

1 **Herpes simplex virus entry by a non-conventional endocytic**  
2 **pathway**

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4 **Giulia Tebaldi, Suzanne M. Pritchard, and Anthony V. Nicola<sup>1</sup>**

5 Department of Veterinary Microbiology and Pathology, College of Veterinary Medicine,

6 Washington State University, Pullman, WA, USA

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8 Running title: HSV ENTRY AND Rab GTPases

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11 <sup>1</sup>Correspondence: [anthony.nicola@wsu.edu](mailto:anthony.nicola@wsu.edu)

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40 **ABSTRACT**

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42 Herpes simplex virus 1 (HSV-1) causes significant morbidity and mortality in humans  
43 worldwide. HSV-1 enters epithelial cells via an endocytosis mechanism that is low pH-  
44 dependent. However, the precise intracellular pathway has not been identified, including the  
45 compartment where fusion occurs. In this study, we utilized a combination of molecular and  
46 pharmacological approaches to better characterize HSV entry by endocytosis. HSV-1 entry was  
47 unaltered in both cells treated with siRNA to Rab5 or Rab7 and cells expressing dominant-  
48 negative forms of these GTPases, suggesting entry is independent of the conventional endo-  
49 lysosomal network. The fungal metabolite brefeldin A (BFA) and the quinoline compound  
50 Golgicide A (GCA) inhibited HSV-1 entry via beta-galactosidase reporter assay and impaired  
51 incoming virus transport to the nuclear periphery, suggesting a role for trans Golgi network  
52 (TGN) functions and retrograde transport in HSV entry. Silencing of Rab9 or Rab11 GTPases,  
53 which are involved in the retrograde transport pathway, resulted in only a slight reduction in  
54 HSV infection. Together these results suggest that HSV enters host cells by an intracellular route  
55 independent of the lysosome-terminal endocytic pathway.

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64 **IMPORTANCE**

65 HSV-1, the prototype alphaherpesvirus, is ubiquitous in the human population and causes  
66 lifelong infection that can be fatal in neonatal and immunocompromised individuals. HSV enters  
67 many cell types by endocytosis, including epithelial cells, the site of primary infection in the  
68 host. The intracellular itinerary for HSV entry remains unclear. We probed the potential  
69 involvement of several Rab GTPases in HSV-1 entry, and suggest that endocytic entry of HSV-1  
70 is independent of the canonical lysosome-terminal pathway. A non-traditional endocytic route  
71 may be employed, such as one that intersects with the TGN. These results may lead to novel  
72 targets for intervention.

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74 **KEYWORDS**

75 herpes simplex virus 1, viral entry, Rab GTPases, endocytosis, TGN, retrograde transport.

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## 93 INTRODUCTION

94 Herpes simplex viruses (HSVs) are ubiquitous human pathogens that cause lifelong latent  
95 infections and significant morbidity and mortality all around the world. In immunocompetent  
96 patients HSV can cause cold sores and genital infections. Serious outcomes include blindness  
97 and fatal neonatal infections. In immune-compromised persons, HSV infects diverse organ  
98 systems including the respiratory and gastrointestinal tracts and the central nervous system (1-3).  
99 HSV-1 is internalized by endocytosis into epithelial cells, the site of lytic replication, by a  
100 multistep process that requires low pH. HSV enters some cells, including human neurons, by a  
101 pH-independent penetration at the plasma membrane (4-9). HSV entry is quite rapid.  
102 Immediately after infection, enveloped viral particles are detected in smooth-walled vesicles  
103 adjacent to the host cell plasma membrane (10). Treatment of cells with either hypertonic (0.3 M  
104 sucrose) medium or with medium that inhibits host cell ATP synthesis specifically blocks both  
105 receptor-mediated endocytosis and HSV entry into CHO-receptor and epithelial cells (4).  
106 Importantly, these treatments do not interfere with non-endocytic entry into cells that support  
107 fusion of herpesvirions with the cell surface (4, 11, 12). The enveloped virion traffics through the  
108 host cell vesicular system until it arrives in a compartment of the appropriate low pH, triggering  
109 membrane fusion and penetration of the capsid into the cytosol (9, 13, 14). Macropinocytosis-  
110 like and phagocytosis-like processes have been implicated (4-6, 10, 15-18). However, the precise  
111 intra-vesicular route taken and its regulation remains unclear.

112 Most animal viruses enter cells by endocytosis, and endosomal low pH is the most  
113 common cellular trigger of enveloped virus fusion (19). Inhibitors of vesicle acidification block  
114 HSV entry at an early, post-binding step (4, 10). Endosomes are the first acidic compartments in

115 the endocytic pathway (20). Vesicular pH gradually decreases from 6.2 to approximately 5.0 as  
116 cargo moves from the early endosome (EE) to the late endosome (LE) and finally to the  
117 lysosome (9, 10, 21). The endo-lysosomal system represents a complex and highly dynamic  
118 network of interacting and interconnected compartments, which are critical for host cell  
119 homeostasis (22). This lysosome-terminal endocytic pathway is a very common route for viruses  
120 entering cells via endocytosis (23-26). When cells either lack a required cellular gD-binding  
121 receptor for entry or the virus itself is entry-defective, HSV-1 is degraded, presumably in  
122 lysosomes (4, 10, 27). We theorized that HSV transits the common lysosome-terminal endocytic  
123 pathway during viral entry.

124 Endocytic trafficking is finely regulated by a large family of small Rab GTPase enzymes.  
125 Rab (Ras-related protein in brain) (28) proteins are master regulators of intracellular vesicle  
126 transport events, including vesicle formation, actin- and tubulin-dependent vesicle movement,  
127 and the interconnection of endosomal and autophagy pathways (29-32). Many pathogens hijack  
128 Rab GTPase functions upon invasion (28, 33-36). Rab proteins are involved in several stages of  
129 the viral replication cycle, including entry via endocytosis, viral assembly and egress, and viral  
130 glycoprotein trafficking (37). Rab5 is located at the cytoplasmic surface of the plasma membrane  
131 and on early endosomes. Thus, it is involved in the formation of clathrin-coated vesicles (CCVs),  
132 selectively regulating the transport of newly endocytosed vesicles from the plasma membrane to  
133 early endosomes. Rab5 mediates the homotypic fusion between early endosomes (38-40). Rab7  
134 is a late endosome-/lysosome-associated small GTPase, the only lysosomal Rab protein  
135 identified to date. It regulates the transport from EE to LE and LE to lysosomes. Rab7 also plays  
136 an important role in autophagy (41-45). Rab9 is located on the late endosome, and it mediates  
137 late endosome-to-trans-Golgi network transport (46, 47). Rab11 is associated with the trans-

138 Golgi network (TGN), post-Golgi vesicles, and recycling compartments. It regulates transport  
139 along the recycling pathway, from recycling endosomes to the cell surface, and the retrograde  
140 transport from the perinuclear endocytic recycling compartment (ERC) to the TGN (41, 48-51).

