

1 **Holstein Friesian dairy cattle edited for diluted coat color as adaptation to**  
2 **climate change**

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20

21 **Abstract**

22 High-producing Holstein Friesian dairy cattle have a characteristic black and white coat  
23 pattern where black frequently comprises a large proportion of the coat. Compared to a light  
24 coat color, black absorbs more solar radiation translating into radiative heat gain which is a  
25 contributing factor to heat stress in cattle, negatively impacting on their production levels,  
26 fertility and welfare. To better adapt dairy cattle to the rapidly changing climatic conditions  
27 with predictions for more frequent and prolonged hot temperature patterns, we aimed to  
28 lighten their coat color by genome editing. Using gRNA/Cas9-mediated editing, we  
29 introduced a three base pair (bp) deletion in the pre-melanosomal protein 17 gene (*PMEL*)  
30 proposed as the causative variant responsible for the semi-dominant color dilution phenotype  
31 seen in Galloway and Highland cattle. Calves generated from cells homozygous for the edited  
32 mutation revealed a strong color dilution effect. Instead of the characteristic black and white  
33 coat color patterning of control calves generated from unedited parental cells, the edited  
34 calves displayed a novel pattern of grey and white markings and absence of any black areas.  
35 This, for the first time, verified the causative nature of the *PMEL* mutation for diluting the  
36 black coat color in cattle. With these edited animals, it is now possible to dissect the effects  
37 of the introgressed edit and other interfering allelic variants that might exist in individual  
38 cattle and accurately determine the impact of only the three bp change on important health,  
39 welfare and production traits. In addition, our study proved targeted editing as a promising  
40 approach for the rapid adaptation of livestock to changing climatic conditions.

41

## 42 **Introduction**

43 The present trend of increasing global temperatures are rapidly changing the environment and  
44 conditions under which dairy animals are grazing. Hence, dairy cattle are no longer well  
45 adapted to the predicted realities of more frequent and prolonged periods of hotter summer  
46 temperatures (1-4). This poses significant challenges for their welfare and negatively impacts  
47 on their eco-productivity (5-7). Already, New Zealand dairy cows become heat stressed for  
48 close to 20% of lactation days in major dairy regions in New Zealand (8). This is particularly  
49 relevant for animals with black hair, a common characteristic of Holstein Friesian dairy cattle,  
50 which absorb twice as much solar radiation as white hair (9). Hence, it exposes black animals  
51 to enhanced radiative heat gain which contributes to heat stress. In hot weather, this results in  
52 a reduced ability of primarily black dairy cows to regulate body temperature and maintain  
53 milk production levels (10) while also negatively impacting on their reproductive  
54 performance (11). Lightening of the coat color should help to reduce these impacts and  
55 provide a first step to better adapted dairy cattle. In different species, *PMEL* mutations were  
56 shown to be responsible for color dilution effects (12-17). A deletion of a leucine codon in  
57 the signal peptide (p.Leu18del) of *PMEL* segregates in Highland, Galloway, and Tuli cattle,  
58 and has been proposed as a causative mutation for coat color effects (18, 19). Animals  
59 heterozygous for the p.Leu18del mutation display a faded version of the wild type (WT)  
60 black or red coat color, referred to as dun or yellow, respectively. Homozygotes present an  
61 even stronger dilution effect with a white to off-white coat, also called silver dun (black WT)  
62 or white (red WT) (18). While the role of this variant has not been functionally confirmed, its  
63 proposed coat color impacts make it an excellent candidate for introgression into Holstein  
64 Friesian dairy cattle to reduce radiative heat gain and improve overall heat tolerance.  
65 Although the introgression of the p.Leu18del mutation would be possible with a conventional  
66 crossbreeding strategy, such an approach would not enable immediate functional validation

67 of the p.Leu18del variant since many other variants on the same chromosome are co-inherited  
68 when crossbreeding. In addition, it would require back crossing over many years to catch up  
69 to the genetic merit of contemporaneous animals, which renders this process unsuitably slow  
70 for a timely adaptation. By contrast, genome editing can prove the causative relationship  
71 between a specific mutation and impact on phenotype, and provide the scope for rapid  
72 introgression - essentially within a single generation (20, 21).

73 In this study, we report the gRNA/Cas9-mediated introgression of the naturally occurring  
74 mutation p.Leu18del in the *PMEL* gene known from Galloway and Highland cattle, into  
75 Holstein Friesian cattle. Calves homozygous for the *PMEL* mutation displayed a distinct  
76 color dilution to a silvery grey of the otherwise black coat markings in the control calves. The  
77 white areas remained unaffected. This novel grey and white coat color phenotype  
78 demonstrates the causative role of the *PMEL* mutation in coat color dilution. In addition, our  
79 study shows that the introgression of naturally occurring sequence variants by genome editing  
80 is a promising new approach to rapidly improve and adapt livestock to changing  
81 environmental conditions.

82

## 83 **Materials and Methods**

### 84 Animal studies

85 All animal experiments were performed in accordance with the relevant guidelines and  
86 regulations with approvals from New Zealand's Environmental Protection Authority  
87 (GMD100279) and the Ruakura Animal Ethics Committee (14236).

88

### 89 Signal peptide prediction

90 Signal peptide features of WT and deletion variant were evaluated using Signal P-5.0 (22).

91

92 Genome editing

93 *PMEL*-specific gRNAs were designed using the Crispor online tool (23). The plasmid pX330  
94 was modified by inserting high scoring gRNA sequences (Table 1) as previously described  
95 (24, 25). These expression plasmids were subsequently used to simultaneously deliver Cas9  
96 nuclease and gRNA into male primary bovine fetal fibroblast (BEF2) cells. BEF2 cells were  
97 routinely cultured in Dulbecco's Modified Eagle's Medium (DMEM)/F12 supplemented with  
98 10% fetal calf serum (Moregate Biotech). For editing,  $2 \times 10^5$  BEF2 cells were transfected  
99 with a gRNA/Cas9 plasmid (0.5  $\mu$ g) or co-transfected with a gRNA/Cas9 plasmid (0.5  $\mu$ g)  
100 plus homology-directed repair (HDR) template (0.4  $\mu$ g) using a 10  $\mu$ l tip with program A3  
101 (1500 V pulse voltage, 20 msec pulse width, 1 pulse) according to the manufacturer's  
102 instruction of the Neon transfection system (Invitrogen). Following transfection, cells were  
103 reseeded and cultured for 2 days. After gently tapping the culture plate, dislodged mitotic  
104 cells were manually picked with a glass capillary and transferred into individual wells of a 96  
105 well plate for the isolation of cell clones. After approximately 14 days cell clones reached  
106 confluency and were successively transferred to larger multi-well plate formats for further  
107 expansion and cryopreservation.

