1 Phage origin of mitochondrion-localized family A DNA

2 polymerases in kinetoplastids and diplonemids

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12 Abstract

13 Mitochondria retain their own genomes as other bacterial endosymbiont-derived 14 organelles. Nevertheless, no protein for DNA replication and repair is encoded in any 15 mitochondrial genomes (mtDNAs) assessed to date, suggesting the nucleus 16 primarily governs the maintenance of mtDNA. As the proteins of diverse evolutionary 17 origins occupy a large proportion of the current mitochondrial proteomes, we 18 anticipate finding the same evolutionary trend in the nucleus-encoded machinery for 19 mtDNA maintenance. Indeed, none of the DNA polymerases (DNAPs) in the 20 mitochondrial endosymbiont, a putative α -proteobacterium, seemingly had been 21 inherited by their descendants (mitochondria), as none of the known types of 22 mitochondrion-localized DNAP showed a specific affinity to the α-proteobacterial 23 DNAPs. Nevertheless, we currently have no concrete idea of how and when the 24 known types of mitochondrion-localized DNAPs emerged. We here explored the 25 origins of mitochondrion-localized DNAPs after the improvement of the samplings of 26 DNAPs from bacteria and phages/viruses. Past studies revealed that a set of 27 mitochondrion-localized DNAPs in kinetoplastids and diplonemids, namely PollB, 28 PolIC, PolID, PolI-Perk1/2, and PolI-dipl (henceforth designated collectively as 29 "PolIBCD+") have emerged from a single DNAP. In this study, we recovered an 30 intimate connection between PolIBCD+ and the DNAPs found in a particular group of 31 phages. Thus, the common ancestor of kinetoplastids and diplonemids most likely 32 converted a laterally acquired phage DNAP into a mitochondrion-localized DNAP 33 that was ancestral to PollBCD+. The phage origin of PollBCD+ hints at a potentially 34 large contribution of proteins acquired via non-vertical processes to the machinery 35 for mtDNA maintenance in kinetoplastids and diplonemids. 36

Keywords: DNA replication, DNA repair, autographivirus, Euglenozoa, lateral gene
transfer, mitochondria

39 Introduction

40 Mitochondria in the extant eukaryotes are the descendants of an
41 endosymbiotic α-proteobacterium in the last eukaryotic common ancestor (Roger et
42 al. 2017). The mitochondrial (mt) proteins, which are localized in mitochondria, are

43 almost entirely nucleus-encoded and evolutionarily multifarious (Gabaldón and 44 Huynen 2007; Wang and Wu 2014; Gray 2015). Only 10-20% of mt proteins were 45 predicted to be of the α -proteobacterial origin, suggesting that the original proteome 46 of the mitochondrial endosymbiont has been remodeled largely (Gray 2015). There 47 are three possible evolutionary paths that coopt non- α -proteobacterial proteins into 48 the molecular machinery in mitochondria. Non- α -proteobacterial mt proteins could 49 emerge (i) de novo, (ii) by recycling of the pre-existing eukaryotic proteins, or (iii) via 50 lateral gene transfer. Mitochondria, in principle, retain their own genomes that have 51 been descended from the mitochondrial endosymbiont, albeit the entire set of 52 proteins required for mtDNA maintenance (replication and repair) is nucleus-53 encoded. Thus, as a part of the mitochondrial proteome, the machinery for mtDNA 54 maintenance may be dominated by non- α -proteobacterial proteins. Indeed, none of 55 the known DNA polymerases (DNAPs) localized in mitochondria is most unlikely the 56 direct descendant of the DNAPs in the α -proteobacterial endosymbiont that gave rise 57 to the ancestral mitochondrion (see below).

