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2 **Effects of Malaise trap spacing on species richness and composition of terrestrial arthropod**
3 **bulk samples**

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19

20 **Abstract**

21 The Malaise trap has gained popularity for assessing diverse terrestrial arthropod communities
22 because it collects large samples with modest effort. A number of factors that influence collection
23 efficiency, placement being one of them. For instance, when designing larger biotic surveys using
24 arrays of Malaise traps we need to know the optimal distance between individual traps that
25 maximises observable species richness and community composition. We examined the influence
26 of spacing between Malaise traps by metabarcoding samples from two field experiments at a site
27 in Waterloo, Ontario, Canada. For one experiment, we used two trap pairs deployed at weekly
28 increasing distance (3m increments from 3 to 27 m). The second experiment involved a total of 10
29 traps set up in a row at 3m distance intervals for three consecutive weeks.

30 Results show that community similarity of samples decreases over distance between traps. The
31 amount of species shared between trap pairs shows drops considerably at about 15m trap-to-trap
32 distance. This change can be observed across all major taxonomic groups and for two different
33 habitat types (grassland and forest). Large numbers of OTUs found only once within samples cause
34 rather large dissimilarity between distance pairs even at close proximity. This could be caused by
35 a large number of transient species from adjacent habitat which arrive at the trap through passive
36 transport, as well as capture of rare taxa, which end up in different traps by chance.

37

38 **Keywords**

39 Metabarcoding, insects, biodiversity, biomonitoring, experimental design

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41

42 **Introduction**

43

44 *During my extensive travels I have repeatedly found that insects happened to enter my tent, and*
45 *that they always accumulated at the ceiling-corners in vain efforts to escape at that place without*
46 *paying any attention to the open tent-door. On one occasion one of the upper tent-corners*
47 *happened to have a small hole torn in the fabric, and through this hole all the insects pressed their*
48 *way and escaped. Later on the idea occurred to me, that, if insects could enter a tent and not find*
49 *their, way out, and always persistently tried to reach the ceiling, a trap, made as invisible as*

50 *possible and put up at a place where insects are wont to patrol back and forth, might catch them*
51 *much better than any tent and perhaps better than a man with a net...*

52 *Rene Malaise 1937*

53

54 The inclusion of terrestrial invertebrates in biodiversity inventories and surveys has increased
55 substantially over the past years (Dopheide et al. 2019, Drake et al. 2007) but sampling efficiency
56 remains a key consideration when designing larger censuses (Telfer et al. 2015, Timms et al. 2012).
57 Although no single sampling can be used to survey all taxa at a given site, the Malaise trap (Malaise
58 1937) has gained popularity for assessing terrestrial arthropod communities (Karlsson et al. 2005)
59 because it collects large and diverse samples with fairly little effort. Malaise's (1937) invention is
60 a tent-like flight-interception trap made from fine mesh netting with a central screen suspended
61 below a sloping ridge-roof that leads to a collecting bottle at the upper end. Flying insects that hit
62 the screen, subsequently fly or walk along this roof to the bottle which is usually filled with >90%
63 ethanol as preservative. Traps are usually deployed in a way that the central mesh intercepts the
64 flying path of insects. There are a number of different designs available although the most
65 commonly used traps are so called Townes-Style traps (Townes 1972) and derived versions of it
66 (e.g. ez-Malaise traps). The trap is particularly well suited for inventory because it catches a wide
67 variety of flying insects and some ground active insects that climb up the trap fabric. Malaise
68 trapping is easy, requires modest labour and as such represents one of the best mass-collecting
69 methods available for terrestrial invertebrates (deWaard et al 2018).

70 Initially Malaise traps were considered of limited use in conservation evaluation and bio-
71 surveillance because of the huge size of their catch (Drake et al. 2007) which made it difficult to
72 characterize the community using traditional morphology-based methods (Cook et al. 2010).
73 Consequently, larger surveys used total biomass rather than detailed identification of specimens.
74 In fact one of the recent reports on the dramatic decline of terrestrial arthropod abundance was the
75 result of a long-term study using Malaise traps and catch biomass (Hallmann et al. 2017). The
76 recent advent of DNA barcoding (Hebert et al. 2003) and metabarcoding (Taberlet et al. 2012)
77 opened the door to more comprehensive estimates of species richness and community composition
78 (Braukmann et al. 2019, Yu et al. 2012, Steinke et al. 2020) and Malaise traps are poised to become
79 a ubiquitous tool for biodiversity surveys (Geiger et al. 2016). It seems that large scale or global

80 high-resolution monitoring networks are within our reach (Hobern & Hebert 2019) but there are
81 still a number of challenges in relation to the small scale variability of many terrestrial habitats.

