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## Feasibility of Integrating Canine Olfaction with Chemical and Microbial Profiling of Urine to Detect Lethal Prostate Cancer

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## Abstract

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Prostate cancer is the second leading cause of cancer death in men in the developed world. A more sensitive and specific detection strategy for lethal prostate cancer beyond serum prostate specific antigen (PSA) population screening is urgently needed. Diagnosis by canine olfaction, using dogs trained to detect cancer by smell, has been shown to be both specific and sensitive. While dogs themselves are impractical as scalable diagnostic sensors, machine olfaction for cancer detection is testable. However, studies bridging the divide between clinical diagnostic techniques, artificial intelligence, and molecular analysis remains difficult due to the significant divide between these disciplines. We tested the clinical feasibility of a cross-disciplinary, integrative approach to early prostate cancer biosensing in urine using trained canine olfaction, volatile organic compound (VOC) analysis by gas chromatography-mass spectroscopy (GC-MS) artificial neural network (ANN)-assisted examination, and microbial profiling in a double-blinded pilot study. Two dogs were trained to detect Gleason 9 prostate cancer in urine collected from biopsy-confirmed patients. Biopsy-negative controls were used to assess canine specificity as prostate cancer biodetectors. Urine samples were simultaneously analyzed for their VOC content in headspace via GC-MS and urinary microbiota content via 16S rDNA Illumina sequencing. In addition, the dogs' diagnoses were used to train an ANN to detect significant peaks in the GC-MS data. The canine olfaction system was 71% sensitive and between 70-76% specific at detecting Gleason 9 prostate cancer. We have also confirmed VOC differences by GC-MS and microbiota differences by 16S rDNA sequencing between cancer positive and biopsy-negative controls. Furthermore, the trained ANN identified regions of interest in the GC-MS data, informed by the canine diagnoses. Methodology and feasibility are established to inform larger-scale studies using canine olfaction, urinary VOCs, and urinary microbiota profiling to develop machine olfaction diagnostic tools. Scalable multi-disciplinary tools may then be compared to PSA screening for earlier, non-invasive, more specific and sensitive detection of clinically aggressive prostate cancers in urine samples.

## Introduction

91 Prostate cancer is the leading type of non-skin cancer in the US, is the second most  
92 prevalent cancer worldwide, and has overtaken breast cancer in total deaths caused in the UK.  
93 Approximately 1 in 9 men will be diagnosed with prostate cancer at some point in their lives.  
94 Early biomarker detection of prostate cancer has been controversial, as the widely used Prostate  
95 Specific Antigen (PSA) screening test may miss clinically significant cancer in men with normal  
96 PSA levels, may over-diagnose men with clinically insignificant cancer, and erroneously detects  
97 benign conditions such as benign prostatic hyperplasia (BPH) and prostatitis [1]. There is an  
98 urgent need for non-invasive, more sensitive and specific diagnostic technologies. In particular,  
99 what is needed is a prostate cancer diagnostic tool to allow differentiation of potentially lethal,  
100 high Gleason Grade cancers with metastatic potential from indolent, low-grade cancers that  
101 patients would die with and not from.

102 One potential method towards improved prostate cancer diagnosis that has been receiving  
103 increased attention is trained canine olfaction. Over the past three decades, trained dogs have  
104 been shown to be capable of detecting various human diseases including many types of cancer  
105 by scent [2]. There are also several published case reports of untrained dogs spontaneously  
106 showing interest in skin cancer on their owners. In 1989, Williams and Pembroke wrote of a  
107 patient whose dog persistently sniffed a mole on her leg. The dog's excessive interest in the mole  
108 prompted the patient to visit a clinician, who identified the mole as a malignant melanoma [3]. In  
109 2001, Church and Williams reported a man whose dog constantly sniffed at a patch of eczema on  
110 his leg, which after excision was found to be a basal cell carcinoma [4]. In 2013, Campbell et al.  
111 described a case in which a man's dog persistently licked a lesion behind his right ear, which was  
112 later confirmed to be malignant melanoma [5]. In each of these cases, the dog was apparently  
113 able to detect a signal of interest in the smell emanating from the skin close to the affected area.

114 This supposition was supported by a dog trained to detect melanoma [6]. After a proof-of-  
115 principle study in bladder cancer was published in 2004 [7], an increasing number of studies  
116 investigating the ability of trained dogs to accurately detect cancer has appeared in the literature.  
117 These have included studies in the detection of lung, breast, ovarian, bladder, and prostate cancer  
118 [8-17]. In 2015, Taverna et al. published a pivotal paper on the canine detection of prostate  
119 cancer from urine [18]. This study included 362 cases and 540 healthy controls, with a striking  
120 mean sensitivity (2 dogs) of 99% and mean specificity (2 dogs) of 98%. These findings support  
121 the premise that olfactory detection of prostate cancer holds the promise of rapid and non-  
122 invasive diagnosis.

123         Given the limited availability of trained canines, in the present study we begin to explore  
124 what the dogs may be detecting and whether an artificial neural network (ANN) potentially  
125 deployed in conjunction with machine olfaction might be the tools to replicate the dogs' early  
126 detection capability. Previous studies have asked whether the odor of cancer in urine is  
127 represented by one or a set of specific volatile organic compounds (VOCs), many of which elicit  
128 an olfactory response (i.e. they are "odorants"). Likewise, the urinary "volatilome" (the  
129 compilation of volatile metabolites as well as other volatile organic and inorganic compounds  
130 present), has also shown promise in prostate cancer diagnosis. In a recent paper by Lima et al.,  
131 urinary VOC analysis distinguished prostate cancer cases with 78% sensitivity, and 94%  
132 specificity [19]. The urinary microbiome also contributes to VOC production [20], so it is  
133 reasonable to ask whether an integrative approach yields advantages. However, VOC analysis  
134 via gas or liquid chromatography (GC or LC) coupled to mass spectrometry (MS) or other  
135 analytical methods that rely on identifying compounds still present significant limitations as the  
136 signature scent of cancer might depend on a combinatorial mixture in perceptual space [21] as

137 opposed to any specific set of individual odorants (as is the case with some scents [22])  
138 increasing difficulty of standardization, scale up and practical deployment. Additional problems  
139 with chemical analytics include difficulty of access to expensive equipment with performance  
140 variabilities.

141         The limitations of current diagnostic methods and of molecular VOC analysis by gas  
142 chromatography-mass spectroscopy (GC-MS) led us to question if integrating a multiparametric  
143 approach could 1) lead to better diagnosis, 2) lead to a better understanding of the underlying  
144 disease pathology, and 3) illuminate the way towards machine olfaction-based urinary screening  
145 and diagnosis that are also receiving increasing attention [23]. Rather than rely solely on one  
146 method (canine detection, volatilomics, urinary microbiota profiling) to improve diagnostic  
147 efficacy, in this pilot study, we sought to combine the strengths of each to create new insight into  
148 how further integrative diagnostic developments can be made. To do this, we simultaneously  
149 submitted urine samples of patients with or without biopsy-proven prostate cancer for detection  
150 by trained canine olfaction, VOC identification by GC-MS, and microbiota profiling. We further  
151 trained an ANN on GC-MS data using the canine diagnoses. To our knowledge, this is the first  
152 study to profile both urinary VOCs and urinary microbial populations in the same urine samples.  
153 Our results show the feasibility and characterize the challenges to overcome in a cross-  
154 disciplinary approach to prostate cancer diagnosis using urinary VOCs and point towards  
155 development of practical machine olfaction-based diagnostics.

## 156 **Materials and Methods**

157         The experimental plan and study design are illustrated in Fig 1. A detailed description of  
158 the animal training facilities and canine training protocol are provided in the Supplemental  
159 Methods.

## 160 **Urine sample collection and patient characteristics**

161 All specimens were obtained under a Johns Hopkins University (JHU) Medicine  
162 Institutional Review Board (IRB) approved protocol. Urine samples were collected from men  
163 undergoing prostate biopsy at the Johns Hopkins Hospital with suspicion of prostate cancer. The  
164 men were either undergoing their first prostate biopsy or had not been biopsied for more than 1  
165 year prior to collection of the urine sample. Table 1 contains the clinical and pathologic details of  
166 the men included in the study. Clean catch urine specimens were obtained and transported for  
167 processing within 4 hours of collection. Unprocessed urine was aliquoted into 3 mL aliquots and  
168 stored at -80 °C until use in this study. Aliquots were used for canine olfaction studies and for  
169 GC-MS. A remaining 30 mL of the urine sample was pelleted by centrifugation at 1000g for 10  
170 minutes and stored at -80 °C. The urine pellets were used in the urine microbiota analyses. The  
171 details of the urine samples and use among assays are given in Supplemental Table S1.

172 **Fig 1. Study schema of workflow for the analysis of urine samples.** Urine samples from  
173 subjects diagnosed with Gleason 9 prostate cancer or biopsy-negative controls were collected  
174 and aliquots from each subject were sent for analysis by canine olfaction to Medical Detection  
175 Dogs (MDD) in the UK, GC-MS by Massachusetts Institute of Technology (MIT) and  
176 University of Texas at El Paso (UTEP) in the US, and microbiota profiling analysis by Johns  
177 Hopkins University (JHU) in the US. \*Two control samples were reserved as extras for the trial  
178 if needed.

