Click evoked middle ear muscle reflex: Spectral and temporal aspects[a]

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Running title: Click-evoked middle ear muscle reflex

Abstract

This study describes a time series-based method of middle ear muscle reflex (MEMR) detection using bilateral clicks. Although many methods can detect changes in the OAE evoking stimulus to monitor the MEMR, they do not discriminate between true MEMR-mediated vs. artifactual changes in the stimulus. We measured MEMR in 20 young clinically normal hearing individuals using 1-second-long click trains presented at six levels (65 to 95 dB peak-to-peak SPL in 6 dB steps). Changes in the stimulus levels over the 1 second period were well-approximated by two-term exponential functions. The magnitude of ear canal pressure changes due to MEMR increased monotonically as a function of click level but non-monotonically with frequency when separated into $1/3^{rd}$-octave wide bands between 1 and 3.2 kHz. MEMR thresholds estimated using this method were lower than that obtained from a clinical tympanometer in ~94% of the participants. A time series-based method, along with statistical tests, may provide additional confidence in detecting the MEMR. MEMR effects were smallest at 2 kHz, between 1 and 3.2 kHz, which may provide avenues for minimizing the MEMR influence while measuring other responses (e.g., the medial olivocochlear reflex).

I. INTRODUCTION

Two auditory reflexes exert influence on peripheral auditory signal processing. The middle ear muscle reflex (MEMR) influences signal transfer by altering the impedance characteristics of the middle ear, and the medial olivocochlear reflex (MOCR) inhibits the cochlear active process (review: Guinan, 2006). Animal models suggest both of these processes benefit the organism by protecting cochlear structures from loud sounds and improving signal-to-noise ratio (Liberman & Guinan, 1998; see also Kirk & Smith, 2003). As such, understanding the functioning of these two reflexes in humans holds both scientific and clinical value.

Otoacoustic emissions (OAE)-based assays provide a convenient and non-invasive means to investigate MOCR effects in humans. However, many of the stimuli which activate the MOCR also simultaneously activate the MEMR. Both the input to cochlea (stimulus) and the retrograde emissions can be significantly altered by MEMR activation (Guinan, Backus, Lilaonitkul, & Aharonson, 2003). Thus, a major impediment to measuring MOCR is that, when active, the MEMR effects on OAEs can masquerade as MOCR effects (Goodman, Mertes, Lewis, & Weissbeck, 2013; Goodman, Venkitakrishnan, Adkins, & Mueldener, 2018; Guinan et al., 2003; Zhao & Dhar, 2009). Therefore, a key to understanding the contributions of the two reflexes is accurate detection and measurement. As a first step, here we propose an approach to MEMR detection based on a click stimulus time series analyzed in discrete frequency bands. No attempts were made in this work to study the effectiveness of this MEMR detection method in mitigating MEMR effects on the MOCR.

There have been multiple efforts among researchers to develop methods capable of

detecting MEMR activation during MOCR measurements. Backus and Guinan (2007)

introduced a stimulus frequency (SF)OAE phase gradient-based method of MEMR

detection. The rationale was that MEMR-mediated changes in the SFOAE delay would

be much shorter compared to MOCR-mediated changes. Other researchers have used

concurrently presented tones (602 Hz and/or 226 Hz) to monitor the MEMR during

SFOAE measurements (Goodman and Keefe, 2006; Zhao & Dhar, 2009). Abdala,

Mishra, and Garinis (2013) monitored stimulus changes in their two-tone distortion

product (DP)OAE stimulus to detect MEMR activation. The rationale for these latter two

methods was that the MEMR activation would alter stimulus reflectance, causing a

change in the ear canal stimulus pressure. Based on work by Feeney, Keefe, and

Marryott (2003), Abdala et al. (2013) suggested that a stimulus level change of 0.12 dB

(1.4%) is indicative of MEMR activation.

More recent investigations have employed transient stimuli such as clicks and

tonebursts to detect the MEMR. Boothalingam and Purcell (2015) applied the same

approach as Abdala et al. (2013) to clicks and reported no MEMR activations for low-

level click/contralateral noise combination (55 dB peSPL/60 dB SPL, respectively).

Mertes (2020) established critical differences in click stimulus for detecting MEMR

based on group data. Marks and Siegel (2017) monitored tonebursts in a level-series.

Goodman and colleagues (Goodman et al., 2013; Mertes and Goodman, 2015) used a

resampling-based approach to establishing statistically whether the changes in the

stimulus level indicate MEMR activation. Goodman et al. (2018) included stimulus

phase in the measurements, noting that while including phase increased the sensitivity

of MEMR detections, it was not possible to disentangle the MEMR effects on the MOCR

when both reflexes were active. Results from a majority of the above studies

corroborate findings by Feeney and Keefe (Feeney et al., 2003; Feeney and Keefe,

2001) that the MEMR is activated at much lower levels (up to 21 dB) than that estimated

by clinical instruments. Therefore, it is imperative that establishing the

presence/absence of the MEMR in MOCR assays is not assumed based on MEMR

thresholds from standard clinical instruments.

Despite the significant improvements made in recent years, uncertainty remains

regarding how best to ascertain whether observed changes in OAEs represent purely

MOCR-mediated activity or are a combination of both MEMR and MOCR activity. One

reason is that MEMR effects are frequency dependent, resulting in increased stimulus

reflection at the eardrum at lower frequencies but reduced reflection at higher

frequencies (Keefe, Bulen, Arehart, et al., 1993; Feeney and Keefe, 1999; Borg, 1968).

As such, when frequency-specific changes are not considered (e.g., Boothalingam and

Purcell, 2015; Mertes, 2020), MEMR detection may be less sensitive. This is because

MEMR-induced stimulus changes at the lower and higher frequencies may partially

cancel out and falsely reflect little or no change. Such cancellations may be a larger

issue for broadband stimuli such as clicks than for DPOAE- or SFOAE-based assays.

Another palpable reason for the uncertainty is that the presence of MEMR in all the

aforementioned methods is deduced by comparing the change in stimulus magnitude

from only two conditions: with- and without-an acoustic efferent activator (typically

contralateral noise). While resampling methods can indicate whether a reliable

difference in stimulus level exists between the two conditions, the difference cannot

always be attributed to the MEMR. Stimulus pressure level changes due to probe

movement and/or changes in middle ear pressure during recording can also produce

stimulus level differences between conditions (Goodman et al., 2013). For this reason,

evaluating the time course of the recorded stimulus level may be useful. The MEMR

demonstrates an exponential onset that varies with the stimulus level (Hung and Dallos,

1972). This predictable behavior can be leveraged to identify true MEMR responses,

both visually and statistically.

The purpose of the present study was to address these sources of uncertainty by

introducing a method that evaluates stimulus pressure recordings of click trains in order

to identify the presence of MEMR. The kinetics of the resulting time series are evaluated

in a frequency-specific manner. When paired with statistical tests, the approach

provides a sensitive, objective method for detecting middle ear pressure changes over

time and, with further validation, will allow for discrimination between changes due to

the MEMR versus other sources.

II. METHOD

II.A. Participants

Twenty young, clinically-normal hearing volunteers (mean age: 22±2.7 years; 2 males)

participated in the study. Clinically normal hearing was established by an unremarkable

otoscopy examination, behavioral hearing thresholds ≤20 dB HL between 0.25 and 8

kHz (SmartAud, Intelligent Hearing Systems, FL), type-A tympanograms (Titan,

Interacoustics, Denmark), and measurable DPOAEs (0.5-6 kHz; 1/3rd octave intervals; 6

dB signal-to-noise ratio [SNR] criterion) (SmartDPOAE, Intelligent Hearing Systems,

FL). Middle-ear muscle reflex thresholds were also measured in all participants using a

clinical system (Titan, Interacoustics, Denmark; see also Section II.F). All participants

provided written informed consent prior to participating in the study. Their participation

counted towards extra-credit for various courses in the undergraduate program within

the Department of Communication Sciences and Disorders. All study procedures were

approved by the Health Sciences Institutional Review Board at the University of

Wisconsin, Madison.