82 There are various factors that influence the efficiency of Malaise traps. Temperature,
83 precipitation, and wind are considered important as largest catches generally occur on hot, dry,
84 and still days (Matthews & Matthews 1971). It has also been noted (Townes 1962) that insects
85 often fly closer to the ground in spring because of the warmer air there, thereby increasing the
86 number of individuals caught during this season. As a Malaise trap samples only those arthropods
87 that happen to fly through a relatively small area, trap placement becomes an important
88 consideration. Height of surrounding vegetation and location in shade or sun can alter trap
89 performance and efficiency (Matthews & Matthews 1971, Ssymank et al. 2018). Another relevant
90 but not systematically studied variable is the distance between traps in a sampling area. This is a
91 particular important consideration when designing larger biotic surveys using arrays of traps. For
92 instance, it is not known how many traps at what distance are needed to maximise observable
93 species richness and community composition for a given location.

94 The main objective of this study was to examine the effects of spacing between traps on species
95 richness and composition of Malaise trap samples. Bulk samples from two field experiments at a
96 site in Waterloo, Ontario, Canada were assessed using metabarcoding to determine if (1) there is
97 a critical distance between traps at which species overlap drops significantly and if (2) structural
98 composition of habitats has an influence on such a distance.

99

100 **Materials & Methods**

101

102 *Site and sampling*

103 Arthropod bulk samples were collected using ez-Malaise traps (Bugdorm, Taiwan). Traps for
104 the first experiments (Figure 1a) were deployed in both a grassland and a forested pond area near
105 Waterloo, Ontario, Canada. Traps for experiment 2 (Figure 1b,c) were positioned only in the
106 grassland area. For the first experiment we used two trap pairs that were deployed next to each
107 other (3m distance between both collecting bottles) respectively. Each week trap spacing for each
108 pair was increased by three meters to a maximum distance of 27m (Figure 1a). Samples were
109 collected every week before moving one trap further away. Each time we cleaned trap heads
110 (collecting area with bottles) using bleach and ethanol to minimize cross-contamination between

111 sampling events. The second experiment involved a total of 10 traps set up in 3m distance intervals
112 for three consecutive weeks (Figure 1b,c). Samples were also collected each week sample bottles
113 were stored at -20 °C for further analysis.

114

115 *Molecular analysis*

116 All samples were dried at room temperature for three days in a disposable grinding chamber.
117 Each sample was ground to fine powder using an IKA Tube Mill control (IKA, Breisgau,
118 Germany) at 25,000 rpm for 2 × 3 min. DNA was extracted from approximately 20 mg of ground
119 tissue using the DNeasy Blood & Tissue kit (Qiagen, Venlo, Netherlands) following manufacturer
120 protocols.

121 Metabarcoding was carried out using a two-step fusion primer PCR protocol (Elbrecht &
122 Steinke 2019). During the first PCR step, a 421 bp region of the Cytochrome c oxidase subunit I
123 (COI) was amplified using the BF2 + BR2 primer set (Elbrecht & Leese 2017, Elbrecht et al.
124 2019). PCR reactions were carried out in a 25 µL reaction volume, with 0.5 µL DNA, 0.2 µM of
125 each primer, 12.5 µL PCR Multiplex Plus buffer (Qiagen, Hilden, Germany). The PCR was carried
126 out in a Veriti thermocycler (Thermo Fisher Scientific, MA, USA) using the following cycling
127 conditions: initial denaturation at 95 °C for 5 min; 25 cycles of: 30 sec at 95 °C, 30 sec at 50 °C
128 and 50 sec at 72 °C; and a final extension of 5 min at 72 °C. PCR success was checked on a 1%
129 agarose gel. One µL of PCR product was used as template for the second PCR, where Illumina
130 sequencing adapters were added using individually tagged fusion primers (Elbrecht & Steinke
131 2019). Tagging combinations are available in Table S1. We mainly used the same thermocycler
132 conditions as in the first PCR but the reaction volume was increased to 35 µL, the cycle number
133 reduced to 20 and extension time increased to 2 minutes per cycle. PCR success was again checked
134 on a 1% Agarose gel. PCR products were purified and normalized using SequalPrep Normalization
135 Plates (Thermo Fisher Scientific, MA, USA, Harris et al., 2010) according to manufacturer
136 protocols. Ten µL of each normalised sample were pooled, and the final library cleaned using left
137 sided size selection with 0.76x SPRIselect (Beckman Coulter, CA, USA. Sequencing was carried
138 out by the Advances Analysis Facility at the University of Guelph using the 600 cycle Illumina
139 MiSeq Reagent Kit v3 and 5% PhiX spike in. The read length of read one was increased to 316
140 bp, while keeping read 2 to 300 bp. As we only used inline barcodes for sample tagging, both
141 Illumina indexing read steps were skipped.