179

180 **Table 1. Clinical characteristics of the urine samples.**

<b>Biopsy Diagnosis</b>	<b>Grade</b>	<b>Number of Patients</b>	<b>Median Age in Years (Range, IQR*)</b>	<b>Median PSA in ng/mL (Range, IQR)</b>
<b>Biopsy-negative control</b>		38	58.5 (45-80, 10.5)	4.7 (1.1-18.4, 4.4)
<b>Cancer</b>	Gleason 9	12	65.5 (49-75, 16.25)	8.0 (3-76.8, 15.2)

181 \* Interquartile range

182

183 **Animals**

184 Canine training was performed at Medical Detection Dogs (MDD), UK  
185 (<https://www.medicaldetectiondogs.org.uk>). Two dogs were selected from a pool of six available  
186 dogs to participate in this trial after a rigorous selection process. The two dogs selected to  
187 participate in the trial were Florin, a 4 year old female Labrador, and Midas, a 7 year old female  
188 Wire Haired Hungarian Vizsla (Fig 2A). Each dog had been previously trained on a single source  
189 of prostate cancer (positive) samples (Gleason 6-9, stage T1-T4) and control non-cancer  
190 (negative) samples from Milton Keynes University Hospital (MKUH) between November 2015  
191 and September 2018. Training using samples supplied by JHU began in October 2018. The  
192 details of the canine training results are given in Supplemental Table S2.

193 **Fig 2. Study schema for canine olfaction trial.** (A) The two dogs, Florin and Midas, selected to  
194 participate in the trial. (B) Image of the presentation pots. (C) Test pots placed into the metal arm  
195 attached to the carousel. (D) Comparison of indications to biopsy-negative control and cancer  
196 samples in double blind trial. This table shows that out of the 21 control samples, Florin  
197 produced 5 false positive indications resulting in 76.2% specificity versus Midas' 6 false positive  
198 indications resulting in 70% specificity. Both dogs correctly indicated to 5 out of 7 target  
199 samples giving 71.4 sensitivity.

200

## 201 **Sample storage and preparation for canine olfaction**

202 Frozen urine samples were shipped to MDD from JHU via biosample courier service  
203 Biocaire on dry ice, with continuous temperature monitoring indicating no significant  
204 temperature fluctuations and upon arrival were expedited through customs in a preserved state.  
205 On removal from packaging all sample details were cross-checked against the stock list provided  
206 and immediately transferred to a -80 °C freezer.

207 One sample at a time was selected and vortexed for ten seconds before being opened. 1  
208 mL of patient urine was decanted into 1.75 mL glass vial. On completion, each glass vial  
209 containing a 1 mL aliquot was marked with the anonymized code provided with the sample using  
210 a permanent marker. All aliquots of the same code were stored in the same zip-lock bag stored at

211 -80 °C. The zip lock bag was also marked with the anonymized code using a permanent marker.

212 All training and testing samples were prepared following this protocol.

213 Samples were selected and defrosted on the day of training and placed in a refrigerator  
214 for no longer than 1 hour before being prepared for the training protocol. An established MDD  
215 standard operating procedure to control for cross contamination was followed at all times (See  
216 Supplemental Methods).

## 217 **Blinded sample preparation for trial**

218 Urine samples were link anonymized and blinded to MDD with information detailing  
219 which samples were to be used for double blind testing. We also received an electronic file from  
220 Dr. Steve Morant, Consultant Statistician, University of Dundee and Leslie Mangold MS,  
221 Research Administrative Manager, JHU, containing the required samples blinded in set order for  
222 exposure to the dogs during the trial. One cancer and three biopsy-negative control samples were  
223 included in each run.

224 On a trial day each sample was selected from the blinded list and prepared for testing  
225 following the previously described protocol. The relevant samples were collected from the  
226 freezer and defrosted to liquid state. When in liquid state, the pots and aliquot were placed into  
227 the refrigerator to stabilize for a minimum of ten minutes before use. When ready to test, each  
228 sample was recovered from the refrigerator, decanted into the appropriate glass presentation pot  
229 and sealed with a metal lid. This was left for ten minutes before use. For testing, the lid was  
230 removed and each test pot was placed into the metal arm attached to the carousel (Fig 2B and C)  
231 following the blinded predetermined randomization supplied. After presentation to the dog, the  
232 sample was removed from the carousel and resealed with the matched lid until it was required  
233 again or was returned to the freezer for future use or storage.



234 At the beginning of the trial session the DVD recorded CCTV camera was started. The  
235 MDD standard operating procedure to control for cross contamination was again followed.

## 236 **Canine biodetection performance protocol**

237 At the beginning of every session a number of warm-up runs were completed. These  
238 consisted of single blind runs incorporating samples selected from the training cohort to prepare  
239 the dogs for work and to satisfy the project specialist that the dogs were ready to complete trial  
240 sessions. The trial samples were then prepared according to the sample storage and preparation  
241 section above.

242 Two members of the training team managed the testing: a bio-detection technician, to  
243 coordinate the trial, and a project specialist. Also present was the cancer study research leader.  
244 Once the test was ready to start, the specialist was called into the room with the dog. The  
245 specialist stood behind the shield and tasked the dog to search. The dog approached the carousel  
246 at the first position and proceeded anti-clockwise sniffing each position in turn (Supplemental  
247 Movie S1). If a sample was “indicated” by the dog (e.g., the dog indicated that the sample  
248 contained prostate cancer, see Supplemental Movie S1), the specialist signaled to the technician  
249 coordinating the test, using a hand signal hidden from the dog that this was “called”. Florin  
250 indicates a sample by standing and staring at the sample whereas Midas sits in front of the  
251 sample. The technician consulted the database which revealed the answer, and this was displayed  
252 on the dual monitor for the specialist to see. If correct a green tick was displayed and the dog was  
253 rewarded appropriately. If incorrect, a red X was displayed, and the dog was recalled from the  
254 sample. If a correct response to the test run was “called” the run was deemed finished. The  
255 specialist and dog left the room. The samples were recovered, sealed and stored in the  
256 refrigerator or freezer according to the requirements of further runs. If the “call” was incorrect,

257 the sample was removed from the line, replaced with a blank and the dog was tasked to search. If  
258 a second incorrect “call” was made the run was deemed complete. No further searches took place  
259 during this trial with this specific sample set. At any time throughout the testing phase, a  
260 calibration run consisting of samples selected and presented from the training cohort could be  
261 called by the specialist if it was deemed that the dog had become unsettled.

262 All runs proceeded in this manner until the trial was completed. When a run had been  
263 completed and the result was known, the samples were used by the specialist for calibration of  
264 new runs. This enabled us to use relatively novel samples to prepare the dogs for the new test  
265 run. In the case of runs where an incorrect decision had been made (false positive more than  
266 once), the specialist was unable to use the line to pre-train the dogs as the identity of the positive  
267 sample in the run was not known.

## 268 **Statistical methods, canine olfaction trial**

269 We calculated the binomial probabilities of the observed success rates in picking positive  
270 samples which were presented in sets of four, but each sample required a ‘yes/no’ decision,  
271 based on the null hypothesis that choice was random.

## 272 **GC-MS data collection and analysis**

273 GC-MS was coupled with headspace solid-phase microextraction (HS-SPME) to analyze  
274 urine samples obtained from prostate cancer patients and biopsy-negative controls. Frozen  
275 samples were treated in the same way as described above in the canine section including  
276 anonymized coding. Upon thawing to room temperature, samples were transferred via pipette to  
277 headspace vials (Restek, Bellefonte, PA). Volatiles were extracted from the headspace of the  
278 urine with carbon wide range SPME arrows (Restek). To facilitate equilibration, the headspace  
279 vial-SPME arrow assembly was gently agitated at 172 rpm and 80°C for 30 minutes.

280           The SPME arrow fiber was thermally desorbed in the injector of a 6890 GC system  
281 coupled with a 5973 mass spectrometer (Agilent Technologies, Palo Alto, CA). The injector was  
282 used with a 2:1 split ratio and a 2.0 mL/min split flow at 300 °C. For GC separation, a Zebron™  
283 ZB-624 column (30 m x 0.32 mm x 1.80 mm, 5% cyanopropylphenyl-94%  
284 dimethylpolysiloxane, Phenomenex, Torrance, CA) was used, and the carrier gas flow was  
285 maintained at 1 mL/min. The oven program was as follows: initial temperature of 40 °C for 1.0  
286 minutes, 10 °C/min ramp up to 300 °C, and 300 °C isothermal for 10 minutes. The MS transfer  
287 line temperature was maintained at 240 °C, and MS spectra were recorded in scan mode from  
288 m/z (mass to charge ratio) 35-500. GC-MS data was analyzed via Agilent MSD ChemStation  
289 software (E.02.02.1431) in combination with MZmine 2 open-source software. The headspace,  
290 GC, and MS conditions are summarized in Supplemental Table S3.

