1	Estimating effects of parents' cognitive and non-cognitive
2	skills on offspring education using polygenic scores
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# 22 Abstract

23 Understanding how parents' cognitive and non-cognitive skills influence offspring education is 24 vital for educational, family and economic policy. We use genetics (GWAS-by-subtraction) to 25 assess a latent, broad non-cognitive skills dimension. To index parental effects controlling for 26 genetic transmission, we estimate indirect parental genetic effects of polygenic scores on 27 childhood and adulthood educational outcomes, using siblings (N=47,459), adoptees (N=6,407), 28 and parent-offspring trios (N=2,534) in three UK and Dutch cohorts. We find that parental 29 cognitive and non-cognitive skills affect offspring education through their environment: on 30 average across cohorts and designs, indirect genetic effects explain 36-40% of population 31 polygenic score associations. However, indirect genetic effects are lower for achievement in the 32 Dutch cohort, and for the adoption design. We identify causes of higher sibling- and trio-based 33 estimates: prenatal indirect genetic effects, population stratification, and assortative mating. Our 34 phenotype-agnostic, genetically sensitive approach has established overall environmental effects 35 of parents' skills, facilitating future mechanistic work.

# 36 Introduction

37	Parents and children tend to have similar educational outcomes. Given the ties between
38	education, social mobility and health <sup>1,2</sup> , understanding the mechanisms underlying the
39	intergenerational transmission of education could inform efforts to alleviate inequalities. Many
40	studies have investigated how much certain parental characteristics influence offspring
41	education, but relatively few have considered non-cognitive skills. Whereas cognitive skills
42	relate to learning and problem solving, non-cognitive skills are 'socio-emotional'. Given the
43	growing recognition of the importance of individuals' non-cognitive skills for their educational
44	outcomes <sup>3</sup> , it follows that parents' non-cognitive skills might also matter.
45	Parents' non-cognitive skills appear to be less salient for children's education than parents'
46	cognitive skills. In one study, sons' standardised test scores at age 16 were more strongly
47	associated with fathers' cognitive than non-cognitive skills $(0.47 \text{ and } 0.09, \text{ respectively})^4$ .
48	Measures of parents' non-cognitive skills also account for less of the intergenerational
49	transmission of socioeconomic status than cognitive skills (10 vs 20%, respectively) <sup>5</sup> , and less of
50	the socioeconomic gap in children's achievement (8 vs 16%) <sup>6</sup> . Indicators of non-cognitive skills
51	in these studies included self-esteem, locus of control <sup>5</sup> , attitudes and social skills <sup>6</sup> , and
52	perseverance and extraversion <sup>4</sup> .
53	Two key limitations weaken this evidence based on the relative effects of parents' cognitive and

54 non-cognitive skills on offspring education: poor phenotypic assessments of parents' non-

55 cognitive skills, and genetic confounding.

56 First, regarding assessment, whereas cognitive skills can be directly measured by tests of 57 domain-specific or general cognitive performance, non-cognitive skills are more challenging with measures often inconsistent, incomplete or unreliable<sup>7,8</sup>. There is little agreement on what 58 59 non-cognitive skills to measure. Some researchers focus on personality, whereas others include 60 self-control, self-esteem, motivation and interests. An alternative, broader definition of non-61 cognitive skills is all traits positively affecting educational success beyond cognitive skills<sup>9</sup>. 62 Important non-cognitive characteristics may have been neglected – for instance, in the study by 63 Grönqvist et al. (2017) direct skill measures for mothers, and paternal measures of motivation, a 64 key education-linked trait, were unavailable. Importantly, studies identifying partial effects of specific parental cognitive and non-cognitive skills are less informative about the overall 65 influences of these domains. More severe measurement error could also mean that effects of 66 67 parents' non-cognitive relative to cognitive skills have been underestimated.

68 Genetic methods offer a new approach to defining and estimating the importance of domains of 69 parental skills for offspring education. Both cognitive and non-cognitive skills (as far as we 70 know what they are) are substantially genetically influenced, with twin study heritability estimates of 40-70%<sup>10,11</sup>. Non-cognitive skills assessed in these studies included grit, intellectual 71 72 curiosity, the Big Five personality traits, and subject-specific enjoyment and ability. A new 73 method - 'GWAS-by-subtraction' - makes it possible to 'subtract' cognitive ability-related 74 genetic variation from educational attainment genetic variation and assess the remaining latent 75 genetic non-cognitive construct<sup>12</sup>. These non-cognitive aspects of educational attainment are 76 independent of cognitive skills, and associated with higher socioeconomic attainment, more open 77 and conscientious personality, and some psychiatric disorders (e.g., higher risk for schizophrenia, 78 lower risk for attention deficit/hyperactivity disorder). A GWAS-by-subtraction-derived measure

79	of non-cognitive skills captures a broader construct that is not reliant upon measurement of
80	specific traits. This method opens up the possibility of assessing the overall effect of all parent
81	phenotypes that are influenced by common genetic variants linked to educational attainment,
82	independent of cognitive skills. This could include parental phenotypes not traditionally classed
83	as 'non-cognitive' or 'skills', such as mental health. This broad, phenotype-agnostic approach is
84	a necessary first step towards characterizing pathways from parents' skills to offspring
85	educational outcomes. After establishing overall effects, subsequent studies can use phenotypic
86	measures of parental non-cognitive skills to find specific mediating mechanisms.
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87	Second, regarding genetic confounding, existing research relies on designs that cannot
88	distinguish social (i.e., environmental) from genetic transmission. None of the associations
89	between parental skills and offspring education cited above were estimated using genetically
90	sensitive designs. This is problematic, because from just parent-offspring correlations one cannot
91	conclude that parents' skills shape offspring education, for instance by providing resources,
92	experiences and support. Ignoring any shared genetic influences on parents' skills and child
93	educational outcomes confounds estimation of the effects of parental phenotypes on offspring
94	outcome <sup>13</sup> . To establish the extent that parents' (non-)cognitive skills influence child educational
95	outcomes socially, it is vital to control for inherited genetic effects.
96	Genetic study designs can isolate environmental effects of parental skills on offspring education,

97 controlling for genetic transmission. Several designs estimate a genetic effect of the child's

98 genotype on the child phenotype (direct genetic effect), and an environmentally mediated effect

99 of the parental genotype on the child's phenotype (parental indirect genetic effect). For example,

100 polygenic scores (individual-level indices of trait-specific genetic endowment; PGS) for

101 educational attainment based on parents' genotypes that were not transmitted to offspring, are associated with offspring attainment  $^{14-16}$ . Non-transmitted variants affect offspring attainment 102 103 indirectly via the environment shaped by parents that influences the development of their 104 children. Complementary evidence of indirect effects of parents' education-linked genetics on offspring education has also accumulated from sibling and adoption designs<sup>14,15,17,18</sup>. It is not 105 106 known whether parental indirect genetic effects on offspring education occur through cognitive 107 or non-cognitive pathways (or both), because studies have not parsed out the contributions of 108 sub-components of the educational attainment PGS.

109 Importantly, we directly compare estimates of parental indirect genetic effects obtained from different designs. Estimation of genetic associations may involve numerous biases<sup>19</sup>. Sibling, 110 111 adoption and non-transmitted allele designs have different assumptions and subtle differences in 112 biases and components affecting the estimated indirect genetic effect. As shown by our data 113 simulations (see Supplementary Note and GitHub), indirect genetic effect estimates from the 114 sibling and non-transmitted allele designs are more strongly biased by assortative mating and 115 population stratification than the adoption design. Estimates obtained from the adoption design 116 unfortunately do not capture prenatal parental environmental effects on child education. The 117 sibling design may estimate parental indirect genetic effects with more bias from sibling genetic 118 effects. Triangulation across designs and sensitivity analyses can help detect possible biases and 119 quantify parental indirect genetic effects and other environmental effects.