142

143 *Data processing*

144 Initial quality control of raw sequence data was done using FastQC v0.11.8. Subsequently,
145 sequence data were processed using the JAMP pipeline v0.69 (github.com/VascoElbrecht/JAMP)
146 starting with demultiplexing, followed by paired-end merging using Usearch v11.0.667 with
147 `fastq_pctid=75` (Edgar 2010). Primer sequences were trimmed from each sequence using Cutadapt
148 v1.18 with default settings (Martin 2011), retaining only sequences where primers were
149 successfully trimmed at both ends. Cutadapt was also used to remove sequences shorter than 411
150 bp and longer than 431 bp. Sequences with poor quality were removed using an expected error
151 value of 1 (Edgar & Flyvbjerg 2015) as implemented in Usearch. Filtered reads of each sample
152 were dereplicated and singletons removed, before pooling all reads for OTU clustering with
153 Usearch `cluster_otus` at a 97% similarity threshold. Duplicated reads from each sample including
154 singletons were mapped back against generated OTUs using Usearch `usearch_global`, to generate
155 a OTU table. The maximum read count for each OTU across all 12 negative controls was
156 multiplied by two, and subtracted from corresponding OTU read counts in all samples. Taxonomy
157 was assigned by using OTUs as queries for the BOLD reference database (www.boldsystems.org
158 Ratnasingham & Hebert 2007) utilizing the JAMP `Bold_web_hack` script with default settings.
159 Only OTUs with a minimum match of 98% were retained for further analysis. For most analysis
160 carried out in R v3.5.1, relative read counts were used, and only reads above 0.01% abundance
161 were considered.

162

163 *Statistical analysis*

164 OTU tables (Table S2) were used to calculate both the number of OTUs shared as well as the
165 Bray-Curtis dissimilarity between all trap pairs for both experiments. In order to determine OTU
166 sampling effort we calculated accumulation curves for both experiments using the function
167 `specaccum` and extrapolated species richness for each week using `specpool`, both part of the `vegan`
168 package (Oksanen et al. 2018). Pairwise OTU overlap among trap distance pairs was evaluated
169 using the nonparametric multiple comparison function implemented in the R package `dunn.test`
170 1.2.4 (Dinno 2016) which is equivalent to the Kruskal-Wallis test.

171

172

173

174 **Results**

175 We were able to extract high quality DNA from most samples, and obtained strong bands for
176 all 74 samples after the second PCR step (Suppl Figure). Illumina sequencing generated
177 13,910,614 reads (partial run shared with other projects), with the raw data being available on
178 NCBI SRA with the accession number SRP200574. About 27% of the reads were filtered during
179 data processing, leaving an average of about 137,181 sequences per sample. In total, 10,151,381
180 post-filtering reads could be used for clustering with Usearch.

181 Our analysis shows a total of 2,315 OTUs for the grassland site and 2,804 OTUs for the forest
182 pond site in experiment 1 (Figure 2a). On average about half of those (49%) were only detected
183 once over the entire experiment. The Chao 1 (Magurran, 2003) species estimates for the total
184 number of OTUs possible with complete sampling were $3,847 \pm 119$ and $4,550 \pm 129$ respectively.
185 Both sites had a total of 860 OTUs in common. Bray-Curtis dissimilarity between samples of
186 distance pairs was generally high (>0.67) for both sites and all distances, however dissimilarity at
187 both sites increased significantly (Kruskal-Wallis and Dunn's posthoc $p < 0.0001$) at 18m distance
188 (Figure 2b). Overall, the proportion of OTUs shared between trap pairs ranged from 26-27%.

189 The total OTU count for the grassland site comprised 21 orders with six orders (Coleoptera,
190 Diptera, Hemiptera, Hymenoptera, Lepidoptera, Orthoptera) representing 97% of all specimens
191 (Table S1). We found 20 orders at the forest pond site with five orders (Coleoptera, Diptera,
192 Hemiptera, Hymenoptera, Lepidoptera) representing 96% of all specimens (Table S3). About 1/3
193 of OTUs was shared between both sites. We observed a distinct drop in the number of species
194 shared between traps at a distance of 15m for both the grassland (Figure 2c) and the forest pond
195 site (Figure 2d).

196 For experiment 2 we found totals of 1,017, 662, and 738 OTUs for weeks 1-3 (Figure 3a, Figure
197 S1). The total number of OTUs found over the three weeks was 1,610. Chao estimates for the
198 expected amount of total OTUs were $2,007 \pm 117$, $1,211 \pm 80$, $1,479 \pm 102$ for weeks one to three,
199 respectively. Dissimilarity values for experiment 2 were generally higher with weekly averages
200 ranging from 0.75 (week 2) to 0.92 (week 3) (Figure 3b). The values gradually increased with
201 increasing distance between two traps. In addition more than half of the OTUs obtained in in three
202 weeks of experiment 2 (811) were only detected in a single trap following a common hollow curve
203 species abundance pattern (Figure S2).

204 OTUs found during experiment 2 comprised 15-18 orders with the five orders Coleoptera,
205 Diptera, Hemiptera, Hymenoptera, and Lepidoptera representing between 85-95% of all specimens
206 (Table S3). In contrast to experiment 1, each week we observed a constant decline of the number
207 of species shared between traps with increased distance between them (Figure 3c).