58 Phylogenetically diverse eukaryotes possess family A (famA) DNAPs that are 59 evolutionarily related to DNA polymerase I (Poll) in bacteria (Jung et al. 1987; 60 Moriyama et al. 2011). Some of famA DNAPs in eukaryotes are known to be 61 localized in mitochondria (Krasich and Copeland 2017). So far, four distinct types of 62 mitochondrion-localized famA DNAP have been identified. First, "plant and protist 63 organellar DNA polymerase (POP)" appeared to be broadly distributed among 64 eukaryotes (Moriyama et al. 2011; Hirakawa and Watanabe 2019). Second, animals 65 and fungi are known to use DNA polymerase gamma (Poly) for mtDNA maintenance 66 (Graziewicz et al. 2006). The third type of mitochondrion-localized famA DNAP is 67 "PolIA" shared among members of the classes Kinetoplastea, Diplonemea, and 68 Euglenida, which comprise the phylum Euglenozoa (Klingbeil et al. 2002; Harada et 69 al. 2020). Members of Kinetoplastea and Diplonemea possess the fourth type of 70 mitochondrion-localized famA DNAP. "PolIB," "PolIC," and "PolID" were reported 71 originally from a model kinetoplastid Trypanosoma brucei, and later identified in 72 broad members of Kinetoplastea (Klingbeil et al. 2002; Harada et al. 2020). The 73 three DNAPs were shown to be closely related to one another in phylogenetic 74 analyses. A recent study further identified multiple DNAPs, which are closely related 75 to but distinct from PolIB, C, or D, in an early-branching kinetoplastid Perkinsela sp. 76 and diverse diplonemids (Poll-Perk1/2 and Poll-dipl; Harada et al. 2020). PollB, C, D, 77 and their related DNAPs were derived from a single molecule, and thus can be 78 regarded collectively as the fourth type of mitochondrion-localized famA DNAP 79 (henceforth termed as "PolIBCD+" in this study). Pioneering studies considered none 80 of the known mitochondrion-localized famA DNAPs as the direct descendant of Poll 81 in the mitochondrial endosymbiont, but failed to clarify how and when POP, Poly, 82 PollA, and PollBCD+ were established in eukaryotic evolution (Moriyama et al. 2011; 83 Hirakawa and Watanabe 2019; Harada et al. 2020). 84 In this study, we explored the origins of mitochondrion-localized famA DNAPs 85 by analyzing an improved dataset wherein sequence sampling from bacteria and 86 phages was improved drastically. We recovered the intimate affinity between 87 PollBCD+ and the famA DNAPs of a particular group of phages in phylogenetic

88 analyses. Furthermore, these DNAPs appeared to share a unique insertion of

89 consecutive 8 amino acid (aa) residues. Altogether, we conclude that the extent

90 DNAPs belonging to PollBCD+ were derived from a single phage famA DNAP

91 acquired by the common ancestor of Kinetoplastea and Diplonemea. We also

92 propose that PolIA in Euglenozoa emerged from a type of cytosolic famA DNAP

93 (Polθ). The origins of PolIA and PolIBCD+ maybe a tip of the remodeling of the

94 machinery of mtDNA maintenance undergone in Kinetoplastea and Diplonemea.