120 In the current study (pre-registration: <u>https://osf.io/mk938/</u>), we use a novel approach to estimate

121 the social effects of parents' cognitive and non-cognitive skills on offspring education. We

122 deploy GWAS-by-subtraction to estimate individuals' genetic endowments (PGS) for cognitive

123 and non-cognitive skills, and test how much these operate environmentally via parental 124 influences on offspring educational outcomes. We provide a multi-cohort comparison of parental 125 indirect genetic effects in three cohorts of genotyped families in two countries with different 126 educational systems (UK Biobank, UK Twins Early Development Study, Netherlands Twin 127 Register). Each cohort includes multiple achievement outcome measures (i.e., standardised test 128 results and teacher-reported grades in childhood and adolescence) and attainment (i.e., years of 129 completed education reported in adulthood). We triangulate across three complementary study 130 designs for estimating parental indirect genetic effects and assess the presence of components 131 and biases.

### 132 **Results**

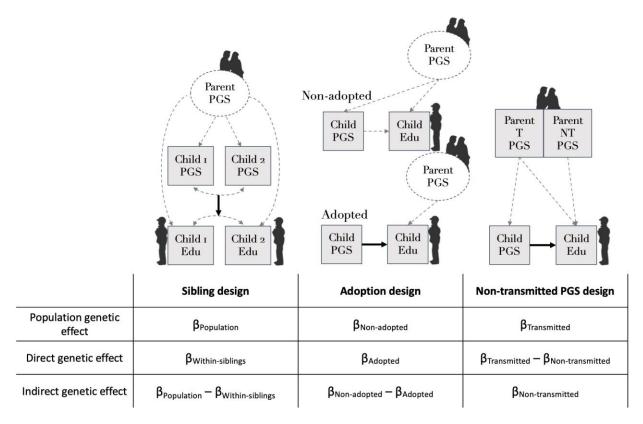
#### 133 GWAS-by-subtraction results

134 We identified the genetic components of cognitive and non-cognitive skills using Genomic SEM, 135 following Demange et al. 2020, in samples that excluded participants used for polygenic score 136 analyses. Educational attainment and cognitive performance meta-analytic summary statistics 137 (see Methods) were regressed on two independent latent variables, Cog and NonCog (see 138 Supplementary Figure 1). These two latent factors were then regressed on 1,071,804 HapMap3 139 SNPs in a genome wide association (GWA) design. The LD score regression-based SNP 140 heritabilities of Cog and NonCog were 0.18 (SE=0.01) and 0.05 (SE=0.00), respectively. More 141 information on the GWAS is presented in Supplementary Table 1.

## 142 **Descriptive statistics**

143	SNP associations with the Cog and NonCog latent variables provided the weights to create
144	individual-level polygenic scores in 3 cohorts with family data and educational achievement
145	and/or attainment outcomes. Sample sizes for individuals with polygenic score and educational
146	outcome data were: 39,500 UK Biobank siblings, 6,409 UK Biobank adoptees, up to 4,796 DZ
147	twins in the Twins Early Development Study (TEDS), up to 3,163 twins and siblings in the
148	Netherlands Twin Register (NTR), and up to 2,534 NTR individuals with both parents
149	genotyped. Full phenotypic descriptive statistics are available in Supplementary Table 2.
150	Overview of the three designs for estimating direct and indirect polygenic
151	score effects
152	To estimate direct offspring-led and indirect parent-led effects of polygenic scores for cognitive
153	and non-cognitive skills on educational outcomes, we considered three family-based genomic
154	designs. The designs are illustrated in Figure 1. All models jointly included Cog and NonCog
155	PGS. Note that population effects are equivalent to PGS effects estimated in standard population
156	analyses that do not use within-family data. In contrast, within-family designs exploit the
157	principles of Mendelian segregation or the natural experiment of adoption to separate direct and
158	indirect/social components of the overall population PGS effect. Importantly, a direct genetic
159	effect is only direct in the sense that it does not originate from another individual's genotype.
160	Direct effects are also not necessarily 'purely' genetic, but lead to educational outcomes via
161	intermediate pathways, and are expressed in the context of environments.

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163

164**Figure 1.** Analytical designs to estimate direct and parental indirect genetic effects. Note:165square = observed variable, circle = unobserved / latent variable;  $\beta$  = estimated effect of166polygenic score (PGS) on outcome; the population effect of a PGS captures both direct and167indirect genetic effects; direct genetic effects (controlling for indirect genetic effects) are168represented with solid arrows.

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First, the sibling design estimates indirect genetic effects by comparing population-level and
within-family (i.e., within-sibling or within-DZ twin) polygenic score associations (equation
(1))<sup>17</sup>. The direct effect of a polygenic score is estimated based on genetic differences between
siblings, which are due to random segregations of parental genetic material, independent of
shared family effects (including parental indirect genetic effects). Specifically, the direct effect is
estimated using a variable representing individuals' (i) polygenic scores minus the average

- 176 polygenic score for their family (j): the within-family beta ( $\beta_{\text{Within}}$  in equation (1)). The
- 177 population effect of a polygenic score is estimated in a separate model, simply regressing the
- 178 outcome variable on polygenic score differences between individuals from different families
- 179 (equation (2)). The indirect genetic effect is obtained by subtracting the within-family PGS effect
- 180 estimate from the population effect estimate.

$$\begin{split} \text{EA}_{ij} &= \alpha_0 0 + \beta_{\text{Within}_{\text{Cog}}} \left( \text{PGS}(\text{Cog})_{ij} - \overline{\text{PGS}(\text{Cog})}_j \right) \\ &+ \beta_{\text{Between}_{\text{Cog}}} \left( \overline{\text{PGS}(\text{Cog})}_j \right) \\ &+ \beta_{\text{Within}_{\text{Non}\text{Cog}}} \left( \text{PGS}(\text{Non}\text{Cog})_{ij} - \overline{\text{PGS}(\text{Non}\text{Cog})}_j \right) \end{split}$$
(1)  
$$&+ \beta_{\text{Between}_{\text{Non}\text{Cog}}} \left( \overline{\text{PGS}(\text{Non}\text{Cog})}_j \right) + \text{sex} + \text{age} + \text{sex} * \text{age} \\ &+ 10\text{PCs} + \text{genotyping platform} \end{split}$$

181

$$EA_{ij} = \alpha_0 0 + \beta_{Cog} (PGS(Cog)_{ij}) + \beta_{NonCog} (PGS(NonCog)_{ij}) + sex + age$$

$$+ sex * age + 10PCs + genotyping platform$$
(2)

Note: EA is the educational outcome, PGS is the polygenic score (for Cog PGS(Cog) and NonCog PGS(NonCog)).  $\overline{PGS}$  refers to the average polygenic score in the family j. i refers to the individual sibling.  $\alpha_0$  refers to the intercept, PCs are principal components to capture genetic ancestry. See Supplementary Note for a comparison of different versions of this sibling design, using data simulation.

187 Second, indirect genetic effects can be estimated by comparing polygenic score associations

188 estimated in a sample of adoptees against those estimated for individuals who were reared by

their biological parents<sup>18</sup>. Therefore, we estimate the regression model shown in equation (2)
separately for adoptees and for non-adopted individuals.

191 The population effect is estimated as the polygenic score effect on phenotypic variation among 192 non-adopted individuals (i.e., a combination of direct and indirect genetic mechanisms). The 193 direct genetic effect is the effect of the polygenic score among adoptees. Adoptees do not share 194 genes by descent with their adoptive parents, so we expect their polygenic scores to be 195 uncorrelated with the genotypes of their adoptive parents. Therefore, the polygenic score effect 196 in adoptees cannot be inflated by environmentally mediated parental indirect genetic effects. In 197 this design, the indirect genetic effect is estimated by subtracting this direct PGS effect from the 198 population effect estimated in the non-adopted group. When taking the difference, it is important 199 that the groups are similar in characteristics other than genetic relatedness to their parents. We 200 did not find strong evidence for differences in several demographic and early-life characteristics 201 of adoptees and non-adopted individuals in the UK Biobank (see Supplementary Table 11, 202 Supplementary Note, and Supplementary Figure 2).

Third, indirect genetic effects can be estimated, and disentangled from direct genetic effects,
using information on parental genetic variation that was not transmitted to offspring<sup>14,15</sup>

205 (equation (3)).