108

109 Genotype analysis

110 Genomic DNA was extracted from cultured bovine cells and blood samples as previously  
111 described (26). Sequences of all PCR primers used in this study are listed in Table 1. The  
112 relevant region of the *PMEL* locus was PCR amplified with primer pair 1283/1284 using a  
113 Kapa 2G Fast Hotstart PCR kit (KapaBiosystems). Cycling conditions were 95 °C, 3 min; 95  
114 °C, 15 s, 60 °C, 15 s, and 72 °C, 1 s for 35 cycles; and a final extension step of 72 °C for 5  
115 min. For the mutation-specific PCR, the primer pair was 1249/1305 and used the same  
116 amplification conditions as above. Similarly, the same conditions were used for the PCR-

117 genotyping of the three ‘white spotting’ tag variants with primers representing the *KIT*  
118 (*KIT\_F/R*), *MITF* (*MITF\_F/R*), and *PAX3* (*PAX3\_F/R*) loci of interest.  
119 Probing for potential gRNA/Cas9 plasmid integration was performed with the vector-specific  
120 primers 1041 and 795 amplifying a 489 bp fragment from the U6 promoter across the gRNA  
121 and into the CMV enhancer. Amplification of a 444 bp fragment from the endogenous bovine  
122 alpha-lactalbumin gene (*LALBA*) with primers 211 and 212 served as a control for the  
123 presence of amplifiable DNA. Cycle conditions were as detailed above.  
124  
125 Digital droplet PCR (ddPCR) assays were performed on a QX200 Droplet Digital PCR  
126 System (Bio-Rad) according to the method detailed in the Bio-Rad Droplet Digital™ PCR  
127 Applications Guide essentially as described before (27). Briefly, ddPCR assays for non-  
128 homologous end joining (NHEJ) events were performed with approximately 100 ng of  
129 genomic DNA as template, primer pair 1283/1284, and a HEX-labelled drop-off (1287) and  
130 FAM-labelled reference probe (1286) located on the same amplicon. For determining  
131 template-mediated HDR editing, the drop-off probe was replaced by an HDR-probe (1285)  
132 and an unlabelled dark probe (1289). Following droplet generation, samples were PCR  
133 amplified using a Bio-Rad PCR machine as follows: 95 °C for 10 min, followed by 40 cycles  
134 of 94 °C 30 sec, 60 °C 1 min (ramp rate 2 °C/sec), then 98 °C for 10 min. Amplification  
135 results were acquired with a Bio-Rad Droplet Reader and analyzed using QuantaSoft™  
136 Analysis Pro Software (Bio-Rad).  
137 For sequence analyses, PCR reactions were separated on agarose gels and PCR fragments  
138 isolated using a Nucleo-Spin Gel and PCR Clean-up kit (Macherey-Nagel). Sanger  
139 sequencing of *PMEL* and *KIT*, *MITF* and *PAX3* fragments was provided by Massey Genome  
140 Service (Palmerston North, New Zealand) and the Auckland Genomics facility at the  
141 University of Auckland (Auckland, New Zealand), respectively. Tracking of Indels by

142 DEcomposition (TIDE, Brinkman et al., 2014) was used where required to dissect  
143 sequences of different gRNA/Cas9-generated mutations intertwined in the sequencing result  
144 of the amplified target region.

145

146 **Table 1.** PCR primer, probe, gRNA and repair template sequences used to characterise the *PMEL* locus and white spotting genes.

<b>Name</b>	<b>Sequence</b>	<b>Application</b>
122_F	GGCTCTGATGGGTGTTCTTC	gRNA, <i>PMEL</i>
129_F	ATGGGTGTTCTTCTGGCTGT	gRNA, <i>PMEL</i>
130_F	TGGGTGTTCTTCTGGCTGTA	gRNA, <i>PMEL</i>
ssODN	GGAGAGAAAACCAGAGCAGGTGTGCAACCCCAAATTCACAC TTGTTTCATGTCCAACATCCCACACTCACCTTCTGTGGTCCCTAC AGCCAGAACACCCATCAGAGCCACATGGAGAAGGTATTTTC	HDR template, <i>PMEL</i>
1249	TGCTTTAAGATGAGACTGACC	Mutation- specific PCR, <i>PMEL</i>
1305	AGCCAGAACACCCATCAG	Mutation- specific PCR, <i>PMEL</i>
1283	TTGCTGGAAGGAAGAACAGG	PCR/ddPCR primer, <i>PMEL</i>
1284	GGAGACACCTGAAGCACTAC	PCR/ddPCR primer, <i>PMEL</i>
1287	TGGGTGTTCTTCTGGCTGTAGGGACCACA	Drop-off probe (HEX), <i>PMEL</i>



