TITLE

Making sense of the linear genome, gene function and TADs

AUTHORS

Helen S Long[1,2], Simon Greenaway[2], George Powell[1,2], Ann-Marie Mallon[2],

Cecilia M Lindgren[3,4,5], Michelle M Simon[2]

AUTHOR AFFILIATIONS

1) Nuffield Department of Medicine, University of Oxford, United Kingdom

2) MRC Harwell, MGU, Didcot, United Kingdom

3) Wellcome Centre for Human Genetics, University of Oxford, United Kingdom

4) Big Data Institute, Li Ka Shing Centre for Health Information and Discovery,

University of Oxford. Oxford, United Kingdom

5) Medical and Population Genetics Program, Broad Institute of MIT and Harvard,

Cambridge, MA, USA.

ABSTRACT

Background: Topologically associating domains (TADs) are thought to act as functional units

in the genome. TADs co-localise genes and their regulatory elements as well as forming the

unit of genome switching between active and inactive compartments. This has led to the

speculation that genes which are required for similar processes may fall within the same

TADs, allowing them to share regulatory programs and efficiently switch between chromatin

compartments. However, evidence to link genes within TADs to the same regulatory

program is limited.

Results: We investigated the functional similarity of genes which fall within the same TAD.

To do this we developed a TAD randomisation algorithm to generate sets of "random TADs"

to act as null distributions. We found that while pairs of paralogous genes are enriched in

TADs overall, they are depleted in TADs with CCCTC-binding factor (CTCF) ChIP-seq peaks at

both boundaries. By assessing gene constraint as a proxy for functional importance we

found that genes which singly occupy a TAD have greater functional importance than genes

which share a TAD, and these genes are enriched for developmental processes. We found

little evidence that pairs of genes in CTCF bound TADs are more likely to be co-expressed or

share functional annotations than can be explained by their linear proximity alone.

Conclusions: These results suggest that algorithmically defined TADs consist of two

functionally different groups, those which are bound by CTCF and those which are not. We

detected no association between genes sharing the same CTCF TADs and increased co-

expression or functional similarity, other than that explained by linear genome proximity.

We do however find that functionally important genes are more likely to fall within a TAD

on their own suggesting that TADs play an important role in the insulation of these genes.

KEYWORDS

 BACKGROUND

The organisation of the mammalian genome in three dimensional space is non-random and

hierarchically organised (1). Using Hi-C (2) it was shown that chromosomal loci are clustered

into two, mega base scale structures known as the A and B compartments (3). The A

compartment is enriched for active, euchromatin whereas the B compartment is enriched

for inactive, heterochromatin (3,4). The formation of chromatin compartments is

hypothesised to be driven by phase separation (5). By analysing chromatin contact maps at

kilobase resolution Dixon et al. were able to identify a finer level of chromatin organisation

known as Topologically Associating Domains (TADs). TADs are regions of the genome

characterised by a high degree of self-interaction within the length of the TAD, and a low

degree of interactions with regions outside of the TAD even if they are a similar distance

away (6). TAD boundaries are enriched for CCCTC-binding factor (CTCF) binding sites and are

thought to be formed by active loop extrusion, during which DNA is extruded through

cohesion (forming a loop) until the extrusion is stalled by a pair convergently orientated

CTCF binding sites at the TAD boundaries (5–8). Comparisons of TADs between tissues

suggested that they are largely tissue invariant (4,6,9). It has also been proposed that a third

category of chromatin organisation exists, which nests within TADs, these 'sub-TADs', are

formed by the same mechanisms as TADs but have weaker insulation and are more likely to

vary depending on the cell type (10). However, it is currently unclear whether sub-TADs

constitute functionally different structures to TADs (10).

It has been proposed that TADs constitute functional units in the genome, important for

correct regulation of gene expression. TADs co-localise regulatory elements with their target

genes, and are thought to promote co-regulation of the genes they contain, creating "gene

regulatory domains" (11). By inserting regulatory sensors along the length of the genome

Symmons et al. found evidence that the activity of enhancers is split into regulatory

domains which highly correlate with TADs (12). This provided experimental evidence that

TADs potentially facilitate enhancers to carry out "non-specific" co-regulation of all genes in

the TAD (11,12). Simultaneously, TADs are thought to insulate genes from aberrant

regulation by regulatory elements outside the TAD (enhancer hijacking) (11). Several

examples of congenital disease have been linked to TAD boundary disruptions allowing

enhancer hijacking demonstrating that at least in these cases, TAD boundaries are essential

for proper gene regulation (13,14). TAD boundaries are also able to block the spread of

transcription, and repressive chromatin (11). It has been observed that the unit of

compartment switching in the genome tends to be a single or series of TADs (15). Adding to

this picture, it has been suggested that genes within the same TAD have highly correlated

expression patterns (16–19). This has led to the speculation that genes which are required

for specific processes may be contained within the same TAD to allow them to share

regulatory programs and efficiently switch between the active and inactive compartments

(20). Studies have already indicated that some TADs may be enriched for lineage specific

genes (20,21), but the global relationship between TADs and gene function is yet to be fully

understood.

It has long been known that the linear order of genes in the genome is non-random with

respect to gene function. Genes that are close together in linear space are more likely to

have correlated expression patterns (22), and share pathways and protein-protein

interactions (PPI) (23). Genes within TADs are by definition also close together in the linear

genome therefore the linear proximity between genes is an important confounding factor

when studying the similarity of genes that share a TAD. It is also possible that the increased

similarity of genes that are proximal in the linear order occurs on a similar scale to TADs. By

promoting co-regulation of genes, TADs could explain the increased functional similarity

between proximal genes.

We hypothesised that TADs form functional units and therefore genes within them are more

likely to share functional annotations than can be explained by linear proximity alone. In

order to test this hypothesis we utilised some of the highest quality mammalian Hi-C data

currently in the public domain (24) and annotated TADs using two TAD calling algorithms;

Arrowhead and TopDom (23,24). We first assessed the relationship between TADs and gene

paralogy as well as constraint. Then, focusing on TADs most likely to have been formed by

loop extrusion, we assessed the functional relatedness of non-paralogous protein coding

genes within them, using four functional annotations: expression correlation, Gene ontology

(GO) semantic similarity, shared pathways and PPI.

RESULTS

Characteristics of TADs in cortical neurons and embryonic stem cells (ESCs)

We analysed ESC and cortical neuron Hi-C data from Bonev et al. (24) using the Juicer

pipeline (25). This data represents some of the highest quality mammalian Hi-C data

currently in the public domain. Throughout this work we have focused on autosomal TADs,

so unless explicitly stated TADs refers to autosomal TADs only. We annotated 8371 (median

size 0.29Mb) and 16002 (median size 0.11Mb) TADs in ESCs, and 8001 (median size 0.32Mb)

and 13835 (median size 0.12Mb) TADs in cortical neurons, using two TAD callers Arrowhead

(25) and TopDom (26), respectively (Figure 1A-B). We detected more, but smaller TADs with

TopDom than with Arrowhead for both cell types. Our results confirm the finding from

Bonev et al. (found using the directionality index TAD calling method) that there are more

and smaller TADs in ESCs than cortical neurons (24).

To learn more about the distribution of TADs in the genome we looked at their association

with chromosomes and protein-coding genes, matching the expected null, we observed

that, for both TAD callers and datasets, the number of TADs on a chromosome correlates

strongly with the size of the chromosome (Figure 1C) (r range 0.9-0.94).

Most TADs contain relatively few genes (mean number of genes: ~2.74 and ~3.49

(Arrowhead), and ~0.95 and ~1.08 (TopDom) in ESCs and cortical neurons, respectively) and

there is little correlation between the number of genes within a TAD and the TAD size (r

range 0.2-0.3) (Figure 1D). We investigated several TADs which contained a large number of

genes and found that they contained genes from large paralog families e.g. olfactory genes

and protocadherin (Figure 1D). This is consistent with previous studies which have noted

that genes from these functional families tend to fall within the same TAD, likely due to

their shared regulatory requirements (11).