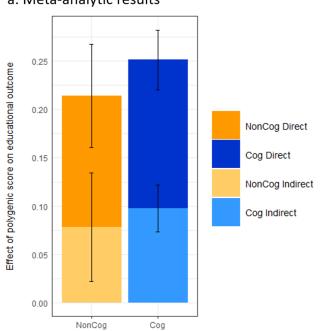
$$EA = \alpha_0 0 + \beta_{T_{Cog}} (PGS(Cog)_T) + \beta_{T_{NonCog}} (PGS(NonCog)_T) + \beta_{NT_{Cog}} (PGS(Cog)_{NT}) + \beta_{NT_{NonCog}} (PGS(NonCog)_{NT})$$
(3)  
+ sex + age + sex \* age + 10PCs + genotyping platform

206 The population effect is estimated from a polygenic score based on transmitted variants ( $\beta_T$ ). 207 Transmitted genetic variants are present in an offspring and in at least one of their parents, and so 208 may influence offspring education via both direct and indirect mechanisms. The parental indirect 209 genetic effect is estimated as the effect of a polygenic score based on parental variants that were 210 not transmitted to offspring ( $\beta_{NT}$ ). Non-transmitted variants can only take effect on offspring 211 education through the environment. The direct genetic effect is estimated by partialling out the 212 effect of the non-transmitted polygenic score from that of the transmitted polygenic score ( $\beta_T$  -213  $\beta_{\rm NT}$ ). Maternal and paternal scores are averaged in order to create overall parental non-214 transmitted polygenic scores. Parents' heritable cognitive and non-cognitive skills both influence offspring 215

# 216 education indirectly via the environment.

217 In the overall meta-analysis across cohorts, designs and outcomes, the Cog PGS showed a 218 slightly stronger association with educational outcomes than the NonCog PGS (indicated by the 219 total height of the bars in Figure 2a; population  $\beta_{NonCog}=0.22$ , SE=0.01; population  $\beta_{Cog}=0.25$ , 220 SE=0.01). We investigated environmental effects of parents' non-cognitive and cognitive skills 221 on offspring education by estimating the contribution of parental indirect genetic effects to the 222 population effects of NonCog and Cog PGS. Figure 2a shows that, for both NonCog and Cog 223 PGS, indirect genetic effects of parents on offspring education were present (meta-analytic 224 indirect  $\beta_{NonCog}=0.08$ , SE=0.03; indirect  $\beta_{Cog}=0.10$ , SE=0.01), in addition to direct genetic effects 225 (direct  $\beta_{NonCog}=0.14$ , SE=0.03; direct  $\beta_{Cog}=0.15$ , SE=0.02). Averaged across all designs, 226 outcomes and cohorts, indirect environmentally-mediated effects explained 36% of the 227 population effect of the NonCog PGS, and 40% of the population effect of the Cog PGS.

228	However, results varied depending on the methods used and outcomes investigated. Results per
229	cohort, outcome and design, as well as population genetic effects and the ratio of indirect to
230	population effects are reported in Supplementary Table 3 and Supplementary Figure 3, 4 and 5.
231	Meta-analytic results are reported in Supplementary Table 4. Z-tests results comparing direct and
232	indirect effects are reported Supplementary Table 5.
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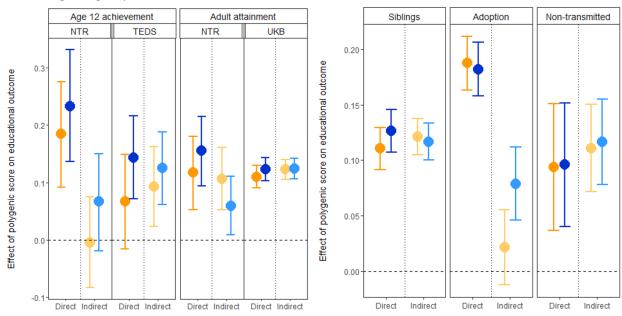
#### a. Meta-analytic results

#### Figure 2.

a. Population effects of NonCog and Cog PGS on educational outcomes include both direct and indirect genetic mechanisms. Indirect genetic effects work through the environment that parents provide for their children. Notes: beta coefficients were obtained from metaanalysis of effects across cohorts, designs and outcome phenotypes; bars = 95% Cls.

b. Estimates of direct and indirect effects of NonCog and Cog PGS by cohort (for age 12 and adult outcomes), using the sibling design only. NTR is a Dutch cohort (N=1631 and N=3163 respectively), TEDS (N=2862) and UKB (N=16,624) are UK cohorts; bars = 95% Cls.

c. Estimates of direct and indirect effect of NonCog and Cog PGS by analytic design (for adult educational attainment outcomes only). Samples sizes: N=42,663 (results metaanalysed across UKB and NTR); N=6407 adoptees and 6500 non-adopted individuals (UKB); N=2534 trios in NTR; bars = 95%CIs.



#### b. Sibling design by cohort

#### c. Educational attainment by design

# Estimates of parental indirect genetic effects vary slightly by age, outcome and cohort.

245	Figure 2b shows estimates of direct and indirect genetic effects of NonCog and Cog PGS for
246	different cohorts and educational outcomes, holding the design constant (i.e., the sibling design,
247	which was available for all cohorts and outcomes). Estimates were highly consistent across
248	cohorts except for age 12 achievement in Dutch versus UK cohorts: indirect genetic effects were
249	negligible and represented a small fraction of the population effect in NTR (3% and 23% for
250	NonCog and Cog, respectively), whereas they accounted for 56% and 48% of the population
251	effects of NonCog and Cog PGS in TEDS. For adult educational attainment, estimates of direct
252	and indirect effects were more similar for the Dutch (NTR: indirect $\beta_{NonCog}=0.11$ , SE=0.03;
253	indirect $\beta_{Cog}=0.06$ , SE=0.03) and UK (UKB: indirect $\beta_{NonCog}=0.12$ , SE=0.01; indirect $\beta_{Cog}=0.12$ ,
254	SE=0.01) cohorts. See Supplementary Table 3 for full results.

# 255 Estimates of indirect genetic effect depend on the analytical design: adoption256 based estimates are lower.

Figure 2c shows estimates of direct and indirect genetic effects of NonCog and Cog PGS for different designs, holding the phenotype constant (i.e., educational attainment, which was available for all three methods). While estimates obtained with sibling and non-transmitted PGS methods indicate equal indirect effect sizes (indirect  $\beta$ s for educational attainment ranged between 0.11-0.12; see Supplementary Tables 3 and 4), the adoption design yielded low to null indirect genetic effects for both NonCog and Cog PGS (indirect  $\beta_{NonCog}=0.02$ , SE=0.02; indirect  $\beta_{Cog}=0.08$ , SE=0.02). 264 Figure 3 summarises how the three designs estimate parental indirect genetic effects in the 265 presence of different contributors, thus highlighting explanations for lower adoption-based 266 estimates. This information is based on simulations (see Supplementary Note, Supplementary 267 Figure 9, and GitHub). First, unlike the sibling and non-transmitted allele designs, the adoption 268 design does not capture indirect genetic effects occurring in the prenatal period. Second, the 269 adoption design estimates indirect genetic effects with less bias from population stratification 270 and assortative mating. Notably, the adoption design uniquely estimates parental indirect genetic 271 effects without bias from assortative mating if there is no parental indirect genetic effect, and is 272 slightly less biased by assortment than the other designs in the presence of a parental indirect 273 genetic effect. Any excess indirect genetic effect estimated in the sibling/non-transmitted allele 274 designs compared to the adoption design therefore indicates the overall impact of population 275 stratification, assortative mating, and prenatal indirect genetic effects.