291           All detected peaks were screened against the 2017 NIST EPA/NIH mass spectral library  
292 using the NIST Mass Spectral Search Program (v2.3, National Institute of Standards and  
293 Technology) and the Automated Mass Spectral Deconvolution and Identification System  
294 (AMDIS; v2.70, National Institute of Standards and Technology). The mass spectral search  
295 produces matches with a match factor that describes the quality of the match. A perfect match  
296 results in a value of 999; spectra with no peaks in common result in a value of 0. As a general  
297 guide, 900 or greater is an excellent match; 800-900, a good match; 700-800, a fair match. Less  
298 than 600 is a poor match. However, unknown spectra with many peaks will tend to yield lower  
299 match factors than similar spectra with fewer peaks. Additional identification factors considered  
300 include the number of ions in the measured mass spectrum, whether characteristic ions (e.g.  
301 molecular ions) are detected, and the number of similar NIST library matches present.

302           Only the best library match is reported for each peak. This library match should not be

303 considered as definitive identification of an unknown peak. Matches reported here cannot be  
304 guaranteed, even when the match quality is high, without additional work including running an  
305 identical reference compound under the same conditions.

## 306 **Statistical methods, GC-MS**

307 Over 1,157 different VOCs were found in the urine samples, resulting in a high-  
308 dimensional modeling problem. To streamline the analysis, we first removed the VOCs that were  
309 observed in less than 3% of the entire population. The remaining variables were screened by  
310 testing the difference in each VOC between the prostate cancer positive and biopsy-negative  
311 control groups. The Wilcoxon rank-sum test was used since it can accommodate the zero  
312 inflation among many VOCs. Heat maps were generated to visualize those significant VOCs  
313 ( $p < 0.05$ ) in the prostate cancer and biopsy-negative control groups.

314 Applying a liberal cutoff of 0.2 to the p-values, over 29 VOCs remained for the model  
315 development. We fit regularized logistic regression models with SIS penalty, and the 10-fold  
316 cross-validation was used to select the optimal tuning parameter [24]. The final logistic model  
317 was then evaluated via the Receiver Operating Characteristic (ROC) curve and other  
318 performance measures on the basis of jackknife prediction [25], which helps alleviate the over-  
319 optimism induced by variable selection. Furthermore, Firth's approach was taken to fit the final  
320 logistic model in order to achieve bias-reduction for the small sample scenario and deal with the  
321 nearly complete separation seen in the data [26]. All statistical analyses are performed using the  
322 open-source statistical computing software *R* [27].

## 323 **Urinary microbiota profiling and data analysis**

324 DNA was extracted from urine pellet samples (12 Gleason 9 cancer, 38 biopsy-negative  
325 controls) and six blank extraction negative controls as previously described [28]. 16S rDNA gene

326 libraries were generated and submitted to the SKCCC Next Generation Sequencing Core at  
327 Johns Hopkins for next generation sequencing on an Illumina HiSeq instrument as previously  
328 described [28]. Raw paired-end reads were merged into consensus sequences using FLASH  
329 requiring a minimum 20 bp overlap and a 5% maximum mismatch density, and subsequently  
330 filtered for quality (targeting an error rate  $< 0.1\%$ ) and length (minimum 60 bp) using  
331 Trimmomatic and QIIME [29]. Passing sequences were then trimmed of primers, evaluated for  
332 chimeras with UCLUST [30] (*de novo* mode), and filtered for host-associated contaminant using  
333 Bowtie2 [31] searches of NCBI Homo sapiens Annotation Release 106. Additionally chloroplast  
334 and mitochondrial contaminants were detected and filtered using the RDP classifier with a  
335 confidence threshold of 50%. High-quality clean 16S sequences (80,000 sequences per sample)  
336 were then subjected to high-resolution taxonomic assessment using Resphera Insight [32-34].  
337 One control sample did not have sufficient sequencing reads to continue, therefore the final  
338 analysis was performed on 12 cancer samples and 37 biopsy-negative controls.

339 Contaminant removal was performed in three phases. The first phase identified potential  
340 contaminant sequences based on abundance in the negative controls as previously described [28].  
341 The next phase assessed spearman correlation (correlation  $> 0.30$ ) with 10 indicator contaminant  
342 species. Finally, we performed general removal of common contaminant genera (Supplemental  
343 Table S4). Each sample was normalized via rarefaction to 2,700 clean sequences per sample.  
344 Beta-diversity analysis, including Bray-Curtis and UniFrac distance computation and principal  
345 coordinates analysis (PCoA), was performed in QIIME. Comparative statistical analysis for  
346 differential abundance was performed using the Mann-Whitney U test.

## 347 **Neural Network Training**

348 We trained an ANN to emulate canine cancer diagnoses of urine samples based upon GC-

349 MS data presented to it. We used both network skeletonization [35-37] and auto-associative  
350 filtering [38], followed by anomaly detection. Rule extraction techniques were then applied to  
351 this net [35-37] to reveal the “logic circuitry” developed within it through both training and the  
352 selective pruning of its connection weights. Using this semi-quantitative technique, salient inputs  
353 to the model became evident, as well as the interplay between them in determining the ANN  
354 prediction of the canine’s diagnosis. In general, this approach involves training a network model  
355 identifying dominant connection traces bridging outputs of interest with network input nodes  
356 they are most functionally dependent upon. Analysis proceeds working backward from the ANN  
357 output weight layer, pruning less significant weights to expose the most prominent connective  
358 path to the ANN hidden layer and the most important node(s) of that layer. This process is  
359 repeated, starting at that node, and working through successive layers of the net until reaching  
360 the input layer, the most significant weights therein indicating the critical factors relevant to the  
361 chosen output. Driven by the relatively small number of training exemplars available, we trained  
362 a preliminary network and skeletonized it in this fashion. The resulting weight pruning indicated  
363 the most important GC-MS peaks occurring in the interval from 10 to 14 minutes. Accordingly,  
364 we stripped out data outside this range of retention times, thereby reducing the size of the input  
365 layer from nearly 9,000 to 205 points. The neural net chosen was a fully connected Multilayer  
366 Perceptron (MLP), whose neurons utilized sigmoid transfer functions. This net was trained using  
367 a commercial product called PatternMaster™, which features a virtual reality interface that  
368 facilitates the rule extraction process, allowing users to “fly” through the net to select network  
369 nodes of interest, and then progressively remove connection weights bridging them. Input nodes  
370 to this net represented abundances within the GC-MS data at retention times in the range from 10  
371 to 14 minutes, for a total of 205 data points. The two network output nodes represented canine-

372 indicated positive and canine-indicated negative alerts to cancer. A minimal number of hidden  
373 layer nodes were chosen, 32 in all, not to attain optimal prediction accuracy, but to avoid  
374 memorization and to facilitate the graphical skeletonization of the net to expose dominant  
375 connections.

376 All GC-MS data, 808 exemplars in all, was normalized to the range [0, 1], using  
377 minimum and maximum values of the data. Backpropagation training proceeded with a learning  
378 rate was 0.1, and a momentum of 0.03, with random ordinal shuffling of the data occurring with  
379 every training epoch to avoid localized learning effects. Scale margin was set to 0.1. The net was  
380 trained to a root-mean-square (RMS) error of 0.15, probably the minimal error attainable with  
381 this limited set of connection weights.

## 382 **Results**

### 383 **Detection of prostate cancer in urine samples by canine olfaction**

384 Urine samples collected at JHU were sent to MDD, UK (Figure 1). Two dogs, Midas, and  
385 Florin, were previously extensively trained to detect prostate cancer and were recalibrated on a  
386 test set of urines (5 cancer, 15 biopsy-negative control) from JHU prior to commencement of the  
387 pilot trial (see Supplemental Table S2 and Supplemental Methods). A double blind pilot trial was  
388 then conducted with an additional 7 cancer and 21 biopsy-negative control samples. Fig. 2D  
389 shows the compiled results of the trial, and Table 2 provides the individual results. Florin  
390 correctly identified 16 of the 21 presented biopsy-negative controls as negative for prostate  
391 cancer (specificity 76.2%), while Midas correctly identified 14 out of the 20 presented biopsy-  
392 negative controls (specificity 70%). Both dogs correctly indicated 5 out of the 7 Gleason 9  
393 prostate cancer samples, resulting in 71.4% sensitivity.

394

395

396

397 **Table 2. Individual test results for 7 sample sets each containing one positive cancer and 3**  
398 **biopsy-negative control samples.**

399

Set	Position	Florin				Position	Midas			
		Run 1	Run 2	Run 3	Run 4		Run 1	Run 2	Run 3	Run 4
1	2	-	X	✓		3	✓			
2	1	X	X			1	X	X		
3	3	-	✓			2	X	✓		
4	2	X	-	-	X	1	-	X	X	
5	3	✓				2	X	-	-	✓
6	2	-	✓			1	X	✓		
7	3	-	✓			3	✓			

400

401 Shaded runs were conducted via a positive bias reward system. Non-shaded runs were conducted  
402 via balanced reward system. ✓ = correct indication of cancer sample. X = incorrectly indicated  
403 control sample as a cancer sample. – is a run with no indication. Position is the original position  
404 of the cancer sample in the set. All outcomes are based on the final pass for each run.

