RUNNING HEAD: WHY COVID-19 IS A TRAUMATIC STRESSOR

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4	Why the COVID-19 pandemic is a traumatic stressor
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Abstract

29	The COVID-19 pandemic does not fit into prevailing Post-traumatic Stress
30	Disorder (PTSD) models, or diagnostic criteria, yet emerging research shows traumatic
31	stress symptoms as a result of this ongoing global stressor. Current pathogenic event
32	models focus on past, and largely direct, trauma exposure to certain kinds of life-
33	threatening events. Nevertheless, among a sample of online participants ($N = 1,040$) in five
34	western countries, we found participants had PTSD-like symptoms for events that had not
35	happened and when participants had been directly (e.g., contact with virus) or indirectly
36	exposed to COVID-19 (e.g., via media). Moreover, 13.2% of our sample were likely
37	PTSD-positive, despite types of COVID-19 "exposure" (e.g., lockdown) not fitting DSM-5
38	criteria. The emotional impact of "worst" experienced/anticipated events best predicted
39	PTSD-like symptoms. Our findings add to existing literature supporting a pathogenic event
40	memory model of traumatic stress.
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Why the COVID-19 pandemic is a traumatic stressor

45 The COVID-19 pandemic is ravaging all areas of human life (e.g., social, financial), creating distress, and exacerbating mental health issues (1). Recent research 46 47 suggests that *traumatic stress reactions* during the pandemic—including intrusive reexperiencing and heightened arousal—are particularly prevalent (2). But exposure to the 48 49 pandemic does not fit neatly within prevailing Post-traumatic Stress Disorder (PTSD) models (pathogenic event models) (3,4). These models, along with the DSM-5 diagnostic 50 criteria (5), attribute traumatic stress reactions to past, and largely direct, exposure to 51 52 certain life-threatening events, and thus do not readily account for the emerging data. We propose that people's traumatic stress reactions to the COVID-19 pandemic may relate 53 54 more to: the future than the past; indirect (e.g., via media coverage) than direct (e.g., 55 contact with the virus) exposure; and stressful events (e.g., unemployment, isolation, nonsudden illness/death) that do not meet Criterion A (i.e., actual or threatened death, injury or 56 sexual violation). Therefore, we sought evidence for this proposal. Although we know 57 traumatic stress reactions to future, indirect trauma exposure, and non-Criterion A events 58 exist (6,7), the COVID-19 pandemic gives us a unique opportunity to extend this research 59 by considering all three factors simultaneously. Our goal was not to unnecessarily 60 61 pathologize normal transient stress reactions (8), but rather to document types of "events" that lead to traumatic stress reactions and thus inform PTSD models which may-62 currently—not capture all people who require help for traumatic stress symptoms. 63 We first turn to the idea of traumatic stress as a problem of the past. Existing PTSD 64 models largely focus on traumatic stress as a problem that occurs in response to past, not 65 66 future, events. Perhaps there is a profound ontological distinction between something that has happened in the past and something that might happen in the future. Yet, Addis (9 p. 67 233) argues that remembering and imagining are "fundamentally the same process" (see 68

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69 also 10); both involve the mental rendering of experience. Certainly, there is convincing 70 evidence that similar neural networks underlie remembering the past and imagining the future (11). Thus, it is possible that problematic reactions can occur in response to any 71 72 mentally rendered experience, whether it is remembered and/or imagined. Existing evidence fits with this idea: imagining *future* trauma-e.g., among soldiers before 73 74 deployment, expectant mothers, or people anticipating the death of a loved one-can cause PTSD-related symptoms and distress at similar or higher levels than for past trauma (6,12– 75 14). Indeed, participants in these studies have reported—on scales adapted from traditional 76 PTSD measures-experiencing not only more typical symptom candidates like image-77 78 based flashforwards to a specific future event, but also nonspecific symptom candidates 79 such as heightened irritability and negative mood. Therefore, traumatic stress symptoms 80 may be a problem of anticipating the future, as well as a reaction to something in the past (6,13,14). Given the unknown timeline of COVID-19, it seems especially likely that 81 PTSD-like symptoms could arise due to anticipating any number of negative future events 82 (e.g., loved ones becoming sick) associated with the virus, particularly in the early weeks 83 84 of the pandemic (when the current data were collected). Moreover, given COVID-19 is still unfolding, people may experience peri-traumatic reactions (responses at the time of a 85 86 stressful event or immediately after).