1285	TGATGGGTGTTCTGGCTGTAGGGACCACA	HDR probe (FAM), <i>PMEL</i>
1289	GGCTCTGATGGGTGTTCTTCTGGCTGTAGGGACCACAG	Dark probe, <i>PMEL</i>
1286	TGCACACCTGCTCTGGTTTTTCTCTCCCT	Reference probe (FAM), <i>PMEL</i>
1041	ACTATCATATGCTTACCGTAAC	PCR, gRNA/Cas9 plasmid
795	GGGCCATTTACCGTCATTGA	PCR, gRNA/Cas9 plasmid
211	TGCCCCAGAGAAGAGAAGG	PCR, <i>LALBA</i>
212	ATTGCTAACGGGAGTGAAGTAAGT	PCR, <i>LALBA</i>
KIT_F	TGGTGAAGGAGGCATGTCTG	PCR, <i>KIT</i>
KIT_R	GGTGTGCCTTTGTGAATTCA	PCR, <i>KIT</i>
MITF_F	CGAGACACCACCGGAAACTT	PCR, <i>MITF</i>
MITF_R	TTCTGTGTTTGGAAGGGGCC	PCR, <i>MITF</i>
PAX3_F	ATGTTAGGTGCAGGTGGAGC	PCR, <i>PAX3</i>
PAX3_R	GCTTCCCACCTTGACCTCTC	PCR, <i>PAX3</i>

148 Somatic cell nuclear transfer

149 SCNT embryos were reconstructed from abattoir-derived, enucleated oocytes and individual,  
150 serum starved-donor cells using a zona-free cloning procedure as previously described (26).  
151 After seven days in vitro culture single embryos were non-surgically transferred to  
152 synchronized recipient cows for development to term.

153

154 Hair and skin color measurements

155 The lightness of color was measured using a Hunter Miniscan XE colorimeter (HunterLab,  
156 Hunter Associates Laboratories Inc., Reston, USA) according to the CIELAB color system  
157 (28). The lightness of color is measured as an L\* value that is defined by the position on the  
158 black (0) to white (100) axis of the visible spectrum. For each of the three control calves, the  
159 L\* values were determined for the hair of two different white and two dark markings, with  
160 one area located on the right and the other on the left flank of each animal. To determine the  
161 corresponding values for skin, the hair from a small area in these markings was shaved and  
162 L\* values were measured for two light and two dark markings. For the PMEL mutant calf,  
163 the coat/pelt was removed following the death of the animal and used for the color  
164 measurements. Again, two white and two dark markings on opposing flanks were selected  
165 and L\* determined with three individual measurements each.

166

167 Statistical analysis

168 Statistical significance levels of observed differences were determined by the two-tailed  
169 Fisher exact test for independence in 2 x 2 tables (cloning efficiency of CC14 vs WT) and  
170 two-tailed student's t-test (L\* measurements).

171

172 **Results**

173

174 gRNA/Cas9-mediated editing of the p.Leu18del *PMEL* mutation

175 The three bp deletion *PMEL* variant, resulting in the deletion of leucine 18 in the signal  
176 peptide of *PMEL*, is known from Highland cattle where it is associated with a semi-dominant  
177 color dilution phenotype (18).

178 To introgress this naturally occurring sequence variant into Holstein Friesian cattle we first

179 designed three different gRNAs targeting the *PMEL* gene sequence near the mutation site.

180 The corresponding gRNA/Cas9 editors were then evaluated by ddPCR for target-specific

181 cleavage activity and efficiency for template-directed repair. The analysis of primary bovine

182 embryonic fibroblast cells (BEF2) individually transfected with the gRNA/Cas9 editors

183 122\_F, 129\_F and 130\_F showed that all three editors could generate indel mutations at the

184 target site following NHEJ repair (Table 2). The best mutation rate was attained using

185 gRNA/Cas9 editor 129\_F with 35 % of sequences containing indel mutations. To measure

186 HDR efficiency we co-transfected BEF2 cells with the gRNA/Cas9 editors and a 127 bp

187 single stranded oligonucleotide specifying the three bp deletion of the p.Leu18del *PMEL*

188 mutation (Figure 1). This confirmed that the highest HDR activities were associated with

189 gRNA/Cas9 editors 122\_F and 129\_F and resulted in 5.7 % and 6.0 % of HDR events,

190 respectively in transfected BEF2 cells.

191

192 **Table 2.** Target-specific editing activity of three *PMEL*-specific gRNA/Cas9 editors.

gRNA/Cas9 editor	% NHEJ	% HDR
122_F	11	5.7
129_F	35	6.0
130_F*	7	3.8

193 \*based on a single transfection

194

```
    m d l v l r k y l l h v a l m g v l l a v g t t E
... ATGGATCTGGTGTCTGAGAAAATACCTTCTCCATGTGGCTCTGATGGGTGTTCTTCTGGCTGTAGGGACCACAGAAG...
... |||
... TACCTAGACCACGACTCTTTTATGGAAGAGGTACACCGAGACTACCCACAAGAAGACCGACATCCCTGGTGTCTTC...
... TACCTAGACCACGACTCTTTTATGGAAGAGGTACACCGAGACTACCCACAA---GACCGACATCCCTGGTGTCTTC...
... |||
```

195

196

197 **Figure 1.** Schematic overview of the *PMEL* target region. Shown is the DNA sequence for

198 the relevant region of the *PMEL* gene with the binding site for editor 129\_F (bold,

199 underlined), PAM sequence (grey highlight) and the location of the three bp deletion (box).

200 Below is the aligned sequence of the single stranded HDR template (ssODN) specifying a

201 three bp deletion (dashes). The corresponding amino acid sequence for PMEL is given in

202 single letter code above the DNA sequence with lower case indicating amino acids of the

203 predicted signal peptide according to the UniProt annotation for bovine PMEL (entry

204 Q06154).

205

## 206 Isolation of precisely edited cell clones

207 Based on our initial testing, we selected gRNA 129\_F for the gRNA/Cas9-mediated editing

208 of BEF2 cells for the isolation of edited cell clones. Following co-transfection of the PMEL-

209 specific editors and HDR template, we picked 128 individual mitotic cells into 96 well plates

210 for clonal expansion. Of those, 96 expanded into cell clones that were characterised (Table

211 3). The isolated cell clones were first screened by mutation-specific PCR, that was designed

212 to only amplify the three bp deletion allele. This initial screening was done at low stringency

213 to minimize the risk of failing to detect some correctly edited cell clones. It identified 30

214 candidate clones for the intended HDR edit. These candidates were then more stringently

215 screened by ddPCR using a hydrolysis probe specific for the three bp HDR mutation. This

216 revealed a total of seven clones that had at least one HDR allele, and in combination with

217 sequencing and TIDE analysis, three clones were confirmed that had one HDR and one WT  
218 allele (monoallelic) and four clones that were biallelically edited with two HDR alleles  
219 (Figure 2).