405

406 We observed that the training protocol for the dogs had a large effect on their ability to  
407 correctly identify samples, consistent with prior research [39]. The two dogs were prepared for  
408 double blind testing phase in a two week pre-training period using a forced choice positive bias  
409 system where each line contained a positive cancer sample and reinforcement was strongly  
410 biased towards indication of a positive cancer sample (e.g., the dogs were only rewarded if they  
411 indicated a positive sample). Unlike a traditional forced-choice, a positive bias reward system  
412 allows the dogs to leave the search line up if they deemed no positive sample present. The  
413 decision to test with the positive bias reward system (i.e. no reward was given for a non-  
414 indicated line) was made due to the limited total sample numbers for testing, the sample to  
415 control ratio, and the minimal opportunity for the dogs to adapt to samples with new background.  
416 However, this decision was reversed partially through testing when it became apparent from the  
417 behavior of the dogs that the provided controls were significantly complex. The dogs had very



418 limited prior experience with “biopsy-negative” urine samples from men that likely had other  
419 prostatic disease such as BPH and prostatitis. The positive bias reward system was found in fact  
420 to be reducing the dog’s ability to learn to discriminate. Therefore, the dogs were given a two-  
421 week pre-testing preparation period returning to a balanced reward system where true blank lines  
422 and finding a target (positive) were rewarded equally. The period that each dog was rewarded in  
423 the positive bias reward system is depicted by the shaded area in Table 2.

424 It is clear from the results, particularly by dog 1 (Florin) that learning had occurred  
425 during the first four positive bias reward system sets as on changing to balanced reward in set 5,  
426 her results were 100%. The chance of picking the right sample out of 4, three times in a row is  
427  $(\frac{1}{4})^3=1/64=0.016$ . The trained canine as a biological detector can adapt rapidly if appropriate  
428 reinforcement is given. Dog 2, Midas, also showed improvement, the positive bias reward  
429 system period was for only two sets before changing to balanced reward. Although it is not as  
430 clear from the data analysis, recorded behavioral changes in the database indicated that her  
431 performance was improving as the sets progressed.

432 Among the eight sample sets presented to either dog using the balanced reward system  
433 (Table 2), the cancer sample was incorrectly identified twice in a row by only one of the dogs.  
434 The probability of only one, or none, being missed by chance is 0.035.

435

## 436 **Differences in VOC content of cancer versus biopsy-negative control** 437 **samples**

438 In parallel to the analysis performed by canine olfaction, a subset of the samples (6  
439 cancer, 30 biopsy-negative controls) were sent for VOC analysis by GC-MS (Fig 3A). Only a  
440 subset could be used in the final analysis because several of the samples (in a blinded fashion)

441 were used to optimize the GC-MS protocol. By pressurizing and heating the urine samples we  
442 ensured that all volatiles available to the dogs' nose at room temperature were also present in the  
443 GC-MS experiments. Total raw data is available in the Supporting Information. Similarly to  
444 previous studies [19, 40], we found individual peaks representing VOCs that were elevated or  
445 reduced in prostate cancer versus biopsy-negative control urine samples at  $p < 0.05$  (Fig 3B, C).  
446 VOCs elevated in the Gleason 9 prostate cancer samples were different from those previously  
447 reported [19], including trimethyl silanol, a volatile siloxane resulting from the degradation of  
448 silicones. However, our study design (Gleason 9 prostate cancer versus biopsy-negative controls)  
449 has not been previously examined in regards to urinary VOCs. Several VOCs identified as being  
450 decreased in the cancer samples, including 2-pentanone and pyrrole, are commonly found in  
451 healthy urine samples [41, 42]. To prevent missing important predictors for prostate cancer  
452 prevalence, a relatively large threshold (with  $p < 0.20$ ) was applied to screen variables for further  
453 development of the regression model. Over 29 VOCs remained for the development of a logistic  
454 model. The final logistic model was evaluated via the Receiver Operating Characteristic (ROC)  
455 curve (Fig 3D). On the basis of predicted probabilities from the final model obtained via  
456 jackknife cross-validation, the area under the ROC curve (AUC) is 0.935, indicating a high  
457 discriminative power. Further validation of the above regression model using external testing  
458 samples is warranted for the development of VOC based diagnostic model.

459 **Fig 3. Analysis of VOCs in patient urine samples.** (A) Study schema for VOC analysis. (B)  
460 Heat map of significantly increased or decreased VOCs by Wilcoxon rank-sum test ( $p < 0.05$ ) in  
461 cancer versus biopsy-negative control samples. Shown on x-axis are the CAS Registry numbers  
462 of the seven significant VOCs ( $p < 0.05$ ) showing elevating or reducing quantity in prostate cancer  
463 patients. The correlation between VOCs and patients ranges from low (black) to high (white).  
464 (C) Compounds significantly elevated or decreased in cancer versus biopsy-negative control  
465 samples. (D) The Receiver Operating Characteristic (ROC) curve for VOC prostate cancer  
466 logistic model and verified in 34 patients.

467  
468 **Differences in microbial content of cancer versus biopsy-negative**

## 469 control samples

470 We likewise profiled the urinary microbiota via 16S rDNA sequencing in the samples  
471 used for canine olfaction and GC-MS (Fig 1) as previously described [28, 43]. One of the  
472 biopsy-negative control samples did not have enough sequencing reads for analysis, therefore the  
473 final analysis was performed in 12 cancer samples and 37 biopsy-negative control samples.  
474 Hierarchical clustering analysis did not indicate a clear separation of cancer versus biopsy-  
475 negative control samples based on the complete microbiota profile (Fig 4A). Likewise, beta  
476 diversity analyses did not indicate a clear separation of cancer versus biopsy-negative control  
477 samples (Fig 4B). Similar to the VOC analyses, there were individual species of bacteria that  
478 were differentially abundant in cancer versus biopsy-negative control samples (Fig 4C). One of  
479 the bacterial species found to be elevated in Gleason 9 cancer samples, *Dolosigranulum pigrum*,  
480 is a rare opportunistic pathogen that has been previously reported in urine samples [44].

481 Also similar to the VOC analyses, the species of bacteria identified as differentially  
482 expressed in cancer versus biopsy-negative control samples were different than what we  
483 previously reported [28]. Our previous study included primarily low grade prostate cancer  
484 however, and no Gleason 9 prostate cancer urine samples.

485  
486 **Fig 4. Analysis of microbiota in patient urine samples.** (A) Unsupervised clustering (log  
487 transformed) of 16S rDNA Illumina sequencing results from urine pellet samples by the top 25  
488 species. The dendrogram was based on hierarchical clustering of the Euclidean distance between  
489 samples in the combined cancer and biopsy-negative control samples. (B) Beta-diversity (Bray-  
490 Curtis) of each urine bacterial profile, analyzed by cancer (yellow) or biopsy-negative control  
491 (blue). (C) Differential abundance of select species of bacteria in cancer and biopsy-negative  
492 control samples. Mean percent sequence abundances are given for the samples positive for the  
493 indicated species from the cancer and biopsy-negative control groups. MW = Mann-Whitney U  
494 test.

495

## 496 An artificial neural network trained on canine olfaction

## 497 **diagnosis detects differences in cancer versus biopsy-** 498 **negative controls**

499 On the basis of VOCs collected by headspace SPME and analyzed by GC-MS, the raw  
500 ion chromatographs were used to train an ANN to emulate canine cancer diagnoses of urine  
501 samples. Both network skeletonization [35-37] and auto-associative filtering [38] techniques  
502 were used to reveal the most important chromatograph peaks contributing to the canine  
503 diagnosis. The network skeletonization approach (Fig 5) indicated the most salient spectral  
504 features occurred in the interval from 10 minutes to 14 minutes. This finding was corroborated  
505 by auto-associative filtering (Fig 6 and 7), which indicated the chief differences between cancer  
506 and biopsy-negative control urine samples were an abundance of elutes represented by a pair of  
507 peaks at 13.177 and 13.563 min, as well as the absence of elutes, relative to biopsy-negative  
508 control samples, at 12.698 min. Similar but lesser depletions appear 10.561, 10.899, and 11.473  
509 min. The two techniques (skeletonization and auto-associative filtering) were therefore  
510 consistent in indicating the region of the data most important in informing canine diagnosis, and  
511 further indicated peaks that were associated with cancer vs. biopsy-negative control urines.

512 **Fig 5. Network skeletonization of neural net mapping of GC-MS to canine-positive**  
513 **indicated and canine-negative indicated urine samples.** The network is depicted as a system  
514 of excitatory (red) and inhibitory connection weights (blue). Starting from the output node  
515 representing a canine-indicated positive (TP) canine diagnosis of prostate cancer, less significant  
516 weights are stripped away to reveal critical connections to the most dominant GC-MS peaks  
517 contributing to the canine cancer diagnosis. The top figure shows the net with all weights  
518 present, while the bottom figure reveals the peak near 13.139 minutes as positively correlated  
519 (i.e., red connection) with canine-positive indication of prostate cancer.

520  
521 **Fig 6. Auto-associative filtering methodology.** An auto-associative net was trained to  
522 reconstruct the GC-MS spectra of all the canine-negative indicated samples. Inputting the spectra  
523 of canine-positive indicated spectra, the net generated the nearest canine-negative indicated  
524 spectrum at its output. Subtraction of the output from the input spectrum revealed anomalous  
525 features possibly associated with the canine indication of cancer. In the example shown, both

526 elute excesses (peaks) and deficiencies (troughs) are indicated in the difference spectrum. In  
527 short, this network acts as a database lookup table that supplies the closest matching canine-  
528 negative indicated spectrum to one that is applied, if need be producing synthetic data  
529 representing a potential canine-negative spectrum.