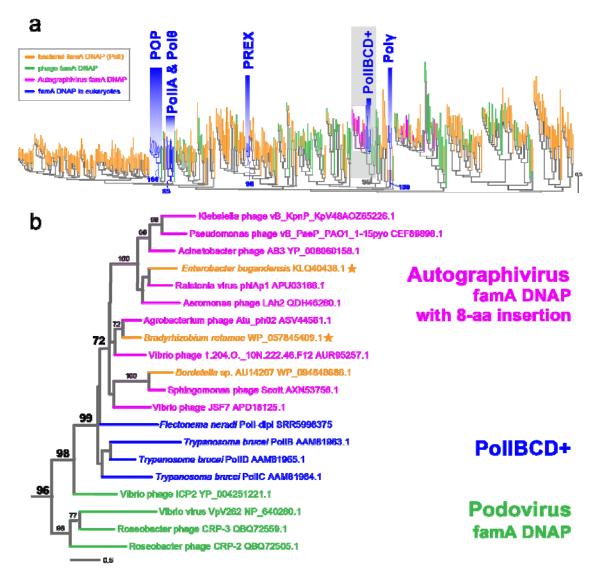
95 Results

96 Prior to this study, the origin of none of the four types of mitochondrion-97 localized famA DNAPs (i.e. Poly, POP, PolIA, and PolIBCD+) has been elucidated in 98 detail. This study successfully clarified the origin of PollBCD+ by analyzing 99 phylogenetic alignments that are much richer in bacterial and phage famA DNAPs 100 than those analyzed in the past studies. The sampling of the bacterial homologs was 101 insufficient to reflect the diversity of bacteria in the previously published phylogenies 102 of famA DNAPs (Moriyama et al. 2011; Hirakawa and Watanabe 2019; Harada et al. 103 2020). Furthermore, only a few famA DNAPs of phages have been included in the 104 phylogenetic analyses. In this study we prepared the "global famA DNAP" alignment 105 by incorporating diverse bacterial and phage sequences (446 in total) deposited in 106 public databases and 27 sequences that represent the four mitochondrion-localized 107 types of DNAPs (Poly, POP, PolIA, and PolIBCD+), a single cytosolic DNAP (Pol θ),

and a single plastid-localized DNAP found exclusively in apicomplexans andchrompodellids (PREX).

110 The global famA DNAP phylogeny reconstructed four clades, all comprising 111 the eukaryotic homologs exclusively: (i) POP, (ii) PolIA plus Pol0, (iii) PREX, and (iv) 112 Poly (Shaded in blue in Fig. 1A; see the supplementary materials for the tree with 113 sequence names). The maximum likelihood bootstrap values (MLBPs) for the four 114 clades varied between from 69 to 100%. The POP, PolIA plus Pole, or Poly 115 sequences showed no clear affinity to any bacterial or phage famA DNAPs, leaving 116 their origins uncertain. The PREX sequences grouped with bifunctional 3'-5' 117 exonuclease/DNA polymerases in phylogenetically limited bacteria as previously 118 reported (Janouškovec et al. 2015; Hirakawa and Watanabe 2019; Harada et al. 119 2020). Curiously, the PollBCD+ sequences were paraphyletic but nested within a 120 robustly supported clade mainly comprising famA DNAP homologs of phages 121 belonging to families Autographiviridae and Podoviridae (Fig. 1B; this figure 122 corresponds to the portion shaded in gray in Fig. 1A). The famA DNAP homologs of 123 autographiviruses and three bacteria formed a subclade with an MLBP of 72%. The 124 coding regions of two out of the three bacterial famA DNAP homologs in this 125 subclade (marked by stars in Fig. 1B) are flanked by phage-like open reading frames 126 (ORFs) in the corresponding genome assemblies deposited under the GenBank 127 accession Nos LEDQ01000001.1 and NZ_LLYA01000167.1. Phage-like ORFs 128 including that of famA DNAP encompass >40 Kbp consecutively in the two bacterial 129 genomes. Thus, the two "bacterial famA DNAPs" are most likely of lysogenic 130 autographiviruses in bacterial genomes. On the other hand, no phage-like ORF was 131 found around that of famA DNAP in the genome of Bordetella genomosp. 9 strain 132 AU14267 (NZ_CP021109.1), suggesting that this bacterium acquired a famA DNAP 133 gene from an autographivirus horizontally. The four PolIBCD+ sequences were 134 positioned at the base of the Autographiviridae clade described above and the 135 grouping of PolIBCD+ sequences and autographivirus famA DNAPs as a whole 136 received an MLBP of 99% (Fig. 1B). The global famA DNAP phylogeny strongly 137 suggests an intimate evolutionary affinity between PollBCD+ and autographivirus 138 famA DNAPs.