We now examine the idea of traumatic stress arising only from *direct* exposure to a trauma. Criterion 4A of the DSM-5 states that some types of *indirect* trauma exposure, such as exposure to others' traumatic experiences (termed "vicarious trauma" e.g., first responders collecting human remains), can result in PTSD symptomology (5). However, this criterion does not apply when this exposure occurs via electronic media—unless this exposure is *work* related (e.g., police officers repeatedly exposed to child exploitation images). Yet, PTSD symptomology is also found among members of the general public

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94 who are exposed only to traumatic *material*, usually via news media. For example, media 95 exposure was associated with higher acute stress than direct exposure to the Boston Marathon bombings (15). In China, nurses not involved in caring for COVID-19 patients 96 97 (non-front-line nurses) and the general public had higher PTSD-like symptoms (e.g., intrusive thoughts), depression, anxiety, and stress symptoms, and physiological reactions 98 99 (e.g., no appetite), than front-line nurses (16). Li et al. (16) speculated that perhaps nonfront-line nurses and the general public consumed more COVID-19 media. Recent 100 evidence supports this interpretation: exposure to COVID-19 related news in the initial 101 stages of the outbreak was associated with negative affect, anxiety, depression and stress 102 103 (17). Moreover, searching for additional information about COVID-19 via traditional and 104 social media was related to increased fear about consequences of the virus (18). Therefore, 105 it seems possible that indirect exposure to the pandemic, such as via the 24-hour news 106 cycle, could produce PTSD-like symptoms.

Last, we turn to the idea of traumatic stress arising only from *exposure to actual or* 107 108 threatened death, serious injury or sexual violation (i.e., only to certain kinds of serious 109 events). Under the current diagnostic criteria, traumatic stress symptoms following events that do not involve an immediate threat to life or physical injury—such as divorce, job 110 111 loss, or non-sudden medical events-do not qualify as PTSD. Yet, we know PTSD symptoms arise after a range of events that do not meet this narrow definition (7). For 112 113 example, ongoing financial stressors and low social support following Hurricane Katrina, 114 not only direct exposure to hurricane-related events, were associated with PTSD symptoms measured 18-24 months post-hurricane (19). Notably, financial stressors independently 115 predicted PTSD symptom duration, while hurricane-related traumatic events did not. 116 Further, 6-20% of cancer sufferers and their families are diagnosed with cancer-related 117 PTSD (20)—although the true prevalence of cancer-related PTSD is likely much higher. 118

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119 The moment when cancer is diagnosed—i.e., the traumatic "event"—often does not meet 120 DSM-5 criteria specifying a "sudden and catastrophic" medical event, and commonly reported intrusive symptoms would not count because they relate to the future (e.g., fear of 121 122 relapse), not the past (20). Thus, direct exposure to a past event that threatens death, injury, or sexual violation is not the only precipitator of PTSD-like symptoms. Again, these data 123 124 suggest that a range of COVID-19 related stressful events (e.g., job loss, isolation) could lead to traumatic stress symptoms, despite even the direst of COVID-19 events not meeting 125 stringent DSM-5 criteria that state medical events must be sudden and "catastrophic" (5). 126 In summary, we predicted that people would report experiencing pre-. post-. and 127 128 peri-traumatic stress reactions in response to COVID-19, regardless of whether they had 129 been directly (e.g., COVID-19 diagnosis) or indirectly (e.g., media) exposed to the virus, or had other negative experiences (e.g., lockdown). We were specifically interested in 130 131 people's psychological, not immunological, response to the virus. We expected that pre/peri/post-traumatic stress reactions, as well as other psychological functioning 132 indicators (well-being, psychosocial impairment, emotions, depression, anxiety, and stress) 133 134 would fluctuate alongside COVID-19 exposure, and that psychological functioning would vary by demographics (e.g., age, healthcare). 135 136 We recruited online participants from five English speaking western countries of 137 similar socio-economic make up (United States, United Kingdom, Canada, Australia and New Zealand). To date, research on COVID-19 as a traumatic stressor has primarily been 138 139 conducted in China (2), and only one unpublished study has focused on one of our

140 populations of interest (United States (21)). Participants indicated what COVID-19 events

141 they had experienced and what future events they were concerned about (see

142 Supplementary Online Material), and of these, which event bothered/bothers them the most

143 and why. We also measured COVID-19 media consumption. Participants completed the

