**PISUM SATIVUM HAS NO COMPETITIVE RESPONSES TO NEIGHBOURS: A CASE STUDY IN**

**REPRODUCIBLE ECOLOGY**

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**KEYWORDS:** Pisum sativum, tragedy of the commons, Ideal free distribution, plant-plant competition,

replication crisis, below ground competition, meta-analysis.

**ABSTRACT:**

1.   Many fields of science have experienced a replication crisis, where results from experiments

with low statistical power published in the literature cannot be replicated. Ecology so far has not

been drawn into this crisis, but there is no reason to think that this problem is absent in our

field. Here, we originally attempted to replicate findings that showed pea (*Pisum sativum* L.)

roots had strong differences in growth in the presence or absence of neighbours. Our original

goal was just to develop a simple model system for studying how plant roots respond to

competition from neighbours.

2.   In an attempt to replicate previous findings, we performed four separate experiments with 480

individual plants, across three years. Each time plants were grown in the full factorial

combination of above and belowground competition. In addition, pea has been studied in

similar experiments across six additional studies. Thus, we used meta-analysis to combine

previous findings with our new findings.

3.   We were unable to replicate previous findings, and in all four experiments plants grew the same

whether there were neighbours or not.  Despite variability in individual studies, meta-analysis

revealed that pea has no growth responses to neighbours and grows the same whether there is

or is not below ground competition.

4.   *Synthesis*: Many other fields have gradually been drawn into a growing replication crisis, that is

thought to be the result of low statistical power. Even though this is just one case study where a

somewhat controversial result could not be reproduced, there is no reason to think ecology is

immune from the replication crisis. We suggest that solutions developed in other fields might

pre-emptively ward off similar problems. These include stricter cut-offs for statistical

significance, a growing use of large replicated studies, and considering avenues for pre-

registration of methods.

## INTRODUCTION:

Many scientific fields have been experiencing a so-called replication crisis (Ioannidis, 2005, Saltelli and Funtowicz, 2017). The crisis is largely thought to stem from a plethora of statistically significant results in studies with low statistical power, that cannot be repeated in follow-up studies and thus represent type I errors (i.e. a failure to accept a true null hypothesis; Moonesinghe et al., 2007, Colquhoun, 2017). Does ecology suffer from a replication crisis? As far as we can tell, there has not been a major event, paper or movement in the field of ecology to spark a replication crisis the way there has been in other fields such as psychology (Pashler and Wagenmakers, 2012), medicine (Ioannidis, 2005) and economics (Camerer et al., 2016). Here, we describe a series of experiments designed to explore how one species, *Pisum sativum* (L.) responds to below ground neighbour competition. Our original goal was not replication, but rather to simply develop this as a model system for plant responses to neighbours. We chose the species and experimental designs based on results in the literature. However, over four separate experiments, we were unable to replicate published findings.

One of the earliest and better known hypotheses about how roots should grow in the presence of neighbours was that roots should avoid neighbours and segregate in soil (Litav and Harper, 1967, Parrish and Bazzaz, 1976, Schenk et al., 1999). This hypothesis was mostly the result of verbal models (i.e. logical arguments in written prose rather than mathematical models) that sought to explain high species diversity rather than theory that sought an understanding of how evolution by natural selection might shape a plant's response. Nevertheless, these verbal root segregation models created a two-part testable hypothesis: (i) plants alone simply respond to nutrient and water availability and produce the amount of roots required to adequately supply the shoot with resources and; (ii) plants growing with neighbours are predicted to produce fewer roots in any given region of soil (i.e. under-proliferate) in an attempt to seek root-free soil, and cede soil resources to competitors. This is not typically called under-

proliferation in the literature, but it is the logical result of two plants avoiding each other in soil: we

would find fewer roots in any region of soil than expected if plants did not avoid each other, including

possibly even root free zones between plants (Litav and Harper, 1967, Schenk et al., 1999, Cahill et al.,

2010). The null hypothesis would be that plants grow the same, whether there are neighbours or not.

Importantly, the segregation response requires some as yet unidentified mechanism to sense neighbour

roots as different from self-roots (Falik et al., 2003, Gruntman and Novoplansky, 2004). A number of

experiments have shown statistical evidence for this under-proliferation behaviour in plants (Schenk et

al., 1999, Cahill et al., 2010), that is, these experiments have rejected the null hypothesis that plants

have no response to neighbours and accepted the hypothesis that plants avoid neighbours.

Despite the longevity of the root segregation hypothesis, and some empirical support, when

mathematical models were finally developed concerning best neighbour responses they almost all

showed that segregation unlikely to be favoured by natural selection. Instead these models predicted

the opposite of root segregation: plants should confront neighbours and try to pre-empt their nutrient

uptake through root over-proliferation (Zhang et al., 1999, Gersani et al., 2001, Craine et al., 2005). To

understand resource pre-emption, we suggest readers first consider a plant's best response to above

ground competition: taller plants shade shorter plants, thereby pre-empting light access (Givnish, 1982,

Falster and Westoby, 2003). Indeed, many plants that are shaded can sense being over-topped and will

plastically elongate, or over-proliferate, their stems to attempt to avoid this resource pre-emption

(Ballare et al., 1987, Murphy and Dudley, 2007). Resource pre-emption of a below ground resource is

analogous - though below ground resources are not as easily pre-empted as light - resource pre-emption

takes the form of over-proliferation of roots when plants grow among neighbours compared to when

plants grow alone. These models created another testable two-part hypothesis: (i) remains the same as

above, but; (iii) plants are hypothesized to produce more roots (i.e. over-proliferate) in order to pre-

empt resources from a neighbour. Like the segregation hypothesis, the pre-emption hypotheses

requires the ability to recognize neighbour roots as different from self-roots. Again, a number of studies

also show statistical support for the over-proliferation behaviour in plants (Padilla et al., 2013, Smyeka

and Herben, 2017), that is, these studies rejected the null hypothesis that plants have no response to

neighbours and accepted the alternative that plants over-proliferate roots.

