

1 **The generation of persister cells is regulated at the initiation of translation by**  
2 **(p)ppGpp**

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11 protein synthesis, translation, phenotypic heterogeneity.

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13 **Running title:**

14 Initiation of translation regulates persistence

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19 **Abstract**

20 Bacterial persistence is a non-heritable phenotypic trait characterized by a dormant state  
21 that leads to tolerance to different antibiotics. Several mechanisms contributing to  
22 persister cells generation have been identified. Among these, is the signaling molecule  
23 (p)ppGpp, but knowledge of how this molecule regulates persister generation is  
24 incomplete. Here, we show an increase of the persister fraction of uropathogenic  
25 *Escherichia coli* (UPEC) that correlates with the time of protein synthesis inhibition and  
26 a decrease in the availability of antibiotic target. Specifically, the arrest of translation  
27 initiation induces bacterial survival to ampicillin and ciprofloxacin in a (p)ppGpp-  
28 dependent manner. These findings support a global mechanism of persister cell  
29 generation and establish a regulatory role of the (p)ppGpp molecule in this phenomenon.

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31 **Importance**

32 The study of persister cell formation is relevant because this bacterial subpopulation is  
33 involved in the emergence of antibiotic resistance and the generation of chronic  
34 infections. A role of the (p)ppGpp molecule in the generation of the persister fraction has  
35 been described, but the identification of the regulatory mechanism mediated by this  
36 alarmone during protein translation and its contribution to persistence has not been  
37 described to date. In this work, we show that (p)ppGpp regulates the generation of  
38 persister cells at the initiation of the protein synthesis process in UPEC. Our results also

39 suggest that a (p)ppGpp-dependent regulation of translation, might be a global  
40 mechanism for the generation of the persister fraction.

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## 43 **Introduction**

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45 Bacterial persistence is a transitory, non-genetically heritable dormant state that  
46 leads to tolerance to normally lethal concentrations of different antibiotics in several  
47 bacterial species. After antibiotic challenge, this fraction can resume growth when stress  
48 is relieved. Persister cells play roles in virulence, relapsing infections, and facilitate  
49 evolution of antibiotic resistance (1). Different mechanisms and signaling molecules  
50 involved in persister cell generation have been described (1). Among these are inhibition  
51 of translation (2), cyclic AMP (cAMP) (3), and the (p)ppGpp alarmone molecule (4, 5).  
52 The regulatory effects of (p)ppGpp on bacterial growth, transcription and DNA  
53 replication have been widely studied (6). However, a deeper understanding of (p)ppGpp  
54 regulation of translation and its impact on persistence is lacking. Here we show that the  
55 availability of antibiotic-target molecule is critical for the generation of persister cells,  
56 and that this phenomenon is tightly regulated at the level of initiation of protein synthesis  
57 in a (p)ppGpp-dependent fashion.

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## 59 **Results and Discussion**

60 A role for (p)ppGpp in persistence has been widely proposed, yet a deeper  
61 understanding of (p)ppGpp regulation of translation and its impact on persistence is still

62 lacking (4, 7, 8). Aiming to explore the role of (p)ppGpp in persistence of UPEC, we pre-  
63 exposed exponentially growing cells for 30 min to DL-Serine hydroxamate (SHX), an  
64 inhibitor of Seryl-tRNA synthetase (SerS). SHX-treated and control cultures were then  
65 exposed to high concentrations of ampicillin or ciprofloxacin for 4 hours to select the  
66 persister fraction. SHX has been widely used to induce the persister state through the  
67 arrest of protein synthesis generated by the increase in the (p)ppGpp level, the so called  
68 stringent response (5, 9). As expected, an increased survival to both ampicillin and  
69 ciprofloxacin was observed in cultures pre-exposed to SHX (Figure 1A). The role of  
70 (p)ppGpp in this phenomenon was assessed using a  $\Delta relA \Delta spoT$  double mutant, which is  
71 unable to synthesize (p)ppGpp, *i.e.*, is (p)ppGpp<sup>0</sup>. The toxic effect generated by SHX in  
72 cultures of the (p)ppGpp<sup>0</sup> (compared to wt), might be explained by a global change in the  
73 gene expression profile mediated by SHX. A decreased ability to either upregulate and/or  
74 downregulate genes related to metabolism, translation, nucleic acid metabolism, transport  
75 and others has been shown when a (p)ppGpp<sup>0</sup> strain is challenged with SHX (10).  
76 When cultures were pre-exposed to SHX and then challenged with ampicillin, a 10-fold  
77 decrease in bacterial survival was observed in the (p)ppGpp<sup>0</sup> strain compared to the wt,  
78 suggesting a role of (p)ppGpp in the generation of persister cells (Figure 1A). However,  
79 this phenomenon did not occur in cultures treated with ciprofloxacin (Figure 1A),  
80 suggesting a (p)ppGpp-independent mechanism of persistence to ciprofloxacin.

