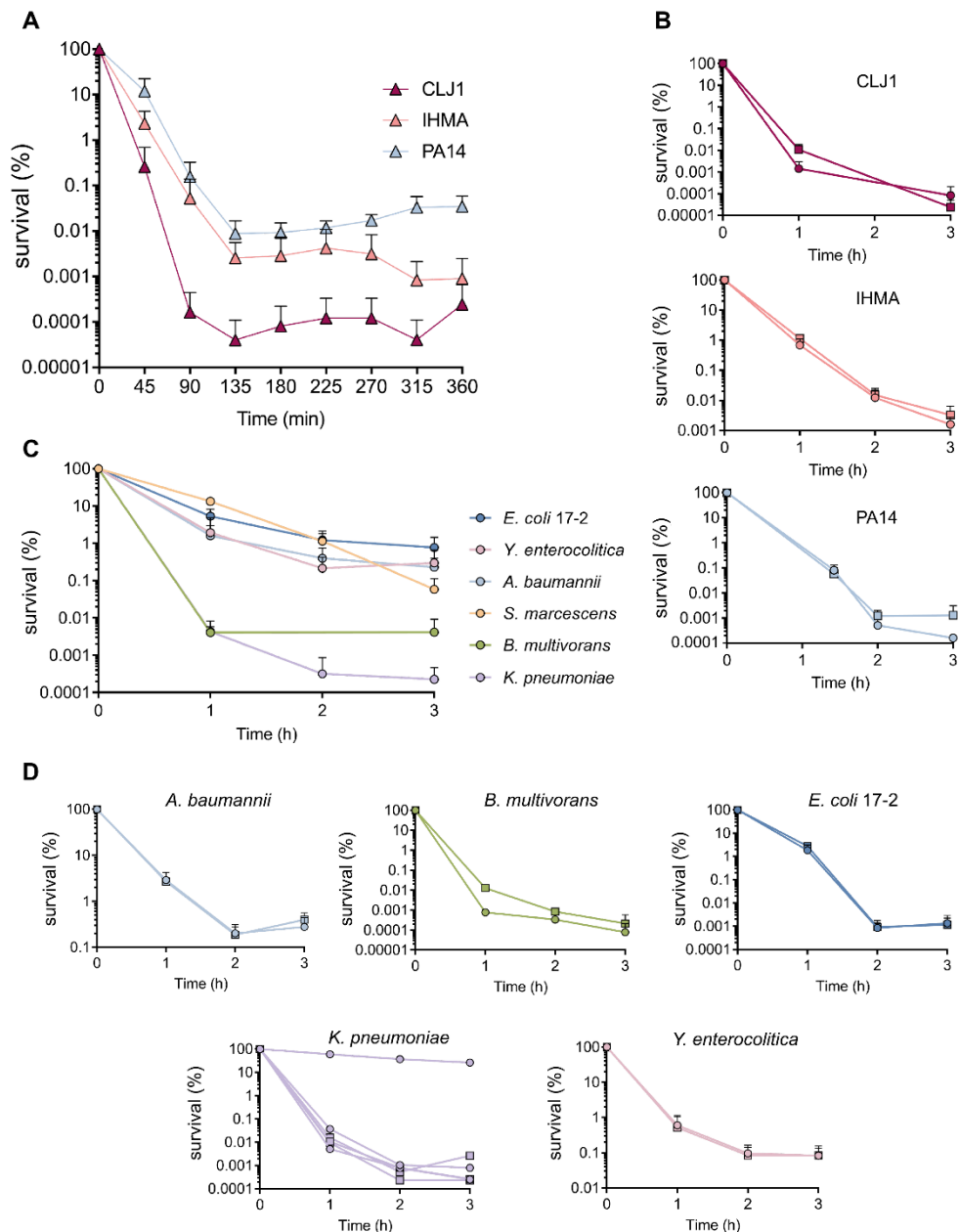
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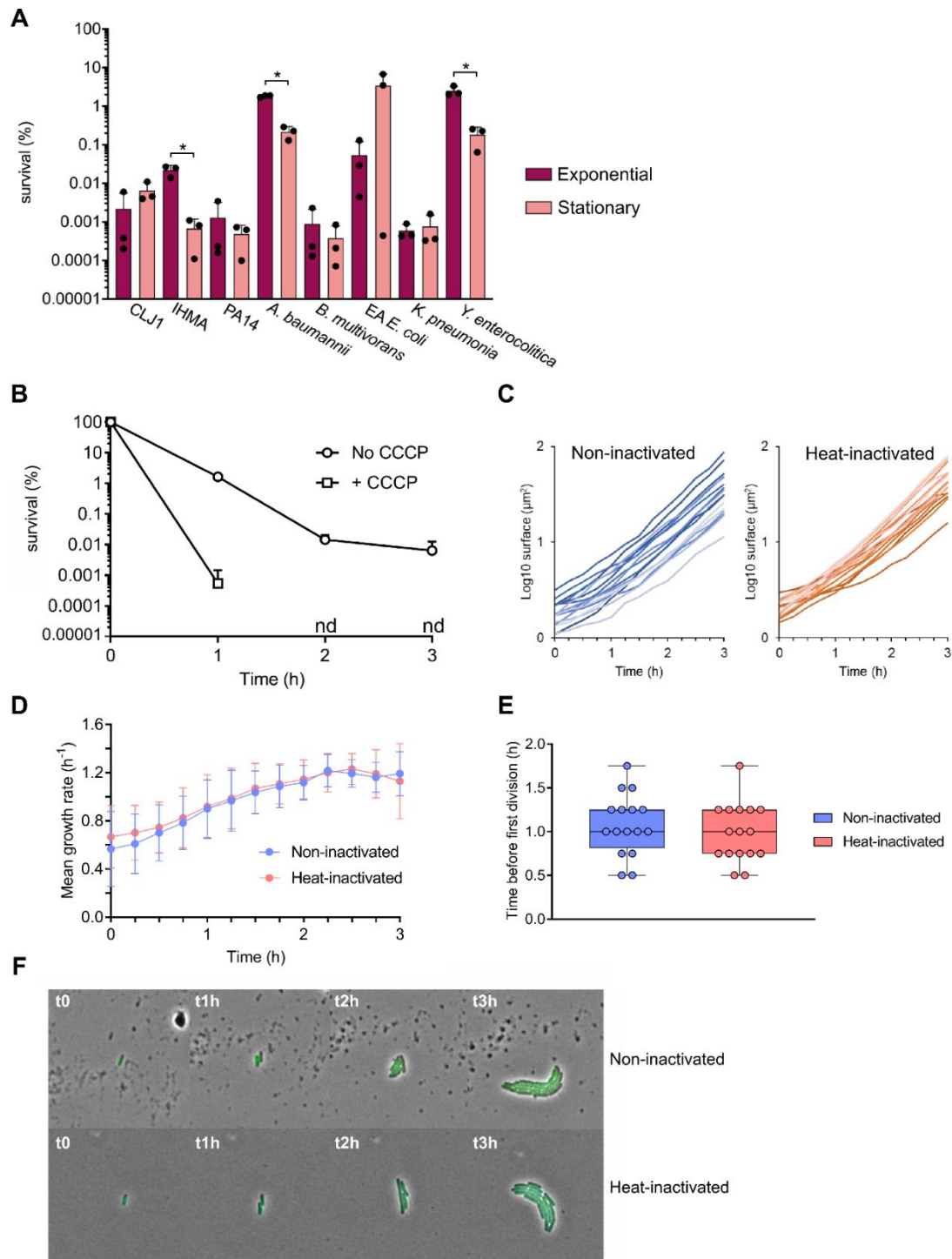
839 **Fig3. Evaders are rare, complement-resistant, phenotypic variants. (A)** Prolonged
 840 exposure of *P. aeruginosa* to plasma results in killing curves reminiscent of those that
 841 characterize persister formation. The three complement-sensitive strains PA14, CLJ1
 842 and IHMA87 were incubated for 6 h in a pool of human plasma, and their survival was
 843 measured every 45 min to determine the kinetics of their survival. **(C)** Evaders are a
 844 common feature among Gram-negative bacteria. Various Gram-negative species
 845 including *A. baumannii*, *B. multivorans*, enteroaggregative *E. coli*, *K. pneumoniae*, and