TAD randomisation

In order to globally assess the functional similarity between genes in the same TADs we

sought to synthesise "random TADs" representing the null distribution. We developed two

randomisation strategies in order to generate two null distributions controlling for different

possible confounding signals. In the first randomisation strategy, which we refer to as

random TADs, we maintained the basic structure of real TADs i.e. TAD size, number of genes

within the TAD and the approximate TAD overlap structure. This allowed us to control for

the influence of linear gene order and distance which are known to correlate with gene

functional similarity (22,23). In this randomisation strategy, the position of each TAD was

randomised within the same chromosome to a new region of the same size, containing the

same number of genes as the original TAD. For TopDom random TADs, TAD overlapping was

prevented, reflecting the non-overlapping structure of TopDom TADs. For Arrowhead

random TADs, if the new random TAD overlapped an existing random TAD this was

controlled in order to favour "nested" TADs, thereby approximating the global TAD overlap

structure seen in Arrowhead TADs (see Methods) (Figure 2B, Figure 3A). In the second

randomisation method, which we refer to as random genome TADs, we again maintained

the basic TAD structure but removed signal attributed to the linear gene. In order to do this,

the positions of TADs were maintained but the order of the genes in the genome was

randomly shuffled within each chromosome (Figure 2C). Using both randomisation

strategies allows us to disentangle the functional similarity of genes within the same TAD

from the functional similarity which can be attributed to proximity in the linear genome.

Each TAD randomisation method was run 100 times for each cell type and each TAD caller.

In order to compare the functional similarity of genes within TADs to genes within random

TADs/random genome TADs, we adopted a pairwise comparison approach (Figure 2D). For

every feature investigated every possible pair of genes within a TAD/random TAD was

compared in order to generate a distribution of scores. The distribution of scores for each

feature in TADs was compared to each of 100 sets of random TADs and the median p-value

was reported.

To assess the gene distribution within TADs, we compared the distance between genes in

TADs to genes in random TADs. We found that for both TAD callers, and both cell types,

genes are significantly further apart in TADs than in random TADs (Figure 3B, median p-

values; Arrowhead ESC vs random TADs: p-value<0.001, Arrowhead cortical neuron vs

random TADs: p-value<0.001, TopDom ESC vs random TADs: p-value<0.001, TopDom

cortical neuron vs random TADs: p-value<0.001).

It has previously been shown that TAD boundaries are enriched for CTCF which is

hypothesised to play a crucial role in TAD formation by loop extrusion (5–8). To assess this in

our data we tested for the presence of CTCF ChIP-seq peaks near TAD boundaries vs random

TAD boundaries (Figure 3C, Supplementary figure 2). We observed that ~ 62%, 52%, 28%,

28% of ESC Arrowhead TADs, ESC TopDom TADs, cortical neuron Arrowhead TADs and

cortical neuron TopDom TADs, respectively, had a CTCF ChIP-seq peak within in ±10kb of

both TAD boundaries. This is compared to ~ 29%, 29%, 4.5%, 5.3% of ESC Arrowhead

random TADs, ESC TopDom random TADs, cortical neuron Arrowhead random TADs and

cortical neuron TopDom random TADs, respectively. Supporting previous reports

(4,6,27,28), this suggests that CTCF binding is common at the boundaries of TADs and is

more prevalent than expected if TADs were randomly placed. This result also shows that

more ESC TADs have a CTCF ChIP-seq peak near both boundaries than cortical neuron TADs.

This could be due to a reduction in the number of chromatin domains formed by loop

extrusion during differentiation. However, we noted that this still left 30%, 29%, 46%, 38%

of ESC Arrowhead TADs, ESC TopDom TADs, cortical neuron Arrowhead TADs and cortical

neuron TopDom TADs, respectively, which had a CTCF ChIP-seq near only one boundary and

7.9%, 19%, 27% and 35% of ESC Arrowhead TADs, ESC TopDom TADs, cortical neuron

Arrowhead TADs and cortical neuron TopDom TADs, respectively, which did not have a

ChIP-seq peak near either boundary. This could suggest that these domains may not be

formed by loop extrusion and therefore may not conform to the popular mechanistic

definition of TADs (10).

In order to assess the features of these TADs separately we split TADs into CTCF TADs (which

we define as TADs with a CTCF ChIP-seq peak within ±10kb of both boundaries) and non

CTCF TADs (which we define as TADs with a CTCF ChIP-seq peak within ±10kb of one, or

neither boundary) (Supplementary figure 2). We compared the size of CTCF TADs and non

CTCF TADs between ESCs and cortical neurons. In both CTCF TADs and non CTCF TADs we

found cortical neuron TADs were significantly larger (p-value<0.001, except Arrowhead non

CTCF TADs which was not significantly different) (Supplementary figure 3A-B). We also

compared the distance between genes in CTCF and non CTCF TADs to random CTCF/non

CTCF TADs, respectively. We found that genes are significantly further apart in both CTCF

TADs and non CTCF TADs than expected in random CTCF/non CTCF TADs (p-value<0.001)

(Supplementary figure 3C-D).

TADs vs paralogy and gene constraint

We have shown examples of TADs that contain a large number of genes from the same

paralogous families (Figure 1D), suggesting that genes within TADs could be more

functionally similar due to shared ancestry (29). We therefore investigated whether genes

within TADs are enriched for paralogous gene pairs, genome wide. To do this we assessed

the proportion of paralogous gene pairs within TADs and random TADs. Similarly to Ibn-

Salem et al. (30) we found a greater proportion of paralogous gene pairs fall within TADs

compared to random TADs (Figure 4A, median p-value of TADs vs random TADs <0.001).

This suggests that pairs of paralogous genes are more likely to fall within the same TAD than

can be explained by the linear proximity of the genes alone. We further investigated this

relationship and found that TADs which contain at least one pair of paralogs are more likely

to be significantly larger in size than TADs with no paralogs (Figure 4B, p-value <0.001).

When these TADs are split into CTCF TADs and non CTCF TADs we find that pairs of paralogs

are significantly enriched in non CTCF TADs compared to random non CTCF TADs (median p-

value of non CTCF TADs vs random non CTCF TADs <0.001). However, the opposite is true

for CTCF TADs (median p-value of CTCF TADs vs random CTCF TADs <0.001 for ESC

Arrowhead, ESC TopDom and cortical neuron Arrowhead and <0.01 for cortical neuron

TopDom) (Supplementary figure 4). This suggests that although pairs of paralogs are

enriched in TADs they are depleted in CTCF TADs, which are more likely to be "true" TADs

formed by loop extrusion.

In order to further assess the impact of evolutionary forces on genes within TADs, we

assessed the average constraint scores of genes in TADs. Constraint scores quantify the

degree of selective constraint acting on protein coding genes, with a higher score indicating

a greater strength of purifying selection (31). Selective constraint can change over

evolutionary time, and we therefore considered constraint scores calculated in the mouse

lineage (32). For TADs called using Arrowhead, we find that genes which singly occupy a TAD

are significantly more constrained than the mean constraint of genes co-occupying TADs

(Figure 4C-D). Genes singly occupying an Arrowhead TAD also have significantly higher

constraint than seen in random TADs (suggesting the result cannot be explained by the

structure of the linear genome alone) or random genome TADs (FDR corrected median p-

value of genes singly occupying TADs vs genes singly occupying TADs in random TADs or

random genome TADs <0.01, Supplementary figure 5). This suggests that genes, which singly

occupy TADs, may be under higher selective constraint and more functionally important

than genes which co-occupy a TAD. This might suggest that the protection from aberrant

regulation of functionally important genes, implied by being in a private TAD, is under

selective constraint. Interestingly, this relationship is not seen for TADs called using TopDom

despite the fact that the two TAD callers detect a similar proportion of TAD containing only

a single gene (~ 21% and 20% of Arrowhead TADs, and 17% and 20% of TopDom TADs, in

ESCs and cortical neurons, respectively). This could be because TopDom TADs contain a

much larger percentage of TADs with no genes than Arrowhead TADs (~ 37% and 31% of

Arrowhead TADs, and 63% and 57% of TopDom TADs, in ESCs and cortical neurons,

respectively). This means that there are far fewer TopDom TADs than Arrowhead TADs

which contain more than one gene.

We next sought to test if the relationship between Arrowhead TADs and average gene

constraint is observable in both CTCF TADs and non CTCF TADs. When considering only

Arrowhead CTCF TADs, as seen above, we find that generally the constraint of genes in

singly occupied TADs is significantly higher relative to the average constraint of genes co-

occupying a CTCF TAD. On the other hand, in Arrowhead non CTCF TADs, we find a weaker

relationship between genes singly occupying a non CTCF TAD and constraint

(Supplementary figure 6). The difference between CTCF TADs and non CTCF TADs in terms of

their enrichment for paralogous gene pairs and their relationship with gene constraint

supports the possibility that TADs detected by Arrowhead and TopDom may be made up of

two functional groups. As CTCF TADs are bounded by CTCF they are likely to have been

formed by the process of loop extrusion and are therefore more likely to be "true TADs". In

contrast, non CTCF TADs may have been formed by other mechanisms (10).

