# Synchrony in the periphery: inter-subject correlation of physiological responses during live music concerts

Anna Czepiel<sup>1\*</sup>, Lauren K. Fink<sup>1</sup>, Lea T. Fink<sup>1</sup>, Melanie Wald-Fuhrmann<sup>1</sup>, Martin Tröndle<sup>2</sup>, Julia Merrill<sup>1,3</sup>

<sup>1</sup> Max Planck Institute for Empirical Aesthetics, Frankfurt am Main, Germany

<sup>2</sup> Zeppelin University, Friedrichshafen, Germany

<sup>3</sup> Institute of Music, University of Kassel, Kassel, Germany

\*Corresponding author:

Anna Czepiel Email: <u>anna.czepiel@ae.mpg.de</u> ORCID: 0000-0002-7101-945X Phone: +49 69 8300479 – 234

Max Planck Institute for Empirical Aesthetics Grüneburgweg 14 60322 Frankfurt am Main, Germany

# 1 Abstract

2 A concert is a common event at which people gather to share a musical experience. While techniques are increasingly offering insights into naturalistic stimuli perception, this study 3 extended methods to a more ecological context in order to explore real-world music listening 4 within a concert setting. Cardiorespiratory, skin conductance, and facial muscle responses 5 were measured from participants attending one of three concerts with live chamber music 6 performances of works of varying Western Classical styles (Viennese Classical, Contemporary, 7 and Romantic). Collective physiological synchronisation of audience members was 8 operationalised via inter-subject correlation (ISC). By assessing which musical features 9 (obtained via Music Information Retrieval and music-theoretical analyses) evoked moments 10 of high synchrony, logistic regressions revealed that tempo consistently predicted 11 physiological synchrony across all concerts in Classical and Romantic styles, but not the 12 Contemporary style. Highly synchronised responses across all three concert audiences 13 seemed to occur during structural transitional passages, boundaries, and at phrase 14 repetitions. The results support the idea that group synchronisation is linked to musical 15 16 arousal, structural coherence, and familiarity. By employing physiological ISC and an inter-17 disciplinary musical analysis, the current study demonstrates a novel approach to gain valuable insight into experiences of naturalistic stimuli in an ecological context. 18

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20 Keywords: inter-subject correlation, natural stimuli, music perception, physiology, concert setting

#### 21 Introduction

A concert is a common event at which people gather to share a musical experience, 22 particularly in Western society. Although brain imaging techniques can implicitly measure 23 naturalistic musical perception without behavioural ratings<sup>1–5</sup>, these methods lack 24 applicability in more typical listening situations, particularly those where listening happens in 25 a group, such as in a concert. Not only does a concert provide a naturalistic setting, but live 26 performances can be more immersive<sup>6</sup>, evoke stronger emotional responses<sup>7–9</sup>, while co-27 presence of audience members can increase physiological and emotional experience<sup>10</sup>. 28 Portable methods such as motion capture<sup>11</sup> or mobile measurement of the autonomic 29 nervous system (ANS, e.g., cardiology)<sup>10,12</sup> seem promising in such a setting. As our interest 30 lay in the experience of a Western art music concert, in which listeners are typically still<sup>13</sup>, we 31 focused on ANS measurements during listening of full-length musical works in a naturalistic 32 concert context. 33

Responses of the sympathetic division of the ANS such as an increased (phasic) skin 34 conductance response (SCR, i.e., sweat secretion), an increase of heart rate (HR), and 35 36 respiration rate (RR) as well as responses of zygomaticus major (smiling) and the corrugator supercilii (frowning) muscles (electromyography [EMG] activity) typically reflect stress, 37 attention<sup>14,15</sup>, or affective processing<sup>16–19</sup>. In terms of auditory responses, changes in SCR, HR, 38 RR, and EMG activity – reflecting a startle<sup>20–22</sup> or orienting response<sup>23</sup> – have been associated 39 with pitch changes<sup>24,25</sup> and tone loudness (the louder the sound, the greater the SCR 40 amplitude<sup>26,27</sup>) as well as deviations in timbre, rhythm, and tempo<sup>27</sup>. Additionally, 41 physiological responses to music may indicate felt arousal and valence of acoustic 42 features<sup>19,28,29</sup>. For example, faster and increasing tempi are associated with greater arousal<sup>30–</sup> 43 <sup>34</sup>, increased SCR<sup>27,31,35,36</sup> and HR<sup>31,35,37,38</sup>, whereas slow-paced (low arousal) music reduces HR 44 and breathing<sup>39,40</sup>. Loudness is positively correlated with arousal<sup>32,41,42</sup>, and, correspondingly, 45 changes in SCR<sup>43,44</sup> and HR<sup>32,45</sup>. Harmonic ambiguity may also be perceived as arousing<sup>12</sup>, with 46 unexpected chords<sup>46,47</sup> and notes<sup>12</sup> (i.e., lower clarity of key) evoking SCR increases. Timbral 47 features, such as brighter tones and higher spectral centroid, are associated with higher 48 arousal<sup>32,48,49</sup>, which correlates somewhat to SCR<sup>35,50</sup>. Importantly, these physiological 49 responses are modulated by musical style: previous studies found that HR increases with 50 faster tempo in Classical music, but decreases with faster tempo in rock music<sup>38</sup>, whereas HR 51 is lower in atonal, compared to tonal music even with both styles controlled for emotion<sup>51</sup>. 52

Although this research generally supports the idea that physiology is associated with musical features and style, there are many inconsistencies within findings such studies (see<sup>52,53</sup>). This could partly be due to most studies having (too) carefully chosen or constructed stimuli to have little variability in acoustic features (e.g., they use a constant tempo and normalise loudness). While there is value in tightly controlling individual musical features, more research into naturalistic stimuli – which typically has a rich dynamic variation of interdependent features – is required to extend ecological generalisability<sup>54</sup>.