81 SHX induces the stringent response by inhibition of Seryl-tRNA  
82 synthetase (9), but it is possible that a 30 min pre-exposure may have other unknown  
83 effects on cell physiology that are not necessarily related to the stringent response (11).  
84 We did two experiments to examine this. First, we tested if a shorter pre-exposure to

85 SHX, which should still induce the stringent response and arrest translation, impacts  
86 persister cell generation. Indeed, just 3 min of SHX pre-exposure resulted in increased  
87 survival to both antibiotics, though not to the extent observed after 30 min of pre-  
88 exposure (Figure 1B). Again, a (p)ppGpp-dependent effect on survival was observed for  
89 ampicillin but not ciprofloxacin. Second, we tested the role of (p)ppGpp in persistence by  
90 an independent method, which was to genetically induce the repression of *serS* via an  
91 antisense RNA. To accomplish this, we inserted the IPTG-inducible Ptac promoter  
92 downstream of *serS* in the antisense orientation. This genetic tool has been used and  
93 validated previously to downregulate the expression of genes related to persistence (12).  
94 Also, to evaluate that a decreased production of Seryl-tRNA synthetase generated as a  
95 consequence of the downregulation of *serS*, induces arrest of growth in both genetic  
96 backgrounds (wt and (p)ppGpp0), we plated the strains on LB and LB supplemented with  
97 IPTG agar plates. After overnight growth at 37°C no growth was observed for strains  
98 containing the Ptac promoter LB/IPTG plates (not shown). These results show that  
99 induction of antisense RNA inhibits the expression of *serS* leading to arrest of bacterial  
100 growth. Downregulation of *serS* increased bacterial survival to ampicillin and  
101 ciprofloxacin in both wt and (p)ppGpp0 backgrounds (Figure 1B and C). These results  
102 suggest that global inhibition of protein synthesis, and likely the inability to synthesize  
103 antibiotic-target proteins, might lead to persistence. There was a difference in the role of  
104 (p)ppGpp in survival to ciprofloxacin depending on whether SHX or antisense repression  
105 of *serS* was used to induce the stringent response. This could be explained by possible  
106 unknown effects of SHX on DNA replication or other processes contributing to  
107 persistence. This difference notwithstanding, our results support the existence of

108 (p)ppGpp-dependent and (p)ppGpp-independent mechanisms for regulation of  
109 persistence when either the activity of SerS or its expression are inhibited. A 4-fold  
110 difference in survival was observed between wt and (p)ppGpp<sup>0</sup> cultures exposed to  
111 ampicillin (Fig 1A). However, non-significant differences were determined later for the  
112 same experimental condition ( Fig. 1B and D respectively). This might be explained by  
113 the high variability of the persister assay itself.

114 We hypothesized that the formation of persister cells observed upon inhibition of  
115 SerS activity or expression may be due in part to the arrest of protein synthesis resulting  
116 in reduced availability of the antibiotic targets themselves. To explore this, we  
117 constructed a strain with P<sub>tac</sub>-mediated antisense repression of *gyrA* and *gyrB*, which  
118 encode the DNA gyrase target of ciprofloxacin (13). Similarly as described above for the  
119 antisense *serS* strain, no colonies were observed after overnight growth of the antisense  
120 *gyrA/gyrB* strains in both wt and (p)ppGpp<sup>0</sup> backgrounds on LB plus IPTG agar plates  
121 (not shown). This suggests that a decreased amount of DNA gyrase generated as a  
122 consequence of the downregulation of its cognate genes, leads to arrest of bacterial  
123 growth. IPTG-induced downregulation of *gyrA* and *gyrB* prior to the antibiotic challenge  
124 resulted in an increased survival to ciprofloxacin in the wt and (p)ppGpp<sup>0</sup> backgrounds  
125 (Figure 1E), but not to ampicillin (Figure 1F). These results suggest that a decreased  
126 availability in the antibiotic target molecule leads to the generation of persister cells.