In order to assess which biological processes genes which singly occupy an Arrowhead TAD

are involved in, we carried out a functional enrichment analysis (see Methods) using

Biological process GO terms (Figure 4E). We found that genes which singly occupy an

Arrowhead CTCF TAD are highly enriched for developmental processes, genes which occupy

a TAD with one other gene (double occupancy) are also enriched for developmental

processes but to a lesser extent and genes which occupy a TAD with two other genes (triple

occupancy) are less enriched for developmental processes still. For example, "multicellular

organism development" is the most significant GO term associated with singly occupied

TADs in both ESCs and cortical neurons (p-value= 5.04×10^{-54} and 1.13×10^{-48}, respectively),

but it is less significantly associated in doubly occupied or triply occupied TADs (doubly

occupied: p-value= 1.55×10^{-23} and 7.74×10^{-22}, triply occupied: p-value= 1.47×10^{-07} and

8.55×10^{-11} for ESC and cortical neuron, respectively). We repeated the enrichment analysis

using genes which singly, doubly and triply occupy random Arrowhead TADs in order to

establish whether randomly placed TADs with similar features (e.g. only one gene in the

length of the TAD) have a similar pattern of enrichment (Supplementary figure 7). We found

that genes that singly occupy a random TAD are also enriched for developmental processes

but to a lesser degree than Arrowhead TADs. This suggests that the enrichment for

developmental function observed in genes that singly occupy an Arrowhead TAD cannot be

explained by the linear genome alone.

Expression and functional similarity of non-paralogous genes in CTCF TADs

We have shown that CTCF TADs and non CTCF are unequal in their functional relevance,

hence we decided to focus on the functional similarity of pairs of genes in CTCF TADs, as

272 they are more likely to represent "true" TADs (Figure 2). Since paralogous gene pairs are

273 highly likely to share functional similarity and we have previously assessed their relationship

274 with TADs (Figure 4A-B, Supplementary figure 4) we excluded all pairs of paralogous genes

275 and removed the olfactory genes (see Methods) in all functional analyses. This will allow the

276 assessment of functional similarity between genes within TADs without recent shared

277 ancestry.

278 In order to assess whether pairs of genes in the same TAD have correlated expression

279 patterns we downloaded FPKM counts from RNA-seq expression data. RNA-seq generated

280 during neural differentiation from Bonev et al. (24) and from the most closely matching cell

281 types/tissues to ESCs and cortical neurons which had greater than three samples (mouse

282 ESCs differentiating to primordial germ cell like cells (PGC) and forebrain at different

283 embryonic stages, respectively) from Encode or GEO were used (33–36). Using these

284 expression counts we calculated spearman's rank correlation coefficient between pairs of

285 genes in CTCF TADs, 100 sets of random CTCF TADs, and 100 sets of random genome CTCF

286 TADs (Figure 5C-D). We found pairs of genes in CTCF TADs have a significantly higher

287 expression correlation than pairs of genes in random genome CTCF TADs in 7 out of 8

288 comparisons (median p-value<0.05). This is an expected result because randomising the

289 genome removes the effect of linear gene proximity. However, in 6 out of 8 comparisons we

290 find no significant difference in expression correlation between pairs of genes in CTCF TADs

291 and pairs of genes in random CTCF TADs (median p-value<0.05). This suggests that contrary

292 to the majority of other studies (16–19) we find little evidence that pairs of genes sharing a

293 TAD are more likely to have similar expression patterns than can be explained by their linear

294 proximity. A study by Soler-Oliva et al. found that algorithmically identified co-expression

domains in breast tissue/breast cancer tend not to coincide with TADs, which supports our

findings (37).

Next, we sought to assess whether pairs of genes within the same CTCF TAD are more likely

to share functional annotations than pairs of genes in random CTCF TADs or random

genome CTCF TADs. Here, we used molecular function (MF) GO semantic similarity scores,

shared pathways, and PPI (see methods). In 11 out of 12 comparisons we found that pairs of

genes in CTCF TADs are significantly more similar (median p-value<0.01) in terms of

functional annotation than pairs of genes in random genome CTCF TADs (Figure 6D-F).

Again, this is expected as randomising the genome removes functional similarity that can be

explained by linear proximity. Using binned linear distance, we found greater similarity

between pairs of genes which are in very close linear proximity than expected if genes were

randomly ordered on the chromosome (Figure 6A-C). We next compared the functional

annotations of genes in CTCF TADs with genes in random CTCF TADs. We found that for the

majority of comparisons there was no significant difference (8 out of 12 comparisons,

median p-value<0.05) (Figure 6D-F). Pairs of genes in Arrowhead ESC CTCF TADs and

Arrowhead cortical neuron CTCF TADs have significantly more similar MF GO terms than

pairs of genes in random CTCF TADs (median p-value <0.01 and <0.05, respectively). A

similar trend in MF GO similarity was observed for all other CTCF TADs compared to random

CTCF TADs but the difference wasn't significant in the other 2 comparisons (median p-value

<0.05). This could indicate that pairs of genes in CTCF TADs have slightly more similar MF GO

term annotations than pairs of genes in random CTCF TADs. However, perhaps this is limited

to few TADs as the increase in similarity is very small and often not significant. Pairs of genes

in cortical neuron TopDom CTCF TADs are significantly more likely to share a pathway or PPI

than pairs of genes in random CTCF TADs (median p-value<0.05). However, the trend in the

difference in proportion of gene pairs sharing a pathway or PPI between cortical neuron

Arrowhead CTCF TADs and random CTCF TADs is inconsistent and the opposite trend was

observed for all ESC CTCF TADs compared to ESC random CTCF TADs. This could suggest a

cell type difference in CTCF TAD structure in which pairs of genes sharing a pathway/PPI are

more likely to fall within the same CTCF TAD in differentiated cells like cortical neurons

compared to undifferentiated cells like ESCs. However, the inconsistency of the results

suggests this effect is likely to be small and perhaps driven by few TADs. Overall, we find the

biggest contribution to the functional similarity between pairs of genes in CTCF TADs can be

attributed to their linear proximity in the genome. When we control for linear proximity we

find a less consistent picture but in the majority of comparisons, pairs of genes in CTCF TADs

are no more likely to be functionally similar than if CTCF TADs were randomly placed.

DISCUSSION

TADs are thought to co-localise regulatory elements and their target genes, insulate genes

from off-target enhancer interactions, and block the spread of genome activation (11). Due

to these findings we hypothesised that genes sharing a TAD would be more likely to be co-

regulated. This is because in the absence of further insulation/specificity, enhancers may be

able to "scan" all regulatory elements in the TAD. We hypothesised that if this is the case

one might expect genes within TADs to have higher co-expression and greater functional

similarity than can be explained purely by the proximity of genes in the linear order of the

genome.

Similarly to previously described work by Ibn-Salem et al. (30) we found that pairs of

paralogous genes are more likely to fall within the same TAD than expected if TADs are

randomly placed within chromosomes (Figure 4A). This presents a clear case in which TADs

contain functionally similar genes and could reflect the need for paralogs to share

regulatory elements. However, we go on to show that TADs can be split into CTCF TADs and

non CTCF TADs, and whilst pairs of paralogous genes are more likely to fall within non CTCF

TADs than randomly placed non CTCF TADs, paralogous gene pairs are depleted in CTCF

TADs (Supplementary figure 4). This suggests that paralogs are depleted in domains formed

by loop extrusion which may be more likely to represent 'true' TADs.

To further investigate the relationship between gene evolution and TADs, we analysed the

average gene constraint of genes sharing TADs. We found that genes which singly occupy a

TAD (called by Arrowhead) are statistically more constrained than genes in TADs with

multiple genes. This suggests that genes in TADs on their own are less tolerant to mutation

and therefore more functionally important. This is supported by Muro et al. (38) who

recently found that genes which singly occupy a TAD are more likely to be associated with

disease. When we separated TADs into CTCF TADs and non CTCF TADs we found that this

relationship is stronger for CTCF TADs compared to non CTCF TADs (Supplementary figure

6). These results could indicate that there is a selective pressure for functionally important

genes to fall privately within CTCF TADs (formed by loop extrusion) providing them with

strong insulation from aberrant regulation. This selective pressure may be weaker for non

CTCF TADs which may not have been formed by loop extrusion and therefore may not be as

insulated. In contrast, we don't see this relationship at all for TopDom TADs, we propose

that this may be due to the smaller size of TopDom TADs which could reflect a scale more

similar to that of sub-TADs (10,39). It is also worth noting that TopDom annotates the entire

genome with TADs (compared to Arrowhead, which calls them sporadically) therefore if

regions exist in the genome which have no TADs, TopDom will still attempt to call them, this

could increase noise in TADs called by TopDom. The differences shown here between TADs

called using Arrowhead and TopDom highlight the importance of ensuring findings are

robust to the choice of TAD caller.

