1	Subcortical Atlas of the Rhesus Macaque (SARM) for				
2	Magnetic Resonance Imaging				
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31	Highlights				
32	 We present the Subcortical Atlas of the Rhesus Macaque (SARM). 				
33	 SARM provides a neuroanatomical reference frame for neuroimaging analysis. 				
34	• The entire subcortex is mapped, including the thalamus, basal ganglia, and brainstem.				
35	ROIs are grouped hierarchically, making SARM useful at multiple spatial resolutions.				
36	 SARM is in the NMT v2 template space and complements the CHARM atlas for the 				
37	cortex.				
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39

Abstract

40 Digitized neuroanatomical atlases are crucial for localizing brain structures and analyzing 41 functional networks identified by magnetic resonance imaging (MRI). To aid in MRI data 42 analysis, we have created a comprehensive parcellation of the rhesus macaque subcortex using 43 a high-resolution ex vivo structural imaging scan. The structural scan and its parcellation were 44 warped to the updated NIMH Macaque Template (NMT v2), an in vivo population template, 45 where the parcellation was refined to produce the Subcortical Atlas of the Rhesus Macaque (SARM). The subcortical parcellation and nomenclature reflect those of the 4th edition of the 46 47 Rhesus Monkey Brain in Stereotaxic Coordinates (RMBSC4; Paxinos et al., in preparation). The 48 SARM features six parcellation levels, arranged hierarchically from fine regions-of-interest 49 (ROIs) to broader composite regions, suited for fMRI studies. As a test, we ran a functional 50 localizer for the dorsal lateral geniculate (DLG) nucleus in three macagues and found significant 51 fMRI activation in this atlas region. The SARM has been made openly available to the 52 neuroimaging community and can easily be used with common MR data processing software, 53 such as AFNI, where the atlas can be embedded into the software alongside cortical macaque 54 atlases.

55

Keywords

- 56 segmentation; fMRI; anatomy; cerebellum; thalamus; brainstem
- 57

Abbreviations

- 58 **fMRI** functional Magnetic Resonance Imaging
- 59 **DLG** Dorsal Lateral Geniculate
- 60 NHP non-human primate
- 61 NMT v2 NIMH Macaque Template
- 62 **RMBSC4** 4th edition of the Rhesus Monkey Brain in Stereotaxic Coordinates
- 63 **ROIs** regions of interest
- 64 SARM Subcortical Atlas of the Rhesus Macaque
- 65 **CHARM** Cortical Hierarchy Atlas of the Rhesus Macaque

66

1. Introduction

67 As functional magnetic resonance imaging (fMRI) continues to advance spatiotemporal 68 resolution limits, there is a growing opportunity for researchers to examine subcortical regions 69 and their involvement in cortico-subcortical networks. These smaller subcortical regions have, 70 however, largely been absent from digitized atlases applicable to MRI research with non-human 71 primates (NHPs). In contrast to human research, where several subcortical atlases exist, NHP 72 researchers typically have to employ workarounds and parcellate individual regions of interest 73 (ROIs) themselves. To address this void, we present the Subcortical Atlas of the Rhesus 74 Macaque (SARM), a digital subcortical atlas offering a standardized parcellation for ROI and 75 network analyses.

76 The development of the SARM is timely. While previously used in only a few primate 77 research centers, fMRI is now being employed in many NHP laboratories (Milham et al., 2018). The use of contrast agents, improved sequences, and high-field magnets is increasing the 78 79 signal-to-noise ratio and spatial resolution into the realm where subcortical activations can be reproducibly detected (e.g., Baker et al., 2006; Ortiz-Rios et al., 2015; Quan et al., 2020). 80 81 Technological improvements in data collection methods have also resulted in greater potential 82 for employing fMRI concurrently with subcortical electrical microstimulation (Logothetis et al., 83 2010; Arsenault & Vanduffel, 2019; Murris, Arsenault & Vanduffel, 2020), optogenetics (Nassi et 84 al., 2015; Klein et al., 2016; Stauffer et al., 2016), or electrophysiological recordings (Logothetis 85 et al., 2012), all the while capturing the mesoscopic and systems-level effects (see also, Klink et 86 al., this issue). Such studies require fine-grain delineations of the subcortex to aid both in 87 planning stereotaxic implantations and interpreting local signal modulation. Finally, while NHP 88 fMRI still typically relies on two or three subjects, there is a growing interest in using larger 89 groups and applying group analyses (e.g. Fox et al., 2018). The advent of multi-center data 90 sharing (Milham et al., 2018) also allows for the possibility of larger sample sizes, and clearly 91 calls for group-level analyses performed on data aligned to a population brain template with 92 standardized atlases (Milham et al., 2020; Jung et al., this issue).

93 Previous NHP studies examining subcortical activity have created their own individual 94 masks covering regions known to include specific brainstem nuclei. For example, Logothetis et 95 al. (2012) manually segmented 25 subcortical ROIs for each of their five subjects separately. 96 Noonan et al. (2014) masked the area between the medulla and midbrain for localizing activity 97 from serotonergic nuclei with 0.5 mm spatial resolution. Murris et al. (2020) registered their

98 functional data to the D99 macaque template (Reveley et al., 2017) and then added ROIs for 99 the ventral tegmental area (VTA) and accumbens nucleus (Acb), which are absent in the D99 100 atlas. While creating individual masks is one strategy to study regional fMRI activity, precise 101 delineation of structural boundaries requires not only high-quality structural scans but a great 102 deal of labor and anatomical expertise. Furthermore, for comparisons across individuals or 103 group-level analysis, single-subject scans and regional masks must then be nonlinearly 104 registered to a common reference template. While warping of fMRI data to a standard space can be successful for fairly large subcortical parcellations (e.g., the hippocampus, amvadala, 105 106 and distinguishable midbrain regions; Fox et al., 2015), nonlinear registration of smaller 107 subcortical structures can be a delicate step in the data processing pipeline. The SARM allows 108 for varying alignment and resolution limits, providing hierarchically arranged groupings of 109 regions that are suited for different purposes, including functional neuroimaging studies.

110 In comparison to human MRI brain atlases (e.g., Accolla et al., 2014; Pipitone et al., 111 2014; Ewert et al., 2017; Pauli et al., 2018), and despite the existence of NHP paper atlases 112 including an exhaustive mapping of the subcortex (Paxinos et al., 2009; Martin & Bowden, 113 2000), limited efforts have been made to digitize the macaque subcortex parcellations. Previous 114 attempts to digitize subcortical parcellations from printed macaque atlases have provided some 115 segmentation of the subcortex. For example, the Saleem and Logothetis (2012) atlas was 116 digitized by alignment to a high-resolution MRI of an ex vivo surrogate (Reveley et al. 2017). 117 However, this digital D99 atlas includes only some subcortical structures (e.g., hippocampus, 118 amygdala, striatum, and claustrum). Likewise, the parcellation of post-mortem macague brains 119 by Calabrese et al. (2015) contains a detailed segmentation of most telencephalic and 120 diencephalic brain nuclei (Paxinos et al., 2009) but little to no parcellation of the brainstem. The 121 NeuroMaps macaque atlas covers the whole brain and is presented on an ex vivo juvenile 122 rhesus macaque brain (Bakker, Tiesinga & Kötter, 2015; Rohlfing et al., 2012). The NeuroMaps 123 segmentation was later refined on the INIA19 adult population in vivo symmetric template 124 (Rohlfing et al., 2012). Rohlfing and colleagues noted, however, that their segmentation of the 125 basal forebrain, hypothalamus and amygdala is incomplete and that the internal segmentation 126 of the thalamus, midbrain and hindbrain may not be reliable. Although a more detailed digital 127 subcortical map is needed, segmenting all the minute cytoarchitectonic subnuclei that can be 128 appreciated under the microscope would be of little value at fMRI resolution. Using an updated 129 version of the Rhesus Monkey Brain in Stereotaxic Coordinates (Paxinos et al., 2009; Paxinos 130 et al., in preparation) for guidance, the SARM addresses the need for a more comprehensive subcortical segmentation while attempting to strike a practical balance between anatomicaldetails and the constraints imposed by the lower anatomical resolution of MRI.

133 While ex vivo scans, such as the D99 surrogate or the Calabrese ex vivo population 134 template (Calabrese et al., 2015), can provide great detail because they are not impacted by 135 animal movement or physiological noise, in vivo templates better reflect the living brain's 136 configuration (e.g., with regard to size, ventricle shape, and the presence of cerebrospinal fluid). 137 Atlases drawn on a single subject template can precisely reflect the particular anatomy of that 138 subject but may not be morphologically representative of the species due to large inter-139 individual variability. The SARM was fit to version 2 of the NIMH Macague Template (NMT v2). 140 a high-resolution population template based on in vivo scans collected at high field strength (4.7 141 T) from a large cohort (N=31) of adult rhesus monkeys (Seidlitz et al, 2018; Jung et al., this 142 issue). The NMT v2 compares favorably to the INIA19 template in terms of resolution (0.25 mm 143 vs. 0.50 mm isotropic), allowing for finer parcellation of subcortical structures, and is already 144 home to the Cortical Hierarchy Atlas of the Rhesus Macague (CHARM; Jung et al., this issue). 145 Because average population templates are representative, most individuals will require 146 relatively little distortion to be aligned to such a template as compared to an ex vivo or individual 147 scan (Kochunov et al., 2001; Molfese et al., 2015; Feng et al., 2017). This, in effect, minimizes 148 alignment errors, which are of particular importance for small subcortical nuclei.

149 To create the SARM, we relied on the high resolution and precision of an ex vivo single-150 subject structural scan and previously obtained histological material to draw the primary 151 structures. These regions were then warped to the symmetric version of the NMT v2, where 152 they were manually refined to reflect the representative anatomy of the population template. The 153 SARM parcellation was then hierarchically grouped into larger composite structures to create 154 region of interest (ROI) clusters suitable for (f)MRI analysis. The SARM is available on various 155 online platforms (PRIME-RE, Zenodo, and AFNI), where it is being continuously improved and 156 further delineated.

2. Materials & Methods 157 158 2.1 Atlas Preparation 159 2.1.1 Ex Vivo Anatomical Sample 160 A whole-brain ex vivo sample from one adult female rhesus macaque (G12; Macaca mulatta; ~8 161 kg) was used as a single-subject anatomical template to parcellate the subcortex. This subject 162 was part of an anatomical study approved by the local authorities and in full compliance with the 163 European Parliament and Council Directive 2010/63/EU. The subject was not involved in any 164 invasive procedures and never underwent intracerebral surgery. After transcardial fixation with 165 4% formalin (Evrard et al., 2012), the brain was placed into a jar of agar and positioned upright 166 in a horizontal 7T Bruker BioSpec scanner, with the brain oriented parallel to the scanner 167 (dorsal side positioned upward) (Bruker BioSpin, Ettlingen, Germany). The entire brain was 168 scanned using a high-resolution fast low-angle shot (FLASH) sequence (voxel dimensions:

0.15x0.15x1.0 mm; flip angle: 50°; TR/TE: 2500/9 msec; field-of-view (FOV): 70x52 mm; matrix

170 size: 468x346; 78 coronal slices).

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171 2.1.2 Segmentation in Individual (G12) Space

172 Subcortical ROIs were manually drawn by author HCE onto coronal slices of the G12 high-173 resolution ex vivo anatomical scan using the Amira software (Amira 6.0.1; FEI). The fine spatial 174 resolution of the contrast variation in the slices enabled recognizing and mapping discrete anatomical regions identified in corresponding histological sections from the 4th edition of the 175 176 Rhesus Monkey Brain in Stereotaxic Coordinates (RMBSC4; Paxinos et al., in preparation). The order of the figures in this upcoming edition does not differ from the 2nd edition; thus readers can 177 178 still refer to the printed second edition of RMBSC (Paxinos et al., 2009) when references to 179 specific figures are made in the text below. These reference sections previously underwent 180 Nissl and AChE staining (Paxinos et al., 2009), and were recently scanned using a slide-181 scanner microscope (AxioScan; Zeiss) for further examination (Paxinos et al., in preparation). 182 The ROIs were drawn while examining all three stereotaxic planes to reduce inconsistencies in 183 delineation across slices. Regions defined in RMBSC4 that were too small and not clearly 184 discernible from changes in contrast in the G12 scan were grouped together in larger ROIs, as 185 detailed in the Results (Section 3.1). Additional resources included prior architectonic 186 parcellations of the hypothalamus (Saper et al., 2012), thalamus (Olszewki, 1952; Calzavara et

al., 2005; Evrard and Craig, 2008; Mai and Forutan, 2012), amygdala (Amaral et al., 1992;
Stefanacci et al., 2000), and basal ganglia (Haber et al., 2012).

189 2.1.3 Nonlinear Registration

The single-subject (G12) *ex vivo* structural scan and subcortical segmentation were nonlinearly registered to the symmetric NMT v2 full-head anatomical template for rhesus macaques. The NMT v2 template (Jung et al., this issue) is in stereotaxic orientation (Horsley and Clarke, 1908; also referred to as the Frankfurt Zero plan). The subcortical segmentation was refined on a single hemisphere (the left) of the NMT v2 and mirrored onto the opposite hemisphere in order to assure that the resulting parcellation has left and right ROIs of equal size.