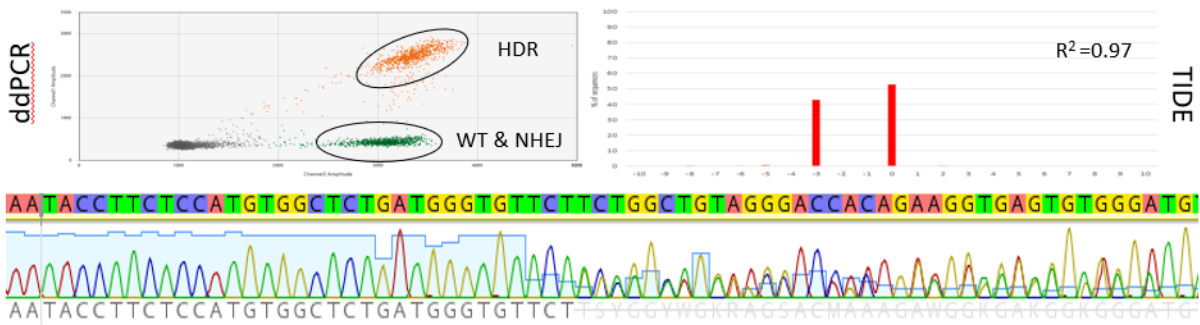
220

221 **Table 3.** Summary of cell clone isolation.

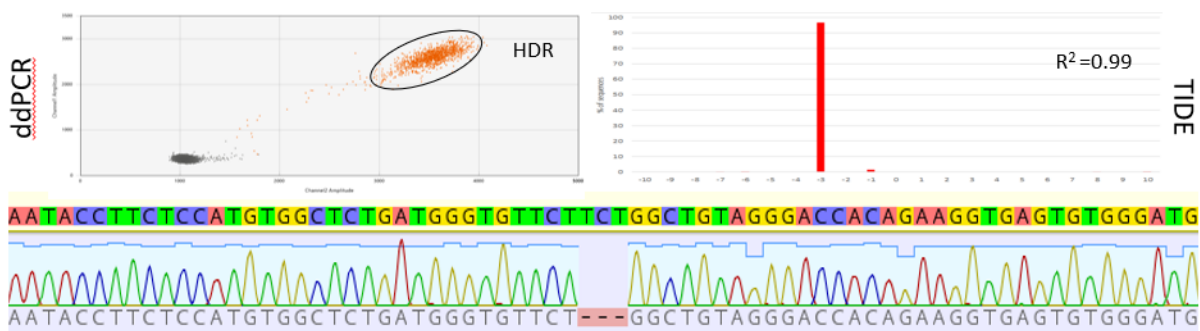
<b>Isolation/Characterisation Step</b>	<b>No. of Cell Clones</b>	<b>Efficiency</b>
Cell clones picked	128	100%
Expanded cell clones	96	75% (96/128)
Mutation specific PCR +ve	30	31% (30/96)
ddPCR HDR +ve, total	7	7% (13/96)
ddPCR HDR +ve, monoallelic	3	3% (3/96)
ddPCR HDR +ve, biallelic	4	4% (4/96)

222

## CC24 (monoallelic)



## CC14 (biallelic)



223

224 **Figure 2.** Monoallelic and biallelically edited cell clones. Examples of cell clones with a  
 225 monoallelic (CC24) and biallelic (CC14) *PMEL* HDR edit. Shown are the results for their  
 226 characterisation by ddPCR, sequencing and TIDE analyses. HDR: pool of droplets  
 227 recognised by the HDR probe; WT & NHEJ: pool of droplets not recognized by the HDR  
 228 probe indicating the presence of a WT or NHEJ allele;  $R^2$ : coefficient of determination.

229

### 230 Generation of edited calves

231 To determine the phenotypic impact of the *PMEL* mutation we used SCNT to generate calves  
 232 with donor cells from the biallelic cell clone CC14 and the parental WT cell line BEF2.  
 233 Following transfer of 22 (CC14) and 14 (BEF2) reconstructed embryos a total of seven  
 234 pregnancies were detected at day 37 of gestation (Table 4). For each genotype, one pregnancy  
 235 failed, resulting in the birth of two *PMEL* mutant calves and three control calves. The health  
 236 of one of the *PMEL* calves was compromised at birth due to complication from a hydrops

237 pregnancy. Although the second edited calf was healthy at birth, it died at the age of four  
 238 weeks due to an undetected naval infection. All three control calves were also healthy at birth  
 239 and developed normally.

240

241 **Table 4.** Summary of nuclear transfer results.

Cell clone	Genotype	Embryos transferred	Pregnancies at D37 of gestation (%) <sup>a</sup>	Development to term (%) <sup>a</sup>	Development to weaning (%) <sup>a</sup>
CC14	3 bp deletion	22	3 (14)	2 (9)	0 (0)
BEF2	WT	14	4 (29)	3 (21)	3 (21)

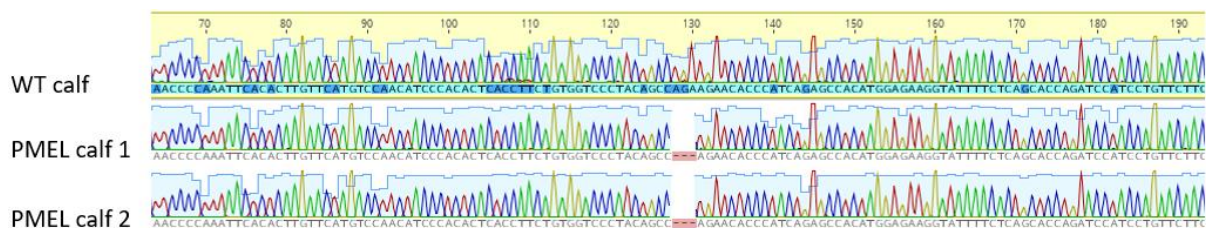
242 <sup>a</sup> per total number of transferred embryos

243

244 Genotype and phenotype characterisation

245 The genotype of the calves was confirmed by sequencing of the target region. Genomic DNA  
 246 isolated from the calves revealed uniform sequences indicating the presence of two identical  
 247 alleles. Both PMEL mutant calves were of the three bp deletion genotype whereas the  
 248 genotype of the control calves was WT (Figure 3).

