

1 **TITLE PAGE**

2 **Title:** Non-permissive human conventional CD1c⁺ dendritic cells enable *trans*-infection of
3 human primary renal tubular epithelial cells and protect BK polyomavirus from neutralization

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29

30 **Running title:** Non permissive human CD1c⁺ DC enable BKPyV transmission

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33 **Abstract**

34 The BK polyomavirus (BKPyV) is a ubiquitous human virus that persists in the renourinary
35 epithelium. Immunosuppression can lead to BKPyV reactivation in the first year post-
36 transplantation in kidney (KTR) and hematopoietic stem cell transplant recipients. In KTR,
37 persistent DNAemia has been correlated to the occurrence of polyomavirus-associated
38 nephropathy (PVAN) that can lead to graft loss if not properly controlled. Based on recent
39 observations that conventional dendritic cells (cDC) specifically infiltrate PVAN lesions, we
40 hypothesized that those cells could play a role in BKPyV infection. We first demonstrated that
41 monocyte-derived DC (MDDC), an *in vitro* model for mDC, captured BKPyV particles through
42 an unconventional GRAF-1 endocytic pathway. Neither BKPyV particles nor BKPyV-infected
43 cells were shown to activate MDDC. Endocytosed virions were efficiently transmitted to
44 permissive cells and shown to be protected from the antibody-mediated neutralization. Finally,
45 we demonstrated that freshly isolated CD1c⁺ mDC from the blood and kidney parenchyma
46 behaved similarly to MDDC thus extending our results to cells of clinical relevance. This study
47 sheds light on a potential unprecedented CD1c⁺ mDC involvement in the BKPyV infection as
48 a promoter of viral spreading.

49

50 **Introduction**

51 The BK polyomavirus (BKPyV) is a small non-enveloped DNA virus. Its icosahedral capsid is
52 mainly composed of the major capsid protein VP1(1-3). Its prevalence in the worldwide
53 population ranges from 80 to 90%(4, 5). Asymptomatic primary infection mostly occurs during
54 childhood(6, 7) followed by a persistent infection in the renourinary epithelium(8). Evidence
55 of BKPyV reactivation was mainly reported in kidney and hematopoietic stem cell allografts(9-
56 12) first marked by viral shedding in urine possibly progressing to BKPyV-DNAemia.

57 Persistent BKPyV-DNAemia above 10^4 DNA copies/ml has been correlated to PVAN (overall
58 1-5% of KTR)(13-15). To date, BKPyV remains a significant cause of kidney failure(11, 16).

59 Over the last ten years, anti-BKPyV cellular and humoral immune responses have been
60 investigated demonstrating a prominent role of both specific CD4+ and CD8+ cytotoxic T
61 lymphocytes (CTL), mainly recognizing the large T antigen (LTA_g)- and VP1-derived peptides
62 associated with various HLA molecules(17-20). Although anti-BKPyV responses are likely to
63 be protective enough in healthy individuals, only ten percent of those shed virions in urine
64 suggesting a limited impact of escape mechanisms(5). DC are known to orchestrate anti-viral
65 immune responses mainly through their ability to cross-present viral antigens, thus efficiently
66 priming or activating naïve or memory specific T cells respectively(21). To date, anti-
67 polyomavirus (PyV) CTL responses in mice and humans were analyzed on autologous PBMC
68 or DC stimulation using viral peptide pools thus bypassing the requirement for antigen
69 processing, including endocytosis, and presentation by HLA class I molecules(18, 21, 22). Only
70 few studies addressed the ability of PyV to bind to, promote maturation or infect DC. In mice,
71 Drake and colleagues showed that splenic DC are activated following infection by a murine
72 PyV (MuPyV) strain thus allowing them to prime a CTL response(22). Using another
73 experimental setup, Lenz et al demonstrated that although HPV16, a carcinogenic
74 papillomavirus, and bovine PyV virus-like particles (VLP) enabled bone marrow-derived DC
75 maturation, BKPyV or JCPyV VLP did not(23). More recently, hamster PyV (HaPyV)- and
76 Trichodysplasia Spinulosa-associated PyV-derived VLP were shown to moderately activate
77 murine splenocytes(24). Similarly, SV40 was shown to infect and activate MDDC from rhesus
78 macaques(25). Human MDDC were shown to support β -propiolactone-inactivated BKPyV-
79 derived antigen presentation while remaining unresponsive to native BK- and JCPyV
80 particles(26) possibly due to distinct viral antigen processing induced by inactivation(27).

81 Gedvilaite and colleagues also reported that human MDDC were responsive to MuPyV and

82 HaPyV VLP(26). Mostly, DC, although limited to *in vitro* generated cells, seemed to be
83 unresponsive to BK- or JC PyV direct exposure and poorly responsive to BKPyV-derived
84 antigens in KTR and immunocompetent individuals, as recently proposed by Kaur et al(28).
85 The mechanisms behind such DC unresponsiveness remain to be explored regardless of the
86 presence of immunosuppressive drugs.

87 In the healthy kidney, cDC, including the CD1c⁺ DC subset, are located within the
88 interstitium(29), close to the renal proximal tubular epithelial cells (hRPTEC), a host cell for
89 BKPyV(30). HRPTEC were shown to negatively regulate cDC activation subsequently leading
90 to the retention of cDC in renal tissues as immature cells(31-33) putatively decreasing antigen
91 presentation by DC. Early stage PVAN is marked by a CD1c⁺ cDC infiltrate(34) and mild
92 inflammation(30, 35, 36). Whether cDC play a role in the pathophysiology of the BKPyV
93 infection apart from their ability to trigger and sustain specific immune responses is still
94 unclear.

95 Here, we demonstrate for the first time that myeloid DC, ie MDDC and freshly isolated CD1c⁺
96 cDC from the blood and the kidney of healthy donors, but not plasmacytoid DC were capable
97 of capturing BKPyV particles through the CLIC/GEEC endocytic pathway and transmitting
98 them to hRPTEC without getting activated or infected. We also showed that endocytosed
99 BKPyV particles were protected from antibody-mediated neutralization offering to cDC subsets
100 the possibility to participate in BKPyV spreading in the kidney at least in early steps of the
101 reactivation.

102

103 **Methods**

104 **Ethic statements**

105 Biopsies from healthy parts of primitive renal carcinoma patients and blood samples from KTR
106 were collected according to institutional guidelines (CPP Ouest authorization, 11/08/2011) and
107 under patients' informed consent. All samples are conserved in the ITUN bio collection
108 declared at the french Ministère de l'Enseignement Supérieur et de la Recherche under the
109 reference DC-2011-1399 (09/05/2011).

110

111 **Cell isolation and culture**

112 Elutriated blood monocytes were obtained from healthy volunteers (DTC cell-sorting facility,
113 CHU Nantes, France) and differentiated into monocyte derived-dendritic cells (MDDC) as
114 described by Sallusto et al(37). Human myeloid CD1c+ DC were isolated from blood and
115 kidney by positive immuno-magnetic selection using anti-CD1c/BDCA-1 microbeads
116 according to the manufacturer's instructions (Miltenyi Biotec, Bergisch Gladbach, Germany)
117 or on a FACS ARIA (BD Biosciences, Franklin Lakes, NJ), respectively. CD1c+ DC were
118 recovered from renal cell suspensions of enzymatically digested macroscopically healthy parts
119 of tumor-bearing kidneys (10-15g). Cell purity typically yielded more than 95%. HRPTEC
120 (Sciencell Research Laboratories, Carlsbad, CA) were cultured in complete EpiCM medium
121 (Sciencell Research Laboratories). LNCaP cells (Caliper LifeSciences, Hopkinton, MA) and
122 HEK 293 TT cells (NCI, Frederick, MD) were cultured in RPMI 1640 or DMEM media
123 respectively, both complemented with 2mM L-glutamine and 10% FBS.

124

125 **Virus and virus-like particle preparation**

126 The BKPyV Dunlop strain was a kind gift by Dr Christine H Rinaldo (UiT, Norway). The gIa,
127 gIb2 and gIVb1 VP1 expression vectors were kindly provided by Dr Christopher Buck (NCI,

128 USA)(38). Preparation and titration of the Dunlop strain were performed as described
129 elsewhere(39). Virus-like particles (VLP) were purified on an iodixanol gradient(40). VLP
130 physical titers were determined on a qNano device using NP100 nanopores (detection range
131 from 50 to 330 nm) and CPC70 calibration particles (Izon Science Ltd, Oxford, UK). Both viral
132 particles and VLP were labelled with Alexa Fluor®647 protein labelling kit according to
133 manufacturer's instructions (Molecular Probes, Eugene, OR). Modified-vaccinia Ankara virus
134 (MVA) was kindly provided by Pr Don Diamon (CoH, Los Angeles, CA).

135

136 ***Cis* and *Trans*-infection assays**

137 *Cis*- and *trans*-infection experiments were performed as described previously(41, 42). DC and
138 hRPTEC were infected with BKPyV at MOI 0.1 (Dunlop strain). For trans-infection, BKPyV-
139 loaded DC were washed in PBS after two hours at 37°C then put in contact with a subconfluent
140 hRPTEC monolayer. Controls were prepared similarly. After three to seven days post-infection
141 (dpi), LTA_g staining was performed to evaluate infection rates as described before (Moriyama
142 and Sorokin, 2009) and imaged on an Axiovert A1 epifluorescence microscope (Carl Zeiss
143 Microscopy GmbH, Germany) or on a Cellomics ArrayScan VTI HCS Reader (Thermo
144 Scientific) for quantification. 25-50 fields, containing 5000-10000 cells were acquired for each
145 well using HCS Studio Cellomics Scan Version 6.5.0 software at various time points pi. VP1
146 expression was assessed by western blot (ab53977; Abcam) against β-actin (clone C4; Santa
147 Cruz Biotechnology Inc., Dallas, Texas).