Although previous work on naturalistic music has correlated neural and physiological 60 responses to dynamically changing acoustic features<sup>1–3,50</sup>, or extracted epochs based on 61 information content in the music<sup>12</sup>, perhaps a more robust way to identify systematic 62 responses to naturalistic stimuli is through analysing synchrony of responses<sup>10,55–57</sup>, in 63 particular via inter-subject correlation (ISC, see review<sup>58</sup>). This method – in which (neural) 64 responses are correlated across participants exposed to naturalistic stimuli<sup>59</sup> – is based on the 65 assumption that signals not related to processing stimuli would not be correlated. ISC research 66 has demonstrated that highly similar responses occur across subjects when exposed to 67 naturalistic films<sup>59–62</sup>, spoken dialogue<sup>63–65</sup> and text<sup>66,67</sup>, dance<sup>68</sup>, and music<sup>1,5,69,70</sup>, strongly 68 suggesting that highly reliable and time-locked responses can be evoked by (seemingly 69 uncontrolled) complex stimuli (for a review see<sup>71</sup>). Although ISC in fMRI studies can identify 70 region of interests (ROIs) for further analysis (e.g.<sup>59</sup>), ISC can also assess which kind of 71 feature(s) within dynamically evolving stimuli evoke highly correlated responses. 72

73 In response to auditory stimuli, higher synchronisation (operationalized via ISC) of participants' responses is associated with structural coherence, familiarity, and emotional 74 75 context of stimuli. For example, ISC is higher when listening to original, compared to phasescrambled, versions of music<sup>69,70</sup> and spoken text<sup>67</sup>. ISC may also reflect familiarity and 76 engagement: ISC is higher when listening to familiar music, compared to unfamiliar music; 77 however, upon repeated presentation, ISC drops with repetitions of familiar, but not 78 unfamiliar music<sup>5</sup>. Moments of collective synchrony additionally seem to be linked to 79 emotional arousal, where higher correlation coefficients of fMRI<sup>59</sup>, EEG<sup>61</sup>, SCR and 80 respiratation<sup>72</sup> coincided with moments of high arousal in films, such as a close-up of a 81 revolver<sup>61</sup>, gun-shots, or explosions<sup>59</sup> as well as close-ups of faces and emotional shakiness in 82 voice<sup>72</sup>. However, our understanding of music and ISC is still in its infancy, and it is unclear 83 which musical features – and at which level or time frame – can evoke synchronised 84

physiological responses, particularly in more naturalistic listening situations outside the
laboratory.

In addressing these questions, the current study is – the best of our knowledge – the 87 first of its kind to assess which musical features evoke shared physiological responses during 88 full-length, naturalistic music stimuli in a typical group-listening concert context. To our 89 knowledge, this is additionally the first study to compare how different groups react to the 90 same musical stimuli in such a setting. In light of the replicability crisis<sup>73</sup>, we conducted three 91 identical concerts with different participant groups to test the replicability of the induced 92 physiological responses to music, and thereby, the stability of using a concert hall as an 93 experimental setting. We invited participants to attend one of three instrumental chamber 94 music concerts in an 'ArtLab' performance hall (purpose-built for empirical investigations). 95 String quintets by Beethoven (1770-1827), Dean (1961-), and Brahms (1833-1897) with four 96 movements each were performed, showcasing different musical styles (Viennese Classical, 97 Contemporary, and Romantic, respectively) with varying tempo, tonality, compositional 98 structure, and timbre. Common acoustic features associated with physiological responses (as 99 100 described above) were extracted offline: instantaneous tempo, key clarity, RMS energy (related to loudness<sup>42</sup>), and spectral centroid (timbral feature related to brightness<sup>74–76</sup>), and 101 102 compared across the styles. Derivatives of these features, representing their degree of change over time, were also obtained. Continuous physiological responses were measured 103 104 throughout the concert, from which SCR, HR, RR, and EMG activity was extracted from 98 participants (Concert 1 [C1]: 35, C2: 41, C3: 21). For each audience, ISC was calculated over a 105 sliding window (5 musical bars long, i.e., on average 10 seconds long) for each physiological 106 107 measure, representing the degree of collective synchrony of physiological responses over the time-course of each musical stimulus (see Figure 2a). We identified moments of high 108 synchrony (HS) and low synchrony (LS) from the audiences via upper 20<sup>th</sup> percentile and 20<sup>th</sup> 109 percentile centred around r = 0. Moments of HS and LS were analysed with respect to the 110 corresponding physiological responses and musical features. 111

As highly synchronised responses have previously been associated with arousal<sup>59,61,72</sup>, familiarity<sup>5</sup>, or structural coherence<sup>67,70</sup> in stimuli, we hypothesised that highly correlated physiological responses would be driven by typically arousing acoustic features (higher RMS energy and spectral centroid, faster tempo, and lower key clarity) as well as by compositional

structure and familiarity of the different styles. We additionally hypothesized that robust
 physiological responses to music would be consistent across repeated concert performances.

118 Results

**Comparison of performances.** As the music was performed by professional musicians, we expected all concert performances to be acoustically similar. We found no significant differences between performances for loudness, tempo, timbre, and duration (see Supplementary Table S1). Correlations of instantaneous tempo, timbre, and loudness between all performances reached r > .6, p < .001, confirming that they were comparable enough to allow for further statistical comparisons of listeners' physiology between audiences.

