#### **1** Base Pairing and Functional Insights into *N*<sup>3</sup>-methylcytidine (m<sup>3</sup>C) in RNA

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3 Song Mao,<sup>1,2</sup> Phensinee Haruehanroengra,<sup>1,2</sup> Srivathsan V. Ranganathan,<sup>2</sup> Fusheng Shen,<sup>1,2</sup>

4 Thomas J. Begley,<sup>2,3</sup> Jia Sheng<sup>1,2,\*</sup>

5 <sup>1</sup>Department of Chemistry, <sup>2</sup>The RNA Institute, <sup>3</sup>Department of Biological Science, University at

6 Albany, State University of New York, 1400 Washington Ave. Albany, NY, 12222, USA.

- 7 Email: jsheng@albany.edu
- 8
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## 10 ABSTRACT:

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 $N^3$ -methylcytidine (m<sup>3</sup>C) is present in both eukaryotic tRNA and mRNA and plays 12 critical roles in many biological processes. We report the synthesis of the m<sup>3</sup>C 13 phosphoramidite building block and its containing RNA oligonucleotides. The 14 base-pairing stability and specificity studies show that the m<sup>3</sup>C modification 15 significantly disrupts the stability of the Watson-Crick C:G pair. Further m<sup>3</sup>C 16 decreases the base pairing discrimination between C:G and the other mismatched C:A, 17 C:U, and C:C pairs. Our molecular dynamic simulation study further reveals the 18 detailed structural insights into the m<sup>3</sup>C:G base pairing pattern in an RNA duplex. 19 More importantly, the biochemical investigation of m<sup>3</sup>C using reverse transcription 20 shows that  $N^3$ -methylation specifies the C:A pair and induces a G to A mutation using 21 HIV-1-RT, MMLV-RT and MutiScribe<sup>TM</sup>-RT enzymes, all with relatively low 22 replication fidelity. For other reverse transcriptases with higher fidelity like AMV-RT, 23 the methylation could completely shut down DNA synthesis. 24

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## 26 INTRODUCTION

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Natural RNA systems in all organism, from the simplest prokaryote 28 Nanoarchaeum equitans to humans, utilize the four regular nucleosides (adenosine, 29 guanosine, cytidine, and uridine) and a variety of post-transcriptional modifications to 30 achieve structural and functional specificity and diversity. (Nachtergaele and He, 2017) 31 To date, more than 160 distinct chemical modifications that decorate different 32 positions of nucleobases, ribose and phosphate backbone in RNA nucleotides have 33 been discovered, (Basanta-Sanchez et al., 2016; Boccaletto et al., 2018; Cantara et al., 34 2011; Machnicka et al., 2013; Wu et al., 2020) since the first discovery of the 35 modified nucleoside in the 1950s. (Holley et al., 1965a; Holley et al., 1965b) Many 36 RNA modifications have been demonstrated to play critical roles in both normal and 37 disease cellular functions and processes, such as development, circadian rhythms, 38 embryonic stem cell differentiation, meiotic progression, temperature adaptation, 39 stress response and tumorigenesis, etc. (Machnicka et al., 2013) Similar to DNA and 40 epigenetic markers, RNA modifications (also termed 41 protein based the 'epitranscriptome') are dynamically and reversibly regulated by specific reader, writer 42 and eraser enzymes, representing a new layer of gene regulation. (Roundtree et al., 43

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2017) Accordingly, RNA modification-associated enzymes, as an important research
frontier towards RNA-based drug discovery, have become useful molecular tools and
drug targets. (Jiang et al., 2017)

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Benefiting from a variety of recently developed chemical biology tools and 48 high-throughput detection strategies, RNA methylation has been identified in different 49 RNAs from all organisms.(Clark et al., 2016; Hori, 2014; Mongan et al., 2019; 50 Nachtergaele and He, 2018; Roundtree et al., 2017; Sergiev et al., 2018; Song and Yi, 51 2017) In addition, corresponding writers and erasers and bonding proteins ("readers") 52 (Shi et al., 2019) have been identified for many RNA methylation and been shown to 53 impact numerous biological functions and diseases processes. For example, the tRNA 54 methylations 5-methylcytidine  $(m^5C),$ *N*<sup>1</sup>-methylguanidine  $(m^1G)$ , 55  $N^{l}$ -methyladenosine (m<sup>1</sup>A),  $N^{7}$ -methylguanidine (m<sup>7</sup>G) and 2'-O-methylated sugar 56 (2'-Nm) in the anticodon stem loops of transfer RNA (tRNA) are directly involved in 57 the codon recognition and can induce or inhibit frameshifting mutations during 58 translation. (Fu et al., 2014; Wang et al., 2014) In addition, N<sup>6</sup>-methyladenosine (m<sup>6</sup>A), 59 the most abundant internal mRNA methylation, is linked to numerous biological 60 functions, including mRNA stability, RNA structure switches, mRNA splicing, RNA 61 export, translation and miRNA biogenesis. (Desrosiers et al., 1974; Song and Yi, 2017; 62 Zaccara et al., 2019) Moreover, RNA methylation also has been found in viral RNA, 63 which impacts viral gene expression and has great potential for stimulating 64 therapeutic developments. (Chen et al., 2019a; Ciuffi, 2016; Lichinchi et al., 2016; 65 Wu, 2019) 66

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 $N^3$ -methylcytidine (m<sup>3</sup>C), first discovered in Saccharomyces cerevisiae total 68 RNA (Hall, 1963) and later found in eukaryotic tRNA (Clark et al., 2016; Cozen et al., 69 2015; D'Silva et al., 2011; Han et al., 2017; Iwanami and Brown, 1968; Noma et al., 70 2011; Olson et al., 1981), occurs most frequently in anticodon stem loops to impact 71 tRNA structure, affinity for the ribosome and decoding activity. The working enzymes 72 responsible for m<sup>3</sup>C in tRNA are the methyltransferase Trm140 or the complex of 73 Trm140 and Trm141 (METTL2 and METTL6). Recently, Fu and coworkers reported 74 the new discovery of METTL8 as an mRNA m<sup>3</sup>C writer enzyme and provided the 75 first evidence of the existence of m<sup>3</sup>C modification in the mRNA of mice and humans, 76 (Liu and He, 2017; Xu et al., 2017) The m<sup>3</sup>C modification may play versatile roles in 77 impacting mRNA processing and biological functions. More interestingly, m<sup>3</sup>C has 78 been uniquely detected in the viral RNAs from Huh7, ZIKV and DENV virions and 79 the cells with these virus infections. (McIntyre et al., 2018) The m<sup>3</sup>C modification 80 also has the potential to be demethylated by eraser enzymes. Alkylation repair 81 homolog 3 (ALKBH3), as well as its bacterial ancestor Alkb, have been shown to 82 demethylate the m<sup>3</sup>C in tRNA to affect RNA stability and prevent degradation.(Chen 83 et al., 2019b; Ougland et al., 2004) ALKBH3 expression has also been linked to tumor 84 85 progression and the regulation of protein synthesis, suggesting m<sup>3</sup>C plays a prominent role in cancer biology. (Ueda et al., 2017) 86