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144	Posttraumatic Stress Disorder Checklist-5 (PCL-5 (22)), adapted to measure pre/peri/post-
145	traumatic reactions, and measures of general emotional reactions, well-being, psychosocial
146	functioning, and depression, anxiety, and stress symptoms. Importantly, although emerging
147	research on COVID-19 and traumatic stress reactions has typically not specified whether
148	participants anchored their reactions to COVID-19 itself (e.g., (23)), we asked our
149	participants to respond to the PCL-5 in relation to COVID-19.
150	Method
151	This experiment was approved by the Flinders University Social and Behavioral
152	Research Ethics Committee. We have reported all measures, conditions, and data
153	exclusions. We preregistered this experiment (<u>https://osf.io/dxhek</u>), and together with the
154	data file, all supplementary material-including full demographic breakdown, analyses by
155	country, and other descriptive and inferential statistics-can be found under this project:
156	https://osf.io/jn7zx/
157	Participants
158	Correlations stabilize when sample size approaches 260 (24). We excluded 18
159	responses: five provided answers consistent with bots/farmers (e.g., "interested"), and 13
160	took the survey twice. Thus, after exclusions, we collected 260 participants in each of four
161	locations (Australia/NZ combined for analysis; a participant error resulted in 261
162	participants from Canada, and 259 from Australia/NZ), from Mechanical Turk (MTurk; n
163	= 320) and Prolific (n = 720) between April 10-21, 2020, exceeding the pre-registered
164	sample size ($N = 1,000$). We know that MTurk data are reliable (25,26) and sometimes
165	even superior to university sourced participants (e.g., participants fail fewer attention
166	checks (27)). Prolific data appear to be as reliable as MTurk, and similar, if not superior to,
167	university research pools (28). Further, the prevalence of mental health disorders in MTurk
168	populations matches or exceeds that of the general population (29), suggesting MTurk is an

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169 excellent source for collecting clinical and subclinical populations. We specifically abide 170 by Mellis and Bickel's (30) advice for conducting online research, to mitigate concerns in these populations (e.g., non-naivete, worker inattention, fraudulent responses and worker 171 172 treatment). To minimize "bots"/server farmers completing the survey (31,32), participants had to pass a captcha, a simple arithmetic question (presented as an image to make it 173 174 difficult for bots to read), and score at least 8/10 on an English proficiency test. We are confident that these entry requirements screened out almost all bots/server farmers; in one 175 estimate, the addition of an English proficiency test screened out 96% of bots/server 176 177 farmers (33). In addition to these entry requirements, participants had to pass at least one 178 of three attention check questions embedded in the survey itself to be included in the final 179 sample (34,35).

180 Participants ranged from 18-78 years (M = 35.7, SD = 12.3), half were male (50.70%, female = 48.8%, non-binary = 0.4%; 0.2% did not answer) and most were 181 Caucasian 59.9%. Others were of Asian (13.4%); African (including "Black", 4.7%); 182 Middle Eastern (including "Eurasian", 0.8%); European (3.5%); and Hispanic (1.2%) 183 descent, or Indigenous (0.3%); Pacific Islander (0.1%); Mixed (3.3%) ethnicity. Some 184 participants provided nationality (e.g., "Australian" 12.8%) or no answer (0.2%). Seventy 185 186 percent were employed, 19.8% were students. Participants' highest level of education was a college/university undergraduate degree (54.8%), postgraduate degree (18.7%), high 187 school (25.6%); 1.0% < high school. Participants had a median of three people in their 188 189 household (including themselves). Modal household income was (local currency): Australia/NZ = \$100,000-\$149,999, Canada = \$100,000-\$149,000, UK = £20,000-190 $\pounds 29.999$, US = \$50.000-\$59.999. Most participants (88.8%) had health-care coverage for 191 COVID-19 expenses and were not in a high-risk group (82.6%) for developing COVID-19 192

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193 (e.g., elderly, medical condition; 14.9% of participants identified as high risk, 2.5% did not

194 know). Just under half (45.2%) had experienced a Criterion A event.

195 Materials

196 We first asked demographic questions, described above. Note that other pre-

197 registered measures (i.e., media avoidance, engagement with fictional/non-fictional media,

198 perceptions of sharing the pandemic with others) are not reported here.

199 General emotional reactions. We assessed current stress (0 = no stress, 10 = worst200 stress possible), and, when thinking about COVID-19, how intensely participants felt 201 negative emotions (sad, angry, anxious, frustrated, helpless, fearful, disgusted; 0 = not at 202 all, 7 = extremely). We averaged these emotion items ($\alpha = .89$).