94           However, while both over- and under-proliferation hypotheses have experimental support,

some plant species do not seem to exhibit any response to below ground competition (Litav and Harper,

1967). That is, in these studies the null hypothesis of no response to neighbours was not rejected. A

third set of null models have also been developed for such plants on the assumption that such plants

may lack the ability to recognize neighbouring competitors (McNickle and Brown, 2014). Here, if plants

have no responses to neighbours independent from nutrient depletion then the distribution of roots is

predicted to be an ideal free distribution (IFD; sensu Fretwell and Lucas, 1969, Křivan et al., 2008). An

IFD is often used to understand how non-territorial animals distribute themselves in relation to their

food. Thus, despite the jargon, the IFD has a long history in foraging ecology, and connecting root

growth to this theoretical framework is informative and useful. The name IFD comes from the

hypothesis that the distribution of foragers is ideal in the sense that individuals can 'know' resource

distributions, and free in the sense that they are free to distribute themselves anywhere in relation to

other individuals (e.g. no allelopathy or another plant behaviour akin to territoriality in animals; Schenk

et al., 1999). An IFD type model explains the well-known behaviour of plants to place more roots in

nutrient rich areas and fewer roots in nutrient poor areas (E.g. Hutchings and de Kroon, 1994, Hodge,

2004, Hodge, 2006), but predicts that nutrient depletion is the only mechanism of competition. Thus,

rather than under- or over-proliferate roots in response to neighbours, an IFD type model creates a third

two-part hypothesis: (i) remains the same as above, but; (iv) plants growing with neighbours should

produce the same amount of roots as plants alone, providing the nutrient environment is equivalent on

a per-plant basis. Again, there is also experimental support for the IFD type root foraging model

(McNickle and Brown, 2014, McNickle et al., 2020).

The three root competition models described above create three mutually exclusive alternative

hypotheses. Importantly, these should be interpreted as mutually exclusive on the per-genotype basis,

and not interpreted as mutually exclusive such that one model explains the entire plant kingdom.

Indeed, it is entirely possible that different species use different responses, and there some evidence

that this is the case (McNickle et al., 2016, Smyeka and Herben, 2017). Second, it also seems to be the

case that different genotypes within a species might use different responses, and there is also evidence

that this is the case (Murphy and Dudley, 2009, Semchenko et al., 2014). Third, perhaps responses are

context dependent and the same genotype uses different responses across different contexts, and there

is evidence that this too may be the case (Cahill et al., 2010, McNickle et al., 2016).

Many researchers (including us) seem to have converged on common pea (*Pisum sativum* L.) as

a sort of model system for these root responses to neighbours. This is probably due to its ease of

growth, and fast life cycle of 50-70 days.  However, curiously, for this one species all three outcomes

have been reported: One study found under-proliferation ((Chen et al., 2015) but see (McNickle, 2020));

one study found over-proliferation ((O'Brien et al., 2005); but see (Laird and Aarssen, 2005, Hess and de

Kroon, 2007)), and; (iii) two studies found the IFD no-response behaviour (Meier et al., 2013, Jacob et

al., 2017). Given the mutually exclusive nature of the three theories, either pea responds differently

across context, or genotype, or there may be seeds of a replication problem in these divergent results.

Here, we report the results of four separate experiments that sought to replicate pea root

responses to competitors. In each experiment, peas were grown in the full factorial combination of root

and shoot competition, but in completely different contexts (e.g. potting media, nutrient availabilities,

times of year). We were unable to replicate previous findings of under- or over-proliferation and found

that in all four experiments, pea exhibited the null IFD response.  We combined our new results with

previously published data in a meta-analysis. When combined the results across the literature

overwhelmingly support the null IFD response for pea. In addition, these conclusions are entirely

independent of potting volume chosen by different researchers.  We conclude by returning to the idea

that this case study might represent seeds of a reproducibility crisis, and describe some approaches

used in other fields to find a path forward.

**METHODS**

Not all studies of pea have used the same genotype. However, one study that reported

neighbour avoidance (Gersani et al., 1998), and one study that found over-proliferation (O'Brien et al.,

2005) both used the 'Little Marvel' cultivar. Thus, in our attempt to replicate these results, we also used

*P. sativum* c.v. Little Marvel in all experiments.

Peas were grown in four different experiments that varied in context (i.e. soil type, nutrient

addition and the time of year), but included the same four neighbour treatments (Fig 1). Each time, soil

type and nutrient delivery were adjusted in ways that we hypothesized would bring us closer to

replicating previous methods, and therefore results. The neighbour treatments were: 1) aboveground

neighbour only; 2) below ground neighbour only; 3) no neighbour, and; 4) both above- and below

ground neighbour. All experiments were performed in the same greenhouse room, and on the same

bench of the Purdue University Lilly greenhouse complex, in West Lafayette, Indiana, USA (40°42'26.0"N,

86°91'88.2"W) but took place over three years. The greenhouse was set to 25°C and supplementary

lighting from 600 watt high pressure sodium lights was on an 16:8 light:dark schedule for all four

experiments. The same pot size was used in all experiments, with pots washed and sterilised between

each experiment. In an attempt to minimize hypothesized volume constraints (Hess and de Kroon, 2007,

Chen et al., 2015), we used large 6.2 L pots designed for tree seedlings that were 40 cm deep and 15 cm

square at the top (Pot TP616, Stuewe & Sons Inc, Tanget, Oregon, USA). The planting media, and

fertigation varied across the four experiments as described below. Pea is a climbing vine, thus to hold

plants upright, 92 cm tall bamboo stakes were added for each plant (i.e. two per pot).