II.B. Experimental set-up

Stimuli were digitally generated in Matlab (Mathworks, MA) using an iMac (Apple, CA).

Synchronous playback and recording of signals were done using the Auditory Research

Lab Audio Software suite (ARLas; Goodman, 2017) in Matlab. The iMac was interfaced

with a sound card (Fireface UFX+, RME, Germany) for analog-to-digital-to-analog

conversion at a sampling rate of 96 kHz and bilateral stimulus delivery to the

participants' ears using a dual-probe ER10X system (Etymotic Research, IL). The

ER10X probe microphones recorded the ear canal pressure bilaterally. The ER10X

probes are heavier than other Etymotic Research probes (e.g., ER10B+ and ER10C)

and uses plastic as opposed to foam tips to couple the probe to the ear. As a result, the

probes tend to slip out of the ear during long recording periods. To avoid such probe

slippage the ER10X probes were held securely in place in participants' ears by (1)

hanging the cables from the sound booth ceiling, (2) attaching them to hollowed-out ear

muffs with only the headband and cushion in place (Mpow 035, Mpow, CA), and (3)

puttying around the probes in-ear using silicone earmold putty (Silicast, Westone

Laboratories, CO). In addition, an in-situ stimulus calibration was done before the start

of every measurement condition. The calibration results, forward (FPL) and sound

pressure (SPL) levels for a broadband chirp (0.2-20 kHz), were monitored throughout

the experiment for gross deviations in spectral level. Along with the temperature and

humidity sensors and the heating element in place in the ER10X probe, to avoid drifts in

microphone sensitivity, the measures taken in the study successfully mitigated

deviations in the stimulus spectral level over the course of the experiment across all

participants.

All testing was conducted in a double-walled sound-attenuating booth where

participants sat comfortably in a recliner for the duration of the experiment and watched

a silent closed-captioned movie. Participants were asked to sit relaxed, not move, and

swallow as few times as comfortable, and not sleep. They were allowed to move and do

any noisy activities (e.g., cough) between each experimental condition, i.e., roughly

every 10 mins. The experimental procedure took about an hour to complete with about

1-2 min breaks between conditions. Consenting and screening procedures took an

additional 30-40 mins.

II.C. Stimulus, calibration, and paradigm

The level of the clicks was varied from 65 dB peak-to-peak (pp) SPL to 95 dB ppSPL in 6 dB steps in 6 separate stimulus level *conditions*. Stimuli were presented bilaterally. Each *block* consisted of a 1.008 s long click train, (henceforth referred nominally as 1 s) and a 0.742 s silent period. Each block was repeated 335 times per stimulus level condition. The click train consisted of 63 clicks presented at a rate of 62.5 clicks per second. This click rate translated to an *epoch* duration of 16 ms. Unlike a traditional contralateral noise elicitor paradigm, we used the CEOAE-evoking clicks to also elicit the MEMR. Prior studies have demonstrated that clicks indeed elicit the MEMR with a monotonic reduction in the reflex threshold with increasing click rate from 50-200 Hz (Rawool, 1995;1996; Johnsen and Terkildsen, 1980). While a faster (>62.5 Hz) rate may elicit more robust MEMR, the goal of this study was to detect the MEMR during MOCR measurements. The 62.5 Hz rate used in this study was conducive for this purpose because it elicits robust MOCR at 80 dB ppSPL (Boothalingam et al., 2018) while allowing for the recovery of OAEs in the 16 ms epoch duration. Any faster rate would not allow for OAE recovery in the frequencies of interest (1-4 kHz). The 1 s long click train was expected to allow the MEMR to reach steady state (Hung and Dallos, 1972).

Click stimuli were generated in the frequency domain. Click spectra were bandlimited between 0.8 and 6 kHz and flattened at the eardrum using FPL calibration, in order to homogenize cochlear stimulation across participants by accounting for the differences in

external and middle ear impedance characteristics. Detailed descriptions of FPL

calibration can be found in other reports (e.g., Scheperle, Neely, Kopun and Gorga,

2008; Souza, Dhar, Neely, and Siegel, 2014; Dewey and Dhar, 2017). Briefly, prior to

data collection, Thevenin-equivalent source calibration of the probes were obtained for

known acoustic loads of tube lengths 2.9, 3.6, 4.15, 5.2, 6.9 cm (diameter = 8 mm). The

different lengths of the tube were achieved by moving the piston in the inbuilt calibration

cavity of the ER10X system. The load (participants' ear) calibration was performed

before each condition, i.e., roughly every 10 mins, to obtain the ear canal and middle

ear impedance, surge impedance, and the pressure reflectance. These estimates were

used to calculate the forward and reverse pressures in the ear canal and build the

external and middle ear transfer function (Rasetshwane and Neely, 2011). Based on the

transfer function between 0.8 and 6 kHz, correction factors were created to generate a

flat spectrum click at the eardrum. This matrix was then inverse Fourier transformed to

the time domain to make the click. Clicks were then scaled to obtain the desired in-ear

ppSPL level.

Pilot work suggested that in some participants, it was not possible to achieve the

desired level at the highest click level condition (95 dB ppSPL) due to loudspeaker

output limitations. To avoid this issue and reduce loudspeaker ringing, all the

aforementioned calibration procedures, including source calibration, were done for

clicks simultaneously presented through the two loudspeakers of each probe. This

method allowed the highest click level to be reached by increasing the level of the click

by 6 dB. The duration of the final acoustic click and its ringing in an ear simulator was

roughly 3.5 ms.

II.D. Response analysis

Recorded ear canal stimulus pressure was first bandpass filtered between 0.7 and 6

kHz. Although the click stimulus bandwidth extended to 6 kHz, preliminary data analysis

and prior studies have suggested minimal MOCR activations past 3.5 kHz (Goodman et

al., 2013). Therefore, the click stimuli were considered only between 0.89 to 3.5 kHz in

the analyses reported below. After filtering, post-hoc artifact rejection was applied.

Epochs with root-mean-squared (RMS) amplitude >2.25 times the interquartile range

were discarded as artifacts (Goodman, Fitzpatrick, Ellison, Jesteadt, and Keefe, 2009).

The rejection rate by this method was approximately 10% of recordings, across

participants.

II.D.1. Stimulus extraction

Stimulus level was estimated from ear canal stimulus pressure waveforms that were

time windowed between -1 and 3.5 ms, with time zero defined as the location of the

maximum excursion of the click pressure waveform. Raised cosine onset and offset

ramps (0.5 ms duration) were applied to the windowed pressures. Waveforms within

this time window were converted to the frequency domain via FFT, and the resulting

SPL and was separated into FPL and reverse pressure level (RPL) components using

the source and load impedances obtained during stimulus calibration (Rasetshwane

and Neely, 2011; Scheperle et al., 2008). Preliminary analyses (not shown) suggested

no difference in the shape of the MEMR time series using the three types of pressure

waveforms. We chose to use RPL in all further analyses.