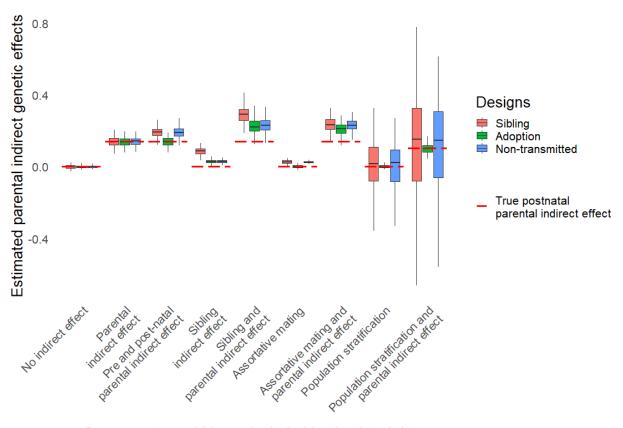
276 With the adoption design, the indirect genetic effect of the NonCog PGS on educational

attainment in UK Biobank is 83% lower than with the sibling design, while it is only 33% lower

for Cog. This suggests that estimates for NonCog are affected more strongly than Cog by

279 population stratification, assortative mating and/or prenatal indirect genetic effects.

Indirect genetic effects from siblings are the only potential source of difference between siblingand trio-based estimates – positive sibling effects inflate estimates from the sibling design but not the other (see Supplementary Note, Supplementary Figure 9, and GitHub). Since we did not find evidence of differences between results from these two designs, sibling indirect genetic effects are likely to be small or non-existent.



Components and biases included in simulated data **Figure 3.** Estimates of parental indirect genetic effects from the three designs, based on data simulated to include different components (parental prenatal and postnatal indirect genetic effects) and biases (sibling indirect genetic effects, assortative mating, and population stratification). Boxplots of 100 replicates based on a simulated sample of 20,000 families. Red line is the true simulated (postnatal) parental indirect effect.

- 292 Population stratification and assortative mating, but not sibling indirect
- 293 effects, might inflate estimates of indirect genetic effects from sibling and non-
- 294 transmitted alleles designs.
- 295 Although triangulating designs suggested that population stratification, assortative mating, and
- 296 prenatal indirect genetic effects contribute to the higher estimated parental indirect genetic

effects from non-transmitted alleles/sibling designs relative to the adoption design, this approach cannot disentangle the relative importance of these individual biases. To this end, we conducted additional sensitivity analyses to assess the magnitudes of these biases (not pre-registered).

First, we analysed the GWAS summary data on which the polygenic scores were based, using
LD score regression to detect population stratification. The LD score regression ratio statistics of
uncorrected educational attainment and cognitive performance GWAS were 0.11 (SE=0.01) and
0.06 (SE=0.01), respectively (Supplementary Table 1). These non-null estimates indicated that a

304 small but significant portion of the GWAS signal was potentially attributable to residual

305 population stratification. As CP seems less prone to population stratification than EA, it is

306 possible our estimates of direct and indirect genetic effects of NonCog were more biased by

307 population stratification than Cog.

308 Second, we detected slight evidence of assortative mating, which appeared stronger in the UK

309 than Dutch cohorts. In NTR, parental PGS correlations are non-significant (NonCog r = 0.03,

310 Cog r=0.02). Sibling PGS intraclass correlations ranged between 0.49-0.52 in NTR, and between

311 0.53-0.56 in TEDS and UK Biobank. This supports the presence of assortative mating on

312 NonCog and Cog PGS potentially biasing our estimates of indirect genetic effects in UK cohorts,

313 but less in our Dutch cohort. See Supplementary Table 6 for full correlations.

Third, we performed three sensitivity analyses, none of which supported the presence of indirect effects of siblings' NonCog and Cog PGS on individuals' educational outcomes. Our first approach leveraged sibling polygenic scores, the rationale being that in the presence of a sibling effect, a sibling's PGS will influence a child's outcome beyond child and parent PGS. In NTR, siblings' NonCog or Cog PGS had non-significant effects on achievement and attainment

319 (Supplementary Table 7). In a second approach, the difference in PGS effects on EA between 320 monozygotic (MZ) and dizygotic (DZ) individuals was tested. Since MZ twins are more 321 genetically similar than DZ twins, their PGS should capture more of the indirect genetic effect of 322 their twin. In NTR and TEDS, PGS effects were not significantly different between MZs and 323 DZs (Supplementary Table 8 & Supplementary Figure 6). Finally, in UKB, we tested PGS 324 effects on EA given the number of siblings individuals reported having. If more siblings leads to 325 a stronger sibling effect, this will be captured as an increased effect of an individual's own PGS 326 on the outcome in the presence of more genetically related siblings. As a negative control, we 327 conducted the same analysis in adoptees. Since adoptees are unrelated to their siblings, their PGS 328 do not capture sibling effects at any family size. NonCog PGS effects weakly increased with 329 number of siblings, but this pattern was also present in adoptees, suggesting confounding by 330 unobserved characteristics of families with numerous children (Supplementary Table 9 & 331 Supplementary Figure 7).

# 332 **Discussion**

We used genetic methods to study environmental effects of parents' skills on child education. We found evidence that characteristics tagged by NonCog and Cog polygenic scores (PGS) are both involved in how parents provide environments conducive to offspring education. Indeed, indirect genetic mechanisms explained 36% of the population effect of the NonCog PGS, and 40% of the population effect of the Cog PGS (population  $\beta_{NonCog}=0.22$ , SE=0.01; population  $\beta_{Cog}=0.25$ , SE=0.01). This result was consistent across countries, generations, outcomes and analytic designs, with two notable exceptions. First, estimated parental indirect genetic effects

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340 were null for childhood achievement in our Dutch cohort (NTR), but not for comparable 341 outcomes in our UK cohort (TEDS). Second, parental indirect genetic effects estimated with the 342 adoption design were lower than for the sibling and non-transmitted allele designs, particularly 343 for the NonCog PGS. Given our evidence from data simulations that the adoption-based 344 estimates of indirect genetic effects are more robust to population stratification and assortative 345 mating, these biases may contribute substantially in the other two designs, especially for the 346 NonCog PGS. This was supported by results from sensitivity analyses. 347 This study demonstrates utility of genetic methods for assessing elusive phenomena: non-348 cognitive skills, and genuine environmental influences from parents, unconfounded by offspring-349 led effects of inherited genes. Compared to analysing a set of measured parental non-cognitive 350 skills, our GWAS-by-subtraction approach captures a wider array of traits linked genetically to 351 attainment, and therefore broadly quantifies the overall salience of parents' non-cognitive skills. 352 Our evidence that parents' non-cognitive and cognitive skills are both important for children's

education complements the growing literature that has considered effects of specific measured skills within both of these domains<sup>4,5</sup>. These studies found that effects of parents' non-cognitive skills on offspring education were less than half the size of the effects of parents' cognitive skills. In contrast, we found that indirect genetic effects of NonCog PGS were almost as large as for Cog skills. This discrepancy is likely to stem from our comprehensive definition of noncognitive skills, as we do not rely on possibly unreliable and incomplete phenotypic measures.

Importantly, the parental indirect genetic effects we have identified may capture proximal forms of 'nurture' (e.g., a parent directly training their child's cognitive skills, or cultivating their child's learning habits through participation and support) and/or more distal environmental effects (e.g., a parent's openness to experience leading them to move to an area with good

schools). The environmental effects of parents' non-cognitive and cognitive skills are likely to be
larger than we estimate, because our approach only captures effects of parent skills tagged by
current GWAS. Polygenic scores index a subset of the common genetic component of parent
skills, which is in turn a fraction of the total genetic component (missing heritability<sup>20,21</sup>), and
cannot account for the non-heritable component of parent skills.