141 Here, a combination of molecular and pharmacological approaches was used to better  
142 characterize the mechanism of HSV-1 entry, including the intracellular pathway of incoming  
143 HSV. Specifically, we used siRNAs and dominant-negative mutants to investigate the  
144 involvement of Rab GTPases in HSV-1 endocytosis. Neither Rab5 nor Rab7 played a major role  
145 in HSV-1 endosomal trafficking. Rab9 and Rab11 may play a slight role. Inhibitor results  
146 suggest that retrograde transport and TGN function may play important roles during HSV entry  
147 by endocytosis.

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## 149 **RESULTS AND DISCUSSION**

### 150 **Knockdown of Rab5 or Rab7 does not inhibit HSV infectivity**

151 HSV enters cells by an endocytic pathway that is incompletely characterized. Rab GTPases are  
152 central to the conventional lysosome-terminal endocytosis pathway that is utilized by a multitude  
153 of cargoes, including entering viruses. Rab5 selectively regulates the transport of newly  
154 endocytosed cargo from the plasma membrane to the EE. Rab7 is critical for EE to LE traffic  
155 (39, 42). Chinese hamster ovary (CHO) cells expressing a gD-receptor such as herpesvirus entry  
156 mediator (HVEM), are well-characterized model cell types for HSV entry by endocytosis (10,  
157 52, 53). CHO-HVEM cells were transfected with siRNA sequences targeting Rab5 or Rab7, or  
158 with a scrambled siRNA sequence. Downregulation was verified by Western blot analysis (Fig.  
159 1A). As determined by densitometry, host Rab5 and Rab7 were reduced by 60 and 93%,  
160 respectively. Rab downregulation was further confirmed by microscopic analysis of fluorescent

161 transferrin or LysoTracker in siRNA transfected cells. Knockdown of Rab5 or Rab7 altered the  
162 subcellular distribution of transferrin and LysoTracker in CHO-HVEM cells (Fig. 2A-B).

163 First, the effect of siRNAs was tested on the entry of a control virus, vesicular stomatitis  
164 virus (VSV). Endocytic entry of VSV is Rab5-dependent and has also been reported as Rab7-  
165 independent (37, 54). The siRNA-transfected cells were infected with VSV Indiana strain for 6  
166 hr (MOI of 0.5). Infectivity was quantitated by indirect immunofluorescence microscopy. As  
167 expected, VSV infection of CHO-HVEM cells was inhibited by siRNA to Rab5 (Fig. 1B).  
168 Conversely, VSV infection was not significantly inhibited by siRNA to Rab7 (Fig. 1B). These  
169 results validate the transfection protocol for down-regulating the production of target proteins in  
170 CHO-HVEM cells. Down-regulation of Rab5 resulted in inhibition of infection by a virus that  
171 traverses a Rab-5-dependent entry pathway. To address whether HSV entry requires the  
172 conventional, lysosome-terminal endosomal pathway, Rab5 or Rab7 was knocked down in  
173 CHO-HVEM cells and HSV KOS infectivity at 6 hr p.i. was quantitated. Neither Rab5 nor Rab7  
174 silencing resulted in a significant decrease in HSV-1 infection (Fig. 1C).

175 To assess further the roles of Rab5 and Rab7 in HSV entry and infection, CHO-HVEM  
176 cells were stably transfected with dominant-negative (DN) Rab5 S34N or Rab7 T22N. As  
177 expected, VSV infection of Rab5 S34N-expressing cells was reduced relative to control cells,  
178 while infection of Rab7 T22N-expressing cells was less affected (Fig. 1D). HSV-1 entry into  
179 CHO-HVEM cells, as measured by the reporter assay, was not inhibited by the expression of DN  
180 forms of Rab5 or Rab7 (Fig. 1E). Together, the results in Figure 1 suggest that Rab5 and Rab7  
181 GTPases do not play a major role in HSV entry and that virus-cell fusion may occur in an  
182 intracellular compartment that is distinct from the conventional endosomal route. The majority of  
183 viruses that enter cells via endocytosis use the lysosome-terminal endocytic pathway; however,

184 several viruses have evolved different and sophisticated mechanisms to hijack other aspects of  
185 the host endocytic network (23). Lymphocytic choriomeningitis (LCMV) enters cells via LE  
186 bypassing the EE (55). Mouse polyomavirus (PyV) travels from the EE to the recycling  
187 endosome and then to the ER (56). Papillomavirus takes a retrograde transport pathway to the  
188 TGN (57-59).

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### 190 **Inhibitors of TGN function impair HSV entry**

191 To probe further the involvement of mildly acidic compartments in HSV entry, in the context of  
192 a non-conventional vesicular pathway, we utilized pharmacologic inhibitors of the trans-Golgi  
193 network, which has a pH of ~ 6 (60, 61). The pH of the CHO cell TGN is 5.95 (62), which is  
194 consistent with the pH that induces conformational change in HSV-1 gB (13, 63-66). Brefeldin A  
195 (BFA) is a small hydrophobic compound produced by toxic fungi (67). It triggers the absorption  
196 of the cis/medial/trans portion of the Golgi apparatus (68) into the ER through inhibition of the  
197 cis-Golgi ArfGEF (guanine nucleotide exchange factor) (GBF1). GBF1 is responsible for  
198 maintaining Golgi structure and enabling anterograde and retrograde traffic through the Golgi  
199 and TGN (69). BFA's effects are not limited to the Golgi. BFA also promotes the tubulation of  
200 early endosomes, the lysosome and TGN (67) whose components redistribute with the recycling  
201 endosomal system (67, 70, 71). Golgicide A (GCA) is a potent and highly specific inhibitor of  
202 GBF1. Inhibition of GBF1 function arrests the retrograde transport of Shiga toxin from EE/RE to  
203 the TGN (69, 72).

204 The effect of BFA and GCA on HSV-1 entry was measured by a beta-galactosidase  
205 reporter assay. CHO-HVEM cells harbor the *lacZ* gene under an HSV-inducible promoter. BFA  
206 and GCA inhibited HSV-1 entry into CHO-HVEM cells in a concentration-dependent manner by



207 as much as 46% and 79%, respectively (Fig. 3A, B). To extend these results, we investigated the  
208 role of the TGN in delivery of incoming HSV-1 K26GFP capsids to the nucleus during entry  
209 (Fig. 3C). In HSV-infected CHO-HVEM cells, the bulk of the GFP signal is detected at or near  
210 the nucleus by 2.5 h p.i (Fig. 3C). In the continued presence of BFA or GCA, GFP-tagged  
211 capsids were not effectively transported to the nuclear periphery (Fig. 3C). Instead, the bulk of  
212 GFP-tagged viral particles appeared to be trapped at sites distinct from the nucleus. These results  
213 were obtained in the presence of the protein synthesis inhibitor cycloheximide to ensure that GFP  
214 signal was from input virions only. This also suggests that newly synthesized viral factors do not  
215 affect the TGN-dependence of entry. Together, the results suggest that the TGN and the  
216 retrograde transport pathway play an important role during HSV entry by endocytosis.  
217 Nonenveloped viruses such as simian virus 40 (SV40), human polyomaviruses (73), adeno-  
218 associated virus (74, 75), and human papillomavirus (HPV) (57-59), take advantage of the  
219 retrograde transport route during entry. HPV entry is inhibited by BFA at a post-fusion step (76).  
220 The possibility remains that BFA inhibits a post-fusion step in HSV entry as well.

