Genome-Wide Association Mapping of Sugarcane Yellow Leaf Virus Resistance

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Abstract

The breeding of sugarcane, a leading sugar and energy crop, is complicated by the extremely
complex sugarcane genome, which burdens research in the area and delays the development of new
cultivars. One of the main viral diseases that affect this crop is sugarcane yellow leaf (SCYL), which
is caused by the sugarcane yellow leaf virus (SCYLV). The most common symptom of SCYL is the
yellowing of leaf midribs and blades, but asymptomatic cases are frequent. Regardless of the
manifestation of SCYL, infection by SCYLV can lead to substantial yield losses, making resistance
to this virus highly relevant to sugarcane breeding. However, the genetic basis of this trait has not
been widely explored or explained. In this context, genome-wide association studies (GWASs) have
arisen as promising tools for the identification of molecular markers associated with SCYLV
resistance that can be employed in marker-assisted selection. In the present work, we performed a
GWAS on sugarcane using codominant markers and genotypes of interest for breeding. A panel of 97
sugarcane genotypes inoculated with SCYLV was analyzed for SCYL symptom severity, and viral
titer was estimated by reverse transcription quantitative PCR (RT-qPCR). A genotyping-by-
sequencing (GBS) library was constructed for 94 individuals of this population, enabling the
identification of 38,710 SNPs and 32,178 indels with information on allele proportion (AP) and
position on the *Saccharum spontaneum* genome. For association analyses, several combinations of
population structure and kinship were tested to reduce model inflation, and diverse marker-trait
association mixed models were employed. We identified 35 markers significantly associated with
SCYL symptom severity and 22 markers strongly associated with SCYLV titer that can be applied in
breeding programs upon validation. By aligning the sequences flanking these markers with their

coding sequences in several plant species, we annotated the functions of 7 genes. The possible
involvement of these candidates in the response to SCYLV infection is discussed.

1   Introduction

Sugarcane is one of the world's most important crops, ranking first in production quantity and sixth
in net production value in 2016 (FAO, 2020). It is by far the most relevant sugar crop, accounting for
approximately 80% of the world's sugar production (FAO, 2020; ISO, 2020), and is also a prominent
energy crop. It is estimated that by 2022, sugarcane will account for 40% of the world's first-
generation biofuels (Lalman et al., 2016), and biomass-rich energy cane is a promising source of
second-generation ethanol (Carvalho-Netto et al., 2014). However, this crop has an extremely
complex genome; modern cultivars are the product of a few crosses between two autopolyploid
species conducted at the end of the 19th century (Bremer, 1961). *Saccharum spontaneum* ($2n = 5x =$
40 to 16x = 128; $x = 8$) (Panje and Babu, 1960), a wild stress-resistant but low-sugar species, was
hybridized with *Saccharum officinarum* ($2n = 8x = 80$, $x = 10$) (D'Hont et al., 1998), which is high in
sugar content but susceptible to drought and diseases. This was followed by several backcrosses with
S. officinarum to restore sugar productivity in a process known as nobilization (Roach, 1972). These
procedures gave origin to plants with very large (ca. 10 Gb) (D'Hont et al., 1998), highly polyploid
(D'Hont and Glaszmann, 2001), aneuploid (Sforça et al., 2019) and remarkably repetitive (Mancini et
al., 2018) genomes. This complexity directly impacts sugarcane research and breeding; for instance,
until recently it prevented the use of codominance information in marker-assisted breeding methods
for this crop, leading to a loss of information during performance of these approaches and therefore
limiting them (Premachandran et al., 2011; Bourke et al., 2018).

One of the diseases that affect this crop is sugarcane yellow leaf (SCYL), which is caused by the
sugarcane yellow leaf virus (SCYLV), a positive-sense ssRNA belonging to the *Polerovirus* genus
(Moonan et al., 2000; Smith et al., 2000). The expression of SCYL symptoms is complex and usually
occurs in late stages of plant development; the main symptom of these infections is the intense
yellowing of midribs in the abaxial surface of leaves. Other visible manifestations are the necrosis of
leaf tips, leaf chlorosis and plant stunting (Scagliusi and Lockhart, 2000; ElSayed et al., 2015). The
virus is known to induce alterations in the metabolism and transport of sucrose and in photosynthetic
parameters (Gonçalves et al., 2005; Lehrer et al., 2010); this impairs plant development and
eventually translates to losses in sugarcane productivity (Vega et al., 1997; Grisham et al., 2001;
Vasconcelos et al., 2009; Viswanathan et al., 2014). Many observable symptoms of SCYL may,
however, be caused by other biotic and abiotic stresses or plant senescence (Vega et al., 1997;
Aljanabi et al., 2001; ElSayed et al., 2015), making SCYL identification troublesome. The diagnosis
of infection by molecular methods is, therefore, of great assistance. Immunological assays were the
first methods developed to detect and quantify this virus (Scagliusi and Lockhart., 2000), but more
recent assessments by reverse transcription followed by reverse transcription quantitative polymerase
chain reaction (RT-qPCR) are much more sensitive and precise in estimating the levels of viral
infection (Korimbocus et al., 2002; Zhu et al., 2010).