II.D.2. MEMR estimation

The RPL vector (complex vectors in the frequency domain) were arranged into a 3-

dimensional (3D) matrix, X, with i = 2674 rows (Fourier frequencies), j = 63 columns

(points in the time series) and k = 335 repetitions. That is, there were k repetitions of

each row and column (i,j). The noise floor at each frequency and time point was

calculated as the standard error of the mean across the k repetitions (Goodman et al.,

2009). The magnitude at each frequency and time point was calculated as the

magnitude of the mean across the k repetitions. The magnitudes were then reduced

from the full set of i=336 Fourier coefficients to to i=6 values corresponding to the

passband frequencies of a bank of third-octave filters with center frequencies f= 1, 1.3,

1.6, 2, 2.5, and 3.2 kHz. The reduction was accomplished by taking the energy-

weighted average within each frequency band, and was performed separately for each

point in the time series. Additionally, synchronous spontaneous OAEs (SSOAEs) were

removed at this time, as described in section II.E. This reduction process reduced the

original data matrix to a 6x63 two-dimensional matrix, i.e., the time series for each of the

six frequency bands. Finally, for each frequency band, the magnitude at each point in

the time series was expressed relative to the magnitude of the first time point. This

metric of relative change, referred to hereafter as Δ, was then expressed in dB.

At each of the six frequency bands, relative magnitude (Δ) across the 63 points in the

time series provided an estimate of the change in the stimulus magnitude over the

course of 1 s. No change in the stimulus corresponded to 0 dB, while positive or

negative values were interpreted as an increase or decrease in the stimulus magnitude

relative to the magnitude at the first time-point. The MEMR time series from the majority

of participants displayed monotonic exponential change over time. A typical example is

shown in Fig. 1A. A smaller subset of participants (4 out of 20) showed more complex,

non-monotonic change in some frequency bands. A representative example is shown in

Fig. 1B. Two differences between the data in panels Fig.1A and B can be appreciated:

(1) the change in Δ, especially at 1 kHz, occurs in opposite directions and (2) for the

same 1 kHz band, there is a momentary reduction in magnitude before it increases. The

magnitude and time-constants of the MEMR were estimated from the time series using

a physiologically-motivated exponential-model fit to the data, similar to those used for

the MOCR (Liberman et al., 1996; Kim et al., 2001; Meinke et al., 2005; Backus and

Guinan, 2006; Srinivasan et al., 2012). However, unlike the MOCR, the time series of

the MEMR displayed a *latency* in its onset in majority of the participants. Exponential

fits, one- or two-term, did not model this behavior adequately. Therefore, we visually

inspected the Δ at the 95 dB ppSPL condition to mark the onset of stimulus change, i.e.,

a marked departure from zero change. The 95 dB ppSPL condition was used for this

purpose to identify this apparent latency with the highest SNR and the largest response

possible. The Δ values prior to the onset were excluded from the fitting process. More

details about the onset latency is provided in the Results section (see Section III.C). We

found that a single-term exponential did not adequately describe the data even when

only the data after the onset were considered, but a two-term exponential did. We

therefore fit each time series with a curve of the form:

$$f(t) = C + m_f * \exp\left(-\frac{t}{tau_f}\right) + m_s * \exp\left(-\frac{t}{tau_s}\right), \qquad (Eq.\,1)$$

where, $f(t)$ is the fit as a function of time (t). The variables m_f and m_s are the

magnitudes of the fast and slow components of the fits, respectively. The variables tau_f

and tau_s are the fast and slow time-constants, respectively. C is a constant term

representing offset along the y-axis. The estimate of MEMR magnitude change,

hereafter referred to as **MEM**, was the final value (at time 1 s) of the fitted function. This

value represented the magnitude of change after 1 second, relative to the starting value.

Minimum and maximum bounds for the two time constants were set based on prior work

(Dallos, 1964; Hung and Dallos, 1972). The tau_f was allowed to range between 1 and

500 ms and the tau_s was allowed to range between 1 ms and 50 seconds.

II.E. Measures to avoid MOCR influence on MEMR measurements

OAEs may contaminate the stimulus pressure estimation if they are included in the

stimulus window. This could be a problem because MOCR-mediated changes in the

OAE could masquerade as MEMR activity. The restricted frequency range of 0.89-3.5

kHz in the study was expected to avoid contamination from CEOAEs in the stimulus

window. Given the dispersion in OAE frequency-latency relationship of reflection-

generated emissions (e.g. SFOAEs and CEOAEs), it is highly unlikely that emissions

below 3.5 kHz would be included in the stimulus window (<3.5 ms; Shera, Guinan, and

Oxenham, 2002). Even if some emission pressure was included in the stimulus window

at higher stimulus levels, at these high levels the stimulus sound pressure would

dominate and any emission pressure would likely add an insignificant amount to the

stimulus. Any change in CEOAEs due to the MOCR is also unlikely to be significant

enough to influence the MEMR measurements (Keefe, Fitzpatrick, Liu, Sanford, and

Gorga, 2010).

Spontaneous and synchronized spontaneous (SOAEs and SSOAEs) may pose a

greater challenge. These emissions are long lasting and can be present in the stimulus

window. To avoid these influences, we removed frequencies containing S/SSOAEs from

the click stimuli. The last click epoch of the 95 dB ppSPL condition was used to monitor

S/SSOAEs in a temporal window extending from 20-40 ms after the click presentation.

Ten such windows were concatenated before performing an FFT, to improve the

spectral resolution. The resulting FFTs were averaged and windowed in the same

frequency range as the click stimulus (i.e., 0.8-3.5 kHz). S/SSOAEs were identified as

spectral peaks $\geq$10 dB above the noise-floor (similar to Marshall, Miller, Guinan, et al.,

2014; Lewis, 2020). Of the 20 participants in the study, 12 (60%) had 56 measurable

S/SSOAEs in total. The mean S/SSOAE magnitude was -9.7 dB SPL with magnitides

ranging from -23.4 to 9.2 dB SPL. The median number of S/SSOAEs per participant

was 1.5 with a maximum of 9 in three of the twelve participants. The prevalence of

S/SSOAEs in the present study is smaller than prior some reports (72% to 85%; Keefe,

2012; Sisto, Moleti, and Lucertini, 2001). However, it is within the range for SOAEs

which range from 50% to 70% (Talmage, Long, Murphy, et al. 1993; Abdala, Luo, and

Shera, 2017).

Following S/SSOAE identification, contaminated FFT frequency bins were assigned a

weighting of zero in the energy weighted averaging processes described above. There

are some potential limitations to this method of S/SSOAE detection and removal.

S/SSOAEs outside of the frequency range of CEOAE measurement have been reported

to influence CEOAEs (Keefe, 2012). Therefore, despite removing S/SSOAEs within the

frequency range of interest, S/SSOAEs outside this range may have influenced the

stimulus. However, S/SSOAEs are most prevalent in the 1-4 kHz range (Abdala et al.,

2017; Keefe, 2012; Jedrzejczak, Blinowska, Kochanek, et al, 2008; Talmage et al.,

1993) where the current S/SSOAE analysis was conducted. Therefore, influence from

S/SSOAEs outside the range we tested is likely to be small. Visual comparison of the

MEMR time series data with and without S/SSOAEs revealed no apparent differences.

This lack of qualitative difference between the time series data with and without

S/SSOAEs suggests that the frequencies outside this range did not substantially affect

stimulus magnitude.

II.F. Comparison with a clinical instrument

MEMR elicited with broadband noise in a clinical instrument (Titan, Interacoustics,

Denmark) was also measured for purposes of comparison with the MEMR threshold

estimated using the proposed method. While not the focus of the study, this comparison

allowed for corroboration of lower thresholds in click-based wideband MEMR estimation

relative to clinical instruments reported by others (e.g., Feeney and Keefe, 2001;

Feeney et al., 2003; Marks and Siegel, 2017). To be consistent with the studies in the

literature, we used the 226 Hz probe tone to monitor changes in magnitude of

admittance. Threshold from the clinical tympanometer was calculated as the lowest

level at which the activator (broadband noise) caused a 0.02 ml change in admittance.