148

149 **Quantitative RT-PCR analyses**

150 Total RNA was isolated using the TRIzol reagent (Invitrogen) according to the manufacturers'
151 instructions. Reverse transcription was performed using M-MLV Reverse Transcriptase and
152 random primers following manufacturer's instructions (Invitrogen, USA). Quantitative PCR on
153 reverse transcribed mRNA was performed using Mastermix (Applied Biosystems) or Premix
154 ExTaq 2x (Takara) reagents and the StepOne Plus (Applied Biosystems) or Rotor-Gene
155 (Qiagen) devices. Primers and probe used to detect *LTA*g mRNA were the following: AgT1 5'-
156 ACTCCCACTCTTCTGTTCCATAGG-3', AgT2 5'-TCATCAGCCTGATTTTGG AACCT-3'
157 and AGTS 5'-FAM-TTGGCACCTCTGAGCTAC-BHQ1-3'. Expression levels were
158 normalized to GAPDH using the 2- $\Delta\Delta$ cycle threshold method.

159

160 **Gene expression profiling and datasets deposition**

161 BKPyV-mediated cell reprogramming was analysed after 24 hours by 3'digital gene expression
162 (DGE) RNAseq according to Kilens et al.(43). DGE profiles were generated by counting for
163 each sample the number of unique UMIs associated with each RefSeq genes. DESeq 2 was used
164 to normalize expression with the DESeq function. The analysis design used to perform
165 differential expression with DESeq2 between the infected vs non-infected conditions took into
166 account the individual DC donors as a confounding variable. Data supporting our results are
167 openly available in the GEO repository under the following ID: GSE154810.

168

169 **Flow cytometry analyses**

170 Titrated Alexa Fluor®647 labelled-VLP were used to stain cDC, LNCaP and HEK 293 TT cells
171 at the indicated concentration. VLP attachment was detected by flow cytometry gated on DAPI
172 negative cells. To assess DC activation, cells were incubated for 24 hours with 10³ VLP/cell,

173 10^3 BKPyV particles/cell or with 100ng/mL LPS and 1 μ g/mL R848 (Invivogen, San Diego,
174 CA). Antibodies to CD40 (clone 5C3; BD Biosciences), CD80 (clone L307, BD Biosciences),
175 CD83 (clone HB15e, BD Biosciences), CD86 (clone IT2.2, BD Biosciences), CCR6 (clone
176 11A9, BD Biosciences), CCR7 (clone 3D12, BD Biosciences) and HLA-DR (clone G46-6, BD
177 Biosciences) were used to monitor DC maturation. Whole blood staining was performed on
178 500 μ l blood samples from healthy donors with or without Fc fragment receptor blockers
179 (Miltenyi Biotec). Whole blood staining was done with Alexa Fluor®647 labelled-VLP
180 (2.5 μ g/ml) and cell subsets were discriminated using the following antibody panel : CD45
181 (Clone J33; Beckman Coulter, Brea, CA), CD11c (Clone BU15; Beckman Coulter), HLA-DR
182 (Clone L243; BD Biosciences), CD123 (Clone 9F5; BD Biosciences) and Lineage (Lin 1; BD
183 Biosciences). FACS analyses were mainly performed on a LSR II flow cytometer (BD
184 Biosciences).

185

186 **Fluorescence microscopy**

187 MDDC were distinguished from hRPTEC by DC-SIGN staining (clone DCN46; BD
188 Biosciences) when required. High-resolution confocal microscopy by structured illumination
189 was performed to assess BKPyV entry into MDDC. Cells were incubated with determined VLP
190 concentrations for one hour at 37°C in culture medium, washed and fixed with 3.7% PFA (PFA;
191 Electron Microscopy Sciences, Hatfield, PA). Plasma membrane (PM) was stained with
192 5 μ g/mL Alexa Fluor®488-conjugated WGA (Thermo Fisher Scientific). Images were acquired
193 on a Nikon N-SIM microscope with a dedicated oil immersion objective (x100, NA 1.49 Plan
194 Apo). Three dimensional optical sectioning was done respecting Nyquist sampling rate (15
195 structure illuminations per plane, per channel), and super resolution image reconstruction was
196 performed using Nikon Imaging Software algorithms. BKPyV particle colocalization with

197 CTxB, GRAF-1 and EEA-1 markers was performed as described above with or w/o 2µg/mL
198 Alexa Fluor®555 conjugated CTxB (Thermo Fisher Scientific), and with anti-GRAF1
199 (4µg/mL; Novus Biological, Littleton, CO) or anti-EEA1 antibody (BD Biosciences) antibodies
200 in 0.1% BSA PBS O/N at 4°C. Nuclei were counterstained with DAPI. Cells were mounted in
201 ProLong™ mounting medium (Thermo Fisher Scientific) and observed on a LSM Nikon
202 A1RSi microscope (Nikon, Tokyo, Japan) at x60 (NA 1.4). 3D reconstruction was done using
203 the Imaris software (Bitplane, Zurich, Switzerland).

204

205 **Transmission electron microscopy**

206 MDDC were prepared for transmission electron microscopy as described elsewhere(42).
207 Ultrathin sections were observed on a JEM 1010 microscope (Jeol Europe SAS, Croissy Sur
208 Seine, France). TEM images of BKPyV particle preparations in negative contrast were obtained
209 as described previously(44, 45).

210

211 **ELISA**

212 Supernatants from various MDDC cultures were harvested at indicated times and frozen at -
213 80°C until being analyzed. IL-10 and IL-12p70 were quantified in those culture supernatants
214 by ELISA with BD OptEIA™ human IL-10 and IL-12p70 sets following the manufacturer's
215 instructions (BD Bioscience).

216

217 **Statistics**

218 Statistical analyses were performed with the PRISM software (GraphPad Software Inc., version
219 5.04, La Jolla, CA). Almost exclusively one-way ANOVA with multiple comparison tests were
220 performed to assess significance in this study. Exceptionally, correlation and linear regression
221 studies, Mann-Whitney or Friedman tests were also applied to some data sets. *P*-values lower
222 than 0.05 were considered significant.

223

224 **Results**

225 **Human monocyte-derived dendritic cells bind BKPyV particles in a dose- and sialic acid-** 226 **dependent manner**

227 First, we assessed whether MDCC could bind BKPyV particles using fluorescent-labelled
228 genotype Ib2 (gIb2) BKPyV VLP. VLP integrity was checked by negative contrast TEM
229 (Figure 1A). GIb2 VLP binding was then tested with two BKPyV permissive cell types, namely
230 hRPTEC and HEK293TT but also to MDCC and LNCaP, a BKPyV non-permissive prostatic
231 cancer cell line(46) at various VLP/cell ratios. MDCC effectively bound gIb2 VLP in a dose-
232 dependent manner but to a lesser extent compared to hRPTEC or HEK293TT (Figure 1B), and
233 as expected BKPyV particles were unable to attach to LNCaP cells. MDCC were also shown
234 to bind gIa infectious particles (Dunlop strain; (47)) and VLP at comparable levels (Figure 1C).
235 We further demonstrated that genotypes Ia, Ib2 and IVb1 VLP had similar binding properties
236 to MDCC (Figure 1D). Sialic acids decorating *b*-series gangliosides are known as crucial
237 components for BKPyV infection of hRPTEC and HEK293TT(46). Then, we demonstrated that
238 when MDCC are treated with an appropriate neuraminidase, an enzyme known to specifically
239 remove sialic acid moieties from the PM, gIa, gIb2 and gIVb1 VLP binding was strongly
240 impaired (Figure 1E). Altogether, these results clearly established that MDCC could bind

241 BKPyV from the most frequent genotypes in Europe and Asia in a dose and sialic acid-
242 dependent manner.

243

244 **BKPyV particles are endocytosed in pleiomorphous tubular and macropinosome-like**
245 **endosomes in MDDC**

246 Immature MDDC exhibit high endocytic properties for soluble and particulate antigens (37).
247 Therefore, we hypothesized that BKPyV could be endocytosed following attachment to sialic
248 acid residues on PM. High-resolution confocal imaging showed that fluorescent spots
249 representing VLP or virions were found in cytoplasmic structures (Figures 2A and 2B),
250 confirming that MDDC endocytosed BKPyV following surface attachment. VLP were either
251 located in round-shaped or pleiomorphous tubular structures (Figures 2A and 2C). This was
252 confirmed by 3D cell reconstruction (Figure 2D). Then, we performed TEM imaging and
253 confirmed that VLP and virions were mostly internalized after 30 minutes. Indeed VLP were
254 mainly endocytosed into tubular vesicles (40-60nm width) and to a much lower extent in large
255 round-shaped uncoated endosomes (up to approximately 1µm in diameter) by MDDC (Figures
256 3A, 3B, 3C, 3D3E and 3G). Moreover, these BKPyV-containing tubular vesicles were shown
257 to originate from PM invaginations (Figures 3D and 3E). Some of these vesicles closely
258 resembled sorting endosomes (Figure 3C). Higher magnifications micrographs confirmed that
259 BKPyV virions behaved similarly to VLP (Figure 3F) did not reveal PM curvature upon viral
260 attachment as previously reported for SV40 (Figure 3G; (48)). We concluded that BKPyV was
261 mainly endocytosed into tubular vesicles evoking an uncommon endocytic pathway for viral
262 particles in MDDC.

263

264 **BKPyV colocalizes with GRAF-1+ and cholera toxin B+ compartments in MDDC**

265 To characterize BKPyV containing vesicles in MDDC we used high-resolution confocal
266 microscopy to identify markers co-localizing with BKPyV in MDDC. Early Endosome
267 Antigen-1 (EEA-1), a marker of early endosomes and macropinosomes, was associated with
268 BKPyV in structures with size ranging from 100nm, the detection limit with this technique, to
269 roughly 1 μ m in diameter (Figures 4A and 4B). The clathrin-independent carriers (CLIC) or
270 GPI-anchored protein-enriched compartments (GEEC) endocytic pathway(49, 50) known to
271 form tubular vesicles has been recently associated with the protein GTPase Regulator
272 Associated with Focal Adhesion Kinase-1 (GRAF1) (51). BKPyV colocalized with GRAF-1 at
273 the PM and in the cytosol (Figure 4C). Cholera toxin B subunit (CTxB) uses GRAF-1 vesicles
274 to enter cells (51) and we observed a partial VLP/CTxB colocalization in MDDC (Figure 4D).
275 Altogether, our results showed for the first time in MDDC a major BKPyV endocytosis into
276 GRAF-1+ and CTxB+ compartments, two hallmarks of the CLIC/GEEC pathway.