530

531 **Fig 7. Auto-associative filtering reveals the most dominant GC-MS features contributing to**  
532 **canine-positive indication of prostate cancer from urine samples.** Anomalies fall into two  
533 groups: those showing an overabundance of elutes (JHBUI-0887, JHBUI-1028, and AWP-5734)  
534 and those revealing depletions of elutes (AWP-9307 and AWP-6373). The peak near 13.2  
535 minutes in the first three of these plots corresponds to that resolved at 13.139 minutes via  
536 network skeletonization.

537

## 538 Discussion

539 This study demonstrated feasibility and identified the challenges of a multiparametric  
540 approach as a first step towards creating a more effective, non-invasive early urine diagnostic  
541 method for the highly aggressive histology of prostate cancer (e.g., Gleason grade 9). Canine  
542 olfaction was able to discriminate between prostate cancer and biopsy-negative urine samples,  
543 and VOC and microbiota profiling analyses showed a qualitative difference between the two  
544 groups. Furthermore, an ANN was trained to emulate the canine olfactory diagnoses based on  
545 GC-MS analytical data. Our results indicate that there may be information synthesized by the  
546 dogs regarding the nature of cancer that may not be readily identified by traditional single  
547 channel molecular biomarker analysis, and may instead be an emergent property [21].

548 Although tested on a small sample set which does not enable us to make definitive  
549 conclusions about accuracy, the results achieved in this pilot support the potential of specialist  
550 trained detection dogs directly assisting in the development of an ANN to run on a bio-electronic  
551 machine olfaction diagnostic device [23]. Our results demonstrate the canine ability to  
552 discriminate, learn, and improve detection even when presented a small number of samples of a  
553 complex odor. The challenge remains on how to port canine intelligence into machine olfactors  
554 [45].

555 Dogs are exceptional at scent discrimination, and are also known for their ability to  
556 recognize tiny changes in odor background. Changes in odor background often result in a  
557 detectable change of behavior, before habituation occurs. It is important therefore to habituate to  
558 odors that may occur in samples held in a different facility produced in a different part of the  
559 world, before being challenged with difficult discrimination decisions. Our pilot study was  
560 limited by the number of urine samples available at JHU from men with Gleason 9 prostate  
561 cancer with enough aliquots as well as a urine pellet available to perform our three-armed study.  
562 The limited sample size was this study's biggest overall challenge and particularly significant  
563 here, as we were asking dogs to discriminate more complex samples than they had ever  
564 previously received training on. Following detailed discussion, we decided to use five cancer  
565 samples for familiarization training, using the remaining seven for testing.

566 Interestingly, two biopsy-negative controls (JHBUI-2719 and AWP-9582) were picked  
567 incorrectly by both dogs independently in testing, and in the same order despite double blind and  
568 random position (Table 2). GC-MS was not performed on these samples, however the microbiota  
569 sequencing yielded interesting results. JHBUI-2719 had an abundance of *Alloscardovia*  
570 *omnicolens* (21.5% of sequencing reads) which wasn't present in any of the other cancer  
571 samples. AWP-9582 had an atypical urinary microbiome profile where almost all of the reads  
572 were assigned to either *Dolosigranulum pigrum* (found to be more abundant in cancer samples)  
573 or an unknown species of *Lactobacillales*. Understanding the reason for the dogs' error, and  
574 whether urinary microbiota contributed to the error, could provide valuable insights and it is our  
575 plan to investigate it in future, larger sample size studies.

576 In this pilot study, the dogs were also being tested against particularly difficult controls:  
577 urine from men that were biopsy-negative for prostate cancer. These men would all have been

578 biopsied for an indication of prostate cancer (most typically elevated PSA or abnormal DRE).  
579 Therefore, the control group likely had other prostatic disease such as BPH and prostatitis, and  
580 likewise it is possible that some of the biopsy-negative men actually had prostate cancer that was  
581 missed on biopsy. Unfortunately, follow up data on any subsequent positive biopsies for these  
582 men was not available to us. The dogs had only limited training to discriminate biopsy-negative  
583 controls from prostate cancer in the past due to the difficulty of sourcing well annotated samples  
584 of this from our MKUH collaborator. We have since determined that it is essential not to attempt  
585 to train discrimination unless it can be confirmed with certainty that the control is cancer-free.  
586 We therefore submit that testing dogs to this level of performance warrants a significant amount  
587 of training against this control group, and this must be a focal point of all future larger-scale  
588 studies.

589         Initially, we made the decision to use ‘positive bias reward system’ response from the  
590 dog. This requires the dog to continue around the carousel until he or she has made a detection  
591 decision. The two dogs used were not originally trained under this protocol but could still signal  
592 ‘blank’ (i.e. no decision made). We shifted positive bias to expectation of a prostate cancer in  
593 every line. Unfortunately, this also increased the likelihood of false positives. After the exposure  
594 to the first set of testing, we re-visited this decision and agreed this had been an incorrect choice.  
595 We therefore recalibrated the dogs to accept all negative lines, hence lowering false positive bias.

596         In addition to the above, ours and independent group’s published research indicates that  
597 dogs do require further training when novel background odors are introduced, through a change  
598 of sample processing or environmental background. This training is necessary to ensure the dogs  
599 are confident that these changing background odors are indeed irrelevant and are to be ignored.  
600 This method does not require many presentations and it is an important adjustment to enable the

601 dog to re-habituate prior to complex discrimination tasks.

602 We performed chemical analyses of VOCs, which were able to identify VOC species  
603 differentiating cancer from biopsy-negative control samples, however these molecular species  
604 were distinct from those previously reported [40, 46]. The very nature of the GC-MS technique  
605 can be seen as being loosely analogous to biological olfaction: GC-MS peaks are not always  
606 correspondent to just one molecular species and fragments from different molecules but of equal  
607 charge/mass ratios might add to the same peak while fragments of the same molecule might  
608 appear as parts of various peaks. Similarly, most canine olfactory receptors are tuned to respond  
609 to more than one volatile and the same volatile typically activates more than one receptor to  
610 various levels with different receptor binding often dependent on different parts of an odorant. In  
611 GC-MS the pattern of peaks is used to identify compounds, the “signature” of identity is  
612 inferred, and the context of what other molecules are present or absent can greatly affect how the  
613 identity of that molecule is interpreted. Similarly, in biological olfaction the presence of some  
614 odorants or even odorless volatiles and combinations of odorants in mixtures can affect the scent  
615 character detected [22, 47] by directly or indirectly affecting the EC50 activation levels of  
616 individual receptors acting as allosteric or orthosteric modulators. The way a scent signature of  
617 cancer or anything else a dog is trained to look for is encoded in the brain [21], not by a  
618 particular set of molecules identified by name and concentration, but a gestalt representation of  
619 many observations in a flexible context. Thus, in contrast to earlier studies of the urinary  
620 volatilome in prostate cancer, our primary goal was not to identify compounds differing between  
621 prostate cancer and biopsy-negative control urines. Rather, we focused on identifying common  
622 emergent properties signaling cancer versus non-cancer that could then be ported to a machine  
623 learning platform, giving potential for diagnoses that do not depend on individual biomarkers.



624 We further report microbiota analysis of cancer versus healthy urines which did not  
625 identify a clear separation between cancer and healthy urine, although there were individual  
626 species that distinguished the two groups. In the microbiome, similarly, there may be particular  
627 roles in a microbial community that can be filled by different organisms; it may be the role that is  
628 altered in cancer, and determining the characteristics of the role may be more important than  
629 determining the organisms.

630 Finally, we examined the feasibility, challenges, and opportunities of using canine  
631 olfaction diagnosis to train an ANN to characterize its performance in distinguishing between  
632 cancerous and non-cancerous (i.e. biopsy-negative control) states using GC-MS data alone as  
633 well as in combination with the canine data collected on same samples.

634 In conclusion, our data speaks to the feasibility of discriminating Gleason 9 prostate  
635 cancer from biopsy-negative controls by integrative analysis of several vastly different  
636 methodologies, each of which has been shown capable to various degrees by itself: trained  
637 canine olfaction, conventional GC-MS analysis of urine headspace VOC as well as our novel,  
638 purpose-developed ANN approach, and urinary microbiota profiling on the same samples. The  
639 canines were able to detect Gleason 9 prostate cancer versus biopsy-negative controls at a high  
640 sensitivity and specificity. Analysis of GC-MS data collected on urinary VOCs was able to  
641 identify molecular species differentiating cancer from biopsy-negative controls while further  
642 validation is needed. Microbiota profiling did not differentiate prostate cancer from biopsy-  
643 negative controls when assessed as a whole, however individual VOCs and microbial species  
644 were found to be differentially abundant in the two groups. Combining these data streams  
645 allowed us to train an ANN to emulate canine olfactory diagnosis. The biggest challenge  
646 throughout this pilot study was the availability of pathologically well-characterized and

647 standardized urine samples. We were aware of this limitation and designed the study accordingly  
648 fully expecting that the small number of samples will prevent us achieving the very high  
649 sensitivity and selectivity that has been shown to be generally achievable with canines, and  
650 similarly limited our training of the ANN. We fully expect that larger sample pools will be the  
651 key enabler of statistically powered, multi-institutional future studies seeking to fully integrate  
652 VOC and microbiota profiling. The end goal of the pilot study we report here has been to pave  
653 the way towards development of machine-based olfactory diagnostic tools that define and  
654 recapitulate what can be detected and accomplished now via canine olfaction.