196 To coregister the G12 template and atlas to the NMT v2, the NIFTI images were first 197 converted to MINC format (http://www.bic.mni.mcgill.ca/ServicesSoftware/MINC) and the origin 198 of the spatial coordinates was adjusted to correspond to the intersection of the midsagittal 199 section and the interaural line (i.e., ear bar zero, EBZ). Then, we used volmash and volflip 200 (MINC widgets) to reorient the images to the NMT v2. The G12 template was then converted 201 back to NIFTI. Using Advanced Normalization Tools (ANTs; version 2.3.1.dev159-gea5a7; 202 Avants et al., 2014), we made a negative image of the G12 so its contrast would be similar to 203 the T1-weighted NMT v2 template. The G12 template showed air bubble-induced artifacts 204 around the left lateral ventricle that affected registration. To correct these artifacts and improve 205 registration, we manually traced each artifact to the underlying tissue (namely, the putamen) 206 and matched it with the tissue's intensity. This new volume was then corrected for N4 Bias Field 207 artifacts (Tustison et al., 2010). The ANTs registration pipeline was optimized using an in-house 208 script that employed a custom mask of the subcortex for some of the registration steps. After 209 computing the G12 to NMT v2 template registration, we used antsApplyTransformation to 210 nonlinearly coregister the subcortical parcellation to the NMT v2 with Generic Label 211 interpolation.

212 2.1.4 Refinement of ROIs in the NMT v2 Template

The resulting atlas regions suffered from some irregularities stemming from the limitations of the original anisotropic voxels (high resolution within the coronal plane, but coarser resolution across planes) and from the interpolation methods associated with the nonlinear warp of the ROI labels. Therefore, we followed the ANTs-based alignment pipeline with a procedure to spatially regularize regions using AFNI commands. The regions were processed with a modal

218 smoothing technique that replaces each voxel with the most common label in a 1- or 2-voxel 219 spherical neighborhood around every voxel. A select list of thin or small regions were smoothed 220 using the 1 voxel mode, and all other regions were smoothed using the 2 voxel mode. The data 221 were masked by the CSF and blood vessel segmentations from NMT v2. Each ROI was 222 automatically further refined by examining the distribution of voxel intensities in NMT v2. For 223 each ROI, we sampled voxel intensities of NMT v2 in that ROI, and voxels farther than three 224 standard deviations away from the mean intensity (potentially indicating encroachment of the 225 ROI into a different tissue class) were compared with eight neighboring voxels and reassigned 226 to the label of the voxel with the most similar intensity. This outlier detection was performed 227 across ten iterations. The quality of the alignment between the transformed G12 and the NMT 228 v2 template was assessed by viewing the former on the outline of the latter using 229 @chauffeur afni, Finally, the atlas was assessed for discontinuities, and discontinuous clusters 230 smaller than five percent of the size of the largest portion of the ROI were replaced with labels 231 from neighboring voxels. With the atlas regions now transformed to the NMT v2 symmetric 232 template space, the regions were manually adjusted, again in Amira by author HCE, with 233 reviewing by authors HCE and GP, to reflect the anatomical transitions evident in this population 234 template. Before exporting from AMIRA, a Gaussian smoothing (2x2x2 pixel filter mask) was 235 applied across the 3D volume using the "Smooth Labels" function. Following AMIRA export, the 236 SARM regions were modally smoothed with a 1.8 voxel radius and discontinuous clusters 237 smaller than five percent of the size of the largest portion of the ROI were again replaced with 238 labels from neighboring voxels. At each step, volume changes of each ROI were tracked to 239 prevent large, unintended changes to the ROIs.

240

2.2 Subcortical Naming Hierarchy

241 2.2.1 Hierarchical Grouping

242 To create ROIs of varying spatial resolution, the neighboring regions in the primary parcellation 243 were iteratively grouped to form a hierarchy of subcortical structures across six levels that 244 describes progressively larger and more general anatomical regions. This hierarchy forms the 245 SARM. The finest level of the SARM hierarchy (level 6) individually itemizes each of our 246 manually drawn ROIs, which were defined on the basis of the RMBSC4, as described above. 247 Composite regions in levels 1-5 were successively built from smaller adjacent areas in the next 248 finer level. While the brain can most broadly be subdivided into the forebrain, midbrain, and 249 hindbrain, level 1 begins with the developmental and embryological sub-divisions of the

250 subcortex, namely the tel-, di-, mes-, met-, and myel-encephalon. The SARM levels 2-4 consist 251 of ROIs of sufficient size to accommodate functional imaging voxels that are typically 1.25-1.50 252 mm on a side, whereas levels 5-6 ROIs may benefit from the higher resolution of structural 253 imaging. Levels 5 and 6 of the SARM were left largely similar to allow for potential future 254 delineation of SARM regions. In most cases, we grouped the ROIs based on their 255 developmental and/or functional relationships (Mai and Paxinos, 2012; Puelles et al., 2013; see 256 also Calabrese et al., 2015 for a similar approach), with the condition that these ROIs had to be spatially contiguous. In other cases, in particular at coarser levels, ROIs had to be grouped 257 258 solely based on their spatial proximity.

Independent of their hierarchical classification, all ROIs were classified as being primarily subcortical gray matter or white matter. In select instances, ROIs composed primarily of white matter or other tissue types were included in larger composite ROIs to make them whole (e.g. the internal capsule was included in the striatum to bridge the caudate and putamen) and because sparse cell bodies within such white matter regions can lead to their functional activation.

265 2.2.2 Nomenclature

Each ROI and group of ROIs has a unique full name and abbreviation. At levels 5 and 6, the names and abbreviations of the ROIs typically match those defined in the RMBSC4, with some exceptions (see Results) to accommodate the most commonly used naming convention in NHP fMRI. At levels 2 to 4, the names and abbreviations of the groups of ROIs reflect either a common developmental origin (e.g., pallial vs. subpallial amygdala; Puelles et al., 2013), a classical neuroanatomical grouping (e.g., basal ganglia; Mai and Paxinos, 2012) or a spatial proximity (e.g., dorsal vs. ventral mesencephalon).

AFNI allows for flexible indexing of ROIs by either index number, abbreviation, or the full name of the ROI. To prevent conflicts between index numbers and names, SARM abbreviations do not start with a number (e.g., the abducens nucleus is abbreviated 6N in RMBSC4 but N6 in SARM). In addition, to maximize compatibility with scripts and programs, abbreviations do not include special characters, and full names use underscores in place of spaces. A full list of the current SARM regions is provided in **Supplementary Table 1**. A spreadsheet of the hierarchy and full list of SARM structures is also available for download with the NMT v2 package.

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2.3 Functional Localizer

281 To illustrate the usefulness of this atlas within the context of fMRI data analysis, a functional 282 localizer for the dorsal lateral geniculate nucleus (DLG) (also referred to as the lateral geniculate 283 nucleus, LGN) was included, from a larger experimental program, with three adult rhesus 284 macaques (Macaca mulatta; 1 female; average weight: 10.11 kg). Experiments were conducted 285 following a previously described opiate-based anesthesia protocol (Logothetis et al., 2010). 286 Animals were treated according to the guidelines of the European Parliament and Council 287 Directive 2010/63/EU on the protection of animals used for experimental and other scientific 288 purposes. Experimental protocols were approved by the local German authorities.

289 2.3.1 Image Acquisition

290 Neuroimaging data were acquired using a vertical 7 Tesla NMR scanner (Bruker, Billerica, MA, 291 U.S.A.) and Paravision software (version 5). fMRI data were acquired with a quadrature coil and 292 double-shot gradient-echo echo planar imaging (GE-EPI; voxel dimensions: 0.75x0.75x2.0 mm; 293 flip angle: 53°; TR/TE: 2000/19 msec; FOV: 96x96 mm; matrix size: 128x128; 20 axial slices). 294 Slice volumes were acquired contiguously. During each experiment, a T2-weighted rapid 295 acquisition with relaxation enhancement (RARE) scan was collected to image the native 296 structural space (RARE factor: 8; voxel dimensions: 0.375x0.375x1.0 mm; flip angle: 180°; 297 TR/TE: 6500-8500/16 msec; FOV: 96x96 mm; matrix size: 256x256; 40 axial slices). Acquired 298 data were converted offline from Bruker file format to 4D NIFTI files using the Unix-based 299 pvconv.

300 2.3.2 Stimulus

A flickering checkerboard stimulus was visually presented (Logothetis et al., 1999) during a 10 minute GE-EPI scan, consisting of 300 volumes. The stimulus was presented for 4 sec preceded by an 8 sec OFF period, and followed by a longer 18 sec OFF period, allowing return of the blood-oxygen-level-dependent (BOLD) signal to baseline. Two sessions per subject were collected and analyzed using two common software packages (SPM & AFNI) to validate the application of SARM for studying subcortical activity across different processing pipelines.

307 2.3.3 SPM-Based Image Analysis

308 Functional data were realigned using SPM12 (Statistical Parametric Mapping; Wellcome 309 Department of Imaging Neuroscience, London, UK) to obtain six rigid-body transformation 310 parameters and then aligned to each subject's native anatomical (RARE) scan. Each subject's 311 RARE was subsequently translated to the NMT v2 space, and this linear transformation was 312 applied to all relevant functional scans. Data were nonlinearly aligned using SPM-based Dartels, 313 a diffeomorphic warping algorithm (Ashburner, 2007), which relies on tissue class identification 314 and segmentation. The resulting deformation matrix was applied to each individual's RARE and 315 fMRI images. At each step, the spatial alignment was checked by direct visual examination. The 316 EPIs were smoothed (2 mm FWHM Gaussian) and the fMRI data were estimated using a General Linear Model (GLM), which included as regressors the rigid-body transformation 317 318 parameters, in the event-related responses correlated with the visual stimulus presentation (B_1) 319 and the baseline activity (B_0) . The fMRI data were averaged across sessions for each subject, 320 and significant activations were assessed with a T-contrast (p < 0.05, FDR-corrected).

321 2.3.4 AFNI-Based Image Analysis

322 Using AFNI (Cox, 1996), functional data were processed by first computing the alignment of 323 each subject's T2 structural (RARE) scan to the NMT v2 template using the @animal warper 324 pipeline (Jung et al., this issue). To address the contrast (e.g., of CSF, GM and WM) profile 325 inversion between NMT v2 and the functional localizer datasets, we used an alignment method 326 that identifies local negative correlations to minimize the cost function, the Local Pearson 327 Correlation (lpc; Saad, 2009). This cost function was used for both affine and nonlinear 328 alignment. The alignment was assessed using AFNI visualization tools. The affine and nonlinear 329 transformations and the skull-stripped dataset served as the input to the functional processing 330 performed by afni_proc.py. This processing used typical options for motion correction, alignment 331 of the functional data to the individual's T2 anatomical dataset, and modal smoothing (by 1 332 voxel), followed by a per-voxel mean scaling. The normalized functional data were interpolated 333 to an isotropic voxel resolution of 1.25 mm³. The functional paradigm was modeled using a 334 BLOCK hemodynamic response function model, stimuli convolved with a 4-sec duration boxcar 335 function and normalized to unit size.

336 2.4 Data Accessibility and Availability

The SARM and NMT v2 files are provided in NifTI and GifTI file format for compatibility with most neuroimaging programs. This package also includes: the original G12 dataset with ROI drawings in their original space and the full list of SARM ROIs, abbreviations, and grouping levels. For data transformation and analysis, relevant scripts are also provided. All resources

341 described are currently openly available or will be made available in the near future through the 342 PRIME-Resource Exchange (https://prime-re.github.io/) (Messinger et al., this issue), Zenodo 343 (https://zenodo.org/record/4026520#.X10X95P0nlw), and can be downloaded along with the 344 NMT v2 AFNI website from the 345 (https://afni.nimh.nih.gov/pub/dist/doc/htmldoc/nonhuman/macaque tempatl/atlas sarm.html) or 346 using the AFNI command @Install NMT.

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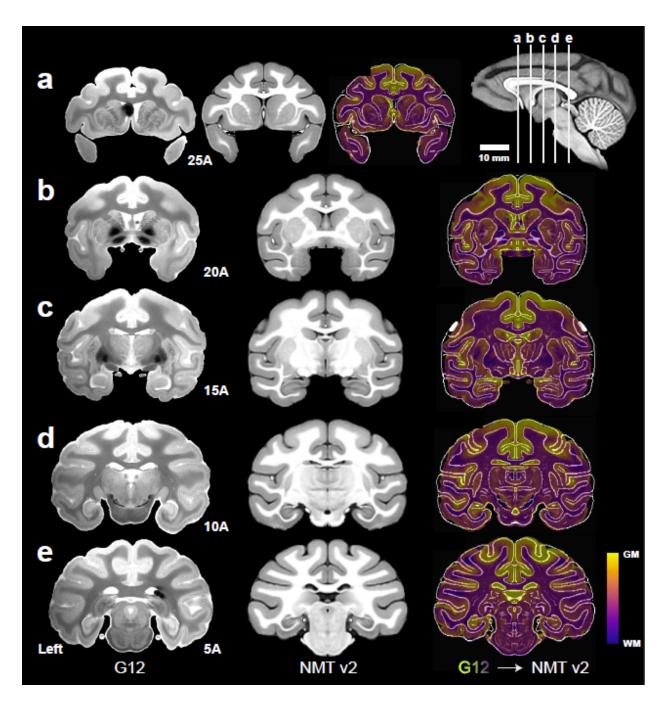
3. Results

348 **3.1 Subcortical ROI Segmentation and Hierarchical Grouping**

349 This first version of the SARM (SARM v1) contains 206 primary subcortical ROIs. These ROIs 350 were first drawn on the G12 high-resolution scan and then nonlinearly aligned to the NMT v2 351 population-averaged symmetrical template. Most of these ROIs were anatomically identifiable in 352 G12 and, to some extent, in NMT v2, based on local signal contrast variations. Regions likely to 353 be relevant for MRI analyses, but not readily identifiable in either scan, were delineated based 354 on their most likely topological localization and neighborhood relationships, using RMBSC4 as 355 the principal reference (Paxinos et al., 2009; Paxinos et al., in preparation). Individual ROIs 356 represent either a single homogeneous anatomical entity, as defined in RMBSC4, or a collection 357 of smaller cytoarchitectonic entities that could not be distinguished from one another due to a 358 lack of contrast differentiation. Beyond the definition of the manually drawn primary ROIs, we 359 created ROIs of progressively larger size by successively aggregating primary ROIs across six 360 hierarchical levels. These six levels can accommodate structural and functional neuroimaging 361 datasets of various spatial resolutions and analyses at different degrees of anatomical detail. 362 The following sections report, successively, the alignment of G12 to NMT v2 (Section 3.1.1), 363 general observations on the hierarchical grouping of the ROIs (Section 3.1.2), and, finally, an 364 overview of the definition of the individual ROIs and their hierarchical groups (Section 3.1.3).