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Stimuli analysis. From exploring the extracted loudness, timbre, tempo, and key clarity, Figure 127 1a supports the idea that the stimuli offered a rich variation of acoustic features. In comparing 128 styles, contrasts revealed that features of the Contemporary work (Dean) differed acoustically 129 from the Classical (Beethoven) and Romantic (Brahms) styles: Dean had significantly lower 130 RMS energy compared to Brahms (Brahms – Dean for C1:  $\beta$  = 0.005, SE = .002, p = .039; C2:  $\beta$ 131 = 0.005, SE = .002, p = .037; C3:  $\beta$  = 0.005, SE = 0.002, p = .043), significantly lower key clarity 132 compared to Beethoven (Beethoven – Dean:  $\beta = 0.08$ , SE = .02, p = .010) and Brahms (Brahms 133 - Dean:  $\beta = 0.11$ , SE = .02, p = .001), and significantly higher spectral centroid compared to 134 Beethoven (Beethoven – Dean C1:  $\beta$  = -320.36, SE = 78.70, p = .007; C2:  $\beta$  = -277.00, SE = 135 89.00, p = .030; C3:  $\beta = .252.46$ , SE = 77.7, p = .024) and Brahms (Brahms – Dean C1:  $\beta = .024$ ) 136 320.90, SE = 79.2, p = .007; C2:  $\beta = -264.90$ , SE = 89.4, p = .037; C3:  $\beta = -251.63$ , SE = 78.10, p137 = .02). Although tempo did not significantly differ between the styles (all p > .375), a noticeable 138 division of tempi distribution in Beethoven and Brahms (see Figure 1a) shows a typical 139 composition practice of contrasting faster and slower movements in Classical/Romantic style. 140 141

142 143 ----- FIGURE 1 ------

Physiological responses at high/low synchrony. As shown in Figure 2b, HR was overall lower at HS bars compared to LS bars (HS – LS C1:  $\beta$  = -0.04, SE = .01; C2:  $\beta$  = -0.06, SE = .01; C3:  $\beta$  = -0.03, SE = .01; all *p* <.002), but HR increased during HS from the onset bar (bar0) to the last bar (bar4) in the correlation window for C1 (bar0 – bar4 in HS:  $\beta$  = -0.05, SE = 0.02, *p* = .031)

and C3 (bar0 – bar4 in HS:  $\beta$  = -0.06, SE = 0.02, p = .04) (see Supplementary Table S2 for main 148 effects). RR was also significantly lower overall at HS compared to LS bars for C3 (HS – LS:  $\beta$  = 149 -0.03, SE = .008, p < .001), but significantly increased across correlation window for all concerts 150 (bar0 – bar4 in HS for C1:  $\beta$  = -0.06, SE = .01, p = .003; C2:  $\beta$  = -0.07, SE = 0.01, p < .001; C3:  $\beta$ 151 152 = -0.09, SE = 0.02, p < .001). SCRs were significantly higher at HS compared to LS (HS – LS for 153 C1:  $\beta$  = .07, SE = .01; C2:  $\beta$  = 0.03, SE = .01; C3:  $\beta$  = 0.09, SE = .010; all *p* <.001), with a decrease across correlation window (bar0 – bar4 in HS for C1:  $\beta$  = .17, SE = .02; C2:  $\beta$  = .15, SE = .02; C3: 154  $\beta$  = .18, SE = .02; all p < .001). EMG activity was also significantly higher at HS time points in C1 155  $(\beta = 0.01, SE = .01, p = .012)$  and C3  $(\beta = 0.02, SE = .01, p = .012)$  and decreased across the 156 correlation window in C2 and C3 (bar0 – bar4 in HS for C2:  $\beta$  = .05, SE = .01, p > .001; C3:  $\beta$  = 157 .06, SE = .02, p > .007). In short, compared to the LS moments, there was higher arousal in HS 158 159 moments, indicated by higher SCR magnitude and EMG activity, and a general RR and HR 160 increase.

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## 163 Acoustic properties as predictors of audience synchrony.

Tempo. Logistic regression revealed that RR synchrony was significantly predicted by tempo 164 for all three concerts in Beethoven (C1:  $\beta$  = 0.011, C2:  $\beta$  = 0.016; C3:  $\beta$  = 0.007, all *p* < .001) 165 and in Brahms (C1:  $\beta$  = 0.018; C2:  $\beta$  = 0.028; C3:  $\beta$  = 0.008, all p < .001). Figure 3b shows that 166 faster tempo increased probability that RR was highly synchronised across audience members. 167 Probability of SCR synchrony significantly increased by faster tempo in Brahms for all concerts 168 (C1:  $\beta$  = 0.028; C2:  $\beta$  = 0.036; C3:  $\beta$  = 0.015, all p < .001) and in Beethoven for two concerts 169 (C1:  $\beta$  = 0.007, p < .001; C2:  $\beta$  = 0.011, p < .001; C3:  $\beta$  = 0.001, p = .61). HR and EMG synchrony 170 was not consistently predicted by tempo. Probability of synchronised HR increased at slower 171 tempi in Beethoven C2 ( $\beta$  = -0.009, p < .001), but at faster tempi in Brahms C3 ( $\beta$  = 0.007, p 172 =.018). Probability of EMG synchrony decreased with faster tempi in Beethoven C2 ( $\beta$  = -0.006, 173 p = .019), but increased in Dean C3 ( $\beta = 0.024$ , p = .003). 174

175 *RMS energy.* Figure 3a shows that lower RMS energy increased probability of HR 176 synchrony, but this was not consistent across concerts or pieces and only significant in 177 Beethoven C3 ( $\beta$  = -47.39, *p* = .002) and Dean C1 ( $\beta$  = -86.00, *p* = .033). Higher RMS energy 178 significantly increased probability of SCR synchrony in the Classical/Romantic works, but not 179 consistently across concerts, i.e., only significant for Beethoven C1 ( $\beta$  = 76.20, *p* < .001) and Brahms C2 ( $\beta$  = 44.69, *p* = .007). Higher RMS increased probability of RR synchrony in Dean C1

181 ( $\beta$  = 212.80, p < .001) and EMG synchrony in Brahms C2 ( $\beta$  = 40.17, p = .006).