Although much effort went in to the discovery and detection of m<sup>3</sup>C, little is 88 known about its fundamental properties and biological functions. Since the 89  $N^3$ -position directly participates in the Watson-Crick pairing, this methylation is 90 expected to disrupt the C:G pair and reduce the base pairing fidelity of cytosine. In 91 addition, the methyl group on m<sup>3</sup>C might also regulate binding by RNA readers. 92 93 Therefore, we hypothesize that the methylation at  $N^3$ -position of cytidine is a cellular mechanism to modulate base pairing specificity and affect the efficiency and fidelity 94 of transcription and reverse-transcription, thus increasing mutation rates, which could 95 be beneficial to certain biological systems like virus. To the best of our knowledge, no 96 chemical synthesis and base-pairing studies of RNA oligonucleotides containing m<sup>3</sup>C 97 modification have been reported. In this work, we report the new chemical synthesis 98 of m<sup>3</sup>C phosphoramidite building block and its incorporation into RNA 99 oligonucleotides. The subsequent base-pairing stability and specificity studies of RNA 100 duplexes containing one and two m<sup>3</sup>C residues at different positions support the idea 101 that the m<sup>3</sup>C decreases both duplex stability and base pairing discrimination between 102 C:G pair and other mismatched pairs. Our molecular dynamic simulation study further 103 provides detailed structural insights into the m<sup>3</sup>C:G base pairing pattern in an RNA 104 duplex. Furthermore, we used m<sup>3</sup>C in reverse transcription assays in the presence of 105 AMV-RT, HIV-1-RT, MMLV-RT and MutiScribe<sup>TM</sup>-RT and found that this 106 methylation could specify the C:A pair for some RT enzymes with low fidelity, which 107 would induce the G to A mutation. For reverse transcriptase enzymes with higher 108 fidelity (i.e., AMV-RT), m<sup>3</sup>C could completely shut down DNA synthesis. 109

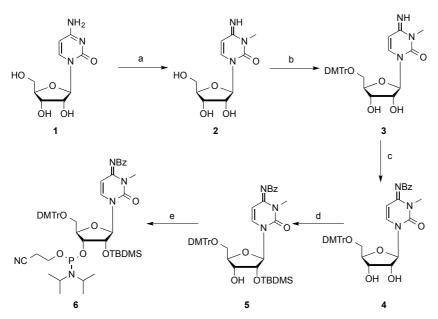
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## 111 RESULTS AND DISCUSSION

# Chemical synthesis of m<sup>3</sup>C phosphoramidite building block and its containing RNA oligonucleotides

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Although the synthesis of m<sup>3</sup>C nucleoside has been achieved, (Brookes and 115 Lawley, 1962; Ogilvie and Kader, 1983) more general phosphoramidite building 116 blocks are required to make different scales of RNA strands through the solid phase 117 synthesis of oligonucleotides. We started the synthesis of m<sup>3</sup>C from the commercially 118 available cytidine (1, scheme 1), which was directly methylated by using MeI without 119 any base to obtain the m<sup>3</sup>C nucleoside. The sequential protections of the 5'-hydroxyl 120 with dimethoxyltrityl (DMTr) group and  $N^4$  position with benzoyl (Bz) group yielded 121 compound 4. Subsequently, the 2'-hydroxyl group was protected with 122 tert-butyldimethylsilyl (TBDMS) group to obtain compound 5, which is the key 123 intermediate to make the final phosphoramidite building block 6 for the 124 oligonucleotides solid phase synthesis. 125



127 Scheme 1. Synthesis of  $N^3$ -methyl-cytidine phosphoramidite 10. Reagents and conditions: (a) MeI, 128 DMF; (b) DMTrCl, Py; (c) TMSCl, Py; BzCl; (d) TBDMSCl, imidazole, DMF; (e) 129 (*i*-Pr<sub>2</sub>N)<sub>2</sub>P(Cl)OCH<sub>2</sub>CH<sub>2</sub>CN, (*i*-Pr)<sub>2</sub>NEt, 1-methylimidazole, DCM.

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As expected, the m<sup>3</sup>C phosphoramidite building block is well compatible with 131 the solid phase synthesis conditions including the trichloroacetic acid (TCA) and 132 oxidative iodine treatments, resulting in very similar coupling yields as the 133 commercially available native phosphoramidites. They are also stable in the basic 134 cleavage from the solid phase beads and the Et<sub>3</sub>N•3HF treatment to remove TBDMS 135 protecting groups during the RNA oligonucleotide deprotection and purification. As 136 the demonstration, six RNA strands containing this modification have been 137 synthesized and confirmed by ESI- or MALDI-MS, as shown in Table 1. 138

139 **Table 1.** RNA sequences containing  $m^{3}C$ .

Entry	RNA Sequences	Measured (calc.) m/z
ON1	5'-AAUGCm <sup>3</sup> CGCACUG-3'	$[M+H]^+ = 3807.5 (3807.6)$
ON2	5'-GGACUm <sup>3</sup> CCUGCAG-3'	$[M+H]^+ = 3823.6 (3823.6)$
ON3	5'-Um <sup>3</sup> CGUACGA-3'	$[M+H]^+ = 2523.1 (2522.4)$
ON4	5'-GUAm <sup>3</sup> CGUAC-3'	$[M+H]^+ = 2522.5 (2522.4)$
ON5	5'-CCGG <mark>m<sup>3</sup>C</mark> GCCGG-3'	$[M+H]^+ = 3203.7 (3203.5)$
ON6	5'-CGCGAAUU <mark>m<sup>3</sup>C</mark> GCG-3'	$[M+H]^+ = 3823.6 (3823.6)$