277

278 **MDDC can transfer virions to renal epithelial cells but are refractory to BKPyV infection**

279 Next, we wondered whether BKPyV-pulsed MDDC, hereafter termed “BKPyV-infected
280 MDDC”, could transfer the virus to a permissive cellular third party in *trans*. Here, we took
281 advantage of a *trans*-infection assay previously set up in our laboratory(41, 52). It assesses the
282 ability of a cell type to capture and transfer virions to permissive cells in its vicinity after
283 removing excess unbound/non-internalized virions. LTA_g expression was analyzed in these
284 conditions at defined time points (Figure 5A). Infection of hRPTEC, termed *cis*-infection, was
285 estimated between 10 to 18% in all experiments at seven days pi (Figure 5A). No LTA_g was
286 detected in BKPyV-infected MDDC suggesting that BKPyV infection is not initiated in MDDC
287 (Figure 5A). To confirm these results with a more sensitive technique, we analyzed LTA_g
288 expression by RT-qPCR in a similar experimental design. Quantitative results are shown in

289 Figure 5B. As expected, no LTA_g mRNA was detected in BKPyV-infected MDDC whereas
290 the *cis*-infection of hRPTEC or the *trans*-infection conditions displayed high amounts of LTA_g
291 mRNA. These results were confirmed by assessing the expression of the major capsid protein
292 VP1, a late infection marker (Figure 5C). To confirm the CLIC/GEEC pathway involvement in
293 the BKPyV *trans*-infection process, we finally tested the effect of the ciliobrevin D (CBD), a
294 cytoplasmic dynein inhibitor(53), on MDDC during virus loading. Noticeably, a 50μM dose of
295 CBD significantly decreased *trans*-infection with no measurable MDDC cytotoxicity (Figure
296 5D). Altogether, these results demonstrated that MDDC, while non-permissive to BKPyV,
297 capture BKPyV virions and can transfer them to permissive cells like hRPTEC in a dynein-
298 dependent manner.

299

300 **Human MDDC are neither activated by BKPyV particles nor BKPyV-infected hRPTEC**

301 MDDC can sense danger signals through various pattern-recognition receptors (PRR) including
302 toll-like receptors (TLR) thus leading to MDDC maturation(54, 55). Conflicting results on DC
303 activation by BKPyV in the literature prompted us to ask whether BKPyV attachment would
304 lead to MDDC activation. Twenty-four hour MDDC cultures with VLP or virions were
305 analyzed by flow cytometry to assess the acquisition or up-regulation of known DC maturation
306 markers. A maturation enabling dose of LPS and R848(56), two TLR agonists, and a Modified
307 Vaccinia Ankara (MVA) attenuated poxvirus known to activate MDDC were added as positive
308 controls of maturation when necessary. First, expression of CD86, a sensitive and reliable
309 marker of DC maturation (57), was assessed. Only exposure to TLR agonists or to the Modified
310 Vaccinia Ankara attenuated poxvirus known to activate MDDC induced CD86 upregulation
311 ((58-61); Figure 6A). Accordingly, no IL-12p70, a T helper type 1 cytokine, IL-10 or IL-8 were
312 detected in MDDC culture supernatants cultivated with BKPyV (Figure 6B). Expression of the

313 CD80, CD83, CD40, CCR7 and HLA-DR on MDDC gave consistent results (Figure 6C). Then
314 we hypothesized that MDDC could be activated not by BKPyV particles *per se* but by BKPyV-
315 infected hRPTEC. hRPTEC infection was monitored by RT-qPCR for *LTA*g mRNA expression
316 (data not shown). In that setting, MDDC CD86 expression did not vary upon cultivation with
317 BKPyV-infected cells (Figure 6D). To exclude “under the radar” activation signals, we finally
318 performed a digital RNA sequencing (DGEseq; REF) of BKPyV-infected MDDC compared to
319 non-infected cells. In line with previous experiments, no difference was observed between
320 BKPyV-infected and non-infected MDDC in terms of mRNA profile reprogramming at one dpi
321 (Figure 6E). These results confirmed that MDDC were unresponsive to BKPyV and BKPyV-
322 infected hRPTEC.

323

324 **Internalized BKPyV is protected from neutralization**

325 Together with cellular immune responses, neutralizing anti-BKPyV antibodies (NAbs) are
326 required to control infection or reactivation in KTR(17, 62-66) and healthy donors(67). Here
327 we wondered whether BKPyV could be protected from neutralization when internalized by
328 MDDC. To address this point, *trans*-infection was performed in the presence of neutralizing
329 and control sera from BKPyV reactivating or non-activating KTR respectively. Neutralizing
330 antibodies completely blocked hRPTEC *cis*-infection whereas the control serum had no effect
331 (Figure 7). When virions were pre-incubated with NAbs prior to MDDC loading, a significant
332 loss in *trans*-infection was observed compared to controls. As a conclusion, NAbs were
333 ineffective when used after BKPyV loading of MDDC suggesting virions were protected from
334 neutralization once internalized.

335

336 **Blood and kidney CD1c⁺ cDC display similar BKPyV *trans*-infection abilities and non-**
337 **permissiveness to MDDC**

338 MDDC were shown to be closely related to inflammatory DC in humans(68, 69) so to ensure
339 our observations were not biased by the DC generation protocol, we first wondered whether
340 cDC, the most abundant tissue and blood DC subset under non-inflammatory conditions, could
341 behave like MDDC. First, VLP were incubated with whole blood of healthy volunteers and
342 VLP staining was further analyzed by flow cytometry on both cDC (CD11c⁺) and plasmacytoid
343 (pDC; CD123⁺) DC among HLA-DR⁺ Lin⁻ cells (Supplemental Figure 1). A significant
344 proportion of cDC bound VLP whereas no binding was detected on pDC (Supplemental Figure
345 1 and Figure 8A). Importantly, binding to cDC was not affected by Fc receptor blockade
346 suggesting that VLP attachment did not depend on anti-VP1 antibodies in whole blood of
347 healthy donors (Figure 8B). To avoid any interference due to the whole blood environment,
348 CD1c⁺ DC, representing the main myeloid DC subset in blood(70) and kidney(71) were sorted
349 according to the gating strategy displayed in Supplemental Figure 2, incubated with VLP and
350 analyzed by flow cytometry. Blood and kidney CD1c⁺ cDC were clearly capable of binding
351 VLP as well as virions in a dose-dependent manner (Figures 8C and 8D, respectively). Then,
352 we showed that like MDDC, CD1c⁺ cDC were unresponsive to BKPyV particles (Figure 8E).
353 We finally demonstrated that sorted CD1c⁺ cDC enabled BKPyV *trans*-infection to permissive
354 cells while being resistant to infection themselves (Figure 8F). Taken together our results
355 demonstrate that biologically relevant blood and renal CD1c⁺ cDC behave similarly to MDDC
356 with respect to BKPyV infection.

357

358 **Discussion**

359 In this study, cDC, either generated *in vitro* or isolated from human blood and kidney, were
360 shown to support BKPyV attachment in a sialic acid-dependent manner and subsequent
361 clathrin-independent endocytosis through two distinct pathways, the first involving GRAF-1+
362 CLIC/GEEC and the second, minor pathway dependent on EEA1+ macropinocytic
363 endocytosis. However, we did not provide evidence on potential spatio-temporal connections
364 between both compartments. Upon contact, cDC were not activated by viral particles or
365 BKPyV-infected cells. Moreover, we showed that MDDC and CD1c+ cDC were non-
366 permissive to BKPyV infection. Internalized or membrane-bound BKPyV virions kept their
367 ability to *trans*-infect permissive cells like hRPTEC and were also shown to be protected from
368 neutralization by sera of BKPyV reactivating KTR.

369 We demonstrated that BKPyV interacts with human MDDC in a dose- and sialic acid-
370 dependent manner suggesting these cells are equipped with BKPyV receptors, likely GD1b and
371 GT1b(46). Upon attachment, BKPyV was shown to massively accumulate in pleiomorphous
372 GRAF-1+ endocytic vesicles originating from the PM and partially overlapping with CTxB
373 containing vesicles in MDDC. Although not proven here, it is tempting to speculate that a
374 similar entry pathway for BKPyV occurs in CD1c-sorted cDC. This compartment was
375 identified as CLIC/GEEC vesicles whose formation is clathrin-independent (see for review
376 (72)). Interestingly, BKPyV was shown to infect hRPTEC in a clathrin- and caveolin-
377 independent manner indicating that some BKPyV entry steps might be common between those
378 cells and cDC(73, 74). Ewers and colleagues demonstrated that SV40 triggers the formation of
379 PM invaginations related to CLIC/GEEC endocytosis after binding to GM1 in caveolin-1
380 deficient or energy-depleted cells(48). Multiple interactions between chemically defined GM1
381 PM clusters and SV40 capsomers were demonstrated to promote PM curvature and the
382 formation of pleiomorphous tubules containing viral particles. CLIC/GEEC endocytosis has
383 not been thoroughly documented for BKPyV before our results, even though Drachenberg et al