Due to SCYL's elusive symptomatology, the spread of its causal agent is silent; SCYLV is
considered endemic in all sugarcane-producing regions of the world (Gonçalves, 2007). The virus is
disseminated mostly during sugarcane vegetative propagation by setts but is also transmitted by
aphids, mainly *Melanaphis sacchari* (Zehntner, 1897) (Scagliusi and Lockhart, 2000). Unlike other
pathogens, SCYLV is not efficiently eliminated by thermal treatments (Delage et al., 1999); the only
way to thoroughly remove the virus from plants is through meristem micropropagation (Chatenet et
al., 2001; Fitch et al., 2001), which is considerably time-consuming and requires specialized

infrastructure and personnel. These characteristics make plant resistance to SCYLV the resource that
can be most efficiently exploited to prevent damage provoked by this virus. Sugarcane resistance to
SCYLV has been investigated in breeding programs, but research on SCYL genetics is not exempt
from the difficulties generated by the complexity of this crop's genome (Gonçalves et al., 2012).

The identification of loci linked to resistance to SCYLV has been investigated in a few studies,
which involved either quantitative trait locus (QTL) mapping in biparental progenies (Costet et al.,
2012; Islam et al., 2018) or genome-wide association mapping (Debibakas et al., 2014; Gouy et al.,
2015; Yang et al., 2019a). Due to sugarcane's polyploid nature, most of these studies employed
molecular markers that were treated as dominant – which implies a great loss of genetic information
(Garcia et al., 2013). Additionally, these works employed immunological methods to phenotype
SCYLV resistance. The usage of dominant markers and poor reliability of phenotyping, which added
to flaws in the assembly of association panels, were listed as factors that limited the power of the
detection of QTLs and markers associated with disease resistance (Debibakas et al., 2014; Gouy et
al., 2015).

In this study, we performed a genome-wide association study (GWAS) to identify markers and genes
associated with SCYLV resistance. We analyzed a panel of 97 *Saccharum* accessions inoculated with
SCYLV, which were graded for the severity of SCYL symptoms and had their viral titer estimated
via relative and absolute RT-qPCR quantification methods. We constructed a genotyping-by-
sequencing (GBS) library for 94 genotypes of this population and used it to discover single
nucleotide polymorphisms (SNPs) and insertions and deletions (indels) using a monoploid set of
chromosomes of *S. spontaneum* (Zhang et al., 2018) as a genomic reference. We then performed a
GWAS in which allele proportions (APs) in each locus were used to establish genotypic classes and
estimate additivity and dominance effects. Finally, by aligning neighboring sequences of the
genomes of plants closely related to sugarcane, we annotated genes containing markers associated
with phenotypes. We discuss the possible participation of these genes in mechanisms underlying
SCYLV resistance.

2   Material and Methods

2.1   Plant Material and Inoculation

The plant material and inoculation methods employed in the present study are described by
Villavicencio (2019). The experimental population consisted of a panel of 97 sugarcane genotypes
that comprised wild germplasm accessions of *S. officinarum*, *S. spontaneum* and *Saccharum*
robustum; traditional sugarcane and energy cane clones; and commercial cultivars originating from
Brazilian breeding programs. The accession names and pedigree information are available in
Supplementary Table 1. To ensure plant infection with SCYLV, a field nursery was established in
March 2016 at the "Centro Avançado da Pesquisa Tecnológica do Agronegócio de Cana" located in
Ribeirão Preto, São Paulo, Brazil (4°52'34" W, 21°12'50" S). Seedlings from sprouted setts of each
genotype were planted in 1-meter plots with an interplot spacing of 1.5 meters. The cultivar SP71-
6163, which is highly susceptible to SCYLV (Vega et al., 1997), was interspersed with the panel
genotypes. *M. sacchari* aphids were reared on detached SP71-6163 leaves under laboratory
conditions and released weekly in the field nursery in July 2016. Setts obtained from this nursery
were used to install a field experiment following a randomized complete block design with three
blocks in May 2017. Plants were grown in 1-meter-long three-row plots with row-to-row and
interplot spacings of 1.5 and 2 meters, respectively. Each plot contained two plants, totaling six
plants of each genotype per plot. To further assist infection by SCYLV, the cultivar SP71-6163 was

planted in the borders, and *M. sacchari* were released in the field weekly for five months starting
from November 2017.

2.2   Phenotyping

Plants were phenotyped in two crop seasons, as plant cane in June 2018 (13 months after planting)
(Villavicencio, 2019) and as ratoon cane in July 2019 (9 months after the first harvest). The severity
of SCYL symptoms was assessed by three independent evaluators, who classified the top visible
dewlap leaves (TVDLs) of each plot using a diagrammatic scale with four levels. The levels were (1)
green leaf with no symptoms; (2) slight yellowing of the midrib abaxial surface, with discrete or no
progression to the leaf blade; (3) intense yellowing of the midrib abaxial surface and a more
pronounced yellowing of the leaf blade; and (4) intense yellowing of both the midrib abaxial surface
and leaf blade (Supplementary Figure 1).

In the same week as symptom evaluation, fragments from the median region of at least one TVDL
per plot were collected and stored at -80°C until processing. Total RNA was extracted from this
tissue using TRIzol (Invitrogen, Carlsbad, USA). Samples were subjected to an additional
purification process consisting of three steps: (i) mixing equal volumes of RNA extract and
chloroform, (ii) precipitating the RNA overnight with 2.5 volumes of 100% ethanol and (iii) a
conventional cleaning step with 70% ethanol. RNA was then quantified on a NanoDrop 2000
spectrophotometer (Thermo Scientific, Waltham, USA) and subjected to electrophoresis on a 1%
agarose gel stained with ethidium bromide for an integrity check. The samples were next diluted to
250 ng/µL and treated with RNase-Free RQ1 DNase (Promega, Madison, USA); after this step, the
RNA was requantified and diluted again to 54.5 ng/µL for standardization. The RNA was then
converted to cDNA using the ImProm-II Reverse Transcription System kit (Promega, Madison,
USA).