#### 140 Thermal denaturation and base pairing studies of m<sup>3</sup>C RNA duplexes

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We synthesized two sets of RNA strands to investigate the thermodynamic 142 properties and base pairing specificity of m<sup>3</sup>C containing RNA duplexes. The 143 normalized  $T_{\rm m}$ curves of native and modified **RNA** duplexes, 144 [5'-GGACUXCUGCAG-3' & 3'-CCUGAYGACGUC-5'] with Watson-Crick and 145 other non-canonical base pairs (X pairs with Y), are shown in Figure 1. The detailed 146 melting temperature data are summarized in Table 2. Compared to the native 147 counterparts, m<sup>3</sup>C-modified RNA duplexes showed dramatically decreased thermal 148 stability. In the native C:G paired 12-mer duplexes (compare entry 2 and 7), the m<sup>3</sup>C 149 decreases the  $T_{\rm m}$  by 19.7 °C, corresponding to a  $\Delta G^0$  reduction of 9.6 kcal/mol. 150 Similarly, the non-canonical base paired (ex. C:A, C:U and C:C) duplexes containing 151 this modification also showed significantly lower melting temperatures. The  $T_{\rm m}$  drops 152 by 9.9 °C in the C:A mismatched duplex (entry 3 vs 8), 7.0 °C in the C:U mismatched 153 one (entry 4 vs 9) and 4.0 °C for the C:C mismatched one (entry 5 vs 10), 154 corresponding to the  $\Delta G^0$  reduction of 4.5, 4.2 and 2.5 kcal/mol respectively. 155

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These results indicate that m<sup>3</sup>C modification significantly disrupts the C:G 157 pair and the overall duplex stability. Indeed, when we compared this modification 158 with the native C in a self-complementary 10-mer duplex context 159 (CCGGC\*GCCGG)<sub>2</sub>, where two consecutive m<sup>3</sup>C:G are introduced in the middle of 160 the duplex, the  $T_{\rm m}$  drops by 35.7 °C (entry 11 vs 12, **Table 2**), as shown in Figure 161 S34. On the other hand, the comparison of base pairing specificity in this duplex 162 system indicated that m<sup>3</sup>C decreases the discrimination between C:G pair and other 163 mismatched C:A, C:U and C:C pairs (entries 7-9, Table 2). The lowest  $T_{\rm m}$  difference 164 is 3.2 °C between m<sup>3</sup>C:G-duplex and m<sup>3</sup>C:C-one, and the highest  $T_{\rm m}$  difference is 165 only 5.6 °C between m<sup>3</sup>C:G-duplex and m<sup>3</sup>C:A-one. In comparison, in the 166 nonmodified native RNA duplexes, these T<sub>m</sub> differences vary from 15.4 to 18.9 °C 167 (entries 2-5), dramatically bigger than the modified m<sup>3</sup>C counterparts. 168 169

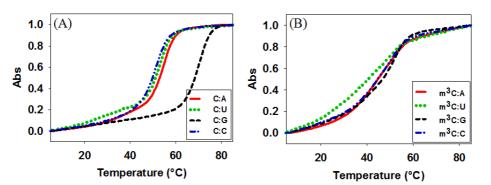




Figure 1. Normalized UV-melting curves of RNA duplexes. (A) Native sequence
 5'-GGACUCCUGCAG-3') pairs with matched and mismatched strands. (B) m<sup>3</sup>C modification
 sequence (5'-GGACUm<sup>3</sup>CCUGCAG-3') pairs with matched and mismatched sequences.

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176	Table 2. Melting temperatures of native and	m <sup>3</sup> C-modified RNA duplexes.
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	Entry	Sequences	Base pair	T <sub>m</sub> (°C) <sup>a</sup>	$\Delta T_m$ (°C) <sup>b</sup>	-∆G <sup>0</sup> (kcal/mol) <sup>c</sup>
Duplex-1	1	I: 5'-GGACUCCUGCAG-3'				
	2	I + 3'-CCUGAGGACAUC-5'	C:G	69.6		20.6
	3	I + 3'-CCUGAAGACAUC-5'	C:A	54.2	-15.4	14.0
	4	I + 3'-CCUGAUGACAUC-5'	C:U	52.9	-16.7	14.3
	5	I + 3'-CCUGACGACAUC-5'	C:C	50.7	-18.9	12.4
	6	II: 5'-GGACU <mark>m<sup>3</sup>C</mark> CUGCAG-3'				
	7	II + 3'-CCUGA <mark>G</mark> GACAUC-5'	m <sup>3</sup> C:G	49.9		11.0
	8	II + 3'-CCUGAAGACAUC-5'	m <sup>3</sup> C:A	44.3	-5.6	9.5
	9	II + 3'-CCUGAUGACAUC-5'	m <sup>3</sup> C:U	45.9	-4.0	10.1
	10	II + 3'-CCUGACGACAUC-5'	m <sup>3</sup> C:C	46.7	-3.2	9.9
Duplex-2	11	III: (5'-CCGGCGCCGG-3')2	C:G	74.6		18.3
	12	IV: (5'-CCGGm <sup>3</sup> CGCCGG-3') <sub>2</sub>	m <sup>3</sup> C:G	38.9	-35.7	7.8

a The  $T_{\rm m}$ s were measured in sodium phosphate (10 mM, pH 7.0) buffer containing 100 mM NaCl,  $T_{\rm m}$ 

179 values reported are the averages of four measurements.

180  ${}^{b} \Delta T_{m}$  values are relative to the duplexes with only Watson-Crick pairs.

181 <sup>c</sup> Obtained by non-linear curve fitting using Meltwin 3.5.(McDowell and Turner, 1996)

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In addition, we also evaluated the impact of multiple m<sup>3</sup>C modifications at 183 different positions using a longer 22-mer RNA duplex template. As shown in Table 3 184 and Figure S35, the  $T_{\rm m}$  of RNA duplex containing a single m<sup>3</sup>C residue at C17 185 position (close to the 3' end) drops by 5.5 °C (entry 2 vs 4, Table 3). In comparison, 186 the  $T_{\rm m}$  of the one containing two m<sup>3</sup>C residues at C17 and C19 positions decreases by 187 only 6.5 °C (entry 2 vs 4 vs 6, Table 3), meaning that the additional m<sup>3</sup>C residue in 188 the adjacent position has a small impact on duplex stability with only a 1.0 °C of  $T_{\rm m}$ 189 decrease and the further structural perturbation is 'buffered' by the first m<sup>3</sup>C 190 modification. By contrast, separating the two m<sup>3</sup>C modifications at C5 and C17 191 positions resulted in dramatic drop of  $T_{\rm m}$  by 37.2 °C (entry 2 vs 8, Table 3). While it 192 has been known that RNA duplex structure is flexible to accommodate many different 193 chemical modifications, the wide duplex stability range that m<sup>3</sup>C could induce and the 194 capability of fine-tuning  $T_m$  in a position-dependent manner might be useful for 195 therapeutic applications, to enhance the efficacy of antisense and RNAi based 196 mechanisms. 197

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 Table 3. Melting temperatures of native and m<sup>3</sup>C-modified 22-mer RNA duplexes.