221

### 222 **Role of Rab9 and Rab11 in HSV-1 entry**

223 Since retrograde transport and/or the TGN play a potential role in endocytic entry of HSV, we  
224 investigated Rab GTPases that control retrograde transport to the TGN. Rab9 mediates  
225 retrograde transport from the late endosome to the TGN (46, 47), and Rab11 controls retrograde  
226 transport from early/recycling endosomes-to-TGN (EE/RE-to-TGN) (50, 72). Other viruses take  
227 advantage of the recycling (45, 77) and TGN (57, 78) compartments. To test the roles of Rab9 or  
228 Rab11 in HSV entry, CHO-HVEM cells were treated with appropriate siRNAs. Knockdown of  
229 Rab9 or Rab11 was confirmed by Western blot (Fig. 4A). As determined by densitometry, Rab9

230 and Rab11 were reduced by 75 and 83%, respectively. As further confirmation, in Rab9 or  
231 Rab11-depleted cells, fluorescently labelled LysoTracker or transferrin, respectively was  
232 detected in enlarged vesicles relative to control cells (Fig. 2A-B). HSV-1 KOS was added to  
233 cells (MOI of 3) and infectivity was measured by indirect immunofluorescence at 6 hr p.i. (Fig.  
234 4B). Silencing of Rab9 or Rab11 resulted in a slight decrease in HSV-1 infectivity (Fig. 4B).  
235 Under the conditions tested, the results suggest that these host cell proteins may play a small role  
236 in HSV entry. There is also likely significant entry that occurs independent of Rab9 and Rab11  
237 functions. A TGN role in HSV entry that is independent of these Rab GTPases is also possible.

238 A small molecule inhibitor was tested to probe further the role of retrograde trafficking in  
239 HSV entry. Retro-2 blocks retrograde traffic from EE to the TGN, and consequently inhibits  
240 cytosolic uptake of Shiga and ricin toxins (58, 79-82). Micromolar concentrations of retro-2  
241 inhibits entry of human papillomavirus 16, BK virus, JC virus, and SV40, and transduction by  
242 adeno-associated virus serotype 2, all of which rely on retrograde transport mechanisms (58, 73,  
243 75). Retro-2 treatment of CHO-HVEM cells had no inhibitory effect on HSV-1 entry as  
244 measured by the beta-galactosidase reporter assay (Fig. 5A). As a control for retro-2 activity, the  
245 effect on plaque formation was assessed. Retro 2.1, a derivative of retro-2, inhibits HSV-2  
246 plaque formation on Vero cells, likely at the stage of assembly or egress (83). Retro-2 was  
247 effective at inhibiting HSV-1 infectivity as measured by plaque formation (Fig. 5B), suggesting  
248 that retro-2 can exhibit inhibitory activity in our hands. Retro-2 inhibits the wrapping and egress  
249 of vaccinia virus particles, suggesting that the retrograde pathway is important for these steps of  
250 the vaccinia replication cycle (84). HSV entry is insensitive to retro-2 yet sensitive to inhibition  
251 by both BFA and GCA. This suggests that HSV entry is distinct from Shiga toxin trafficking,  
252 although it may share overlapping features.

253           The retrograde transport system is complex and selective. It relies on numerous tethering  
254 factors, small GTPases, and SNARES (85, 86). Other Rab proteins like Rab 6a, Rab 6IP, Rab 30  
255 and the Rab 7b isoform mediate retrograde traffic from the endocytic compartment to the TGN  
256 (59, 87). The overlapping and varied functions of distinct isoforms of the same Rab protein (88)  
257 reflects the complexity of processes in which these proteins are involved (28, 89, 90). Notably,  
258 retrograde trafficking occurs from different endocytic compartments (EE, RE, LE) to the TGN  
259 and to the Golgi apparatus. There is a rapidly expanding network of proteins and processes  
260 involved in this interplay (91). The retromer, a conserved cytoplasmic protein complex, plays a  
261 central role in retrograde transport from endosome-to-Golgi, as well as from endosome-to-  
262 plasma membrane (57, 92, 93). HPV16 directly exploits the retromer at the early or late  
263 endosome and traffics to the TGN/Golgi via the retrograde pathway during cell entry (58). In  
264 contrast to HSV-1 (Fig. 5A), HPV16 entry is blocked by retro-2 in a concentration-dependent  
265 manner.

266           Overall this study suggests that HSV-1 entry by endocytosis is independent of the Rab  
267 GTPases that govern the conventional EE to LE to lysosome pathway. TGN function might be  
268 involved during HSV-1 low pH endocytosis entry in epithelial cells. It will be important to  
269 confirm the present results in more physiologically relevant cell types such as primary human  
270 keratinocytes. GCA, which blocks retrograde trafficking to the TGN, reduced HSV-1 infection  
271 of CHO-receptor cells by ~ 79%. Further research is necessary to define the GCA- and BFA-  
272 sensitive nature of HSV entry. Importantly, alternate endocytic entry pathways may also  
273 function, even in the same cell type. The results reported here provide context for further  
274 characterization of HSV-1 entry by endocytosis and set the stage for identification of the  
275 intracellular site of membrane fusion.

276

## 277 **MATERIALS AND METHODS**

### 278 **Cells**

279 CHO-HVEM (M1A) cells (94), provided by R. Eisenberg and G. Cohen, University of  
280 Pennsylvania, are stably transformed with the human HVEM (or HveA) gene and contain the  
281 *Escherichia coli lacZ* gene under the control of the HSV-1 ICP4 gene promoter. CHO-HVEM  
282 cells were propagated in Ham's F12 nutrient mixture (Gibco/Life Technologies) supplemented  
283 with 10% FBS, 150 µg of puromycin (Sigma-Aldrich, St. Louis, MO, USA)/ml, and 250 µg of  
284 G418 sulfate (Thermo Fisher Scientific, Fair Lawn, NJ, USA)/ml. Cells were sub-cultured in  
285 nonselective medium prior to use in all experiments. Vero cells (American Type Culture  
286 Collection, Manassas, VA) were propagated in Dulbecco's modified Eagle's medium (DMEM)  
287 (ThermoFisher Scientific, Grand Island, NY) supplemented with 10% fetal bovine serum  
288 (Atlanta Biologicals, Atlanta, GA), respectively. Baby hamster kidney (BHK) cells were  
289 propagated in DMEM high nutrient mixture (Gibco/Life Technologies) supplemented with 5%  
290 fetal bovine serum.

291

### 292 **Viruses**

293 HSV-1 strain KOS (95) (provided by Priscilla Schaffer, Harvard Medical School) was  
294 propagated and titered on Vero cells. Vesicular stomatitis virus (VSV) Indiana strain, provided  
295 by Douglas Lyles, Wake Forest University, was titered on BHK cells and CHO-HVEM cells.  
296 HSV-1 KOS K26 GFP contains green fluorescent protein (GFP) fused to the N-terminus of the  
297 VP26 capsid protein (96) (provided by Prashant Desai, Johns Hopkins University). It was  
298 propagated and titrated on Vero cells.