II.G. False positive check

To ensure the changes in stimulus levels were unrelated to system artifacts or other

non-physiological factors, we ran all conditions of the experiment in an ear simulator

(Type 4137; Bruel & Kjaer, Naerum, Denmark). The outputs from the analysis of this

data for the 1 kHz band across levels are plotted in the lower panel of Fig. 1. There was

no evidence of any systematic changes in the stimulus estimate across time and level.

No line fits are shown because none of the fits were significant (explained below).

Compared to normal hearing participants, the range of random stimulus changes in the

ear simulator was 2-3 orders of magnitude smaller. We also did not find any frequency

effects (data not shown for ease of visualization). This check provides confidence that

any changes in the stimulus that we observe must have physiological origins and were

not system-related.

Fig. 1. (color online) Panel A shows one example of typical, monotonic, MEMR time

course and Panel B shows one example of non-monotonic MEMR time course from two

different participants. In both top panels, colors indicate the third-octave band center

frequency and scatter points are the change in stimulus level post onset re: the first time

point. The line fits are two-term exponential models fit to the data (see Eq. 4). Data from

the experiment run in an ear simulator is shown in Panel C. Only the 1 kHz band, but at

all stimulus levels, are shown for clarity.

II.H. Statistical tests

II.H.1. Significance test of fits to data

MEMR activity was considered possible at a given frequency and level if 1) the time series showed systematic (non-random) change across time and 2) the systematic change was also present in that same frequency band at all higher stimulus levels. The presence of systematic change was tested using the Heller-Heller-Gorfine test (HHG; Heller, Heller, and Gorfine, 2013). The HHG was designed as a nonparametric test of association between two random vectors. It is implemented between two vectors of the same dimensions and is sensitive to nearly any form of dependence. If both of the vectors are composed of non-random sequences, the test will report an association. We implemented the test by letting the first vector be a possibly random MEMR time series sequence. The comparison vector was the two-term exponential fit to that same sequence. Implemented in this manner, we tested the null hypothesis that there was no association between the two vectors, i.e. that both vectors were random sequences. Since the vector representing the exponential fit was never a random sequence, we were in effect testing whether the MEMR time series showed any systematic change over time. If the MEMR time series showed any systematic change across time, the HHG test detected a dependence between the two vectors, the null hypothesis was rejected, and that time series was considered to show evidence of either MEMR, some other systematic change over time, or both. Significance of the comparison (*p*-value) was obtained by generating confidence intervals from bootstrapping the HHG test 1000 times. Because each participant had data at six frequencies at each of the six stimulus levels, *p*-values were corrected for alpha inflation using the False Discovery Rate (FDR; Benjamini and Hochberg, 1995) method.

If a systematic change detected by the HHG test was not persistent at all higher

stimulus levels, then MEMR activity was not considered to have occurred at that level.

For each individual, MEMR threshold was considered the lowest stimulus level at which

at least one of the six frequency bands showed significant MEMR activity as just

described.

II.H.2. Group statistics

To study MEM and tau group data, a linear mixed-effects model (*lme4* package in R;

Bates, Mächler, Bolker, and Walker, 2015; R Core Team, 2014) was used. Dependent

variables MEM and tau were fit with (1) *fixed-effects* of predictors level (L), frequency

(f), and the interaction between level and frequency (LXf) and (2) *random-effects* of

participants and random slopes for level. Stimulus level was treated as a continuous

variable because levels were incremented in discrete steps and frequency was treated

as a categorical variable because Δ was discretized by averaging in 1/3$^{\text{rd}}$ octave bands:

$$MEM\ (or)\ tau\ =\ \beta_0(1\ kHz) +\ \beta_1 f +\ \beta_2 L +\ \beta_3 f * L + b_i, \qquad\qquad (Eq.\ 2)$$

β_0 is the intercept when level is at the origin and frequency is 1 kHz, β_1 for each of the

five frequencies (1.3, 1.6, 2, 2.5, and 3.2 kHz) is the simple effect, i.e., the intercept, of

frequency when the level at origin with 1 kHz as the reference condition because

frequency is treated as a categorical variable. β_2 is the slope of the level, β_3 is the

interaction of level and frequency, i.e., the difference in the simple slopes of level for the

six frequencies, and b_i is the random-effects intercept for each participant i. The model

was tested for significance using analysis of variance (ANOVA).

To study MEMR detections, percentage detections, hereafter referred to simply as

detections, were calculated as number of participants with significant MEM for each

frequency divided by the sample size (20). A general linear mixed-effects model was fit

to the dependent variable detection in a fashion similar to the linear mixed-effects model

for MEM and tau (Eq 4), except logistic regression was used instead of linear

regression as the data were binominal (MEMR present vs. absent as determined by the

HHG test). The log-odds of MEMR presence (logit) were obtained from the model. A

logit link function, $f(\mu) = log(\mu/(1-\mu))$, where μ is the mean of MEMR presence, was

used to transform the probabilities to a continuous percentage scale.

III. RESULTS

III.A. Stimulus change in the time series shows frequency-specific changes in

middle ear impedance

The increase in stiffness in the middle ear due to the MEMR action will generally

attenuate low frequency energy from reaching the cochlea more than high frequency

energy. However, this relationship is non-monotonic. Data from Feeney and Keefe

(1999; 2001) on the change in wideband reflectance due to MEMR activation show a

reversal, i.e., reduced reflectance, or increased absorbance, between 1 and 1.5 kHz.

Based on these prior data, we expected a reduction in the recorded ear canal stimulus

level for the lower third-octave bands (1-1.6 kHz) and a relative increase in the recorded

ear canal stimulus level for frequency bands ≥1.6 kHz. The time series for the six third-

octave bands for each stimulus level, averaged across participants, is shown in Fig. 2.

The time series of the MEMR at different frequencies was consistent with these

expectations. The transition in the direction of change between the low vs. high

frequencies appears to occur between 1.6 and 2 kHz corroborating prior reports

(Feeney and Keefe, 1999; 2001; Schairer et al., 2007). An unexpected observation in

the time series is that lower frequencies (1 and 1.2 kHz) appear to approach steady-

state gradually close to 1 s, but the higher frequencies reverse course towards baseline

shortly after onset, i.e., adapt to the presence of the stimulus.

The distribution of MEM across individuals is shown by the box plots in Fig. 3. MEM fits

that did not pass the inclusion criteria (see Section II.H.1) were excluded from these

plots. The number of participants at each level and frequency combination (*n*-sizes) are

the same as those provided in Fig. 2. Level and frequency effects are apparent: MEM

clusters around zero at lower levels and demonstrates a larger spread as level

increases.

Fig. 2. (color online) Group mean time series (panels by stimulus level). In each panel, colors represent the different third-octave frequency bands. Data are change in stimulus level post onset re: the first time point. Only the fits to the group mean data are shown for easy visualization. The y-axis of the top panels has been zoomed in to examine smaller changes clearly. To maintain perspective, this zoomed region has been shaded with the same grey in the lower panels where the MEM is much larger. The n-size for each fit is provided within panels.

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Fig. 3. (color online) Box plots (panels by stimulus level) show individual MEM as filled

colored circles on each box. Colors represent frequency in the x-axis. In the box plots,

the white circle is the mean and the vertical line is the data range. The horizontal,

colored, line is the median.