384 observed BKPyV virions within tubular structures in hRPTEC from PVAN biopsies(30).
385 Whether these tubular vesicles result from early endocytosis or from viral progeny remains to
386 be clarified. Although the microtubule-associated motor protein, dynein 1, was shown not to
387 play a role in BKPyV(75), JCPyV and SV40 infection(76), here we demonstrated that a specific
388 chemical inhibitor of the dynein protein family caused a measurable reduction of the *trans*-
389 infection process by MDDC indicating distinct cell-specific requirements for BKPyV entry.
390 After internalization, BKPyV(77), JCPyV(77, 78), SV40(77, 79) and MuPyV(80) were shown
391 to reach the ER within the first ten hours after cell attachment to hRPTEC(46, 75). This step is
392 crucial for the infection(46, 75, 81, 82) since it is followed by the release of partially uncoated
393 virions in the cytosol and import of viral genomes to the nucleus to initiate replication. This
394 question was not directly addressed in the present study but the absence of LTA_g in MDDC
395 after several days *pi* strongly suggests either BKPyV does not undergo uncoating or that the
396 CLIC/GEEC endocytosis does not lead to productive infection. In non-immune cells,
397 CLIC/GEEC was shown as the main productive AAV2 infection route, invalidating the second
398 possibility(83). These discrepancies between our results and former studies might reflect
399 multiple common as well as distinct BKPyV entry steps according to the cell type studied.
400 Further work is needed to establish the molecular determinants of such differences between
401 non-immune and immune cells like cDC. A recent review pointed out the link between the
402 CLIC/GEEC endocytosis and glycosphingolipids (GSL) which encompass gangliosides in the
403 establishment of cell polarity(72). DC polarization leading to the formation of a synapse is an
404 important event in T cell priming (see for review(84)) but might also be crucial in the BKPyV
405 *trans*-infection process we described here. GSL are known to form lipid rafts on the PM (see
406 for review(85)). Such micro domains function as a platform to segregate a wide range of
407 effector molecules including GPI-anchored cargos(86). Wang and colleagues demonstrated that
408 GPI-anchored molecules, which utilize the CLIC/GEEC endocytic pathway upon ligand

409 binding, share biosynthetic pathways and common cellular locations with GSL(87). Such
410 findings could link the ganglioside-mediated BKPyV attachment to viral endocytosis even in
411 immune cells.

412 An important DC function is the ability to sense microbes through the recognition of conserved
413 pathogen-associated molecular patterns (PAMP) by PM-bound (toll-like and C-type lectin
414 receptors, TLR and CLR respectively), endosomal (TLR) or modified DNA/RNA cytoplasmic
415 receptors altogether termed PRR(88). Viral particles as supramolecular arrangements of
416 proteins and nucleic acids can be considered as PAMPs. Zepeda-Cervantes et al (Frontiers
417 Immunol, 2020) have recently discussed numerous examples of VLP sensing leading to
418 activation of human DC in a review(89). In contrast, both *in vitro*-generated murine and human
419 DC were shown to remain unresponsive to BK- and JCPyV VLP(23, 26). Our results with
420 human MDDC as well as freshly isolated blood and renal CD1c+ cDC confirm these
421 observations and extend them to *bona fide* DC subsets supporting that such an immune
422 ignorance towards BKPyV could exist *in vivo*. Two recent comprehensive studies demonstrated
423 that hRPTEC fail to sense BKPyV(90, 91). This was shown to be partly dependent on the
424 expression of the agnoprotein, a viral factor whose function has remained unclear so far(91).
425 While BKPyV escape mechanisms seem to depend on viral gene expression in hRPTEC, we
426 consider that different escape mechanisms are at work in BKPyV refractory cDC. The observed
427 accumulation of BKPyV into CLIC/GEEC vesicles in MDDC after two hours might lead to
428 their segregation in a PRR-free compartment. Unfortunately, whether PRR are present in the
429 CLIC/GEEC compartment is unknown.

430 We demonstrated that although renal CD1c+ DC are refractory to BKPyV infection they remain
431 able to capture virions and *trans*-infect hRPTEC *in vitro*. CD1c+ DC are normally present in
432 the human renal interstitium surrounding the proximal tubules and glomeruli(29, 34, 71) but in
433 PVAN lesions, a significant increase in infiltrating CD1c+ DC is documented(34). Whether the

434 CD1c+ DC infiltrate has a key role in viral spreading *in vivo* deserves to be investigated through
435 combined multidimensional imaging techniques and spatial RNA/DNA sequencing. Upon
436 inflammation, monocytes are recruited in tissues where they differentiate in inflammatory DC
437 with transcriptomic profiles closely related to those observed in MDDC(68, 69). PVAN
438 develops in an inflammatory context. Therefore, it is tempting to speculate that along with
439 resident CD1c+ DC, inflammatory DC could participate in the potentiation of BKPyV
440 infection.

441 In this study, we demonstrated that cDC, namely MDDC and blood or kidney CD1c+ resident
442 DC can capture infectious BKPyV through an unprecedented endocytic pathway in cDC and
443 for BKPyV, and transfer the virus to permissive cells like hRPTEC without DC activation or
444 infection, suggesting a role for cDC in BKPyV spreading. Moreover, we showed that
445 internalized virions were protected from neutralization by serum from KTR. Taken together our
446 results support the idea that cDC could facilitate BKPyV infection by favoring its spreading
447 and limiting specific T lymphocyte activation due to the cDC ignorance towards BKPyV
448 antigens and the circumvention of neutralization by specific antibodies. Hence, this work could
449 help to understand how cDC could aggravate BKPyV infection in KTR.

450

451 **Author contributions**

452 M.S. and F.H. designed, performed, analyzed and interpreted all experiments except RNAseq
453 data and wrote the paper. F.C., C.P., C.B., A.G., S.N., P.H., J.V., N.M., J.D., P.G., J.B.-G.
454 performed and analyzed experiments. K.R., C.K.-A. and J.B. collected and characterized all
455 human samples used in this study. A.T., R.J., D.McI. and C.B.-B. participated in experimental
456 design and data discussion and actively contributed to the manuscript preparation. M.G.

457 analyzed RNAseq data and deposited datasets on appropriate databases. F.H. supervised this
458 study. All authors reviewed and approved the manuscript.

459

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779

780 **Figure legends**

781 **Figure 1:** MDDC bind BKPyV particles in a dose- and sialic acid-dependent manner. (A)
782 Negative contrast TEM picture of genotype Ib2 (gIb2) VLP. A 200nm scale bar is represented
783 on the micrograph. (B) Fluorescent-labelled gIb2 VLP binding to hRPTEC, HEK293TT,
784 MDDC and LNCaP (n=3) assessed by flow cytometry. Mean Fluorescence Intensities (MFI)
785 are displayed (n=5; n=3 for LNCaP only). . (C) Alexa Fluor®647-conjugated infectious
786 particles (Dunlop strain) gIb2 VLP binding to MDDC (n=5). (D) Dose dependent binding of
787 genotypes Ia (circle), Ib2 (square) and IVb1 (triangle) VLP to MDDC (n=5). (E) Alexa
788 Fluor®647-conjugated genotypes Ia, Ib2 and IVb1 VLP (10^4 VLP/cell) binding to MDDC with
789 (empty circles) or w/o (closed circles) treatment with 0.2U/mL neuraminidase from *Clostridium*
790 *perfringens*, specifically cleaving $\alpha(2,3/6/8)$ -linked sialic acid. Data are represented as MFI \pm
791 SEM. Statistically significant results were marked by one or several asterisks according to the
792 level of significance: *= $p<0.05$, **= $p<0.01$, ****= $p<0.0001$; one-way ANOVA with Tukey's
793 multiple comparison tests.

794

795 **Figure 2:** High-resolution confocal images of genotype Ia BKPyV particles endocytosed in
796 MDDC. Three panels showing independent cells that contain intracellular dot-like or
797 amorphous tube-shaped (white asterisk) accumulations of Alexa Fluor®647-conjugated gIa
798 VLP (A) or BKPyV infectious particles (B; Dunlop strain). Images show focal planes extracted
799 from six different cells stacks (10^4 VLP/cell or 1FFU/cell respectively for VLP and infectious
800 particles; magenta). (C) Two distinct focal planes extracted from the cell stack from which the
801 image in the center of Figure 2A is shown. “1” and “2” indicate the tube-shaped structures
802 marked by asterisks in Figure 2A. (D) Amira 3D reconstruction of the cell represented in Figure
803 2A (center) showing round-shaped and pleiomorphous tube-shaped intracellular structures
804 containing Alexa Fluor®647-conjugated gIa VLP. Cell membranes were stained with
805 fluorescence-labelled WGA (Alexa Fluor®488 displayed in light blue) and nuclei were
806 counterstained with DAPI. High-resolution confocal images were obtained from the A1 Nikon
807 microscope equipped with a SIM module.

808

809 **Figure 3:** TEM reveals unlabeled BKPyV endocytosis into large round- and tube-shaped
810 vesicles in MDDC. (A) Micrograph showing a general view of a representative MDDC
811 incubated for 30 minutes at 37°C with 10^4 VLP/cell (gIb2). Noticeably, the cell contains
812 abundant tube-shaped structures. (B, C, D, E and G) Pleiomorphous or large round vesicles
813 containing VLP are shown at a higher magnification (G: x100,000-120,000). (F) This image
814 represents infectious BKPyV particles into macropinosome-like (round-shaped) and tube-
815 shaped vesicles (1FFU/cell). (D) and (E) Micrographs showing VLP internalization from the
816 cell surface into tube-shaped endosomes. Thin and bold arrows indicate particles and tube
817 formation respectively; asterisk indicate large vesicles resembling macropinosomes.
818 N=nucleus; mt=mitochondria. Scale bars are indicated for each micrograph.

819

820 **Figure 4:** BKPyV particles colocalize with EEA-1, GRAF-1 and CTxB in MDDC revealing an
821 unconventional endocytic pathway. (A) Confocal sections of MDDC incubated for 30 minutes
822 at 37°C with 10⁴ fluorescent VLP/cell (magenta). EEA-1-positive endocytic vesicles were
823 stained after fixation (green). Nuclei were counterstained with DAPI (light blue). The
824 colocalization between VLP and EEA-1 is shown in white. (B) RGB profiles along two
825 measurement lines (1 and 2, showed in Figure 3A) analyzed with ImageJ software.
826 Colocalization is represented by merging blue and red (=magenta) representing VLP and green
827 histograms (1 pixel=88nm). (C) and (D) show respectively colocalization of BKPyV VLP with
828 GRAF-1 (bold white arrows) and Alexa Fluor®555-conjugated cholera toxin subunit B (CTxB;
829 2µg/mL; thin white arrows). Deconvoluted images are presented. Displayed data are
830 representative of three independent experiments.