The SCYLV titer in each sample was determined by RT-qPCR using GoTaq qPCR Master Mix
(Promega, Madison, USA) on a Bio-Rad CFX384 Touch detection system (Bio-Rad, Philadelphia,
USA). Two viral quantification methodologies were employed – one relative and one absolute –
using primers and conditions as described by Chinnaraja and Viswanathan (2015). For both methods,
a set of primers was used to amplify a 181-bp fragment from SCYLV ORF3 (YLSRT). For the
relative quantification, an additional set of primers was used to amplify a 156-bp fragment of the 25S
subunit of the sugarcane ribosomal RNA (25SrRNA), which was used as an internal control. The $2^{-\Delta\Delta CT}$
method (Livak and Schmittgen, 2001) was used to correct CT values; the sample with the
highest CT and a melting temperature of $82.5 \pm 0.5°C$ for the YLSRT primers was used as a control
for phenotyping in each year. The absolute quantification followed methodology described by
Chinnaraja et al. (2014). A pGEM-T Easy vector (Promega, Madison, USA) cloned with a 450-bp
fragment from SCYLV ORF3 previously amplified by RT-PCR was used to construct a serial
dilution curve with six points and tenfold dilutions between points; this curve was amplified on all
RT-qPCR plates. All reactions were performed using three technical replicates.

2.3   Phenotypic Data Analyses

166 The normality of phenotypic data was assessed by Shapiro-Wilk tests, and normalization was carried
167 out using the bestNormalize package (Peterson, 2017) in R software (R Core Team, 2011). The best
168 linear unbiased predictors (BLUPs) were estimated for each trait with the breedR R package (Muñoz
169 and Sanchez, 2015) using a mixed model as follows:

170
$$Y_{ijm} = \mu + B_j + Y_m + BY_{jm} + G_{i(jm)} + e_{ijm}$$

where *Yijm* is the phenotype of the ith genotype considering the jth block and the mth year of
phenotyping. The trait mean is represented by μ; fixed effects were modeled to estimate the
contributions of the jth block (B_j), the mth year (Y_m) and the interaction between block and year (B_{Yjm}).
Random effects included the genotype (G) and the residual error (e) representing nongenetic effects.

Pearson's correlation tests were then performed using the BLUPs to check the correlation between
the three traits, and correlation distributions were plotted using the GGally R package (Schloerke et
al., 2011). We also performed hierarchical clustering on principal components (HCPC) using the
factoMineR R package (Lê et al., 2008) to investigate the separation of genotypes according to
SCYL symptom severity and SCYLV quantification. The factoextra R package (Kassambara and
Mundt, 2017) was used to plot graphs associated with this analysis. Statistical differences between
the phenotypes of the clusters identified in the HCPC were assessed by Kruskal-Wallis tests or
analyses of variance (ANOVAs), depending on the distribution of the data. *Post hoc* Dunn's tests
using the Bonferroni correction were performed with the R package dunn.test (Dinno, 2017) to verify
pairwise differences between clusters.

2.4   Genotyping

Genomic DNA was extracted from young leaves using the GenElute Plant Genomic DNA Miniprep
Kit (Sigma-Aldrich, St. Louis, USA). The integrity of the DNA was verified by electrophoresis on a
1% agarose gel stained with ethidium bromide, and its concentration was determined using a Qubit
3.0 fluorometer (Thermo Scientific, Wilmington, USA). The construction of the GBS library was
based on a protocol by Poland et al. (2012) and used a combination of PstI and MseI restriction
enzymes. For operational reasons, only 94 genotypes of the panel were included in the library, which
did not include genotypes 87, 88 and 95 (see Supplementary Table 1). After construction, the library
was subjected to a purification step using polyethylene glycol as described by Lundin et al. (2010)
with slight modifications. It was then validated with a Fragment Analyzer (Agilent Technologies,
Santa Clara, USA), and DNA was quantified by RT-qPCR in a Bio-Rad CFX384 Touch detection
system using the KAPPA KK4824 kit (Kapa Biosystems, Wilmington, USA). Two 150-bp single-
end sequencing libraries were prepared using the NextSeq 500/550 High Output Kit (Illumina, San
Diego, USA) and sequenced on a NextSeq 500 (Illumina, San Diego, USA).

Sequencing quality was evaluated with FastQC (Andrews, 2010) and visualized with MultiQC
(Ewels et al., 2016). Stacks software version 1.42 (Catchen et al., 2013) was used for demultiplexing
and checking the amount of data generated for each sample. The TASSEL4-POLY pipeline (Pereira
et al., 2018), developed from TASSEL-GBS (Glaubitz et al., 2014), was used for variant calling.
Most parameters were set at their standard values; exceptions were the use of the "inclGaps"
argument in the "DiscoverySNPCaller" plugin, the "misMat" argument with a value of 0.3 and the
"callHets" argument in the "MergeDuplicateSNPs" plugin. Rather than aligning raw reads to a
reference genome, the TASSEL-GBS pipeline first generates "tags" – unique sequences representing
redundant reads – to reduce computation time (Glaubitz et al., 2014). We tested the mapping of tags
against nine genomic references using two aligners: BWA version 0.7.2 (Li and Durbin, 2009) and
Bowtie2 version 2.2.5 (Langmead and Salzberg, 2012). The genomic references used were as
follows: the *Sorghum bicolor* genome (Paterson et al., 2009), the methyl-filtered genome of the
sugarcane cultivar SP70-1143 (Grativol et al., 2014), a sugarcane RNA-Seq assembly (Cardoso-Silva
et al., 2014), a de novo assembly generated from GBS data following the GBS-SNP-CROP pipeline
(Melo et al., 2016), a draft genome of the sugarcane cultivar SP80-3280 (Riaño-Pachón and
Mattiello, 2017), a sugarcane transcriptome generated by Iso-Seq (Hoang et al., 2017), the mosaic
monoploid genome of the sugarcane cultivar R570 (Garsmeur et al., 2018), the *S. spontaneum*