Our results indicate that there is little evidence for an increase in expression correlation or

functional annotation similarity in genes sharing a TAD. In general, we found no difference

in expression correlation between pairs of non-paralogous protein coding genes in CTCF

TADs vs random CTCF TADs. This is contrary to previous findings (16–19). We also found that

pairs of non-paralogous protein coding genes within CTCF TADs are largely not more similar

in functional annotation than in random TADs. This suggests that globally TADs are not

associated with a higher degree of co-regulation between the genes they contain.

Together our results suggest TADs play a stronger role in insulating genes from aberrant

regulation rather than promoting co-expression of genes within a TAD. We speculate that

our results are more compatible with a model of TADs in which enhancers are prevented

from interacting with all the genes within the same TAD by evolved enhancer-promoter

specificity or further insulation in the form of tissue/cell type specific sub-TADs. If this is the

case, disease associated variants within a TAD may have deleterious consequences by

misregulating their normal target gene, but also may acquire gain-of-function regulation of

other genes within the TAD.

Although referred to throughout as non CTCF TADs because they were identified by

published TAD callers these domains may not represent 'true' TADs based on the prevalent

definition (domains formed by loop extrusion). Instead, it is possible that these domains

represent other domain categories e.g. compartmental domains. We therefore suggest that

perhaps not all TADs called by TAD callers represent TADs. The results presented here

support the assertion made by Beagan et al. (10) that it is important to separate domains

formed by different mechanisms because they are likely to have different functional

properties.

CONCLUSIONS

Our results suggest a limited role for TADs in promoting co-regulation of the genes within

them. We find evidence that pairs of paralogous genes fall within TADs more often than

random TADs. However, we find that pairs of paralogous genes are only enriched in non

CTCF TADs. The functional differences observed between CTCF and non CTCF TADs may

reflect the possibility that non CTCF TADs are more similar to other types of chromatin

domain (e.g. compartmental domains) than TADs (defined loop extrusion). We find little

evidence that non-paralogous protein coding genes within the same CTCF TAD are more

likely to have correlated expression patterns or similar functional annotations than non-

paralogous protein coding genes in random TADs. This suggests that TADs formed by loop

extrusion do not have a global association with co-regulation and the formation of "gene

regulatory domains". We find evidence that genes that singly occupy a CTCF TAD have

significantly higher constraint. This suggests that these genes may be more functionally

important and TADs formed by loop extrusion may be acting to insulate them from aberrant

regulation. Overall, our results suggest a stronger role of TADs in regulatory insulation than

promotion of co-regulation.

METHODS

Topologically associating domains

Mouse ESC and Cortical neuron Hi-C data published in (24) was downloaded from Gene

Expression Omnibus (GEO) (accession number: GSE96107). These datasets represent two of

the high resolution mammalian Hi-C datasets published to date. Hi-C data was analysed

using the Juicer analysis pipeline aligning to the mm10 genome build (25). Parameters

within Juicer were selected so that contacts with a mapping quality (MAPQ) below 30 were

filtered. For each cell type all replicates were run through the Juicer pipeline separately and

were combined using the "mega" option in Juicer. Hi-C data was binned at 10kb and Vanilla

coverage (VC) normalisation was employed. The sex chromosomes where excluded from

analysis throughout.

It has been shown that algorithmically determined TADs can vary widely depending on the

TAD caller used (40–42). In order to make sure that results are robust to the choice of TAD

caller, TADs were called using Arrowhead and TopDom which were both run using default

parameters at 10kb. Arrowhead calls larger TADs, which can overlap whereas TopDom calls

smaller non-overlapping TADs. TADs were called on Hi-C maps made from merged

replicates.

It is widely suggested that TADs are formed by a loop extrusion process involving

convergent CTCF bound at TAD boundaries and cohesion (10). Where indicated, TADs have

been split into CTCF TAD or non CTCF TADs. In order to do this ESC and cortical neuron CTCF

ChIP-seq peaks (generated alongside the Hi-C data (24)) were downloaded from GEO (GEO

accession number: GSE96107). TADs where both boundaries were within ±1 bin (10kb) of a

CTCF peak were considered to be "CTCF TADs", the equivalent TADs in random TADs or

random genome TADs were used for comparison. Whereas, TADs with only one boundary or

neither boundary within ±1 bin (10kb) of a CTCF peak were considered to be "non CTCF

TADs".

Overlapping TADs with protein coding genes

Ensembl IDs of mouse genes and mm10 coordinates were downloaded from BioMart (43)

and non-protein coding genes were filtered out. Using bedtools intersect (44), protein

coding genes were overlapped and assigned to a TAD if their start and end position fell

within the same TAD. This TAD-gene mapping method is more stringent than previously

used (16,17,38) but it allows us to focus on genes which can be confidently assigned to a

TAD and controls for the possibility that genes which overlap a TAD boundary may have

different features. This is especially important given recent evidence has shown that TAD

boundaries are often not "sharp", instead boundaries can span "zones of transition"

meaning that it may not be possible to confidently assign genes spanning a TAD boundary to

one TAD or the other (45)

In order to assess the functional similarity between pairs of genes in TADs, where stated

olfactory genes were removed from analysis. The olfactory genes have undergone a

significant expansion in the mouse vs human genome. The human genome contains ~800

olfactory genes (of which <400 are functional), whereas the mouse genome contains ~1400

olfactory genes (of which <1050 are functional) (46). Therefore, in order to make the

findings of this study more relevant to human biology the olfactory genes were masked. To

achieve this MGI IDs associated with Olfactory genes were downloaded by identifying any

gene associated with the GO term: "olfactory receptor activity" (47–49) and IDs were

converted to ensembl IDs using BioMart, ensembl IDs were used to remove genes from the

analysis (1133 genes in total) (43).

TAD randomisation and Genome randomisation

We synthesised "random TADs" to serve as a null distributions to compare with TADs. To do

this we generated two TAD randomisation strategies, each controlling for a different

possible confounding signals. In the first strategy, which we refer to as "random TADs", the

position of each TAD was randomised within the same chromosome so that each TAD was

randomly assigned to a new region of the same size as the original TAD. The new region was

accepted only if it contained the same number of genes as the original TAD. TopDom TADs

are non-overlapping, so overlapping was prohibited in random TopDom TADs. For each TAD,

if a new region satisfying the criteria could not be found after 10,000 attempts, the TAD was

excluded from the random TAD set. For TADs called using Arrowhead, we observed that the

overlap structure was far more likely to be "nested" (one TAD falls completely within

another) than "non-nested" (TADs overlap incompletely, with only part of the TAD falling

within the bounds of the other) (Supplementary figure 1). To approximate this overlap

structure in random TADs, every proposed new random TAD position was checked to see if

it overlapped any existing random TADs. If it overlapped an existing random TAD in a

"nested" fashion the overlap was always permitted. However, if it overlapped an existing

random TAD in a "non-nested" fashion, the new position was accepted with 10%

probability, thereby minimising this type of overlap. As with the random TopDom TADs, if a

position fulfilling this criteria could not be found after 10,000 attempts, the TAD was

excluded from the random TAD set. In the second randomisation method, which we refer to

as "random genome TADs" the position of TADs was maintained along with the number of

protein coding genes within them, but the order of the protein coding genes on each

chromosome was randomised. TAD randomisation methods were implemented using

pybedtools (50).

TADs called using Arrowhead and TopDom were randomised 100 times each, generating

100 sets each of: random Arrowhead TADs, random TopDom TADs, Arrowhead random

genome TADs and TopDom random genome TADs. Since, during the generation of each

random TAD set, the algorithm randomises the position of each TAD in turn, the order of

TADs was shuffled before the generation of every random TAD set. In order to test if 100

randomisation was enough, we plotted the median value of each measure investigated in

this study with each added random TAD/random genome TAD set. For most measures the

median begins to converge at fewer than 100 randomisations (Supplementary figure 8).

To test how our TAD randomisation algorithm performs compared to other recently

published methods we created TAD randomisation algorithms based on the descriptions in

Nora et al. 2012 (16) and Rao et al. 2014 (4). We used these algorithms to create example

random TAD sets and compared them to an example random TAD set created using our

method (Supplementary figure 1). In brief, in the Nora et al. 2012 method, each TAD was

randomised to a region on the same chromosome which contains the same number of

genes and is the same length or smaller than the original TAD. We adapted this method to

prevent overlapping when randomising TopDom TADs, if a non-overlapping TAD could not

be placed after 10,000 attempts it was excluded from the TopDom random TAD set. In the

Roa et al. 2014 method, each TAD was randomised to a new position on the same

chromosome but prevented from overlapping any gaps in the mm10 assembly (mm10 gaps

were downloaded from the UCSC table browser (51)). This method was adapted to prevent

overlapping when randomising TopDom TADs. Again, we set a cut off of 10,000 attempts to

place each TAD before it was excluded from the TopDom random TAD set.