Spectral centroid. Probability of HR synchrony increased with higher spectral centroid in Beethoven C2 ( $\beta$  = 0.001, p = .009), but with lower spectral centroid in Beethoven C3 ( $\beta$  = -0.001, p = .029) and in Dean C1 ( $\beta$  = -0.001, p = .048). Lower spectral centroid significantly increased probability of RR synchrony in Beethoven C2 ( $\beta$  = -0.001, p = .047) and Dean C2 ( $\beta$  = -0.001, p = .049). Higher spectral centroid increased probability of SCR in Dean C2 ( $\beta$  = 0 .002, p = .006) and probability of EMG synchrony in Brahms C2 ( $\beta$  = 0.001, p = .026) and C3 ( $\beta$  = 0.001, p =.0018) and in Dean C3 ( $\beta$  = 0 .002, p = .021).

189 *Key clarity.* Lower key clarity increased probability of HR synchrony in Beethoven C3 ( $\beta$ 190 = -2.09, p = .050), of RR synchrony in Dean C1 ( $\beta$  = -5.71, p = .007), and of SCR synchrony in 191 Dean C1 ( $\beta$  = -4.67, p = .009).

192 Derivatives of MIR features. No consistent predictors were observed. Tempo changes 193 predicted SCR synchrony in Dean C3 ( $\beta$  = -0.02, p = .044). Spectral centroid changes predicted 194 HR synchrony in Brahms C1 ( $\beta$  = -0.001, p = .032) and SCR synchrony in Beethoven C2 ( $\beta$  = 195 0.001, p = .025).

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Synchrony across multiple physiological measures. As SCR, HR, and RR are all responses of the ANS, we sought to asses which musical features may predict an unified ANS response, i.e., when all three physiological measures were in synchrony simultaneously (when HS bars were the same in SCR, HR, and RR). Unfortunately, synchrony of the ANS as one entity (SCR, HR, RR) was not possible to model as no LS moments were found in the Dean piece for C1. Splitting ANS responses into paired combinations yielded HS and LS moments in all three styles in all three concerts allowed further modelling.

Faster passages (around 120 bpm, see Figure 3c) significantly increased probability of 204 combined SCR-RR synchrony for all concerts in Beethoven (C1:  $\beta$  = 0.014, p = .039; C2:  $\beta$  = 205 0.014, p = .031; C3:  $\beta = 0.027$ , p = .013) and Brahms (C1:  $\beta = 0.058$ , p < .001; C2:  $\beta = 0.212$ , p = 0.013206 .002; C3:  $\beta$  = 0.025, *p* = .032), but not in Dean (all *p* > .4). Faster tempi increased probability of 207 combined SCR-HR synchrony in Beethoven C3 ( $\beta$  = 0.014, *p* = .019), and Brahms C2 and C3 (C2: 208  $\beta$  = 0.032, p = .027; C3:  $\beta$  = 0.034, p = .004). Faster tempi increased probability of HR-RR 209 synchrony in Brahms C3 ( $\beta$  = 0.028, p = .012). Slower tempi increased probability of HR-RR 210 synchrony, but only for Dean C2 ( $\beta$  = -0.054, p = .018). RMS only occasionally predicted 211

combined physiological synchrony, where higher RMS increased probability of combined SCR-212 RR synchrony in Beethoven C1 ( $\beta$  = 82.876, p = .038) and marginally in Dean C1 ( $\beta$  = 538.170, 213 p = .054). It also marginally increased probability of SCR-HR synchrony in Beethoven C2 ( $\beta =$ 214 215 71.00, p = .057). Spectral centroid and key clarity rarely predicted combined physiological 216 synchrony. Higher spectral centroid increased the probability of SCR-RR synchrony in Beethoven C3 ( $\beta$  = 0.002, p = .001) and SCR-HR synchrony in Brahms C3 ( $\beta$  = 0.004, p = .014). 217 Lower key clarity increased probability of HR-RR synchrony in Brahms C1 ( $\beta$  = -11.48, *p* = .042) 218 and SCR-RR synchrony in Dean C1 ( $\beta$  = -19.19, *p* = .044). 219

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Physiology synchronisation across concerts to higher level features. As we used naturalistic music, it was important to consider stylistic and compositional features of the music which are not easily analysed computationally. Therefore, we used standard music theoretical approaches<sup>77,78</sup> to investigate higher-level musical events of the most 'salient' moments, operationalised by two criteria: when 1) high physiological synchrony in any of the physiological measures occurred in all three concert audiences and 2) sustained synchrony was for more than one bar.

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Overall, audience physiology seemed to synchronise around three types of musical 230 231 events: a) transitional passages with developing character; b) clear boundaries between formal sections; and c) phrase repetitions (all listed with descriptions in Supplementary Table 232 S3). Salient responses occurred during 'calming down' (e.g., Beethoven 1<sup>st</sup> movement, 233 [Beethoven1], bars [b] 85-88; b287-293; Dean2, b70-71; Dean4, b75-77; Brahms4, b75-76) or 234 arousing (e.g., Beethoven1, b303-307; Dean2, b23-25; Brahms3, b6-8) transitional passages, 235 characterised by a decrease or an increase of loudness, texture, and pitch register 236 respectively. Other salient responses occurred when there was a clear boundary between 237 functional sections, indicated through parameters such as a key change (e.g., between major 238 and minor key in Beethoven3, b84-88; Brahms3, b58-61), a tempo change (e.g., Beethoven 1 239 b328-331; Brahms4, b248-250), or a short silence (e.g., Beethoven1, b96-97; Beethoven4, 240 b10-14). Lastly, salient responses occurred when a short phrase or motive was immediately 241 repeated in a varied form, for example in an unexpected key, (e.g., Beethoven1, b35-37), 242 elongated or truncated (e.g., Beethoven1, b85-88; 291-293), or with a different texture or 243

pitch register (Brahms1, b90-91; Brahms3, b170-171). Since the immediate varied repetition
of a short phrase is very common in Classical and Romantic styles, salient responses were also
evoked when a phrase repetition occurred simultaneously with a transition or clear boundary
(e.g., Beethoven1, b24-30; b136-138). With regard to style, the three categories are in line
with the compositional conventions of the respective works: salient responses were found
more often during transitions in the Romantic and Contemporary works, and during phrase
repetitions and boundaries in the Classical work.