365 3.1.1 Alignment of G12 to NMT v2

Figure 1 portrays the G12 *ex vivo* anatomical MRI in stereotaxic space, corresponding to the NMT v2 template at 5 representative coronal sections, where the nonlinear alignment computed using ANTs was overlaid onto the edge contours of the NMT v2 template.



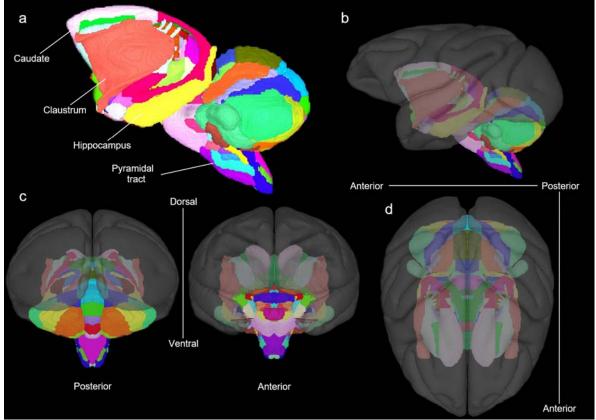
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370 Figure 1. Alignment of subject G12 to the symmetric NMT v2. Panels (a-e) depict coronal slices 371 through the G12 anatomical scan (left), the symmetric NMT v2 (middle) in stereotaxic space, and the 372 nonlinear registration of the G12 to the symmetric template (right). Slice positions are in mm anterior to 373 the origin (EBZ; ear bar zero) and are depicted on the midsagittal NMT v2 cross-section (upper right). 374 Parameters for the ANTs registration pipeline were customized to prioritize alignment of subcortical 375 regions. Color ('plasma') shows the warped G12 tissue intensities superimposed on the salient edges of the NMT v2. The darkest purple represents white matter (WM), whereas lighter purple and greens 376 377 represent gray matter (GM). Left hemisphere depicted on the left side.

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379 The G12 scan and its subcortical labels were resampled to match the NMT v2 resolution 380 (0.25 mm³ isotropic), and the out-of-plane detail in the G12 was interpolated to match the NMT 381 v2 resolution. Differences in morphology due to their preparations were noted (i.e., sulcal 382 positioning, ventricle size and ex vivo fixation effects, as well as the presence of artifacts like air 383 bubbles), because these may result in nonlinear registration errors or require repositioning 384 greater than what is allowed by the nonlinear registration algorithm (cost function tradeoff).

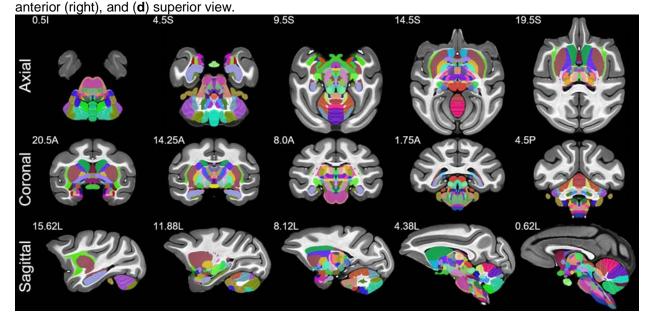
385 The subcortical labels aligned to the NMT v2 exhibited some small irregularities along 386 the region edges. These were mitigated with modal smoothing, clustering and outlier detection 387 to make for more natural, locally consistent regions. At this stage, the regions underwent manual correction, followed by additional post-processing (see Section 2.1.4). The 3D 388 389 consistency of the completed ROIs was verified by visualizing the surface of each region using 390 AMIRA and AFNI's surface viewer SUMA (Saad et al., 2004) (Fig. 2). The primary subcortical 391 parcellation that forms the finest hierarchical level of the SARM (level 6; see Section 3.1.2) is 392 shown in Figure 3.



393 394 395

Figure 2. Surface views of SARM (level 6) in the NMT v2.0 symmetric template. Volumetric atlas regions were converted into individual surfaces for surface-based analysis. (a) A lateral view of the subcortical surfaces, displayed in color using SUMA (Saad et al., 2004). The subcortical regions are 396

shown with respect to the NMT v2 surface (shown in gray scale) in a (b) left lateral, (c) posterior (left),



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Figure 3. Subcortical regions in NMT v2 space. The subcortical parcellation of the G12 subject was warped to the NMT v2 symmetric template and manually adjusted to match the template's morphology. These regions constitute level 6 of the SARM and are shown in color on the symmetric brain-extracted NMT v2 template. Slice coordinates relative to the origin (EBZ; ear bar zero) are in mm in the superior/inferior (top), anterior/posterior (middle), and left/right (bottom) directions.

406 **3.1.2. Hierarchical Grouping**

407 The 206 manually-drawn primary ROIs comprise the finest level (level 6) of the SARM. 408 Following the same principle as in the CHARM (Jung et al., this issue), these 206 ROIs were 409 organized hierarchically into six levels of granularity. Individual ROIs were assembled into 410 progressively larger (and, in most cases, spatially contiguous) groups from levels 5 to 1. Each 411 ROI or group of ROIs at a lower level (e.g., level 4) belongs to exactly one group in the next 412 higher level (e.g., level 3). Table 1 shows the number of ROIs in each level and characterizes 413 their volumes in the NMT v2. Whole-brain coronal views of the SARM levels 2, 4, and 6 are 414 shown in Figure 4. The various levels were designed to be suitable for either structural or 415 functional MRI analyses, with their different spatial resolutions. Users can combine more than 416 one grouping level within a single analysis to, for instance, examine the relationships between a 417 specific nucleus and larger composite brain regions. To further illustrate the SARM hierarchy, 418 Figure 5 provides an exploration of the amygdala. The dendrogram demonstrates how the 419 amygdala splits into its constituent regions, and these component structures are depicted on a 420 coronal section for levels 3-6.

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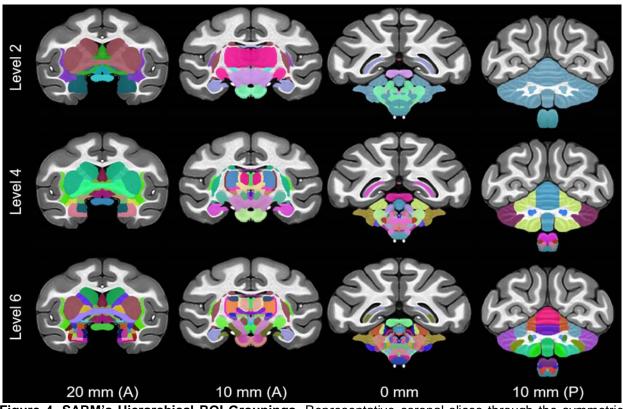
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Level	# of ROIs	ROI Vol., median (mm ³)	ROI Vol., 5-95% (mm ³)
1	5	2,570	1,232 - 8,273
2	15	649	46 - 5,228
3	35	309	55 - 2,112
4	70	123	19 - 1,269
5	167	36	3 - 510
6	206	36	3 - 497

423 Table 1. Basic characteristics of the SARM hierarchy.

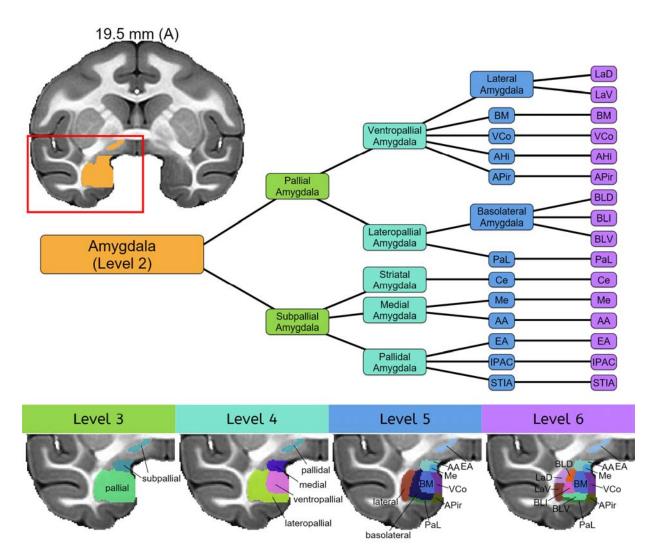
Table 1. The SARM Group Hierarchy. For each level of the hierarchy, the number of ROIs used to parcellate the subcortex, their median volume, and the 5th-95th percentile of their volumes are listed. At lower levels, ROIs are combined into fewer and larger composite structures. The full table of SARM region names, abbreviations, and constituents is provided as a CSV file in the distribution package and is also shown in Table S1.

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anatomical segmentation. Slice coordinates are in mm anterior (A) or posterior (P) to the origin (EBZ; earbar zero).



436 Figure 5. Hierarchical parcellation of the amygdala. The amygdala, a subcortical region within the 437 telencephalon, is shown in orange on the right hemisphere of a coronal section. The bottom row shows 438 amyodala subdivisions for levels 3-6 of the hierarchical atlas in various colors on close ups of the right 439 temporal lobe region contained in the red box on the full coronal section. The color-coded dendrogram 440 shows the hierarchical relationship between the components of levels 3-6. Level 3 distinguishes the 441 portions of amygdala deriving from the pallium and subpallium during development. At level 4, regions 442 arising from particular domains within the pallium or subpallium are differentiated. These regions are 443 further divided into the various amygdala nuclei and subnuclei in levels 5 and 6. Note other subcortical 444 regions are not shown. Abbreviations: AA, anterior amygdaloid area; AHi, amygdalohippocampal area; APir, amygdalopiriform transition; BLD, BLI, and BLV, basolateral dorsal, intermediate, and ventral 445 446 amygdaloid n.; BM, basomedial amygdaloid n.; Ce, central amygdaloid n.; EA, extended amygdala; 447 IPAC, posterior interstitial nucleus; LaD and LaV, lateral dorsal and ventral amygdaloid n.; Me, median 448 amygdaloid n.; PaL, paralaminar amygdaloid n.; STIA, intraamygdaloid division of the bed n. of the stria 449 terminalis: VCo. ventral cortical amvodaloid n...

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455 **3.1.3 ROI and Hierarchical Grouping Definition**

456 Supplementary Table 1 (Table S1) itemizes the 206 ROIs at level 6 and shows their progressive 457 hierarchical grouping, from level 5 to level 1. Level 1 assembles all ROIs according to the 458 classical developmental division of the neuraxis, namely the (subcortical) telencephalon, 459 diencephalon, mesencephalon, metencephalon, and myelencephalon. The ordering of these 460 ROIs reflects that, together, the subcortical telencephalon and diencephalon comprise the 461 subcortical forebrain, the mesencephalon is synonymous with the midbrain, and the 462 metencephalon and myelencephalon make up the hindbrain. Level 2 divides the telencephalon 463 into lateral and ventral pallium (LVPal), medial pallium (MPal), amygdala (Amy), basal ganglia 464 (BG), diagonal subpallium (DSP), and preoptic (preoptic) regions. The order in which these 465 divisions are listed roughly follows the developmental partition proposed by Puelles et al. 466 (2013), with entirely pallial groups (LVPal and MPal) first, followed by the amygdala with its 467 pallial and subpallial components (see level 3), and, finally, by entirely subpallial groups (BG, 468 DSP and preoptic). At level 2, the diencephalon is divided into the hypothalamus (Hy), 469 prethalamus (PreThal), thalamus (Thal), and epithalamus (EpiThal). The mesencephalon was 470 not divided at level 2, but the region was relabeled "midbrain" (Mid) to match the more common 471 choice of terminology employed beyond level 2. Still at level 2, the metencephalon was split into 472 the pons (Pons) and the cerebellum (Cb), whereas the myelencephalon remained whole, but 473 switched names to the term medulla (Med). Levels 3 to 6 propose a progressively more refined 474 parcellation of the larger groups of level 2, ending with level 6, which lists each individually 475 drawn ROI. Levels 5 and 6 were left largely similar to allow for future versions of the SARM 476 (now SARM v1) to incorporate additional sub-structures. In general, beyond level 2, the 477 hypothalamic, thalamic, mes-, met- and myel-encephalic ROIs were not grouped according to 478 the ontological plan because most of the small ontologically related ROIs of these regions are 479 spatially non-contiguous in the adult brain. Instead, these ROIs were mainly grouped according 480 to either functional or purely topological criteria, with the practical condition that they remain 481 contiguous, as this has greater relevance for targeting of subcortical regions and neuroimaging 482 analytical strategies (e.g. clustering). The next sections briefly describe the rationale for the 483 drawing of the ROIs and their grouping at and below level 2.

484 3.1.3.1 Lateral and Ventral Pallium. The lateral and ventral pallium (LVPal) group contains 4
 485 primary ROIs (Table S1). The claustrum (CI) and the dorsal and ventral endopiriform claustrum

486 (DEn and VEn) were all identifiable in the G12 (not shown) and the NMT v2 (Fig. 6a.b). DEn 487 appeared as a separate entity at the 'heel' of CI (see arrows in Fig. 6a). VEn was recognized by 488 a consistently lighter contrast in comparison with the darker nuclei of the amygdala (see blue 489 asterisk in Fig. 6a). The small bundle of capillaries (base of the lenticulostriatal arteries) located 490 at the base of the putamen (Pu) and above the 'heel' of CI was incorporated into the Pu ROI by 491 default (yellow asterisk in Fig. 6a). The piriform cortex (Pir; not shown) was recognized by its 492 thinner cortical width at the medial junction of the orbitofrontal and temporal cortices (Carmichael and Price, 1994; Evrard et al., 2014), although its exact border with Cl at the limen 493 494 insulae and with the amygdalo-piriform transition (APir) in the temporal lobe could not be 495 ascertained.