Entry	Sequences	$T_{\rm m}(^{\circ}{\rm C})^{\rm a}$	$\Delta T_m (^{\circ}\mathrm{C})^{\mathrm{b}}$
1	VII: 5'-UGAGCUAGUAGGUUGUCUCGUU-3'		
2	VII + 3'-ACUCGAUCAUCCAACAGAGCAA-5'	71.7	
3	VIII: 5'-UGAGCUAGUAGGUUGUm <sup>3</sup> CUCGUU-3'		

4	VIII + 3'-ACUCGAUCAUCCAACAGAGCAA-5'	66.2	-5.5
5	IX: 5'-UGAGCUAGUAGGUUGUm <sup>3</sup> CUm <sup>3</sup> CGUU-3'		
6	IX + 3'-ACUCGAUCAUCCAACAGAGCAA-5'	65.2	-6.5
7	X: 5'-UGAGm <sup>3</sup> CUAGUAGGUUGUm <sup>3</sup> CUCGUU-3'		
8	$\mathbf{X}$ + 3'-ACUCGAUCAUCCAACAGAGCAA-5'	34.5	-37.2

<sup>a</sup> The  $T_{\rm m}$ s were measured in sodium phosphate (10 mM, pH 7.0) buffer containing 100 mM NaCl,  $T_{\rm m}$ 

values reported are the averages of four measurements.

203  ${}^{b} \Delta T_{m}$  values are relative to the native duplexes with only Watson-Crick pairs.

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## 205 Molecular simulation studies of m<sup>3</sup>C-RNA duplex

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In order to investigate more detailed structural insights into the base pairing 207 patterns of the m<sup>3</sup>C containing RNA duplex, we conducted molecular dynamic 208 simulation studies. The results from the MD simulations are summarized in Figure 2. 209 The RNA duplex was simulated in both canonical (C-G) and modified (m<sup>3</sup>C-G) forms. 210 We calculated the hydrogen-bonding distances between the donor-acceptor pairs for 211 the canonical and modified base-pairs from the ensemble of structures generated in 212 the production run. The curves are moved vertically for visual clarity. The canonical 213 C:G pair retains all the three hydrogen bonds throughout the simulation. However, in 214 the modified m<sup>3</sup>C-G pair, the m<sup>3</sup>C rotates for about 45 degrees to fully expose the 215 methyl group into the major groove and avoid the clashing with  $N^1$  of the pairing G. 216 The conformational change observed in the m<sup>3</sup>C-G pair also allows for the single 217 hydrogen bond acceptor (O<sup>2</sup> of m<sup>3</sup>C) to form bifurcated hydrogen bonds with N<sup>1</sup> and 218  $N^2$  of guanine. The bifurcated hydrogen bonds are weaker than the normal one as 219 evidenced by the higher average distances and fluctuations. Similar bonding patterns 220 might also exist in other mis-matched pairs to minimize the discrimination of C to 221 other bases. Overall, we observed weakening of hydrogen bonding in the modified 222 m<sup>3</sup>C-G base-pair, which is consistent with our thermostability studies. 223 224

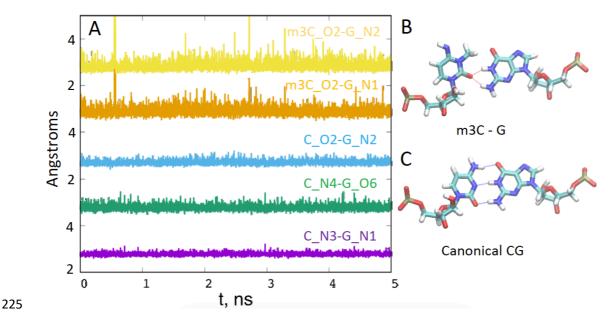


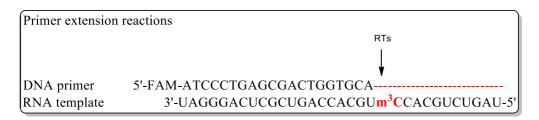
Figure 2. Molecular simulation results. The hydrogen bonding distances (A) vary at different
 time points in the RNA duplex containing m<sup>3</sup>C:G (B) and native C:G (C) pairs.

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## 229 Impacts of m<sup>3</sup>C modification on reverse transcription

In order to study the potential biological consequences of m<sup>3</sup>C induced 230 changes in hydrogen bonding during nucleic acid synthesis, we conducted reverse 231 232 transcription assays using a modified-template directed primer extension reaction, as shown in Figure 3. The 5'-end of DNA primer was labeled with the fluorescent FAM 233 group and a 31 nt-long modified RNA was used as the template, with the m<sup>3</sup>C residue 234 as the starting site of the extension reaction, which allows the direct view of the 235 impacts of this modification to the whole reverse transcription complex. The reverse 236 transcription yields or fidelity with different base pairing substrates in the presence of 237 238 different reverse transcriptases were quantitated by the fluorescence gel images with single-nucleotide resolution (Figure 4-6) and the according UV images (Figure 239 S36-S38). 240

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243 Figure 3. Primer extension reaction using m<sup>3</sup>C modified RNA template.

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The Avian Myeloblastosis Virus Reverse Transcriptase (AMV-RT) is a widely 245 used RNA-directed DNA polymerase in RT-PCR and RNA sequencing with high 246 fidelity. (Myers et al., 1977) When AMV-RT was used in the presence of different 247 dNTP substrates with native RNA template (Figure 4A), the reverse transcription 248 reaction completes with all the natural dNTPs (lane Nat). AMV-RT could only use 249 dGTP for incorporation against the starting C residue on the template, while no other 250 dNTPs can be added to the primer (lane A, T, G, C). For AMV-RT and an m<sup>3</sup>C 251 252 modified RNA template (Figure 4B), no full-length product was observed even in the presence of all the natural dNTPs (lane Nat vs N), indicating that this single m<sup>3</sup>C 253 modification template completely inhibits the AMV-RT activity. Our observation is 254 also consistent with the report that m<sup>3</sup>C acts as an RT stop residue in RT-based 255 techniques.(Motorin et al., 2007) 256

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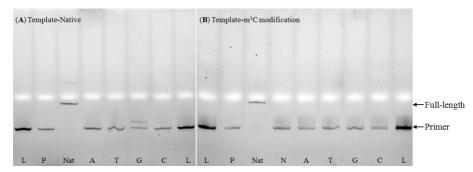


Figure 4. Fluorescent (A and B) gel images of standing-start primer extension reactions for AMV RT
indicated using m<sup>3</sup>C containing RNA template and the corresponding natural template. Lanes: L, ladder;
P, primer; Nat, natural template with all four dNTPs; A, T, G, and C, reactions in the presence of the
respective dNTP; N, reactions in the presence of all four dNTPs.

