1	Performances of targeted RNA-sequencing for the analysis of fusion transcripts,
2	gene mutation and expression in haematological malignancies.
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39 Abstract

40 RNA sequencing holds great promise to improve the diagnostic of haematological malignancies, because this technique enables to detect fusion transcripts, to look for 41 42 somatic mutations in oncogenes, and to capture transcriptomic signatures nosological 43 entities. However, the analytical performances of targeted RNA sequencing have not 44 been extensively described in diagnostic samples. Using a targeted panel of 1385 cancer-45 related genes in a series of 100 diagnosis samples and 8 controls, we detected all the 46 already known fusion transcripts, and also discovered unknown and/or unsuspected 47 fusion transcripts in 12 samples. Regarding the analysis of transcriptomic profiles, we 48 show that targeted RNA sequencing is performant to discriminate acute lymphoblastic 49 leukemia entities driven by different oncogenic translocations. Additionally, we show 50 that 86% of the mutations identified at the DNA level are also detectable at the mRNA 51 level, except for nonsense mutations which are subjected to mRNA decay. We conclude 52 that targeted RNA sequencing might improve the diagnosis of haematological malignancies. Standardization of the preanalytical steps and further refinements of the 53 54 panel design and of the bioinformatical pipelines will be an important step towards its use in standard diagnostic procedures. 55

57 Introduction

58 In haematological malignancies as in cancer in general, the goal of the diagnosis 59 procedures is not only to accurately classify the patient's disease according to the 60 consensual WHO guidelines¹, but also to identify biomarkers of prognostic or predictive 61 values. A part of this information can be captured by morphology and 62 immunophenotyping, but it relies more and more on the analysis of the genomic alterations of the neoplastic cells². Nowadays, conventional cytogenetics and targeted 63 64 sequencing of relevant genes are still the standard procedures. However, technological outbreaks such as whole genome sequencing, ATAC-sequencing or RNA sequencing 65 66 (RNA-seq) might refine the diagnosis by unravelling genomic alterations outside coding regions³, epigenetic signatures^{4,5} and gene expression profiles respectively⁶. 67

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69 In this study, we have chosen to assess the diagnostic value of RNA-seq, because this 70 technique allows to explore three levels of genetic information: gene sequence, gene fusions, and gene expression. Interestingly, each of these different levels of analysis 71 brings independent information about the neoplastic cell, and accordingly their 72 73 integration should refine the precision of the diagnosis. For example, acute myeloid leukaemia (AML) patients prognosis is evaluated by cytogenetics (copy number 74 75 abnormalities and structural variants), further refined by the analysis of the mutational 76 status of a few genes, and could maybe be improved by transcriptomic signatures such 77 as the LSC17 which is a proxy of the number of leukemic stem cells⁷.

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Different techniques of library preparation for RNA-seq have been described, enabling
the analysis of all the RNA molecules of a sample, or using enrichment step to target
genes of interest such as messenger RNA, or small RNA species. Of note, the choice of the

82 library preparation should optimize the balance between the number of targets of 83 interest and the required depth of sequencing, in order to remain economically affordable in a routine setting. To date, most of the genes involved in cancer have been 84 85 already identified by large programs of whole exome sequencing⁸. Based on these 86 considerations, we have decided to evaluate the performances of a targeted RNA-seq 87 panel of 1385 genes involved in cancer biology. We present here the analytical performances of targeted RNA-seq to detect fusion transcripts, to identify 88 89 transcriptional profiles associated with clinically relevant entities, and to detect the 90 recurrent mutations with clinical significance in haematological malignancies.

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94 Material and methods

95 Samples

96 One hundred diagnosis samples from patients with the following haematological 97 malignancies were included: acute leukaemia (acute myeloid leukaemia (AML), n=51 98 including 7 acute promyelocytic leukaemias (APL), B-cell acute lymphoblastic leukaemia 99 (B-ALL, n=27), mixed phenotype acute leukaemia (n=1), T-cell acute lymphoblastic 100 leukaemia (T-ALL, n=1)), mveloproliferative neoplasms (chronic mveloid leukaemia 101 (CML, n=12) and other myeloproliferative neoplasms (n=2)), hypereosinophilic 102 syndromes (HES, n=3)), chronic myelomonocytic leukemia (CMML, n=2) and 103 myelodysplastic syndrome with multilineage dysplasia (n=1). These samples were 104 chosen to enrich the cohort in fusion transcript due to chromosomal translocations, 105 based on the results of conventional cytogenetics, in order to test the performances of 106 targeted RNA-seq to detect fusion transcripts. Moreover, we used four controls (C1 to 107 C4) prepared by pooling blood samples from 5 healthy donors for each, and four bone 108 marrow samples from healthy donors. The characteristics of the samples are provided in 109 supplemental Table 1. The procedures followed were in accordance with the Helsinki 110 declaration, as revised in 2008.