At level 4, DEn and VEn are grouped in En (Table S1). At level 3, En is grouped with Pir in the ventral pallium (VPal), from which En and Pir originate along with other olfactory structures (Puelles et al., 2013) that were not segmented here (but see CHARM; Jung et al., this issue). Also at level 3, CI constitutes the only ROI of the lateral pallium (LPal). At level 2, LPal

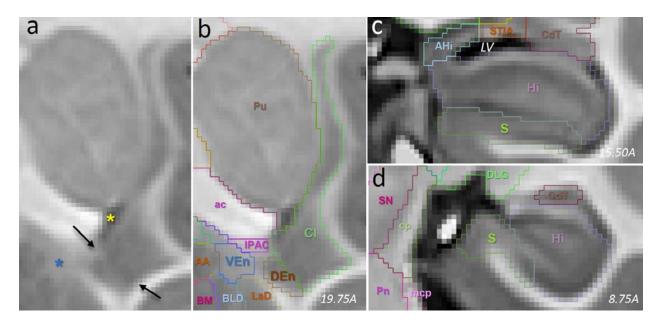


Figure 6. SARM lateral, ventral, and medial pallial ROIs. (a,b) Coronal section through the right hemisphere of NMT v2 (corresp. to RMBSC Fig. 48) with delineations of CI, DEn and VEn. In (a), the black arrows indicate the border between CI and DEn; the blue asterisk marks the consistently lighter contrast of VEn; the yellow asterisk indicates a bundle of capillaries incorporated into the Pu ROI. (c,d) Coronal sections through the right hemisphere of NMT v2 (RMBSC4 Fig. 63 and 77, respectively) illustrating the delineations of Hi and S. *Abbreviations: AA*, anterior amygdaloid area; *ac*, anterior commissure; *AHi*, amygdalohippocampal area; *CdT*, tail of the caudate; *BM*, basomedial amygdaloid n.; *BLD*, basolateral amygdaloid n.; *cp*, cerebral peduncle; *CI*, claustrum; *DEn*, dorsal endopiriform claustrum; *DLG*, dorsal lateral geniculate; *Hi*, hippocampus; *IPAC*, interstitial nucleus of the posterior part of the anterior commissure; *LV*, lateral ventricle; *mcp*, medial cerebellar peduncle; *Pn*, pontine nucleus; *Pu*, putamen; *S*, subicular complex; *SN*, substantia nigra; *STIA*, intraamygdaloid stria terminalis; *VEn*, ventral endopiriform claustrum. In all panels, left is medial and top is dorsal.

500 and VPal are grouped under LVPal.

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502 3.1.3.2 Medial Pallium (Hippocampal Formation). The hippocampus (Hi) and subicular 503 complex (S) were segmented without distinguishing their internal subdivisions (i.e., CA1-3, 504 dentate gyrus, parasubiculum, presubiculum, subiculum per se, and prosubiculum) (Table S1; 505 Fig. 6c,d). They were grouped together, along with the fimbria (fi), as hippocampal formation 506 (HF) at levels 3 and 4. Although being acellular, the fimbria was added to the HF group in order 507 to take into account the lower spatial resolution of functional scans that may not distinguish fi 508 from Hi and S. The fornix (f) was drawn throughout the lateral ventricle mainly for illustrative 509 purposes. It was not added to the HF group to avoid false attribution of activation possibly 510 originating from regions located in the vicinity of the distant f (e.g., septum and dorsal thalamus). 511 Finally, at level 2, HF and f were grouped together in the medial pallium (MPal), from which they 512 originate (Puelles et al., 2013).

513 3.1.3.3 Amygdala. The amygdala was delineated into 16 primary ROIs (Table S1). Figure 7 514 illustrates the segmentation of the amygdala at one representative anteroposterior level in NMT 515 v2, G12 and RMBSC4. Throughout the anteroposterior extent of the amygdala, the dorsal and 516 ventral parts of the lateral amygdaloid nucleus (LaD and LaV) were recognizable by their darker 517 contrast, compared to the lighter dorsal, intermediate and ventral parts of the basolateral 518 nucleus (BLD, BLI and BLV). The theoretical location of the basomedial nucleus (BM) often 519 contained a darker region in both NMT v2 and G12, which likely corresponds to the 520 parvocellular or magnocellular division of BM and contrasts with the lighter and more 521 homogeneous ventral cortical nucleus (VCo). The paralaminar nucleus (PaL) appeared as a thin 522 sheet of lighter (G12), and somewhat darker (NMT v2), contrast at the base of the amygdala. 523 The central nucleus (Ce) was less distinct, but its theoretical anatomical location largely 524 corresponded to a circular area with a lighter contrast in NMT v2. Medial to Ce, AA and the 525 medial nucleus (Me) appeared darker in NMT v2 and lighter in G12. The boundaries between 526 Me and AA, between BLD, BLI, and BLV, and between LaD and LaV were drawn based on their 527 theoretical topological localization (Amaral et al., 1992; Stefanacci et al., 2000; Paxinos et al., 528 2009). Lateral to the amygdala, the amygdalostriatal transition area (ASt) appears as a distinct 529 region, separated by a thin but distinct lighter (NMT v2) or darker (G12) strip of white matter. 530 Dorsal to the amygdala proper, the interstitial nucleus of the posterior part of the anterior 531 commissure (IPAC), the intra-amygdaloid division of the bed nucleus of the stria terminalis

(STIA), and the extended amygdala (EA) are all readily distinguishable in NMT v2. For example,
EA appears as a distinct lighter band underneath the ventral pallidum (VP) (Fig. 8a).

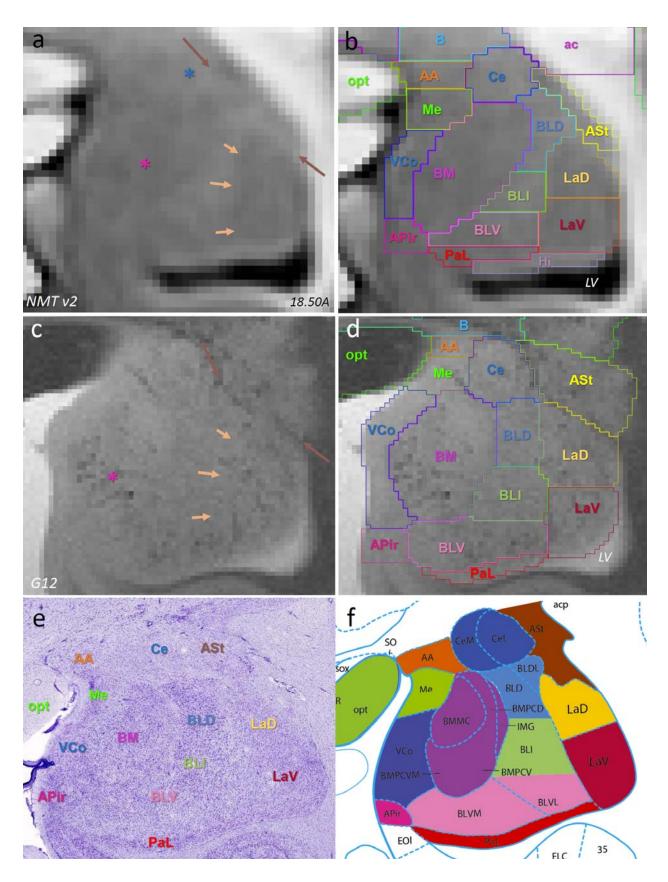
534 As shown in Fig. 5, the amygdala is divided at level 3 on developmental grounds into its pallial and subpallial portions. At level 4, the former splits into the portions arising from the 535 536 ventral and lateral pallium, while the latter divides into the striatal, medial, and pallidal 537 amygdala. These are then further divided into individual nuclei (level 5) and, in the case of the 538 lateral and basolateral nuclei, into subnuclei (level 6). The different ROIs of the pallidal 539 amygdala are not all contiguous. For example, EA has no boundary with other amygdaloid 540 nuclei. Therefore, the pallidal amygdala ROI is one of the two SARM group ROIs containing 541 non-contiguous ROIs. See 3.1.3.11 for the other exception in the medulla.

542 3.1.3.4 Basal Ganglia. The basal ganglia (BG) was delineated into 12 primary ROIs (Table S1). 543 The head of the caudate (CdH) and the putamen (Pu) were identifiable due to their prominent 544 size and distinct boundary with the internal capsule (ic), anterior capsule (ac), corpus callosum 545 (cc), external capsule (ec), and white matter of the cerebral cortex (Fig. 8). The ventral 546 boundary between CdH and the anterior portion of the bed nucleus of the stria terminalis (ST) 547 was marked by an abrupt darkening of the signal in ST (see also Section 3.1.3.5). The ventral 548 boundaries of CdH and Pu with the accumbens nucleus (Acb; not shown) were identified by a 549 consistent change to a more heterogeneous contrast pattern in Acb. The tail of the caudate 550 (CdT) was distinct all along the lateral ventricle (LV) (Fig. 10) and in proximity to the amygdala, 551 where it borders the amygdalostriatal transition area (ASt; not shown). The external (EGP; Fig. 552 8) and internal (IGP; not shown) globus pallidus were readily identifiable due to their slightly 553 darker contrast, compared to the surrounding white matter. They were distinguishable from one 554 another due to their characteristic shapes and their separation by the thin medial medullary 555 lamina (not shown). The ventral pallidum (VP) appeared distinctly darker between ac and EA 556 (Fig. 8).

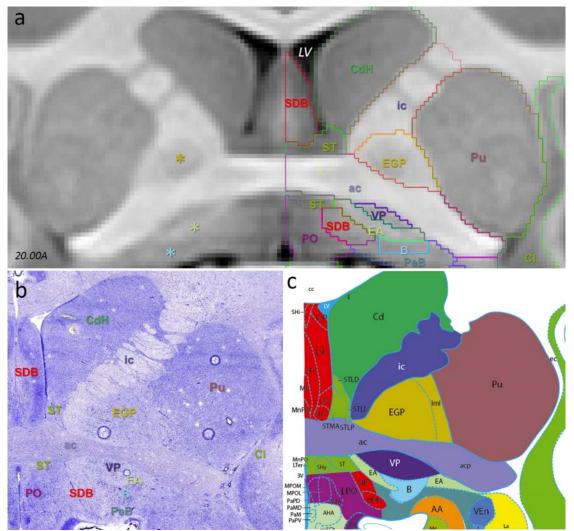
557 At level 5, CdH and CdT were grouped as caudate (Cd), and EGP, IGP and their ventral 558 ansa lenticularis tract (al; not shown) were grouped as globus pallidus (GP). At level 4, Cd, Pu, 559 ASt, and ic (which contains strands of neurons) were grouped as dorsal striatum (DStr), and 560 Acb and Tu were grouped as ventral striatum (VStr). At level 3, DStr and VStr were grouped as 561 striatum. GP, ac and VP were grouped as pallidum (Pd) at levels 4 and 3. The inclusion of white 562 matter tracts (e.g., ac in Pd) enables using broad ROIs in fMRI analyses with rather low spatial

resolution, and in which the BOLD signal would likely 'spread' over multiple neighboringstructures, without possible distinction between smaller ROIs.

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567 Figure 7. SARM's amygdaloid ROIs. Coronal slices through the right hemisphere at approximately the 568 same location in (a, b) NMT v2, (c, d) G12, and (e,f) a corresponding pair of RMBSC4 NissI-stained 569 section and diagram (Fig. 56). The brown arrow in (a,c) points at the boundary between ASt and the 570 amygdala. The blue asterisk in (a) points at a zone of lighter contrast, likely corresponding to Ce. The 571 light orange arrows in (a, c) point at the putative border of LaD and LaV with BLD, BLI and BLV. The 572 mauve asterisks in panels (a, c) mark the darker contrast included in BM. Abbreviations: AA, anterior 573 amygdaloid area; ac, anterior commissure; APir, amygdalopiriform transition area; ASt, amygdalostriatal 574 transition area; B, basal n.; BLD, BLI, BLV, dorsal, intermediate and ventral parts of the basolateral 575 amygdaloid n.; BM, basomedial amygdaloid n.; Ce, central amygdaloid n.; LaD and LaV, dorsal and 576 ventral parts of the lateral amygdaloid n.; LV, lateral ventricle; Me, medial amygdaloid n.; opt, optic 577 tract/chiasma: PaL, paralaminar amygdaloid n.; VCo, ventral cortical amygdaloid n.. In all panels, left is



578 medial and top is dorsal.