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141 Fig. 1. Maximum likelihood (ML) phylogenetic tree inferred from an alignment of the famA 142 DNAP sequences of bacteria, phages/viruses, and eukaryotes. (A) Overview of the entire ML 143 tree. All of the sequence names are omitted. The bacterial and eukaryotic sequences are shown 144 in orange and blue, respectively. The sequences of autographiviruses are shown in magenta. A 145 subset of autographiviruses possess famA DNAPs in the pink-shaded clade bears the characteristic insertion of 8 amino acid residues (AGV^{+ins} famA DNAPs; see the main text for the 146 147 details). Other phage/viral sequences are shown in green. Only ML bootstrap values of interest 148 are shown. The subtree containing PollBCD+ and AGV^{+ins} famA DNAP sequences (shaded in 149 gray) is enlarged and presented as (B). ML bootstrap values greater than 70% are shown. 150 AGV^{+ins} famA DNAP sequences marked by stars are of the putative lysogenic phages in bacterial 151 genomes. 152

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Members of Autographiviridae commonly display head-to-tail capsid
structures and possess double-stranded linear DNA genomes of approximately 41
Kbp in length. This viral family comprises 9 subfamilies and 132 genera (Lavigne et
al. 2008; Adriaenssens et al. 2020). We searched for autographivirus famA DNAPs

157 in the GenBank nr database and detected 175 homologs of 99 members belonging 158 to 57 genera and 76 unclassified members. Each of the 175 members of 159 Autographiviridae seemingly possesses a single famA DNAP. Intriguingly, the 160 autographivirus famA DNAPs were split into two types based on the 161 presence/absence of "8-aa insertion" in the polymerase domain (Fig. S1 and Table 162 S1). In this study, we designate autographivirus famA DNAPs with 8-aa insertion as "AGV^{+ins} famA DNAPs". Each AGV^{+ins} famA DNAPs was predicted to possess only 163 polymerase domain by InterProScan5 with the Pfam database (Jones et al. 2014; El-164 Gebali et al. 2019) (Table. S2). AGV^{+ins} famA DNAPs were found in 40 members 165 166 belonging to 23 genera, and 51 unclassified members (Fig. S1). Although only a 167 subset of the 175 autographivirus famA DNAPs was included, the global famA DNAP phylogeny (Fig. 1A) demonstrated the distant relationship between AGV^{+ins} famA 168 169 DNAPs and other autographivirus famA DNAPs lacking 8-aa insertions. 170 To reexamine the phylogenetic affinity between PolIBCD+ and AGV^{+ins} famA DNAPs, we selected non-redundant sequences from the 91 AGV^{+ins} famA DNAPs 171 172 and aligned with 24 PolIBCD+ sequences and four famA DNAPs of phages 173 belonging to a family Podoviridae as the outgroup. The second famA DNAP 174 alignment was subjected to both ML and Bayesian methods. In the second 175 phylogenetic analyses, AGV^{+ins} famA DNAPs and PolIBCD+ sequences formed a 176 clade supported by an MLBP of 100% and a Bayesian posterior probability (BPP) of 177 1.0 (Fig. 2). PolIBCD+ sequences appeared to possess 8 amino acids that are most likelv homologous to 8-aa insertion in AGV^{+ins} famA DNAPs (Fig. 2), strengthening 178 the phylogenetic affinity between PolIBCD+ and AGV^{+ins} famA DNAPs. Besides 179 180 PollBCD+ and AGV^{+ins} famA DNAPs, 8-aa insertion was found solely in the famA 181 DNAP homolog of Vibrio phage ICP2 placed at the basal position of the clade of PollBCD+ and AGV^{+ins} famA DNAPs (Fig. 2). In the analyses of the second 182 alignment, AGV^{+ins} famA DNAPs grouped together with an MLBP of 92% and a BPP 183 184 of 0.99, excluding PolIBCD+ sequences that formed a clade with an MLBP of 72% 185 and a BPP of 0.66 (Fig. 2). The weak statistical support for the monophyly of 186 PollBCD+ sequences is not incongruent with their paraphyletic relationship 187 reconstructed in the global famA DNAP analysis (Fig. 1B).