846 *Y. enterocolitica* were incubated in pooled human plasma for 3 h, and their survival
847 was assessed hourly. **(B)** and **(D)** The evader phenotype is reversible and not the
848 result of fixed mutations. Following a first challenge, a single “evader” colony was
849 recultured to assess the survival of its progeny (circle) in pooled plasma during 3 h.
850 Survival was compared to that of a population that was never exposed to complement
851 (square). Data represent mean \pm SD of three independent experiments **(A to D)**. Note
852 that for *K. pneumoniae* in **(D)**, the three experimental points were not pooled as one
853 resistant mutant was isolated, presenting a survival profile different from the two other
854 evader clones.

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859 **Fig4. Complement evaders differ from antibiotic persisters.** (A) Cells in the
 860 stationary phase do not form more evaders than exponentially growing bacteria.
 861 Bacteria from stationary phase and exponentially growing cultures from every evader-
 862 forming strain were challenged in a pool of plasma for 3 h to compare their ability to

863 form evaders. Student's t-test: * $p < 0.01$, performed on log-transformed values. **(B)**
864 Formation of evaders requires active metabolism. Survival kinetics of IHMA87 in a pool
865 of plasma, following 1-h treatment with the protonophore CCCP in LB, or not. nd: non-
866 detected. **(C) to (E)** Following stress removal, evaders show no growth defect. After
867 3 h incubation of IHMA87-GFP in pooled plasma following heat-inactivation or not,
868 surviving cells were recovered and spotted on a 2% agarose pad containing LB,
869 allowing the bacteria to regrow. Using time-lapse microscopy, individual microcolony
870 surface **(C)**, mean growth rate **(D)** and elapsed time before the first division **(E)** were
871 determined. From two independent experiments, 16 evader cells were analyzed and
872 compared with 16 non-evader cells from the control condition (heat-inactivated
873 plasma). **(F)** Time-lapse microscopy of two representative microcolonies from each
874 conditions. Data represent mean \pm SD of three independent experiments **(A and B)**.