831
832 **Figure 5:** MDDC do not support BKPyV infection but mediate its transmission to primary
833 hRPTEC. (A) Epifluorescence microscope images (x10 magnification) showing large T antigen
834 (LTA_g) immunostaining (green) of hRPTEC and/or MDDC in various conditions indicated on
835 top of rows, respectively: hRPTEC alone (medium), MDDC alone (medium), BKPyV-infected
836 hRPTEC (MOI=0.1; approximately 200 particles/cell), non-infected hRPTEC layered with
837 BKPyV-infected MDDC (excess of virus, i.e. unbound virus, was removed by extensive washes
838 after a 2 hour-incubation of MDDC with virus) and BKPyV-infected MDDC (*idem* previous
839 condition). LTA_g is revealed at seven days dpi. Brightfield images of the immunostaining are
840 shown in the first column. DC-SIGN (red) is a marker allowing to discriminate MDDC from
841 hRPTEC when necessary. Nuclei were counterstained with DAPI. (B) RT-qPCR data showing
842 the amplification of LTA_g mRNA seven dpi in various conditions (similar to those presented
843 in Figure 6A; n=6). Of note, a condition with uninfected MDDC with uninfected hRPTEC has
844 been added here. (C) Western blot analysis of VP1 expression, as a late BKPyV infection event,

845 in cell lysates after three, five, and seven days post-infection. β actin was revealed similarly
846 after membrane stripping as a loading control. Figures 5A and 5C are representative of three
847 independent experiments.

848

849 **Figure 6:** BKPyV virions or BKPyV-infected cells fail to activate MDDC. (A) CD86 cell
850 surface expression was assessed by flow cytometry on immature MDDC alone (circles) or
851 cultured with VLP (squares; 10^3 particles/cell), BKPyV particles (triangles; 10^3 particles/cell)
852 MVA (inverted triangles) or a TLR agonist cocktail (diamonds; 100ng/mL LPS and 1 μ g/mL
853 R848 after 24 hours (n=6). (B) ELISA titration of IL-10, IL-12p70 and IL-8 in the supernatants
854 of untreated or MDDC cultivated with VLP, BKPyV particles or R848/LPS (doses were similar
855 to those employed in Figure 4a). (C) Cell surface expression of CD80, CD83, CD40, CCR6,
856 CCR7 and the HLA-DR on MDDC alone (empty bars) or cultured for 24 hours with VLP (grey
857 bars; 10^3 particles/cell) or LPS/R848 (black bars). Data are represented as MFI \pm SEM. For
858 each MFI, background, i.e. autofluorescence, is subtracted to calculate Δ MFI values displayed
859 in this figure (n=4). (D) Similar to experiments in A. Apop=apoptotic cells. Apoptosis was
860 induced by UVB-irradiation and apoptotic cell fragments were collected by centrifugation and
861 extensive washing in PBS. (E) RNAseq analysis of differentially expressed genes between
862 infected (one dpi) and non-infected MDDC. The dashed line represents a “ten counts per gene”
863 limit above which gene expression is considered as robust. The Y axis represents the Log2 fold
864 change in gene expression. Statistically significant results were marked by one or several
865 asterisks according to the level of significance: ns=non-significant, *=p<0.05, **=p<0.01,
866 ***=p<0.001, ****=p<0.0001; one-way ANOVA with Tukey’s multiple comparison tests.

867

868 **Figure 7:** Endocytosed BKPyV particles into MDDC are protected from serum neutralization.
869 HCS automated counting to evaluate percentages of BKPyV-infected hRPTEC (=LTA g^+ cells)

870 in various conditions including *cis*- and *trans*-infection experiments but with or w/o sera from
871 a non-controller patient (=control serum) or a controller patient (=neutralizing serum).
872 Neutralizing antibody titers in this serum had been previously determined: between 1/200.000,
873 1/500.000 and 1/20.000 for genotypes Ia, Ib2 and IVc2 respectively (see the Materials and
874 Methods section). Here, both sera were x1000-diluted. Sera were either added before or after
875 incubation of the BKPyV suspension (Dunlop strain at MOI=0.1) with MDDC. Results
876 represent mean values of the percentage of LTA_g⁺ hRPTEC ± SEM. Statistically significant
877 results are marked by an asterisk; *=*p*<0.05; one-way ANOVA with Tukey's multiple
878 comparison tests.

879

880 **Figure 8:** Blood and kidney CD1c⁺ myeloid DC bind and transmit BKPyV to primary hRPTEC
881 without getting infected. (A) Quantitative measurement of VLP positive cDC and plasmacytoid
882 (pDC) DC in whole blood of healthy volunteers according to the gating strategy shown in
883 Supplemental Figure 1. (B) Quantitative analysis of VLP binding to cDC with (closed squares)
884 or w/o (closed circles) Fc receptor blockade. Dose-dependent BKPyV VLP (C) or infectious
885 particles (D) binding to purified CD1c⁺ cDC from blood or kidney of healthy individuals; the
886 “control” condition means no VLP (grey circles). Black closed and empty circles represent 10³
887 and 10⁴ particles/cell respectively. The immunomagnetic cell sorting strategy is shown in
888 Supplemental Figure 1. (E) CD86 cell surface expression assessed by flow cytometry on freshly
889 isolated blood CD1c⁺ DC cultured for 24 hours in medium alone (squares) or with VLP
890 (triangles; 10³ particles per cells), BKPyV particles (inverted triangles; *ibid*) or with LPS/R848
891 (diamonds; 100ng/mL LPS and 1µg/mL R848); n=4 distinct blood donors. (F) Quantitative
892 assessment of the ability of CD1c⁺ mDC from blood (grey dots) or kidney (black dots), ie from
893 healthy blood donors and macroscopically healthy parts of resected human tumor-bearing
894 kidneys, to capture and transfer BKPyV to hRPTEC as shown in Figure 6A. The percentage of

895 infected hRPTEC corresponds to the percentage of LTA_g⁺ hRPTEC within total cells, ie DAPI
896 counterstained nuclei (42), based on an automated counting on a HCS device. Data are
897 represented as percentage of infection. Statistical analyses have been applied to comparisons
898 between % of infected hRPTEC in coculture with cDC with or w/o cDC preincubation with the
899 Dunlop strain. Statistically significant results are marked by an asterisk; *= $p < 0.05$, **= $p < 0.01$,
900 ***= $p < 0.001$; one-way ANOVA with Tukey's multiple comparison tests.

901

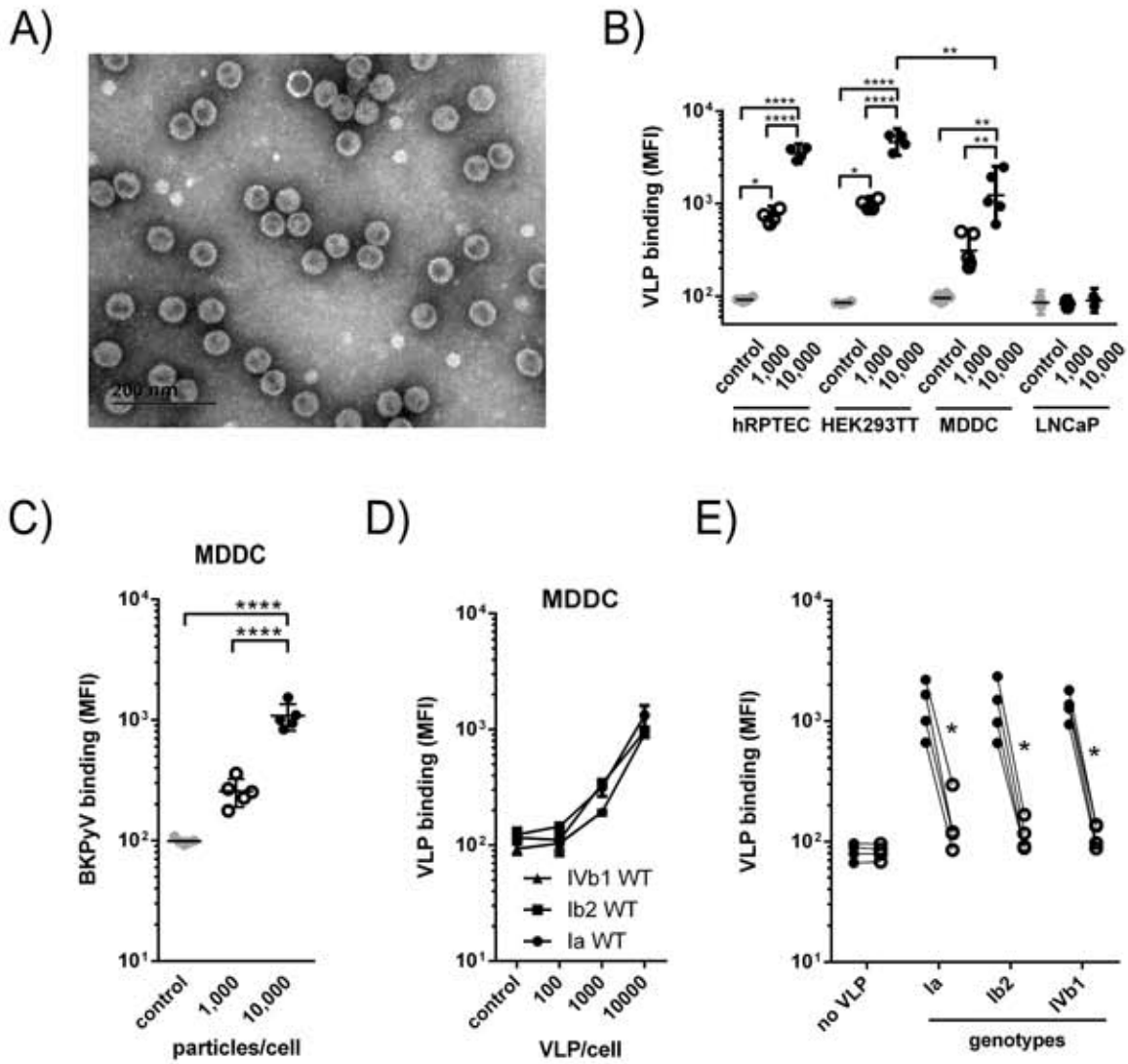
902 **Supplemental material**

903 **Supplemental Figure 1:** Gating strategy of myeloid (CD11c⁺ in CD45⁺, HLA-DR⁺, Lin-
904 cells) and plasmacytoid DC (CD123⁺ in CD45⁺, HLA-DR⁺, Lin- cells) in whole blood of
905 healthy volunteers. Cells were incubated with 2.5 μ g/mL of Alexa Fluor®647 coupled-VLP or
906 the same volume of PBS (no VLP; 45 minutes at 4°C) and representative dot plots showing the
907 percentage of VLP⁺ cells.