111 Cytogenetic R and G-banding analyses were performed according to standard methods.

- 112 The definition of a cytogenetic clone and description of karyotypes followed the current
- 114 For a subset of samples (n=45), the analysis of a panel of 105 genes was already

performed for routine diagnostic procedures, as already described⁹.

International System for Human Cytogenetic Nomenclature.

116 **RNA extraction**

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Three different protocols of RNA extraction were used (supplemental Table 1). For ALLsamples and 3 bone marrow samples from healthy donors, RNA was extracted with

Trizol reagent (TRIZ: Invitrogen, Carlsbad, CA, USA). For AML samples RNA was extracted with NucleoSpin RNA kit (MN: Macherey Nagel, Düren, Germany). For CML, HES, CMML and MDS samples, RNA was extracted with MN or the Maxwell 16LEV simplyRNA Blood Kit (Max: Promega, Madison, WI, USA). For control samples C1 to C4, RNA was extracted after Ficoll enrichment with either Trizol or MN methods, in order to assess the effect of extraction protocol on transcriptomic analysis performances.

125 **RNA sequencing**

Library preparation for NGS sequencing was performed using TruSight RNA Pan-Cancer
Panel (Illumina, SanDiego, CA, USA) targeting 1385 genes involved in cancer biology.
Libraries from 16 samples were multiplexed and sequenced on a Nextseq 500 device
with a 2x81 paired-end run on a mid-output flowcell according to the manufacturer's
instructions (mean number of reads by sample: 32.10⁶; range 20 to 59.10⁶).

131 **Bioinformatical analysis**

132 After demultiplexing, adapter sequences were trimmed with Cutadapt and reads were mapped to the human genome (GRCh37). The detection of gene fusions was performed 133 134 first with the commonly used STAR-Fusion pipeline (parameters: --FusionInspector 135 validate) and STAR-2pass¹⁰, and all the negative samples were reanalysed with the 136 recently launched nf-Core¹¹ and Arriba (https://github.com/suhrig/arriba/) pipelines. 137 Putative fusions were validated by reverse transcription and polymerase chain reaction 138 (primers sequences are provided in supplemental Table 2). Gene expression analysis 139 (after trimmed mean of M values (TMM) normalization), principal Component Analysis 140 (PCA), k-means clustering, two tailed t-test and Heat Map generation followed by 141 hierarchical clustering were performed using Omics Explorer software (Qlucore AB, Lund, Sweden). For gene mutation analysis on RNAseq data, we looked at all the 142 143 mutations found at the DNA level by combining the same homemade workflow as for DNA, and visual inspection of the BAM files in case of unfound mutation. In brief we first
gather the variant alleles called with Freebayes and Varscan2^{12,13}. Among this raw set,
we kept alleles whose read frequency was either above 20%, or for those below, if their
frequency was more than 5 fold the median of the frequencies of all the samples from
the same run. A second filtering step was applied to get rid of variants whose occurrence
was above 1% in GnomAD mixed populations¹⁴.

151

153 **Results**

154 Identification of fusion transcripts

155 Fusion transcript positivity threshold was determined by the detection of at least one 156 junction read and one spanning read between two different genes. All putative new fusion transcripts have been validated by PCR. All of the 57 rearrangements identified 157 158 by cytogenetics or molecular biology were identified by targeted RNA-seq (Figure 1). 159 Notably, RNA-seq detected all the *BCR-ABL1* canonical and rare transcripts (e13a2) 160 (n=2); e14a2 (n=5); e1a2 (n=4); e1a3 (n=2); e6a2 (n=1); e13a3 (n=3); and e19a2(n=2)), 161 as well as all the *PML-RARA* transcripts (BCR1 (n=2) BCR2 (n=2); BCR3(n=3)) and *MLL* 162 *(KMT2A)* fusions (n=19). Of note, two samples with *FIP1L1-PDGFRA* fusion transcripts 163 and one with KMT2A duplication were missed when analysed with the STAR-fusion 164 pipeline, but recovered with nf-core and Arriba bioinformatics pipelines.