#### 251 Discussion

252 We demonstrate a novel approach to implicitly assess the continuous group music listening 253 experience in a naturalistic environment using physiological ISC and inter-disciplinary stimulus 254 analysis. By measuring physiological responses of audiences listening to live instrumental music in a typical concert setting, we examined which musical features evoked synchronised 255 responses (operationalised via ISC). Consistency of effects was assessed by repeating the same 256 concert three times with different audiences. Importantly, we found no significant differences 257 of length, loudness, tempo, or timbre across the concert performances, allowing us to assume 258 that varying patterns of audience responses were due to the weakness of an effect or 259 individual differences in audiences rather than a difference in acoustics. When responses were 260 261 highly correlated (within a 5-bar window), there was an overall higher SCR and EMG (smiling muscle) activity, and increasing HR and RR, suggesting that synchronised physiology was 262 associated with increased arousal. 263

Synchronised RR and SCR responses were consistently predicted by tempo alone. Additionally, tempo predicted not only individual physiological measures, but a more general ANS response, that is, when both SCR and RR of audience members became synchronised simultaneously. This finding suggests further that tempo induces reliable responses, in line with previous work showing that tempo and rhythm are the most important musical features in determining physiological responses<sup>31</sup>.

The current results show that faster tempi consistently increased probability of combined SCR-RR synchrony. As faster tempo is typically perceived as more arousing<sup>31–34</sup>, our finding supports previous research linking high ISC to higher arousal<sup>59,61,72</sup>. These results could further support the idea that ISC is related to stimulus engagement<sup>5</sup>: as slower music increases mind-wandering<sup>79</sup>, slower tempi may result in reduced attention to the music, leading to greater individual variability in physiological responses and subsequently lower ISC<sup>59,67</sup>.

However, we wish to note that faster tempi (centred around 120 bpm or 2 Hz in the current study) might also be a more physiologically optimal and/or perceptually familiar range for entrainment to music (see<sup>80</sup> for review), compared to slower tempi (centred around 50 bpm .83 Hz in the current study). Entrainment, or perhaps just adaptation of a physiological measure towards the musical tempo, might be one mechanism through which faster (or optimally resonant) tempi induce more similar audience responses.

It is of further interest that SCR-RR synchrony was more probable at faster tempi only 282 in the Classical and Romantic styles, but not in the Contemporary style. We suggest, therefore, 283 that the effect of tempo may be modulated by the context in which it occurs, supporting 284 285 previous studies showing that the same features evoke different physiological responses based on the style<sup>38,51</sup> and/or the familiarity and engagement with the music<sup>5</sup>. It is worth 286 noting that while Beethoven and Brahms were rated as more familiar compared to Dean, 287 reported engagement did not differed across styles (see<sup>81</sup>). This suggests that familiarity of 288 style, rather than engagement, may increase the probability of synchrony (though this 289 difference could be due to our stimuli being presented live rather than through a recording<sup>5</sup>). 290 291 Beethoven and Brahms have a relatively structured and stable meter with very few 292 instantaneous tempo changes within movements, whereas many Dean passages contain unstable meter (e.g., the first movement has alternating bars of four, five, or six beats) and 293 frequent tempo changes within movements (as it typically for each style). In view of this, the 294 295 fact that synchrony probability changes between styles could also be due to stimuli coherence. This reasoning is in line with the idea of higher ISC occurring in more predictable contexts<sup>67</sup> 296 and lower ISC occurring in versions of music where the beat was disrupted<sup>70</sup>. However, such 297 298 interpretation may be limited by the fact that we presented only one work per style and tempo was not evenly represented across styles, though this compromise was dictated by the 299 constraints of a naturalistic concert setting. Nonetheless, this suggests that tempo may be a 300 driving aspect in predicting synchronised physiological responses; though further research 301 would be required to assess whether coherence<sup>70</sup> and/or engagement/familiarity<sup>5</sup> are 302 modulatory mechanisms of synchrony. 303

Although orienting/startle response research consistently shows that loudness evokes highly replicable physiological responses in a controlled tone sequence<sup>23,26,27</sup>, our results suggest that synchronised physiological responses across concert audiences are not consistently statistically predicted by RMS energy, nor by key clarity and spectral centroid (and

neither changes of these features) in the music. This finding may point to the importance of 308 309 context: previous work has shown that environmental sounds and music are experienced physiologically differently, such as an increase in HR (index of a startle response<sup>21</sup>) with 310 arousing noises (e.g., a ringing telephone or storm), but not with music<sup>82</sup>. As loudness in the 311 current study was embedded in naturalistic music (rather than in a tone sequence), this 312 highlights the generalisability limitations of reductionist stimuli to real-world contexts<sup>54</sup>. 313 However, this points to another limitation: despite employing such complex stimuli, we 314 computationally extracted only the most common acoustic parameters associated with 315 physiological responses, possibly leading to underfitting of our models<sup>83</sup>. It was important, 316 therefore, to explore additional higher-level parameters in the music that are not so easily 317 extracted computationally. 318