368 The lower importance of parental indirect genetic effects for child achievement in the 369 Netherlands compared to similar UK outcomes indicates that our UK achievement outcomes 370 more strongly capture variation in family background. This difference could result from the 371 design of these achievement measures: Dutch test results are standardized based on a 372 representative population, but UK teacher reports might still be affected by student social 373 background. Societal differences offer another explanation. Some argue that estimates of family 374 shared-environmental variance in twin studies are indicators of social inequality, and this logic holds for indirect genetic effects<sup>22</sup>. For adult attainment, results were more consistent across UK 375 376 and Dutch cohorts, corresponding with recent evidence for consistent shared-environment influence on educational attainment across social models<sup>23</sup>. This consistency also suggests that 377 378 the difference in childhood is not due to a cohort or population difference. The higher indirect 379 genetic effects in adult attainment might reflect an increase in environmental variance due to 380 tracking in secondary schools in the Netherlands<sup>16</sup>. Socioeconomic disparities in achievement 381 seem to increase more between ages 10 and 15 in the Netherlands than in the UK<sup>24</sup>. Despite no 382 statistically significant parental indirect genetic effects on the achievement test at 12, children 383 whose parents have a higher education are more likely to enroll in a higher educational track<sup>25</sup>, 384 suggestive of greater parental effects on secondary and later education, which should be tested in 385 further studies.

386 We found that the choice of design used to estimate indirect genetic effects matters, with the 387 adoption design giving systematically lower estimates. Direct comparison of results across 388 designs suggested that 33% (for Cog) and 83% (for NonCog) of the indirect genetic effects on 389 adult educational attainment, estimated using the sibling design, are due to population 390 stratification, assortative mating, and prenatal indirect genetic effects. The importance of 391 population stratification for genetic associations with educational attainment was suggested by recent UK Biobank studies<sup>26,27</sup>, and was reflected in our sensitivity analyses. Our LD score 392 393 regression results indicated residual population stratification, which was more severe for the 394 NonCog GWAS. There was some evidence of assortative mating, with sibling PGS correlations 395 above expectation (>0.5) particularly in the UK cohorts. This country difference in assortment is 396 supported by the lower estimated spouse PGS correlations in NTR (0.02 for Cog, 0.03 for NonCog) than for the EA PGS in the UK Biobank  $(0.06)^{28}$ . There was no statistically significant 397 398 difference in assortative mating between Cog and NonCog, suggesting that population 399 stratification explains the particularly large design-based discrepancy between estimates of 400 indirect genetic effects for NonCog. Population stratification should be carefully considered in 401 studies using NonCog PGS. Methods should be developed to parse the contributions of 402 assortative mating, population stratification, indirect and direct genetic effects to complex traits. 403 This could be achieved using genomic data on extended pedigrees, inspired by extended twin-404 family designs<sup>29</sup>. Additionally, indirect genetic effects on education might not only arise from 405 parents but might span across more than a single generation, for example the influence of 406 grandparents. Since cumulative indirect genetic effects are all removed when a child is adopted, 407 their presence would contribute to the observed difference in indirect effect between the adoption 408 and other designs.

409	Regarding siblings, we did not find evidence that indirect effects of siblings' NonCog and Cog
410	PGS affect individual differences in educational outcomes, using three different approaches. This
411	corresponds with null findings regarding indirect effects of siblings' educational attainment
412	genetics in the UK Biobank <sup>26,27</sup> . This does not rule out the existence of indirect sibling genetic
413	effects in other populations (or effects such as parental compensation of sibling PGS
414	differences <sup>30</sup> ). Indirect genetic effects of sibling EA PGS were found in an Icelandic cohort <sup>15</sup> .
415	One extended twin study found that the sibling environment contributed 12% of the total
416	phenotypic variation in educational attainment in Norway, whereas the environment provided by
417	parents explained only 2.5% of the variance <sup>31</sup> . It is possible that our PGS analyses were not
418	sufficiently powered to detect indirect genetic effects of siblings, since they were based on lower
419	sample size than our main analyses. However, our results suggest that indirect genetic effects of
420	siblings on education are small. Therefore, our methods provide good proxies for parental
421	indirect genetic effects, with minimal inflation from sibling effects.
422	Our data suggest that the adoption design provides a useful lower-bound estimate of indirect
423	genetic effects of parents. Given that there was no evidence for sibling effects of the Cog or
424	NonCog PGS, our adoption-based estimates, less biased by population stratification and

425 assortative mating, are likely a closer measure of parental indirect genetic effects. However,

426 three factors may make the adoption-based estimates of indirect genetic effects too conservative.

427 First, adoption based indirect effect estimates exclude prenatal indirect genetic effects (and

428 indirect genetic effects taking place between the birth and moment of adoption), which might

429 influence educational outcomes  $^{32,33}$ . While we are unable to test for prenatal indirect effects,

430 these could be investigated in cohorts with pregnancy information, adjusting for postnatal

431 indirect genetic effects. Second, adoptees may have been exposed to a narrower range of

environments (e.g., family socioeconomic status) compared to non-adopted individuals <sup>34</sup>. This 432 433 form of selection bias is likely to increase the genetic variance at the expense of the indirect 434 genetic effect. Third, selective placement of children in adoptive families matching 435 characteristics of their biological families could result in correlation between child and 436 (adoptive) parent genotypes, leading to an underestimation of the indirect genetic effect. There is modest evidence for selective placement of adoptees based on education in the US<sup>35</sup>. We cannot 437 438 directly test for selection factors in the UK Biobank, since there is no information on the 439 adoptive parents. 440 We acknowledge several limitations. First, while we suggest that an attribute of our study is the

441 broad and phenotype-agnostic characterisation of non-cognitive skills, our GWAS-by-

443

442 subtraction approach is unable to identify specific parental characteristics, and is also still limited

444 cognitive skills might not be reflected in the available Cognitive Performance GWAS, so the

by measures of cognitive performance and educational attainment in the original GWAS. Some

445 NonCog factor could capture genetic influences affecting cognition. However, previous analyses

446 have shown that NonCog PGS predicts substantially less variation in cognition than the Cog

447 PGS<sup>36</sup>. Additionally, our NonCog latent variable reflects the residual variance of adult

448 educational attainment, and therefore is a measure of non-cognitive aspects of adult EA. Non-

449 cognitive aspects of childhood achievement might differ somewhat, which might lead to an

450 underestimation of indirect genetic effects of the NonCog PGS on these outcomes. Second, the

451 generalisability of our results is limited. Highly educated individuals are over-represented in all

452 cohorts. Participation bias also affects GWAS results<sup>37</sup>. Selection effects may be especially

453 strong in the adoption design as adoptions may depend on (partially heritable) phenotypes of the

454 biological parents, and many adoptive parents are also selected on the basis of their (partially

455 heritable) behavioural phenotypes. Additionally, only participants of European descent were 456 included in the analysis. Third, replication efforts are needed. Special effort should be targeted to 457 include diverse ancestry participants. While our overall estimates are well powered due to the 458 aggregation of cohorts, some analyses rely on a single sample. As such, results from these 459 analyses might reflect specifics of these samples and not design-specific biases, and should be 460 replicated. Finally, although our within-family methods allowed us to evaluate biases in 461 polygenic score effects within the target samples, the same biases are likely to influence the 462 effect size estimates from the original GWAS upon which our polygenic scores are based. 463 Increasingly large within-sibship GWAS will allow this to be resolved. 464 Several future research directions emerge. First, given that we have quantified overall 465 environmental effects of parents on offspring education tagged by NonCog and Cog PGS, the 466 next step is to identify specific mediating parent characteristics, whether proximal or distal. 467 Researchers could also examine mediating child characteristics on the pathway between their 468 parents' characteristics and their own educational outcomes. We speculate that parents' non-469 cognitive skills do not affect offspring education by affecting those same non-cognitive skills in 470 offspring. This is because existing twin research shows no influence of shared environmental 471 factors on individual differences in children's measured non-cognitive skills such as grit and self-control<sup>38–40</sup>. 472 473 A second future direction is to incorporate gender and socioeconomic status into research on

indirect genetic effects on education. Twin data show that shared environmental contributions to
educational attainment are larger for women than for men<sup>23</sup>. It is unknown whether this finding
holds for indirect genetic effects and for childhood achievement. Another gender aspect to
consider is differential maternal and paternal indirect genetic effects<sup>41</sup>. There is some evidence

478	(although not genetically informed) that mother and father skills show unique associations with
479	offspring education <sup>4</sup> . Indirect effects of parents' genetic endowment for non-cognitive skills on
480	child education might be mediated or moderated by parents' income and cultural capital
481	(including school-related skills and habits). While the home learning environment has been
482	found to be more stimulating in higher socioeconomic status families <sup>42,43</sup> , there is recent
483	evidence that low-income mothers report more frequent activities that facilitate cognitive
484	stimulation <sup>44</sup> .
485	
486	In sum, this study provides evidence for environmental effects of parents' non-cognitive and
487	cognitive skills on offspring educational outcomes, indexed by indirect genetic effects of
488	polygenic scores. Combining three cohorts and three designs for estimating indirect genetic
489	effects allowed us to obtain robust findings. These results have significance for human health, as

490 the role parents play in successful cognitive development and (mental) health development go491 hand in hand.