168            Testing among the hypotheses of under-proliferation, IFD and over-proliferation has been

difficult, and plagued with methodological difficulties related to the study of roots hidden in soil (Laird

and Aarssen, 2005, Hess and de Kroon, 2007, Mommer et al., 2008, Chen et al., 2015).  Unfortunately,

these problems do not have easy solutions because of the limitations of geometry and chemistry

(McNickle, 2020). To impose the competition treatments, we used the barrier method and added

dividers either above- or below ground (Figure 1). This has the problem of confounding neighbour

addition and rooting soil volume. However, we deemed this to be a true replication of previous work

since this was the most common methodological approach in previous studies, and it is one of the only

approaches that controls soil nutrients across treatments. We direct readers to McNickle (2020) for

guidance on alternative experimental approaches to controls and their interpretation.

179            In our experiment, above ground, opaque dividers made of white corrugated plastic that were

45 cm tall and 15 cm across with 4 cm flaps to attach to the pot were constructed and placed across the

middle of each pot. All pots in our experiments received dividers as a control for their effect on light

reduction, and the placement of plants was adjusted such that both plants were on the same side of the

divider if interaction above ground was to be permitted, or plants were on opposite sides of the divider

if above ground interaction was to be blocked (Figure 1). Each treatment had two plants, which allowed

us to always measure the growth of two individuals to control for potential size asymmetry (Laird and

Aarssen, 2005). Since the roots systems of two plants are often to intermingled to separate, this two

plant per treatment approach has the further advantage of always comparing the root biomass of two

plants, rather than forcing us to divide the total mass of intermingled roots by two. For example, in the

no-neighbour control there were still two plants, but with dividers both above- and below ground such

that there was no interaction between the two plants (Figure 1; N = No neighbours), and our response

variable in this treatment, as in all others, is the sum of the root system of both plants.

To minimize the effects of shading caused by the above ground dividers, and interaction among

pots, replicate blocks were widely spaced on the greenhouse bench (~1m apart), and all pots were

turned one-quarter turn to the east every day of the experiment.  Below ground, dividers were

constructed by cutting the rectangular pots in half, and nesting the two halves together (Figure 1). This

created a situation where, as above, a barrier either allowed belowground interaction, or not. In all

experiments, pots were arranged in a randomized block design with 15 replicates in all four

experiments.

Each pot received two seeds five cm apart, with their location relative to the root or shoot

barrier depending on treatment (Figure 1). Here, the alone treatment still included two plants, but there

was a barrier between them both above and below ground. Prior to sowing, the soil was saturated with

tap water, and freely watered each day until germination. After germination, plants were put on strict

watering schedules that differed by experiment because the different potting media had different water

holding capacities and are described below.  In experiments 1, 3 and 4 (Table 1) fertilizer was dissolved

into water and added with water on a pre-defined schedule. In experiment 2, only water was applied. In

each case, the fertilizer was water soluble 24-8-16 of N-P-K solution that also contained micronutrients

(Miracle-Gro All Purpose Plant Food, The Scotts Miracle-Gro Company, Marysville, Ohio, USA). The

concentration and application of fertilizer varied by experiment in attempts to replicate previous

findings. Major differences among the four separate experiments are summarised in Table 1, and

described below.

*Experiment 1, soil:*

Experiment one was performed in early spring from February 18, 2016 to April 28, 2016. The

planting media was pure potting soil (propagation mix soil, Sungro Company, Agawam, Massachusetts,

USA). Each 6.2L pot was watered every other week with exactly 1L of water measured and poured into

the pots (0.5L per half pot). The large pot size, and in particular the ratio of depth to surface area

exposed to air, meant that soil did not completely dry between each watering. Pots were fertilized with

a nutrient solution that was 0.25 g/L during weeks 3 and 6. All other experimental details were as above.

Though we detail results below, this experiment failed to replicate published results, working from the

hypothesis of different responses across different contexts, we adjusted the soil environment.

*Experiment 2, soil-gravel:*

Plants in experiment 1 grew extremely large and produced many fruits per individual. Thus, we

hypothesized that if nutrients were highly available and not limiting to growth, plants may not

experience competition because there were more nutrients than either plant could use (Casper and

Jackson, 1997). Thus, to reduce nutrient availability and attempt to induce competition for limited

resources, the planting media in this experiment was a 1:1 mixture of potting soil and calcined clay

gravel. Experiment 2 was performed in early autumn from September 5, 2016 to November 14, 2016.

Again, each 6.2L pot volume was watered every two weeks with exactly 1L of water. No fertilizer was

applied.  Unlike Experiment 1, the smaller plants in experiment 2 appeared to tip away from each other

above ground because they failed to grasp the stake with their tendrils. In this experiment, bird netting

(1.9 cm mesh, Bird Barricade, DeWitt Company, Sikeston, Missouri, USA) was wrapped loosely around

the above ground portion of the experiment to keep plants within the vertical space above the pots. The

netting was very fine and has undetectable effects on light levels (data not shown). All other

experimental details were as above.  Again, though we detail results below, this experiment failed to

replicate published results, once again we hypothesized that context was the cause and adjusted the soil

environment.