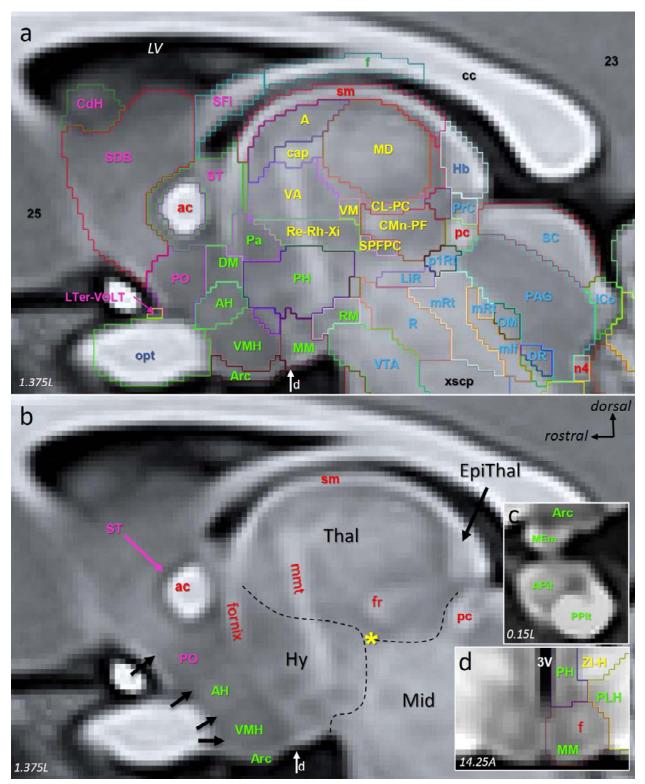
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580 Figure 8. SARM telencephalic ROIs. Coronal slices through (a) the symmetric NMT v2 and (b,c) the 581 approximately corresponding pair of RMBSC4 NissI-stained section and diagram (Fig. 50). The asterisks 582 on the left side in (a) emphasize contrasts corresponding to the location of EGP (yellow), EA (pale green), 583 and B (blue). Only the anterior portion of SFi is included in the SDB ROI; further caudally, SFi is 584 recognized as a single ROI (see Fig. 9). In all panels, the top is dorsal. In (b,c), left is medial. 585 Abbreviations: B, basal nucleus; CdH, head of the caudate nucleus; Cl, claustrum; EA, extended 586 amygdala; EGP, external globus pallidus; ic, internal capsule; PeB, peri-basal region; PO, preoptic area; 587 Pu, putamen; SDB, septum and diagonal band; ST, stria terminalis; VP, ventral pallidum. For the missing

by abbreviations in (c), see Paxinos et al., 2009, where most abbreviations are similar to Paxinos et al., in preparation.

590 3.1.3.5 Diagonal Subpallium. The ontological definition of the diagonal subpallium (DSP) 591 includes the basal nucleus of Meynert (B), the bed nucleus of the stria terminalis (ST), and 592 different parts of the septum and diagonal band of Broca region (SDB and SFi) (Table S1) 593 (Puelles et al., 2013). B is anatomically formed by an ill-defined group of cholinergic neurons at 594 the base of the basal ganglia. In some slices of NMT v2, the putative location of B could 595 correspond to a slightly darker region ventral to EA and VP (see blue asterisk in Fig. 8a, left); 596 however, this appearance is not consistent. Thus, for the most part, the delineation of B is 597 based on its most likely localization, underneath VP anteriorly (Fig. 8) and in between IGP, the 598 optic tract (opt) and Pu posteriorly (not shown; see for example RMBSC4 Fig. 66). To take into 599 account this less obvious delineation, the region surrounding our delineation of B is labeled as 600 'peri-basal region' in the SARM v1 (PeB; Fig. 8), which corresponds, in RMBSC4 to a rather 601 undefined region sandwiched between B and other regions such as AA, Ce and SDB. B and 602 PeB are grouped in the basal nucleus 'region' (BR) at levels 5 and 4. Anteriorly, the different 603 parts of ST form a distinct 'ring' of dark signal around ac (ST; Fig. 9a,b). Posteriorly, ST mingles 604 with various fiber tracts and appears lighter (Fig. 9a,b). The different components of the medial 605 and lateral septum, as well as those of the diagonal band of Broca, were not readily 606 distinguishable from one another in G12, although the medial portion of the septum appears lighter in NMT v2 and could be ascribed to the medial septum in a future version of the SARM 607 608 (Fig. 8). Ventrally and posteriorly, SDB (i.e., SIB and HDB in Figure 8c) is consistently darker 609 than EA but lighter than PO, and sits 'sandwiched' between them. For the time being, these 610 anterior and posteroventral regions are grouped in SDB. However, dorsally and posteriorly, one 611 component of the septum that runs along the anterior portion of the lateral ventricle, namely the 612 septimbrial nucleus (SFi), was labeled as a distinct ROI (Fig. 9). SDB and SFi are grouped in the septum diagonal band region (SDBR) at level 4. BR, ST, and SDBR are grouped in DSP at 613 614 levels 3 and 2.

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Figure 9. SARM ROIs in parasagittal view. (a, b) Sagittal slice through the NMT v2 showing (a) the delineations of SARM regions and (b) major anatomical landmarks. In (a), ROI labels are color coded: telencephalic (magenta), hypothalamic (green), thalamic (yellow), epithalamic (dark blue), midbrain (light blue), and pons (black). Notable landmarks in (b) include the fr, mmt (not a ROI, inserted in figure for orientation purpose) and fornix. The yellow asterisk is placed just below the distinct darker contrast that

622 characterizes SPFPC (see also Fig. 10). The thin dashed lines emphasize the distinctive change in 623 contrast between Thal, Mid, and Hy. The pink arrow points at the ring of dark contrast of ST around ac. 624 The four black arrows on the left side of (b) mark the contrast changes between PO, AH, VMH, and Arc. 625 Panel (c) shows a mid-sagittal view of the subjacent (ventral to Arc) pituitary regions APit and PPit, as 626 well as MEm. Panel (d) shows a symmetrical coronal view of MM with a distinctively lighter contrast in its 627 center, corresponding to f. The vertical white arrow at the base of the hypothalamus in panels (a,b) 628 indicates the anteroposterior level of the coronal view shown in panel (d). Abbreviations: 23 and 25, 629 cortical areas 23 and 25; 3V, third ventricle; A, anterior thal. n.; ac, anterior commissure; AH, anterior hy. 630 n.; APit, anterior pituitary; Arc., arcuate n.; cap, capsule of the anterior thalamic nucleus; cc, corpus 631 callosum; CdH, head of the caudate nucleus; CL-PC, centrolateral and paracentral thal. n.; CMn-PF, 632 centromedian and parafascicular thal. n.; DM, dorsomedial hy. n.; DR, dorsal Raphe; EpiThal, 633 epithalamus; f, fornix; fr, fasciculus retroflexus; Hb, habenula; Hy, hypothalamus; ICo, inferior colliculus; 634 LH, lateral hypothalamic area; LiR, linear Raphe; LTer-VOLT, lamina terminalis and vascular organ of the 635 lamina terminalis; LV, lateral ventricle; MD, mediodorsal thal. n.; MEm, medial eminence, Mid, midbrain; mlf, medial longitudinal fascicle; MM, mammillary n.; mRt, midbrain reticulum; n4, 4th cranial nerve 636 637 (crossing); OM, oculomotor complex; p1RT, prosomere 1 reticulum; Pa, paraventricular hy. n.; PAG, 638 periaqueductal gray; pc, posterior commissure; PH, posterior hy. n.; PLH, peduncular lateral 639 hypothalamus; PO, preoptic area; PPit, posterior pituitary; PrC, precommissural n.; R, red n.; Re-Rh-Xi, 640 reuniens, rhomboid and xiphoid thal. n.; RM, retro-mammillary n.; SC, superior colliculus; SDB, septum-641 diagonal band; SFi, septimbrial n.; sm, stria medullaris; SPFPC, subparafascicular parvocellular thal. n.; 642 ST, bed n. of the stria terminalis; Thal, thalamus; VA, ventral anterior thal. n.; VM, ventromedial thal. n.; 643 VMH, ventromedial hy. n.; VTA, ventral tegmental area; xscp, crossing of the superior cerebellar 644 peduncle; **ZI-H**, zona incerta and lenticular fascicles (H fields). 645

646 3.1.3.6 Preoptic Area and Hypothalamus. The preoptic complex (POC: levels 2 and 3) and 647 the hypothalamus (Hy; level 2) contain 3 and 17 ROIs, respectively (Table S1). At level 4, the 648 POC bifurcates into the preoptic region (POR) and the subjacent segments of the optic nerve 649 and chiasma (opt), which, despite being functionally unrelated, were artificially merged because 650 they frequently 'fuse' at low MRI resolutions. At levels 5 and 6, POR partitions into the different 651 (poorly distinguishable) nuclei of the preoptic area (PO), per se, and the medial lamina 652 terminalis, and its vascular organ (drawn together as LTer-VOLT). LTer-VOLT and opt are 653 readily identifiable throughout G12 and NMT v2, due to their starkly distinct contrast and 654 macroscopic location of LTer-VOLT over opt or at the base of PO (Fig. 9a,b). PO is identified by 655 its canonical location at the level of the optic chiasma and along the anterior part of the third 656 ventricle (3V), as well as by its distinct darker contrast. The latter defines rather sharp 657 boundaries with SDB, anteriorly, and with the anterior hypothalamic nucleus (AH), posteriorly, 658 as indicated by the black arrows in Figure 9b.

Most of the larger subdivisions of Hy are distinguishable due to local variations in signal intensity and/or the presence of specific white matter tracts, such as the mammillothalamic tract (mt). For example, the boundaries between AH, the ventral medial nucleus (VMH), and the arcuate nucleus (Arc) were marked by abrupt changes in contrast, similar to the boundary between PO and AH (see black arrows in Fig. 9b). The perifornical (PeF; not shown), retro-

664 mammillary nucleus (RM) and, more particularly, mammillary nucleus are recognizable by their 665 specific relation to the fornix (f) and mt, which are both identifiable as continuous dorsoventral 666 tracts between the thalamus and hypothalamus (Fig. 9b). The mammillary nucleus of the 667 hypothalamus (MM), which typically surrounds f, is also recognizable due to the bulge 668 (mammillary body) that it forms at the base of the diencephalon (Fig. 9d). The different divisions 669 of the lateral hypothalamic region (LHy; level 5) - that is, the lateral nucleus (LH), peduncular 670 lateral nucleus (PLH), and juxtaparaventricular nucleus (JPLH) - are delineated mainly based on 671 their lighter signal, compared to neighboring ROIs (not shown). The limit between the anterior 672 LH and posterior PLH is set at the level at which the fornix reaches the hypothalamus (not 673 shown; see RMBSC4 Fig. 55). The paraventricular nucleus (Pa) and the posterior hypothalamic 674 nucleus (PH) are distinctively darker and located medially, along 3V. The subthalamic nucleus 675 (STh; not shown; abbreviated elsewhere as STN), which is also part of the hypothalamus 676 (Puelles et al., 2013), is consistently identifiable due to a light circular signal located in-between 677 the darker zona incerta (ZI-H; see Section 3.1.3.7) and substantia nigra (SN; see Section 678 3.1.3.8). Finally, the pituitary (Pit; or hypophysis) is connected to Hy via the distinct medial 679 eminence (MEm), and contains an anterior (APit; adenohypophysis) and a posterior (PPit; 680 neurohypophysis) division, which are both recognizable in the NMT v2 due to much brighter 681 contrast for PPit, compared to APit (Fig. 9c).

682 The hierarchical grouping of Hy is based mostly on a classical neuroanatomical 683 grouping (Saper, 2012), rather than on ontological grouping (Puelles et al., 2013), due to the 684 non-contiguity of the alar and basal hypothalamic nuclei in the adult Hy. At level 3, Hy is divided 685 into tuberal (THy), posterior (PHy) and pituitary (Pit) groups (Table S1). The tuberal 686 hypothalamus contains the paraventricular hypothalamus (Pa: also singled out as medial tuberal 687 hypothalamus at level 4), as well as the supraoptic hypothalamus (SOpt), the ventromedial 688 hypothalamic nucleus (VMH), the medial eminence (MEm) and the arcuate nucleus (Arc), 689 grouped together as ventral tuberal hypothalamus at level 4, and, finally, the anterior 690 hypothalamic area (AH), the dorso-medial hypothalamic nucleus (DM), and the three distinct 691 lateral hypothalamic nuclei (LH, JPLH, and PLH), grouped together as dorsal tuberal 692 hypothalamus at level 4. The level 3 posterior hypothalamus contains the posterior nucleus per 693 se (PH), as well as the prefonical hypothalamus (PeF), the mammillary hypothalamus (MM) and 694 the retro-mammillary hypothalamus (RMM) grouped together as ventral posterior hypothalamus 695 at level 3. Pit forms a separate group at levels 3 and 4, with APit and PPit being considered 696 separately at levels 5 and 6.

697 3.1.3.7 Epithalamus, thalamus, and prethalamus. The epithalamus (EpiThal; levels 2-3) 698 contains the pineal gland (Pi; levels 4-6) and the habenula (Hb; levels 4-6). Pi forms a distinct 699 round structure at the midline, above the superior colliculus (SC) (not shown). Hb is located 700 posterior and medial to the thalamus. It is recognizable in NMT v2 by its bright and 701 heterogeneous signal (Fig. 9). The thalamus (Thal) contains 34 ROIs at level 6 (Table S1). 702 These ROIs remain listed individually at level 5, except for the anterior thalamus (A) and the 703 capsule of the anterior nucleus (cap) (Fig. 9a), which are then grouped to form the anterior 704 thalamus region (AR) ROI. At levels 4 and 3, the ROIs are grouped into 12 and 6 larger groups. 705 respectively. At level 4, most of the ROIs are grouped based on their connections (e.g., spinal, 706 cerebellar, and palladio-nigral groups) and classical functional attributions (e.g., "non-specific" 707 intralaminar and midline groups). The dorsal lateral geniculate (DLG; abbreviated elsewhere as 708 LGN) and medial geniculate (MG) nuclei remain ungrouped at level 4, due to their size, 709 anatomical distinctiveness, and functional specificity. At level 3, most of the level 4 ROIs are 710 grouped into yet larger entities based purely on their location within Thal. MG and DLG are 711 grouped into a geniculate ROI (GThal). The reticular thalamus (Rt) remains ungrouped until 712 level 2 (Thal) due to its anatomical distinctiveness.