203 Exposure to COVID-19 and related impact. We provided a list of 32 COVID-19 204 related events (ranging from exposure to the virus itself to stressors like job loss; see Appendix: https://osf.io/tkemz/), within nine predetermined categories. Participants 205 selected all the events they had experienced, with an option for 'other' leading us to create 206 four additional—and modify 10—categories. We recategorized seven "other" responses 207 into new categories and 37 into modified/existing categories. We then re-presented the 208 same list of events, but asked participants to select events they were concerned about 209 210 happening in the future ("other" events led to three additional categories [seven responses 211 recategorized] and eight modified categories [15 recategorized]). For both lists, participants identified which of their selected events bothered them the most and why (text 212 213 response), and how much it bothered them emotionally (1 = not at all, 5 = very much). 214 Media exposure. Participants identified the social media (e.g., Facebook) and news 215 media (e.g., television) sources used to engage with COVID-19 content. We assessed 216 frequency of use (how many: hours in the past day, days in the past week, average hours in the past week), and combined these items to create a score for both media types. 217

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218	The PTSD Checklist (PCL-5 (22)). Participants rated how much they have been
219	bothered by 20 DSM-5 PTSD symptoms (e.g., "having difficulty concentrating"; 0 = not at
220	all, 4 = extremely; current study α = .92). We made three modifications: measured
221	symptoms in relation to COVID-19 experiences, over the past week (rather than month)
222	due to the rapidly changing circumstances, and asked participants to indicate if each
223	symptom (rated > 0) related to something that happened in the past, was currently
224	happening, or may happen in the future.
225	The 5-item World Health Organization Well-Being Index (WHO-5 (36)).
226	Participants rated how five statements (e.g., "I have felt calm and relaxed") applied to them
227	over the past week ($0 = at$ no time, $5 = all$ of the time). Total scores (0-25) are multiplied
228	by four to provide a percentage score ($0 =$ worst possible quality of life, $100 =$ best
229	possible quality of life; current study: $\alpha = .90$).
230	Brief Inventory of Psychosocial Functioning (B-IPF (37)). Participants rated
231	how much ($0 = not$ at all, $6 = very$ much) trouble they have had with seven impairment
232	domains (e.g., work) over the past week (e.g., "I had trouble at work"; current study: $\alpha =$
233	.85).
234	Depression, Anxiety and Stress Scale (DASS-21 (38)). Participants rated the
235	degree to which each statement (e.g., "I felt down-hearted and blue") applied to them over
236	the past week ($0 = did$ not apply to me at all, $3 = applied$ to me very much). Current study:
237	Depression, $\alpha = .92$; Anxiety, $\alpha = .86$; Stress, $\alpha = .90$.
238	Results and Discussion
239	For context, over our 12 days of data collection, confirmed cases worldwide
240	increased from ~1.7 to ~2.5 million (deaths from ~102,000 to ~170,000). In the US, total
241	cases jumped from 500,000 to over 750,000, President Trump released the "Opening Up
242	America Again" plan, while protests to remove restrictions increased. In the UK, Prime

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243	Minister Johnson was released after hospitalization for COVID-19, the Queen addressed
244	the nation, and lockdown restrictions were extended. In Canada, deaths reached 1,500, and
245	an unrelated shooting occurred in Nova Scotia. In Australia/NZ, lockdown procedures
246	were introduced or maintained, and both countries showed signs of reduced COVID-19
247	spread from the first wave.
248	We ran analyses using Null-Hypothesis Significance Tests ($\alpha = .05$) in SPSS
249	Version 25 and JASP for MacOS version 0.13.1 (39). Where data were missing, we used
250	the average mean score from the appropriate subscale. In total there were only six missing
251	data points from five participants: two participants missed items on the Posttraumatic
252	Stress Disorder Checklist (PCL-5; one participant missed two items), two participants
253	missed one item on the WHO-5 (measure of wellbeing), and one participant missed one
254	item on the Depression, Anxiety and Stress Scale (DASS-21).
255	First we present a snapshot of COVID-19's effect on mental health. Descriptive
256	statistics for overall stress, emotional reactions and psychological functioning ratings
257	appear in Table 1.

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259 Table 1

260 *Descriptive statistics – emotion and psychological functioning variables (full sample)*

	Range	Mean	Median	SD
Pre/Peri/Post-Traumatic Stress Reactions (PCL-5)	0-66	17.37	14.00	13.29
Re-experiencing	0-20	3.51	2.00	3.82
Avoidance	0-8	2.01	1.00	2.04
Alterations in cog/mood	0-26	6.78	6.00	5.36
Alterations in arousal	0-24	5.07	4.00	4.21
Stress	0-10	4.99	5.00	2.39
Negative emotions	1-7	3.72	3.86	1.40
Physical Disgust	1-7	3.74	4.00	1.84
Moral Disgust	1-7	5.33	6.00	1.57
Wellbeing	0-100	46.27	48.00	22.53
Psychosocial Functioning	0-100	24.42	20.00	22.43
Depression (DASS-21)	0-42	12.50	10.00	11.22
Anxiety (DASS-21)	0-42	6.43	4.00	8.07
Stress (DASS-21)	0-42	12.50	10.00	10.38