*Experiment 3, gravel:*

Gersani et al. (1998), O'Brien et al. (2005) and Chen et al. (2015) all used nutrient free potting

media in their pea experiments, and applied nutrients exclusively during watering. Thus, we

hypothesized that there might be something in the context of this nutrient delivery mechanism that led

to their detection of neighbour responses.  The planting media in experiment 3 was therefore pure

calcined clay gravel (Turface Athletics MVP, PROFILE Products LLC, Buffalo Grove, Illinois, USA).

Experiment three was performed in winter from December 6, 2017 to February 14, 2017. Plants were

loosely tied to the stakes with stretch tie tape. The gravel did not hold water well, and so these plants

were watered once each week, again by measuring exactly 1L of water per pot, however in this

experiment plants were fertigated every week because the gravel did not hold water well. The fertilizer

concentration was 0.5 g/L, and supplied during weeks 3, 5, 7, and 9 of growth. All other experimental

details were as above.  Though we detail results below, this experiment also failed to replicate published

results, again we hypothesized that context was the cause and adjusted the soil environment one last

time.

*Experiment 4, vermiculite:*

While Gersani et al. (1998) and O'Brien et al. (2005) indeed used nutrient free potting media and

nutrients supplied only in aqueous solution, they used vermiculite in both experiments, not gravel. Thus,

our final hypothesis was that there was something unique about the context of growing in vermiculite

compared to gravel and/or soil that might have led to their results. Thus, the planting media in

experiment 4 was pure vermiculite (Coarse Vermiculite, Perlite Vermiculite Packaging Industries, Inc.,

North Bloomfield, Ohio, USA), which contained no nutrients. Experiment four was performed in early

autumn from September 6, 2018 to November 15. Plants were loosely tied to the stakes with stretch tie

tape. Again, all nutrients were supplied by liquid fertilizer using the same water-fertigation schedule as

in experiment 3. The other experimental details were as above.  After failing to repeat published results

four times, we attempted no further experiments.

*Harvest*

In all four experiments, after 10 weeks of growth the peas were harvested. The leaves, shoots,

pods, and roots were collected separately. Roots were washed on a 2mm sieve. As expected,

intermingled roots of neighbouring plants could not be separated.  Tissues were dried at 60°C to

constant mass, and then weighed.

*Analysis*

Since roots of interacting neighbours typically cannot not be separated, there are two

approaches to dealing with this. One is to divide the total mass of plants with neighbours by 2 and

compare this to the mass of one plant grown alone. However, this does not control for size asymmetry

among the two interacting plants (Laird and Aarssen, 2005). The other approach, is to sum the biomass

of two plants grown alone and compare this to the mass of the two neighbour plants across all

treatments, thereby controlling for size asymmetry whether or not the plants actually interacted

(McNickle and Brown, 2014). We took this second approach.  Data from each experiment was analysed

using GLMM with treatment as a fixed effect, and block as a random effect using lme4 (Bates, 2007) in

the R statistical environment. Plants grew very differently in different soil contexts, but we were not

interested in comparing soil contexts. Rather we viewed each experiment was an independent attempt

at replicating a previously published result. Therefore, we analysed each experiment individually. For

each experiment we examined leaf, stem, root, fruit and shoot (i.e. leaf + stem) biomass of both plants

summed together as separate response variables. All biomass data was $\log{(x + c)}$ transformed where

$c = 0.01$.

*Meta-analysis*

Once it became clear that we were unable to replicate published results of under- or over-

proliferation, we also sought to combine our four experiments with existing results in the literature.

From the known studies in the literature, we extracted the mean root production with and without

neighbours, and the mean pod production with and without neighbours, as well as the standard

deviations. Some studies imposed a second treatment, and these means are recorded separately

resulting in multiple data points for the following studies: (i) O'Brien et al. (2005) crossed the neighbour

addition treatment with low and high nutrient addition; (ii) Chen et al. (2015) manipulated volume (6

levels) and nutrient concentration (3 levels with neighbour controls) simultaneously and; (iii) McNickle

et al. (2020) had plants with and without mycorrhizae. The supplementary data details the different

treatments. Though, Gersani et al. (1998) used pea, they used a split root approach. In the experiment

one pot had neighbours, while the other had neighbour-free soil. Given that all other studies compared

neighbours that shared soil, to plants alone that did not share soil, we did not know how to compare the

results of Gersani et al. (1998) to the others, and therefore do not include it in the meta-analysis.

When raw data were available (Chen et al., 2015, McNickle unpubl., McNickle et al., 2020),

means and standard deviations were calculated from the data for alone and with neighbours, within

each additional treatment if present. When data were not available (O'Brien et al., 2005, Meier et al.,

2013, Jacob et al., 2017), means and standard deviations were extracted from the figures using imageJ

by calibrating the length measurement tool to the scale of the y-axis. Two studies only collected root

data and did not have pod data, and these two studies also did not report any estimate of variation

around the reported means (Meier et al., 2013, Jacob et al., 2017). In addition, we had access to an old

pilot experiment from our own research that also used the 'Little Marvel' cultivar but was not followed

up on (McNickle unpubl.; Supplementary information, Table S1, Figure S1). We include those data here

as well.