208

209

210 **Discussion**

211 Malaise traps as sampling method for terrestrial arthropod communities represent a rather
212 efficient and economical means for obtaining comprehensive samples with minimal effort
213 (Karlsson et al. 2005). They can be operated continuously in any weather with only occasional
214 attendance and deliver large sample sizes. In conjunction with modern DNA-based methods to
215 assign taxonomy (e.g. metabarcoding) they probably represent the best mass-collecting method
216 available for terrestrial arthropods and are well suited for large scale biotic surveys using arrays of
217 traps (Yu et al 2012, deWaard et al. 2018, Steinke et al 2020). However, so far it was not known
218 how many traps at what distance are needed to maximise observable species richness and
219 community composition for a given location (Noyes 1989). Various strategies have been applied
220 but trap spacing varied considerably (Fraser et al. 2008, Santos et al. 2014). Results from our first
221 experiment (Figure 1a, 2) suggest that deploying traps at about 15m distance from each other
222 would significantly increase overall species richness and reduce overlap between traps. This is true
223 for all major taxonomic groups collected (Figure 2 c, d). Interestingly, overall general habitat
224 structure seems to have no effect on the distance observed as both, the grassland and the forest
225 pond sites exhibit the same cut-off value. On the other hand, experiment 2 does not show such a
226 clear drop in overlap between adjacent traps (Figure 3 c, d, e). For each week we were able to
227 observe a more gradual decline in the number of OTUs overall and per taxonomic group
228 respectively. This could be the result of the experimental set up we chose. A row of ten traps
229 represents a continuous structure along which some animals have the ability to move before being
230 caught. Along the row of traps the amount of observed OTUs varies which is likely the result of
231 variation in microhabitat structure (Figure S1). The grassland chosen for the experiment was not
232 entirely uniform and characterized by sporadic patches of golden rod (*Solidago canadensis*).

233 The large dissimilarity values observed in both our experiments (Figure 2b, 3b) are influenced
234 by a large proportion of singleton OTUs. We are confident that these are mostly true specimens

235 rather than OTUs derived from sequencing or PCR errors, because we removed OTUs that did not
236 match the BOLD database to at least 98%. Large numbers of OTUs found only once over a
237 sampling period or between traps have been observed several times in other studies using Malaise
238 traps (e.g. Geiger et al. 2016, deWaard et al. 2018, Steinke et al. 2020). This phenomenon has been
239 discussed as an indicator for the presence of transient species (D'Souza & Hebert 2018, Steinke et
240 al. 2020). Transient species have been defined as species that show up only occasionally as a result
241 of dispersal from adjacent habitat (Snell Taylor et al. 2018). Specifically, many smaller species
242 caught are not necessarily living in the sampled habitat but are rather passively transported there
243 e.g. by wind. Additionally, sampling might be stochastic when it comes to rare or low abundant
244 taxa.

245 Malaise trapping with only a few traps at a single site over a short timescale always provides
246 an incomplete species list. That is no different for our study which suggests that additional trapping
247 efforts by increasing the number of traps or by enlarging the trapping surface (e.g. Gressitt &
248 Gressitt 1962) are needed to approach asymptotic species richness at both experimental sites. The
249 trap results for experiment 1 suggest that it needs a 1.6-fold increase of the full sampling effort for
250 a complete inventory (over the entire 10 weeks of the experiment) based on Chao-1. For
251 experiment 2 sampling efforts would need to be doubled to obtain maximum species richness for
252 the site in any given week. This could perhaps be accomplished by deploying a second row of ten
253 traps at 15 m distance following the findings of experiment 1. The alternative would be to increase
254 the sampling duration (Fraser et al. 2008) or the sampling surface of the traps (Gressitt & Gressitt
255 1962).

256 In conclusion, our results suggest the following recommendations for sampling and monitoring
257 terrestrial invertebrate communities with Malaise traps: (a) within a temperate and uniform habitat
258 a number of traps equally spaced at >15m will sample more of the local diversity while at the same
259 time reduce the extend of repetitive sampling, (b) longer trapping duration can help to reach
260 asymptotic species richness and lead to more complete species lists, and (c) future work should
261 include research on the origin and the role of singletons. Are they in fact transient species passively
262 dispersed towards the trap or low abundant resident core species that are not efficiently detected?

263

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269

270 **Author contributions**

271 DS developed the concept, DS and VE designed the experiments and carried out the sampling,
272 VE and LM did the laboratory work, DS and VE analysed the data, DS wrote the paper, VE,
273 AW, and TB revised the paper and provided input throughout the study .

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387
388

389 **Figure legends**

390

391 **Figure 1:** Sampling design (trap distances over time) for experiment 1 (a) and experiment 2 (b).