# 492 Methods

Our research complies with all relevant ethical regulations. Project approval for the Twins Early
Development Study (TEDS) was granted by King's College London's ethics committee for the
Institute of Psychiatry, Psychology and Neuroscience PNM/09/10–104. Ethical approval for the
Netherlands Twin Register (NTR) was provided by the Central Ethics Committee on Research
Involving Human Subjects of the VU University Medical Center, Amsterdam, and Institutional
Review Board certified by the U.S. Office of Human Research Protections (IRB number IRB-

499 2991 under Federal-wide Assurance-3703; IRB/institute codes 94/105, 96/205, 99/068,

500 2003/182, 2010/359) and participants provided informed consent. The UK Biobank has received

501 ethical approval from the National Health Service North West Centre for Research Ethics

502 Committee (reference: 11/NW/0382). Informed consent was obtained from all human

503 participants.

504 The study methods were pre-registered on the Open Science Framework (<u>https://osf.io/mk938/</u>)

505 on the 24/02/2020. Additional non-preregistered analyses are indicated as such below and should

506 be considered exploratory. Additional deviations from the pre-registration are detailed in

507 Supplementary Note.

#### 508 Samples

#### 509 UK Biobank

510 The UK Biobank is an epidemiological resource including British individuals aged 40 to 70 at

511 recruitment<sup>45</sup>. Genome-wide genetic data came from the full release of the UK Biobank data, and

512 were collected and processed according to the quality control pipeline<sup>46</sup>.

513 We defined three subsamples of the UK Biobank to be used for polygenic score analyses:

adopted participants, a control group of non-adopted participants, and siblings. Starting with UK

515 Biobank participants with QC genotype data and educational attainment data (N=451,229), we

516 first identified 6,407 unrelated adopted individuals who said yes to the question "Were you

517 adopted as a child?" (Data-Field 1767). We restricted the sample to unrelated participants

518 (kinship coefficient  $<1/(2^9/2))^{47}$ . Second, our comparison sample (N=6,500) was drawn at

519 random from non-adopted participants who were unrelated to each other and to the adopted

- 520 participants. Third, we identified 39,500 full-siblings, excluding adopted individuals. We defined
- full-siblings as participants with a kinship coefficient between  $1/(2^{(3/2)})$  and  $1/(2^{(5/2)})$  and a
- 522 probability of zero IBS sharing >0.0012, as suggested by <sup>46</sup> and <sup>47</sup>.
- 523 After excluding the three sub-samples for polygenic score analyses and individuals related to
- 524 these participants, we were left with 388,196 UK Biobank individuals with educational
- 525 attainment (EA) data, and 202,815 individuals with cognitive performance (CP) data. We used
- 526 these remaining individuals for the GWAS of EA and CP, and later meta-analysis with external
- 527 GWASs<sup>48</sup> (see 'Statistical Analyses' and Supplementary Note).
- 528 Twins Early Development Study (TEDS)

529 The Twins Early Development Study (TEDS) is a multivariate, longitudinal study of >10,000

twin pairs representative of England and Wales, recruited 1994–1996<sup>49</sup>. The demographic

531 characteristics of TEDS participants and their families closely match those of families in the UK.

532 Analyses were conducted on a sub-sample of dizygotic (DZ) twin pairs with genome-wide

533 genotyping and phenotypic data on school achievement at age 12 (1,431 DZ pairs) and age 16

534 (2,398 pairs).

#### 535 Netherlands Twins Register (NTR)

536 The Netherlands Twin Register (NTR)<sup>50</sup> is established by the Department of Biological

537 Psychology at the Vrije Universiteit Amsterdam and recruits children and adults twins for

longitudinal research. Data on health, personality, lifestyle and others, as well as genotyping data
have been collected on participants and their families.

540 We included in our analyses genotyped European-ancestry participants. We created a subsample

- 541 of full-siblings. NTR contains information on numerous monozygotic multiples (twins or
- 542 triplets). Because MZ multiples share the same genes, we randomly excluded all individuals but
- 543 one per MZ multiple. Only siblings with complete genetic and outcome data were subsequently
- 544 included in the analyses: 1,631 siblings with CITO (achievement test taken during the last year
- of primary school) data (from 757 families) and 3,163 siblings with EA data available (from
- 546 1,309 families).
- 547 We created a subsample with complete offspring, maternal and paternal genotypic data (i.e.,
- trios). Among individuals with available parental genotypes, respectively 1,526 (from 765

families) and 2,534 (from 1,337 families) had reported CITO and EA information.

550 The sibling and trio subsets are not independent: for CITO, 823 participants are present in both 551 subsets, 1,374 for EA.

#### 552 **Phenotypic Measures**

#### 553 UK Biobank

- 554 Educational attainment and cognitive performance phenotypes were defined following Lee et al.
- 555 2018<sup>48</sup>. From data-field 6,238, educational attainment was defined according to ISCED
- 556 categories and coded as the number of Years of Education. The response categories are: none of
- the above (no qualifications) = 7 years of education; Certificate of Secondary Education (CSEs)

558	or equivalent = 10 years; O levels/GCSEs or equivalent = 10 years; A levels/AS levels or
559	equivalent = 13 years; other professional qualification = 15 years; National Vocational
560	Qualification (NVQ) or Higher National Diploma (HNC) or equivalent = 19 years; college or
561	university degree = 20 years of education. For cognitive performance, we used the (standardized)
562	mean of the standardized scores of the fluid intelligence measure (data-field 20016 for in-person
563	and 20191 for an online assessment).

#### 564 **TEDS**

565 Educational achievement at age 12 was assessed by teacher reports, aggregated across the three 566 core subjects (Mathematics, English, and Science).

567 Educational achievement at age 16 was assessed by self-reported results for standardized tests

taken at the end of compulsory education in England, Wales and Northern Ireland: General

569 Certificate of Secondary Education; GCSE). GCSE grades were coded from 4 (G; the minimum

570 pass grade) to 11 (A\*; the highest possible grade). As with the age 12 measure, we analysed a

571 variable representing mean score for the compulsory core subjects.

572 **NTR** 

573 Educational attainment was measured by self-report of the highest obtained degree<sup>51</sup>. This

574 measure was re-coded as the number of years in education, following Okbay et al.  $2016^{52}$ .

575 Academic achievement is assessed in the Netherlands by a nation-wide standardized educational

576 performance test (CITO) around the age of 12 during the last year of primary education. CITO is

577 used to determine tracking placement in secondary school in the Netherlands, in combination

- 578 with teacher advice. The total score ranges from 500 to 550, reflecting the child's position
- 579 relative to the other children taking the test this particular year.

#### 580 Genotype quality control

#### 581 UK Biobank

- 582 SNPs from HapMap3 CEU (1,345,801 SNPs) were filtered out of the imputed UK Biobank
- 583 dataset. We then did a pre-PCA QC on unrelated individuals, and filtered out SNPs with MAF <
- .01 and missingness > .05, leaving 1,252,123 SNPs. After removing individuals with non-
- 585 European ancestry, we repeated the SNP QC on unrelated Europeans (N = 312,927), excluding
- 586 SNPs with MAF < .01, missingness >.05 and HWE p <  $10^{-10}$ , leaving 1,246,531 SNPs. The
- 587 HWE p-value threshold of  $10^{-10}$  was based on:
- 588 http://www.nealelab.is/blog/2019/9/17/genotyped-snps-in-uk-biobank-failing-hardy-weinberg-
- 589 equilibrium-test. We then created a dataset of 1,246,531 QC-ed SNPs for 456,064 UKB subjects
- 590 of European ancestry. Principal components were derived from a subset of 131,426 genotyped
- 591 SNPs, pruned for LD ( $r^2 > 0.2$ ) and long-range LD regions removed<sup>53</sup>. PCA was conducted on
- 592 unrelated individuals using flashPCA  $v2^{54}$ .