To account for potential methodological differences among studies, we used a log response

ratio ($lnRR$) as our test statistic (Hedges et al., 1999) calculated according to:

$$lnRR = \ln\left(\frac{\bar{X}_n}{\bar{X}_a}\right), \qquad\qquad eqn\ 1$$

318    where $\bar{X}_n$ is the mean response variable in the presence of a neighbour, and $\bar{X}_a$ is the mean response

319    variable when grown alone.  By constructing the ratio this way, it will be negative in the case of under-

320    proliferation, positive in the case of over-proliferation, and zero in the case of an IFD response. The

321    standard deviation of lnRR is given by:

$$SD_{RR} = \sqrt{\frac{SD_n^2}{n\bar{X}_n^2} + \frac{SD_a^2}{n\bar{X}_a^2}}, \qquad\qquad Eqn\ 2$$

where $n$ is the sample size in the study, $SD_n$ is the standard deviation of the neighbour response, and

$SD_a$ is the standard deviation of the alone response (Lajeunesse, 2015). We calculated $lnRR$ for

individual root biomass, and for lifetime seed yield.

In addition to the debate about root responses to neighbours, there is debate about

methodological controls. Specifically, how and whether to control nutrients in neighbour treatments.

One study (Chen et al., 2015) in the meta-analysis has been interpreted two different ways to draw very

different conclusions. Chen et al (2015) argued that controlling pot volume was more important than

nutrients in the study of plant competition, and made planned comparisons that confounded soil

nutrient concentration with neighbour addition while controlling for pot volume. Analysis of these six

planned comparisons concluded that plants were under-proliferating roots.  McNickle et al (In press)

argued that nutrients were important in the study of below ground plant competition and used the

same data to make three planned comparisons that confounded pot volume with neighbours but

controlled soil nutrients. This analysis concluded plants were ignoring neighbours and exhibited the IFD

behaviour. To deal with the fact that these two interpretations are alternative theoretical research

programmes (sensu Lakatos, 1978) which cannot both be true, we performed the meta-analysis data

both ways as if there were two distinct literatures and leave the interpretation to readers depending on

their world view (sensu Brown, 2001).

Finally, all studies used different pot volumes that ranged from 50mL (Meier et al., 2013) to 6.2 L

(this study). This could have important effects because two plants confined to a 50mL pot will

experience more intense competition than two plants confined to a 6.2L pot. Indeed, our results simply

may have come because our pots were so large that there was no competition. In addition, since much

of the debate about plant responses to neighbours has also been a debate about pot volume, we

examined the relationship between lnRR and pot volume from each study, separated by the planned

comparisons of different schools of thought described above.

**RESULTS**

*Experiments 1-4*

Though plants grew differently in each of our four experiments, plants produced the same pod

mass (Fig 2 a-d), and root mass (Fig 2 e-h) regardless of whether there was a neighbour above or below

ground in every experiment (Table 2). Thus, we were unable to replicate previous findings of either

neighbour avoidance, or root over-proliferation despite four different experiments that included 480

individual plants across 3 years. We conclude that the results of all four experiments support the IFD

hypothesis. Because of previously expressed concerns about pot volume effects (Hess and de Kroon,

2007, Chen et al., 2015), we also note that since all plants with root barriers were in pots of half the

volume of all plants without root barriers, these results mean that potting volume also had no effect on

plant growth in any of our experiments.

Most root based theories described here make no specific hypotheses about leaf and stem

responses, though an implicit assumption is probably that there are no shoot differences among

treatments. We analysed leaf and stem tissue pools separately (Fig 3). Only two significant differences

arose at the $\alpha = 0.05$ significance level (Table 2). First, in experiment 2, plants that experienced root

competition only, produced significantly more stem biomass than plants that experienced shoot

competition only (Fig 3B). Second, in experiment 3, plants that experienced no competition produced

significantly more leaf biomass than plants that experienced root competition only (Fig 3G). Though

these differences were significant in the statistical sense, they were not biologically large differences. In

addition, when leaf and stem mass are summed as the more commonly analysed "shoot" biomass, the

significance disappeared (Table 2). Given that: (i) most root theories make no specific shoot hypotheses;

(ii) the fact that the biological differences were slight (Fig 3B, G), and; (iii) this is a paper partially about

type I errors, we do not make very much of these differences. Indeed, Table 2 has exactly 20

independent statistical tests.  With $\alpha = 0.05$, on average we would expect one spurious significant

result, and two spurious results would not be out of the question. A simple Bonferroni correction

reduces the significance cut-off to $\alpha = 0.0025$, and erases all statistical significance.

*Meta-analysis*

The meta-analysis included 14 or 17 estimates of root and fruit log response ratios from 224 or

299 replicate alone and with neighbour pairs depending on how Chen et al. (2015) is analyzed. When all

available results were combined the consensus among studies was that pea has no response to

neighbours, and that root and pod growth supports the null IFD model (Fig 4A, B). Interestingly, this was

the case under either interpretation of the Chen et al (2015) data. In addition, there no significant

effects of pot volume on the lnRR for pods (Fig 4C, D) or roots (Fig 4E, F), with the average response of

lnRR associated with any pot volume (Table S2). Indeed, the slope and intercept of all four comparisons

was statistically indistinguishable from zero.

**DISCUSSION**

Many scientific fields have been experiencing a reproducibility crisis (Ioannidis, 2005, Saltelli and

Funtowicz, 2017). Our understanding is that this reproducibility crisis began in psychology in part when a

study reported evidence for psychic perception in humans (Bem, 2011, Pashler and Wagenmakers,

2012). Not surprisingly, this result was quickly criticised (Wagenmakers et al., 2011), and the result was

not repeated in a subsequent study (Galak et al., 2012). The mounting concerns about reproducability

led some in psychology to wonder how often any results could be repeated, and the crisis culminated in

a large collaborative effort to try and reproduce 100 published results. This effort found that only 36% of

these 100 previously published results were reproducible (Aarts et al., 2015). The cause was largely

blamed on low statistical power, and a culture that values novelty over replication (Hunter, 2001,

Moonesinghe et al., 2007, Stanley et al., 2018). As far as we can tell, ecology has not been fully drawn

into the replication crisis like other fields such as psychology (Pashler and Wagenmakers, 2012),

medicine (Ioannidis, 2005) and economics (Camerer et al., 2016). However, others have noted that true

replications are relatively rare in ecology (Belovsky et al., 2004) and statistical power to detect all but

the largest effect sizes can be low in ecological experiments (Steidl et al., 1997). It is likely that there is

some level of non-reproducibility in ecology.