genome (Zhang et al., 2018) and a monoploid chromosomic set obtained from this same reference
that included the "A" alleles and unassembled scaffolds. After variant calling, VCFtools version
0.1.13 (Danecek et al., 2011) was used to retain biallelic markers with a minimum allelic frequency
(MAF) of 0.1, no missing data and a minimum sequencing depth of 50 reads. The most appropriate
reference was chosen, and adopting the method proposed by Yang et al. (2019b), the ratio between
alleles (APs) of each variant was transformed into genotypes with a fixed ploidy of 12; this
conversion was performed using the vcfR R package (Knaus and Grünwald, 2017).

2.5   Association Analyses

Association analyses were performed using mixed linear model approaches in the GWASpoly R
package (Rosyara et al., 2016). Three methods were used to evaluate population structure and build
Q matrices, which were included in the models as a fixed effect: (I) a discriminant analysis on
principal components (DAPC), performed in the adegenet R package (Jombart, 2008). For this
analysis, the maximum number of groups was defined as k = 10. (II) A principal component analysis
(PCA) in which the optimal number of clusters was evaluated using the elbow and silhouette
methods in the factoextra package, followed by clustering with the k-means method. Three principal
components (PCs) from this analysis were used for the construction of the matrix. (III) A Bayesian
clustering of individuals into predetermined numbers of clusters (K) assuming an admixture model
with correlated allelic frequencies between populations. Ten independent runs were implemented for
K = 1-10. Estimates of probabilities of values of K in each run were taken following 100,000
generations as burn-in and 100,000 generations sampled in a Monte Carlo Markov chain. The most
likely number of genetic clusters was determined by the *ad hoc* statistics ΔK (Evanno et al., 2005)
and the LnP(D) probability logarithm (Pritchard et al., 2000); the output was interpreted in
STRUCTURE HARVESTER software version 0.6.94 (Earl and vonHoldt, 2012). Clumpak software
(Kopelman et al., 2015) was used to average the admixture proportions of runs and to estimate cluster
membership coefficients for individuals.

Similarly, three different genetic kinship matrices (K) of the panel were computed and included as
random effects: (I) a realized relationship model (MM^T) matrix (VanRaden, 2008) built on
GWASpoly; (II) a complete autopolyploid matrix based on Slater et al. (2016) and built with the
AGHmatrix R package (Amadeu et al., 2016); and (III) a pseudodiploid matrix based on Slater et al.
(2016) and also built with AGHmatrix. We tested twelve Q + K combinations, and for each of them,
six marker-effect models were used: general, additive, simplex dominant reference, simplex
dominant alternative, diploidized general and diploidized additive. For each model, a "quantile-
quantile" (Q-Q) plot of the -log$_{10}$(p) values of markers was generated, from which the inflation factor
λ (Devlin and Roeder, 1999) was calculated. The average λ of all traits and models employing each Q
+ K combination was calculated and used to select the best set of matrices. Once this combination
was chosen, Manhattan plots were generated for all models and traits. The Bonferroni and false
discovery rate (FDR) correction methods with α = 0.05 were used to establish the significance
threshold for associations.

2.6   Marker Annotation

The sequences located in the 2000-bp window adjacent to markers significantly associated with
phenotypes were aligned against the coding sequences (CDS) of the genomes of 14 Poaceae species
(*Brachypodium distachyon*, *Brachypodium hybridum*, *Brachypodium silvaticum*, *Hordeum vulgare*,
Oryza sativa, *Oropetium thomaeum*, *Panicum hallii*, *Panicum virgatum*, *S. bicolor*, *Setaria italica*,
Setaria viridis, *Triticum aestivum*, *Thinopyrum intermedium* and *Zea mays*) and *Arabidopsis*
thaliana, which are available in the Phytozome database

(https://phytozome.jgi.doe.gov/pz/portal.html). For this, BLASTn (Altschul et al., 1990) was used
with an E-value of 1e-30. The best alignment of each sequence was kept for analysis.

3   Results

3.1   Phenotypic Data Analyses

A total of 97 sugarcane accessions inoculated with SCYLV were evaluated for the severity of SCYL
symptoms and for viral titer by relative and absolute RT-qPCR quantification of samples from two
years. We observed a skew in the distribution of symptom severity data towards the absence of
symptoms, and despite normalization procedures, these data did not follow a normal distribution, as
indicated by a Mantel test ($p = 2.2e\text{-}16$). This was not the case for the SCYLV quantification data;
both the relative ($p = 0.999$) and absolute ($p = 0.930$) quantification data were successfully
normalized. Plots of the distribution of the BLUPs calculated for the three traits analyzed and of the
correlations between them are depicted in Figure 1. Pearson's tests indicated that symptom severity
was not significantly correlated with the viral titer determined by the relative ($p = 0.117$) or absolute
($p = 0.296$) quantification. We found, however, a significant ($p < 2.2e\text{-}16$) and strong ($R^2 = 0.772$)
correlation between the values obtained by the two quantification methods, indicating their
reliability.