We observed that transitional passages, clear boundaries or immediate phrase 319 repetitions in the music – identified using music theoretical analysis – coincided with highly 320 synchronised physiological responses across concerts. This observation corroborates previous 321 findings that synchrony may occur in response to long-term, structural features in music<sup>4,70</sup>. 322 323 The fact that synchronised responses were evoked by arousing transitional passages (characterised by changes in loudness, pitch register, and musical texture) supports the idea 324 that highly similar responses in all audiences are related to arousal<sup>59,61,72</sup> as well as supporting 325 the idea that audience members collectively 'grip on' to loudness and texture changes<sup>6</sup>. As 326 unexpected musical events embedded in a predictable context may be perceived as 327 arousing<sup>12,46,47</sup>, our findings that physiological synchrony occurred during sudden tempo or 328 key changes (i.e., clear musical event boundaries), further support the notion that correlated 329 330 responses occur at arousing moments<sup>59,61,72</sup>. This finding aligns with the idea that disruptions of temporal expectations affect ANS responses<sup>27,84</sup> as well as synchrony in EEG components<sup>70</sup>. 331 Additionally, or alternatively, it is likely that surprising events phase-reset ongoing 332 physiological oscillations (see<sup>85,86</sup> for reviews), perhaps thereby leading, at least briefly, to an 333 increase in audience synchrony around moments of phase resetting. The finding that 334 synchronised responses occurred during immediate phrase repetitions hint a general 335 attention towards repetitions in music<sup>87</sup>. Regarding the recurrence of phrases after longer 336 intervals, it remains unclear if audience synchrony reflects an 'orientation response'<sup>20,21,27</sup>, 337 indicating that they recognize thematic connections over larger time spans<sup>88</sup>. Rather, our 338 analysis suggests, that an interplay of various musical features, in addition to the simple 339

repetition, increase attention of all audience members to these musical moments and 340 341 subsequently enhance audience synchrony. For instance, high collective audience synchrony occurred in some of the structurally most important moments of Beethoven1, such as the end 342 of the exposition (b136-138: phrase repetition and boundary), deferred cadences (declined 343 structural closures in b96-97, b301-302: boundary), and references to the main theme at the 344 end of the movement (b328-331: boundary). Although ISC has been found to decrease with 345 repeated listening of the same piece (if in a familiar style)<sup>5</sup>, the fact that high ISC occurred at 346 unexpected phrase repetitions is in line with compositional practices, in which a composer 347 tries to vary and develop thematic material<sup>89</sup> (with a different texture or harmonically) to keep 348 'interest'. Although this part of our analysis remains observational and exploratory, it 349 nonetheless points to certain musical features which could be systematically manipulated in 350 future studies. 351

In conclusion, by measuring continuous music listening experience in a naturalistic 352 setting of a chamber music concert, we show that synchronised physiological responses across 353 354 audience members (operationalised via ISC) are predicted by tempo and may be linked to 355 structural transitions, boundaries, and phrase repetitions. Our results support the idea that 356 group synchronisation is linked to musical arousal, structural coherence, and familiarity. Using naturalistic music in such a concert environment is beneficial in that participants are more 357 likely to be absorbed in the music<sup>6</sup> and have more realistic and stronger responses<sup>8,9</sup>. 358 359 However, this makes our findings specific to the music we have used, especially as we utilised only one piece per style. Future research should assess whether the current findings related 360 to musical features and style are replicated with different kinds of music, both within and 361 362 outside of the styles used in this study, as well as a wider range of musical features to improve characterisation of such complex stimuli. Further questions remain for the concert setting 363 itself; for example, whether these effects and perceptions would change with and without 364 visual information of the performer, or with varying programming orders, performance 365 spaces, and concert aspects<sup>90</sup>. Exploring musical experiences from pre-recorded or live 366 performances – with or without the co-presence of others – may prove an interesting future 367 research direction, especially with regard to the COVID-19 pandemic and current 368 369 transformations of the live concert experience.

#### 370 Method

Participants, materials, and experimental procedure are identical to Merrill et al.<sup>81</sup>. All 371 experimental procedures were approved by the Ethics Council of the Max Planck Society, and 372 were undertaken with written informed consent of each participant. 138 participants 373 attended one of three evening concerts (starting at 19.30 and ending at approximately 21.45) 374 in a hybrid performance hall purpose-built for empirical investigations (the 'ArtLab' in 375 Frankfurt am Main, Germany). Care was taken to keep parameters (e.g., timing, lighting, 376 temperature) as similar as possible across concerts. Professional musicians performed string 377 quintets in the following order: Ludwig van Beethoven, op. 104 in C minor (1817), Brett Dean, 378 'Epitaphs' (2010), a 20-minute interval, Johannes Brahms, op. 111 in G major (1890). 379 Continuous blood volume pulse (BVP), respiration data, skin conductance, and facial 380 electromyography (EMG) from the zygomaticus major muscle were measured with a plux 381 device (https://plux.info/12-biosignalsplux) for the entirety of the concert at 1000 Hz. After 382 excluding participants with more than 10% missing data<sup>91</sup>, physiological data from 98 383 participants (that had comparable education levels and age distribution across concerts) and 384 385 acoustic data from musical recordings were pre-processed and analysed in MATLAB 2018b.

386

#### 387 Data analysis.

Musical feature extraction. Using Sonic Visualiser<sup>92</sup>, instantaneous tempo was manually 388 389 extracted by tapping each beat, calculating inter-onset intervals (IOIs) between each beat, and then converting to beats per minute (bpm). All other features were computationally extracted 390 using the MIRToolbox<sup>93</sup>. RMS energy (related to loudness), spectral centroid, brightness, and 391 392 roughness (related to timbre) were extracted using 25 ms windows with 50% overlap<sup>94</sup>. Key clarity was extracted using a 3 second window with 33% hop factor<sup>1</sup>. As previous time-series 393 analyses have parsed data into meaningful units of clause and sentence lengths<sup>64</sup>, a 394 meaningful unit in music is a bar (American: measure). Correspondingly, values were aligned 395 by averaging each feature into bins per bar (on average 10 seconds). It is worth noting that 396 acoustic features can be distinguished between compositional features and performance 397 features<sup>95</sup>, where the former are represented in the musical score (such as harmony), and the 398 399 latter include features that can change between performances, namely how loud and fast musicians may perform the music. Because key clarity is a compositional feature (i.e., does 400 not change between performances), we averaged values across concert performances. 401