We compared random TADs generated using our method to random TADs generated using

the Nora et al. 2012 method and the Roa et al. 2014 method (Supplementary figure 1). Since

the Nora el al. 2012 method does not generate any TADs containing zero genes, TADs with

zero genes were removed from all TAD sets before comparison. Regardless of the

randomisation method used, we observed that the distance between genes in random TADs

was always significantly different to the distance between genes in TADs. However, the

effect size of these differences was smallest for Arrowhead random TADs produced by our

method and second smallest for TopDom random TADs produced by our method (Rao et al.

2014 produces the smallest effect size for TopDom random TADs). This suggests that for this

feature, random TADs produced by our method are the closest of the three methods to real

Arrowhead TADs and second closest for TopDom TADs (Supplementary figure 1A).

We next compared the number of genes in random TADs. Again, TADs with zero genes were

removed before comparison. Since in our randomisation method and in the Nora et al. 2012

method, random TADs must contain the same number of genes as the original TADs, we did

not observe any difference between the number of genes within TADs and random TADs

using these methods. However, we did observe a significant difference (p-value<0.001)

between the number of genes in random TADs generated by the Rao et al. 2014 method

and TADs (except for TopDom ESC TADs which were not significantly different)

(Supplementary figure 1B). Therefore, random TADs produced using our method and the

Nora et al. 2012 method best reflect the number of genes in TADs.

The overlap structure of real Arrowhead TADs favours nested TADs. To assess how well each

randomisation method approximates the overlap structure of Arrowhead TADs, we selected

all TADs/random TADs which were involved in any type of overlap. We then annotated them

according to whether they were involved in nesting overlaps, non-nesting overlaps or both.

We found that our randomisation method best approximates the overlap structure of

Arrowhead TADs. Random Arrowhead TADs generated using the Rao et al. 2014 or the Nora

et al. 2012 method contain more non-nesting overlaps than Arrowhead TADs

(Supplementary figure 1C).

Pairs of genes with shared ancestry:

The functional similarity of genes within a TAD was measured by assessing the similarity of

every possible pair of genes within the same TAD. Gene pairs which have shared ancestry

i.e. paralogs, are expected to be very functionally similar. In order to assess whether genes

within TADs are more functional similar irrespective of shared ancestry, (where stated)

paralogous gene pairs were removed from the analysis. To do this mouse paralogous gene

pairs were downloaded from BioMart (Ensemble release 98) (43).

Constraint score:

Mouse gene constraint was assessed as a nonsynonymous z-score (32), calculated between

36 strains of mice commonly used for genetic research (52). In brief, constraint was

quantified for each gene as the deviation of the observed number of nonsynonymous

variants relative to the expected number given no selection, which was determined by the

average rate of synonymous fixation in the population sample. Genes that have a greater

relative depletion of nonsynonymous variants are considered more constrained by negative

selection.

Functional enrichment analysis:

Functional enrichment analysis was undertaken using the g:profiler r package (version

e100_eg47_p14_021df73) (53) and Biological processes GO terms. The plots include the

(max) top 25 most significant GO terms passing a p-value threshold of < 0.05 (multiple

testing corrected using the "gSCS" option). The similarity between each of the significant GO

terms was calculated using the r package GOSemSim (54) (using the "Jiang" similarity

method as the "measure" parameter and "NULL" as the "combine" parameter). These

similarity scores were then used as input into hclust clustering, the order resulting from

clustering was used to order the GO terms in the plots.

Gene co-expression:

FPKM counts from RNA-seq data generated alongside the Hi-C data was downloaded from

GEO (GEO accession number: GSE96107). The data consisted of two replicates each for ESC,

neural progenitor cells (NPC), and cortical neurons. Genes with an FPKM value <1 were

treated as having 0 expression. Gene co-expression was calculated across all 6 samples using

spearman's rank correlation coefficient between all pairs of genes. Correlation coefficients

calculated from this data indicate the similarity of expression over three cell types.

To guard against the possibility that TAD structure may differ between cell types we also

downloaded RNA seq data from the closest matching tissues/cell types to ESCs and cortical

neurons which had at least 3 samples (required for the correlation analyses) from the

Encode project (33) and GEO (35). To assess the expression correlation within cortical

neuron TADs we downloaded encode forebrain RNA-seq. The forebrain RNA-seq was

generated with two replicates each, of embryos of varying ages. Accession numbers:

ENCFF302TQO, ENCFF976OLT, ENCFF895JXR, ENCFF227HKF, ENCFF340XFQ, ENCFF484AOO,

ENCFF601JPN, ENCFF413BXV, ENCFF465SNB, ENCFF567AFL, ENCFF590FAC, ENCFF745ZJF,

ENCFF763GXJ, ENCFF804FTJ, ENCFF816CVP and ENCFF918QNL (33,34). Genes with an FPKM

value <1 were treated as having 0 expression. To assess the expression correlation within

ESC TADs we downloaded mouse ESCs differentiating to PGC RNA-seq data. The ESCs

differentiating to PGC RNA-seq data was generated with three replicates each of ESC,

epiblast like cells (day 2), PGC (day 4) and PGC (day 6) (36). Processed RNA-seq data was

downloaded from GEO accession GSE86903, data was provided as $\log_2(RPM)$ which we

converted to RPKM for analysis. Genes with an RPKM value <1 were treated as having 0

expression.

GO Semantic similarity:

The R package GOSemSim (54) was used to calculate GO semantic similarity scores. For each

pair of genes the GO terms assigned to them were compared using the Jiang method. If

genes were associated with multiple GO terms, scores were combined using "best match

average". Pairs of genes where one or both have no annotated GO terms were excluded

from the analysis as no score could be generated. We first calculated the similarity score

between all pairs of genes in the genome using each of the MF, Biological process (BP) or

Cellular component (CC) ontologies. We then plotted these scores for all autosomal genes,

autosomal genes minus olfactory genes, and autosomal genes minus olfactory genes and

paralogous pairs, against genomic distance in the real genome compared to the median

distance in 1000 random genomes. We found that, for scores calculated with BP and CC,

once olfactory genes and paralogous gene pairs have been removed there is no association

between GO similarity and distance. This suggests that similarity in these scores is driven by

paralogous pairs and the olfactory genes. We therefore moved forward using only MF in our

analysis (Supplementary figure 9).

Shared pathways

Kegg pathways were downloaded from org.Mm.eg.db (55). The Kegg pathway data is very

sparse and many genes do not have a pathway annotation. In order to account for this, the

amount of pairs of genes sharing at least one pathway annotation was considered as a

proportion of all pairs of genes with at least one pathway annotation each.

Shared protein-protein interactions (PPIs)

PPI data was downloaded for mm10 from string v11 (56). Only interactions with the mode

"binding" were selected so that only direct/physical interactions (rather than functional

interactions which may not require physical contact) were included. Similarly to pathways,

PPI data is very sparse and many genes are unannotated. Therefore, as with pathways the

amount of pairs of genes with a PPI was considered as a proportion of all pairs of genes with

at least one PPI annotation each.

Random permutation testing

For expression correlation, GO semantic similarity, proportion of gene pairs sharing a

pathway and proportion of genes pairs sharing a PPI we have plotted the functional score

against binned genomic distance in the real genome and compared it to the median value of

the functional score in 1000 random genomes. In these analyses for each bin, we have

established whether there is a significant difference between the functional score in the real

genome compared to the distribution of scores in 1000 random genomes using permutation

testing. For each bin this has been calculated as follows: sum(values in the random genome

≥ value in the real genome)/1000. P-values were then FDR corrected.

Effect size

Effect size, r, was calculated using the r package rcompanion. A positive effect size indicates

that the value associated with TADs is greater (than random TADs/random genome TADs)

whereas a negative effect size indicates that the value associated with TADs is lesser (than

random TADs/random genome TADs). The larger the value the larger the effect size.

LIST OF ABBREVIATIONS

CN- Cortical neurons

ESC- Embryonic stem cells

FPKM- Fragments Per Kilobase of transcript per Million mapped reads

GEO- Gene Expression Omnibus

GO- Gene ontology

MAPQ- MAPping Quality

MF- Molecular function

NPC- Neural progenitor cells

NS- Not significant

PGC- Primordial germ cell

PPI- Protein- protein interactions

RPKM- Reads Per Kilobase of transcript per Million mapped reads

TAD- Topologically associating domains

AVAILABILITY OF DATA AND MATERIALS

The processed datasets analysed in the current study will be made available upon

publication.