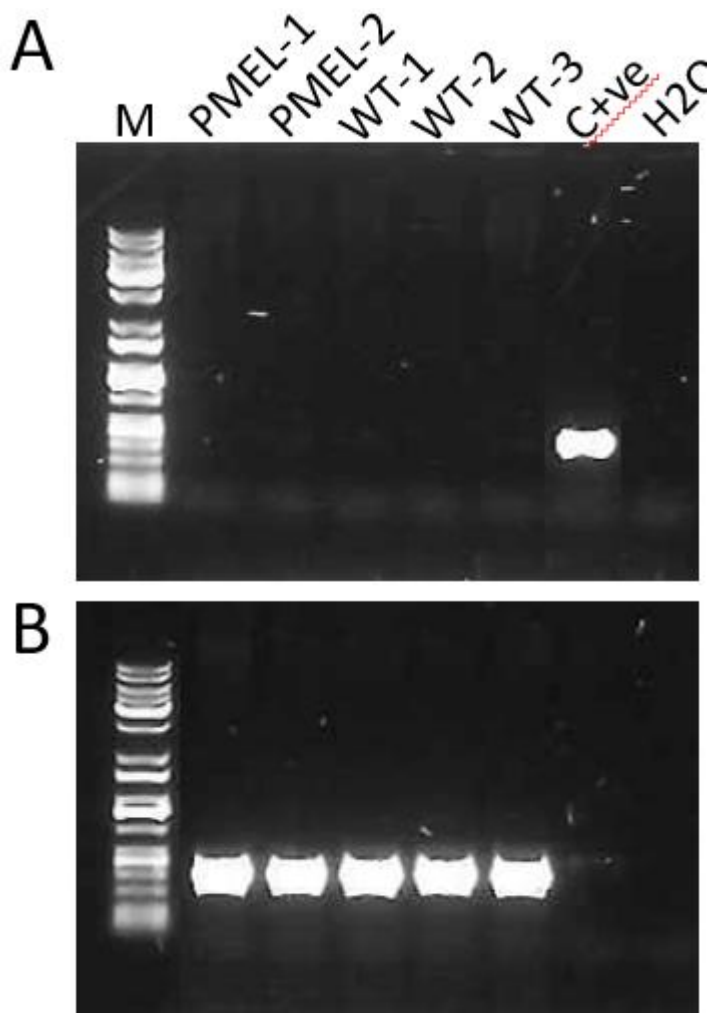
249



251 **Figure 3.** Target site sequence of the genome edited calves. Shown is an alignment of Sanger  
 252 sequence results of the *PMEL* target region of one WT calf and the two mutant calves,  
 253 genome edited for the p.Leu18del *PMEL* mutation.

254

255 Because the gRNA/Cas9 editors were delivered as plasmids, the genomic DNA of the calves  
256 was assessed for potential vector integration by PCR. PCR amplification of a 472 bp vector-  
257 specific fragment from the calves' genomic DNA failed for both PMEL mutant calves and  
258 the three control calves and only the positive control produced an amplification product  
259 (Figure 4A). By contrast, amplification of a genomic fragment from an endogenous bovine  
260 gene was successful for all calves (Figure 4B). This result suggests that the edited calves  
261 were free of unwanted plasmid vector integrations.  
262  
263



264  
265 **Figure 4.** Absence of a plasmid-specific fragment in genomic DNA from edited calves. (A)  
266 Shown are amplification results for a gRNA/Cas9 plasmid-specific amplicon with genomic



267 DNA isolated from the two edited calves (PMEL-1, 2) and the three non-edited control calves  
268 (WT-1, 2, 3). M: DNA size marker; C+ve: positive control of gRNA/Cas9 plasmid; H<sub>2</sub>O:  
269 water control. (B) The same samples analysed for the amplification of a genomic fragment  
270 specific for the endogenous *LALBA* gene encoding alpha-lactalbumin.

271

272 Both PMEL mutant calves, homozygous for the edited three bp deletion, showed a diluted  
273 coat color phenotype (Figure 5). Instead of the typical black and white coat color pattern of  
274 the Holstein Friesian cattle breed displayed by the WT control calves, the dark coat markings  
275 of the two mutant calves were no longer black but diluted into a much lighter shade of color.  
276 This resulted in a striking coat color phenotype of grey and white. Commonly, the color  
277 patterns of cloned cattle are not identical but remain very similar, which was the case for the  
278 three control calves. By contrast, the pattern of the PMEL mutant calves diverged beyond  
279 similarity of the observed pattern of the WT control clones. The markings on the face of the  
280 calves serve as an example to best illustrate this exaggerated depigmentation phenotype.

281 Whereas the WT calves possessed a very characteristic black face with a white diamond  
282 shape on the forehead, both PMEL mutant calves had a white face. In addition, the total white  
283 areas on the coat appeared to be increased in the PMEL mutant calves compared to the WT  
284 control calves. Given that major-effect QTL for white spotting have recently been reported in  
285 NZ dairy animals (29), we characterised the status of these loci in our foundation cell lines to  
286 provide context to the apparent impacts of p.Leu18del on spotting. This analysis showed  
287 edited and control animals were homozygous for two of the three ‘white increasing’ alleles  
288 reported by Jivanji and co-workers (29), suggesting the genetic background of our animals  
289 was near ‘maximally-spotted’ as determined by these other major coat-color genes (Table  
290 S1).