When checking for independence of features<sup>96</sup>, RMS and roughness correlated highly 402 (r > .7) as did brightness and spectral centroid (r > .7) in all movements. As RMS and spectral 403 centroid are features more commonly used compared to roughness and brightness<sup>95,97</sup>, and 404 spectral centroid seems to best represent brightness<sup>75</sup> and overall timbral<sup>98–100</sup> perception, 405 we kept only key clarity, RMS, spectral centroid, and tempo. The degree of change in these 406 features was also obtained, that is, the difference between adjacent bars. To compare 407 acoustic features per style, linear mixed models with fixed effect of the works (Beethoven, 408 Brahms, and Dean) and random effect of movement were constructed per acoustic feature 409 and per concert. To check performance feature similarity between concerts, each feature was 410 compared with concert (C1, C2, C3) as the independent variable. Pearson correlations were 411 used to assess similarity of acoustic features over time between concerts (C1-C2, C1-C3, C2-412 C3). Correlations (false-discovery rate corrected) were considered adequate if they met a large 413 effect size of concert  $r > .5^{101}$ . 414

Physiology pre-processing. Data were cut per movement. Missing data (gaps of less 415 than 50ms) were interpolated at the original sampling rate. Fieldtrip<sup>102</sup> was used to pre-416 417 process BVP, respiration, and EMG data. BVP data were band-pass filtered between 0.8 and 20 Hz (4<sup>th</sup> order, Butterworth) and demeaned per movement. Adjacent systolic peaks were 418 detected to obtain inter-beat intervals (IBIs) and an additional filter was added to remove any 419 IBIs that were shorter than 300 ms, longer than 2 seconds, or had a change of more than 20% 420 between adjacent IBIs (typical features of incorrectly identified IBIs<sup>103</sup>). After visual inspection 421 and artefact removal, IBIs were converted to continuous heart rate (HR) by interpolation. 422 Respiration data were low-pass filtered (.6Hz, 6th order, Butterworth) and demeaned. As in 423 424 the BVP data, maximum peaks were located and respiration rate (RR) was inferred by the peak intervals. EMG activity was bandpass filtered (between 90 and 130 Hz, 4<sup>th</sup> order, Butterworth), 425 demeaned and Hilbert transformed. Skin conductance data were pre-processed using 426 Ledalab<sup>14</sup> and decomposed into phasic and tonic activity. As we were interested in event-427 related responses, only (phasic) skin conductance responses (SCR) were used in further 428 analyses. All pre-processed physiological data (SCR, HR, RR, EMG) were resampled at 20Hz<sup>19</sup>, 429 *z*-scored within participant and movement, and averaged into bins per bar. 430

431 *ISC analysis.* We calculated a time-series ISC based on Simony et al.<sup>67</sup> by forming  $p \times n$ 432 matrices (one for each SC, HR, RR, and EMG, and for each of the twelve movements per 433 concert), where p is the physiological response for each participant over n time points (bars

across the movements) over a sliding window 5 bars long (approximately 10 seconds; the 434 average bar length across the whole concert was 2 seconds), shifting one bar at a time. Fisher's 435 436 r-to-z transformation was applied to correlation coefficients per subject, then averaged z values were inverse transformed back to r values. The first 5 bars and the last 5 bars of each 437 movement were discarded to remove common physiological responses evoked by the 438 onset/offset of music<sup>65</sup>. ISC values per movement were concatenated within concerts (2238 439 bars, see Figure 2a), giving four physiological ISC measures per concert. These ISC traces 440 represent the similarity of the audience members' physiological responses over time. 441

Following Dmochowski et al.<sup>61</sup>, bars of high/low synchrony (HS/LS) were defined using 442 20<sup>th</sup> percentiles. Physiological response that were highly synchronised (HS) across audience 443 members were defined as bars where ISC values rose above an 80-percentile threshold, while 444 low synchrony (LS) was defined as ISC values within a 20-percentile centred around zero, that 445 is, with low correlation. To obtain instances of overall ANS synchrony (i.e., across multiple 446 physiological measures simultaneously), we identified where HS and LS moments of one 447 448 physiological measure coincided at the same time as another physiological measure. Physiological responses at points of HS and LS were compared using linear models with factors 449 450 Synchrony (HS, LS) and Bar (bars 0-4). To investigate whether acoustic features predicted HS vs. LS of physiological responses across audience members, tempo, RMS energy, key clarity, 451 452 and spectral centroid in bars of HS and LS were recovered. By dummy-coding Synchrony as a binary variable, with HS as 1 and LS as 0, logistic regression models were constructed to predict 453 Synchrony for each physiological measure (dependent variable) with continuous predictors of 454 tempo, key clarity, loudness, and spectral centroid from the HS and LS bars (all features were 455 included, as perceived expression in music tends to be determined by multiple musical 456 features<sup>34</sup>). (N.B.: no random intercept of movement was included, because not all 457 movements contained epochs of significantly high or low ISC). As we expected style to 458 modulate the effect of these acoustic features in predicting synchrony, models were run 459 separately per piece and concert. 460

461 Statistical analyses were conducted in R<sup>104</sup>. Pearson correlations were computed using 462 *corr.test* in the *psych* package and adjusted for false discovery rate using the Benjamin-463 Hochberg procedure. Linear models and linear mixed effects models were constructed using 464 the *lme4* package<sup>105</sup>; *p* values were calculated with the *lmerTest* package<sup>106</sup> via the 465 Satterthwaite approximation and using the Anova function in the *car* package<sup>107</sup>. Contrasts

were assessed with the emmeans function (*emmeans* package<sup>108</sup>), with the Tukey method of
adjustment. Logistic regressions models where run using a general linear model with a logit
link function.