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These variables were highly correlated. According to the conservative cut-off for 262 263 clinical significance for PCL-5 scores (< 32 = negative, $\geq 33 = positive$) (40), 13.2% of our participants could be classified PTSD-positive. For *depression*, 47.3% of our participants 264 265 were in the normal range; 28.8% mild-moderate; 24.0% severe-extremely severe (Depression, Anxiety and Stress Scale [DASS-21] manual cut-offs). For anxiety: 68.0% 266 normal, 15.9% mild-moderate, 16.1% severe-extremely severe; and for stress: 63.0% 267 268 normal, 22.6% mild-moderate, 14.4% severe-extremely severe. Participants' mean DASS-21 scores were higher than non-clinical samples (41). For well-being, 55.4% of 269 participants scored below 50 (0 = worst, 100 = best). Overall well-being was below the UK 270 271 population mean of 58.6 (42). For psychosocial functioning, 64.0% of participants reported

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272	some impairment due to COVID-19. Completing day-to-day activities (e.g., chores,
273	medical appointments; self-care subscale: 62.8%) was most common. Troubles with
274	training/education (27.6%) created the highest level of impairment ($M = 3.3$, $SD = 1.7$).
275	Taken together, our findings support emerging research that COVID-19 can be
276	understood as a traumatic stressor event capable of causing PTSD-like responses and
277	exacerbating other related mental health problems. Thus, next we addressed our main
278	aim-to examine evidence for our proposal that people are experiencing traumatic stress
279	symptoms as a result of COVID-19 because <i>direct</i> exposure to a <i>past</i> event that threatens
280	death, injury, or sexual violation, is not the only circumstance capable of precipitating
281	PTSD-like symptoms.
282	First, we examined evidence that traumatic stress is a problem not only of
283	remembering the past, but also of anticipating the future. We calculated the frequency of
284	exposure to experienced and anticipated COVID-19 events. On average, participants
285	anticipated ($M = 8.98$, $SD = 5.24$) more events than they had experienced ($M = 6.34$, $SD =$
286	2.74; $d = 0.49$). The most common worst experiences were being in lockdown (13.1%),
287	trouble obtaining supplies (11.2%), and voluntarily self-isolating (10.8%). The most
288	common worst anticipated experience was close family/friends passing away (38.1%),
289	followed by becoming ill or passing away (22.2%), and close family/friends testing
290	positive (9.2%). However, worst anticipated and experienced event judgements were fairly
291	evenly distributed across our full list.
292	We next examined whether traumatic stress reactions were associated with
293	anticipated (and experienced) events. We found small correlations between PTSD-like
294	symptoms and total experienced ($r = .20, p < .001$) and anticipated ($r = .23 p < .001$)
295	events. Both exposure measures weakly correlated with other stress and psychological
296	functioning measures (experienced: $rs = .1019$; anticipated: $rs = .1222$).

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297	However, because examining event totals tells us nothing about how distressing
298	each experienced/anticipated event was, we next focused on our nine event categories, and
299	participants' worst experienced and anticipated events. Participants who had contact with
300	the virus ($d = 0.34$, 95% CI [0.20, 0.47]), lost work/income ($d = 0.18$ [0.05, 0.30]),
301	experienced lockdown directives ($d = 0.13$ [0.004, 0.25]), changes to children/dependents
302	(d = 0.15 [0.02, 0.28]), and had trouble buying supplies $(d = 0.34 [0.22, 0.46])$ reported
303	higher PTSD symptoms than participants not reporting these experiences. However, there
304	was no difference based on whether people experienced changes to work/education, travel
305	plans, closure of non-essential gatherings, or voluntarily self-isolated ($ds 0.02 - 0.11$).
306	Similarly, participants who anticipated contact with the virus ($d = 0.44, 95\%$ CI [0.15,
307	0.72]), losing work/income ($d = 0.25$ [0.12, 0.37]), lockdown directives ($d = 0.15$ [0.03,
308	0.28]) and changes to children/dependents ($d = 0.35$ [0.18, 0.51]) had higher PTSD
309	symptoms than participants who did not anticipate these events. Contrary to experienced
310	events, participants who anticipated changes to work/education ($d = 0.38$, [0.16, 0.61]),
311	travel plans ($d = 0.26$ [0.12, 0.40]), and closure of non-essential gatherings ($d = 0.29$ [0.07,
312	0.50]) reported higher PTSD symptoms than participants who did not anticipate these
313	events. There was no difference based on whether people anticipated voluntarily self-
314	isolating ($d = 0.24$ [0.01, 0.46]), or having trouble buying supplies ($d = 0.12$ [-0.02, 0.25]).
315	On average, participants' worst anticipated event ($M = 3.97$, $SD = 1.04$) was more
316	emotionally bothersome than their worst experienced event ($M = 3.35$, $SD = 1.15$; two-
317	tailed paired samples: $t (1039) = -18.18$, $p < .001$, $d = 0.56$, 95% CI [0.50, 0.63]). Both
318	ratings strongly correlated with PTSD symptoms (experienced: $r = .48$; anticipated: $r =$
319	.45) and other stress and psychological functioning measures (experienced: rs .3051;
320	anticipated: rs .2546). However, total PCL score does not tell us how often participants
321	attributed symptoms to experienced and anticipated events, or something currently