713 Most level 6 ROIs of the thalamus are distinguishable in G12 and NMT v2. For example, 714 Figure 10 illustrates the delineation and signal contrast of several distinct thalamic ROIs in one 715 coronal slice of NMT v2. The boundary between some ROIs, such as the posterodorsal and 716 posteroventral parts of the ventrolateral nucleus (VLPD and VLPV), had to be based on their 717 theoretical location and topological relationships. But, in most cases, there was a consistent 718 shift in contrast at ROI borders, such as at the boundary between VLPV (darker) and the medial 719 and lateral parts of the ventral nucleus (VPM-VPL; see black arrows in the left side of Fig. 10a). 720 The brighter signal of the VPM-VPL ROI is consistent throughout its anteroposterior extent.

721 The delineations of the inter-mediodorsal nucleus (IMD), mediodorsal nucleus (MD) and 722 centrolateral and paracentral nuclei (CL-PC) ROIs were marked by rather sharp changes in 723 signal, from darker in IMD to much lighter in CL-PC. The brighter signal in the medial part of MD 724 likely corresponds to its medial portion (MDM in RMBSC4), which could be added in a future 725 version of SARM. Dorsal to IMD, the stria medullaris tract, paraventricular and paratenial nuclei 726 were grouped into one ROI (PT-PV-sm) due to their small individual sizes. Ventral to CL-PC, the 727 centromedial and parafascicular complex (CMn-PF) is identifiable by its darker contrast, which 728 reveals the typical wing-shaped form of CMn-PF, and by the passage of the fasciculus

- retroflexus (fr). fr is located just ventral to CMn-PF in Figure 10a, but it can be seen crossing
- 730 CMn-PF in the parasagittal view of NMT v2 in Figure 9a,b and in the coronal view of Figure 11a.

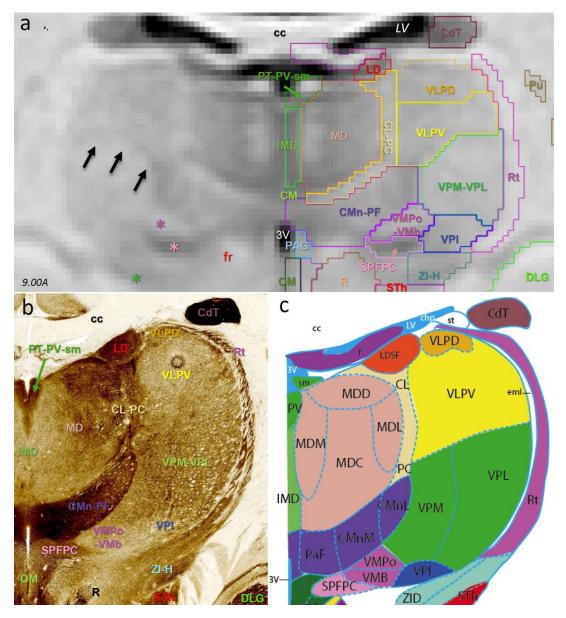


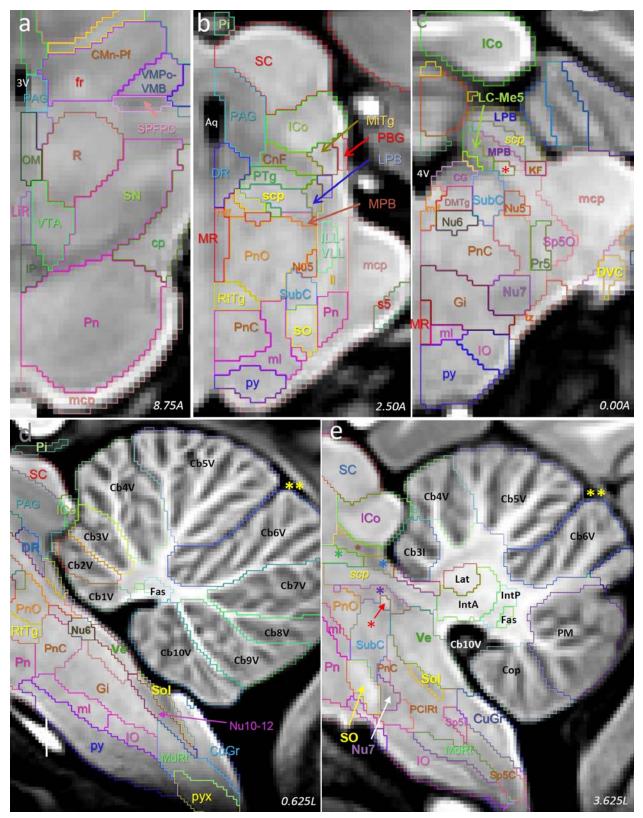
Figure 10. SARM's thalamic ROIs in coronal view. (a-c) Coronal slice through the NMT v2 in stereotaxic space (a), and a corresponding pair of RMBSC4 NissI AChE stain section (b) and diagram (c) (Fig. 71). In (a), the black arrows point at the boundary between VLPV and VPM-VPL. The asterisks emphasize the localizations of VMPo-VMb (dark pink), SPFPC (light pink), and ZI-H (green). The red "fr" indicates the localization of the fasciculus retroflexus, ventral to CMn-PF. (In more posterior slices, fr ascends through CMn-Pf, as illustrated in Fig. 9b and 11a.) Abbreviations (a.b): 3v, third ventricle; CdT, the tail of the caudate; CL-PC, centrolateral and paracentral thal. n.; CM, central medial thal. n.; CMn-PF, centromedial and parafascicular thal. n.; DLG, dorsolateral geniculate thal. n.; IMD, intermediodorsal thal. n.; LD, laterodorsal thal. n.; LV, lateral ventricle; MD, mediodorsal thal. n.; OM, oculomotor complex; PAG, periaqueductal gray; R, red n.; Rt, reticular thal. n.; Pu, putamen; PT-PV-sm, ensemble of the stria medullaris, paraventral nucleus and paratenial thal. n.; SPFPC, subparafascicular parvocellular thal. n.: STh, subthalamic n.: VLPD and VLPV, posterodorsal and posteroventral parts of the ventrolateral thal. n.; VMPo-VMB, posterior and basal parts of the ventromedial thal. n.; VPI, ventroposterior inferior thal. n.; VPM-VPL, ventroposterior medial and lateral thal. n.; ZI-H, zona incerta and lenticular fascicles (H fields). For the missing abbreviations in (c), see Paxinos et al., 2009, where most abbreviations are similar to Paxinos et al., in preparation.

732 VMb) are identified together as a small, brighter region tucked between CMn-PF and the 733 consistently darker and sharply delimited subparafascicular parvocellular ROI (SPFPC; see also 734 Fig. 9a, 10, and 11a). The ventroposterior inferior nucleus (VPI) ROI, which appears lighter in 735 G12 (not shown), is delineated in the NMT v2 mainly based on its theoretical location at the 736 lateral and ventral base of the thalamus, dorsal to the darker ROI of the zona incerta and H 737 fields (ZI-H). ZI-H, which is the only ROI of the pre-thalamus (PreThal), is characterized by a 738 thin strip of darker signal (see green asterisk in the left side of Fig. 10a), sandwiched at more 739 anterior levels between two lighter strips, likely corresponding to the H1 and H2 fields of the 740 lenticular fascicle (not present at the AP level shown in Fig. 10). The reticular thalamus ROI (Rt) 741 is defined by a thin lighter 'band' (in coronal slices) covering the lateral aspect of Thal 742 throughout its rostrocaudal extent.

743 3.1.3.8 Pretectum and Midbrain. The small pretectum (PrT) and vast midbrain (Mid) contain 4 744 and 27 ROIs, respectively. The posterior commissure (pc) of the pretectum appears distinctly in 745 the sagittal slice in Fig. 9a.b. The other 3 ROIs of the pretectum are delineated mainly based on 746 their theoretical location in the vicinity of pc, with, however, a slight contrast differentiation for 747 the precommissural nucleus (PrC, Fig. 9a,b) and, to a lesser extent, the posterior commissural 748 nuclei (PCom-MCPC). The midbrain contains several large and distinct ROIs, including the 749 periaqueductal gray (PAG), superior colliculus (SC), inferior colliculus (ICo), and substantia 750 nigra (SN), visible in Figures 9a and 11 (PAG, SC, and ICo). Some smaller ROIs could be 751 delineated based on their distinctively darker or lighter contrast (e.g., interpeduncular nucleus, 752 IP; pedunculopontine tegmentum, PTg; caudal pontine reticulum, PnC; dorsal and median 753 Raphe, DR and MR; superior cerebellar peduncle, scp). Finally, other midbrain ROIs were 754 drawn based on the localization of the aforementioned distinct ROIs. For example, a ventral 755 tegmental ROI was drawn at the base of the midbrain, near its junction with the retro-756 mammillary nucleus of the hypothalamus (RM), dorsal to the distinctly darker IP, and in between 757 the ventral halves of SN. Lastly, the red nucleus (R) was drawn based on the occurrence of a 758 slight contrast variation forming an ovoid region, dorsal to VTA (Fig.11a).

In the pretectum, pc and two small adjacent ROIs PrC and PCom-MCPC are grouped at level 5 as the posterior commissural region (PCR), to which the prosomeric 1 reticular formation (p1Rt) is added at levels 2-4, to form the PrT ROI. In the midbrain, most level 6 ROIs remain the same at level 5, except for the saginum nucleus ROI (Sag-RL), which joins ICo to form the inferior colliculus complex (ICoC). At level 4, ROIs are grouped mainly based on coarse anatomical or cytological relatedness. For example, SC and ICoC are grouped into a colliculi

(Co) ROI; the several tegmental nuclei (e.g., microcellular tegmentum, MiTg, and anterior tegmental nucleus, ATg) are grouped into a midbrain tegmentum ROI (TgMid). Similarly, the midbrain dopaminergic complex (DA-Mid) was formed from the large midbrain dopaminergic cell group ROIs (i.e., VTA, SN and RF) and surrounding structures (e.g., IP). At level 3, these ROIs are further grouped, mainly based on their cardinal location (i.e., dorsal, lateral, medial, and ventral).



771

Figure 11. SARM hindbrain ROIs in coronal and parasagittal views. Coronal (a-c) and parasagittal (d,e) slices through the NMT v2 showing ROI delineations at various levels of the neuraxis. In (d,e), the double yellow asterisks indicate the position of the anterior cerebellar fissure that separates anterior and

775 posterior lobes. In (e), the asterisks indicate the locations of CnF (brown), PTg (green), LPB (blue), and 776 MPB (purple). The red asterisk indicates the location of the me5. In (a-c), left is medial and top is dorsal. 777 In (d,e) left is rostral and top is dorsal. Abbreviations: 3V, third ventricle; 4V, fourth ventricle; Aq, 778 aqueduct; Cb31, intermediate part of the cerebellar lobule 3; Cb1-10V, vermis part of cerebellar lobules 1-779 10; CG, central gray n.; CMn-PF, centromedial and parafascicular thal. n.; CnF, cuneiform n.; Cop, 780 cerebellar copula; *cp*, cerebral peduncle; *CuGr*, cuneate and gracile n.; *DMTg*, dorsomedial tegmentum; 781 DR, dorsal Raphe; DVC, dorsal and ventral cochlear n.; Fas, fastigial (medial) n.; fr, fasciculus 782 retroflexus; Gi, gigantocellular reticular n.; ICo, inferior colliculus; IntA, anterior interposed n.; IntP, 783 posterior interposed n.; IO, inferior olive; KF, Kolliker-Fuse n.; Lat, lateral (dentate) n.; LC-Me5: locus 784 coeruleus and mesencephalic 5 region; LiR, linear Raphe; II, lateral lemniscus; LPB, lateral parabrachial 785 n.; mcp, medial cerebellar peduncle; MdRt, medullary reticular formation; me5, motor trigeminal root; 786 *MiTg*, microcellular tegmental n.; *mI*, medial lemniscus; *mIf*, medial longitudinal fascicle; *MPB*, medial 787 parabrachial n.; MR, medial Raphe; Nu5, trigeminal motor n.; Nu6, abducens n.; Nu7, facial n.; Nu10-12, 788 hypoglossal and motor vagus n.; OM, oculomotor complex; PAG, periaqueductal gray; PBG, 789 parabigeminal n.; PCIRt, parvicellular and intermediate reticular n.; Pi, pineal gland; PM, paramedian 790 cerebellar lobule; Pn, pontine n.; PnC, caudal pontine reticulum; PnO, oral pontine reticulum; Pr5, 791 principal trigeminal sensory nucleus; **PTg**, pedunculopontine tegmentum; **py**, pyramidal tract; **pyx**, 792 pyramidal tract decussation; R, red n.; RtTg, reticulotegmental formation; s5, sensory root of the 793 trigeminal nerve; SC, superior colliculus; scp, superior cerebellar peduncle; SN, substantia nigra; Sol, 794 solitary tract n.; Sp5C, caudal spinal trigeminal n.; Sp5I, intermediate spinal trigeminal n.; Sp5O, oral 795 spinal trigeminal nucleus; SPFPC, subparafascicular parvocellular thal. n.; SO, superior olive; SubC, 796 subcoeruleus; tz, trapezoid bundle region; Ve, vestibular n.; VMPo-VMB, posterior and basal parts of 797 the ventromedial thal. N.; VTA, ventral tegmental area. 798

799 3.1.3.9 Pons. The 'pons' region of the metencephalon contains 24 ROIs (Table S1), mostly 800 illustrated in Figure 11. The most prominent pons ROI is the pontine nucleus (Pn), located 801 ventrally and well demarcated from the medial cerebellar peduncle (mcp) (Fig. 11a,c,d). The 802 superior olive (SO) forms a bright column posterior to Pn and directly anterior to the darker Nu7 803 (Fig. 11b, c and e). The lateral and medial parabrachial nuclei (LPB and MPB) form darker 804 bands around the superior cerebellar peduncle (scp), with LPB being posterior to the lighter PTg 805 (Fig. 11b.c.e). Lateral to MPB, we ascribed a small region to a ROI putatively containing both 806 the locus coeruleus and the mesencephalic trigeminal nucleus (Me5). The localization of this 807 ROI is supported by the position of the central gray nucleus (CG), recognizable medial to MPB, 808 and the presence of a small lighter region that corresponds most likely to the efferent trigeminal 809 mesencephalic nerve (me5; marked by the red asterisks in Fig. 11c,e). The lateral lemniscus 810 complex (II+), which carries projections from the cochlear nucleus, was identified as a light 811 bundle in the lateral portion of the pons, between SO and ICo, which both receive cochlear 812 inputs. Within the boundaries of II+, we drew ROIs most likely to correspond to the position of 813 the dorsal (DLL) and inferior and ventral (ILL-VLL) lateral lemniscal nuclei (Fig. 11b). Other pons 814 regions, such as the oral and caudal pontine reticulum (PnO and PnC, Fig. 11b-e), were drawn 815 based on their relative position to the structures that were readily identifiable.