An HCPC performed with BLUP values indicated the division of the panel into three phenotypic
clusters (Supplementary Figure 2); a factor map wherein these groups are plotted onto the first two
dimensions of the HCPC is shown in Figure 2, and the attribution of genotypes to each cluster is
available in Supplementary Table 2. Boxplots of the distribution of data among the three clusters
(Supplementary Figure 3) clearly show that this division resulted in a separation of groups with
contrasting phenotypes. Cluster 1 (red) was composed of 16 accessions that showed the least severe
SCYL symptoms and presented low titers of SCYLV, as indicated by the two quantification methods.
Cluster 2 (green) was formed by 74 accessions that also displayed mild disease symptoms but
presented high viral titers. Finally, cluster 3 (blue) included 7 accessions with the most severe disease
symptoms and high virus titers. These differences were statistically significant; a Kruskal-Wallis test
indicated the existence of significant ($p = 4.078e\text{-}08$) differences in symptom severity between all
clusters, and ANOVAs showed that the same significance was present for the values of relative and
absolute viral quantifications ($p < 2e\text{-}16$). Dunn tests showed that the severity of SCYL in each
cluster was significantly different from those of the others and that cluster 1 showed significantly
lower SCYLV titers as estimated by both quantification methods than the other two clusters
(Supplementary Table 3).

3.2   GBS and Variant Calling

The sequencing of the GBS library including 94 sugarcane genotypes generated 119,117,758 raw
reads, 87.88% of which had a Q-value above 30. Genotypes 31 and 77 presented very low amounts
of generated data (0.19 and 1.5 KB, respectively), in contrast with the library average (598 KB), and
were therefore excluded from subsequent analyses to avoid biasing. The results from tag mapping
against each genomic reference using BWA and Bowtie2 aligners can be found in Supplementary
Table 4. To avoid sampling of duplicated regions – which are abundant in the sugarcane genome and
could bias the calculation of APs – we did not include tags with multiple alignments in the ensuing
analyses. BWA presented slightly superior results in terms of uniquely mapped tags and was
therefore chosen as the aligning tool. Regarding the nine genomic references tested for variant
calling, the methyl-filtered genome of the cultivar SP70-1143 resulted in the largest number of
isolated markers among all references, yielding 60,173 SNPs and 43,054 indels after rigorous

filtering (Supplementary Table 5). However, knowing that a high-quality genome assembly can
greatly improve the results of GWASs (Benevenuto et al., 2019), we opted to perform ensuing
analyses with markers obtained from a reference that not only yielded a large number of markers but
also provided reliable position information. Therefore, the monoploid chromosome set isolated from
the *S. spontaneum* genome, which allowed the identification of 38,710 SNPs and 32,178 indels, was
chosen as a reference.

3.3   Panel Genetic Structure

The genetic structure of the panel was investigated using three different approaches. Supplementary
Table 6 summarizes the allocation of genotypes to the clusters identified in each analysis. First, a
DAPC was performed with retention of 60 PCs that together explained ~90% of the observed
variance (Supplementary Figure 4A); the Bayesian information criterion (BIC) curve indicated $k = 3$
as the most likely number of clusters in the panel (Supplementary Figure 4B). Eight genotypes were
grouped into one cluster (D1), 79 genotypes were grouped into a second cluster (D2), and the
remaining five genotypes were allocated to a third cluster (D3). The plotting of the panel elements
onto the first two linear discriminants (LDs) from this analysis is shown in Figure 3A. The second
method, a PCA followed by k-means clustering analysis, was executed assuming the existence of two
clusters, as indicated by elbow and silhouette methods (Supplementary Figure 5A and B,
respectively). Despite indicating the subdivision of the panel into two clusters, the attribution of
genotypes to these groups shared similarities with the DAPC clustering. Thirteen genotypes were
attributed to one cluster (K1), which corresponded to D2, and the 79 remaining genotypes were
grouped into another cluster (K2), which was equivalent to D1 and D3 combined. Figure 3B depicts a
projection of these groups onto the first two PCs, which accounted for ~33% of the variation in the
genotypic data. Based on the third analysis, a Bayesian clustering implemented on STRUCTURE
software, both ΔK and LnP(D) methods clearly indicated $K = 2$ as the most likely number of clusters
in the panel (Supplementary Figure 6A and B, respectively). Therefore, we present here a plot of the
estimated association coefficients of individuals for these two clusters (Figure 3C). Eight genotypes
presented over 50% identification with cluster S2, and the remaining genotypes showed over 50%
identification with cluster S1. Clustering by this method was consistent with clustering by the other
two methods, as S1 corresponded to the union of D2 and D3, and S2 correspondend to D1.
Curiously, the results of the three genotype-based clustering methods showed little correspondence
with the phenotype-based HCPC. Although most accessions in HCPC clusters 1 and 3 were
attributed to the same genotypic cluster in the three population structure analyses, accessions in
cluster 2 were randomly distributed among genotypic groups identified by each genetic analysis.