392

393 **Figure 2:** Results for experiment 1. a) OTU accumulation curves for both sites by sample, b)

394 Histogram of Bray-Curtis dissimilarities between samples of distance pairs for both sites.

395 Number of shared OTUs per trap distance pair for the top five arthropod orders at grassland (c)

396 and forest pond (d) site.

397

398 **Figure 3:** Results for experiment 2. a) OTU accumulation curves for each sampling week by trap

399 b) Histogram of Bray-Curtis dissimilarities between samples of distance pairs for all weeks, c)

400 number of shared OTUs by trap distance for the top five arthropod orders for each week.

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402

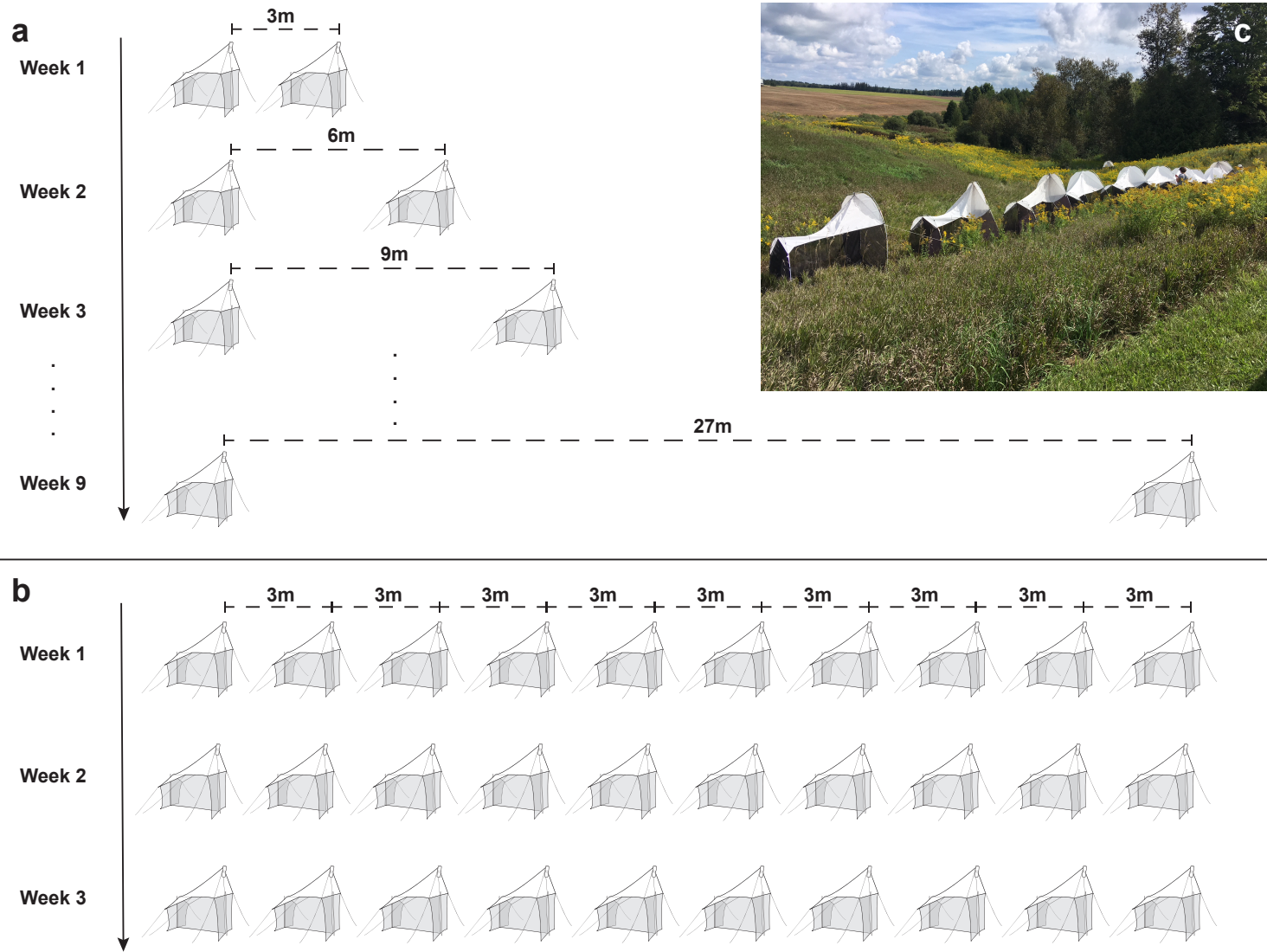
403 **Figure S1:** Histogram of OTU richness per trap (corresponding trap shown in photograph

404 below) per week of experiment 2.