REFERENCES

1.   Rowley MJ, Corces VG. Organizational principles of 3D genome architecture. Nat Rev Genet

[Internet]. 2018 Dec 26 [cited 2019 Oct 28];19(12):789–800. Available from:

http://www.nature.com/articles/s41576-018-0060-8

2.   Dekker J, Rippe K, Dekker M, Kleckner N. Capturing chromosome conformation. Science

[Internet]. 2002 Feb 15 [cited 2018 Nov 23];295(5558):1306–11. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/11847345

3.   Lieberman-Aiden E, van Berkum NL, Williams L, Imakaev M, Ragoczy T, Telling A, et al.

Comprehensive mapping of long-range interactions reveals folding principles of the human

genome. Science [Internet]. 2009 Oct 9 [cited 2018 Feb 28];326(5950):289–93. Available

from: http://www.ncbi.nlm.nih.gov/pubmed/19815776

4.   Rao SSP, Huntley MH, Durand NC, Stamenova EK, Bochkov ID, Robinson JT, et al. A 3D map of

the human genome at kilobase resolution reveals principles of chromatin looping. Cell

[Internet]. 2014 Dec 18 [cited 2018 Aug 13];159(7):1665–80. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/25497547

5.   Hildebrand EM, Dekker J. Mechanisms and Functions of Chromosome Compartmentalization.

Vol. 45, Trends in Biochemical Sciences. Elsevier Ltd; 2020. p. 385–96.

6.   Dixon JR, Selvaraj S, Yue F, Kim A, Li Y, Shen Y, et al. Topological domains in mammalian

genomes identified by analysis of chromatin interactions. Nature [Internet]. 2012 Apr 11

[cited 2018 Feb 5];485(7398):376–80. Available from:

http://www.nature.com/doifinder/10.1038/nature11082

7.   Nuebler J, Fudenberg G, Imakaev M, Abdennur N, Mirny LA. Chromatin organization by an

interplay of loop extrusion and compartmental segregation. Proc Natl Acad Sci U S A. 2018 Jul

17;115(29):E6697–706.

8.   Mirny LA, Imakaev M, Abdennur N. Two major mechanisms of chromosome organization.

Curr Opin Cell Biol [Internet]. 2019 Jun 19 [cited 2019 Jul 8];58:142–52. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/31228682

9.   Schmitt AD, Hu M, Jung I, Xu Z, Qiu Y, Tan CL, et al. A Compendium of Chromatin Contact

Maps Reveals Spatially Active Regions in the Human Genome. Cell Rep [Internet]. 2016 Nov

15 [cited 2018 May 16];17(8):2042–59. Available from:

https://www.sciencedirect.com/science/article/pii/S2211124716314814?via%3Dihub#mmc1

10.   Beagan JA, Phillips-Cremins JE. On the existence and functionality of topologically associating

domains. Nat Genet [Internet]. 2020 Jan 10 [cited 2020 Jan 13]; Available from:

http://www.nature.com/articles/s41588-019-0561-1

11.   Dixon JR, Gorkin DU, Ren B. Chromatin Domains: The Unit of Chromosome Organization. Mol

Cell [Internet]. 2016 [cited 2018 Apr 16];62:668–80. Available from:

http://dx.doi.org/10.1016/j.molcel.2016.05.018

12.   Symmons O, Uslu VV, Tsujimura T, Ruf S, Nassari S, Schwarzer W, et al. Functional and

topological characteristics of mammalian regulatory domains. Genome Res [Internet]. 2014

Mar [cited 2018 Feb 5];24(3):390–400. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/24398455

13.   Franke M, Ibrahim DM, Andrey G, Schwarzer W, Heinrich V, Schöpflin R, et al. Formation of

new chromatin domains determines pathogenicity of genomic duplications. Nature

[Internet]. 2016 Oct 5 [cited 2018 Mar 7];538(7624):265–9. Available from:

http://www.nature.com/articles/nature19800

14.   Scacheri CA, Scacheri PC. Mutations in the noncoding genome. Curr Opin Pediatr [Internet].

2015 Dec [cited 2018 Mar 8];27(6):659–64. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/26382709

15.   Dixon JR, Jung I, Selvaraj S, Shen Y, Antosiewicz-Bourget JE, Lee AY, et al. Chromatin

architecture reorganization during stem cell differentiation. Nature [Internet]. 2015 Feb 19

[cited 2018 Nov 22];518(7539):331–6. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/25693564

16.   Nora EP, Lajoie BR, Schulz EG, Giorgetti L, Okamoto I, Servant N, et al. Spatial partitioning of

the regulatory landscape of the X-inactivation centre. Nature [Internet]. 2012 Apr 11 [cited

2018 Nov 23];485(7398):381–5. Available from:

http://www.nature.com/doifinder/10.1038/nature11049

17.   Flavahan WA, Drier Y, Liau BB, Gillespie SM, Venteicher AS, Stemmer-Rachamimov AO, et al.

Insulator dysfunction and oncogene activation in IDH mutant gliomas. Nature. 2016 Jan

7;529(7584):110–4.

18.   Tarbier M, Mackowiak SD, Frade J, Catuara-Solarz S, Biryukova I, Gelali E, et al. Nuclear gene

proximity and protein interactions shape transcript covariances in mammalian single cells.

bioRxiv. 2019 Sep 16;771402.

19.   Sarnataro S, Riba A, Molina N. Regulation of transcription reactivation dynamics exiting

mitosis. bioRxiv. 2020 Apr 16;2020.04.15.042853.

20.   Ruiz-Velasco M, Zaugg JB. Structure meets function: How chromatin organisation conveys

functionality. Curr Opin Syst Biol [Internet]. 2017 Feb 1 [cited 2019 Jan 10];1:129–36.

Available from:

https://www.sciencedirect.com/science/article/pii/S2452310017300173?dgcid=raven_sd_re

commender_email

21.   Neems DS, Garza-Gongora AG, Smith ED, Kosak ST. Topologically associated domains

enriched for lineage-specific genes reveal expression-dependent nuclear topologies during

myogenesis. Proc Natl Acad Sci [Internet]. 2016 Mar 22 [cited 2019 Jan 10];113(12):E1691–

700. Available from: https://www.pnas.org/content/113/12/E1691.long

22.   Hurst LD, Pál C, Lercher MJ. The evolutionary dynamics of eukaryotic gene order. Nat Rev

Genet [Internet]. 2004 Apr [cited 2019 Aug 23];5(4):299–310. Available from:

725   http://www.nature.com/articles/nrg1319

726 23. Thévenin A, Ein-Dor L, Ozery-Flato M, Shamir R. Functional gene groups are concentrated

727   within chromosomes, among chromosomes and in the nuclear space of the human genome.

728   Nucleic Acids Res [Internet]. 2014 Sep 2 [cited 2019 Apr 24];42(15):9854–61. Available from:

729   http://academic.oup.com/nar/article/42/15/9854/2435256/Functional-gene-groups-are-

730   concentrated-within

731 24. Bonev B, Mendelson Cohen N, Szabo Q, Fritsch L, Papadopoulos GL, Lubling Y, et al.

732   Multiscale 3D Genome Rewiring during Mouse Neural Development. Cell [Internet]. 2017 Oct

733   19 [cited 2018 Dec 7];171(3):557-572.e24. Available from:

734   http://www.ncbi.nlm.nih.gov/pubmed/29053968

735 25. Durand NC, Shamim MS, Machol I, Rao SSP, Huntley MH, Lander ES, et al. Juicer Provides a

736   One-Click System for Analyzing Loop-Resolution Hi-C Experiments. Cell Syst [Internet]. 2016

737   Jul 27 [cited 2018 Jul 30];3(1):95–8. Available from:

738   https://www.sciencedirect.com/science/article/pii/S2405471216302198?via%3Dihub

739 26. Shin H, Shi Y, Dai C, Tjong H, Gong K, Alber F, et al. TopDom: an efficient and deterministic

740   method for identifying topological domains in genomes. Nucleic Acids Res [Internet]. 2016

741   Apr 20 [cited 2018 Jun 21];44(7):e70–e70. Available from:

742   https://academic.oup.com/nar/article-lookup/doi/10.1093/nar/gkv1505

743 27. Sanborn AL, Rao SSP, Huang S-C, Durand NC, Huntley MH, Jewett AI, et al. Chromatin

744   extrusion explains key features of loop and domain formation in wild-type and engineered

745   genomes. Proc Natl Acad Sci U S A [Internet]. 2015 Nov 24 [cited 2018 Nov

746   28];112(47):E6456-65. Available from: http://www.ncbi.nlm.nih.gov/pubmed/26499245

747 28. Vietri Rudan M, Barrington C, Henderson S, Ernst C, Odom DT, Tanay A, et al. Comparative Hi-

748   C reveals that CTCF underlies evolution of chromosomal domain architecture. Cell Rep

[Internet]. 2015 Mar 3 [cited 2019 Jan 9];10(8):1297–309. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/25732821

29.   Stamboulian M, Guerrero RF, Hahn MW, Radivojac P. The ortholog conjecture revisited: The

value of orthologs and paralogs in function prediction. Bioinformatics [Internet]. 2020 [cited

2021 Apr 13];36(Suppl 1):I219–26. Available from: /pmc/articles/PMC7355290/

30.   Ibn-Salem J, Muro EM, Andrade-Navarro MA. Co-regulation of paralog genes in the three-

dimensional chromatin architecture. Nucleic Acids Res. 2017;45(1):81–91.