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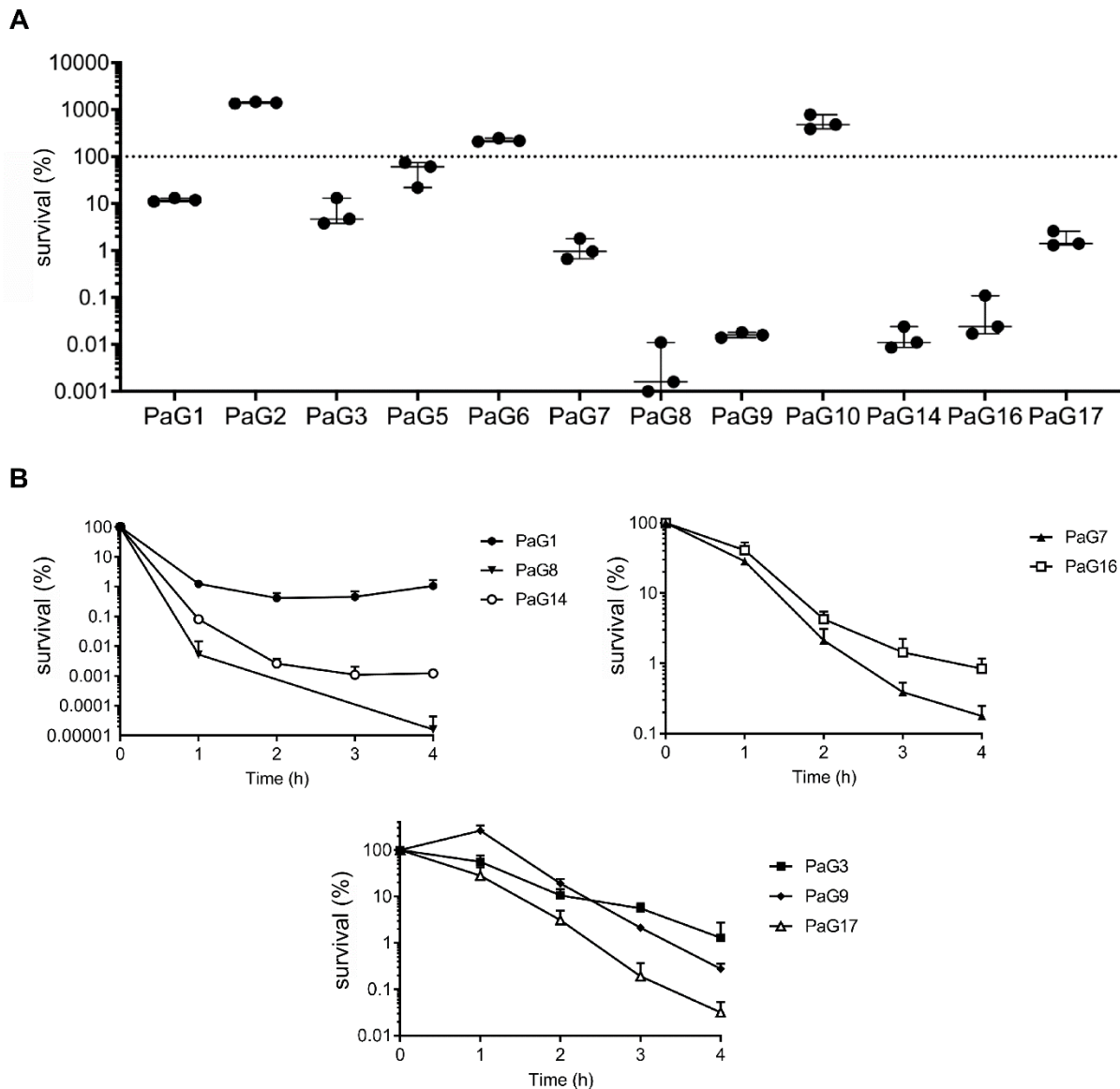
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884 **Fig5. BSI isolates avoid elimination mainly through complement evaders. (A)**

885 Complement-resistance is not common to all BSI isolates. Twelve *P. aeruginosa*
886 isolates from bloodstream infections were incubated in pooled plasma for 3 h, and their
887 survival was assessed at the end of the incubation. **(B)** Most complement-sensitive
888 isolates form evaders. Kinetics of survival in pooled plasma for 3 h of eight
889 complement-sensitive clinical isolates. Three different panels are used for clarity and
890 to allow better visualization of the inflection points. Data represent mean \pm SD of three
891 independent experiments **(A and B)**.