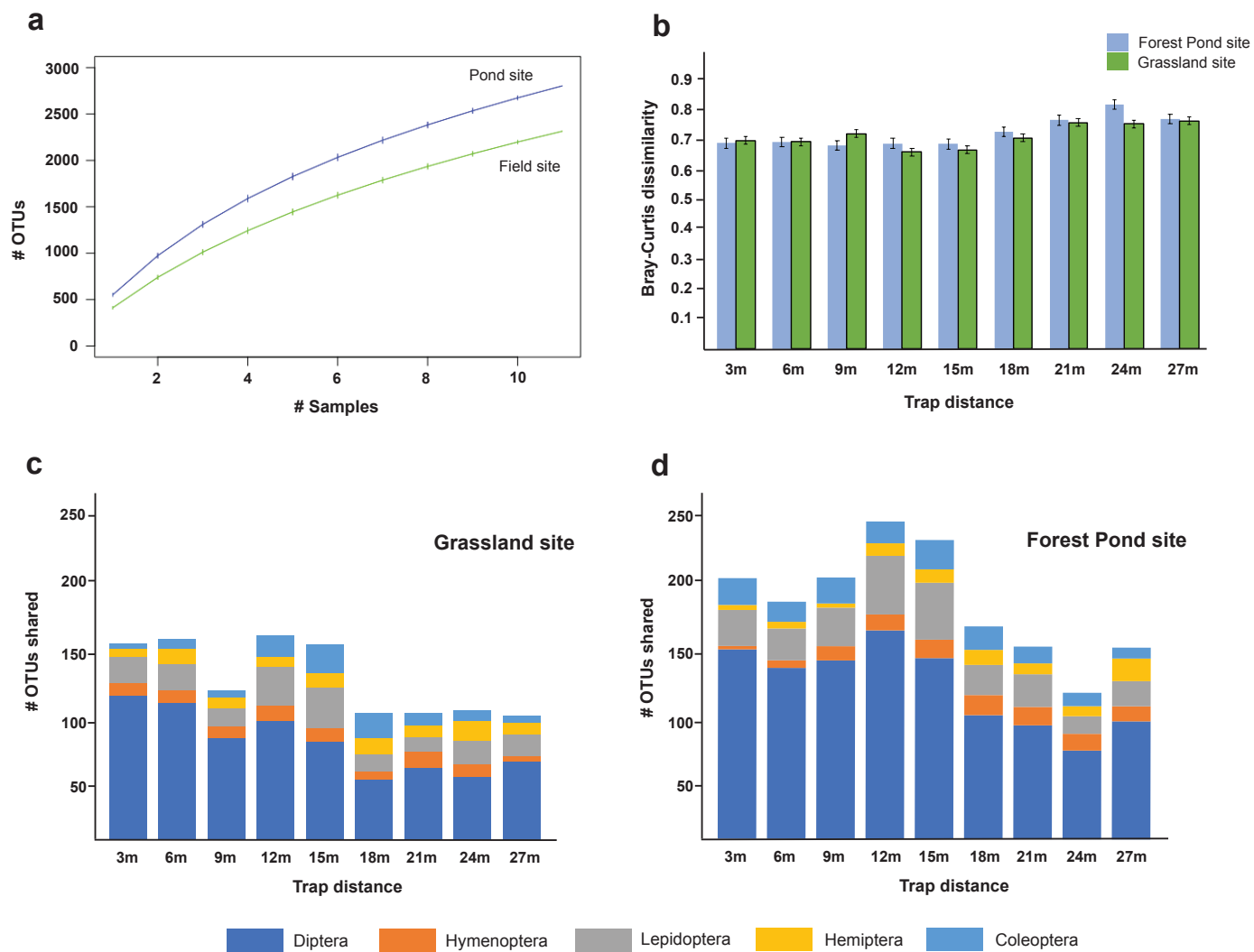
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406 **Figure S2:** Number of OTU occurrences in traps of experiment 2.