#### 593 **TEDS**

- 594 Two different genotyping platforms were used because genotyping was undertaken in two
- separate waves. AffymetrixGeneChip 6.0 SNP arrays were used to genotype 3,665 individuals.
- 596 Additionally, 8,122 individuals (including 3,607 DZ co-twin samples) were genotyped on
- 597 Illumina HumanOmniExpressExome-8v1.2 arrays. After quality control, 635,269 SNPs

remained for AffymetrixGeneChip 6.0 genotypes, and 559,772 SNPs for

599 HumanOmniExpressExome genotypes.

600	Genotypes from the two platforms were separately phased and imputed into the Haplotype
601	Reference Consortium (release 1.1) through the Sanger Imputation Service before merging.
602	Genotypes from a total of 10,346 samples (including 3,320 DZ twin pairs and 7,026 unrelated
603	individuals) passed quality control, including 3,057 individuals genotyped on Affymetrix and
604	7,289 individuals genotyped on Illumina. The identity-by-descent (IBD) between individuals was
605	< 0.05 for 99.5% in the merged sample excluding the DZ co-twins (range = $0.00 - 0.12$ ) and
606	ranged between 0.36 and 0.62 for the DZ twin pairs (mean = $0.49$ ). There were 7,363,646
607	genotyped or well-imputed SNPs (for full genotype processing and quality control details, see <sup>55</sup> ).
608	To ease high computational demands for the current study, we excluded SNPs with MAF <1%
609	and info < 1. Following this, 619216 SNPs were included in polygenic score construction.
610	Principal components were derived from a subset of 39,353 common (MAF > 5%), perfectly
611	imputed (info = 1) autosomal SNPs, after stringent pruning to remove markers in linkage
612	disequilibrium ( $r^2 > 0.1$ ) and excluding high linkage disequilibrium genomic regions to ensure
613	that only genome-wide effects were detected.

#### 614 **NTR**

Genotyping was done on multiple platforms, following manufacturers protocols: PerlegenAffymetrix, Affymetrix 6.0, Affymetrix Axiom, Illumina Human Quad Bead 660, Illumina Omni
1M and Illumina GSA. For each genotype platform, samples were removed if DNA sex did not
match the expected phenotype, if the PLINK heterozygosity F statistic was < -0.10 or > 0.10, or

619 if the genotyping call rate was < 0.90. SNPs were excluded if the MAF  $< 1 \times 10^{-6}$ , if the Hardy-620 Weinberg equilibrium p-value was  $< 1 \times 10$ -6, and/or if the call rate was < 0.95. The genotype 621 data was then aligned with the 1000 Genomes reference panel using the HRC and 1000 Genomes 622 checking tool, testing and filtering for SNPs with allele frequency differences larger than 0.20 as 623 compared to the CEU population, palindromic SNPs and DNA strand issues. The data of the 624 different platforms was then merged into a single dataset, and one platform was chosen for each 625 individual. Based on the ~10.8k SNPs that all platforms have in common, DNA identity-by-626 descent state was estimated for all individual pairs using the Plink and King programs. Samples 627 were excluded if these estimates did not correspond to expected familial relationships. CEU 628 population outliers, based on per platform 1000 Genomes PC projection with the Smartpca 629 software, were removed from the data. Then, per platform, the data was phased using Eagle and 630 then imputed to 1000 Genomes and Topmed using Minimac following the Michigan imputation 631 server protocols. Post-imputation, the resulting separate platform VCF files were merged with 632 Bcftools into a single file per chromosome for each reference, for SNPs present on all platforms. 633 For the polygenic scoring and parental re-phasing, the imputed data were converted to best guess 634 data and were filtered to include only ACGT SNPs, SNPs with MAF > 0.01, HWE p > 10 -5 and 635 a genotype call rate > 0.98, and to exclude SNPs with more than 2 alleles. All mendelian errors 636 were set to missing. The remaining SNPs represent the transmitted alleles dataset. 20 PCs were 637 calculated with Smartpca using LD-pruned 1000 Genomes-imputed SNPs genotyped on at least 638 one platform, having MAF > 0.05 and not present in the long-range LD regions. Using the --tucc 639 option of the Plink 1.07 software pseudo-controls for each offspring were created, given the 640 genotype data of their parents. This resulted in the non-transmitted alleles dataset, as these 641 pseudo-controls correspond to the child's non-transmitted alleles. To determine the parental

- origin of each allele, the transmitted and non-transmitted datasets were phased using the
- 643 duoHMM option of the ShapeIT software. The phased datasets were then split based on parental
- origin, resulting in a paternal and maternal haploid dataset for the transmitted and non-
- 645 transmitted alleles.

### 646 Statistical analyses

647 All statistical tests are two-sided, unless otherwise stated.

#### 648 NonCog GWAS-by-subtraction

- To generate NonCog summary statistics, we implemented a GWAS-by-subtraction using
- 650 Genomic SEM following Demange et al. 2020 using summary statistics of EA and cognitive
- 651 performance obtained in samples independent from our polygenic score samples.
- 652 We ran a GWAS of Educational Attainment and Cognitive Performance in UK Biobank
- (polygenic score sample left-out). We meta-analysed them with the EA GWAS by Lee et al.
- excluding 23andMe, UK Biobank and NTR cohorts, and with the CP GWAS by Trampush et al.
- respectively (EA total N=707,112 and CP N=238,113). More information on these methods and
- 656 intermediate GWAS are found in Supplementary Note and Supplementary Table 1.
- Following Demange et al. 2020, we used EA and CP meta-analysed summary statistics to create
- two independent latent variables: Cog, representing the genetic variance shared between EA and
- 659 CP, and NonCog representing the residual genetic variance of EA when regressing out CP
- 660 (Supplementary Figure 1). These two latent factors were regressed on each SNP: we obtained

661	association for 1,071,804 SNPs (HapMap3 SNPs, as recommended when comparing PGS
662	analyses across cohorts). We calculate the effective sample size of these GWAS to be 458,211
663	for NonCog and 223,819 for Cog.

#### 664 Polygenic Score construction in UK Biobank, TEDS and NTR

665 Polygenic scores of NonCog and Cog were computed with Plink software (version 1.9 for NTR,

666 2 for UKB and TEDS) <sup>56,57</sup> based on weighted betas obtained using the LD-pred v1.0.0 software

using infinitesimal prior, a LD pruning window of 250kb and 1000Genomes phase 3 CEU

668 population as LD reference. Weighted betas were computed in a shared pipeline. In NTR, scores

669 for non-transmitted and transmitted genotypes were obtained for fathers and mothers separately

670 so we average them to obtain the mid-parent score.

#### 671 Polygenic score model fitting

Each model included cognitive and non-cognitive polygenic scores simultaneously and

673 controlled for: 10 ancestry principal components (PCs), sex and age, interaction between sex and

age, and cohort-specific platform covariate (NTR: genotyping platform, UKB: array, TEDS:

batch). Polygenic scores and outcome variables were scaled. Age was estimated by year of birth,

age at recruitment or age at testing depending on the cohorts, see Supplementary Table 2.

677 Correlations between NonCog and Cog PGS, as well as between and within-family PGS are

678 reported Supplementary Table 10.

All regressions were linear models with lm() in R rather than mixed models as in previous

680 analyses<sup>16,17</sup> and our pre-registered methods. See Supplementary Note: Deviation from pre-

registered methods for the justification based on simulated data. We obtained bootstrapped

682 standard errors and bias-corrected confidence intervals (normal approximation) for the

- 683 population, indirect and direct effects, as well as the ratios of indirect/direct and
- 684 indirect/population effect. We ran ordinary non-parametric bootstraps using 10,000 replications
- 685 with boot() in R. For the sibling design, where two independent regressions are used, we use the
- same bootstrap samples for both (both regressions were run within the same boot object). For the
- adoption design, the bootstrapped samples are drawn from the adopted and non-adopted samples
- 688 separately. The bootstrap estimates were used to test for the difference between the direct and
- 689 indirect effect in both Cog and NonCog and the difference between the ratio indirect/population
- 690 for Cog and NonCog, using Z-tests.