3.4   Genome-Wide Association Analyses

Twelve combinations of population structure (Q) and kinship (K) matrices were tested as effects in
the association models of the three traits analyzed. A boxplot of the distribution of the inflation
factors (λ) of each of the Q + K models can be seen in Figure 4. A Mantel test indicated that the data
did not follow a normal distribution (p = 3.253e-06), and a Kruskal-Wallis test indicated that there
were no significant differences (p = 0.869) between the inflation of p-values of the models. Thus, we
chose to conduct the following analyses with a Q + K combination that resulted in the median value
of λ closest to its theoretical value under the null hypothesis ($\lambda = 1$); this was obtained with the
combination of the first three PCs from a PCA (Q_{PCA}) with both the realized relationship (K_{MM}) and
pseudodiploid (K_{Pseudo}) kinship matrices. Taking into account that the MM^T matrix can be computed
by the GWASpoly package, we considered the use of the $Q_{PCA} + K_{MM}$ combination the most
straightforward approach. Q-Q plots of the association analyses for SCYL symptom severity and

SCYLV relative and absolute quantifications can be found in Supplementary Figures 7-9,
respectively. In general, all models showed an appropriate profile of inflation of p-values.

A stringent significance threshold (p < 0.05 corrected by the Bonferroni method) was used to identify
35 nonredundant markers (19 SNPs and 16 indels) as significantly associated with SCYL symptom
severity (Supplementary Figure 5). Using this correction, no markers were significantly associated
with SCYLV titer. In an attempt to establish a less conservative threshold for association analyses of
these two traits, we employed the FDR for the correction of p-values, which resulted in very low
significance thresholds and the identification of thousands of associations as significant (data not
shown). Therefore, we ultimately opted to use an arbitrary threshold of $p < 0.0001$ ($-\log_{10}(p) > 4$) to
determine markers strongly associated with the two quantification traits. This threshold resulted in 13
markers (4 SNPs and 9 indels) associated with SCYLV titers determined by relative quantification
(Supplementary Figure 10) and 9 markers (6 SNPs and 3 indels) associated with SCYLV titers
determined by absolute quantification (Supplementary Figure 11). One of these markers
(S3_5029059) was common to both viral quantification methods; this could be an indication of the
consistency of the association or simply reflect the correlation between the two measurements.
Supplementary Table 7 supplies information on all marker-trait associations. For each trait analyzed,
we observed a redundancy between markers identified as significant by the six different marker-
effect models employed; this observation was particularly common between the simplex dominant
alternative and the two diploidized models. No markers were identified as significantly associated
with phenotypes using the simplex dominant reference model.

3.5   Marker Annotation

Out of the 57 nonredundant markers associated with the three phenotypes, 17 were located in genic
regions and could be annotated by aligning their 2000-bp neighboring regions with the CDSs of 14
Poaceae species and *A. thaliana* genomes. Supplementary Table 8 contains data on the alignment
with the highest percentage of identity for each marker. In some cases where two or more markers
were closely located, coincident alignments and annotations were obtained; consequently, seven
genes are representative of all the best alignments. All hits occurred with the CDSs from the genome
of *S. bicolor*, the phylogenetically closest species among those used for alignment.

Five annotated genes contained markers associated with SCYL symptom severity. The first
(SbRio.01G540100) encodes an expressed transcription factor containing a GATA zinc finger
domain. The second (Sobic.001G417400) encodes a putative expressed protein containing an RNA
recognition motif. The third (SbRio.03G158900) encodes OsFBX103, an expressed protein
containing an F-box domain. The fourth (SbRio.03G120000) encodes GRP6, a putative expressed
glycine- and cysteine-rich protein precursor. The last gene (Sobic.001G200200) encodes a putative
expressed protein containing a tetratricopeptide repeat. One marker associated with each SCYLV
quantification method could be annotated. The gene containing the marker associated with the
relative quantification method (Sobic.001G053100) encodes an unknown expressed protein, and the
annotated gene containing the marker associated with the absolute quantification method
(Sobic.009G121100) encodes a protein related to plant calmodulin binding.

4   Discussion

In the present study, we evaluated SCYL symptoms and SCYLV titers in a panel of 97 sugarcane
accessions. Prior to phenotyping, plants were subjected to strong SCYLV inoculum pressure, an
innovation over previous mapping studies, which relied on natural infection under field conditions
(Costet et al., 2012; Debibakas et al., 2014; Gouy et al., 2015; Islam et al., 2018; Yang et al., 2019a).

Using RT-qPCR, currently regarded as the most precise method for SCYLV quantification (Zhu et
al., 2010), we assessed the viral titer in these genotypes; together with the study by Villavicencio
(2019), this is also the first time RT-qPCR was used for this purpose in a large number of sugarcane
genotypes. We found a strong and positive correlation between the BLUPs calculated for the SCYLV
titers obtained by the two quantification methods employed, which shows the consistency of the data.
The absence of a perfect correlation might have arisen from intrinsic differences between the two
methods, which have been responsible for disparities in viral quantification in other plant-virus
interactions (Jarošová et al., 2009).

However, we observed no significant correlation between the BLUPs calculated for the severity of
disease symptoms and viral titers. This finding corroborates a growing body of evidence suggesting
that these traits are not strongly or necessarily correlated, i.e., high SCYLV titers are not a guarantee
of more severe yellowing or of its development at all (Comstock et al., 1998; Lehrer and Komor,
2008; Chinnaraja et al., 2014). Although Zhu et al. (2010) claim to have observed a correlation
between these two parameters, this was not statistically shown. Our results, on the other hand,
demonstrate that no quantitative correlation between the severity of SCYL symptoms and SCYLV
titers exists across the sugarcane genotypes analyzed.