291



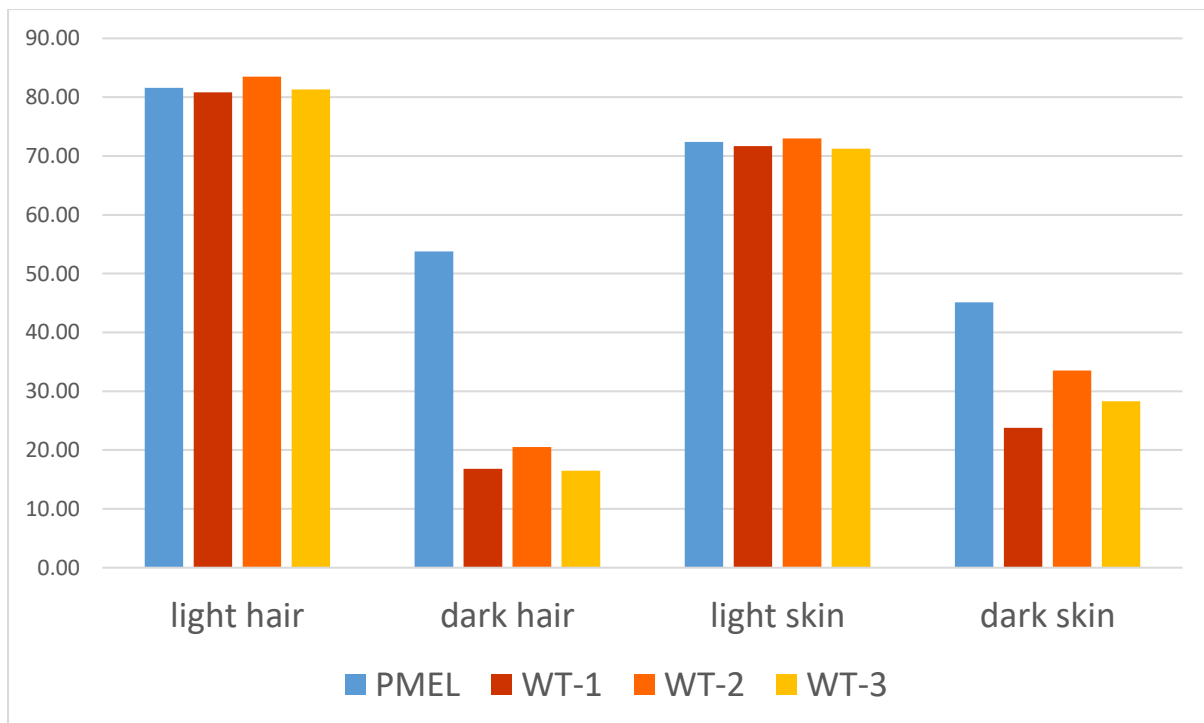
292

293 **Figure 5.** Color dilution phenotype of genome edited calf. Shown are pictures of the PMEL  
294 mutant calf with non-edited control calves for direct comparison of coat colors and  
295 distribution of white and dark markings.

296

297 To quantify the color dilution effect caused by the PMEL mutation, colorimetric measurements  
298 of hair and underlying skin color were taken from the three control calves and the viable PMEL  
299 calf. The characteristic measured was the lightness of the color expressed as  $L^*$  value according  
300 to the CIELab color scheme which defines the lightness of white as 100 and black as zero. The  
301 color shade of the white hair of the PMEL calf ( $L^* = 81.6$ ) was indistinguishable from the  
302 white hair of the three WT control calves determined as 80.8, 83.5 and 81.3 (Figure 6). This  
303 was in stark contrast to the shade of the dark hair, with a strong increase of the lightness for the  
304 PMEL calf ( $L^*=53.8$ ) compared to the three WT control calves ( $L^*=16.8, 20.5$  and  $16.5$ ;  $P <$

305 0.0001). To assess any associated changes in the pigmentation of the underlying skin, lightness  
306 was measured for the skin below white and dark-haired coat markings. The L\* value for skin  
307 beneath white-haired markings was the same for the PMEL mutant calf (L\*=72.4) and WT  
308 control calves (L\*=71.7, 73.0 and 71.3). However, similar to the difference observed for the  
309 darker hair, the shade of color for the skin under the darker coat markings showed a marked  
310 difference between the mutant calf (L\*=45.1) and the WT controls (L\*=23.8, 33.5 and 28.3; P  
311 < 0.0001).  
312

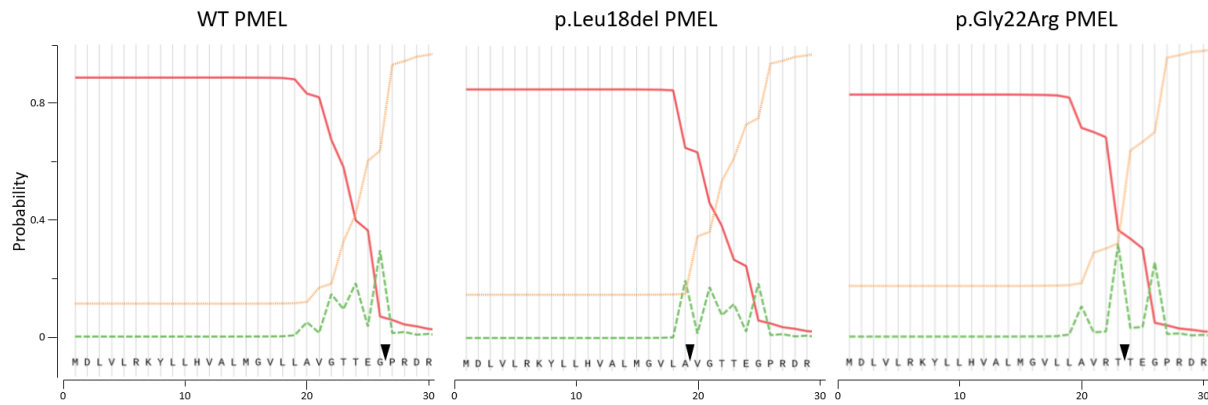


313  
314 **Figure 6.** Color dilution effect on hair and skin color of edited and WT calves. Bar graphs  
315 represent the lightness of the shade of color according to the CIELab color scheme. Shown  
316 are the averages of multiple measurements at two different sites for hair and skin as indicated.  
317 PMEL: calf edited for the p.Leu18del PMEL mutation; WT: wild type control calves.