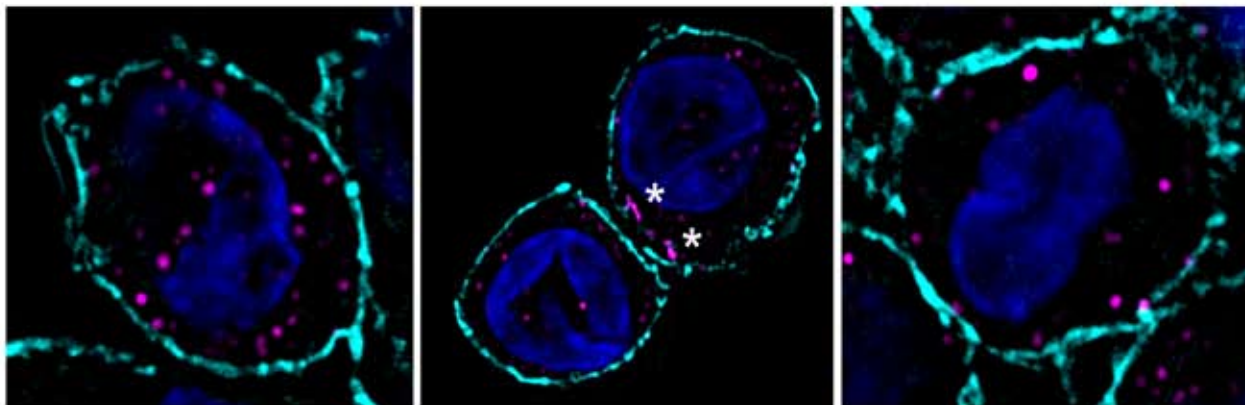
908

909 **Supplemental Figure 2:** Assessment of purity of freshly isolated CD1c⁺ DC from blood and
910 kidney. (A) Gating and enrichment evaluation before and after immunomagnetic cell sorting of
911 CD19⁻ CD1c⁺ myeloid blood DC. (B) Similar dot plots showing the purity of CD1c⁺ myeloid
912 DC from resected human kidneys before and after the FACS-assisted sorting. These results are
913 representative of five different cell isolations from both blood and kidney compartments.

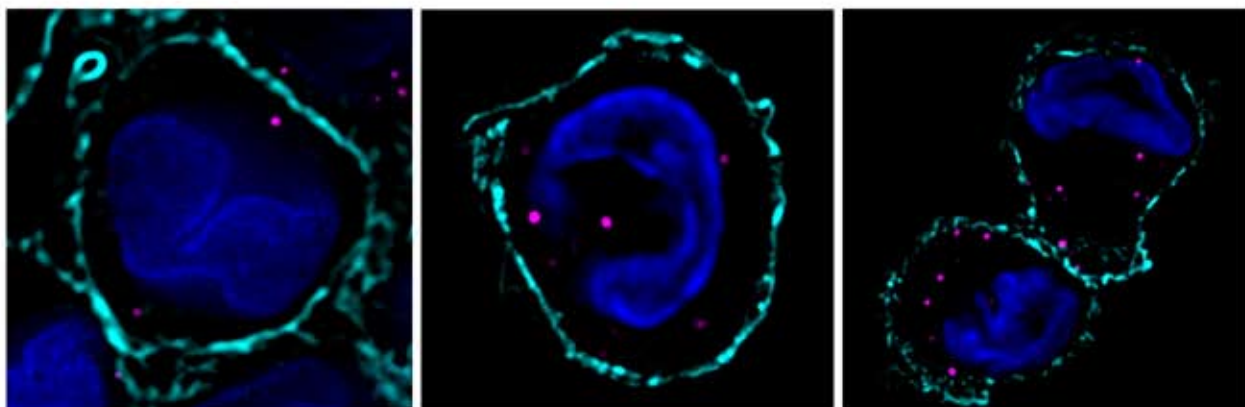
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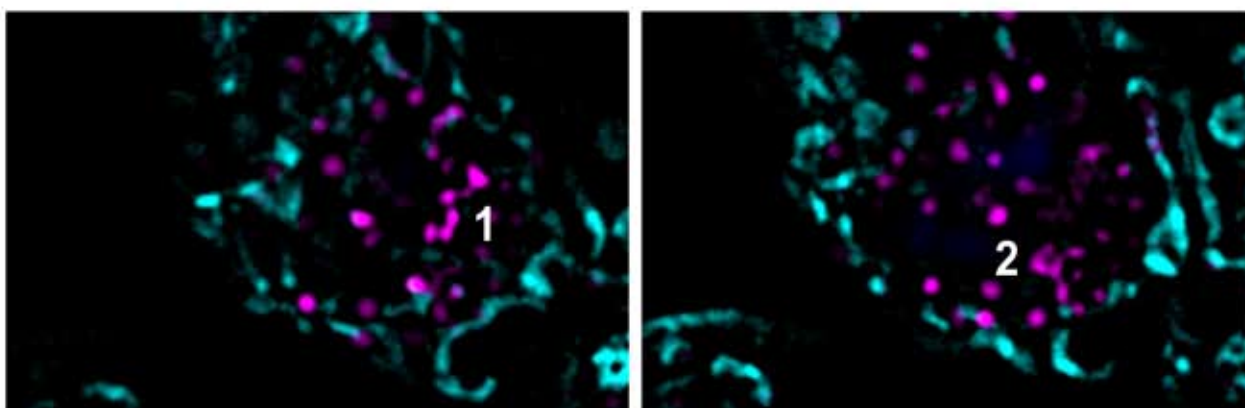
A)



B)



C)



D)