655

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657

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676

677

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## 829 **Supporting Information**

830

831 Supplemental Methods

832 Supplemental Tables 1-4

833 Supplemental Movie 1

834 GC-MS Compound Information

Figure 1

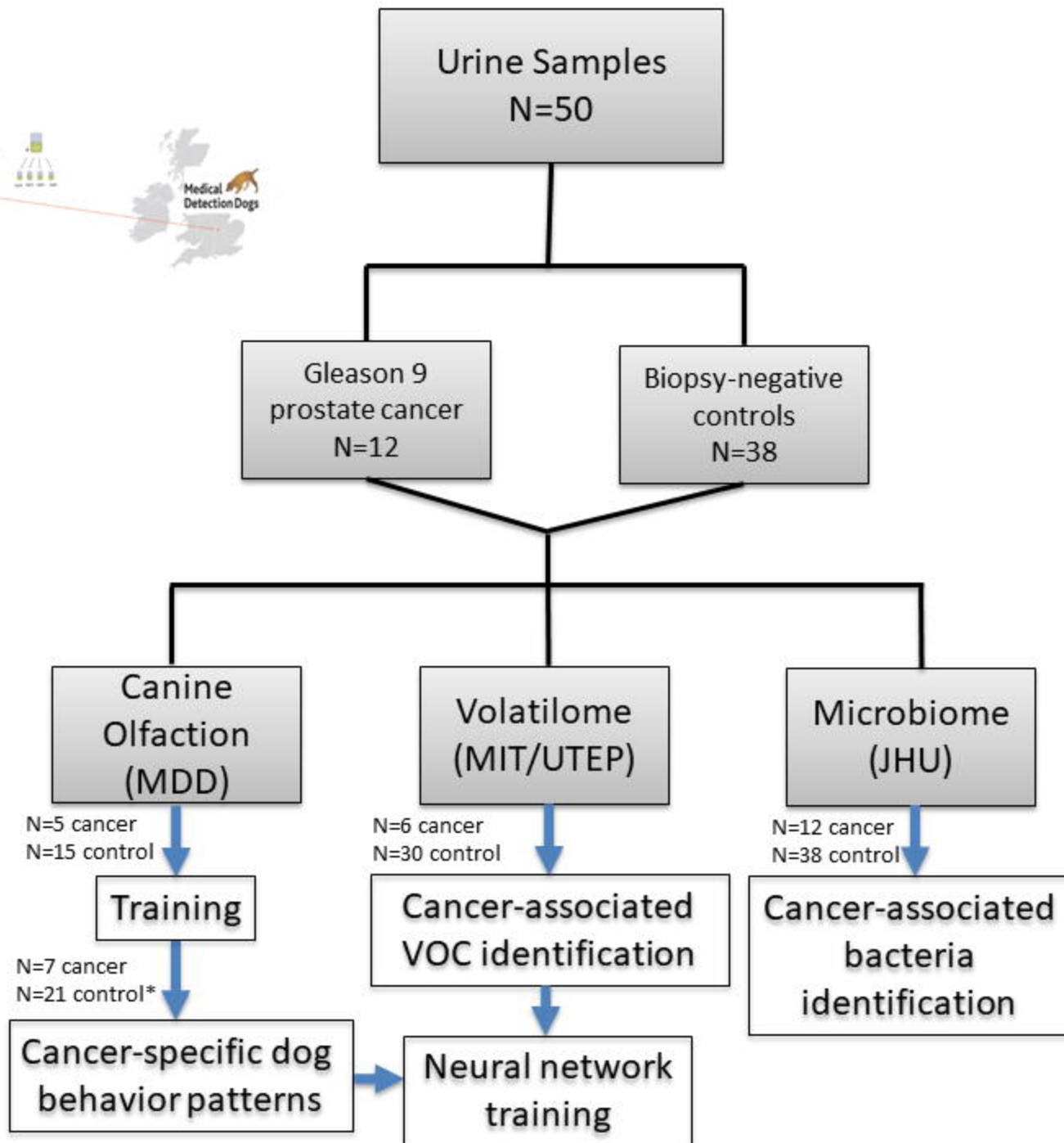
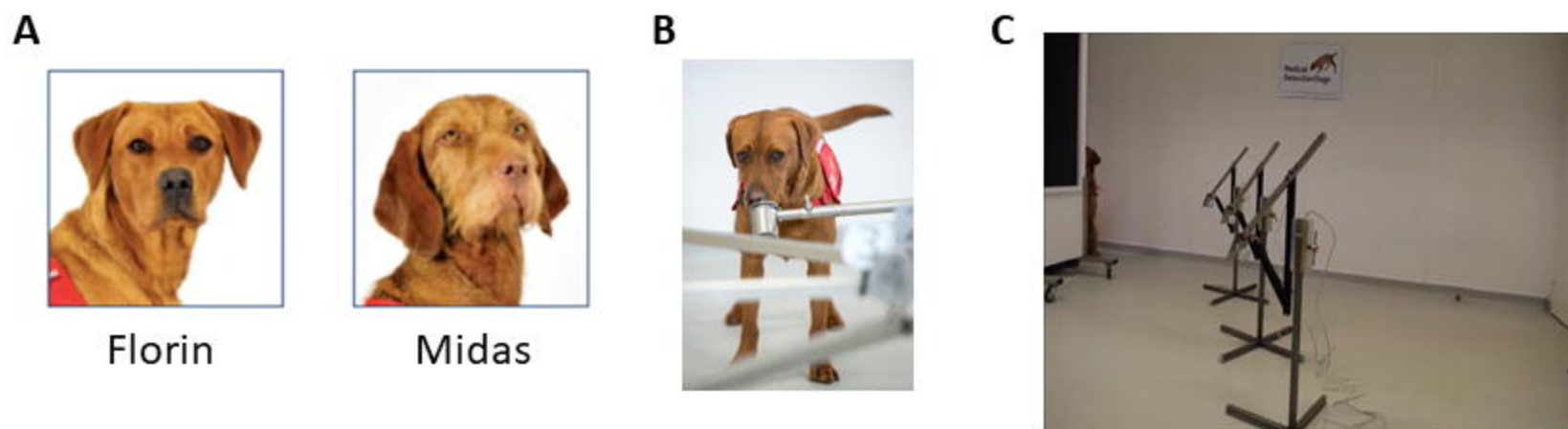


Figure 2



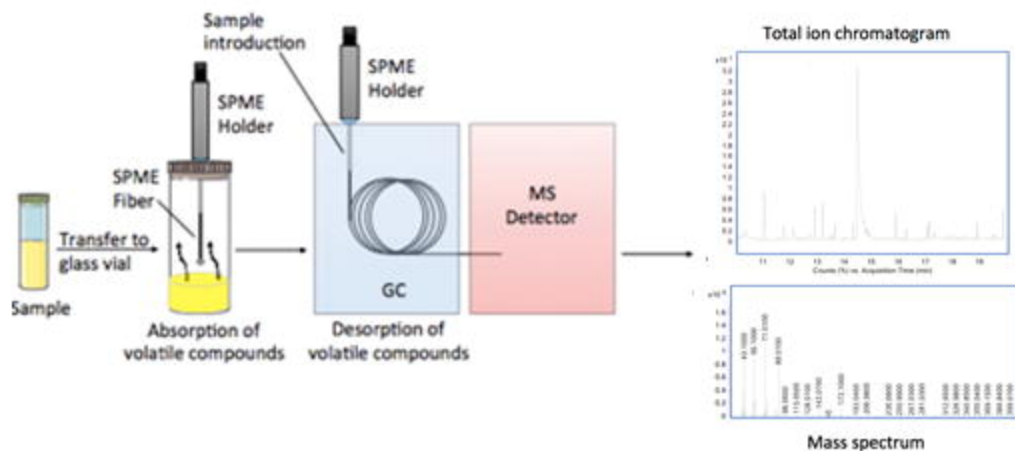
**D**

Dog	Sample Type	Number of Samples	Correct Response	Incorrect Response	Sensitivity (%)	Specificity (%)
Florin	Biopsy-negative control	21	16	5		76.2
	Cancer	7	5	2	71.4	
Midas	Biopsy-negative control	20	14	6		70
	Cancer	7	5	2	71.4	