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322	happening. Nearly half (48.1%) of participants reported symptoms in relation to all time
323	periods (23.3% past/future, 7.5% past/current, 0.8% past/future; 14.5% current, 0.2% past,
324	1.7% future; 3.9% reported no symptoms). Some participants failed to index individual
325	symptoms to a timeframe; 0.6% did not index any. Notably, 73.5% of participants reported
326	at least one symptom related to something that had not happened. These symptoms fell into
327	all four symptom clusters (50.1% of participants attributed intrusion symptoms to the
328	future; 15.4% avoidance, 48.0% hyperarousal and 56.5% cognition and mood). In other
329	words, PTSD-like symptoms for events that had not yet happened did not only manifest as
330	intrusive thoughts (which might be narrowly conceptualized as worries about the future)
331	but also as nonspecific symptoms such as irritability and alertness.
332	Second, we examined whether traumatic stress reactions occurred regardless of
333	direct versus indirect exposure to COVID-19. Participants reporting PTSD symptoms in
334	relation to <i>future</i> events already supports this prediction. In addition, we found small
335	correlations between COVID-19 related social media consumption ($r = .18, p < .001$) and
336	PTSD symptoms, and all psychological functioning variables ($rs = .0920$) except well-
337	being; and between traditional media consumption and PTSD symptoms ($r = .12, p <$
338	.001), stress, and negative emotions ($rs = .14$, $p < .001$), but not other psychological
339	functioning variables. Although small, considering the average adult spends three hours
340	and 30 minutes a day on a mobile device—equating to 53 days in a year and countless
341	exposure to news media (43)-these effects could rapidly accumulate, leading to adverse
342	consequences (44).
343	Third, we examined evidence that PTSD-like symptoms occur for events that do
344	not involve actual or threatened death, injury, or sexual violation. Arguably, none of our
345	events/categories meet Criterion A; medically-based trauma is limited to sudden

346 catastrophe (e.g., waking during surgery, anaphylactic shock (5)). Even our most extreme

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347	direct exposure variables (e.g., being hospitalized in a critical condition) do not qualify.
348	Therefore, it is troubling that 13.2% of participants could be classified as PTSD-positive
349	when anchoring the PCL-5 to COVID-19. We explored whether PTSD-positive
350	participants had direct exposure to the virus via events which, while not classed as
351	Criterion A, could be life threatening. Direct exposure included personally testing positive
352	or being hospitalized as a result of COVID-19, or suspecting you may have been exposed
353	to the virus, or knowing close others (e.g., family/friends) who have tested positive, were
354	hospitalized, or who have passed away, as a result of COVID-19. More participants who
355	had some form of direct virus exposure ($n = 327$) were PTSD-positive (16.5%), than
356	participants who had no virus exposure ($n = 713$; PTSD-positive = 11.6%). But
357	participants who were not directly exposed to the virus accounted for more (8%) of the
358	PTSD-positive participants ($\chi^2(1) = .031$, $\varphi = .067$). Additionally, there was no difference
359	in the percentage of PTSD-positive participants who had experienced (6.8%) or not (6.3%)
360	a Criterion A event previously, suggesting participants anchored PCL-5 responses to
361	COVID-19 experiences rather than other lifetime traumas.
362	Together, these data support our proposal that PTSD-like reactions can occur in
363	relation to past, ongoing, and future stress events, indirect stress event exposure, and for a
364	variety of stressors not covered by Criterion A. To examine the relative contribution of our
365	exposure variables, while also controlling for demographic variables that could increase
366	participants' susceptibility to PTSD symptoms, we regressed PCL-5 total on these
367	variables. For all regression analyses, tolerance and variance inflation factors showed
368	multicollinearity was not an issue.
369	First, we examined which demographic variables related to PTSD-like reactions.
370	PCL-5 total scores were higher for females ($d = 0.41, 95\%$ CI [0.29, 0.54]), students ($d =$

371 0.16, [0.01, 0.32]), people at high risk of contracting COVID-19 (d = 0.30, [0.14, 0.46]),