127 Our results suggest that limitation of target protein amounts can reproduce the  
128 phenotype of persistence that is triggered by antisense repression of *serS*, and that this  
129 phenomenon is not dependent on (p)ppGpp. Our results are further supported by recent  
130 findings showing that (p)ppGpp modulates DNA replication by regulating the expression

131 of gyrase (14). We chose not to pursue a similar strategy for ampicillin because *E. coli*  
132 has eight Penicillin Binding Protein (PBP) targets (15). Nevertheless, previous findings  
133 show that (p)ppGpp regulates ampicillin persistence via a shutoff of peptidoglycan  
134 biosynthesis during diauxic shifts (16). These results suggest that persistence to cell wall  
135 acting agents might be regulated by (p)ppGpp by decreasing the levels of antibiotic-target  
136 molecules.

137         Based on our results and those of other groups mentioned above, we reasoned that  
138 to survive to antibiotic challenge through persister cell formation, bacteria must regulate  
139 the level of antibiotic target proteins by fine tuning transcription and/or translation, and  
140 (p)ppGpp might play a role. We tested this idea using different inhibitors that specifically  
141 affect either transcription or different stages of protein synthesis. Cultures of wt and  
142 (p)ppGpp<sup>0</sup> strains were pre-exposed for 30 min to rifampicin to inhibit transcription, and  
143 subsequently challenged with ampicillin or ciprofloxacin. Both strains with halted  
144 transcription exhibited increased survival to ampicillin and ciprofloxacin when compared  
145 to the untreated controls, as previously reported (2). However, no contribution of  
146 (p)ppGpp on persistence was observed (Figure 2A). Similarly, when cultures were pre-  
147 exposed for 30 min to puromycin, chloramphenicol, or tetracycline to target translation at  
148 different stages (17), an increased survival for ampicillin and ciprofloxacin was observed  
149 in both genetic backgrounds (Figure 2B-D). These results indicate that (p)ppGpp has no  
150 effect on the generation of persister cells when protein synthesis is arrested by premature  
151 release of the nascent polypeptide chain (puromycin) (17), prevention of the peptide bond  
152 formation (chloramphenicol) (17), or prevention of the stable binding of the EF-Tu-  
153 tRNA-GTP complex to the ribosome (tetracycline) (17). In contrast, when translation was

154 arrested by blocking the release of EF-Tu from the ribosome with kirromycin (17), a 10-  
155 fold decrease in the persister fraction in the (p)ppGpp<sup>0</sup> background was observed in  
156 cultures exposed to ciprofloxacin but not to ampicillin. These results suggest that by  
157 affecting the EF-Tu-ribosome interaction (p)ppGpp generates an antibiotic-specific  
158 response leading to the generation of persister cells (Figure 2E). In addition, a ~100 and  
159 10-fold decrease in the survival to ampicillin and ciprofloxacin, respectively, of the  
160 (p)ppGpp<sup>0</sup> strain compared to wt was observed in cultures that were pre-treated with  
161 kasugamycin (Figure 2F). This antibiotic inhibits the translation initiation of canonical  
162 (but not leaderless) mRNAs by preventing the stable interaction of the initiator tRNA  
163 with the start codon (17, 18). Altogether, these results demonstrate that the availability of  
164 antibiotic target molecules is required for the generation of the persister fraction, and that  
165 this phenomenon is finely regulated at the initiation of translation level by (p)ppGpp.  
166 Regarding the (p)ppGpp-independent mechanism, a decrease in ATP levels, proton  
167 gradient regulation and other processes have been implied in bacterial persistence (1).