In addition, to the replication crisis, there is also the problem of p-hacking, which can enlarge

the number of type-I errors in the published literature.  This $p$-hacking occurs when a just barely

insignificant result at the $\alpha = 0.05$ level is nudged across the significance line through slight

adjustments in the statistical approach (Bruns and Ioannidis, 2016). Without p-hacking, and with

statistical significance set arbitrarily at $\alpha = 0.05$, we would expect one in twenty published results in the

literature which have not been replicated to be type-I errors where a true null hypothesis was

erroneously rejected. However, in the presence of $p$-hacking, the number of published un-replicated

type-I errors can be significantly higher. Indeed, as in many fields there is evidence of $p$-hacking in the

broader field of biology as a whole (Head et al., 2015). The presence of $p$-hacking was also thought to be

part of the reason that only 35% of the 100 psychology studies in the literature were reproducible(Aarts

et al., 2015).

Here, we frame a debated problem of how plants respond to neighbouring roots as a case study

in reproducible ecology. Different research groups had developed three mutually exclusive hypotheses,

two of which had been supported by the growth behaviour of the same genotype of pea in the literature

(Gersani et al., 1998, O'Brien et al., 2005). Our original goal was simply to develop a model system for

exploring pea responses to neighbours across contexts. We began with an assumption that the

published results were 'true', and then worked from the hypothesis that the difference in results were

caused by different contexts. As such, we tried a number of different soil types and nutrient

availabilities, all seeking to replicate previous methods. Our failure to replicate published results (Figure

2, 3) combined with meta-analysis of all available studies (Fig 4) show that the best current evidence is

that pea exhibits the null IFD response, and that previous findings may have simply been type I errors

made at the $\alpha = 0.05$ level. This is true whether one views nutrients as important (McNickle, 2020) or

unimportant (Chen et al., 2015) to plant competition below ground (Fig 4).

The IFD conclusion also is entirely independent of pot volume (Fig 4C-F). For example, one might

hypothesize that the large 6.2L pots used in experiments 1-4 were so large that plants did not

experience competition. Indeed, we anticipated that it would be easy to separate the roots of

neighbouring plants given the large pot volume we used. However, the plants were still root bound such

that the soil volume could be removed and held intact by the roots during harvest, and were so densely

intermingled that neighbouring plants could not be separated (GGM personal observation). Plants were

also clearly nutrient limited in experiments 2-4 since they grew smaller and smaller as we attempted to

reduce nutrient availability and induce a competitive response. Given that our meta-analysis reveals that

pea seems to respond the same when grown in pots that range from 50 mL to 6.2L, we conclude that

pot volume has no effect on the neighbour response of pea.

We stress that our conclusion that common pea exhibits an IFD response should be confined to

pea, and possibly even to the 'Little Marvel' cultivar. This conclusion should not be extended to the

entire plant kingdom. The hypothesis that different species and different genotypes may have any of the

three theoretical root responses (under- or over-proliferation, or IFD) is not falsified through the study

of one species *P. sativum* and one cultivar Little Marvel. Indeed, surprisingly few species have been

assayed for their response to neighbouring plants, with (strangely) almost half of assayed species

coming from the fabaceae family (Smyeka and Herben, 2017). In addition, though different responses

across different contexts do not seem to have been the cause for variation in pea responses in the

literature, this does not refute the hypothesis that other species may have different responses among

different contexts (Cahill et al., 2010, McNickle et al., 2016). Much more work that includes replication

both within and among species, and within and among genotypes is needed to draw general conclusions

across the hundreds of thousands of species in the plant kingdom (Joppa et al., 2011).

The studies included in our meta-analysis used on average of ~13 replicates but included as few

as 4 (Jacob et al., 2017) replicates, and as many as 25 (Chen et al., 2015). Thus, each study varied

significantly in its statistical power (where statistical power is defined as 1 minus the probability of

making a type II error; accepting a false null). None of the published studies (including our experiments 1

– 4) had the statistical power to detect small or medium effect sizes with a type I error rate of one in

twenty, and a type II error rate of one in five (Fig 5A). Indeed, even with 224 replicates across all studies

in the meta-analysis (Fig 4), this just barely would allow for the statistical power to detect small effect

sizes (Fig 5A). Thus, as ultimately suspected in the failure to replicate previously published results in

psychology, we conclude the non-reproducibility of the pea under- or over-proliferation behaviour was

due to low statistical power.

465   This is just one case study in ecology, but there are other examples. One in an adjacent field was

466  the idea that there should be a trade-off in a plant's ability to precisely place roots into nutrient rich

467  zones of soil, and the ability of a plant to explore large spatial scales of soil volume (Campbell et al.,

468  1991). This scale-precision trade-off hypothesis emerged from a study that with ten species but only five

469  replicates per species, and shaped root foraging research for decades (Hodge, 2004, Hodge, 2006).