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By contrast, when the HIV-1-RT, which has relatively lower replication 264 fidelity than AMV-RT, was used, it dramatically increased incorporation yield when 265 dGTP was the lone dNTP (Figure 5A, lane G). We also observed that 266 mis-incorporation of dATP and dTTP, but not dCTP, was present when using the 267 HIV-1-RT (lane A, T and C). In the presence of m<sup>3</sup>C modified template (Figure 5B), 268 we observed a very trace amount of full-length product (less than 5%) in the presence 269 of all natural dNTPs (lanes Nat and N), supporting the idea that a single m<sup>3</sup>C 270 modification severely inhibits the HIV-1-RT activity and results in a very low reaction 271 yield. Interestingly, the m<sup>3</sup>C modification totally inhibits dGTP and dTTP 272 incorporation but significantly increases the dATP incorporation yield (lanes A, T and 273 G), resulting the order of preferential incorporation efficiency as A>>T>G, C. 274 275

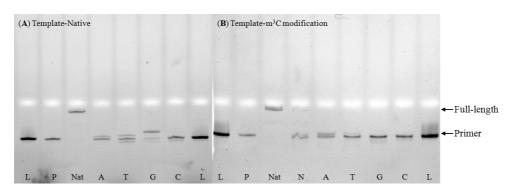


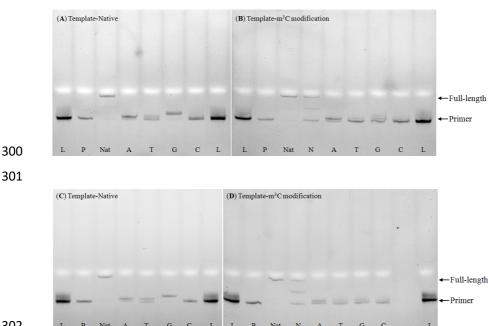
Figure 5. Fluorescent (A and B) gel images of standing-start primer extension reactions for HIV-1 RT
indicated using m<sup>3</sup>C containing RNA template and the corresponding natural template. Lanes: L, ladder;
P, primer; Nat, natural template with all four dNTPs; A, T, G, and C, reactions in the presence of the
respective dNTP; N, reactions in the presence of all four dNTPs.

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Subsequently, we explored the impacts of m<sup>3</sup>C on MMLV-RT, another enzyme with replication fidelity lower than AMV but higher than HIV-1 RT.(Skasko et al., 2005) MMLV-RT together with native RNA template (**Figure 6A**) in the reverse transcription reaction gives normal full length product in the presence of all the natural dNTPs (lane Nat). Although very high dGTP incorporation efficiency (lane G)

was observed for MMLV-RT, the mis-incorporation of dATP was extremely high (lane 287 A), supporting the idea that MMLV-RT can recognize and well accommodate the C:A 288 pair in the reverse transcription complex. Similarly, dTTP was also incorporated into 289 the primer strand with a medium yield (lane T). On the other hand, the presence of 290 m<sup>3</sup>C in the template inhibits the normal enzyme activity of MMLV-RT (Lane N and G 291 in Figure 6B), resulting in a mixture of three major strands in the reaction system, 292 although the full-length product inhibition is much lower than the HIV-1 RT system. 293 However, the m<sup>3</sup>C template modification does not impact the incorporation of dATP. 294 As a result, a similar dNTPs incorporation efficiency order, A>>T, G>C, as HIV-1 RT, 295 was observed for MMLV-RT. Furthermore, when the MutiScribe<sup>TM</sup> RT, a recombinant 296 version of MMLV RT, was applied to the system, very similar results were obtained. 297 (Figure 6C and 6D). 298 299



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Figure 6. Fluorescent gel images of standing-start primer extension reactions for MMLV RT (A and B) 304 and MultiScribe<sup>TM</sup> RT (C and D) indicated using m<sup>3</sup>C containing RNA templates and the corresponding 305 natural templates. Lanes: L, ladders; P, primer; Nat, natural template with all four dNTPs; A, T, G, and 306 C, reactions in the presence of the respective dNTP; N, reactions in the presence of all four dNTPs. 307 308

Many base modifications that do not change the Watson-Crick base pairing 309 pattern have big impacts on the activity and fidelity of RNA polymerases, with m<sup>6</sup>A, 310 m<sup>5</sup>C, m<sup>5</sup>U, hm<sup>5</sup>U being examples. (Potapov et al., 2018) The accuracy or fidelity of 311 the base incorporation against a specific modified base on the template strand used for 312 reverse transcription as well as the overall DNA or RNA synthesis error rates remains 313 largely unestablished for many RNA modifications. HIV-1 RT is known as a low 314 fidelity reverse transcriptase that catalyzes nucleotide mismatches with an error 315 frequency of 1/2000 to 1/4000, and prefers a C:A pair over other mismatches, which 316 frequently results in a G-to-A mutation during HIV gene replication.(Preston et al., 317

1988) Our results suggest that although the HIV-1 RT can induce both C:A and C:T 318 pairs using an unmodified template, the presence of the m<sup>3</sup>C modification largely 319 enhances the C:A pair while inhibiting the C:T one. We also observed that MMLV RT 320 and MutiScribe<sup>TM</sup> RT cases enhances the C:A pair while inhibiting the C:T one. Our 321 results support the idea that the m<sup>3</sup>C modification could specify the C:A pair in the 322 presence of lower fidelity reverse transcriptases, thus further increasing the G-to-A 323 mutation rate during reverse transcription. In contrast, the m<sup>3</sup>C encountered by 324 enzymes with higher fidelity (i.e., AMV-RT) does not specify A or induce any other 325 base mismatch, and primary serves as an RT stop. 326

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We do note that the overall replication using HIV-1 RT is significantly (>90%) 328 inhibited in the presence of m<sup>3</sup>C on the template, very similar to the AMV-RT case, 329 which completely inhibits the DNA synthesis. One would expect a lower-fidelity 330 RNA polymerase like HIV-1 to better accommodate the unnatural base pairs and 331 promote higher replication yields when compared to the higher-fidelity AMV-RT do. 332 Indeed, both MMLV RT and MutiScribe<sup>TM</sup> RT, which have a fidelity between HIV-1 333 RT and AMV-RT, show partial inhibition and result in a 50% of full-length product. 334 Therefore, the m<sup>3</sup>C modification may have unique effects on HIV-1 RT, and allow the 335 virus to regulate gene replication during different environmental selection stresses, 336 which could be exploited to develop new therapeutics for HIV. Furthermore, the 337 downstream demethylation process of m<sup>3</sup>C catalyzed by ALKBH3 may also play roles 338 in restoring base pairing fidelity during virus replication, therefore representing 339 another potential target in developing RNA based antiviral drugs. 340