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III.B. MEMR magnitude increases with level and decreases with frequency

In general, the data suggest that the Δ is larger at lower (<2 kHz) relative to higher

frequencies (>2 kHz) at all stimulus levels. As seen in Fig. 4, the time series grew

monotonically with increasing level at all frequencies. However, at higher frequencies

(≥2 kHz), the time series tended to return to the baseline more strongly at the highest

level. Note that these time series are averaged across participants where the time

series for the same frequency can in some instances go in opposite directions (e.g., Fig

2B). The true magnitude of the MEMR can be compared across levels and frequencies

when the absolute values (|MEM|) are plotted as input-output (IO) plots. In Fig. 5 IO for

each frequency is plotted first in a separate panel and then summarized together in the

top right panel (MEM-IO panel).

Fig. 4. (color online) Group mean time series (panels by frequency). Data are change in

stimulus level post onset re: the first time point. In each panel growth in MEM with

increasing level, i.e., level series, is plotted. Darker the shade of a given color, higher

the stimulus level. As expected, the magnitude of the time series increases with

increasing level. Note the dichotomy in the direction of stimulus change as a function of

frequency.

Growth of |MEM| was best approximated by a single exponential function. When the

mean fits (thick lines) are viewed together (Fig. 5 MEM-IO panel) the differences in

MEM growth with level as a function of frequency is apparent: lower frequencies

undergo larger changes than higher frequencies. When the data are visualized as a

function of frequency (Fig. 5 bottom right panel, MEM-Tx) with separate lines for each

level, a MEM transfer function emerges. MEM grows non-monotonically with frequency

and displays a minimum at around 2 kHz, most prominent at 89 dB ppSPL.

Fig. 5. (color online) Input/output functions of the MEM are plotted in the left 6 panels

(panels by frequency). Thin colored lines are individual one-term exponential fits to the

absolute MEM at each level in a given frequency. The thick colored line in each panel is

the one-term exponential fit to the mean MEM at each frequency. For a better

comparison of this growth across frequencies, only the fits to the group mean are

plotted in the panel MEM-IO. In the panel MEM-Tx, each line is a stimulus level with the

MEM plotted as a function of frequency. This provides a transfer function of the MEM.

To study the data inferentially, a linear mixed-effects model was used (see Section

II.H.2). Only levels 77 through 95 dB ppSPL were included in the model as the lower

levels had few MEMR activations. Given the exponential relationship between MEM and

stimulus level, the values of MEM were linearized (by taking the logarithm of the

absolute value) prior to fitting with a mixed-effects model. This transformation was

necessary because linear models assume a linear relationship between the dependent

and the predictor variables. Finally, to make the model estimates easier to interpret, we

moved the origin of the predictor (level) to 77 dB ppSPL by subtracting the lowest

stimulus level (77 dB ppSPL) from all levels. We first compared two models: with and

without the level by frequency interaction term (*Eq. 2*). The interaction term was not

significant (p=0.29) and was subsequently dropped from the model. The summary of

results of the model is tabulated in Table 1.

TABLE I. Summary of ANOVA of the mixed-effects model with the dependent variable,

MEM/tau_f/tau_s, and predictors, frequency, level (77-95), df = degrees of freedom,

asterisks indicate significant effects.

Fixed effect variables	ANOVA for MEM		ANOVA for tau_f		ANOVA for tau_s	
	F-statistic [df]	p-value	F-statistic [df]	p-value	F-statistic [df]	p-value
Frequency (β_1)	25.5 [5,430]	<0.001*	2.7 [5,316]	0.02*	1.4 [5,383]	0.2
Level (β_2)	148 [1,431]	<0.001*	1.3 [1,319]	0.25	3.2 [1,384]	0.07
Frequency (β_1) X Level (β_2)	1.2 [5,425]	0.29	3.2 [5,316]	0.008*	4.9 [5,383]	<0.001*

The lack of significant frequency by level interaction suggests that despite the difference

in the magnitude of MEMR change with level at each frequency, the rate of change of

magnitude with level is not different across frequencies, at least between 77 and 95 dB

ppSPL. That is, the fit lines in Fig. 5 MEM-IO (top right) panel are different in their

intercepts but not slopes (note that the exponential slopes were linearized by taking the

logarithm of |MEM|).

The mixed-effects model analysis was followed by pairwise comparisons between

frequencies with pooled level data, corrected for multiple tests using FDR. With the

exception of three comparisons, 1 vs. 1.3 (p=0.2), 1.6 vs. 3.2 (p=0.3) and 2.5 vs. 3.2

kHz (p=0.2), all other paired comparisons were significant (p<0.042) with majority of the

comparisons significant at p<0.001. These comparisons suggest that MEMR

magnitudes at different frequencies (statistically modeled as the intercepts) were

significantly different from each other, except the aforementioned three frequency pairs.

III.C. MEMR kinetics demonstrate frequency X level interaction effects

Onset latency estimated at 95 dB ppSPL is plotted as a box plot in Fig. 6A. Data across

frequencies were compiled for this box plot, as there was no significant effect of

frequency (F[5,114] = 1.8, p=0.12) for latency in a one-way repeated measures ANOVA.

The 25% and 75% percentiles for onset latency were 65 to 96 ms, respectively, with a

median of 80 ms. Very few fits, 4 different frequencies in 6 different participants, had a

sub-50 ms latency. However, 25 fits across all frequencies in 15 participants had

latencies above 100 ms with a maximum of 210 ms.

The distributions of the measure of kinetics, tau, are also plotted as box plots in Figs.

6B and 6C as a function of frequency. Instances where the tau_f was greater than 0.49

s, bound set during the two-term exponential fitting process were not included. This

rejection excluded 18% of the tau_f data. The 25% and 75% percentiles for tau_f were 98

and 281 ms, respectively, with the median at 175 ms. For tau_s, especially at 89 and 95

dB ppSPL, the data contained several outliers with very small tau_s values. To avoid

outliers influencing statistical tests, we removed these outliers using the Tukey method

where data points 2.25 times the interquartile range (IQR) were not included in the plot

(Fig. 6C) and statistics. This process excluded 2.7% of the data points in tau_s. The 25%

and 75% percentiles for tau_s were 21.8 and 29.3 seconds, respectively, with the median

at 25.1 seconds.

Similar to MEM (Fig. 3), only the levels 77 through 95 dB ppSPL were included in the

linear mixed-effects model fit to both time constant data. The ANOVA results of this

model for both time constants are presented in Table 1. Results from the mixed-effects

model for the two time constants were slightly different. For tau_f there was a significant

effect of frequency but not for tau_s. Level was not a significant predictor for either time

constants (p>0.07). However, the level X frequency interaction was significant for both

time constants.

Pairwise comparisons across frequencies corrected for multiple comparisons using FDR

at each level also produced different results for the two time constants. For tau_f, the

interactions were driven mainly by the difference between 1 and 1.6 kHz in the low

frequency range and 3.2 kHz in the high frequency range at all levels. For the 1 vs. 3.2

kHz, p=0.024, 0.025, 0.025, and 0.026 at 77, 83, 89, and 95 dB ppSPL, respectively.

For the 1.6 vs. 3.2 kHz, p=0.014, 0.016, 0.016, and 0.017 at 77, 83, 89, and 95 dB

ppSPL, respectively. The mean differences were all positive in both cases, suggesting

that 1 and 1.6 kHz had the longer time constants (re: 3.2 kHz). The results were

reversed for tau_s, where the interaction was mainly driven by the 1 kHz band but, here,

the 1 kHz tau_s, was smaller than other frequencies. The only significant comparisons

were between 1 kHz and all other frequencies at all levels. All *p*-values were <0.033

with most values <0.02.

Fig. 6. (color online) Box plots (panels by stimulus level) show MEMR kinetics. A. onset

latency at 95 dB ppSPL with colored circles indicating the six frequency bands for all 20

participants (see Fig. 3 caption for details on box plots). B and C. tau_f data and tau_s

data are presented, respectively, as box plots as function of frequency with pooled

levels marked by varying symbols and shades of grey.