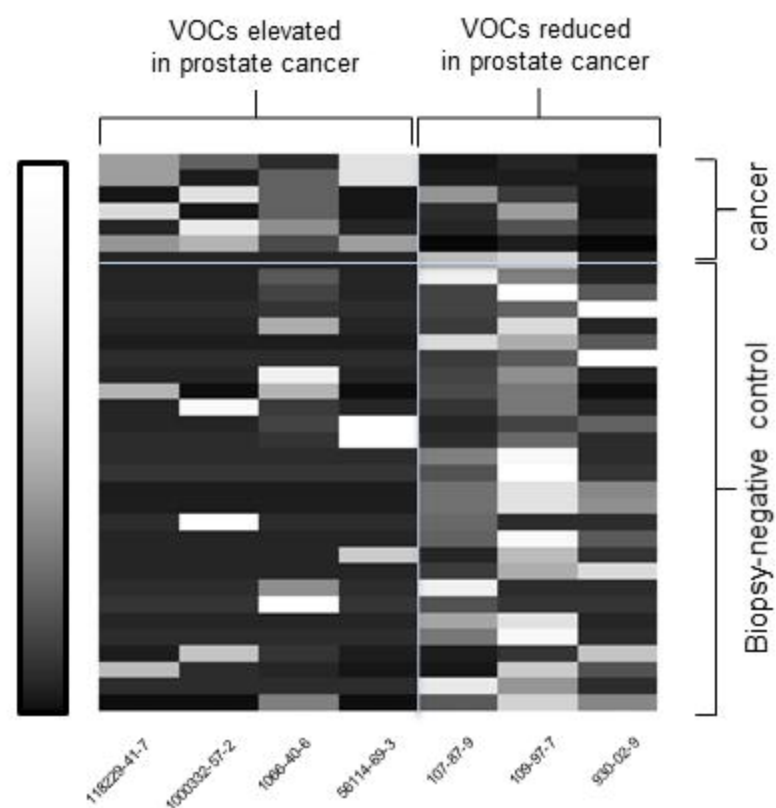


# Figure 3

**A**



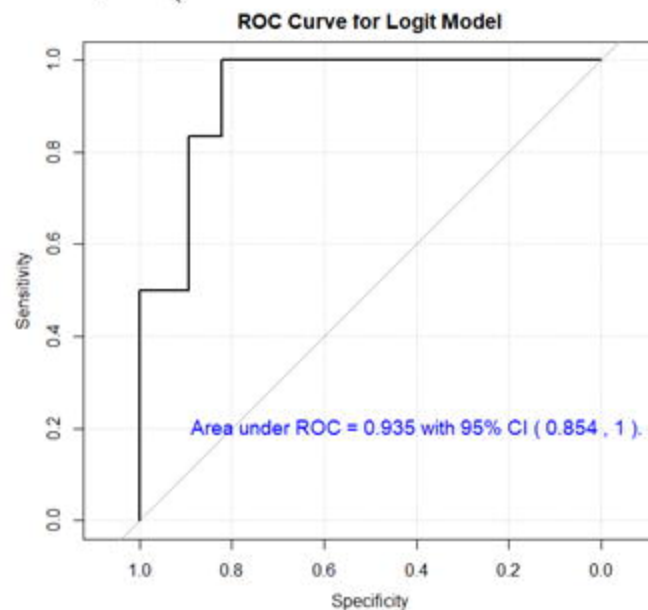
**B**



**C**

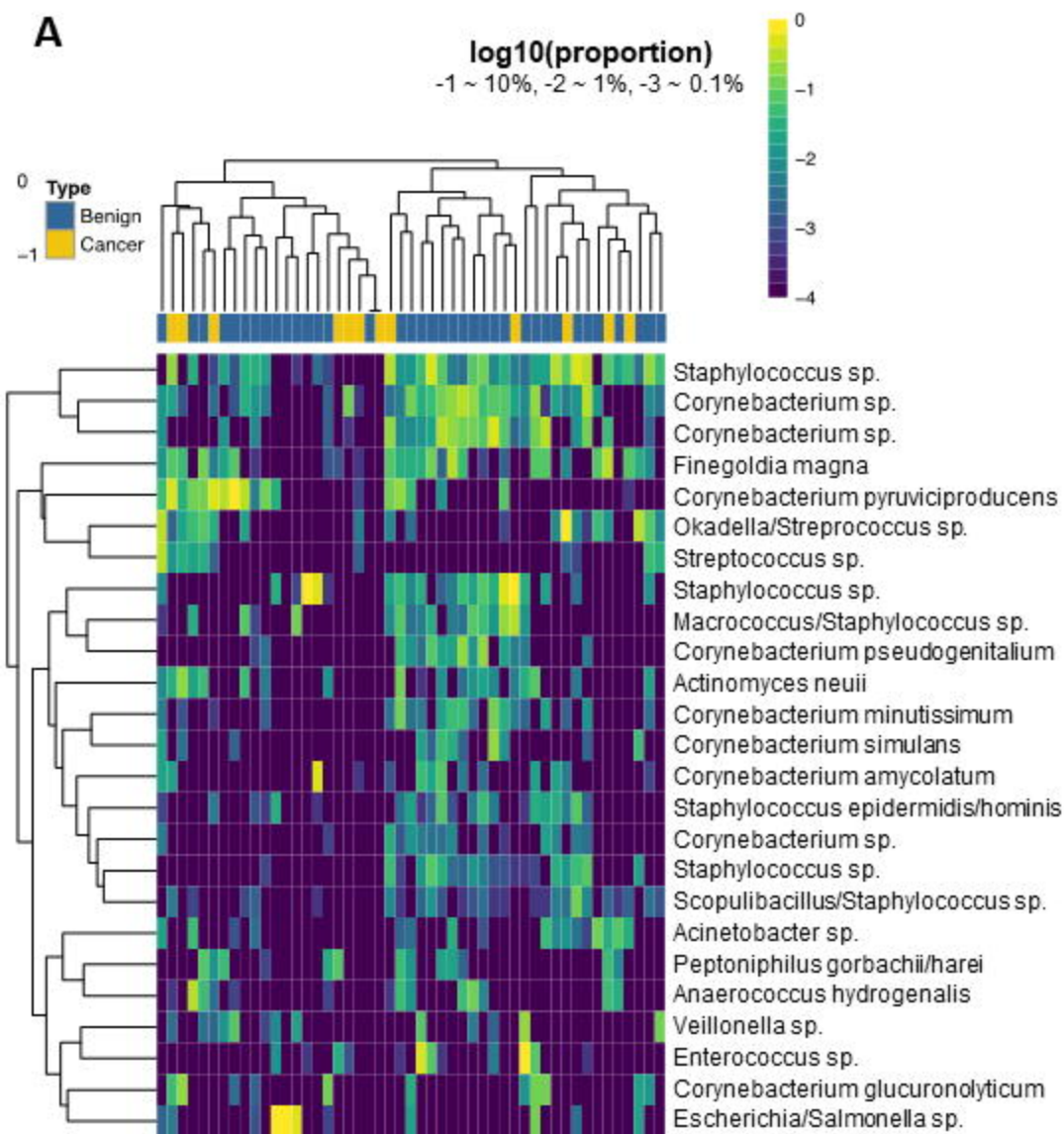
Elevated in prostate cancer			
CAS Registry #	Compound	Occurrence	P value Wilcoxon
118229-41-7	3,3,5,5,7,7,9,9,11,11,13,13-Dodecamethyl-1,15-bis(1,3,3,5,5-pentamethyl-2,4,6-trioxa-1,3,5-trisilacyclohexyl)-4,6,8,10,12-tetraoxa-3,5,7,9,11-tetrasilapentadecane	6	0.001
1000332-57-2	1,2-Benzisothiazol-3-amine, TBDMS derivative	8	0.007
1066-40-6	trimethyl-Silanol	20	0.016
56114-69-3	2,5-bis[(trimethylsilyl)oxy]-Benzaldehyde	6	0.041
Reduced in prostate cancer			
Biomarker	Compound	Occurrence	P value Wilcoxon
107-87-9	2-Pentanone	27	0.025
109-97-7	Pyrrrole	30	0.034
930-02-9	1-(ethenyl)-Octadecane	13	0.047

**D**

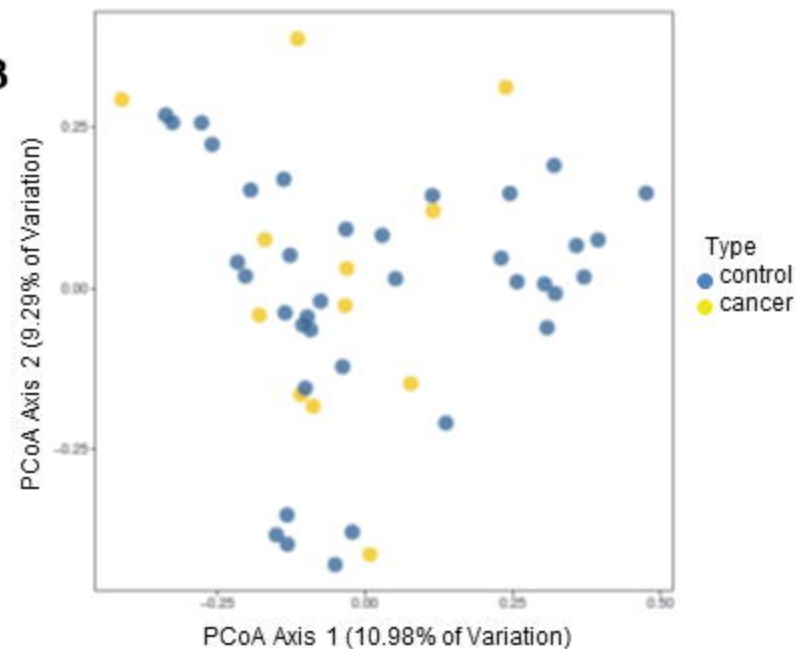


# Figure 4

**A**



**B**



**C**

Species/OTU	Mean Values		MW P value
	Control (n=37)	Cancer (n=12)	Control vs Cancer
<b>Elevated in prostate cancer</b>			
Dolosigranulum pigrum	0.003	6.392	0.013
OTU:Actinomyces vaccimaxillae	0.506	1.438	0.015
OTU:Finegoldia magna	0.005	0.027	0.018
<b>Reduced in prostate cancer</b>			
Staphylococcus epidermidis/hominis	1.021	0.083	0.036
Corynebacterium coyleae	0.362	0	0.037