165 Eighteen samples had a chromosomal translocation without detected fusion transcript 166 based on routine molecular biology. In 5 patients, targeted RNA-seq identified a fusion 167 transcript already described in the literature (KAT6-CREBP, NPM1-MLF1, PCM1-JAK2, 168 *DEK-NUP214, ZMYND11-MBTD1*¹⁵) (Figure 1). In two additional patients, a new fusion 169 transcript was identified and confirmed by RT-PCR and Sanger sequencing (FUS-FEV; 170 *EEA1-PDGFRB*). These fusion transcript were in frame, probably leading to the 171 expression of an abnormal fusion protein (Figure 2A and B). No evidence of fusion 172 transcript was found in the 11 remaining samples.

Finally, we detected a fusion transcript in five samples without detectable translocation
on conventional cytogenetics: *SET-NUP214, EP300-ZNF384, KMT2A-MLLT4, KMT2A- MLLT10, VWC2-IKZF1* (Figure 1). The *VWC2-IKZF1* fusion transcript (Figure 2C), never
described so far, was detected in an acute lymphoblastic leukemia with a t(9;22) leading
to the expression of the *BCR-ABL1*-transcript (patient 10, supplemental data Table 1).

178 We hypothesize that this fusion might represent a new mechanism of *IKZF1* gene179 inactivation recurrently identified in Phi+-ALL^{16,17}.

As it was previously described in non-cancer tissues and cells¹⁸, several fusions with open reading frame were also detected in control and patients' samples. Some of them such as *TFG-GPR128*, *POLE-FUS* or *OAZ1-DOT1* were expressed at high level and have been also validated by RT-PCR and sequencing.

Finally, in order to assess the sensitivity threshold of RNA-seq to detect fusion transcripts, we analysed serial dilutions of two patients with *PML-RARA* and *BCR-ABL* fusion transcripts respectively. The detection threshold was below 6% for both fusion transcripts.

188 **Transcriptome analysis**

189 The analysis of transcriptome in the routine diagnosis procedure is technically 190 challenging, because of interferences linked to the source of the samples analysed (e.g. 191 bone marrow vs peripheral blood), the preparation of the samples (isolation of the 192 mononucleated cells with Ficoll or not), the RNA extraction method, and the batch effect 193 of library preparation and sequencing. Instead were developed signatures based on a limited number of transcripts analysed with technical platforms such as RT-MLPA¹⁹ or 194 195 Nanostring technology^{7,20}. Here we assessed the feasibility of transcriptome analysis 196 based on RNA-seq of a panel of 1385 genes.

First, we evaluated the magnitude of systematic biases in transcriptomic analysis introduced by the protocol of RNA extraction and the sequencing process. The same blood samples from healthy donors were extracted after Ficoll enrichment either with Trizol (n=4), or with Macherey Nagel kits (n=4). A supervised analysis based on extraction method identified 20 differentially expressed genes (fold change threshold 2, false discovery rate q<0,05) (Figure 3A). On the contrary, when we compared the transcriptome of RNA extracted from blood samples from healthy donors, whose RNAseq libraries and sequencing were not prepared and run the same day, there was no gene differentially expressed according to the batch of library preparation or sequencing (fold change threshold 2, false discovery rate q<0,05, data not shown).</p>

207 Then, we analysed bone marrow samples extracted with the same method (Trizol) from 208 three groups with at least 3 patients: ALL with *KMT2A-AFF1* (n=7), ALL with *TCF3-PBX1* 209 (n=4), and normal bone marrow controls (n=3). Of note, these RNA were extracted at the time of diagnosis, over a period of 19 years, introducing a potential bias due to 210 211 differences in RNA conservation. Clustering of these samples in three categories (by the 212 k-means method) distinguishes the three groups of samples according to the diagnosis, 213 with no misclassification (Figure 3B). The analysis of the 50 most differentially 214 expressed genes between control and both type of ALL confirmed previously described 215 features such as HOXA3, HOXA9, HOXA10 and FLT3 overexpression in KMT2A-AFF1²¹ and 216 *CD19*, *WNT16* and *PBX1* up-regulation in *TCF3-PBX1* (Figure 3C)²².