168 Our findings are supported by a recent report showing that (p)ppGpp inhibits the  
169 activation of IF2 leading to the attenuation of initiation of translation during the switch  
170 from active growth to quiescence in the Gram-positive bacterium *Bacillus subtilis* (19).  
171 Our work in a Gram-negative (UPEC) suggests for the first time that the regulation of the  
172 initiation of protein synthesis mediated by (p)ppGpp might be a common evolutionary  
173 trait in bacteria, leading to the survival to harsh conditions such as antibiotic and  
174 nutritional stress.



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## 179 **Materials and Methods**

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### 181 **Bacterial strains and growth conditions**

182 Cultures of UPEC CFT073 were grown with shaking at 37°C in LB Miller (hereafter LB).

183 LB was supplemented with 0.13 µg/ml ciprofloxacin, 1250 µg/ml ampicillin, 500 µg/ml

184 SHX, 6.3 µg/ml tetracycline, 6 µg/ml chloramphenicol, puromycin 100 µg/ml, rifampicin

185 20 µg/ml, 100 µg/ml kirromycin, or 750 µg/ml kasugamycin when required. Induction of

186 antisense RNA was conducted by addition of 100 µM IPTG and incubation at 37°C for

187 90 min until cultures reached  $OD_{600}=0.2$ .

188 (p)ppGpp<sup>0</sup> ( $\Delta relA::FRT/\Delta spoT::FRT$ ) and antisense strains were constructed by

189 recombination of PCR products and backcrossed to the wt genetic background as

190 described (3). All mutants used in this work were subjected to whole genome sequencing

191 and variant analyses to check for undesired off target or spontaneous mutations.

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### 193 **Persister assays**

194 Overnight cultures were diluted 1000-fold in fresh LB and incubated at 37°C with

195 aeration until  $OD_{600}=0.1$ . Then, transcription or translation inhibitory drugs were added

196 and incubated at 37°C with aeration for 30 min (typically reaching  $\sim 2 \times 10^8$  CFU/ml).

197 Cultures were subsequently challenged independently for 4 h with ampicillin or

198 ciprofloxacin at 37°C with aeration. Aliquots were washed and plated on LB agar plates  
199 for CFU counting after overnight incubation at 37°C. Survival was determined by  
200 dividing the number of CFU/ml in the culture after 4 h of exposure to antibiotics by the  
201 number of CFU/ml before adding the antibiotic.

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205

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211

## 212 **Author Contributions**

213 RCM-Q performed experiments. RCM-Q, and A.C. designed experiments, wrote the  
214 manuscript, provided materials and strains. Authors discussed the results and commented  
215 on the manuscript.

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292 **Figure 1. Availability of the antibiotic target is required for the generation of**  
293 **persister cells.** Bacterial survival to ampicillin or ciprofloxacin was addressed by CFU  
294 counting after induction of stringent response by incubation of cultures in the presence of  
295 SHX (A). Persister cells generation was assessed in cultures exposed to ampicillin (B) or  
296 ciprofloxacin (C) in cultures that had been previously grown in the presence or absence  
297 of IPTG to decrease the expression of *serS* by anti-sense RNA induction. The ability to  
298 generate persister cells was addressed by CFU counts in cultures pre-exposed 3 min to  
299 SHX (D). The expression of *gyrA* and *gyrB* was downregulated by generation of an  
300 IPTG-dependent antisense RNA and the cultures were subsequently challenged with  
301 ciprofloxacin (E) or ampicillin (F) to evaluate the generation of persister cells by CFU  
302 counting. Graphs represent the average of at least three independent assays. Statistical

303 significance was calculated using Student's two-tailed t-test (\* $p < 0.05$ , \*\* $p < 0.01$ ,  
304 \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ ).

305 **Figure 2. The initiation of protein synthesis is a global mechanism of persister cell**  
306 **generation that is regulated by (p)ppGpp.** The generation of persister cells was  
307 addressed by CFU counting in cultures pre-treated with different inhibitors of  
308 transcription or translation, and subsequently challenged with ampicillin or ciprofloxacin.  
309 Transcription was inhibited by rifampicin (A), whereas different stages of translation  
310 were inhibited by puromycin (B), chloramphenicol (C), tetracycline (D), kirromycin (E)  
311 or kasugamycin (F). Graphs represent the average of at least three independent assays.  
312 Statistical significance was calculated using Student's two-tailed t-test (\* $p < 0.05$ ,  
313 \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ ).

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