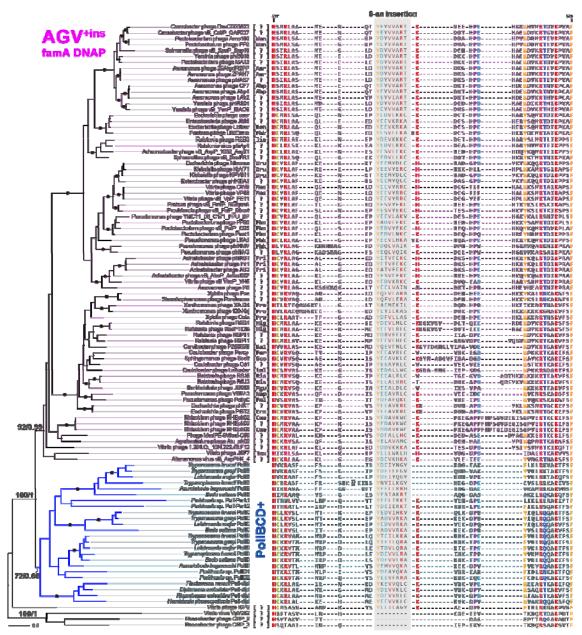




Fig. 2. Phylogenetic relationship among 74 AGV^{+ins} famA DNAP and 24 PolIBCD+ 189 190 sequences that share a unique insertion of 8 amino acid residues (8-aa insertion). The tree 191 topology and branch lengths inferred by the maximum likelihood (ML) method are shown on the 192 left. ML bootstrap values (MLBPs) and Bayesian posterior probabilities (BPPs) for only the nodes 193 critical to infer the origin of PollBCD+ are shown. As ML and Bayesian analyses reconstructed 194 the essentially same tree topology, only BPPs for the selected nodes are presented. The nodes 195 supported by an MLBP of 100% and a BPP of 1.0 are marked by dots. The genus names of the 196 autographiviruses (and podoviruses), from which famA DNAPs were sampled, are given in 197 brackets. Abbreviations are follows: Aer, Aerosvirus; Ahp, Ahphunavirus; Bon, Bonnellvirus; Cue, 198 Cuernavacavirus; Dru, Drulisvirus; Erm, Ermolevavirus; Fri, Friunavirus; Hig, Higashivirus; Jia, 199 Jiaoyazivirus; Kal, Kalppathivirus; Lul, Lullwatervirus; Mac, Maculvirus; Mgu, Mguuvirus; Nap, 200 Napahaivirus; Per, Percyvirus; Phk, Phikmvvirus; Phm, Phimunavirus; Pol, Pollyceevirus; Pra, 201 Pradovirus; Ris, Risjevirus; Sco, Scottvirus; Taw, Tawavirus; Wan, Wanjuvirus; ?, unclassified. 202 The amino acid sequences of 8-aa insertions and their flanking regions are shown on the right. 8-203 aa insertions are shaded in grey. The residues are colored according to their degrees of 204 conservation. The amino acid residue numbers shown on the left and right edges of the

alignment are based on the famA DNAPs of Cronobacter phage DevCD23823
 (YP_009223394.1).

207 Discussion

208 The phylogenetic analyses of the global alignment of famA DNAPs (Figs. 1A and 1B) and the second alignment rich in AGV^{+ins} famA DNAP homologs (Fig. 2) 209 consistently recovered the specific affinity between PolIBCD+ and AGV^{+ins} famA 210 211 DNAPs. These results strongly suggest that PollBCD+ in the extant kinetoplastids 212 and diplonemids can be traced back to a single autographivirus famA DNAP, 213 particularly the one with 8-aa insertion. In other words, PollBCD+ is a typical 214 example of non- α -proteobacterial mt proteins established via lateral gene transfer. 215 Unfortunately, even the analyses of the second alignment, wherein the known diversity of AGV^{+ins} famA DNAPs was covered, failed to pinpoint the exact origin of 216 PollBCD+ (Fig. 2). We might be able to find an AGV^{+ins} famA DNAP homolog that 217 218 branches PolIBCD+ sequences directly in a future phylogenetic study covering the 219 true diversity of phage famA DNAPs. In particular, we regard that autographivirus 220 famA DNAP genes in bacterial genomes are significant. To our knowledge, no 221 autographivirus has been reported to infect eukaryotes. Thus, the common ancestor 222 of kinetoplastids and diplonemids may have acquired the famA DNAP gene from a 223 lysogenic autographivirus in a bacterial genome. If so, the bacterial genomes 224 harboring AGV^{+ins} famA DNAP genes are critical to investigate the origin of 225 PollBCD+ at a finer level than that in the current study.