III.D. MEMR detections increase with level

As shown in Fig. 7, and given the effect of level on MEM, it is not surprising that the

number of participants identified as having significant MEMR activity in the group

(detections) increased for all frequencies with increasing level (Fig. 7, bottom panels).

This trend was best approximated by logistic fits with stimulus level as the predictor. All

fits in this panel were significant ($p<0.001$).

To analyze the detections inferentially, we fitted data with the general linear mixed-

effects logistic model (see Section II.H.2). Like the MEM mixed-effects model, the

frequency by level interaction was not significant ($p=0.16$) and was subsequently

dropped from the model. This new model results suggested that the level was a

significant predictor of detections (β_2; χ^2 (1, $N=20$) = 138.1, $p<0.001$) but frequency was

not (β_1; χ^2 (5, $N=20$) = 7.7, $p=0.2$). This result is consistent with the logistic fit-lines in the

'Fits' (bottom right) panel of Fig. 7. These results suggest that, unlike MEM, detections

do not vary by frequency. By cautiously extrapolating these results to the population, it

can be hypothesized that 95% of young normal-hearing individuals will show MEMR

activation between 76 and 86 dB ppSPL at all frequencies (vertical dashed lines in Fig.

7 'Fits' panel) when elicited using the stimulus and method proposed in this study.

Fig. 7. (color online) Percentage of MEMR detections as a function of frequency, at each level (separated by panels) is presented as bar graphs in the left 6 panels. In the Fits panel, these detections are fit with a logistic function with colors representing frequencies. Dashed lines around the steepest and the shallowest fits are included to show the 95% confidence of the fits. The horizontal dashed grey line indicates 95% detection. The vertical lines bookend the lowest and highest level, across frequencies, at which the 95% of the participants have MEMR activation.

III.E. Comparison with clinical instrument

The MEMR thresholds obtained using the clinical instrument compared to those obtained using the study method are presented as a scatter plot in Fig. 8. Note that clinical MEMR threshold could not be obtained in one participant (technical issue) and in

two participants the thresholds were higher than 95 dB SPL, the highest level tested. In

the latter two instances, thresholds are shown as 100 dB SPL (open circles).

Corroborating prior studies, the study method showed lower thresholds for all but one

participant. The mean difference between the clinical method and the study method was

13.4 (SD = 8.9) dB, with the largest difference being 30 dB and the smallest difference -

7 dB (1 participant), where the negative sign indicates the study method produced

higher threshold. This result should be viewed cautiously due to differences in the

stimulus type, level, mode of presentation (contralateral in clinical vs. bilateral in the

study), atmospheric vs. tympanic peak pressure, and different criteria for defining a

threshold in the study vs. clinical instrument. In the current study, threshold criterion was

the presence of a statistically significant systematic magnitude change that was

consistent at all higher stimulus levels at one or more of the six analyzed frequency

bins. The number of frequencies that should demonstrate a significant and consistent

change in order to most accurately determine MEMR threshold is currently unknown.

Data from further studies should include individuals known to have no MEMR (e.g.,

individuals with profound hearing loss) to help establish threshold criteria.

Fig. 8. Scatter plot of MEMR thresholds obtained in the present study vs. those

obtained for the same participants in a clinical tympanometer. The diagonal line shows

unity relationship between the two variables.

IV. DISCUSSION

The goal of this study was to describe a time series-based method of MEMR detection

using a click train, with potential implications for OAE-based MOCR assays. Overall, our

results showed that the click trains alone do elicit the MEMR and tracking the time

series to detect MEMR is a viable method. Based on the agreement with frequency-

specific changes in the MEMR (i.e., direction of change) reported from wideband

estimates, the observed time series are consistent with increased stiffness in the middle

ear system due to MEMR activation (Schairer et al., 2007; Feeney and Keefe, 1999;

2001).

IV.A. MEM frequency effect is consistent with middle ear impedance changes due to increased stiffness

Given the direct relationship between resonant frequency (f) and stiffness reactance (X_s) of a simple harmonic oscillator with a single stiffness and mass reactance ($f \propto X_s$), one would expect that stiffening the ossicular chain via MEMR activation would increase reflectance magnitude at the low frequencies and reduce reflectance magnitude at the higher frequencies. However, because the middle ear is a complex system with more than one mass and stiffness element, the relationship between impedance and frequency is non-monotonic. Specifically, Feeney and Keefe (1999), and subsequently further studies by Keefe, Feeney, and colleagues (Feeney and Keefe, 2001; Feeney et al., 2003; Schairer et al., 2007; Keefe et al., 2010) reported a prominent notch in the middle ear reflectance between 1 and 1.5 kHz, followed by a relative increase in reflectance beyond 2 kHz. The frequency effects observed in our data are consistent with the frequency-specific changes reported by Keefe and colleagues for MEMR-mediated changes in their click and chirp probes. When the frequency differences in admittance vs. reflectance are considered (see Fig. 6 of Feeney and Keefe, 1999) our results are also consistent with the results of Bennett and Weatherby (1979). As such, the stimulus changes observed in the time series in our data are consistent with the impedance changes engendered by MEMR activity.

To allow more direct comparisons with the data of Feeney and Keefe (1999), we also calculated energy reflectance, *ER*, as the squared magnitude of reflected pressure divided by the squared magnitude of the forward pressure. The pattern of results across

frequency we observed were similar to that reported by Feeney and Keefe (1999). In

that, the largest changes were seen at the 1 and 1.5 kHz bands with little change in the

bands above 2 kHz (see Fig. 2 of Feeney and Keefe, 1999). However, the magnitude of

the change in our *ER* calculations were smaller than those reported by Feeney and

Keefe (1999) by an order of magnitude. Other than the differences in the elicitors usedin

the two studies (tones in Feeney and Keefe, 1999), it is unclear what reasons must

have led to these differences.

IV.B. MEM frequency minimum matches impedance zero-crossing

In addition to the similarity in the general frequency effects observed between current

data and prior work, the transition, or the zero-crossing, from decreasing to increasing

reflectance between 1.6 and 2 kHz matches closely (Feeney and Keefe, 1999; 2001).

Specifically, the minimum in the transfer function (MEM-Tx panel in Fig. 5) at 2 kHz,

best observed at 89 dB ppSPL, illustrates this specific corroboration where the lowest

values of MEM in the function are for the 2 kHz band. This 2 kHz transition frequency is

observable in all of the wideband MEMR investigations for reflectance (e.g., Feeney and

Keefe, 2001), admittance (e.g., Schairer, et al., 2007) and absorbed power level

(Feeney et al., 2017). Based on the data of Keefe, Bulen, Arehart, and Burns, 1993,

Feeney and Keefe (1999) argued that this null in impedance is due to the middle ear

impedance being close to the characteristic impedance of the ear canal. As discussed

below (see: Implications for MOCR assays), this null could be of particular importance

for MOCR assays.

IV.C. MEM adaptation is frequency-specific

An important observation in the current study was the difference in the shape of the time

series between low and high frequencies (Fig. 4). With the exception of 1.6 kHz, it

appears that the lower frequencies reach steady-state at around 500 ms and in general

continue to stay in steady-state at the end of the 1 second block. However, the time

series of frequencies 2 kHz and above tend to return towards baseline, adapting to the

presence of the stimulus. This behavior may at first appear similar to the well-

documented MEMR adaptation (for a review, see Wilson, Shanks, and Lilly, 1984).