## 342 CONCLUSION

In summary, we synthesized the m<sup>3</sup>C phosphoramidite and a series of RNA 343 oligonucleotides containing the modification. Our base-pairing and specificity studies 344 show that the m<sup>3</sup>C modification disrupts the C:G pair and significantly decreases 345 RNA duplex stability, which also results in the loss of base pairing discrimination of 346 C:G pair with C:A, C:T, and C:C mismatched pairs. We also demonstrated that 347 introducing two m<sup>3</sup>C modifications in the same sides (5' or 3' side) provided relative 348 smaller effect on  $T_{\rm m}$  compared to one modification. On the contrary, separating the 349 two modifications could significantly reduce the duplex stability. Our molecular 350 dynamic simulation study further reveals the detailed structural insights into the 351 m<sup>3</sup>C:G base pairing pattern in RNA duplex. In addition, our investigation of this 352 methylation effects on reverse transcription model demonstrated that the m<sup>3</sup>C 353 modification could specify the C:A pair for some RT enzymes, which would induce 354 the G to A mutation if used by low fidelity enzymes. For reverse transcriptase 355 enzymes with higher fidelity (i.e., AMV-RT), m<sup>3</sup>C could completely shut down DNA 356 synthesis. Our work provides detailed insights into the thermostability and the 357 importance of m<sup>3</sup>C in RNA. Further it provides a foundation for exploiting the 358 biochemical and biomedical potential of m<sup>3</sup>C in the design and development of RNA 359 based therapeutics. 360 361

#### 362 MATERIALS AND METHODS

#### 363

#### 364 Materials and general procedures of synthesis

Anhydrous solvents were used and redistilled using standard procedures. All solid 365 reagents were dried under a high vacuum line prior to use. Air sensitive reactions 366 were carried out under argon. RNase-free water, tips and tubes were used for RNA 367 purification and thermodynamic studies. Analytical TLC plates pre-coated with silica 368 gel F254 (Dynamic Adsorbents) were used for monitoring reactions and visualized by 369 UV light. Flash column chromatography was performed using silica gel (32-63 µm). 370 All <sup>1</sup>H, <sup>13</sup>C and <sup>31</sup>P NMR spectra were recorded on a Bruker 400 and 500 MHz 371 spectrometer. Chemical shift values are in ppm. <sup>13</sup>C NMR signals were determined by 372 using APT technique. High-resolution MS were achieved by ESI at University at 373 Albany, SUNY. 374

#### 375 Synthesis of m<sup>3</sup>C phosphoramidites

3-N-methyl-cytidine 2. To a solution of cytidine 1 (4.86 g, 20 mmol) in dry DMF (50 376 mL) was added iodomethane (2.5 mL, 40 mmol), and the solution was kept at room 377 temperature during 24 hours. DMF was evaporated; the residue was evaporated with 378 toluene (2 x 100 mL) and dissolved in acetone (20 mL). Hexane (50 mL) was added 379 to the solution, and the resulting mixture was kept at -20 °C for 1 hour. The precipitate 380 was filtered, washed with cold mixture acetone:hexane (v/v = 1:1) (2 x 50 mL). The 381 solid was dried in vacuum to give compound 2 (3.6 g, 14 mmol, 70% yield) as a 382 yellow solid. <sup>1</sup>H NMR (500 MHz, DMSO-*d6*) δ 9.79 (br, 1H), 9.15 (br, 1H), 8.31 (d, J 383 = 7.5 Hz, 1H), 6.20 (d, J = 8.0 Hz, 1H), 5.70 (d, J = 3.5 Hz, 1H), 5.51 (br, 1H), 5.17 384 (br, 1H), 4.05-4.03 (m, 1H), 3.95-3.89 (m, 2H), 3.73 (dd, J = 2.5, 12.5 Hz, 1H), 3.60 385 (dd, J = 2.5, 12.0 Hz, 1H), 3.35 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  159.4, 148.1, 386 142.1, 94.5, 91.2, 85.1, 74.6, 69.0, 60.2, 31.2. HRMS (ESI-TOF) [M+H]<sup>+</sup> = 258.1090 387 (calc. 258.1090). Chemical formula: C<sub>10</sub>H<sub>15</sub>N<sub>3</sub>O<sub>5</sub>. 388

1-(5'-O-4,4'-dimethoxytrityl-beta-D-ribofuranosyl)-3-N-methyl-cytidine 3. To a 389 solution of compound 2 (3.5 g, 13.6 mmol) in dry pyridine (40 mL) was added 390 4,4'-dimethoxytrityl chloride (6.9 mg, 20.4 mmol). The resulting solution was stirred 391 at RT overnight. To the suspension was added CH<sub>2</sub>Cl<sub>2</sub> (200 mL) and the organic layer 392 was subsequently washed with 5% aqueous Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (2 x 100 mL), sat. aqueous 393 sodium bicarbonate (100 mL) and brine (100 mL). The organic layer was dried by 394 anhydrous sodium sulfate, filtered and evaporated under reduced pressure. The 395 residue was purified by silica gel chromatography to give compound 3 (3.9 g, 5.9 396 mmol, 43% yield) as a white solid. TLC  $R_f = 0.2$  (10% MeOH in CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H NMR 397 (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.61(d, J = 8.0 Hz, 1H), 7.39-7.36 (m, 2H), 7.28-7.16 (m, 7H), 398

6.82-6.79 (m, 4H), 5.86 (d, J = 3.2 Hz, 1H), 5.76 (d, J = 7.2 Hz, 1H), 4.36-4.30 (m, 2H), 4.18 (m, 1H), 3.73 (d, 6H), 3.48-3.40 (m, 5H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>) δ 158.9, 158.6, 149.4, 149.37, 144.5, 135.5, 135.3, 130.2, 128.2, 128.0, 113.3, 98.2, 90.9, 86.9, 83.5, 74.9, 69.9, 62.5, 55.3, 30.3. HRMS (ESI-TOF) [M+H]<sup>+</sup> = 560.2390 (calc. 560.2397). Chemical formula: C<sub>31</sub>H<sub>33</sub>N<sub>3</sub>O<sub>7</sub>.