Music theoretical analysis. The scores of all works were analysed according to widely 469 used methods for the respective styles (Classical and Romantic: Hepokoski & Darcy<sup>77</sup>; 470 Contemporary: Zbikowski<sup>78</sup> and Schoenberg<sup>89</sup>). Musical events were labelled on the beat level 471 (harmonic changes, cadences, texture changes, etc.) and larger sections (e.g., transition 472 between primary and secondary action space) according to the convention of the previous 473 methods. The performance recordings and acoustic results served as reference for passages 474 which could have been interpreted equivocally in the score. After the analysis, passages 475 involving high physiological synchrony were marked and categorized according to common 476 features across styles; these features where then deduced into appropriate categories. 477

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# 715 Author contributions

A.C. design of the work; statistical and musicological analysis; data interpretation; writing – original draft and figures. L.K.F. design of the work; statistical analysis; data interpretation; writing – review and editing. L.T.F. musicological analysis; writing – review and editing. M.T. conception. M.W.F. conception; data acquisition; data interpretation; writing – review. J.M. design of the work; data acquisition and statistical analysis; data interpretation; writing – review and editing.

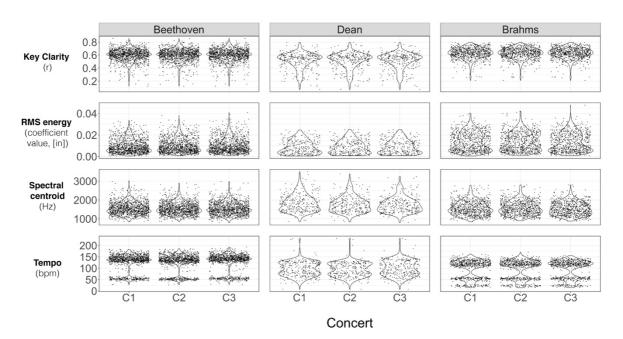
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### 722 Additional Information

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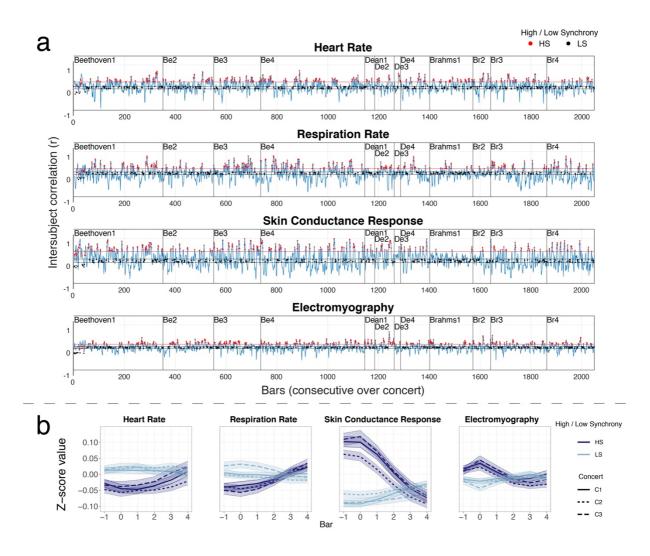
- 724 **Competing interests.** The authors declare no competing interests.
- 725
- 726 **Data availability.** Data of this study are available from the corresponding author upon request.

#### 728 **Figures**



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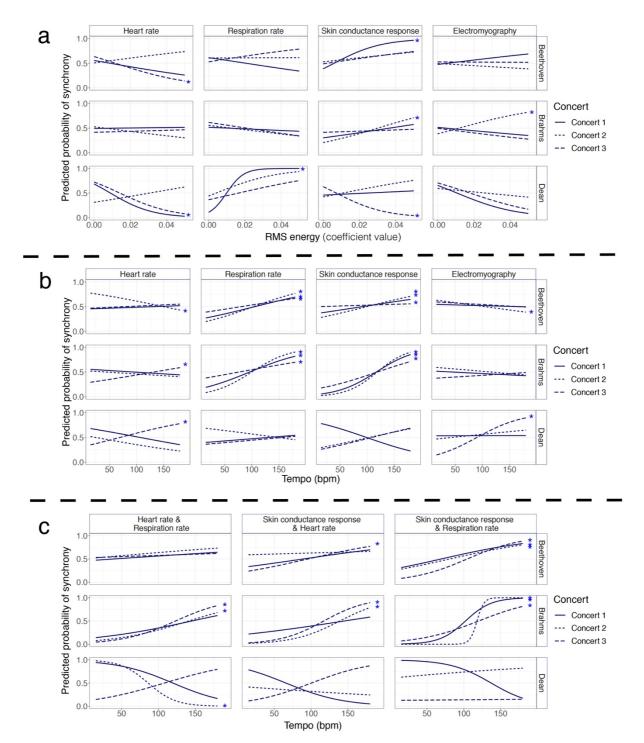
Figure 1. Acoustic features per bar, per piece, per concert. Top to bottom panels show Key clarity, RMS energy, 731 Spectral centroid, and tempo values per bar. Left panels show values for Ludwig van Beethoven (String Quintet in C minor, op. 104, 1817), middle panels for Brett Dean (Epitaphs, 2010), and right panels for Johannes Brahms 732 (String Quintet in G major, op. 111, 1890). Separate violin plots show different concerts. 733



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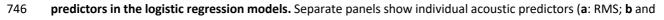
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**Figure 2.** Inter-subject correlation (ISC) across concerts and bars of high- and low-synchrony. a. ISC time courses for heart rate (HR, row 1), respiration rate (RR, row 2), skin conductance response (SCR, row 3), and electromyography activity of zygomaticus major muscle (EMG, row 4) for concert 1. Moments of high and low synchrony are marked with red and black dots, respectively. Red lines signify the 20<sup>th</sup> percentile threshold, while black lines signify the 20<sup>th</sup> percentile centred around r = 0. **b.** Mean High synchrony (HS) vs. low synchrony (LS) in each physiological measure and concert, with standard error. One musical bar is preceding bars correlation with high ISC value starting from the first bar of correlation (bar0) to last bar of correlation window (bar4).



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745 Figure 3. Probability curves of high (1) vs. low (0) synchrony across listeners extracted for individual acoustic



- 747 c: tempo) for each physiological measure (per column), in each piece (per row in each panel) and concert
- 748 (indicated by line style).