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372 and people with lifetime Criterion A trauma (d = 0.31, [0.18, 0.43]), and were weakly correlated with age and income (rs < .10). Age, household income, sex, risk status, and 373 prior Criterion A exposure, best predicted PCL-5 total (7.9% of the variance; F(6, 1031) =374 375 15.68, *p* <.001). To examine the unique additional variance explained by actual and anticipated 376 377 exposure to COVID-19 (event totals), media consumption (social and traditional media summed), and the emotion associated with worst events (experienced and anticipated), we 378 reran the regression with demographic variables entered at Step 1, exposure variables at 379 Step 2, and emotion variables at Step 3. After controlling for demographics, exposure 380 381 variables explained an additional 7.8% of the variance in PCL-5 total (F_{change} (3, 1019) = 382 31.86, p < .001). Importantly, emotion associated with worst events explained an additional 383 16.7% of the variance in PCL-5 total (F_{change} (2, 1017) = 126.78, p < .001; regression coefficients and standard errors appear in Table 2). The final model explained 32.4% of the 384 variance in PCL total (F(10, 1027) = 50.23, p < .001), and, notably, experienced event 385 386 totals was no longer a significant predictor.

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388 Table 2

389 *Regression analysis summary for demographic factors, exposure variables, and the*

- 390 *emotion associated with worst events (experienced and anticipated) predicting PCL total*
- 391 scores

Model		В	95% CI	β
Step 1	Constant	27.12	[22.09, 31.15]	
-	Age	-0.14	[-0.22, -0.07]	13**
	Household income	-0.27	[-0.51, -0.03]	07*
	Sex	4.60	[3.01, 6.17]	.17**
	Risk status	-4.00	[-6.15, -1.84]	11**
	Lifetime trauma exposure	-3.39	[-4.98, -1.80]	13**
	Student status	0.08	[-2.10, 2.26]	.002
Step 1	Constant	27.49	[23.49, 31.49]	
-	Age	-0.15	[-0.21, -0.08]	13**
	Household income	-0.28	[-0.52, -0.04]	07*
	Sex	4.52	[2.94, 6.10]	.17**
	Risk status	-3.99	[-6.14, -1.84]	11**
	Lifetime trauma exposure	-3.48	[-5.08, -1.89]	13**
Step 2	Constant	12.28	[7.28, 17.27]	
-	Age	-0.14	[-0.21, -0.08]	13**
	Household income	-0.31	[-0.54, -0.08]	08*
	Sex	4.02	[2.48, 5.55]	.15**
	Risk status	-3.51	[-5.58, -1.44]	10*
	Lifetime trauma	-2.63	[-4.18, -1.08]	09*
	exposure Media consumption total	0.33	[0.21, 0.44]	.16**
	Total experienced events	0.44	[0.15, 0.73]	.09*
	Total anticipated events	0.46	[0.32, 0.61]	.18**
Step 3	Constant	-5.18	[-10.22, -0.14]	
-	Age	-0.09	[-0.15, -0.03]	09*
	Household income	-0.27	[-0.47, -0.06]	07*
	Sex	1.69	[0.28, 3.10]	.06*
	Risk status	-2.17	[-4.03, -0.31]	06*
	Lifetime trauma exposure	-2.64	[-4.03, -1.25]	10**
	Media consumption total	0.17	[0.06, 0.28]	.08*
	Total experienced events	0.04	[-0.23, 0.31]	.01
	Total anticipated events	0.27	[0.14, 0.40]	.11**
	Experienced worst event emotion	3.34	[2.64, 4.03]	.29**
	Anticipated worst event emotion	3.08	[2.31, 3.85]	.24**

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393 Overall, we found that participants had PTSD-like symptoms for events that had 394 not yet happened, challenging the nature of traumatic stress as a problem pertaining only to the past. Participants reported these reactions whether they had been directly (e.g., 395 COVID-19 diagnosis) or indirectly exposed (e.g., via media) to COVID-19, challenging 396 the idea that people need to experience a direct, in person event to develop PTSD-like 397 symptoms. Finally, 13.2% of our sample were PTSD-positive, despite COVID-19 398 "exposure" not fitting within Criterion A. The emotion associated with participants' worst 399 experienced and anticipated events predicted PTSD-like symptoms beyond demographic 400 and exposure variables, suggesting that subjective evaluations of emotional impact may be 401 402 more important in determining traumatic stress reactions than individual characteristics or 403 objective levels of exposure.

Dominant pathogenic event models focus on PTSD arising for specific kinds of external events, and emphasize factors during/after encoding, including differential processing for perceptual (e.g., sensory) versus conceptual (e.g., meaning) details, and poor integration of the trauma in autobiographical memory (3,4). Thus, they do not account for symptoms in response to anticipated events, indirect exposure to trauma, or events that do not meet Criterion A. Our findings fit instead with a *pathogenic event memory* model, which accounts for traumatic stress in response to future and/or imagined events (45).