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#### 405 1-(5'-O-4,4'-dimethoxytrityl-beta-D-ribofuranosyl)-4-N-benzoyl-3-N-methyl-cytidin

e 4. Compound 3 (2.3 g, 4.2 mmol) was co-evaporated with pyridine (2 x 50 mL) and 406 redissolved in pyridine (50 ml). Trimethylsilyl chloride (TMSCl) (2.1 mL, 16.8 mmol) 407 was added and the mixture was stirred at RT for 1 h whereupon benzoyl chloride 408 (BzCl) (0.84 mL, 5.04 mmol) was added. The resulting solution was stirred for 4 h at 409 RT whereupon water (10 mL) was added. After stirring for 5 min at RT, aqueous 410 ammonia (15 mL, 15.8 M) was added and the mixture was stirred for 15 min at RT 411 and then evaporated to dryness under reduced pressure. The residue was purified by 412 silica gel chromatography to give compound 4 (2.3 g, 3.47 mmol, 82% yield) as a 413 white solid. TLC  $R_f = 0.4$  (50% EtOAc in CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$ 414 8.15-8.12 (m, 2H), 7.64 (d, J = 8.4 Hz, 1H), 7.56-7.51 (m, 1H), 7.46-7.42 (m, 2H), 415 7.35-7.33 (m, 2H), 7.29-7.18 (m, 8H), 6.84-6.80 (m, 4H), 6.25 (d, J = 8.4 Hz, 1H), 416 5.82 (d, J = 3.6 Hz, 1H), 4.37-4.26 (m, 3H), 3.78 (d, 6H), 3.58 (s, 3H). 3.48 (dd, J =417 2.8 Hz, 10.8 Hz, 1H), 3.38 (dd, J = 3.2 Hz, 10.8 Hz, 1H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>) 418 δ 177.4, 158.7, 151.1, 144.1, 135.8, 135.7, 135.4, 135.2, 132.5, 130.1, 130.0, 129.7, 419 128.2, 128.1, 128.0, 127.1, 113.31, 113.30, 98.2, 91.5, 87.1, 84.3, 76.2, 70.5, 62.2, 420 55.2, 30.0. HRMS (ESI-TOF)  $[M+H]^+$  = 664.2646 (calc. 664.2659). Chemical 421 formula: C<sub>38</sub>H<sub>37</sub>N<sub>3</sub>O<sub>8.</sub> 422

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# 424 1-(2'-O-tert-butyldimethylsilyl-5'-O-4,4'-dimethoxytrityl-beta-D-ribofuranosyl)-4-N

425 -benzoyl-3-N-methyl-cytidine 5. Compound 4 (1.3 g, 2 mmol) was dissolved in dry DMF (12 mL), then *tert*-butyldimethylsilyl chloride (TBDMSCl, 362 mg, 2.4 mmol) 426 and imidazole (272 mg, 4 mmol) were added into the solution. The resulting solution 427 was stirred overnight at RT. The solution was diluted with EtOAc (200 mL) and 428 429 washed with brine (2 x 100 mL). The organic layer was dried by anhydrous sodium sulfate, filtered and evaporated under reduced pressure. The residue was purified by 430 silica gel chromatography to give compound 5 (600 mg, 0.77 mmol, 39% yield) as a 431 432 white solid. TLC  $R_f = 0.6$  (Hexane:EA = 1:1). <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$ 8.15-8.12 (m, 2H), 7.82 (d, J = 8.5 Hz, 1H), 7.55-7.50 (m, 1H), 7.46-7.42 (m, 2H), 433 7.38-7.35 (m, 2H), 7.29-7.25 (m, 6H), 7.22-7.16 (m, 1H), 6.85-6.82 (m, 4H), 6.08 (d, 434 J = 8.0 Hz, 1H), 5.94 (d, J = 2.5 Hz, 1H), 4.38-4.33 (m, 1H), 4.29-4.27 (m, 1H), 435

436 4.09-4.06 (m, 1H), 3.78 (d, J = 1.0 Hz, 6H), 3.55 (s, 3H), 3.57-3.46 (m, 2H), 0.94 (s, 437 9H), 0.24 (s, 3H), 0.18 (s, 3H). <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>)  $\delta$  177.3, 158.7, 155.7, 438 150.3, 144.1, 136.0, 135.9, 135.4, 135.2, 132.4, 130.1, 130.0, 129.7, 128.20, 128.19, 439 128.0, 127.2, 98.1, 89.8, 87.1, 83.3, 76.8, 69.8, 61.9, 55.2, 30.0, 25.8, 18.1, -4.5, -5.2. 440 HRMS (ESI-TOF) [M+H]<sup>+</sup> = 778.3527 (calc. 778.3524). Chemical formula: 441 C<sub>44</sub>H<sub>51</sub>N<sub>3</sub>O<sub>8</sub>Si.

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# 443 *1-[2'-O-tert-butyldimethylsilyl-3'-O-(2-cyanoethyl-N,N-diisopropylamino)phosphor* 444 *amidite-5'-O-(4,4'-dimethoxytrityl-beta-D-ribofuranosyl)]-4-N-benzoyl-3-N-methyl-*

cytidine 6. To a solution of compound 5 (600 mg, 0.77 mmol) in dry DCM (13 mL) 445 was added N,N-di-iso-propylethylamine (0.38 mL, 3.08 mmol) and 2-cyanoethyl 446 N,N-diisopropylchlorophosphoramidite (0.23 mL, 1.54 mmol). The resulting solution 447 was stirred overnight at room temperature under argon gas. The reaction was 448 quenched with water and extracted with ethyl acetate. After drying the organic layer 449 over Na<sub>2</sub>SO<sub>4</sub> and evaporation. The residue was purified by silica gel chromatography 450 to give compound 6 (500 mg, 0.51 mmol, 66% yield) as a white solid. TLC  $R_f = 0.6$ 451 (Hexane:EA = 1:1). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  8.15-8.12 (m, 2H), 7.90-7.19 (m, 452 13H), 6.84-6.80 (m, 4H), 6.16-5.91 (m, 2H), 4.38-3.91 (m, 3H), 3.78 (s, 6H), 453 3.61-3.36 (m, 7H), 2.67-2.37 (m, 2H), 2.06-2.04 (m, 1H), 1.29-1.14 (m, 12H), 454 1.02-0.98 (m, 3H), 0.93-0.9 (m, 9H), 0.19-0.13 (m, 6H). <sup>31</sup>P NMR (162 MHz, CDCl<sub>3</sub>) 455  $\delta$  149.97, 148.94. HRMS (ESI-TOF) [M+H]<sup>+</sup> = 978.4561 (calc. 978.4602). Chemical 456 formula: C53H68N5O9PSi. 457

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# 459 Synthesis and purification of m<sup>3</sup>C containing RNA oligonucleotides