318

319 Impact of p.Leu18del on the processing of the PMEL signal peptide

320 Considering the remarkable phenotypic impact of deleting a single leucine residue in the  
321 signal peptide of PMEL, we wondered about what impact p.Leu18del might have on the  
322 processing of the signal peptide, the N-terminal end and overall length of the protein.  
323 For WT bovine PMEL (UniProt entry Q06154), the database annotation specifies a 24 amino  
324 acid (aa) signal peptide. To assess the potential impact of the deleted leucine, we applied  
325 signal peptide predictions for WT and p.Leu18del PMEL sequences (30). For both forms,  
326 presence of a signal peptide is predicted with a likelihood of 0.89 for WT and 0.85 for the  
327 mutated PMEL (Figure 7). The cleavage site for the WT is predicted between aa 26 and aa 27  
328 (TEG-PR) which differs from the database annotation. More importantly, the cleavage site  
329 predictions for WT are also different compared to the p.Leu18del PMEL variant. For the  
330 variant, the cleavage site was predicted between aa 19 and aa 20 (VLA-VG) which also  
331 provided supporting evidence that the signal peptide of the PMEL deletion variant is  
332 processed. Hence, the deletion of leucine 18 in the signal peptide is predicted to cause the  
333 generation of an N-terminal domain that differs in length (plus six aas) from WT PMEL.  
334 These results prompted us to examine the functionality of the signal peptide in another N-  
335 terminal PMEL variant, p.Gly22Arg, that has been associated with coat color dilution in  
336 Charolais cattle (31). Similar to the p.Leu18 del mutation, the signal peptide appears to be  
337 functional with a predicted likelihood of 0.83 and cleavage site between aa 23 and 24,  
338 extending the N-terminal domain by two aas compared to WT PMEL (Figure 7).  
339



340

341

342 **Figure 7.** Signal peptide prediction for WT, p.Leu18del and p.Gly22Arg PMEL variants.

343 Plotted are the probabilities reported by Signal P-5.0 for a signal peptide (solid red line), for

344 the cleavage site (dashed green line) and for no signal peptide (dotted yellow line) in the

345 indicated PMEL variants. Arrowheads indicated the predicted cleavage sites.

346

## 347 Discussion

348 Initially, we designed and tested three different gRNAs for introducing the naturally

349 occurring three bp deletion in the PMEL gene that has been associated with coat color

350 dilution. All three showed in combination with Cas9 target site-specific cleavage activity and

351 the ability for HDR-induced introduction of the template-specified three bp deletion

352 following transfection into bovine cells. This also showed that HDR could be achieved

353 without introducing an additional mutation to eliminate the PAM motif and enabled the

354 introduction of only the naturally occurring three bp deletion. The gRNA/Cas9 editor with the

355 highest HDR results was chosen for the isolation of edited cell clones. Although an additional

356 PAM mutation might have increased the overall HDR efficiency, the gRNA/Cas9 editor was

357 sufficiently discriminatory for HDR and WT-allele to allow for isolation of correctly edited

358 cell clones.

359 Instead of using single cell sorting or antibiotic selection that might put cells under increased  
360 stress, we opted for manual picking of individual mitotic cells as a gentle method for the  
361 isolation of edited cell clones. A large proportion of transferred cells (75 %) successfully  
362 proliferated into expanded cell clones that were available for screening and subsequent  
363 production of edited calves. As expected from our low stringency PCR screen, rescreening of  
364 putative candidates with higher stringency identified several clones as false-positive. Even  
365 our stringent ddPCR assay using a hybridisation probe that was designed to selectively bind  
366 the correctly edited sequence showed some promiscuity. The assay also identified six cell  
367 clones, albeit at a lower (1000) than expected (2500) amplitude, that had a four bp instead of  
368 a three bp deletion at the target site (data not presented). Still, correctly edited cell clones  
369 could be readily identified by the assay and seven clones had genotypes with the precise,  
370 naturally occurring three bp deletion in the *PMEL* gene. Overall, the HDR-editing efficiency  
371 proved to be sufficiently high (7/97; 7.3 %) to isolate several cell clones that were  
372 monoallelically and biallelically edited with precision by HDR.

373 The edited cells were suitable donor cells for SCNT and generated two cloned calves at term,  
374 which was similar to the cloning efficiency observed with non-edited control cells. The health  
375 of one of the *PMEL* calves was adversely affected by the effects from a hydrops pregnancy  
376 and was euthanized shortly after birth on welfare grounds. The second calf appeared healthy  
377 and thriving initially but was lost after four weeks due to a viral infection that went  
378 undetected. Both conditions are known complications associated with the generation of  
379 cloned cattle and are assumed to be triggered by incorrect epigenetic reprogramming of the  
380 donor genome (32). Although all three control calves remained healthy, the cloning success  
381 from edited and control donor cells was not significantly different ( $P > 0.1$ ). Still, edited cells  
382 were put under additional stress from single cell clonal expansion and prolonged time in in  
383 vitro culture compared to control cells which might affect their clonability. Low cloning

384 efficiencies into viable edited calves of the cell-mediated editing approach could potentially  
385 be avoided by directly editing in vitro produced zygotes that are not compromised in their  
386 developmental potential (33). However, this approach has its own shortcomings. It lacks full  
387 control over the time and extent of editing and can generate complex mosaic genotypes,  
388 which to a certain extent can be addressed by screening embryos prior to transfer (27).

389 Both calves had the expected edited genotype of the precise biallelic three bp deletion in the  
390 *PMEL* gene that had been confirmed for the donor cell clone used for SCNT. Because the  
391 editor was delivered by a plasmid there is a potential for unintended vector integration.