Of course, an alternative explanation of our data for anticipated events is that they
simply reflect people expressing distress—including negative or threatening thoughts and
images—about potential threats looming in their future, which may reflect worry, or a
generalized anxiety disorder (5,46). Indeed, the PCL-Civilian—which is indexed to
"stressful life experiences" rather than a specific trauma—correlates highly with measures
of depression and general anxiety, suggesting that without the anchor to a specific trauma,
the PCL-5 may pick up negative emotionality more generally (47). However, we did ask

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418 people to indicate specific events that had happened to them, or that they were concerned 419 about happening in the future, and then index their symptoms in relation to COVID-19. Therefore, it seems likely participants were thinking about specific COVID-19 related 420 421 events rather than unknown/non-specific future events. Our findings may also reflect the "hedonic treadmill" (48), whereby mildly 422 423 stressful events feel more negative against the backdrop of relatively stress-free lives, particularly in the context of data from western countries, as we measured here. This 424 explanation does not diminish that our participants were experiencing genuine distress, but 425 426 explains why exposure to events like government lockdowns and inability to source 427 supplies was associated with traumatic stress symptoms—and in some cases, above clinical 428 cut-off levels. COVID-19's psychological fallout has been dubbed the "second curve," predicted 429

to last for months to years. Notably, while most of our participants reported experiencing 430 some form of psychological distress and 13.2% of our sample were likely PTSD positive 431 when anchoring symptoms to COVID-19, only 2% of our total sample reported they had 432 personally tested positive to COVID-19, and only 5% reported that close family and 433 friends had tested positive. It therefore seems likely that the psychological fallout from 434 435 COVID-19 may reach further than the medical fallout. Short term, our findings highlight the need to focus on the acute psychological distress—especially the perceived emotional 436 impact of particular events-associated with COVID-19. Our results also support the 437 WHO recommendation (49) to minimize consumption of COVID-19 media. Long-term, 438 comprehensive documentation of COVID-19 related traumatic stress reactions will allow 439 health professionals to help people who could otherwise fall through the cracks. Although 440 441 our data suggest that COVID-19 could be understood as a traumatic stressor, this conceptualization might contribute to the problem of "conceptual bracket creep" (8). Thus, 442

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we must consider the difference between genuine symptoms of a disorder and normal
stress reactions. Failure to do so may undermine efforts to understand the psychological
mechanisms that contribute to PTSD symptoms.

446 Our research has limitations. First, we used the PCL-5, a self-report measure, to examine PTSD-like symptoms cross-sectionally. The Clinician Administered PTSD Scale 447 448 (CAPS-5 (50)) is a more comprehensive measure that identifies the frequency and intensity of PTSD-like symptoms for an indexed event and allows a clinician to diagnose if PTSD 449 might be present. However, we wanted to capture PTSD-like symptoms in a large sample 450 451 across multiple locations that were at different points of the pandemic at the time, a goal that could not be achieved using a clinician administered scale. Second, the CAPS-5 can 452 453 only be completed on clients who have experienced a Criterion A event. Here, our aim was to examine traumatic stress reactions to any type of COVID-19 event that might produce 454 such symptoms, rather than events specifically falling within this category. Similarly, we 455 cannot determine whether symptom levels in our sample would qualify for a PTSD 456 diagnosis. Future research could use experience-sampling methods to capture longitudinal 457 symptoms, or a clinician administered scale to diagnose PTSD. 458

Second, our sample only examined five countries that were all Western, English 459 speaking countries similar in culture and socio-economic status. As such, we can only 460 cautiously generalize these findings to other parts of the world. Third, of course, although 461 our data provide evidence for the potential psychological fallout of the COVID-19 462 pandemic, we did not investigate any personality traits, or external factors such as social 463 support or other coping strategies, that might moderate this response. Finally, we only 464 asked participants to focus on negative outcomes of COVID-19; future research could 465 examine positive outcomes (e.g., growth, resilience). 466

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- 467 COVID-19 related traumatic stress symptoms expose weaknesses in dominant
- 468 PTSD models, which fail to account for traumatic stress reactions arising from anticipated,
- 469 indirect, or non-life threatening stressors. This study clearly demonstrates that a single
- 470 global event can lead to PTSD symptoms for a range of anticipated, indirect, and non-life
- 471 threatening stressors. As Horesh and Brown (51) state, there is a clear need to expand
- 472 PTSD models and in turn, better capture *all* people who need help for PTSD.

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475 Author Contributions

- 476 All authors developed the study design, and contributed to data collection, compilation and
- 477 analysis. VMEB, EKM and MKTT drafted the manuscript, with critical revisions from all
- 478 other authors. All authors approved the final version.

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