Further exploring the BLUPs, we performed an HCPC analysis to discriminate accessions based on
their response to SCYLV infection, which led to the separation of three clusters with considerable
phenotypic differences. In 1983, Cooper and Jones proposed a new terminology addressing plant
responses to viral infections that is still employed by several authors in this area (Beoni et al., 2016;
Foresman et al., 2016; Mansilla-Córdova et al., 2018). According to their proposal, once infected,
plants present differences in the ability to restrict viral replication and invasion; at the extremes of a
spectrum of behaviors are plants termed susceptible (in which the virus rapidly replicates and
disperses) and resistant (in which viral replication and dispersal is slow). In addition, plants may also
respond differently to the infection: another spectrum exists, at the extremes of which are sensitive
plants (which exhibit severe symptoms) and tolerant ones (which exhibit little or no symptoms). In
view of this nomenclature, we propose that the phenotypic clusters identified in the HCPC be
described by the following three designations: (1) resistant, for sugarcane genotypes distinguished by
a low SCYLV titer and mild SCYL symptoms; (2) tolerant, for genotypes that, despite exhibiting
higher viral titers, presented fewer or no disease symptoms; and (3) susceptible, for genotypes with
the most severe symptoms and presenting a high viral titer. This classification alone is of great use in
sugarcane breeding programs, as it distinguishes promising clones that are sources of both SCYLV
resistance and tolerance.

The main objective of this work was, however, to identify markers associated with SCYLV
resistance using codominant markers and mixed modeling. This is the first study employing a two-
enzyme GBS protocol in sugarcane, and we evaluated the impact of using genomic references from
various backgrounds in variant calling. The reference that yielded the largest number of markers was
the methyl-filtered genome of the SP70-1143 cultivar, in agreement with the results of a previous
study employing GBS (Balsalobre et al., 2017). This is a plausible outcome, as the protocol used for
the GBS library preparation reduced genome complexity by avoiding sampling of methylated regions
(Elshire et al., 2011), which were also filtered out for assembly of the SP70-1143 genome (Grativol
et al., 2014). However, to choose the best reference for further analyses, we also considered the
quality of the assembly, which has been shown to greatly affect the results of GWASs in polyploids
(Benevenuto et al., 2019). The best-assembled sugarcane genome available to date is the allele-
defined genome of a haploid *S. spontaneum* accession (Zhang et al., 2018). Despite presenting one of
the highest total tag alignment rates, this reference also gave a very high rate of multiple alignments,

which led to the identification of a relatively low number of markers. This was probably due to the
alignment of tags to homeologous regions of different alleles rather than simply to the duplicated
regions that we intended to avoid. To circumvent this situation, we opted to conduct our analyses
with markers isolated using a monoploid chromosome set isolated from this genome, which provided
a large number of markers (38,710 SNPs and 32,178 indels) with reliable position information.

Using these markers, we tested several approaches to account for population structuring and kinship
in the panel; our results indicated that these variations did not imply significant differences in the
inflation of the models used for association analyses. In many of the models employed, the inflation
patterns observed in the Q-Q plots generated were similar to those of previous studies applying
similar genotyping and association mapping methodologies (Yang et al., 2019a, 2019b). Thus, we
opted to perform ensuing association analysis using the $Q_{PCA} + K_{MM}$ model, which presented an
adequate inflation observed in Q-Q plots, apart from resulting in the median value of λ closest to 1 –
its theoretical value under the null hypothesis. The K_{MM} is the usual choice of relationship matrix in
polyploid association mapping (Rosyara et al., 2016; Berdugo-Cely et al., 2017; Byrne et al., 2020),
as Q matrices obtained from PCA are commonly used to control population structure in GWASs
(Nimmakayala et al., 2016; Su et al., 2016; Ferrão et al., 2018).

Although we successfully identified markers associated with SCYL symptom severity using the
Bonferroni correction, the same was not observed for SCYLV quantification data obtained by RT-
qPCR. This was probably influenced by the limited size of the panel, a factor that restricts the power
of GWASs (Wang et al., 2012; Yang et al., 2014). As pointed out by Racedo et al. (2016),
assembling and phenotyping large sugarcane association panels is a challenging task, and this was
especially burdensome in our study, which employed laborious inoculation and quantification
techniques. Furthermore, the Bonferroni method is notorious for its conservative nature, which
contributes to a low capacity for controlling false negatives (Gao et al., 2010; Johnson et al., 2010;
Fadista et al., 2016). This led us to establish an arbitrary threshold (p < 0.0001) to select markers
strongly associated with SCYLV titer for further investigation. Using this methodology, we identified
57 nonredundant markers associated with the three phenotypes.

Seventeen out of the associated markers were annotated to seven genes. Some of these loci do not
allow extensive discussion of their involvement in SCYLV resistance; this is the case for genes
known to simply encode expressed proteins with unknown function (Sobic.001G053100) or proteins
containing an RNA recognition site (Sobic.001G417400). Remarkably, two annotated genes with
markers associated with SCYL symptom severity encode proteins with motifs that, despite not being
commonly linked to defense against viruses, were also found to be associated with SCYLV
resistance in a previous GWAS (Yang et al., 2019a). These are Sobic.001G023900, which encodes a
GATA zinc finger protein, and Sobic.001G200200, which encodes a protein containing a
tetratricopeptide repeat.