However, this differential adaptation across frequencies is different from the MEMR

monitored with a single low frequency probe (220 or 226 Hz) elicited by low- and high-

frequency tonal elicitors. In our data, frequency-specific adaptation is seen in the

wideband probe elicited by a wideband elicitor (click). Given that our probe is also the

elicitor, the locus of this frequency-specific adaptation cannot be delineated into

peripheral vs. central factors. However, there is abundant evidence that point towards a

neural and/or central origin for frequency specific adaptation with tonal elicitors (Lutman

and Martin, 1978; Mukerji, Windsor, and Lee, 2010; Wilson, Steckler, Jones, and

Margolis, 1978). For example, the reflex decay test, which tracks MEMR adaptation, is

commonly used in audiology clinics to delineate cochlear vs. retrocochlear pathologies,

with faster adaptation for low frequency tonal elicitors taken as indications of auditory

nerve or brainstem pathologies (Margolis and Levine, 1991; Stach, 1987; Wilson et al.,

1984). We speculate that the adaptation seen in our data results from relaxation of

some middle ear structure(s) (e.g., the stapedius muscle, tympanic membrane) over

time resulting in a frequency specific change in impedance.

IV.D. Idiosyncrasies in MEM time series likely reflect stapedius muscle behavior

Similar to the individual data shown in Fig. 1B, three other participants displayed non-

monotonic time series, albeit with much slower transition from negative to positive (or

vice versa) change in the time series. Although not captured by the fit adequately, a

rapid alteration in direction of change exists at 1 kHz (Fig. 1B) and has been reported

previously by Hung and Dallos (1972). These authors attributed such rapid transition in

the direction of change to the stapedius muscle momentarily relaxing before contracting,

in a phenomenon referred to as "latency relaxation". Data from Hung and Dallos (1972)

on the latency of this change in impedance are similar to the 100-150 ms seen in the

present study. Without monitoring the MEMR time series, such idiosyncrasies will go

unnoticed while producing puzzling and unexpected changes in reflectance or

impedance. Therefore, there is value to monitoring the time course of the reflex in

addition to estimating the final magnitude, in order to garner a better understanding of

the underlying physiology.

IV.E. MEM and detections increase with stimulus level

The MEMR estimate, MEM, was expected to grow with increasing level. This growth

function, as seen in Figs. 5 and 6, is monotonic across all frequencies and is best

described by a single exponential function. One reason for exponential growth could be

that the spectral levels (level per cycle) of the stimulus at the lower levels are at or

below the activation threshold of the low-spontaneous-rate (LSR) fibers in many

individuals. In mammals, the LSR fibers predominantly innervate a yet-to-be-identified

interneuron in the cochlear nucleus which in turn innervate the facial motor neuron

(FMN; Kobler, Guinan, Vacher, and Norris, 1992). Axons of the FMN converge on the

stapedius muscle to initiate contraction (Guinan, Joseph, and Norris, 1989; Lee, de

Venecia, Guinan, and Brown, 2006). When the LSR fibers are stimulated more robustly

at progressively higher levels, a rapid increase in the reflex magnitude would be

expected. The exponential growth could be related to the steeper slopes of the LSR

rate-level function prior to saturation at very high input levels. The differences in rate-

level function of the LSR fibers may also have some bearing on the differences in MEM

across frequencies (Cooper and Yates, 1994; Liberman, 1978).

A frequency effect was not observed for detections. That is, all frequencies had similar

intercepts and slopes for detection. Although at the outset it may seem inconsistent with

the significant pairwise difference for almost all frequencies for MEM, detection rate and

magnitude of change need not be highly correlated. So long as there is a significant

change in the reflectance, i.e., the change is above the reflex threshold with sufficient

SNR, the actual magnitude of this reflectance change should not affect detection any

further. In other words, above the reflex threshold, it is the SNR that is critical for

detection, not the magnitude. This result suggests that despite the small MEM at higher

frequencies, the reflex nonetheless exists and engenders a small but statistically

significant change in reflectance. It should, however, be emphasized that statistical

significance does not necessarily imply clinical significance. Despite the reflex being

present, its functional and clinical consequence may vary with magnitude. These

aspects may have implications for MOCR assays aiming to avoid MEMR influence.

IV.F. Level and frequency effects on MEMR kinetics

The time series in the present paradigm did allow us to deduce onset latency and time

constants from the two-term exponential fit. The onset latency (median = 80 ms) in our

data is consistent with prior reports in humans when a change in the middle ear

impedance was measured using an acoustic probe. Clemis and Sarno (1980) reported

a mean latency of was 105 ms (SD = 18 ms) for a 1 kHz tonal elicitor. Dallos (1964)

reported latencies between 40 and 160 ms for narrowband elicitors monitored using

probe tones from 250 to 1500 Hz. Qiu and Stucker (1997) reported mean latencies for

various elicitors ranging from 118 ms (SD = 30 ms) for low frequency band noise to the

longest at 157 ms (SD = 25 ms) for clicks presented at 50 Hz. However, Neergaard and

Rasmussen (1966) reported a median latency of 17 ms when the MEMR magnitude

was measured using electromyography.

Prior studies report the latency of MEMR but not time constants. Although Dallos (1964)

and Hung and Dallos (1972) reported reflex kinetics similar to those observed in our

study, they did not report any time constants. Therefore, it is not possible to draw direct

comparisons between our data and prior studies. A frequency effect for tau_f was

unexpected because, assuming the change in reflectance arises from the stapedius

muscle contracting, it would be expected to evolve synchronously across frequencies

over time. Difference in the reflex magnitude across frequency is an unlikely candidate

because as demonstrated in Section III.C, the slopes of magnitude change is not

statistically significant across frequencies. In addition, we reanalyzed our time series

data (not shown) by normalizing the time series Δ to be between 0 and 1 for all

frequencies and levels. This transformation did not change our results. However, this

result may in part be reconciled with the differences either in the shape and/or the

magnitude of the time series data as discussed in Section IV.C. Such frequency

differences in the time course across frequencies may be conjectured to arise from the

frequency dependance of complicated motion of the tympanic membrane (Cheng,

Hamade, Merchant, et al., 2013).

Although both time constants demonstrated a level X frequency interaction, they were in

opposing directions. Increasing level increased tau_f for low frequencies relative to high

frequencies, however, increasing level decreased tau_s for low frequencies. It should be

emphasized that these interactions were largely driven by the difference in time

constants between 1 and 3.2 kHz for tau_f and between 1 and all other frequencies for

tau_s. These interactions, although perplexing, can be explained by the marked

differences in the shapes of the time course between low and high frequencies. As seen

in Fig. 2, low frequency time course curves have a prolonged 'rise time' compared to

their high frequency counterparts, this difference increases as the level is increased.

This difference may explain the tau_f level X frequency interaction. Following this

prolonged onset, however, the low frequencies appear to reach a steady state, likely

resulting in shorter tau_s times. The high frequencies, however, although 'rise' faster,

their non-monotonic behavior can be blamed for the time course not reaching a steady

state. The two-term exponential models fit these data are more likely to predict a longer

(re: 1 kHz) tau_f. It can again be conjectured that these frequency X level effects likely

arise from the complicated tympanic membrane motion and possibly the contraction and

relaxation dynamics of the stapedius muscle rather than neural factors for reasons

discussed earlier (Section IV.C). It is also possible that the tau estimates are noisier

than the MEM estimates, leading to variable results even between two time constants

derived from the same fit. Further studies with more control over the middle ear muscle

action, e.g., animal models, may be able to answer these questions in detail. Whatever

may the reason for level X frequency interaction of time constants, the focus of the

present study, and the advantage of monitoring the time series, nevertheless, lies in its

ability to suggest whether an observed change in the stimulus level is MEMR-mediated

or not (explained further in 'Implications for MOCR assays').