226 Members of classes Kinetoplastea and Diplonemea, together with Euglenida, 227 share another type of mitochondrion-localized famA DNAP, namely PollA (Harada et 228 al. 2020). It is reasonable to postulate that the common ancestor of the three 229 classes—most likely the ancestral euglenozoan—had established the ancestral 230 PollA. Although the origin of PollA has not been addressed explicitly, past studies 231 recovered the phylogenetic link between PolIA and Pol0, a type of famA DNAP 232 operated in the cytosol of eukaryotic cells. The original study reporting PolIA, B, C, 233 and D in Trypanosoma brucei has hinted at the phylogenetic affinity between PolIA 234 and Pol0 (Klingbeil et al. 2002). A recent phylogeny including famA DNAPs sampled 235 from eukaryotes and limited bacteria (Note that no phage homolog was included) 236 reconstructed a clade of PolIA and Pol0 sequences with high statistical support 237 (Harada et al. 2020). The PollA-Polθ affinity persisted even after the sampling of

238 famA DNAPs from bacteria and phages was improved drastically in this study (Fig. 239 1A). We here propose that the ancestral PollA was likely derived from a Pol θ 240 homolog followed by the change in subcellular localization from the cytosol to the 241 mitochondrion. Noteworthy, the evolutionary processes yielded PollA and PollBCD+, 242 both of which are mt proteins of non- α -proteobacterial origin, are different 243 substantially from each other. The former emerged through the recycling of a pre-244 existing eukaryotic protein while the latter is of phage origin (See above). The Pol θ 245 origin of PolIA is the best estimate from both past and current phylogenetic analyses 246 of famA DNAPs but alternative possibilities still need to be explored in future studies. 247 The repertories of mitochondrion-localized DNAPs in euglenozoans appeared 248 to be more complex than those in the majority of other eukaryotes in which a single 249 type of mitochondrion-localized DNAP (i.e. POP or Poly) seemingly operates. The 250 complexity in the repertory of DNAPs in euglenozoan mitochondria seems to 251 coincide with that in the structure of their mtDNAs (Lukeš et al. 2002; Roy et al. 252 2007; Spencer and Gray 2011; Dobáková et al. 2015; Yabuki et al. 2016; Burger and 253 Valach 2018). Nevertheless, it is unlikely that the non- α -proteobacterial background 254 is restricted to PolIA and PolIBCD+ among the proteins involved in mtDNA 255 maintenance. Rather, the machinery for mtDNA maintenance in the common 256 ancestor of kinetoplastids and diplonemids (and its descendants) are heavily 257 remodeled by both incorporating exogenous proteins via lateral gene transfer and 258 recycling the pre-existed nucleus-encoded proteins. The above conjecture can be 259 examined only after we identify the major proteins involved in DNA maintenance in 260 kinetoplastid/diplonemid mitochondria and their evolutionary origins.

261 Materials & Methods

262 Global phylogeny of famA DNAPs

We searched for the amino acid (aa) sequences of bacterial and phage famA DNAPs in the NCBI nr database as of March 6, 2020, by BLASTP using the polymerase domain of *Escherichia coli* PolI (KHH06131.1; the portion corresponding to the 491^{st} – 928^{th} aa residues) as a query (Camacho et al. 2009; Sayers et al. 2020). We retrieved the sequences matched to the query with *E* values equal to or less than 1×10^{-4} and covered more than 200 aa in the polymerase domain. Note that the sequences derived from metagenome analyses were excluded from this study. The
redundancy within famA DNAP sequences was removed by cluster analysis using
CD-HIT v4.7 with a threshold of 40% (Li and Godzik 2006; Fu et al. 2012). We finally

selected 119 and 327 aa sequences of phage and bacterial famA DNAPs,

273 respectively, for the downstream analyses (see below).