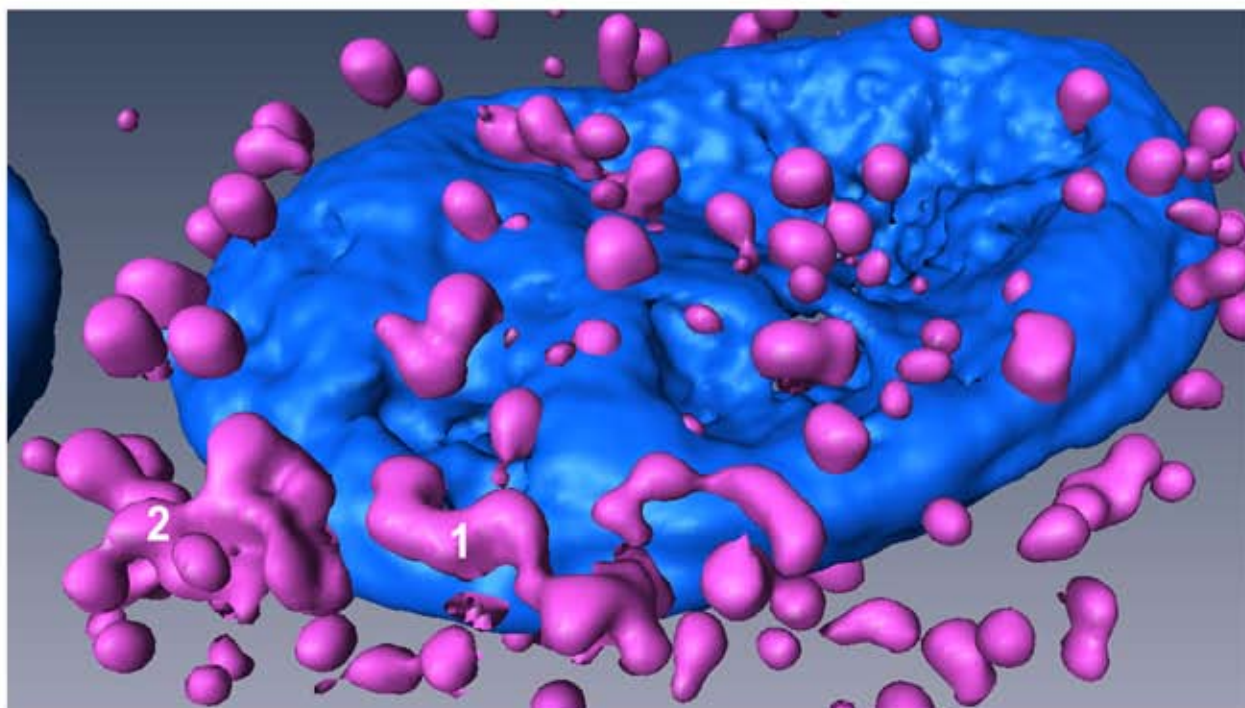
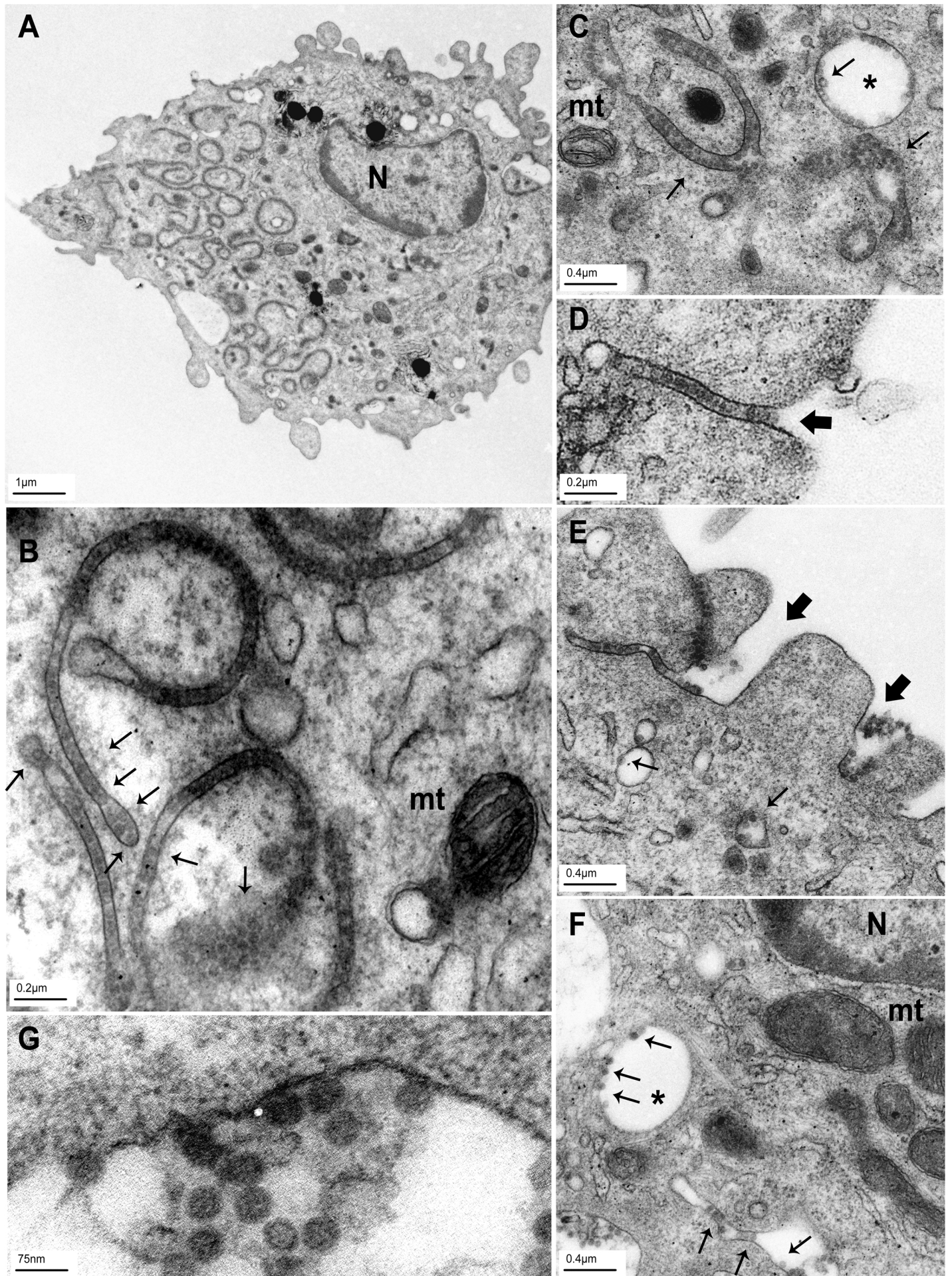


Figure 3.



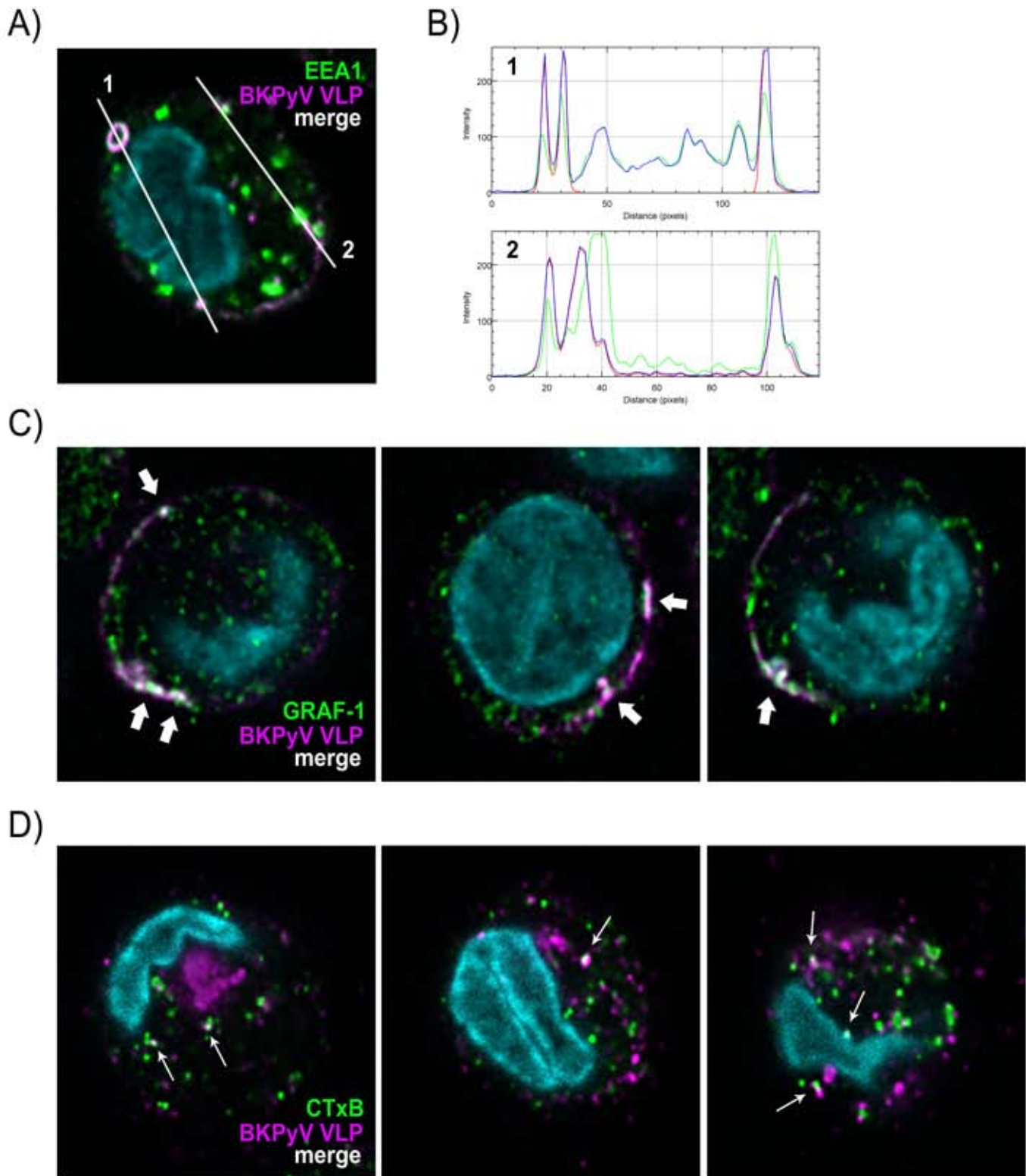
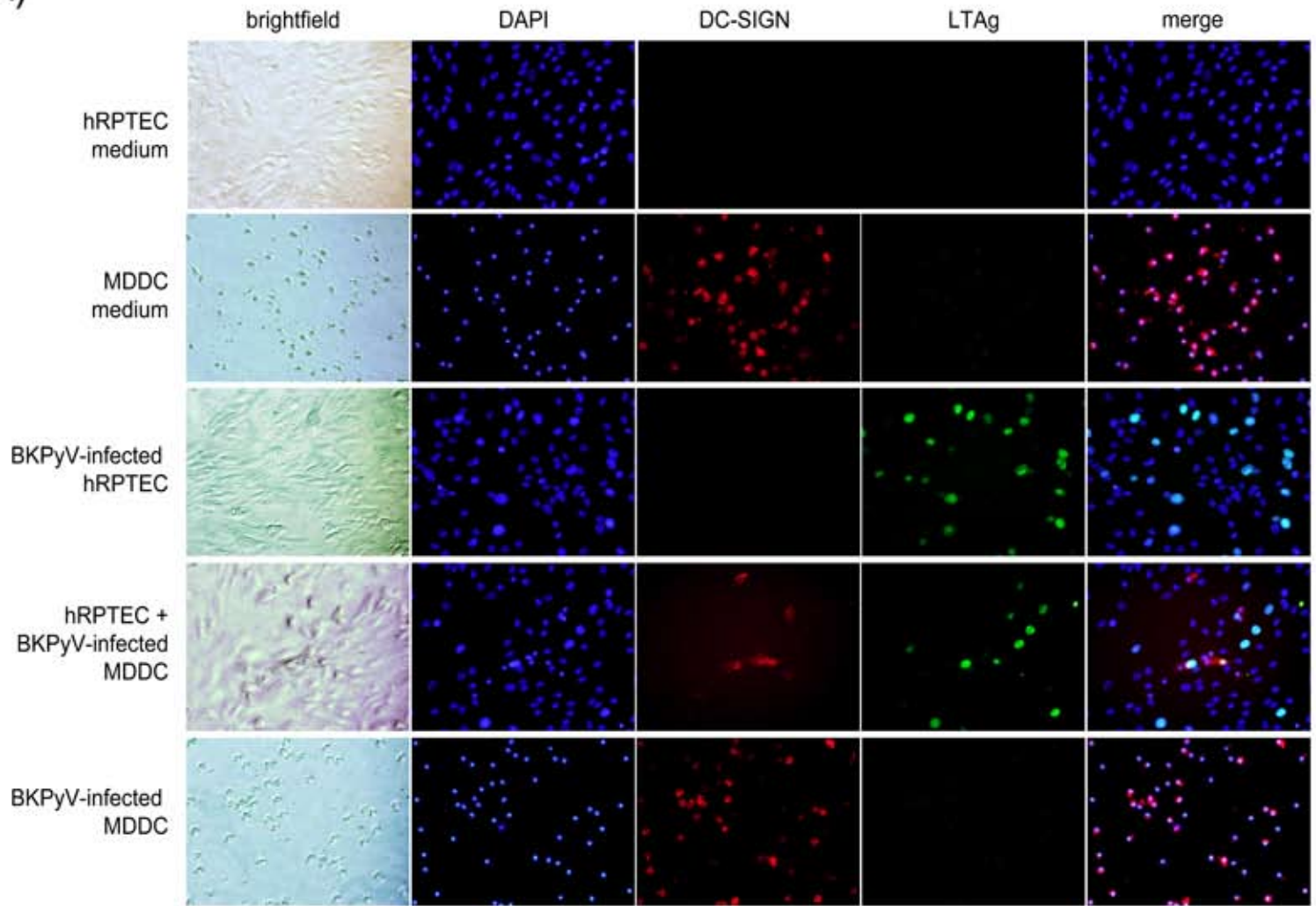
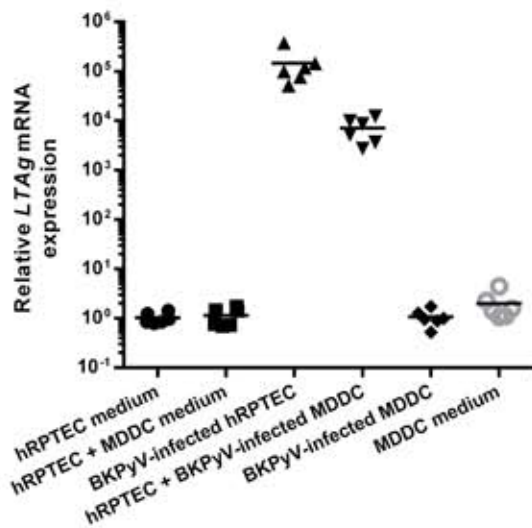