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218 **Detection of gene mutations.**

Forty five patients analysed with targeted RNA-seq were also analysed at the DNA level 219 220 for a panel of 105 genes recurrently mutated in haematological malignancies⁹. Among 221 the 95 genes captured in both panels, 122 mutations were detected at the DNA level in 222 39 different genes. As shown in Figure 4, 106/122 (87%) mutations identified at the 223 DNA level were also found in the RNA seq data. Among the 16 mutations missed at the 224 mRNA level, frameshift mutations were overrepresented (missed mutations 11/16 vs 225 12/106, Fischer's exact test p<0.0001). Two other missed mutations (I1897T and G218V from *TET2* and *U2AF1*, respectively) were in low coverage areas (<30x). 226

228 Discussion

This work reports the analytical performances of RNA-sequencing of a panel of 1385
genes to improve the diagnosis of haematological malignancies, based on a series of 100
diagnosis samples and 8 controls.

232 Overall, this technique detect 100% of fusion transcripts of these samples, including 233 FIP1L1-PDGFRA fusions which often require nested PCR to be identified because of low 234 levels of expression²³. Of note, two fusion transcripts were found only by alternative 235 bioinformatics pipelines, which highlights the major impact of the bioinformatics 236 analysis on the performances of targeted RNA-seq. This might explain suboptimal 237 detection of *KMT2A* and *PDGFRA* fusions in previous studies²⁴. Interestingly, RNA-seq 238 allowed the identification of 12 fusion transcripts which were not suspected with usual 239 analysis recommended in the diagnosis of haematological malignancies²⁵. As more and 240 more case reports describe successful opportunistic use of targeted therapies in patients 241 with fusion transcripts^{26–28}, the identification of unexpected fusion transcripts might offer interesting targets in relapsed/refractory patients. In the series reported here, the 242 patient with an hypereosinophilic syndrome associated with the novel *EEA1-PDGFRB* 243 244 fusion was treated with imatinib and achieved a durable haematological remission. 245 Moreover, as translocations are most of the time drivers events which are stable during 246 disease evolution³⁰, they can be used to track minimal residual disease with high 247 sensitivity RT-qPCR, and adapt therapeutic intensity accordingly. However, it remains to 248 be determined if the prognostic impact of minimal residual disease described for CBF 249 AML³¹, CML, or APL is also true for the less recurrent fusion transcripts.

Regarding the analysis of the transcriptomic profile, we show that targeted transcriptome analysis can be used for nosological purposes if the pre-analytical workflow is the same for the samples analysed. Larger series are needed to precise the performances of targeted RNA-seq to resolve this task. Another interesting question would be to assess the performances of targeted RNA-seq to measure clinically relevant signatures such as the LSC17⁷ or the more recently described pLSC6³² signatures in AML, but it will need an optimization of the design of the panel to capture all relevant mRNAs.

258 The third clinical interest of targeted RNA-seq assessed here is the detection of acquired 259 somatic mutations. Even if most of the mutations identified at the DNA level were found 260 in RNA-seq data, the nonsense mutations were rarely detected. This is probably at least 261 in part due to the phenomenon of mRNA decay, which degrades preferentially truncated mRNA³³, and this will remain a biological limitation of RNA-seq for mutation assessment. 262 263 Finally, given the growing importance of clonal architecture analysis based on variant 264 allele frequency (VAF) deconvolution³⁴, we should keep in mind that the VAF measured 265 at the mRNA level might not be good surrogate markers of clonal architecture, because it 266 takes into account allelic expression bias.

267 Altogether, RNA-seq of a targeted panel of genes might improve the diagnosis of 268 haematological malignancies, and highlight potential therapeutic targets. Some of the 269 limitations of this technique might be resolved with the optimization of the panel design 270 and the bioinformatics pipelines for haematological malignancies. However, because 271 some limitations have a biological explanation, such as poor performances to detect non-272 sense mutations, RNA-seq should not replace the analysis of genomic DNA, but could be 273 rather a good orthogonal method for verifying genomic mutations and a powerful 274 complement to increase the molecular characterisation of haematologic malignancies at 275 diagnosis.

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278 Figure legends

Figure 1: Description of the 72 fusion transcripts detected by targeted-RNAseq in thewhole cohort.