816 3.1.3.10 Cerebellum. The cerebellum (level 2) contains 27 ROIs (Table S1), including 21 817 cortical ROIs, 4 deep nuclei ROIs, and 2 fiber tracts. The 21 cortical areas consist of the 10 818 lobules, which are partitioned into the more medial vermis lobules (CbV1 to CbV10; Fig. 11d) 819 and four intermediate lobules (Cb3I to Cb6I; Cb3I is shown in Fig. 11e). The intermediate 820 lobules are continuous with and lateral to the corresponding vermis lobules. The other cortical 821 ROIs are the paramedian lobule (PM), simple lobule (Sim), copula of the pyramis (Cop), 822 ansiform lobules crus 1 (Crus1) and crus 2 (Crus2) ROIs, as well as the floculus (FI) and 823 paraflocculus (PFI). See Figure 11e for PM and Cop. The deep nuclei are the classical lateral or 824 dentate (Lat), anterior interposed (IntA), posterior interposed (IntP), and medial or fastigial (Fas) 825 cerebellar nuclei (Fig. 11e). While the deep nuclei are clearly revealed by an abruptly darker 826 contrast in G12 (not shown), they are identifiable only by a slightly lighter contrast in NMT v2. 827 This slight increase in lightness is, however, sufficient to delineate the edges of each nucleus. 828 The two tracts are the inferior cerebellar peduncle and olivocerebellar tracts, which are both 829 located close enough to the cerebellum to be allocated to this region, instead of others (unlike 830 mcp and scp, which are mostly represented outside the cerebellum).

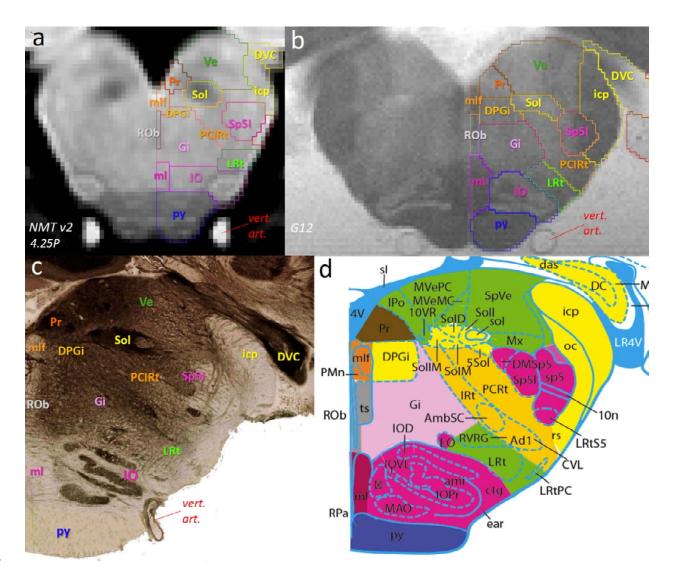
831 At level 5, the vermis lobule ROIs are grouped into anterior and posterior vermis ROIs 832 (AVCbCx and PVCbCx) based on the boundary defined by the primary fissure (double yellow 833 asterisks in Fig. 11d,e) between Cb5V and Cb6V. In addition, the intermediate lobule ROIs, 834 along with Cop, Sim and PM, are grouped into an intermediate cerebellar cortex ROI (ICbCx). 835 Also at level 5, Crus 1 and 2 fuse into a lateral cerebellar cortex (LCbCx) ROI, and FI and PFI 836 fuse into the FI-PFI ROI. At level 4, the vermis cerebellar cortex (VCbCx) ROI combines the 837 anterior and posterior vermis ROIs, the deep cerebellar nuclei (DCb) ROI merges the deep 838 nuclei into one, and a cerebellar 'white matter' (wmCb) ROI captures the two fiber tracts. At 839 level 3, all the cortical ROIs are grouped under a cerebellar cortex ROI (CbCx), which then 840 coexists with the DCb and wmCb ROIs.

841 3.1.3.11 Medulla. The myelencephalon (level 1) or medulla (level 2) contains 26 ROIs 842 (Supplementary Table 1). Figure 11d.e and 12 illustrate several of these ROIs. The most 843 obvious ROIs were the solitary tract nucleus (Sol), hypoglossal and motor vagus nuclei (Nu10-844 12) lying directly ventral to Sol, and the facial motor nucleus (Nu7), due to their sharply delimited 845 darker contrast. The dark Nu7 markedly contrasted against the bright contrast of SO, which lies 846 just anterior to Nu7 (Fig. 11e). The vestibular (Ve) and cuneate-gracile nuclei (CuGr) formed 847 characteristic domes rostral and caudal to Sol, respectively (Fig. 11d). Ventrally, the pyramidal 848 tract (py), decussation of the pyramidal tract (pyx), and inferior olive (IO) were identifiable by

36

849 their bulging morphology and heterogeneous contrast (Fig. 11d,e; Fig. 12a,b). The cochlear 850 nuclei (DVC, Fig. 12) formed a distinct structure located lateral to the medulla, within the 851 vestibulocochlear nerve (n8). The oral, intermediate (Fig. 12), and caudal spinal trigeminal 852 nuclei (Sp5O, Sp5I, and Sp5C) form a continuous rostrocaudal column made of a medial 853 cellular region (the nucleus itself) and of a lateral fibrous region (the nerve, sp5). Other 854 structures, such as, for example, the paragigantocellular (Gi; Fig. 12) and medullar (MdRt) 855 reticular nuclei, presented a rather homogeneous appearance and were drawn based on their 856 theoretical localization, in between the identifiable regions.

At level 5, Sp5O, Sp5I, and Sp5C are grouped into a larger spinal trigeminal nucleus ROI (Sp5). At level 4, the ROIs are grouped into 8 composite structures based on their functional relatedness. For example, Ve, DVC, n8, and Pr were grouped in a larger vestibulocochlear complex (VCC). Among the 8 composite level 4 ROIs, the medullar Raphe (MedRaphe) and medullar motor nuclei (MedMC) are composed of non-contiguous primary ROIs. Finally, at level 3, the ROIs were grouped based on basic cardinal direction (dorsal, intermediate, and ventral medulla).



864

865 Figure 12. SARM medullar ROIs in coronal view. Coronal slices through the left and right hemispheres 866 of (a) the symmetrical NMT v2 and (b) the G12. Corresponding RMBSC4 slice through the right 867 hemisphere showing (c) an acetylcholinesterase staining and (d) its diagram (Fig. 109). Abbreviations: 868 DVC, dorsal and ventral cochlear n.; DPGi, dorsal paragigantocellular nucleus; Gi, gigantocellular reticular n.; icp, inferior cerebellar peduncle; IO, inferior olive; LRt, lateral reticular n.; mI, medial 869 870 lemniscus; mlf, medial longitudinal fascicle; PCIRt, parvicellular and intermediate reticular n.; Pr, 871 prepositus n.; py, pyramidal tract; ROb, Raphe obscurus n.; Sol, solitary tract n.; Sp5l, intermediate 872 spinal trigeminal n.; Ve, vestibular n. In all panels, left is medial and top is dorsal. For the missing 873 abbreviations in (d), see Paxinos et al., 2009, where most abbreviations are similar to Paxinos et al., in 874 preparation.

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3.2 Functional Localizer

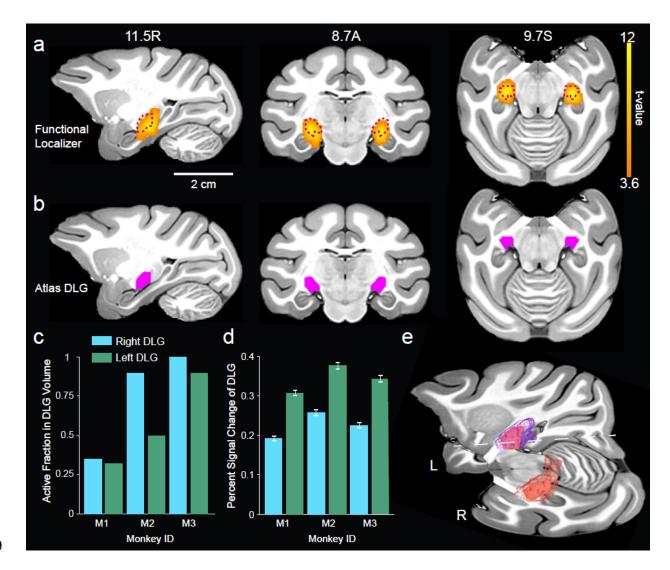
878 3.2.1 Individual registration to SARM in NMT v2

The functional localizer data from three rhesus macaque subjects (M1-M3) were nonlinearly registered to the NMT v2 template space for analysis. The quality of the anatomical registration was visually checked (Sections 2.23 & 2.24). As an illustration of the alignment quality, **Supplementary Figure 2** shows the anatomical correspondence between the three macaque subjects and the NMT v2 in the coronal and sagittal planes. Representative coordinates within the periaqueductal gray (PAG, a midbrain region), and the dorsolateral geniculate (DLG) of the right thalamus are labeled.

886 3.2.1 Subcortical Activation Clusters

887 A functional paradigm was used as a validation test to determine whether the SARM could 888 sufficiently localize activity to an expected subcortical region. For this, we used fMRI data 889 collected from three monkeys during a visual stimulus paradigm (flickering checkerboard) that 890 was shown by Logothetis and colleagues (1999) to robustly activate the DLG, which is also 891 known as the lateral geniculate nucleus, or LGN. All functional volumes (i.e. time points) 892 collected were included in the analysis. From this analysis (AFNI- and SPM-based), the 893 statistical results were computed across 2 functional scan sessions per individual. For both 894 analysis packages, we found a consistent, bilateral response within and in the vicinity of the 895 DLG (Fig. 13). To compare the extent of functional activity to the anatomically defined DLG, the 896 significant functional activity correlated with the visual flicker stimulus in monkey subject 3 (M3 897 in Fig. 13a; p = 0.05, FDR-corrected) is shown in conjunction with the contour of the DLG in the 898 NMT v2 (SARM levels 4-6; Fig. 13b). In the case of subject M3, almost all of the DLG was 899 activated as determined by the fraction of functionally activated voxels in the atlas DLG (Figure 900 13c). BOLD activity within this SARM region was consistently positive in all 3 macagues during 901 presentation of the visual stimulus (Figure 13d). The average BOLD percent signal change 902 across the DLG for all subjects and hemispheres was found to be 0.28±0.07% (mean±STD). To 903 illustrate the extent of functional activation spread, a 3D rendering of subject M3's DLG-904 localized activation clusters with the atlas DLG (underlaid) was created using SUMA (Saad et al. 2004; Figure 13e). This analysis shows how the SARM can be used for quantifying BOLD 905 906 activity in individual ROIs and determining the specificity of functional activation. The SARM

907 can, furthermore, be used to assess how an anatomical region responds to a functional908 manipulation.



909

910 Figure 13. Functional Localizer for DLG. The functional activity elicited in anesthetized monkeys by a 911 flickering checkerboard stimulus was evaluated using the atlas-defined Dorsal Lateral Geniculate (DLG) 912 region. (a) Significant positive BOLD activity elicited in monkey M3 is shown on three sections of the NMT 913 v2 volume that include the DLG. Color shows the t-value of significantly activated voxels (FDR-correction 914 at p = 0.05; results calculated by the SPM12 analysis pipeline). The anatomical borders of the SARM's 915 DLG are shown in (b) in magenta and with a dashed outline in (a). Slice coordinates are in mm relative to 916 the origin (EBZ; ear bar zero). DLG activation in each hemisphere was guantified for 3 macague monkeys 917 (Monkey IDs: M1-M3) by (c) the fraction of functional voxels within the DLG region that were significantly 918 activated (p < 0.05, FDR-corrected) and (d) the percent signal change (i.e., beta coefficient) associated 919 with the flickering checkerboard (i.e., the 4 sec stimulus ON period) averaged across all functional voxels 920 in the DLG. Error bars plotted represent the standard deviation. (e) 3D renderings of the DLG as defined 921 by the atlas (smaller) and functionally by the localizer (larger) displayed in SUMA for monkey M3, against 922 an intersecting axial and sagittal slice (unthresholded, p < 0.07; results calculated by the AFNI analysis 923 pipeline).