Figure 5

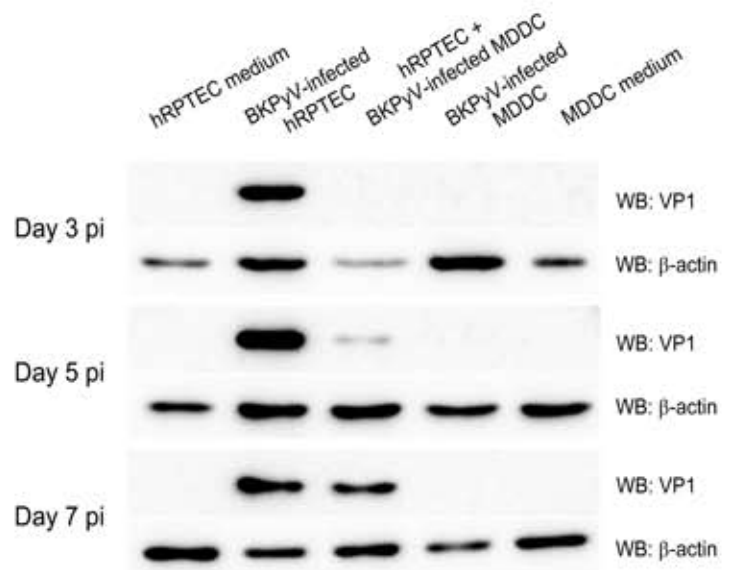
A)



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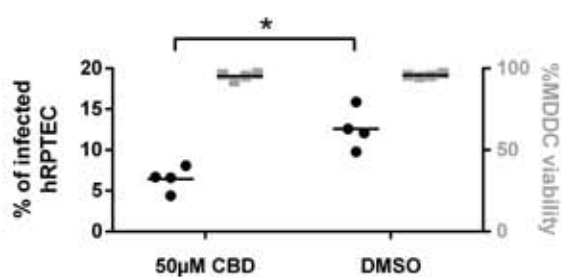


Figure 6:

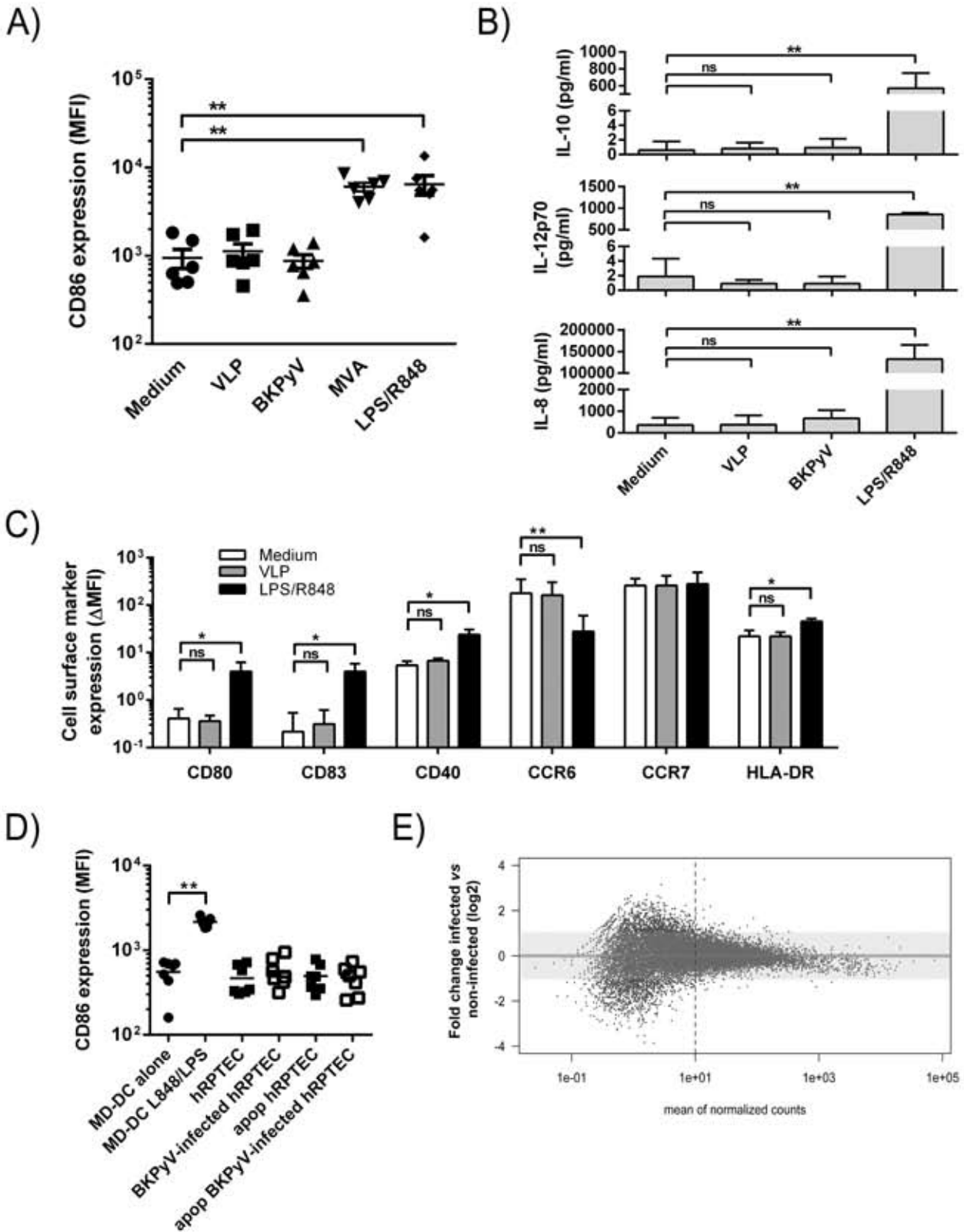


Figure 7

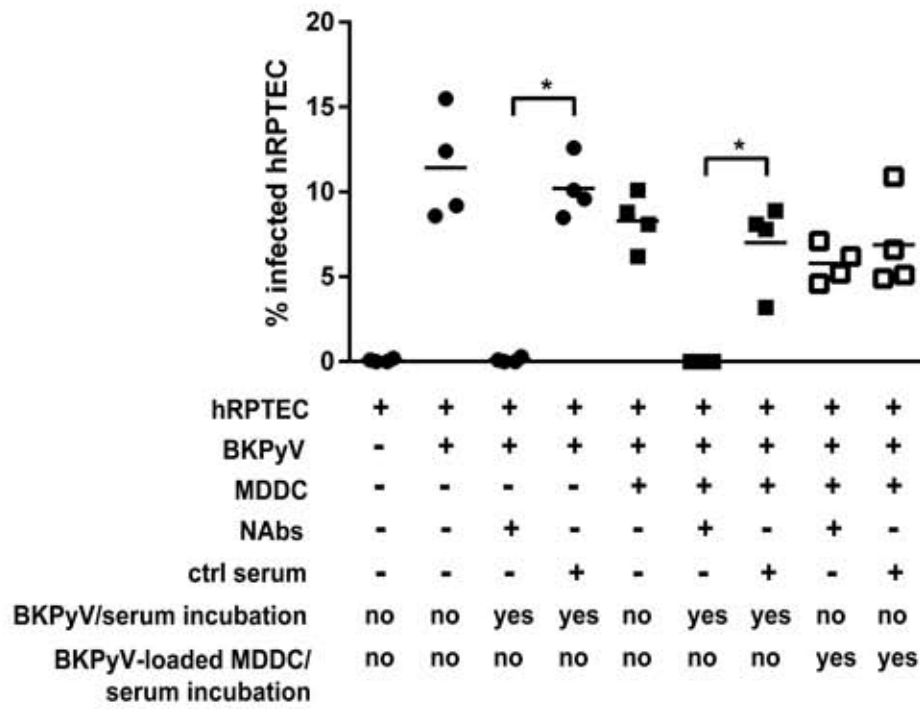


Figure 8.