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Figure 2: Description of the three new fusion transcripts discovered in this cohort. Schematic representation of the 3 new fusion transcripts identified by targeted RNAseq : *FUS-FEV* from t(2;16) (A), *EEA1-PDGFRB* from t(5;12) (B) and *VWC2-IKZF1* (C). For each fusion transcript is provided a schematic representation of the translocation at the genomic level, a graphical representation of the coverage depth in the targeted RNA-seq, and a schematic representation of the protein fusion.

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289 Figure 3: A: Heatmap representation of the 20 genes differentially expressed (fold 290 change >2, q value <0,05) between the same control blood samples after RNA extraction 291 with two different methods (trizol vs Macherey Nagel). B: Principal component analysis and unsupervised k-means clustering of 14 samples processed with the same 292 293 preanalytical steps (KMT2A-AFF1 ALL, white dots, n=7, TCF3-PBX1 ALL, yellow dots, 294 n=4, normal bone marrow samples, purple dots, n=3). C: Heatmaps showing the 50 most 295 differentially expressed genes between normal samples and *KMT2A-AFF1* ALL samples 296 (left) and between normal samples and *TCF3-PBX1* ALL samples (right).

Figure 4: Performances of somatic mutations detection based on RNA-seq analysis. The
relative number of mutations correctly identified or undetected (non-sense, low
coverage or other) are presented.

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- 303 **Supplemental Table 1:** Characteristics of the samples included in the study.
- 304 Supplemental Table 2: Sequences of the primers used to validate the fusion
- 305 transcripts.

306 **Supplemental Table 3:** List of gene mutations identified by DNA sequencing which

307 were analysed on RNA-seq data.

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Figure 1

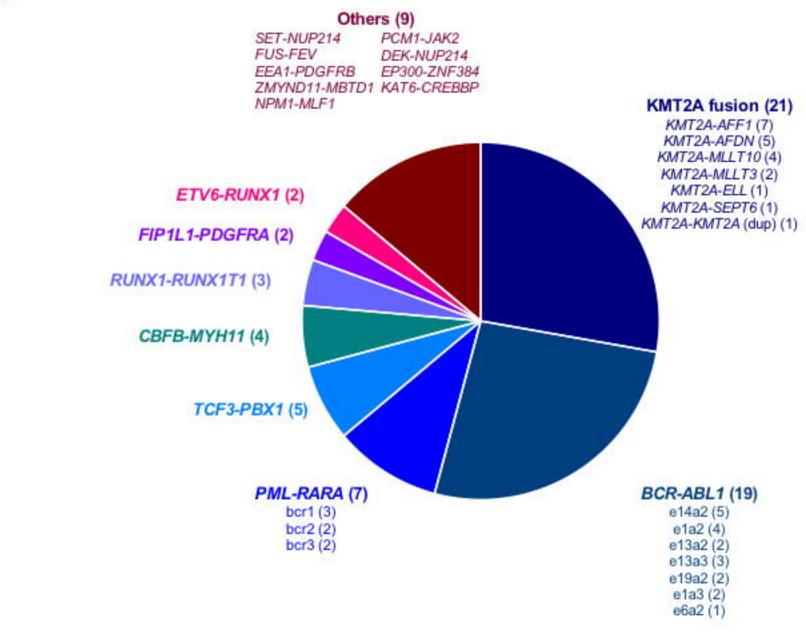
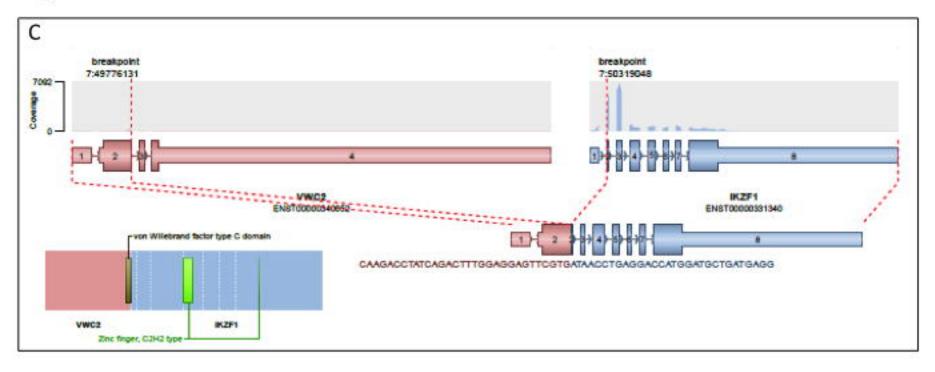
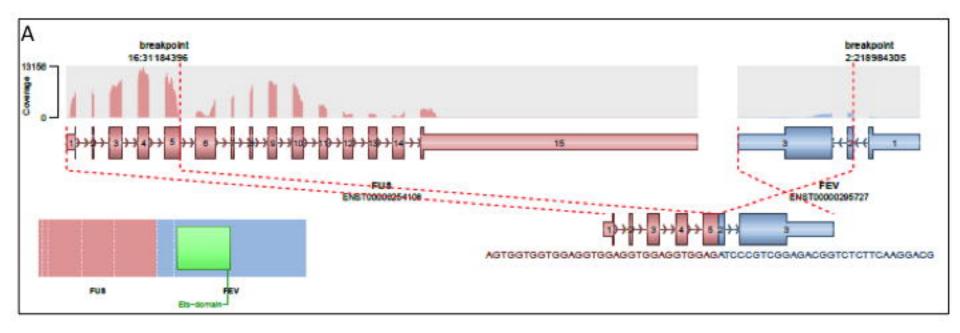