Other annotated genes presented characteristics more relatable to virus resistance in plants. This is
the case for SbRio.03G120000, which is associated with SCYL severity and encodes a precursor of a
glycine- and cysteine-rich protein. Glycine-rich proteins have been widely shown to participate in
plant stress responses, but little is known about the mechanisms and processes in which they are
involved (Czolpinska and Rurek, 2018). They are downregulated under tobacco mosaic virus
infection (Lee et al., 2012) and affect the movement of a tobamovirus by enhancing vascular callose
deposition (Ueki and Citovsky, 2002), for instance. A cysteine-rich protein, on the other hand, was
shown to potentiate the cell-to-cell and systemic movement of a potyvirus by interacting with a viral

protein (Dunoyer et al., 2004). These reports indicate that this protein might be involved in SCYLV
resistance and in the expression of SCYL symptoms.

Another annotation related to symptom severity that drew attention is SbRio.03G158900, which
encodes a protein with an F-box domain. These are motifs with ca. 50 amino acids that occur in large
numbers in plant genomes (Correa et al., 2013). F-box proteins are involved in viral resistance in
several plant species (Cao et al., 2008; Thiel et al., 2012). A particularly interesting case is FBW2
from *A. thaliana*, which regulates AGO1, an Argonaute protein with a central role in RNA silencing
(Earley et al., 2010), a process directly involved in virus resistance (Morel et al., 2002; Chen et al.,
2015). Even more intriguing is the fact that one of the proteins encoded in the SCYLV genome, P0,
contains an F-box-like domain and mediates the destabilization of AGO1 and leads to the
suppression of host posttranscriptional gene silencing (Mangwende et al., 2009). Whether the F-box
protein identified here plays an active role in the mechanisms involved in virus replication in
sugarcane and in the manifestation of symptoms remains a question to be investigated by further
studies.

Finally, Sobic.009G121100, which harbors a marker strongly associated with the SCYLV titer
determined by absolute quantification, encodes a plant protein related to calmodulin binding.
Calmodulins are notorious calcium transducers that regulate the activity of various proteins with
diverse functions (Bouché et al., 2005). Calmodulins and calmodulin-like and calmodulin-binding
proteins have been widely implicated in viral resistance in plants, often playing roles in RNA
interference mechanisms (Heo et al., 1999; Nakahara et al., 2012; Li et al., 2014). Therefore, we also
consider this a promising candidate gene in the regulation of SCYLV replication and spreading *in*
planta.

In conclusion, this genome-wide association analysis performed with GWASpoly using multiple
marker-effect models and population structure information derived from a PCA allowed us to detect
markers significantly associated with SCYLV resistance in sugarcane. If validated, these markers
represent an especially valuable resource for sugarcane breeding programs, which can employ the
results in marker-assisted strategies for the early selection of clones. Additionally, the annotation of
genes wherein these markers are located provides insights into the unexplored mechanisms possibly
involved in this crop's response to infection by SCYLV.

Conflict of Interest

514 The authors declare that the research was conducted in the absence of any commercial or financial
515 relationships that could be construed as a potential conflict of interest.

Author Contributions

517 MCG, LRP, MGAL and APS conceived and designed the experiments. RJGP, RCBV, CCS and IAA
518 conducted the experiments and collected data. RJGP and AHA analyzed the data and interpreted the
519 results. RJGP wrote the manuscript. All authors read and approved the manuscript.

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Abbreviations

ANOVA: analysis of variance

AP: allele proportion

BLUP: best linear unbiased predictor

CDS: coding sequence

DAPC: discriminant analysis of principal components

FDR: false discovery rate

GBS: genotyping-by-sequencing

GWAS: genome-wide association study

HCPC: hierarchical clustering on principal components

LD: linear discriminant

PC: principal component

PCA: principal component analysis

QTL: quantitative trait locus

RT-qPCR: reverse transcription quantitative polymerase chain reaction

SCYL: sugarcane yellow leaf

SCYLV: sugarcane yellow leaf virus

SNP: single nucleotide polymorphism

TVDL: top visible dewlap leaf

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Figure Legends

Figure 1. Distributions of BLUP values and correlations between the three traits analyzed. The
correlation between the SCYLV quantities determined by the two methods was strong and significant
(*), in contrast to the correlations of these two traits with SCYL symptom severity.

Figure 2. Factorial map generated in the hierarchical clustering on principal components
(HCPC) analysis. A division into three clusters was considered.

Figure 3. Graphical outputs of the three clustering analyses employed to evaluate the genetic
structures for 92 genotypes in the panel. (A) Plotting of the panel onto the first two linear
discriminants (LDs) from a discriminant analysis of principal components (DAPC) using 70,888
single-nucleotide polymorphisms (SNPs) and indels. A division into three clusters (D1, D2 and D3)
was considered. **(B)** Projection of the panel onto the first two principal components (PCs) from a
principal component analysis (PCA) using 70,888 SNPs and indels. The genotypes were divided into
two clusters (K1 and K2) as indicated by a k-means clustering analysis. Ellipses represent a 95%
confidence interval. **(C)** Bayesian clustering performed on STRUCTURE using 7,000 SNP and indel
markers. Each genotype is represented by a vertical bar that is split in accordance with the admixture
coefficients for two clusters (S1 in blue and S2 in orange). The genotypes are ordered according to
ID number (see Supplementary Table 1).

Figure 4. Genomic inflation factor (λ) of twelve association models for the three SCYLV
resistance traits analyzed, including different population structure (Q) and kinship (K)
matrices as covariates. Abbreviations: Auto, complete autopolyploid model; DAPC, discriminant
analysis of principal components; MM, MM^T realized relationship model; PCA, principal
components analysis; Pseudo, pseudodiploid model; Str, STRUCTURE Bayesian clustering.

Figures

Figure 1

Figure 2

Figure 3

944 **Figure 4**