274 The bacterial and phage famA DNAP aa sequences (446 in total) were 275 aligned with those in eukaryotes (27 in total), namely (i) mitochondrion-localized 276 famA DNAPs in Kinetoplastea and Diplonemea (PolIA, B, C, D, and Poll-dipl), (ii) 277 mitochondrion-localized famA DNAPs in animals and fungi (Poly), (iii) Pol0 localized 278 in the cytosol, (iv) mitochondrion and/or plastid-localized famA DNAPs in diverse 279 eukaryotes (POP), and (v) plastid-localized famA DNAPs in apicomplexan parasites 280 and their relatives (PREX). The aa sequences were aligned by MAFFT v7.455 with 281 the L-INS-i model (Katoh and Standley 2013). Ambiguously aligned positions were 282 discarded manually, and gap-containing positions were trimmed by using trimAl v1.4 283 with the -gt 0.95 option (Capella-Gutiérrez et al. 2009). The final "global famA DNAP" 284 alignment comprised 473 sequences with 316 unambiguously aligned as positions. 285 The final global famA alignment is provided as a part of the supplementary materials. 286 We subjected this alignment to the ML phylogenetic analysis by IQ-TREE v1.6.12 287 using the LG + Γ + F + C60 + PMSF model (Nguyen et al. 2015; Wang et al. 2018). 288 The guide tree was obtained using the LG + Γ + F model that was selected by 289 ModelFinder (Kalyaanamoorthy et al. 2017). The statistical support for each 290 bipartition in the ML tree was calculated by 100-replicate non-parametric bootstrap 291 analysis.

292 Phylogenetic analyses of an alignment rich in autographivirus famA

293 DNAPs

294 We retrieved 175 famA DNAP as sequences of autographiviruses from the NCBI nr

295 database. The details of the survey were the same as described above. The 175

famA DNAPs were sampled from 99 members belonging to 57 genera and 76

297 unclassified members in the family Autographiviridae. The autographivirus famA

298 DNAPs were found to comprise two types based on the presence/absence of an

insertion of 8 aa residues (8-aa insertion; see above). The famA DNAPs with 8-aa

300 insertion (AGV^{+ins} famA DNAPs) appeared to be closely related to PolIBCD+,

301 mitochondrion-localized famA DNAPs in kinetoplastids (PolIB, C, D, PolI-Perk1/2) 302 and that in diplonemids (Poll-dipl). The redundancy among the AGV^{+ins} famA DNAPs 303 was reduced by cluster analysis using CD-HIT v4.7 with a threshold of 90%. Finally, we aligned the aa sequences of 74 AGV^{+ins} famA DNAPs, 24 PollBCD+, and famA 304 305 DNAPs of four members of Podoviridae by MAFFT v7.455 with the L-INS-i model. 306 Ambiguously aligned positions were discarded manually, and gap-containing 307 positions were trimmed by using trimAl v1.4 with the -gt 0.9 option. The final version 308 of the second alignment is provided as a part of the supplementary materials. The 309 final alignment containing 102 sequences with 581 unambiguously aligned aa 310 positions was subjected to both ML and Bayesian phylogenetic analyses. The ML 311 and ML bootstrap analyses were performed as described above. For Bayesian 312 analysis using Phylobayes v4.1, we run four Markov Chain Monte Carlo chains for 313 100,000 cycles with burn-in of 25,000 (maxdiff = 0.09472) and calculated the 314 consensus tree with branch lengths and BPPs from the remaining trees (Lartillot et al. 315 2009). The amino acid substitution model was set to CAT + GTR in Phylobayes 316 analysis described above.

317 Data availability

- 318 The alignment datasets for phylogenetic analysis are available in supplementary
- 319 materials at

320 https://drive.google.com/drive/folders/1vpwh0MzYul_wjKmyutZIZR1MSmMZn5ca?us321 p=sharing.

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