299

### 300 **Transient transfection**

301 CHO-HVEM cells were grown in 24-well plates to 70% confluence. Culture medium was  
302 removed, and the cells were transfected with siCtrl (sc-37007), siRab5A (sc-36344), siRab7A  
303 (sc-29460), siRab9A (sc-41831) or siRab11A (sc-36341) (Santa Cruz Biotechnology) using  
304 Lipo3000 transfection reagent (Thermo Fisher). Briefly, 60 pmol of siRNA was mixed with 1.5  
305  $\mu$ l Lipo3000 in 50  $\mu$ l of serum-free OPTIMEM (Gibco Life Technologies) for 15 min at room  
306 temperature. Serum-free OPTIMEM medium was added to a final volume of 250  $\mu$ l, and the  
307 transfection reaction was added to cells for 5 h at 37°C. The transfection mixture was replaced  
308 with medium supplemented with 10% FBS, and cultures were incubated for 24 or 48 h at 37°C.

309

### 310 **SDS-PAGE and Western blotting**

311 At 24 or 48 h post-transfection, protein cell extracts were prepared by RIPA lysis buffer (50 mM  
312 Tris-HCl, EDTA 2 mM, 150 mM NaCl, and 1% NP-40; pH 8) supplemented with cOmplete,  
313 Mini, EDTA-free Protease Inhibitor Cocktail (Roche). Total protein concentration was calculated  
314 with the BCA Protein Assay Kit (Pierce). Cell lysates were separated by SDS-PAGE on 4-20%  
315 Tris-glycine gels (Novex). Following transfer to nitrocellulose by electroblotting, membranes  
316 were blocked and incubated with antibodies to  $\alpha$ -Tubulin (Sigma T9026), Rab5 (Abcam  
317 ab18211), Rab7 (Abcam ab137029), Rab9 (Invitrogen MA3-067), or Rab11 (Invitrogen 71-  
318 5300). Secondary antibody conjugated with horseradish peroxidase (Sigma) was added, followed  
319 by enhanced chemiluminescence substrate (SuperSignal West Dura Extended Duration, Thermo  
320 Scientific).

321

322 **HSV infectivity measured by immunofluorescence microscopy**

323 At 24 or 48 h post-transfection, HSV-1 KOS (MOI of 3) or VSV (MOI of 0.5) was added to cell  
324 monolayers grown on glass coverslips in 24-well culture dishes. At 6 h p.i., cultures were  
325 washed with PBS, fixed in ice-cold methanol and blocked with 1% BSA in PBS. Anti-ICP4  
326 MAb H1A021 or monoclonal Ab to VSV glycoprotein G (P5D4, Sigma) was added for 1 h  
327 followed by Alexa Fluor 488-labeled goat anti-mouse IgG (Invitrogen) for 30 min. Nuclei were  
328 counterstained with 5 ng/ml of DAPI. Coverslips were mounted on slides with Fluoromount G  
329 and visualized with a Leica DMI8 Fluorescence microscope at 10X magnification. Cells were  
330 counted with ImageJ. Three independent experiments were performed with three replicates per  
331 experiment.

332

333 **Cells transfected with dominant-negative Rab GTPase plasmids**

334 Dominant-negative Rab5 (S34N) or Rab7 (T22N) or mock plasmids expressing the sequence of  
335 interest and Turbo RFP (Red Fluorescent Protein) gene under control of elongation factor, and  
336 the hygromycin-resistance gene under control of a mouse phosphoglycerate kinase 1 promoter  
337 were synthesized and sequenced by VectorBuilder (Chicago, Illinois). CHO-HVEM cells in a 25  
338 cm<sup>2</sup> flask were grown to 70% confluence and transfected with 7.5 µg of DNA using the  
339 Lipofectamine 3000 Transfection Kit (Invitrogen) according to the manufacturer's instructions.  
340 At 5 h post-transfection, the transfection mixture was replaced with complete F12 medium. At 24  
341 h post-transfection, medium was replaced with complete F12 supplemented with 500 µg/ml  
342 Hygromycin B (Invitrogen). When cells in a control untransfected flask were killed by  
343 Hygromycin B (~72 h), the transfected cells were subcultured in selection medium. The stably  
344 transfected cells were passaged > 6 times under constant selection prior to use in viral entry  
345 experiments. For experiments, transfected or mock-transfected CHO-HVEM cells in

346 Hygromycin B were plated and kept under Hygromycin selection until attachment. Following  
347 cell attachment, selective medium was removed and replaced with complete medium for 24 h.

348

#### 349 **VSV plaque assay**

350 Transfected cells in Hygromycin B were added to 6-well plates. Following cell attachment,  
351 selective medium was removed and replaced with complete medium. After 24 h, VSV was added  
352 and titered by limiting dilution. At 1 h p.i., the inoculum was removed and replaced with warm 2  
353 ml 2% carboxymethyl cellulose in F12 medium with 2% FBS (CMC). At 48 h p.i., 2 ml of 10%  
354 formalin was layered onto the CMC for 2 h at room temperature. The overlay was removed, and  
355 fixed cells were washed with water. Crystal violet was added for 30 min at room temperature.  
356 Cells were rinsed with water. Plaques were visualized with a Leica stereoscope.

357

#### 358 **Beta-galactosidase reporter assay of HSV-1 entry**

359 HSV-1 KOS was added (MOI ~ 1) in the continued presence of drugs. At 6 hr p.i., 0.5%  
360 IGEPAL (Sigma–Aldrich) was added to lyse the cells. Chlorophenol red-beta-D-  
361 galactopyranoside (Roche Diagnostics, Indianapolis, IN) substrate was added to cell lysates, and  
362 the beta-galactosidase activity was read at 595 nm with an ELx808 microtiter plate reader  
363 (BioTek Instruments, Winooski, VT, United States). Beta-galactosidase activity indicates  
364 successful viral entry (97).

365

#### 366 **Transferrin and LysoTracker uptake assays**

367 CHO-HVEM cells were grown in 24-well plates to 70% confluence and then transfected with  
368 siRab5A, siRab7A, siRab9A, or siRab11A as described above. For transferrin uptake, at 24 h

369 post-transfection, cultures were washed twice and replenished with serum-free F12 medium for  
370 80 min total at 37°C replacing the medium every 40 min. Cultures were incubated on ice at 4°C  
371 for 40 min. Transferrin Texas Red Conjugate (100 µg/ml) (Invitrogen T2875) was added to the  
372 wells for 50 min at 4°C. Cells were washed to remove unbound transferrin, and then serum-  
373 containing F12 medium was added at 37°C for 10 min. For LysoTracker uptake, at 24 h post-  
374 transfection, 100 nM LysoTracker Red DND-99 (Invitrogen L7528) in complete F12 medium  
375 was added for 30 min at 37°C. Cultures were washed twice with PBS and fixed with 3%  
376 paraformaldehyde–PBS at 37°C for 10 min. Fixed cells were washed twice with PBS, quenched  
377 with 50 mM NH<sub>4</sub>Cl at room temperature for 10 min, and then permeabilized with 0.1% Triton X-  
378 100 in PBS for 10 min. Nuclei were counterstained with 5 ng/ml of 4,6–diamidino-2-  
379 phenylindole dihydrochloride (DAPI; Roche). Coverslips were mounted on slides with  
380 Fluoromount G (Electron Microscopy Sciences, Hatfield, PA) and visualized with a Leica DMi8  
381 fluorescence microscope at 100X magnification.