924

4. Discussion

925 Here, we have introduced the SARM, a digital neuroanatomical parcellation atlas of the 926 macaque monkey subcortex. This atlas is mapped onto the symmetric NMT v2 population 927 template, which reflects the average morphology of an adult rhesus macaque. The SARM offers 928 a subcortical reference matrix that is suitable for the localization of any neuroimaging results in 929 single-subject and group analyses, as well as for experimental surgical planning. Being in fixed 930 stereotaxic coordinates, the SARM referential remains fixed, regardless of changes in border 931 definitions or the nomenclature of anatomical regions with subsequent optimizations. The atlas 932 was originally drawn on the high-resolution coronal sections of an ex vivo MRI of a single 933 subject, with reference to histological material from other subjects, and then manually revised 934 after nonlinear alignment to the *in vivo* population template. Subcortical areas in the forebrain, 935 midbrain, and hindbrain were parcellated according to the Rhesus Monkey Brain in Stereotaxic 936 Coordinates atlas (Paxinos et al., 2009), with revisions that will be reflected in a new edition 937 (Paxinos et al., in preparation). Not all of the small subcortical cytoarchitectonic regions defined 938 in the RMBSC4 (~900) were drawn. Instead, we incorporated, in larger ROIs, small 939 cytoarchitectonic structures that cannot be identified using the lower MRI resolution, and that 940 would not be pertinent for the localization of BOLD activity. Some of the smaller 941 cytoarchitectonic regions within a single ROI may be functionally unrelated, as they were 942 grouped 'around' a larger region, mainly based on their spatial proximity. However, Table S1 943 lists all the small cytoarchitectonic regions assumed to be included within each SARM ROI. This 944 will allow users to evaluate, based on their paradigm, whether the main or a smaller region 945 might be responsible for the observed BOLD signal.

946 Refinements of the SARM will be released periodically based on user community 947 feedback. In addition, SARM describes the anatomy at 6 different spatial scales, so that it can 948 be used to name and localize small nuclei, mid-size structures suited to describe fMRI 949 activations, and the major developmental divisions of the subcortex. Finally, we tested and 950 validated the SARM using a DLG functional localizer to localize and quantify BOLD activity with 951 respect to different subcortical regions. Further analyses can essentially be computed using the 952 SARM parcellation presented here, whereby functional activity from any atlas label can be 953 assessed both in terms of areal specificity and sensitivity.

954 The utility of an MRI atlas is largely determined by how well data can be warped 955 between the native individual space and the common space of the atlas. Data is commonly

956 warped to the common space of an atlas for analysis but may also be warped from the common 957 space to an individual scan. Achieving an accurate registration between the source and target 958 datasets is critical for these processes. By providing the SARM on the *in vivo* population NMT 959 v2, we hope to facilitate simple and accurate alignment between in vivo functional data and a 960 template that closely matches its morphology. To obtain an accurate subcortical atlas, special 961 attention was paid here to ROI positioning after alignment of the G12 parcellation to the NMT 962 v2. We assessed this alignment visually on the structural template and examined various 963 interpolation and regularization schemes to minimize errors. Residual inconsistencies between 964 the subcortical labeling and the NMT v2 structure were manually corrected, ensuring an 965 accurate representation of the subcortex in the NMT v2 space.

966 As there are many spatial scales by which the macaque subcortex can be subdivided, 967 one strategy is to parcellate different brain areas as finely as afforded by cytoarchitectonics. 968 However, for MRI studies, such a fine parcellation is often unnecessary as the discernible 969 differences between structures are limited by image resolution. Further, the fine scale of some 970 cytoarchitectonic structures can be problematic. Small or thin structures are susceptible to large 971 distortions during nonlinear alignment or resampling and may introduce discontinuities or 972 abnormal shapes or cause a region to disappear entirely. Additionally, after resampling to an 973 fMRI grid, some small regions might only consist of a few voxels, making averaging over such 974 ROIs limited, statistically underpowered, and sensitive to registration errors. To avoid this, 975 individual researchers may combine regions to ensure they are robust and adequately sample 976 the desired area, but this can introduce ambiguity. For instance, one researcher's definition of 977 the regions comprising the amygdala may differ from another, and replicability suffers when 978 researchers lack a consistent definition of brain structures. Atlases circumvent this issue by 979 providing independent, structurally defined regions for ROI analysis and quantification. This 980 capability additionally avoids the potential pitfall of circular analysis, where functional activity is 981 localized to a group of structures, and those structures are then analyzed using the same data 982 (Kriegeskorte et al., 2010).

The SARM addresses both the issues of ROI size and consistency by introducing hierarchical groupings. The fine-to-gross classification provides the specificity needed for use with histological material, high-resolution structural scans and targeted brain interventions as well as larger well-defined composite regions suited for reliable fMRI sampling. The SARM's finer levels (levels 5 and 6) may have some utility at typical fMRI resolutions but are rather advantageous for detailed structure analysis (e.g., MRI voxel intensity, comparisons to

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histological material, describing surgical, tracer or pharmacological injection sites). The
composite regions of levels 1-4 are sufficiently large to limit the impact of nonlinear registration
errors and to include a sufficient number of voxels for averaging over a ROI.

992 The SARM's composite ROIs are additionally useful for meta-analyses and cross-993 species comparisons, as finer parcellations differ between anatomists, species (NHP and 994 human), and individuals. By providing composite structures based on cytoarchitectonics and 995 developmental regions, we defined regions that can be used to analyze a target structure at 996 resolutions suited for structural MRI, diffusion MRI and fMRI studies. Conveniently, the SARM 997 can be used in conjunction with the Cortical Hierarchical Atlas of the Rhesus Macaque 998 (CHARM; Jung et al., this issue). This provides researchers with an additional degree of 999 freedom to study subcortical-cortical relationships at varying scales.

1000 There are a few limitations of the current atlas implementation that could be improved in 1001 future iterations. First, while the in-plane resolution of the high-resolution scan (G12) was 1002 sufficient for definition of subcortical structures, the out-of-plane resolution (1 mm) limited the 1003 available information for tracking these structures in the anteroposterior axis. When these 1004 structures were warped to scans with higher out-of-plane resolution, manual adjustments were 1005 necessary to resolve discontinuities and inaccurate labeling driven by resampling. Isotropic 1006 high-resolution imaging in all dimensions facilitates the creation of digital atlases and their 1007 generalizability. Secondly, collecting an in vivo scan of the G12 subject would have helped to 1008 account for disparities between in vivo and ex vivo preparations and could have acted as an 1009 intermediate target when warping between the high-resolution ex vivo scan and the in vivo NMT 1010 v2. Thirdly, in vivo and ex vivo multimodal neuroimaging would have been helpful in delineating 1011 fine boundaries of subcortical structures and improved alignment to scans with differing 1012 contrast. It may also permit detecting structures that were not readily identifiable here (e.g., Ce).

1013 Another consideration unique to NHP imaging is the orientation of the brain in the 1014 scanner. Humans are typically scanned in the supine position. However, macaques are 1015 scanned in various positions. The "sphinx" position is the most common, but being seated in a 1016 vertical scanner is also fairly common. Visual inspection of scans collected in the sphinx and 1017 seated positions suggest a change in the brainstem's orientation with respect to the rest of the 1018 brain. Affine alignment is unable to correct for such relative differences in brainstem orientation, 1019 and depending on the algorithm, even nonlinear alignment tools may be limited, insofar as the 1020 brainstem can be adjusted. Further investigation is required to evaluate how well brainstem

1021 structures are registered between a stereotaxic template and functional data collected in the 1022 vertical seated orientation. Additionally, it is worth noting that *ex vivo* tissue sections may differ 1023 from brain imaging due to differences in features (i.e., brainstem orientation, CSF volume, 1024 ventricle size, and sulcal position). In particular, coronal sectioning through the brainstem for 1025 histological analysis might be perpendicular to the rostrocaudal axis, whereas for an MR scan, 1026 coronal sections are generally oriented with respect to the telencephalon.

1027 Users must carefully consider the issue of alignment between their datasets and the 1028 atlas in template space. If alignment is not done properly, a mislocation in ROI assignment can 1029 occur. The same notion applies when attempting to warp an atlas to individual scans. The 1030 SARM can always be further improved by using additional isotropic high-resolution structural 1031 scans, by using Positron Emission Tomography (PET) with chemoarchitectonically specific 1032 radiolabeled ligands (e.g., Oler et al., 2012) or by applying other functional localizers (e.g., 1033 mechanoreceptive stimuli for localizing activity in thalamic nuclei CuGR and VPL-VPM or 1034 auditory stimuli to activate DCV and MG). The SARM atlas regions can be further organized by 1035 functional modalities and connectivity-based clustering. It is strongly recommended to examine 1036 the vicinity of functional activations and evaluate the relevance of BOLD signal overlap with 1037 specific ROIs. Indeed, with the lower spatial voxel resolution of functional scans, and as 1038 observed in our localizer validation experiment, significant BOLD signal can spread beyond 1039 intrinsic structural landmarks. Level 4 of the SARM hierarchy should be rather safe for most 1040 fMRI analyses, but the higher the level, the more careful one has to be to check the quality of 1041 fMRI registration.

1042 The SARM is intended to support subcortical localization for a host of neuroimaging 1043 datasets (i.e., fMRI, PET, or diffusion imaging). While studies using a small number of macaque 1044 subjects can rely on directly comparing their signal location to print atlases, the SARM allows for 1045 morphing data from multiple subjects to an MRI-based atlas (and vice versa) to conduct group-1046 level analyses using any neuroimaging modalities. The explosion of community data sharing 1047 (Milham et al., 2020) and multi-center NHP fMRI projects should, therefore, highly benefit from 1048 this resource. Furthermore, the SARM itself was conceived and developed within the context of 1049 the PRIMatE-Data Exchange (PRIME-DE) and will greatly benefit from usage-based feedback 1050 from the community. In addition to its utility for data analysis and identifying structures, the 1051 SARM in stereotaxic space has the potential to aid with surgical planning in studies involving 1052 tracer injections, drug injections, lesions, electrophysiology, optogenetics, and electrical 1053 stimulation, including deep brain stimulation (e.g., Ewerts et al., 2017). Beyond studies

1054 conducted solely in macaques, providing that homology and nomenclature equivalencies can be 1055 reliably established, future harmonized versions of the SARM, CHARM and human atlas 1056 counterparts could further help in comparing structural and functional organization between 1057 macaques and humans at a broad scale (Mantini et al., 2012). In this context, the detail of the 1058 SARM may be especially important, for example for DBS, where experimentally exploring 1059 spatially distinct neurostimulating sites could help explain variations in clinical results (Ewerts et 1060 al., 2017).

1061

5. Conclusion

1062 We have presented a new subcortical atlas for the rhesus macaque: the Subcortical Atlas of the 1063 Rhesus Macaque (SARM). Based primarily on the high-resolution MRI of a single subject and 1064 comparison with histological materials, this atlas provides the most detailed subcortical parcellation to date and is the first specifically applied to the subcortex available in a digital 1065 1066 format for use by the MRI and general neuroscience community. We provide a specific use case 1067 and working examples of the use of this atlas within two popular fMRI analysis software 1068 packages. The SARM is part of a larger push in the NHP neuroimaging community to share 1069 data and resources. Information on the SARM and other macague resources may be found at 1070 the **PRIME-RE** (Messinger et al., this issue).

1071

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1086

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1302	Supplementary Material	
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1304	I.	Supplementary Tables
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1306		Table S1: List of all ROIs and their hierarchy. See .CSV file.
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1308	II.	Supplementary Figures:
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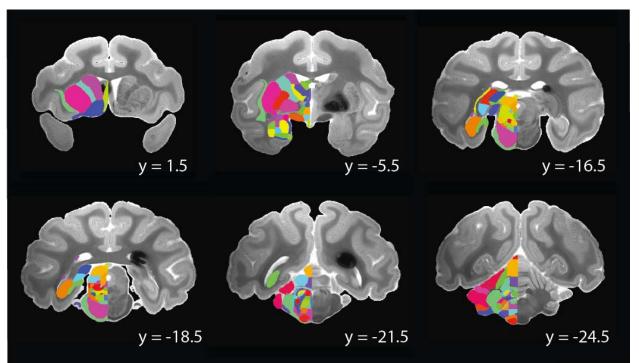


Figure S1. The subcortical atlas in G12 space. Coronal sections from subject G12 are shown with the subcortical parcellation overlaid for the left side regions. The high-resolution *ex vivo* scan was reoriented from its original orientation to a standard orientation. Coordinates are listed in mm in the individual subject's native space, left hemisphere shown left.

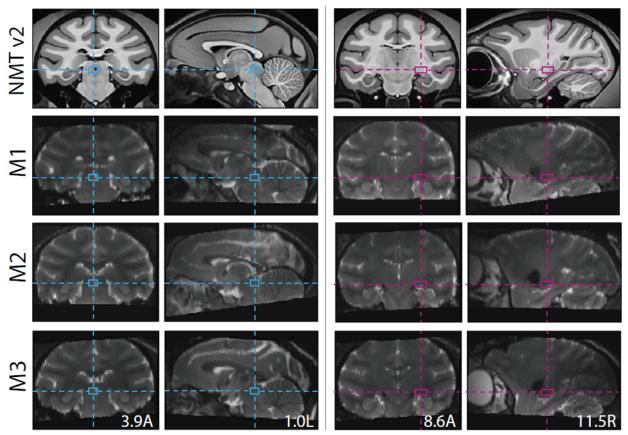


Figure S2. Nonlinear registration of three rhesus macaques to the NMT v2 template. Crosshairs intersecting at two subcortical regions: the left periaqueductal gray nucleus (PAG; left panels) in the mesencephalon and the right dorsal lateral geniculate nucleus (DLG, right panels) of the thalamus. The depth of all alignment boxes is 11.6S (stereotaxic coordinates reported in mm from the ear bar zero; EBZ). The coronal and sagittal sections show the correspondence between the T1-weighted NMT v2 and the T2-weighted single-subject anatomical scans from macaque monkeys M1-M3 after Dartels-based nonlinear registration to the population template.

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