IV.G. Implications for MOCR assays

One of the main goals of this study was to introduce a time series-based method of

MEMR detection, which could also be used to minimize MEMR influence on MOCR

assays. Our findings suggest that the MEMR is likely to be activated when using click

trains which also activate the MOCR. This finding is consistent with previous studies for

both the MEMR (Feeney and Keefe, 1999; 2001) and the MOCR (Guinan et al., 2003;

Zhao and Dhar, 2012; Abdala et al., 2010; Goodman et al., 2013; Boothalingam and

Purcell, 2015; Boothalingam et al., 2018) and as such, provides confidence in our

methods. Perhaps the major contribution of the present study comes from its use of a

time series. Although there are several methods already available that can detect the

presence of a change in the stimulus level between two conditions (e.g., resampling

techniques), uncertainty as to whether the observed stimulus change is truly due to

MEMR still persistent in these methods. This is because, while a statistically significant

change in stimulus level can occur due to the MEMR, it can also occur due to probe

slippage, change in middle ear pressure during the course of the experiment, and other

physiological/non-physiological factors. Conventional methods that reduce data to only

two conditions (with and without noise activator) cannot readily differentiate MEMR-

from non-MEMR-mediated change in the stimulus. However, we speculate that the

combination of significant change in stimulus level and the characteristic exponential

change in stimulus level may be useful in more certainly attributing the change to the

MEMR. Knowing whether the observed stimulus change is due to MEMR can be critical

to the decision making on MOCR measurements. For example, if a change is due to

MEMR, the stimulus level may need to be lowered. In contrast, if the change is due to

other factors, these may need to be addressed followed by a retest.

Monitoring the stimulus to detect MEMR activation is complicated by the reactive

component of impedance varying as a function of frequency. Our findings corroborate

power reflectance data of Feeney and Keefe (1999; 2001), in that, at lower frequencies

(between 0.9 and 2 kHz), the impedance changes due to MEMR activation causes a

reduction in stimulus level in the ear canal. In contrast, for frequencies between 2 and

3.2 kHz, the MEMR causes a relative increase in stimulus level in the ear canal. This

frequency specific effect means that simple averaging across frequency of the stimulus

waveform may underestimate the change in stimulus level due to MEMR activation as

opposing direction of changes across frequencies may partially cancel out. Therefore, it

would be useful to separate the stimulus into multiple frequency bands to examine

MEMR effects.

In a simple comparison in our study (Fig. 8), ~94% of our participants had higher MEMR

thresholds when estimated using a clinical tympanometer. This discrepancy is despite

the fact that broadband noise was used to elicit the MEMR in the clinical tympanometer,

a superior MEMR elicitor relative to clicks (Guinan et al., 2003; Popelka, Karlovich, and

Wiley, 1974). Alternatively, it is possible that our bilateral click presentation was more

potent in activating the MEMR relative to the contralateral broadband noise. These

differences cannot be reconciled when a different instrument and/or MEMR elicitor is

used to estimate the MEMR and the MOCR. Therefore, for OAE-based assays of the

MOCR, it is critical that the presence of the MEMR is *not* based on MEMR thresholds

obtained from clinical tympanometers. We emphasize that monitoring the OAE-evoking

stimulus is currently the optimal solution to sensitive monitoring of MEMR activation, in

keeping with previous recommendations (Guinan, 2010; Zhao and Dhar, 2012; Mertes

and Goodman, 2015; Boothalingam and Purcell, 2015).

Given that in this study the MEMR appeared to be activated even at fairly low levels in

some individuals, a natural question is whether it is possible to estimate MOCR effects

without MEMR influence? This question needs further investigation. A very small but

statistically significant systematic change in the time series, presumably caused by the

MEMR, does not necessarily have a measurable influence on MOCR measurements.

Further, the low thresholds reported in this study may not apply to other stimuli (such as

broadband noise MOCR activators or MOCR activators presented contralaterally). To

settle this issue fully, the magnitude of the MEMR at which the MOCR measurements

demonstrate significant influence of the MEMR must be ascertained in further studies,

possibly using animal models. This is a non-trivial problem because both the MOCR

and the MEMR magnitude grow similarly with stimulus level and have similar time

courses. Until such data are available, it is best to employ methods to avoid activating

the MEMR in MOCR assays.

Along with a reliable MEMR detection method, already available methods can be used

to minimize the probability of evoking it. Very slow click rates (e.g. 5 Hz), low click

levels, and a low contralateral noise elicitor level can be used. In addition, estimating

the MOCR at multiple elicitor levels will allow room for ignoring levels with MEMR

contamination. However, when low click rates/levels or low elicitor levels cannot be

used the frequency-specific stimulus changes potentially provide a silver lining for

MOCR assays. Our data, along with the data of Feeney and Keefe (1999; 2001), shows

that the ear canal and the middle ear impedance has a magnitude zero-crossing

between 1.6 and 2 kHz [see Fig. 5 panels MEM-IO and MEM-Tx]. This zero-crossing, or

the transition from a reduction in stimulus level to an increment, appears to be

consistent in all the 20 participants in our study. It is possible that measuring MOCR

magnitude changes in the 1.6 and 2 kHz region could minimize contamination by the

MEMR. An individualized approach to identifying the zero-crossing frequency and

capitalizing MOCR measurements close to this frequency has been reported (Goodman

et al., 2018). This approach, however, assumes that the presence of MEMR at

frequencies outside of the 1.6 to 2 kHz region has minimal influence on the MOCR

estimated between 1.6 and 2 kHz. This assumption may not be valid. Although MOC

neurons themselves are frequency specific, their dendrites within the cochlea branch

extensively (Brown, 2014). Given this branching of the MOC fibers, the MOC inhibition

of OAEs between 1.6 and 2 kHz is likely to be affected by inputs to the MOC neurons

from other frequency regions. Testing this approach empirically in a future study with

two groups of individuals, with and without MEMR, will provide evidence for MEMR

influence on the MOCR in this zero-crossing frequency region.

Another possible avenue to minimize MEMR influence is to exploit the faster adaptation

of the MEMR at higher frequencies by focusing on MOCR measurements at higher

probe frequencies. Again, the same caveats that apply to frequency effects above also

apply here. In addition, considering our time course only extended up to ~1 s, it cannot

be ascertained whether the adaptation was complete, if it only reached a threshold and

continued to exist, or if it crossed the zero-magnitude line and continued to increase in

the other direction. Further studies with longer click trains are required to clarify this. It is

evident that when the MEMR is detected in an MOCR assay it cannot be teased apart,

at least using current methods. Prevention is better than cure applies to MEMR

activation in MOCR assays.

V. Conclusion

We used bilateral click trains to generate time series data, such that MEMR-mediated

changes in the impedance characteristics of the middle ear could be tracked both in

frequency and time. Our approach allows for (1) the possibility of bilateral activation, (2)

presentation of the probe without a separate elicitor, (3) wideband stimulus reflectance

monitoring, and (4) verification if that the stimulus change is caused by MEMR by

tracking the stimulus-change time series. Our data, like several previous studies,

suggest that the MEMR is activated at levels lower than that reported in a clinical

tympanometers. Based on current evidence, monitoring the OAE-evoking stimulus in a

frequency specific manner for MEMR activation is the optimal way to detect the possible

influence of the MEMR in MOCR assays. The frequency specific changes observed in

middle ear impedance may suggest avenues for circumventing or minimizing the effects

of the MEMR on MOCR assays but need further investigation.

Acknowledgements

The authors thank Kristina Broyles for collecting data and Dr. Viji Easwar for help with

statistics. This study was supported by funds from the Office of the Vice Chancellor for

Research and Graduate Education, University of Wisconsin, Madison to SB.

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