31.   Bartha I, Di Iulio J, Venter JC, Telenti A. Human gene essentiality. Nat Rev Genet [Internet].

2018 Oct 30 [cited 2020 Sep 24];19(1):51–62. Available from: www.nature.com/nrg

32.   Powell G, Simon M, Pulit S, Mallon A-M, Lindgren C. Tolerance of nonsynonymous variation is

closely correlated between human and mouse orthologues. bioRxiv [Internet]. 2019 Jun 3

[cited 2020 Sep 24];657981. Available from: https://doi.org/10.1101/657981

33.   Davis CA, Hitz BC, Sloan CA, Chan ET, Davidson JM, Gabdank I, et al. The Encyclopedia of DNA

elements (ENCODE): Data portal update. Nucleic Acids Res [Internet]. 2018 Jan 1 [cited 2020

Sep 25];46(D1):D794–801. Available from: https://pubmed.ncbi.nlm.nih.gov/29126249/

34.   He P, Williams BA, Trout D, Marinov GK, Amrhein H, Berghella L, et al. The changing mouse

embryo transcriptome at whole tissue and single-cell resolution. Nature. 2020 Jul

30;583(7818):760–7.

35.   Edgar R, Domrachev M, Lash AE. Gene Expression Omnibus: NCBI gene expression and

hybridization array data repository. Nucleic Acids Res [Internet]. 2002 Jan 1 [cited 2018 Nov

19];30(1):207–10. Available from: http://www.ncbi.nlm.nih.gov/pubmed/11752295

36.   von Meyenn F, Berrens R V., Andrews S, Santos F, Collier AJ, Krueger F, et al. Comparative

Principles of DNA Methylation Reprogramming during Human and Mouse In Vitro Primordial

Germ Cell Specification. Dev Cell [Internet]. 2016 Oct 10 [cited 2021 Apr 7];39(1):104–15.

Available from: https://pubmed.ncbi.nlm.nih.gov/27728778/

37.   Soler-Oliva ME, Guerrero-Martínez JA, Bachetti V, Reyes JC. Analysis of the relationship

between coexpression domains and chromatin 3D organization. PLoS Comput Biol. 2017 Sep

1;13(9).

38.   Muro EM, Ibn-Salem J, Andrade-Navarro MA. The distributions of protein coding genes within

chromatin domains in relation to human disease. Epigenetics and Chromatin [Internet]. 2019

Dec 5 [cited 2020 Mar 10];12(1):72. Available from:

https://epigeneticsandchromatin.biomedcentral.com/articles/10.1186/s13072-019-0317-2

39.   Phillips-Cremins JE, Sauria MEG, Sanyal A, Gerasimova TI, Lajoie BR, Bell JSK, et al.

Architectural Protein Subclasses Shape 3D Organization of Genomes during Lineage

Commitment. Cell [Internet]. 2013 Jun 6 [cited 2018 Feb 5];153(6):1281–95. Available from:

http://www.ncbi.nlm.nih.gov/pubmed/23706625

40.   Dali R, Blanchette M. A critical assessment of topologically associating domain prediction

tools. Nucleic Acids Res [Internet]. 2017 Apr 7 [cited 2018 May 4];45(6):2994–3005. Available

from: https://academic.oup.com/nar/article-lookup/doi/10.1093/nar/gkx145

41.   Forcato M, Nicoletti C, Pal K, Livi CM, Ferrari F, Bicciato S. Comparison of computational

methods for Hi-C data analysis. Nat Methods. 2017;14(7).

42.   Zufferey M, Tavernari D, Oricchio E, Ciriello G. Comparison of computational methods for the

identification of topologically associating domains. Genome Biol [Internet]. 2018 Dec 10

[cited 2018 Dec 12];19(1):217. Available from:

https://genomebiology.biomedcentral.com/articles/10.1186/s13059-018-1596-9

43.   Smedley D, Haider S, Ballester B, Holland R, London D, Thorisson G, et al. BioMart - Biological

queries made easy. BMC Genomics [Internet]. 2009 Jan 14 [cited 2020 Mar 10];10(1):22.

Available from: http://bmcgenomics.biomedcentral.com/articles/10.1186/1471-2164-10-22

44.   Quinlan AR, Hall IM. BEDTools: A flexible suite of utilities for comparing genomic features.

Bioinformatics [Internet]. 2010 Jan 28 [cited 2020 Sep 25];26(6):841–2. Available from:

/pmc/articles/PMC2832824/?report=abstract

45.   Chang LH, Ghosh S, Noordermeer D. TADs and Their Borders: Free Movement or Building a

Wall? Vol. 432, Journal of Molecular Biology. Academic Press; 2020. p. 643–52.

46.   Niimura Y. Evolutionary dynamics of olfactory receptor genes in chordates: interaction

between environments and genomic contents. Vol. 4, Human genomics. BioMed Central;

2009. p. 107–18.

47.   Carbon S, Ireland A, Mungall CJ, Shu S, Marshall B, Lewis S, et al. AmiGO: online access to

ontology and annotation data. Bioinforma Appl NOTE [Internet]. 2009 [cited 2020 Mar

10];25(2):288–9. Available from: http://www.geneontology.org

48.   The Gene Ontology Consortium. The Gene Ontology Resource: 20 years and still GOing

strong. Nucleic Acids Res [Internet]. 2018 Nov 5;47(D1):D330–8. Available from:

https://doi.org/10.1093/nar/gky1055

49.   Ashburner M, Ball CA, Blake JA, Botstein D, Butler H, Cherry JM, et al. Gene ontology: Tool for

the unification of biology. Vol. 25, Nature Genetics. NIH Public Access; 2000. p. 25–9.

50.   Dale RK, Pedersen BS, Quinlan AR. Pybedtools: A flexible Python library for manipulating

genomic datasets and annotations. Bioinformatics [Internet]. 2011 Dec [cited 2020 Sep

25];27(24):3423–4. Available from: https://pubmed.ncbi.nlm.nih.gov/21949271/

51.   Karolchik D, Hinricks AS, Furey TS, Roskin KM, Sugnet CW, Haussler D, et al. The UCSC table

browser data retrieval tool. Nucleic Acids Res [Internet]. 2004 Jan 1 [cited 2020 Sep

25];32(DATABASE ISS.). Available from: https://pubmed.ncbi.nlm.nih.gov/14681465/

52.   Keane TM, Goodstadt L, Danecek P, White MA, Wong K, Yalcin B, et al. Mouse genomic

variation and its effect on phenotypes and gene regulation. Nature [Internet]. 2011 Sep 15

821  [cited 2018 Dec 11];477(7364):289–94. Available from:

822  http://www.nature.com/articles/nature10413

823 53. Raudvere U, Kolberg L, Kuzmin I, Arak T, Adler P, Peterson H, et al. g:Profiler: a web server for

824  functional enrichment analysis and conversions of gene lists (2019 update). Nucleic Acids Res

825  [Internet]. 2019 May 8;47(W1):W191–8. Available from: https://doi.org/10.1093/nar/gkz369

826 54. Yu G, Li F, Qin Y, Bo X, Wu Y, Wang S. GOSemSim: an R package for measuring semantic

827  similarity among GO terms and gene products. Bioinformatics [Internet]. 2010 Apr 1 [cited

828  2019 Jul 17];26(7):976–8. Available from: https://academic.oup.com/bioinformatics/article-

829  lookup/doi/10.1093/bioinformatics/btq064

830 55. Carlson M. org.Mm.eg.db: Genome wide annotation for Mouse. R package version 3.5.0.

831  Bioconductor. 2017.

832 56. Szklarczyk D, Gable AL, Lyon D, Junge A, Wyder S, Huerta-Cepas J, et al. STRING v11: Protein-

833  protein association networks with increased coverage, supporting functional discovery in

834  genome-wide experimental datasets. Nucleic Acids Res. 2019 Jan 8;47(D1):D607–13.