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All oligonucleotides were chemically synthesized at 1.0 µmol scales by solid phase 461 synthesis using the Oligo-800 synthesizer. The m<sup>3</sup>C phosphoramidite was dissolved in 462 acetonitrile to a concentration of 0.1 M. I<sub>2</sub> (0.02 M) in THF/Py/H<sub>2</sub>O solution was used 463 as an oxidizing reagent. Coupling was carried out using 5-ethylthio-1H-tetrazole 464 solution (0.25 M) in acetonitrile for 12 min, for both native and modified 465 phosphoramidites. About 3% trichloroacetic acid in methylene chloride was used for 466 the 5'-detritylation. Synthesis was performed on control-pore glass (CPG-500) 467 immobilized with the appropriate nucleoside through a succinate linker. All the 468 reagents used are standard solutions obtained from ChemGenes Corporation. The 469 oligonucleotide was prepared in DMTr off form. After synthesis, the oligos were 470 cleaved from the solid support and fully deprotected with 1:1 v/v ammonium 471 hydroxide solution (28% NH<sub>3</sub> in H<sub>2</sub>O) and Methylamine (40% w/w aqueous solution) 472 at 65 °C for 45 min. The solution was evaporated to dryness by Speed-Vac 473 concentrator. The solid was dissolved in 100 µL DMSO and was desilvlated using a 474 475 triethylamine trihydrogen fluoride (Et<sub>3</sub>N•3HF) solution at 65 °C for 2.5 h. Cooled down to room temperature the RNA was precipitated by adding 0.025 mL of 3 M
sodium acetate and 1 mL of ethanol. The solution was cooled to -80 °C for 1 h before
the RNA was recovered by centrifugation and finally dried under vacuum.

The oligonucleotides were purified by IE-HPLC at a flow rate of 1 mL/min. Buffer A was 20 mM Tris-HCl, pH 8.0; buffer B 1.25M NaCl in 20 mM Tris-HCl, pH 8.0. A linear gradient from 100% buffer A to 70% buffer B in 20 min was used to elute the oligos. The analysis was carried out by using the same type of analytical column with the same eluent gradient. All the modified-oligos were checked by MALDI MS. The 22-mer and 31-mer RNA oligonucleotides were purified on a preparative 20% denaturing polyacrylamide gel (PAGE).

486

#### 487 UV-melting temperature $(T_m)$ study

Solutions of the duplex RNAs (1.5 µM) were prepared by dissolving the purified 488 RNAs in sodium phosphate (10 mM, pH 7.0) buffer containing 100 mM NaCl. The 489 solutions were heated to 95 °C for 5 min, then cooled down slowly to room 490 temperature, and stored at 4 °C for 2 h before Tm measurement. Thermal denaturation 491 was performed in a Cary 300 UV-Visible Spectrophotometer with a temperature 492 controller. The temperature reported is the block temperature. Each denaturizing 493 curve was acquired at 260 nm by heating and cooling from 5 to 80 °C for four times at 494 a rate of 0.5 °C/min. All the melting curves were repeated at least four times. The 495 thermodynamic parameter of each strand was obtained by fitting the melting curves 496 497 using the Meltwin software.

#### 498 Molecular dynamic simulation studies

To study the m<sup>3</sup>C modification in the context of the RNA duplex in MD simulations, 499 we developed AMBER (Cornell et al., 1995) type force-field parameters for the atoms 500 of the modified nucleoside. We used the AM1-BCC (Jakalian et al., 2002) charge 501 model to calculate the atomic charges, which is developed as a fast yet accurate 502 alternate for ESP-fit using Hartree-Fock theory and 6-31G\* basis-sets (Cornell et al., 503 1993). AMBER99 force-field parameters were used for bonded interactions (Cornell 504 et al., 1995), and AMBER99 parameters with Chen-Garcia corrections (Chen and 505 Garcia, 2013) for the bases were used for LJ interactions. The unmodified RNA 506 507 duplex was constructed in a-form using the Nucleic Acid Builder (NAB) suite of AMBER, and mutated to create the modification. 508

509

Molecular dynamics simulations were performed using Gromacs-2018 package 510 (Abraham et al., 2015). The simulation system included the RNA duplex in water in a 511 3D periodic box. The initial box size was 4.0 x 4.0 x 6.0 nm<sup>3</sup> containing the RNA 512 513 duplex, 3060 water molecules, and 22 neutralizing Na<sup>+</sup> ions. The system was subjected to energy minimization to prevent any overlap of atoms, followed by a 1 ns 514 equilibration run. The equilibrated system was then subjected to a 100 ns production 515 run. The MD simulations incorporated leap-frog algorithm with a 2 fs timestep to 516 integrate the equations of motion. The system was maintained at 300K and 1 bar, 517

using the velocity rescaling thermostat (Bussi et al., 2007) and Parrinello-Rahman 518 barostat (Berendsen et al., 1984), respectively. The long-ranged electrostatic 519 interactions were calculated using particle mesh Ewald (PME) (Darden et al., 1993) 520 algorithm with a real space cut-off of 1.2 nm. LJ interactions were also truncated at 521 1.2 nm. TIP3P model (Jorgensen et al., 1983) was used represent the water molecules, 522 and LINCS (Hess et al., 1997) algorithm was used to constrain the motion of 523 hydrogen atoms bonded to heavy atoms. Co-ordinates of the RNA molecule were 524 stored every 20 ps for further analysis. 525

526

## 527 **Reverse transcription (RT) assays**

RT assays were performed with AMV RT (ThermoFisher), HIV-1 RT (AS ONE 528 Corp.), MMLV RT (ThermoFisher) and MutiScribe<sup>TM</sup> RT (ThermoFisher) in 20 µL 529 total solution containing 10X reverse transcription buffer: 50 mM Tris (pH 8.3), 75 530 mM KCl, 3 mM MgCl<sub>2</sub>, 10 mM DTT. Final reaction mixtures contained RNA 531 template (5 µM), DNA FAM-primer (2.5 µM) and dNTP (1 mM). After addition of 532 Rnase inhibitor (20 U) and each RTs: AMV RT (10 U), HIV-1 RT (4 U), MMLV 533 (100 U) and MutiScribe<sup>TM</sup> (50 U), the mixtures were incubated at 37 °C for 1 h. The 534 reactions were quenched with stop solution [98% formamide, 0.05% xylene cyanol 535 (FF), and 0.05% bromophenol blue], heated to 90 °C for 5 min and then cooled to 0 536 °C at ice-bath. Reactions were analyzed by 15% PAGE 8 M urea at 250 V for 1-1.5 h. 537 The fluorescent and UV gel imaging were done on a Bio-Rad Gel XR+ imager. 538

## 539 ASSOCIATED CONTENT

#### 540 Supporting Information

- 541 Electronic Supporting Information (ESI) available: Experimental procedures, spectral
- 542 data, UV-melting curves and PAGE gel UV-images.

#### 543 Competing interests

544 The authors declare that no competing interests exist.

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