382

### 383 **Effect of Golgi inhibitors on HSV-1 entry**

384 Confluent cell monolayers grown in 96-well plates were pretreated with a range of  
385 concentrations of brefeldin A (BFA, EMD Millipore CAS 20350-15-6) or Golgicide A (GCA,  
386 EMD Millipore CAS 1139889-93-2) for 20 min or with retro-2 (Sigma CAS 1429192-00-6) for 4  
387 h at 37°C. Control samples were treated with vehicle DMSO. HSV-1 KOS was added and  
388 method for beta-galactosidase reporter assay was followed.

389

### 390 **Subcellular localization of entering GFP-tagged HSV**



391 Sub-confluent CHO-HVEM cell monolayers grown on coverslips in 24-well plates were treated  
392 with 2 µg/ml BFA, 10 µM GCA or 50 mM NH<sub>4</sub>Cl in the presence of 0.5 mM cycloheximide for  
393 20 min at 37°C. HSV-1 K26GFP (MOI of 100) was added for 2.5 h in the continued presence of  
394 agents. Cultures were washed twice with PBS and fixed with 3% paraformaldehyde–PBS at  
395 37°C for 10 min. Fixed cells were washed twice with PBS, quenched with 50 mM NH<sub>4</sub>Cl at  
396 room temperature for 10 min, and then permeabilized with 0.1% Triton X-100 in PBS for 10  
397 min. Nuclei were counterstained with 5 ng/ml of 4,6–diamidino-2-phenylindole dihydrochloride  
398 (DAPI; Roche). Coverslips were mounted on slides with Fluoromount G (Electron Microscopy  
399 Sciences, Hatfield, PA) and visualized with a Leica DMI8 fluorescence microscope at 100X  
400 magnification.

401

#### 402 **HSV plaque assay**

403 CHO-HVEM cells in 24 well plates were pre-treated with 50 µM Retro-2 (Sigma) for 4 h at  
404 37°C. HSV-1 KOS (~100 PFU/well) was added in the continued presence of drug. At 24 h p.i.,  
405 cells were fixed with ice-cold methanol and acetone (2:1 ratio) for 20 min at –20°C and air-dried  
406 and assayed for HSV plaque formation. Cells were stained with rabbit polyclonal antibody to  
407 HSV, HR50 (Fitzgerald Industries, Concord, MA), washed thrice with PBS and incubated with  
408 1:200 dilution of goat anti-rabbit IgG conjugated with horseradish peroxidase (Thermo Fisher  
409 Scientific) for 1 h at room temperature. Following three washes with PBS, 4-chloro-1-naphtol  
410 (Sigma) substrate was added and plaques were visualized with a Leica stereoscope.

411

#### 412 **Statistical analysis**

413 Student's t-test with one tail distribution was used for infectivity experiments. Data are shown as  
414 geometric means with errors bars representing  $\pm$  s.e.m. Significance is indicated as \*P<0.05,  
415 \*\*P<0.00005.

416

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423

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661

## 662 **FIGURE LEGENDS**

663 **Figure 1. Role of Rab5 and Rab7 on HSV entry and infection.** (A) The knockdown efficiency  
664 of Rab5 or Rab7 was determined by Western blotting. CHO-HVEM cells (-) were transiently  
665 transfected with either control, Rab5 or Rab7 siRNAs for 24 h or 48 h. Cell lysates were reacted  
666 with anti-Rab5 or anti-Rab7 antibody specific for the indicated proteins.  $\alpha$ -Tubulin was used as  
667 an internal loading control. (B, C) CHO-HVEM cells (-) were transiently transfected with  
668 control, Rab5 or Rab7 siRNAs for 24 h or 48 h. Control, Rab5, or Rab7 siRNA-treated cells  
669 were infected with (B) VSV (MOI of 0.5) or (C) HSV (MOI of 3). At 6 h p.i., infection was  
670 detected by quantitating VSV G-positive cells or HSV ICP4-positive cells via indirect  
671 immunofluorescence microscopy. At least 500 cells per cover slip were counted. Values are the  
672 mean  $\pm$  SE of three independent experiments \* $P \leq 0.05$ . (D, E) Effect of DN forms of Rab5 or  
673 Rab7 on HSV entry. CHO-HVEM cells were stably transfected with control, Rab5 S34N or  
674 Rab7 T22N plasmids. Cells were infected with (D) VSV Indiana (120 PFU/well) or (E) HSV-1  
675 KOS (MOI 0.5-1). At 44 h p.i., VSV infectivity was measured by plaque assay. At 6 h p.i., HSV  
676 entry was measured by beta-galactosidase reporter assay. Infectivity in control cells was set to  
677 100%. Each experiment was performed in at least triplicate. Data shown are the averages  $\pm$  SE of  
678 at least two independent experiments \*\* $P \leq 0.00005$ .

679

680 **Figure 2. Effect of Rab knockdowns on the subcellular distribution of transferrin and**  
681 **LysoTracker.** CHO-HVEM cells were transfected with siRNAs specific for (A, B) Rab5, Rab7,  
682 (A) Rab11 or (B) Rab9. Downregulation of Rab5 and Rab7 was verified by Western blot (see  
683 Fig. 1). Downregulation of Rab9 and Rab11 was verified by Western blot (see Fig. 4). (A)  
684 Transferrin-Texas Red (100  $\mu$ g/ml) was bound to cells at 4°C, and then cultures were shifted to  
685 37°C for 10 min. (B) 100 nM LysoTracker Red DND-99 was added for 30 min 37°C. Cells were



686 fixed, and nuclei were counterstained with DAPI. The contrast of panels in B was adjusted  
687 equivalently with Canvas.

688

689 **Figure 3. Golgi-inhibitors brefeldin A and Golgicide A impair HSV entry.** CHO-HVEM

690 cells were pretreated for 20 min with the indicated concentrations of (A) BFA or (B) GCA.

691 HSV-1 strain KOS was added (MOI of 1) for 6 h in the constant presence of drug. Beta-

692 galactosidase activity at 595 nm is indicative of successful HSV entry. Beta-galactosidase

693 activity in the absence of drug was set to 100%. (C) CHO-HVEM cells on coverslips were

694 infected with HSV-1 K26GFP (MOI of 100) and concurrently exposed to 2 µg/ml BFA, 10 µM

695 GCA or 50 mM NH<sub>4</sub>Cl for 2.5 h. Cells were fixed, and nuclei were stained with DAPI (blue). In

696 untreated cells, the majority of incoming capsids (green) were detected at or near the nucleus. In

697 BFA, GCA, and control NH<sub>4</sub>Cl, treated cells, capsids were trapped in the cell periphery.

698

699 **Figure 4. Effects of Rab9 and Rab11 knockdown on HSV entry and infection.** (A) The

700 knockdown efficiency of Rab9 or Rab11 was determined by Western blotting. CHO-HVEM

701 cells (-) were transiently transfected with either control, Rab9 or Rab11 siRNAs for 24 h. Cell

702 lysates were reacted with anti-Rab9 or anti-Rab11 antibody specific for the indicated proteins. α-

703 Tubulin was used as an internal loading control. (B) CHO-HVEM cells (-) were transiently

704 transfected with control, Rab9 or Rab11 siRNAs for 24 h. Control, Rab9, or Rab11 siRNA-

705 treated cells were infected with HSV (MOI of 3). At 6 h p.i, infection was detected by

706 quantitating HSV ICP4-positive cells via indirect immunofluorescence microscopy. At least 500

707 cells per cover slip were counted. Values are the mean ± SE of three independent experiments

708 \*P≤0.05.