Figure 2 continued





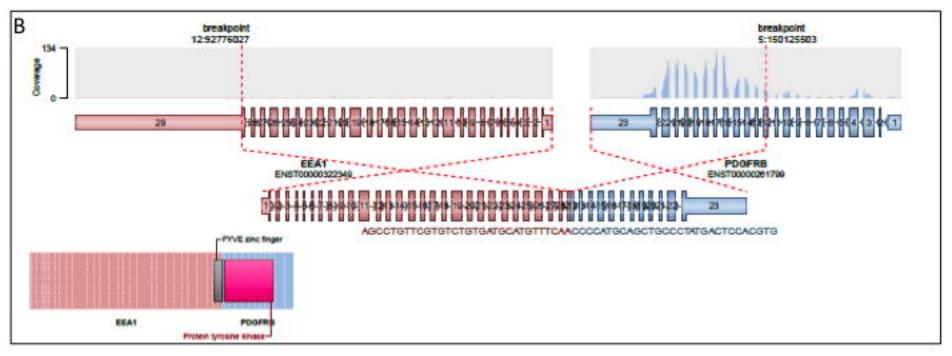
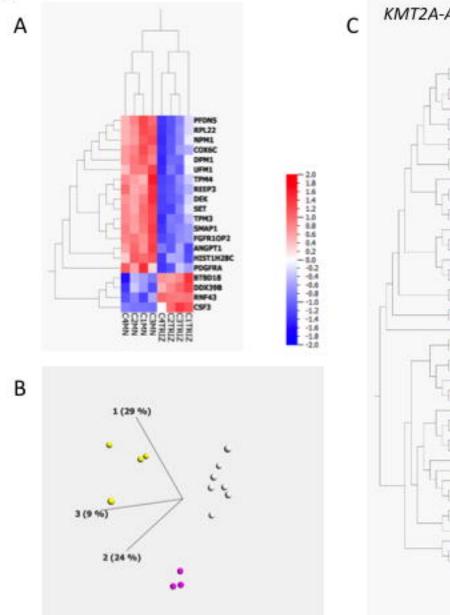
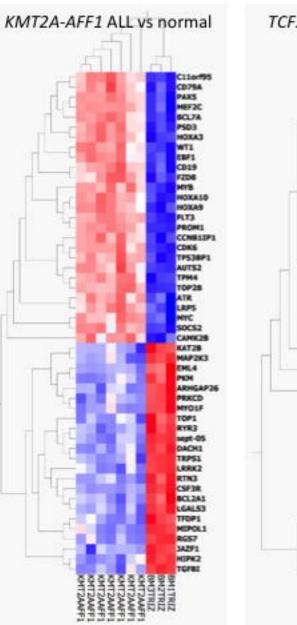


Figure 3





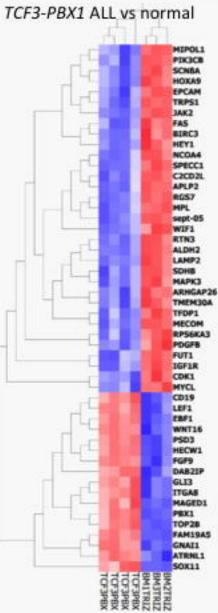


Figure 4

Detection of gene mutations