470  Ultimately, meta-analysis revealed that there was not really any evidence for this scale-precision trade-

471  off, and that the first paper was likely just a low statistical power type-I error (Kembel and Cahill, 2005,

472  de Kroon and Mommer, 2006, Kembel et al., 2008) but see (Grime, 2007). It is not our goal to review

473  every such case of a failure to replicate previously published results across the ecology literature, but

474  these two involving plant roots are unlikely to be the only such examples across the field.

476   Given that a few results were enough to spark a replication crises in other fields (Pashler and

477  Wagenmakers, 2012, Saltelli and Funtowicz, 2017), it is worth considering how other fields have

478  approached a solution. One of the simplest solutions is to make data publically available in data

479  repositories, and ecologists seem to have largely embraced this approach already judging from the

480  requirements of most journals in our field.  In addition, some fields approach the problem with very high

481  power to detect small effect sizes.  For example, sample sizes in medicine are often in the thousands.

482  Here, human lives literally depend on the ability to detect even small effect sizes. However, this may not

483  be a reasonable approach in ecology. It is one thing to administer a medical intervention to thousands of

484  people who then go off and live their lives during the experiment, and another to care for thousands of

485  plants in a greenhouse, or survey millions of hectares of forest.

487   As another example, fields also differ in the cut-off they use for statistical significance.  Most

488  scientific fields, including ecology, use a statistical significance cut-off of $\alpha = 0.05$, or a type I error rate

of one in twenty. In the language of confidence intervals, where a standard deviation is denoted by the

symbol sigma, this statistical cut-off is also sometimes called two-sigma. However, in physics a five-

sigma level of significance, which is $\alpha = 0.0000003$, or a type I error rate of one in 3.5 million is

required for a discovery (E.g. the detection of gravity waves: Abbott et al., 2016). Alternatively, three

sigma, which is $\alpha = 0.0027$, or an error rate of one in about 370, is taken as weak evidence that a

phenomena might exist (Lyons, 2013). Importantly, either two, three or five sigma has no theoretical

basis, it is just a convenient cut-off based on a field's willingness to accept error type I error (Colquhoun,

2017). Perhaps five-sigma is unrealistic for ecology (Fig 5c), but when we look through our own past

published results, three-sigma seems frequently achievable, and perhaps an error rate of one in 370 is

preferable to an error rate of one in twenty. For context, in the study of pea roots, a sample sizes of

$n$=30 to $n$=60 would be able to detect both large and medium effect sizes at sigma three (Fig 5B).

Furthermore, in the context of experiments 1-4, the somewhat ambiguous leaf and stem effect sizes

would no longer be "statistically significant" under three-sigma.

503            Another solution is a culture of science that values replication studies, and ecologists seem

increasingly open to such replication. Indeed, replication of studies allows for meta-analysis, which has

been an increasingly powerful tool in ecology to resolve large questions and problems (E.g. Cardinale et

al., 2007, Kembel et al., 2008, Vellend et al., 2013). In addition, large scale experiments that are

replicated by independent groups across space and time are becoming increasingly common in ecology.

Examples of these include the plethora of Free Air Carbon dioxide Enrichment studies (Norby and Zak,

2011), networks of large atmospheric eddy covariance towers (Luyssaert et al., 2007), the National

Science Foundation of the USA's National Ecological Observatory network (NEON;

https://www.neonscience.org/). Ecologists are also forming increasingly large networks of studies using

common methods such as nutrient net (https://nutnet.org/), drought net (https://drought-

net.colostate.edu/), the global biodiversity initiative (https://www.gfbinitiative.org/) or the Smithsonian

ForestGeo network (https://forestgeo.si.edu/).

Finally, pre-registration has been one solution increasingly adopted in other fields (Van 't Veer

and Giner-Sorolla, 2016). Pre-registration requires researchers to detail and publish their hypotheses,

methods and analyses before the experiments are performed. Pre-registration can potentially protect

against $p$-hacking, and post-hoc data exploration. We are unaware of any wide-spread attempts at pre-

registration in ecology.

*Conclusion*

A small number of plant ecologists have been arguing about plant root responses to neighbours

for several decades, but only sporadically performing experiments to advance the debate. Three

mutually exclusive theories have been devised: (i) roots should avoid neighbours and under-proliferate

roots in response to competition; (ii) roots should confront neighbours and over-proliferate in response

to competition, and; (iii) roots cannot recognize neighbours and do not respond independent from their

responses to nutrients forming an IFD of roots in soil. Published studies in the literature showed support

for all three theories with the common pea. We were unable to replicate previous findings of over-

proliferation, or under-proliferation in four separate experiments. Combined with meta-analysis, we

conclude that pea exhibits the IFD response. There is a growing recognition that many fields in science

have a reproducibility problem. This study of one problem in plant ecology may not represent a

replication crisis in ecology. Yet, it highlights the risk of making conclusions which are not replicated, and

independently verifiable. Following success in other fields of science, we recommend ecologists consider

a more stringent statistical significance cut-off, that ecologists be more sceptical of results that have not

been independently replicated, and that the field explores pre-registration of experimental design.

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## AUTHOR CONTRIBUTIONS

543 GGM and MM designed the experiments. MM performed experiments 1,2 and 4 and statistical analyses.

544 AK performed experiment 3, and statistical analyses. MM drafted the initial manuscript, and all authors

545 contributed to revisions.