Figure 5

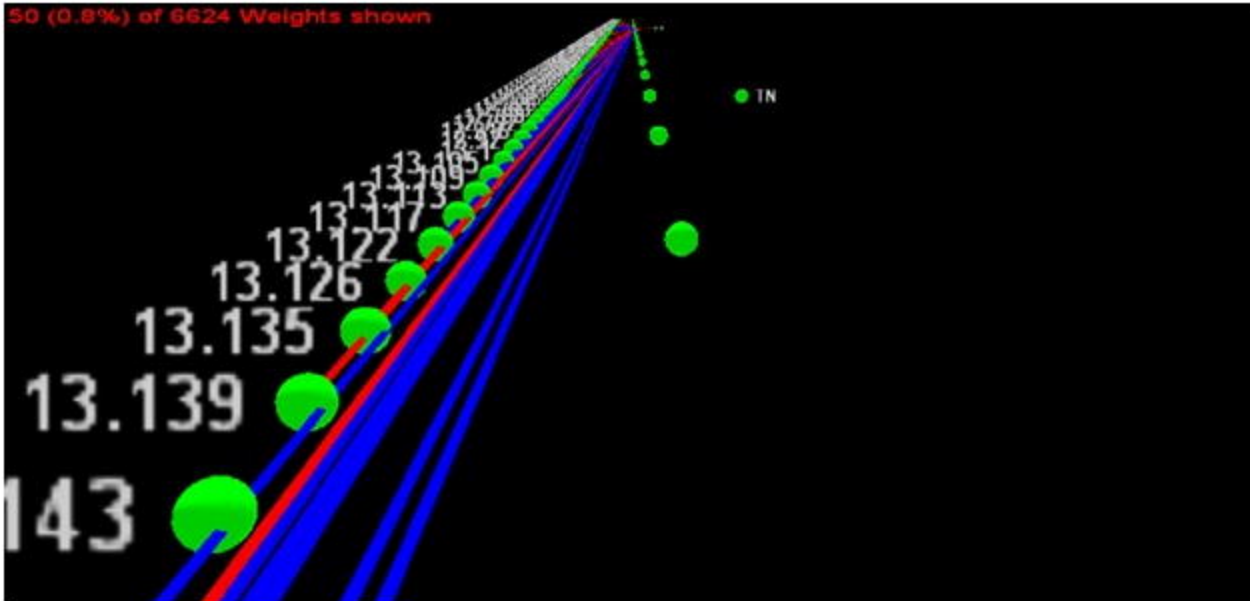
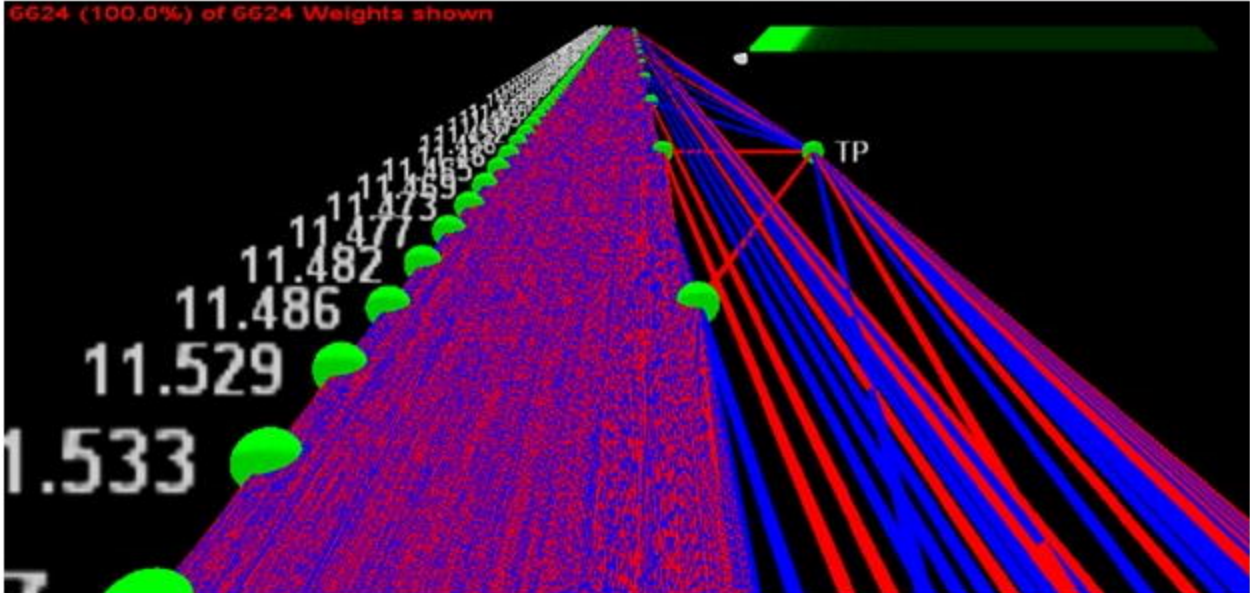


Figure 6

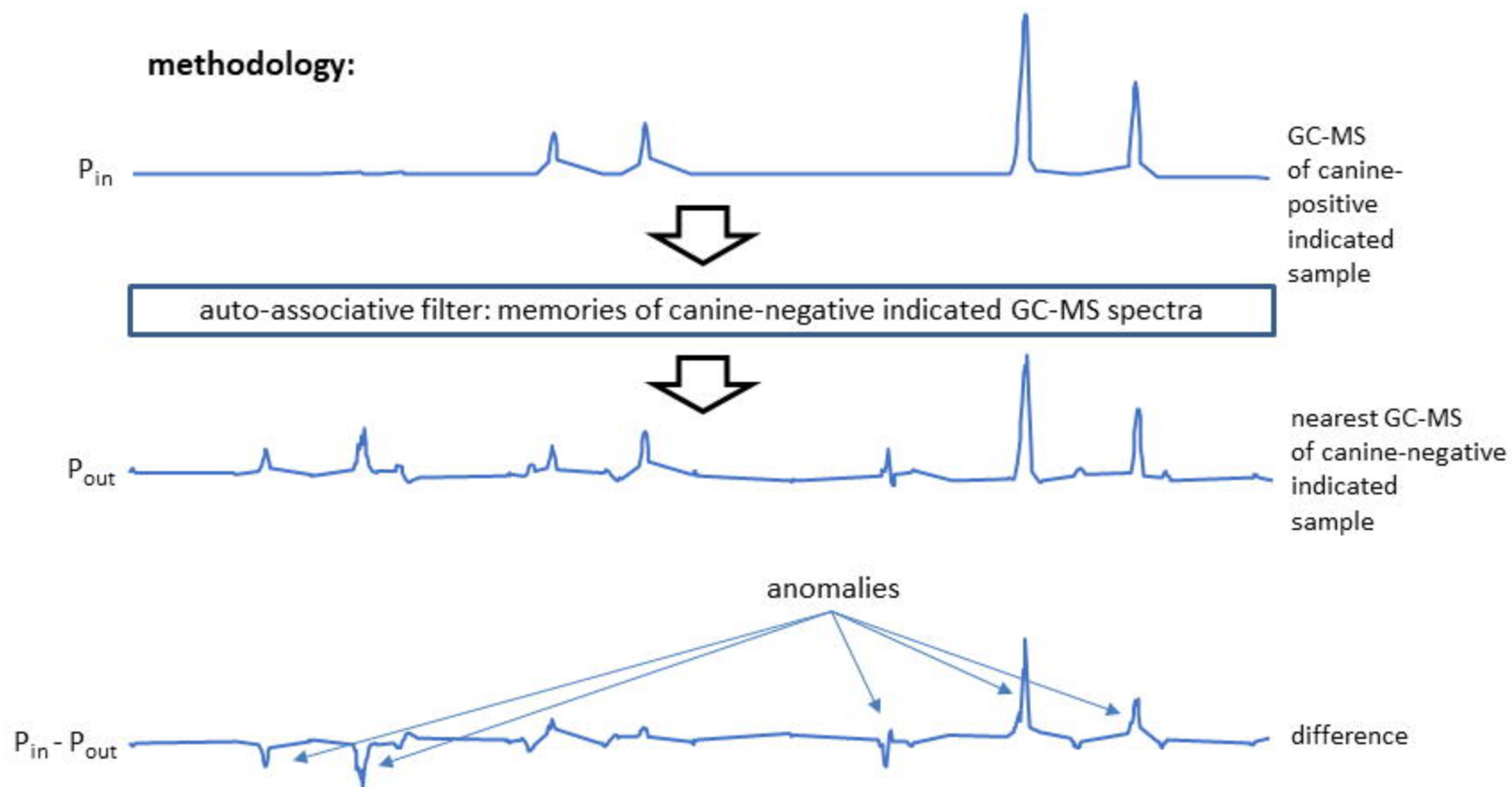


Figure 7