392 Although, for a circular, supercoiled plasmid integration events are rare (34), plasmids can  
393 integrate into random genomic loci (35) or in combination with site-specific editors, such as  
394 TALENS or gRNA/Cas9 nucleases, at the target site for double strand cleavage (36, 37). The  
395 risk for such unintended integration of the molecular tools could be avoided by delivering  
396 editors as RNA molecules or as ribonucleoprotein complexes in the case of Cas9/gRNA,  
397 which can efficiently deliver editing activity into cells but are not substrates for possible  
398 integration into the genome (37). Editors have also be shown to cause potential off-target  
399 mutations due to residual binding activity and thus, cleavage activity at sites that share  
400 sequence similarity with the target site (38). However, when gRNAs are used that follow  
401 appropriate design rules, now provided by many online design tools, gRNA/Cas9 editors are  
402 unlikely to generate edited animals with significant off-target mutations (39). In a separate  
403 study, the genotype of the edited calves was analyzed in detail for any potential off-target  
404 mutation. Results confirmed that both calves were accurately edited for the intended three bp  
405 deletion and did not reveal any evidence for the presence of potential off-target mutations  
406 (40).

407 *PMEL* is a membrane protein that is exclusively expressed in pigmented cells where it is  
408 involved in the maturation of melanosomes, and pigment deposition and polymerisation in

409 these organelles. It has a complex domain structure that has provided little insight into its  
410 function (41). Mutations in *PMEL* were associated with black pigment dilution in several  
411 species but are found in different parts of the protein. While the cattle mutations in Charolais  
412 (p.Gly22Arg) as well as Highland and Galloway (p.Leu18del) are located within the signal  
413 peptide of *PMEL* (18, 31), in most other species, including mouse, chicken, dog and horses,  
414 naturally occurring functional mutations are clustered in the C-terminal transmembrane and  
415 cytoplasmic domains (12-16). A more severe mutation has been generated in a chemically  
416 induced zebrafish mutant, where a premature stop codon generated a truncated protein of just  
417 over half its normal size (17). The more subtle mutations in cattle still produce a full-length  
418 protein. Assuming the predictions are correct, the mutant variants retain the functionality of  
419 the signal peptide. However, the cleavage site for the processing of the signal peptide is  
420 altered, generating a N-terminus with six and three additional amino acids for the p.Leu18del  
421 and p.Gly22Arg mutations, respectively, that are part of the cleaved off signal peptide in the  
422 WT protein. These predictions still need to be experimentally verified by determining the N-  
423 terminus of the variant forms of *PMEL*. If confirmed it would indicate that a seemingly minor  
424 change at the N-terminus results in at least a partial loss of functionality, revealed by the  
425 observed hypopigmentation, while the underlying molecular causes remain to be determined.  
426 Introgression of the homozygous p.Leu18del mutation into Holstein Friesian genetics  
427 resulted in a marked black pigment dilution effect. However, the dilution was not as strong  
428 compared to Highland cattle where, in homozygosity, the *PMEL* variant is associated with an  
429 almost white color, named silver-dun (18). This partial rescue might be explained by  
430 interactions of *PMEL* with additional genes that exist as different allelic variants in Highland  
431 and Holstein Friesian cattle. In Highland cattle, the p.Leu18 del mutation acts in a semi-  
432 dominant pattern. Whether, this holds also true for Holstein Friesian cattle need still to be  
433 addressed by determining the coat color phenotype of hemizygous animals.



434 In addition, there was not only a general color dilution of black markings but a marked  
435 difference in the distribution and patterning of dark and white coat markings. The *PMEL*  
436 mutant calf had a larger total area of white markings and a characteristic white face compared  
437 to the control calves that were predominantly black with a black face and a white diamond  
438 shape on the forehead. Given that several, major effect QTL have recently been reported for  
439 white spotting in cattle of Holstein ancestry (modulated through the *KIT*, *MITF*, and *PAX3*  
440 genes; (29)), these findings suggest potential epistatic interactions with these loci. Although  
441 the uniform genetic background of our study precludes a formal analysis in this regard, we  
442 genotyped tag SNPs and candidate causal mutations for these loci to provide context to the  
443 *PMEL*-derived observations, and support potential future analyses to directly test this  
444 hypothesis in alternative genetic backgrounds. This analysis showed that our study animals  
445 carried a near full complement of ‘white-increasing’ alleles, suggesting introgression on other  
446 Holstein backgrounds might yield further (though incremental) gains in depigmentation. It is  
447 noteworthy that all four of these genes interact either directly or indirectly through shared  
448 pathways controlling melanoblast migration, differentiation, proliferation and/or survival (42,  
449 43). Epistatic interactions are therefore anticipated and might help further define the  
450 signalling relationships between these molecules and underlying melanocyte biology.

451 In summary, our study demonstrates the introgression of a precise *PMEL* mutation that  
452 naturally occurs in Highland cattle into both alleles of Holstein Friesian cattle. This mutation  
453 was associated with a coat color dilution phenotype in Highland cattle. When introgressed  
454 into Holstein Friesian cattle, it led to a coat color dilution effect, lightening the black coat  
455 markings to a silvery grey color. To our knowledge this is the first example that has  
456 functionally confirmed the causative status of a mutation for a coat color phenotype in cattle.  
457 The rationale of lightening the coat color was to alleviate heat stress and provide adaptation to  
458 warmer summer temperatures. The edited genotype now represents a valuable model to study

459 the impacts on heat tolerance and determine any potential effects it might have on  
460 reproductive performance, milk production characteristics and resilience to diseases  
461 associated with exposure to sunlight. Although we have demonstrated it for a dairy breed, the  
462 strategy could be readily applied to beef breeds such as Black Angus. Projected onto a global  
463 scale, even modest improvements of eco-productivity from color-diluted cattle would  
464 translate into substantial environmental benefits. Overall, our study exemplified and validated  
465 genome editing as a promising new approach for the rapid adaptation of livestock to changing  
466 environmental conditions.

467

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473

#### 474 **Competing interests**

475 G.L., S.C., B.B., J.W., S.L. and D.N.W. are employees of AgResearch and declare that they  
476 have no conflict of interest or financial conflicts to disclose. Likewise, S.J declares to not have  
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479

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