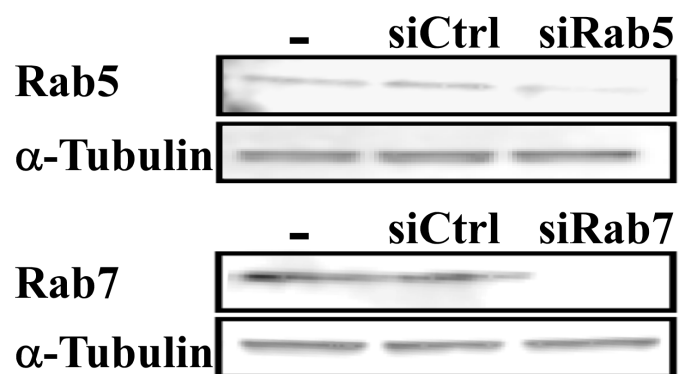
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710 **Figure 5. Effects of Retro-2 on HSV entry and infection.** (A) CHO-HVEM cells were  
711 pretreated with the indicated concentrations of retro-2 for 4 h. HSV-1 strain KOS was added  
712 (MOI ~ 1) for 6 h in the presence of drug. Beta-galactosidase activity in the absence of drug was  
713 set to 100% entry. (B) Vero cells were pretreated for 4 h with 50  $\mu$ M retro-2. HSV-1 KOS (100  
714 PFU/well) was added for 24 h at 37°C. Plaque formation in the absence of drug was set to 100%.  
715 Each experiment was performed in quadruplicate. Data shown are the averages  $\pm$  SE of three  
716 independent experiments \* $P \leq 0.05$ .

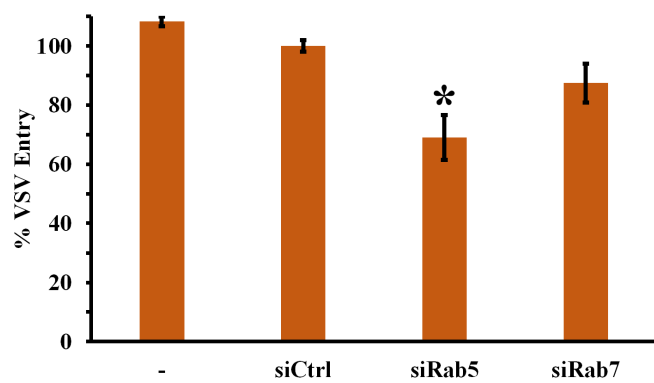
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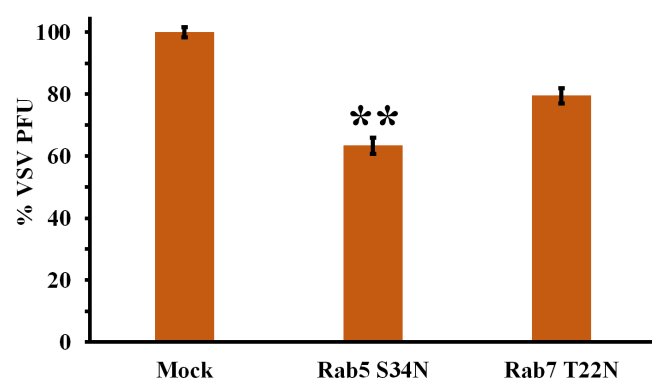
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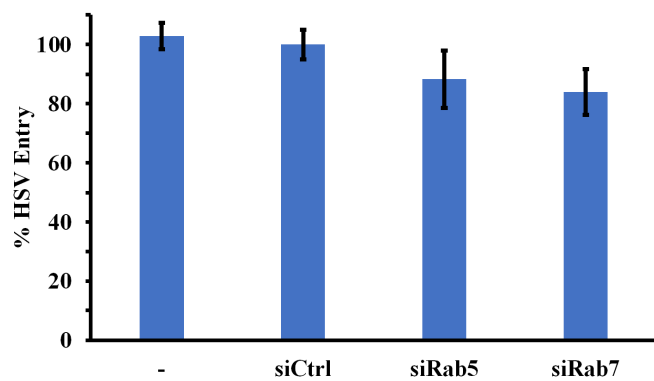
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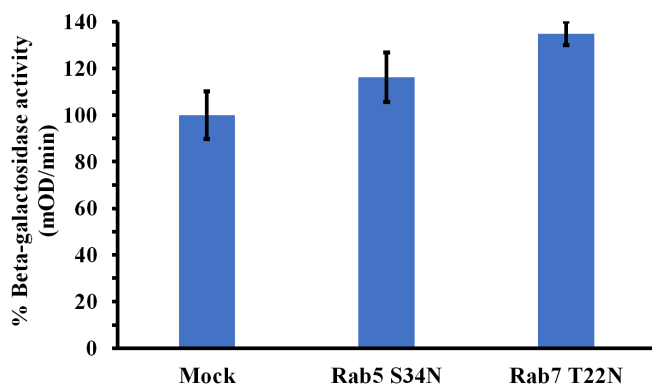
**D**



**C**



**E**



**A**

**Transferrin  
siRNA**

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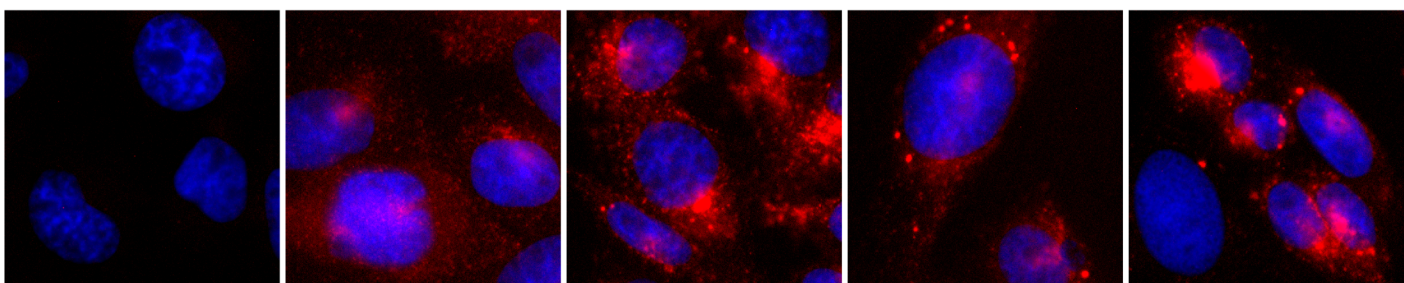
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**siRab5**