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**TABLE 1**: Summary of methodological differences among experiments 1-4. Throughout most of the
paper we refer to the experiments by the media type.

|  | Experiment 1 | Experiment 2 | Experiment 3 | Experiment 4 |
|---|---|---|---|---|
| **Timing** | Spring 2016 | Autumn 2016 | Winter 2017 | Autumn 2018 |
| **Media type** | 100% Soil | 50% soil 50% gravel | 100% gravel | 100% Vermiculite |
| **Plant support** | Stakes | Stakes and Bird netting | Stakes and ties | Stakes and ties |
| **Watering Schedule** | Every other week | Every other week | Weekly | Weekly |
| **Nutrient Application** | ¼ g/L nutrients twice | None | ½ g/L nutrients four times | ½ g/L nutrients four times |

731 **TABLE 2**: ANOVA tables for the GLMMs on the biomass production of different plant tissues across
732 neighbour addition treatments in experiments 1-4. Statistical significance at the $\alpha = 0.05$ level is
733 marked with * and bold face font. Denominator degrees of freedom (Den. df) were estimated using
734 Satterthwaite's method.

| Tissue | Experiment | Num. df | Den. df | F | p |
|---|---|---|---|---|---|
| Root | 1. Soil | 3 | 40.96 | 1.04 | 0.3852 |
| | 2. Soil-gravel | 3 | 42.00 | 2.65 | 0.0611 |
| | 3. gravel | 3 | 55.00 | 1.03 | 0.388 |
| | 4. Vermiculite | 3 | 33.04 | 1.52 | 0.2276 |
| Stem | 1. Soil | 3 | 41.46 | 1.57 | 0.2103 |
| | 2. Soil-gravel | 3 | 49.00 | 3.06 | **0.0368*** |
| | 3. gravel | 3 | 56.00 | 0.84 | 0.4761 |
| | 4. Vermiculite | 3 | 34.64 | 1.19 | 0.3268 |
| Leaf | 1. Soil | 3 | 41.01 | 0.87 | 0.4642 |
| | 2. Soil-gravel | 3 | 49.00 | 2.43 | 0.0762 |
| | 3. gravel | 3 | 56.00 | 3.4 | **0.0237*** |
| | 4. Vermiculite | 3 | 34.98 | 1.09 | 0.3666 |
| Fruit | 1. Soil | 3 | 41.46 | 2.02 | 0.1259 |
| | 2. Soil-gravel | 3 | 38.6 | 0.71 | 0.5497 |
| | 3. gravel | 3 | 42.00 | 2.79 | 0.0523 |
| | 4. Vermiculite | 3 | 35.1 | 1.66 | 0.1939 |
| Shoot = (Leaf + Stem) | 1. Soil | 3 | 41.24 | 1.25 | 0.3039 |
| | 2. Soil-gravel | 3 | 49.00 | 2.68 | 0.0562 |
| | 3. gravel | 3 | 56.00 | 2.47 | 0.0715 |
| | 4. Vermiculite | 3 | 34.91 | 1.11 | 0.3561 |

(N) No neighbours

(R) Root neighbours

(S) Shoot neighbours

(B) Both neighbours

738 **FIGURE 1**: Schematic representation of the experimental design used to separate root and shoot

739 competition. Dividers were placed between plants either above or belowground to create four

740 treatments that included: (N) no interaction with neighbours; (R) only root interactions with neighbours;

741 (S) only shoot interactions with neighbours, or; (B) both root and shoot interactions with neighbours.

742 Image is not to scale, see methods for dimensions of pots and dividers.

**FIGURE 2**: Results of root (A-D) and pod biomass (E-H) production across the four experiments as a test

of different theories of root production in response to neighbours above and below ground.  Treatment

codes refer to both root and shoot interactions (B), no interactions (N), root interaction only (R), or

shoot interactions only (S). The code ns indicates lack of statistical significance in GLMMs which supports

the IFD model, and rejects the root under- or over-proliferation hypotheses (Table 2).

**FIGURE 3**: Results of stem and leaf production in response to neighbours either above or below ground.

Most root based theories make no specific predictions about above ground growth. Treatment codes

refer to both root and shoot interactions (B), no interactions (N), root interaction only (R), or shoot

interactions only (S). The label *ns* indicates lack of statistical significance at the $\alpha = 0.05$ level in

GLMMs, while lower case letters indicate post-hoc comparisons of differences among treatments at this

$\alpha = 0.05$ level (Table 2).

**FIGURE 4**: Summary of all available studies of pea responses to the presence of below ground

neighbours. (A) results plotted with Chen et al. (2015) data analysed with nutrients controlled rather

than pot volume. (B) results plotted with Chen et al. (2015) data presented as published, with pot

volume controlled rather than nutrients. The log response ratio is the natural log of the biomass with

neighbours to alone. Positive values for roots indicate support for the over-proliferation (OP)

hypothesis, negative values support the under-proliferation (UP) hypothesis, and values equal to zero

support the IFD hypothesis. The shape of each point represents the original conclusion (Result) of the

published study, colour represents the reference of the original study, and error bars are 1 standard

deviation of the log response ratio (which was not available for all studies). The log response ratio is also

compared against pot volume of the neighbour pot for roots (C, D) and pods (E, F). In these panels, a

'jitter' with width=0.2 was applied to pot volume to separate studies more clearly.  Complete meta-

analysis data are shown in Table S3.

**FIGURE 5**: Power analysis for statistical significance using a different willingness to make a type I error:

(A) 2 sigma, i.e. a 1 in 20 type I error rate, (B) 3 sigma, i.e. a 1 in 370 type I error rate and (C) five sigma,

i.e. a 1 in 3.5million type I error rate. Coloured lines represent different levels of statistical power, that

is, the ability to correctly reject a false null and avoid Type II statistical error. Horizontal dotted lines

represent the minimum (n=4), and maximum (n=25) published sample sizes for pea, and n=224 the total

number of replicates across the literature. Vertical lines represent conventional small, medium and high

effect sizes from left to right respectively.