836 DECLARATIONS

837 Ethics approval and consent to participate

838 Not applicable.

839 Consent for publication

840 Not applicable.

841 Competing interests

842 The authors declare they have no competing financial interests.

Funding

Research reported in this publication was supported by the Medical Research Council (MC_U142684171) and National Human Genome Research Institute of the National Institutes of health (UM1HG006370). The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

The research was also supported by the Wellcome Trust Core Award Grant Number 203141/Z/16/Z with additional support from the NIHR Oxford BRC. The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the Department of Health.

Authors' contributions

HSL and MMS conceived and directed the research. HSL carried out the majority of the analysis and wrote the manuscript. SG provided statistical insight. GP calculated the constraint scores. AMM, CML and MMS provided critical feedback and supervised this work. All authors approved the manuscript.

Acknowledgements

We would like to acknowledge the helpful feedback and comments given by Dr Christoffer Nellåker and Dr Saskia Reibe during the preparation of this manuscript.

Authors' information (optional)

Footnotes

Figure 1: Features of autosomal TADs in ESCs and cortical neurons. *A) The number of TADs called*

using Arrowhead and TopDom in ESCs and cortical neurons. Arrowhead calls fewer TADs than

TopDom in both cell types. More TADs are called in ESCs than cortical neurons with both TAD callers.

B) Size of TADs called using Arrowhead and TopDom in ESCs and cortical neurons (plotted on a log10

scale). Arrowhead calls larger TADs than TopDom in both cell types. Both Arrowhead and TopDom

call significantly smaller TADs in ESCs than cortical neurons (Wilcoxon test, p-value: p<0.001 = ***,

p<0.01 = **, p<0.05 = *, ES= Effect size calculated using r for Wilcoxon). C) The number of TADs per

chromosome is strongly correlated with the size of the chromosome. D) In both cell types and with

both TAD callers most TADs have few genes. Overall, there is a low correlation between TAD size and

gene number. Several TADs containing many genes were further investigated and found to contain

multiple members of large gene families (annotated).

Figure 2: Randomization and functional analysis procedure. *A: Schematic representing the*

structure of annotated TADs. B: Null dataset one: Random TADs. TADs were randomised within the

same chromosome by selecting regions of equal size to the original TAD which also contain the same

number of genes, thus controlling for the effect of the linear genome. C: Null dataset two: Random

genome TADs. In order to remove the effect of the linear genome another null TAD set was

generated in which the TADs remained in the same positions but the order of genes on the

chromosome were randomised. C: Pairwise strategy for comparing functional similarity between

genes in the same TAD. All possible pairs of genes in each TAD were compared.

Figure 3: Features of autosomal TADs vs random TADs. *A) TADs (black) vs an example set of random*

TADs (blue) shown on the Hi-C matrix for the equivalent region of Chr2 in both ESCs and cortical

neurons (CN). Matrices visualised using JuiceBox. B) Median distance between genes in TADs (dotted

line) vs the median distance between genes in 100 sets of random TADs (plotted on a log10 scale).

Genes are significantly closer together in random TADs than TADs (Wilcoxon test, Median p-value:

p<0.001 = ***, p<0.01 = **, p<0.05 = *, ES= Median effect size calculated using r for Wilcoxon). C)

Proportion of TADs with a CTCF binding site within ±10kb of both boundaries, one boundary or

neither boundary. As expected a greater proportion of TADs have a CTCF binding site near both

boundaries than in an example set of random TADs.

Figure 4: Paralogs and constraint vs autosomal TADs. *A) Proportion of paralogous gene pairs in*

TADs, the median proportion in 100 sets of random TADs, and the median proportion in 100 sets of

random genome TADs. TADs contain significantly more pairs of paralogous genes than both random

*TADs and random genome TADs (Fisher's exact test, Median p-value: p<0.001 = ***, p<0.01 = **,*

*p<0.05 = *). B) Size of TADs containing pairs of paralogs vs TADs (with >1 gene) containing no pairs of*

paralogs (plotted on a log10 scale). For both TAD callers and cell types, TADs which contain pairs of

*paralogs are larger than TADs which have no paralog pairs. (Wilcoxon test, p-value: p<0.001 = ***,*

*p<0.01 = **, p<0.05 = *, ES= Effect size calculated using r for Wilcoxon). C) Distribution of mean*

constraint scores of genes occupying the same TAD. TADs are split depending on the number of genes

they contain (1, 2, 3, 4, 5, 6+). Dots indicate the mean of the distribution. D) Table showing FDR

corrected p-values of differences between groups in C calculated with the Wilcoxon test. Significant

p-values are highlighted red. For TADs called using Arrowhead in both cell types, genes singly

occupying a TAD have a significantly higher constraint score than the average constraint of genes in

TADs with >1 gene. For TADs called using TopDom no significant difference is observed. E) Biological

processes GO term functional enrichment of genes singly, doubly or triply occupying an Arrowhead

TAD. Only the top 25 most significant GO terms passing a p-value threshold of < 0.05 (multiple

testing corrected using the "gSCS" option) are shown.

927 *Figure 5: Pairwise gene co-expression in autosomal CTCF TADs. Olfactory genes and paralogous*

gene pairs have been excluded in all panels. A-B) Top Panel: Median expression correlation

coefficient (spearman) for pairs of genes vs binned distance in the real genome and 1000 random

genomes. Bottom Panel: Stars indicate bins with a significantly higher median expression correlation

in the real genome vs 1000 random genomes (FDR corrected p-value<0.05). Jitter has been applied

on the y axis to allow clearer visualization of close together points. A) Expression correlation

coefficients were calculated using RNA-seq from two replicates each of ESC, neural progenitor cells

(NPC) and cortical neuron cells. B) Expression correlation coefficients were generated using mouse

ESCs differentiating to primordial germ like cells (PGC) and forebrain RNA-seq from encode. The

mouse ESCs differentiating to PGC RNA-seq was generated with three replicates each of ESCs,

epiblast like cells (day 2), PGC (day 4) and PGC (day 6). The forebrain RNA-seq was generated with

two replicates each, of embryos of varying ages. C-D) Median expression correlation coefficient

(spearman) for pairs of genes in CTCF TADs (dotted line) and median expression correlation

coefficient (spearman) in 100 sets each of: random CTCF TADs and random genome CTCF TADs. CTCF

TADs called using both Arrowhead and TopDom, in both ESCs and cortical neurons (CN) Hi-C

(Wilcoxon test, Median p-value: p<0.001 = ***, p<0.01 = **, p<0.05 = *, NS= not significant, Median

ES= Effect size calculated using r for Wilcoxon). C) Expression correlation coefficients were calculated

using RNA-seq from A. D) Expression correlation coefficients were calculated using RNA-seq from B.

Figure 6: Functional similarity of pairs of genes in autosomal CTCF TADs. *Olfactory genes and*

paralogous gene pairs have been excluded in all panels. A-C) Top Panel: Median GO semantic

similarity, number of shared pathways or number of shared PPI for pairs of genes vs binned distance

in the genome and 1000 random genomes. Bottom Panel: Stars indicate bins with a significantly

higher functional similarity in the genome vs 1000 random genomes (FDR corrected p-value<0.05). D-

F) TADs called using both Arrowhead and TopDom; in both ESC and cortical neuron Hi-C. Median P-

*value: p<0.001 = ***, p<0.01 = **, p<0.05 = *, NS= not significant. A) Distribution of MF GO semantic*

similarity for pairs of genes binned by distance in the genome vs 1000 random genomes. B)

Distribution of the number of pairs of genes sharing ≥ 1 pathway binned by distance in the genome vs

1000 random genomes. C) Distribution of the number of pairs of genes sharing ≥ 1 PPI binned by

distance in the genome vs 1000 random genomes. D) Median MF GO semantic similarity for pairs of

genes in CTCF TADs (dotted line) compared to the distributions of median MF semantic similarity for

100 sets each of: random CTCF TADs and random genome CTCF TADs (Wilcoxon test, Median p-value,

Median ES= Effect size calculated using r). E) Proportion of pairs of genes sharing ≥ 1 pathway in

TADs and the median proportion of pairs of genes sharing ≥ 1 pathway in 100 sets each of: random

CTCF TADs and random genome CTCF TADs (Fisher's exact test, Median p-value. F) Proportion of

pairs of genes sharing ≥ 1 PPI in TADs and the median proportion of pairs of genes sharing ≥ 1 PPI in

100 sets each of: random CTCF TADs and random genome CTCF TADs (Fisher's exact test, Median p-

value).