**siRab7**

**siRab11**



**B**

**LysoTracker  
siRNA**

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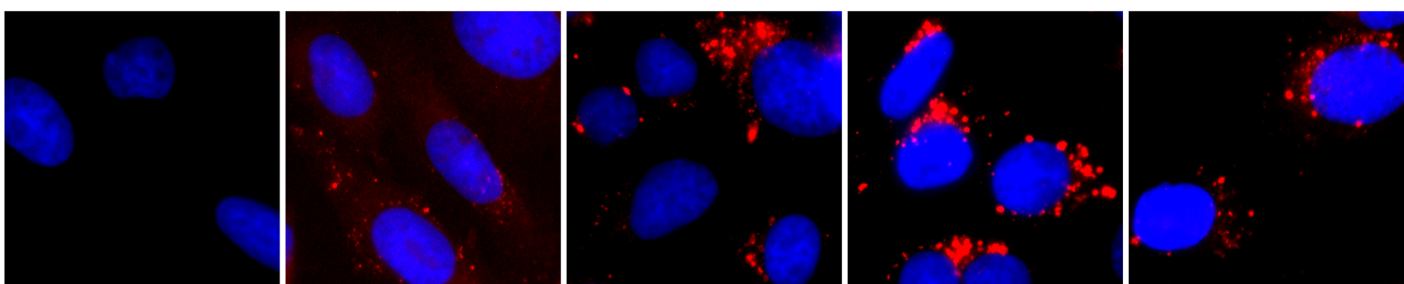
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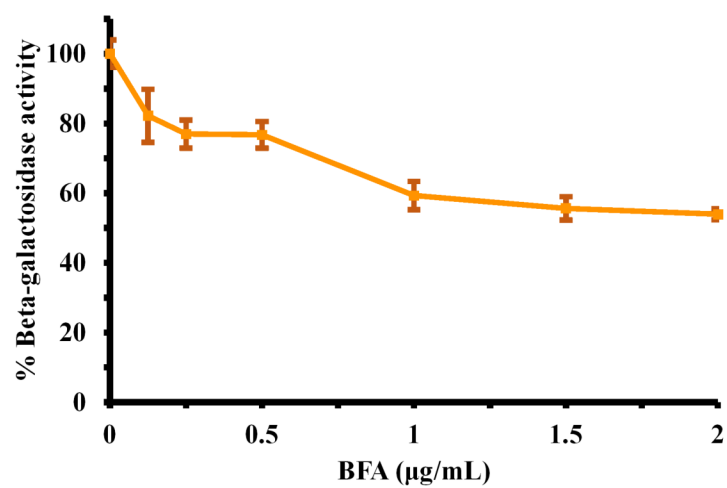
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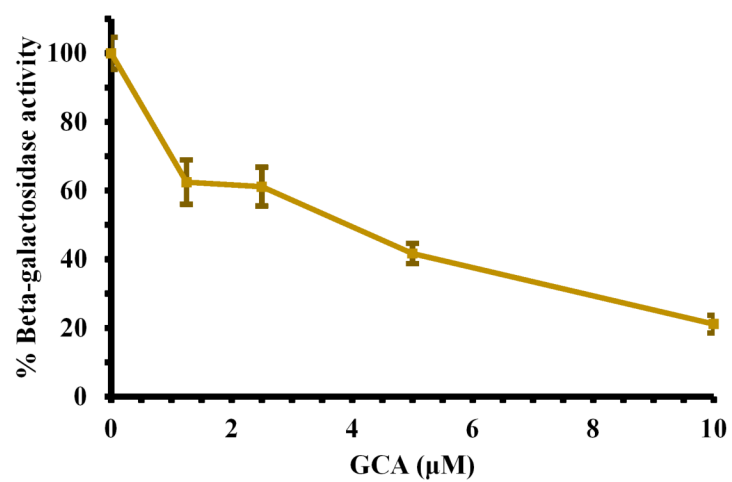
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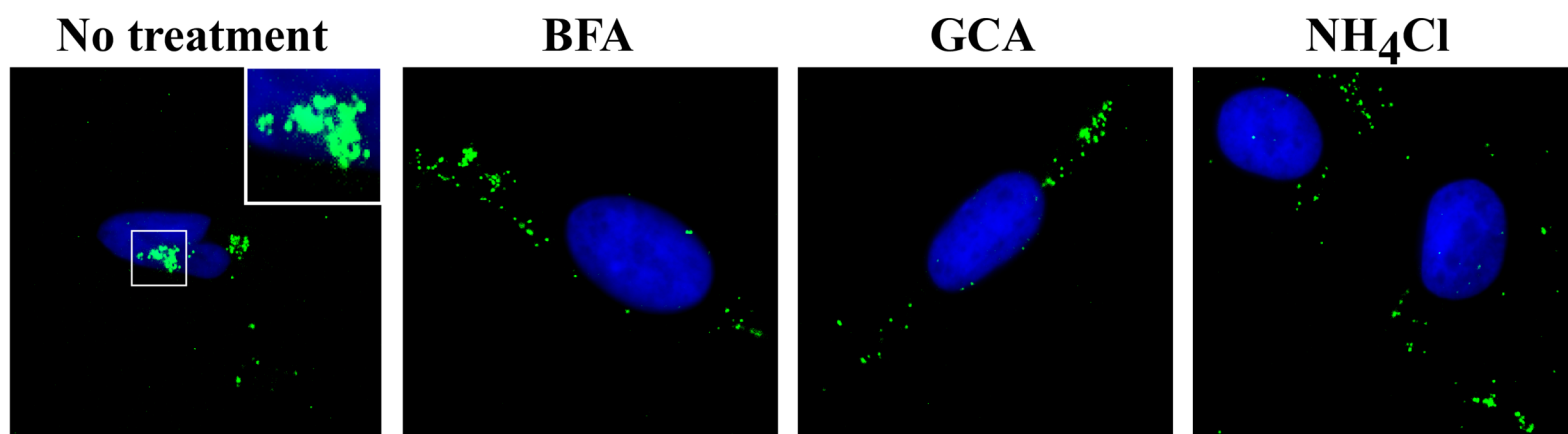
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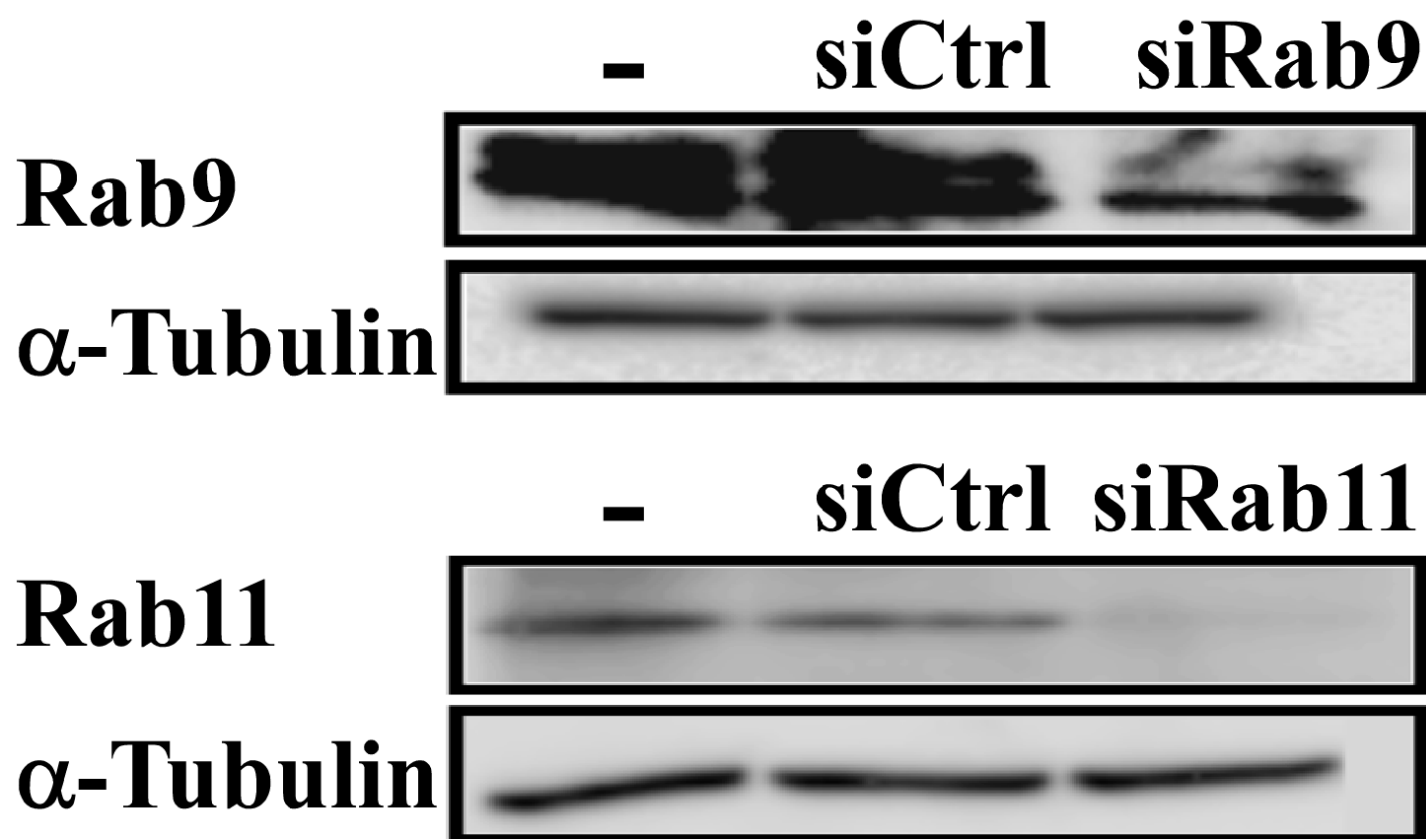
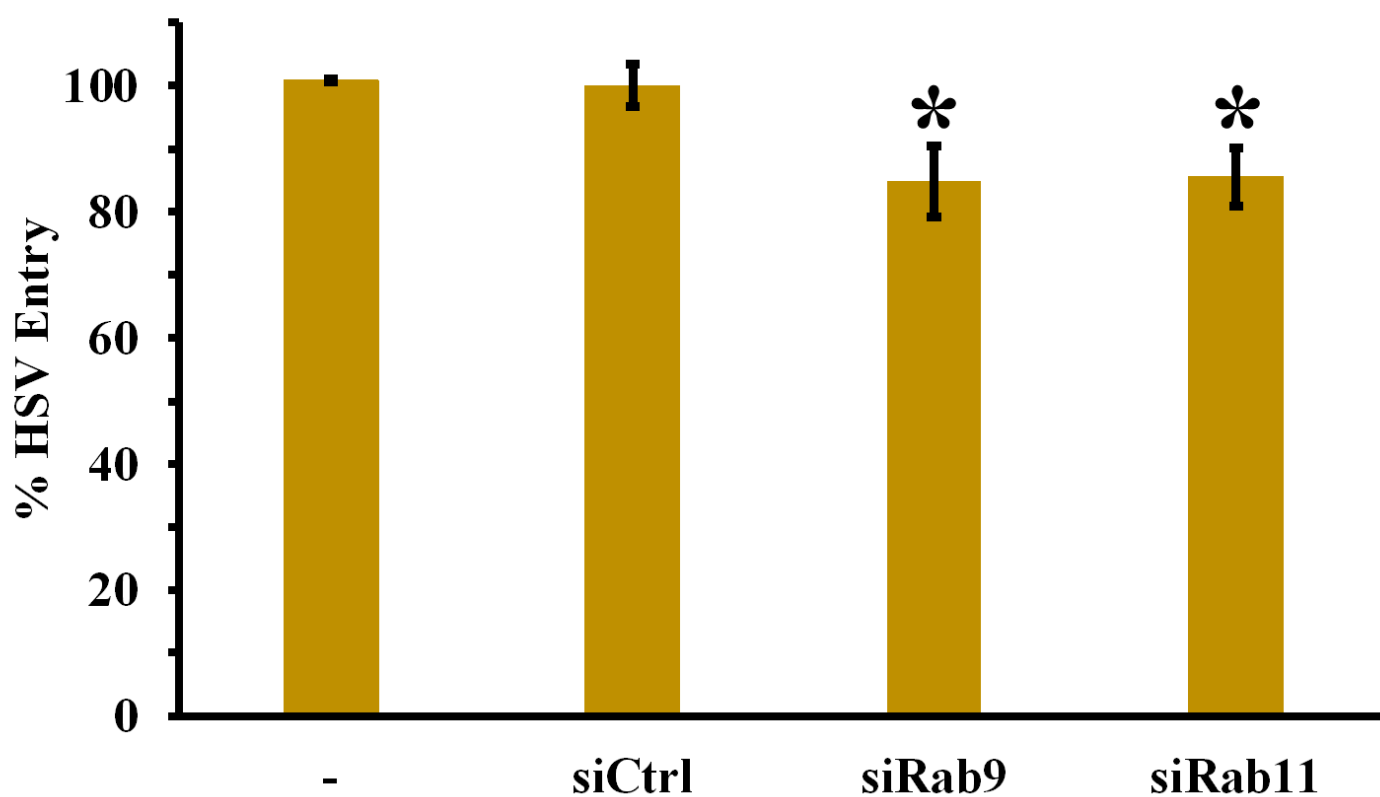