#### 691 Additional analyses (not pre-registered)

#### 692 Meta-analyses

- 693 To estimate the overall indirect and direct effects of NonCog and Cog polygenic scores, we
- 694 meta-analysed estimates across cohorts, designs and phenotypic outcomes.

To compare results obtained across the three different designs, we meta-analysed effect sizes

obtained from each design across cohorts, but holding the outcome constant (educational

- attainment). The adoption design was only applied to EA in UKB, hence no meta-analysis wasnecessary.
- 699 Meta-analyses were conducted using the command rma.mv() in the R package metafor. Design
- 700 was specified as a random intercept factor, except when results were meta-analysed within-

701 design.

### 702 Investigation of the presence of biases

#### 703 **Population stratification**

704 Population stratification refers to the presence of systematic difference in allele frequencies 705 across subpopulations, arising from ancestry difference due to non-random mating and genetic 706 drift. This leads to confounding in genetic association studies. In a PGS analysis, bias due to 707 population stratification can arise from both the GWAS used to create the scores and the target 708 sample. We corrected for population stratification in the target sample by adjusting analyses for 709 PCs (although this may not remove fine-scale stratification). For the GWAS summary statistics, the ratio statistics LDSC output is a standard measure of population stratification<sup>58</sup>. As a rule of 710 711 thumb a LDSC intercept higher than 1 (inflated) indicates presence of population stratification. 712 Because we corrected the standard errors of the EA GWAS for inflation and GenomicSEM 713 corrects for inflation as well, the ratio statistics of the Cog and NonCog GWAS are not a valid 714 indication of population stratification (ratio <0 following GC correction). We therefore use the 715 ratio statistics of uncorrected EA and CP GWAS as proxies. Ratio and LDscore intercept was 716 assessed with the ldsc software<sup>58</sup>.

#### 717 Assortative mating

Assortative mating refers to the non-random mate choice, with a preference for spouses with
similar phenotypes. If these preferred phenotypes have a genetic component, assortative mating
leads to an increased genetic correlation between spouses, as well as between relatives <sup>28</sup>.
Assortative mating can therefore be inferred from elevated correlations between polygenic scores
in siblings (correlations would be 0.5 without assortative mating) and between parents

37

- 723 (correlations would be 0 without assortative mating). We estimated sibling intraclass correlations
- of Cog and NonCog PGS in UKB, TEDS and NTR, and Pearson's correlations of paternal and
- 725 maternal Cog and NonCog PGS in NTR. Notably, these observed correlations cannot distinguish
- assortative mating from population stratification.

#### 727 Sibling effects

We performed three additional analyses to investigate indirect genetic effects of siblings on

729 educational outcomes.

First, we ran a linear mixed model extending our main non-transmitted alleles design to include
polygenic scores of siblings (equation (4)). To this end, we used data from NTR on DZ pairs and
both of their parents. Sample sizes of genotyped 'quads' with offspring CITO or EA phenotypes
were 657 and 788, respectively.

$$EA = \alpha_{0}0 + \beta_{T_{Cog}}(PGS(Cog)_{T}) + \beta_{T_{NonCog}}(PGS(NonCog)_{T}) + \beta_{NT_{Cog}}(PGS(Cog)_{NT}) + \beta_{NT_{NonCog}}(PGS(NonCog)_{NT}) + \beta_{Sibling_{Cog}}(PGS(Cog)_{Sibling}) + \beta_{Sibling_{NonCog}}(PGS(NonCog)_{Sibling}) + sex + age + sex * age + 10PCs + genotyping platform$$
(4)

734

Second, we can also assess the presence of sibling genetic effects using monozygotic and
dizygotic twins. Because monozygotic twins have the same genotypes, the genetically-mediated
environment provided by the cotwin is more correlated to the twin genotype in MZ twins than in

738	DZ twins. The sibling genetic effect is more strongly reflected in the polygenic score prediction
739	of the educational outcome for MZ twins than for DZ twins. If the sibling genetic effect is
740	negative, the polygenic score effect (betas) on the outcome in people that have an MZ twin will
741	be lower than in people that have a DZ twin, it will be higher in those with an MZ twin then
742	those with an DZ twin if the sibling genetic effect is positive. We therefore compare Betas from
743	equation (2) in a subset of MZ twins and in a subset of DZ twins (one individual per pair) in both
744	NTR (N <sub>MZ</sub> =818 & N <sub>DZ</sub> =865 for CITO and N <sub>MZ</sub> =1,600 & N <sub>DZ</sub> =1,369 for EA) and TEDS

745  $(N_{MZ}=546 \& N_{DZ}=2,709)$ 

746 Third, the presence of sibling genetic effects can be assessed using data on the number of 747 siblings participants have. If an individual has more siblings we expect their polygenic scores to 748 be more correlated to sibling effects. As the number of siblings increases (if we assume linear 749 increase) so does the degree to which a PGS captures sibling effects. If the sibling genetic effect 750 is positive, the effect of the Cog and NonCog PGS on the educational outcome should increase 751 with the number of siblings. However, family characteristics and environment might differ 752 across families depending on the number of children. Therefore, changes in the effect of the PGS 753 on our outcome with the number of siblings could be due to factors other than sibling genetic 754 effects (for example, there is a known negative genetic association between number of children 755 and EA<sup>59</sup> which could result in confounding). By also looking at changes in the effect of the Cog 756 and NonCog PGS on the educational outcome in adopted (unrelated) sibships, we break the 757 correlation between PGS and any sibling effects. If there is a change in PGS effect on the 758 educational outcome in adopted children dependent on the number of (non-biological) siblings, 759 we can assume this effect to be caused by mechanisms other than a sibling effect. We finally 760 contrast the change in PGS depending on family size in biological and adopted siblings to get an

- 761 idea of the sibling effect minus any other confounding effects of family size. We use the total
- number of reported siblings (full siblings for non-adopted and adopted siblings for adopted
- 763 individuals, data-fields: 1873, 1883, 3972 & 3982).

### 764 **Data availability**

- 765 Summary Statistics of Cog and NonCog used in this paper are available upon request. Summary
- 766 Statistics of cognitive performance from the COGENT cohort, of EA excluding NTR and UK
- 767 Biobank cohorts are available upon request to the communicating author of these papers.
- 768 For UK Biobank dataset access, see: <u>https://www.ukbiobank.ac.uk/using-the-resource/</u>.
- 769 Netherlands Twin Register data may be accessed, upon approval of the data access committee,
- 770 email: ntr.datamanagement.fgb@vu.nl
- 771 Researchers can apply for access to TEDS data: <u>https://www.teds.ac.uk/researchers/teds-data-</u>
- 772 <u>access-policyhttps://www.teds.ac.uk/researchers/teds-data-access-policy</u>

### 773 Code availability

- All scripts used to run the analyses (empirical and simulated) are available at:
- 775 <u>https://github.com/PerlineDemange/GeneticNurtureNonCog</u>
- All additional software used to perform the analyses are available online.
- The pre-registration of the study is available on OSF: <u>https://osf.io/mk938/</u>

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## 937 Author Contributions

RC & PAD conceived and designed the study, with helpful contributions from MGN. PAD &
RC analysed the data, with help from JJH to obtain polygenic score weights and AA to perform
GWAS in UK Biobank. PAD, MGN, RC, and EME performed the simulation study. RC & PAD
wrote the manuscript. JJH, AA, EME, MM, BWD, ELdZ, KR, TCE, DIB, EvB, and GB
contributed to the interpretation of data, provided feedback on manuscript drafts and approved
the final draft.

# 944 **Competing Interests**

945 The authors declare no competing interests.