**B**

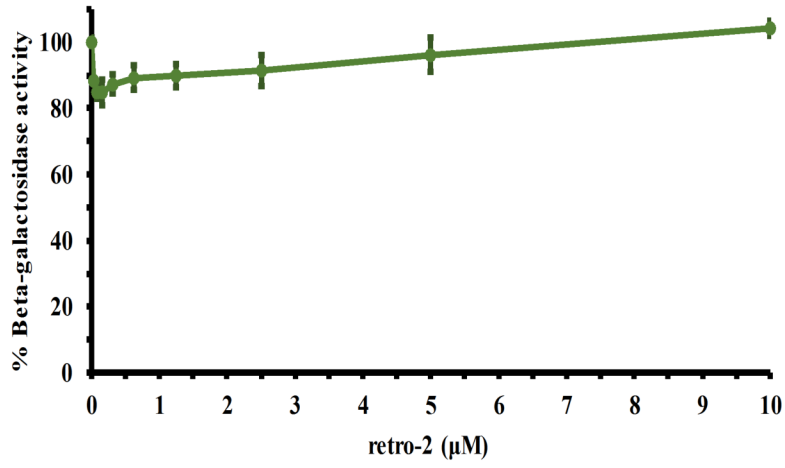


**C**



**A****B**

**A**



**B**