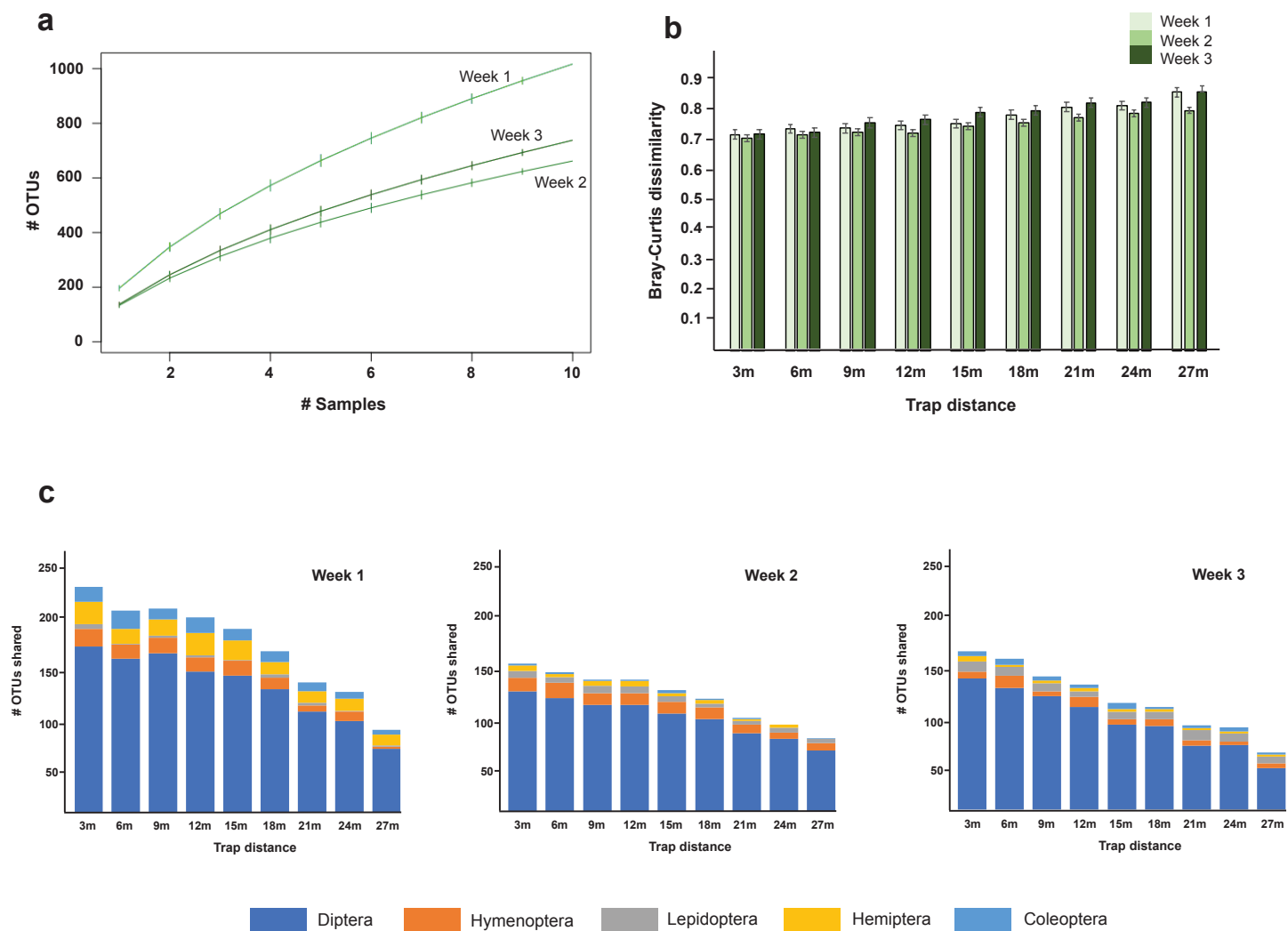
407



410 **Figure 2**



412 **Figure 3**



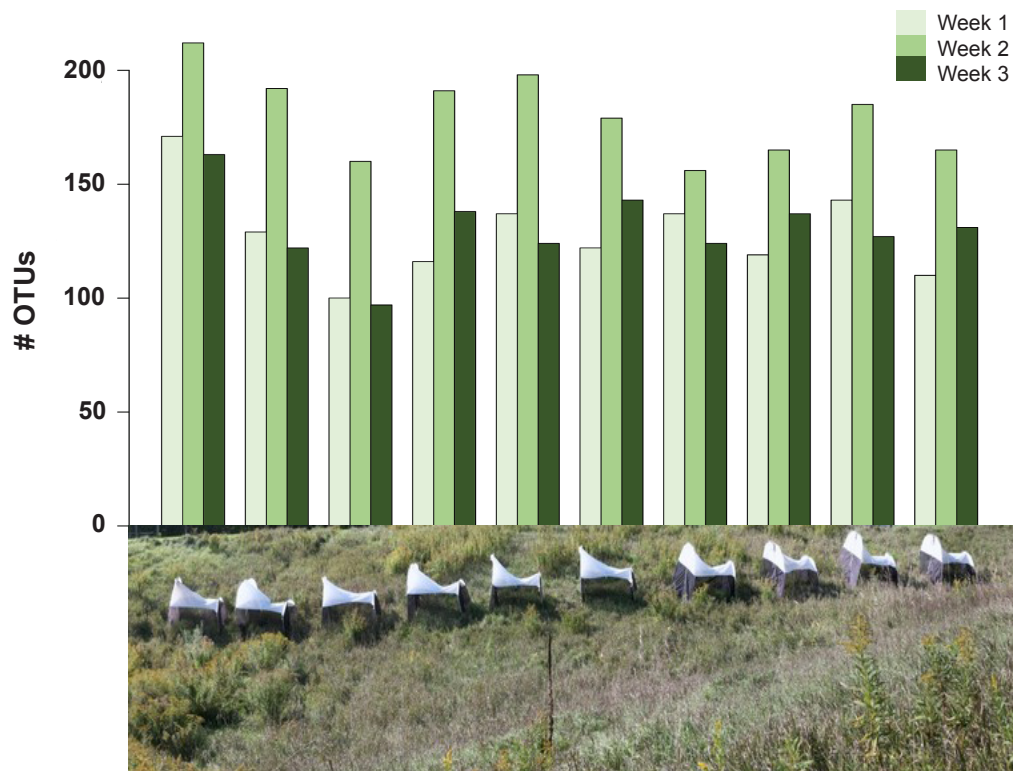
414 **Figure S1**

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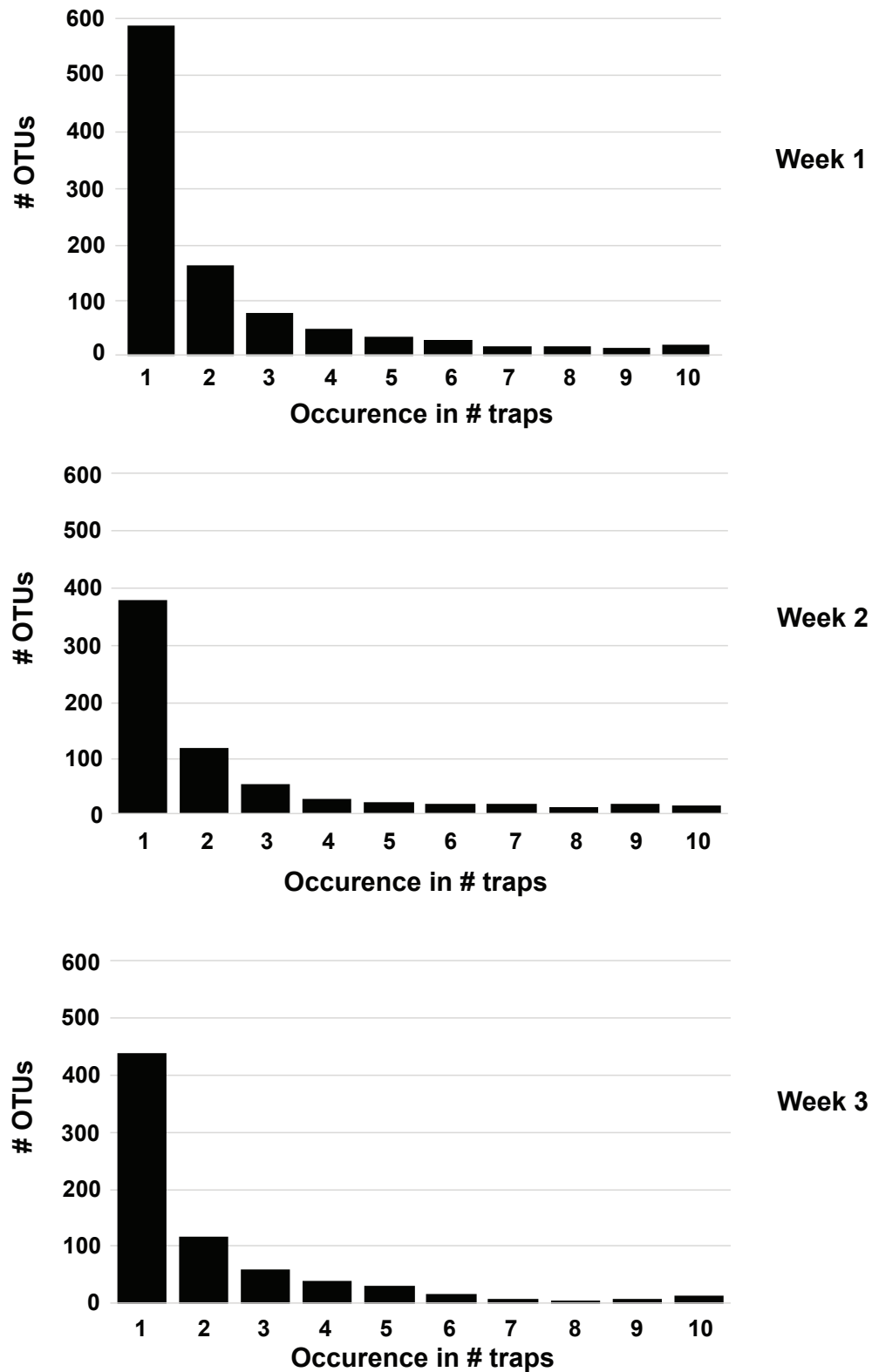
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421 **Figure S2**
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423

424 **Table S1:** Tagging layout

425 *TableS1_Distance Layout.xls*

426

427 **Table S2:** OTU table (FC, FM, PC, PM – Experiment 1; A, B, F – Experiment 2; C – Controls)

428 *TableS2_OTU Table.xlsx*

429

430 **Table S3:** Taxonomic breakdown by order for both experiments and sites

431 *TableS3_taxonomic breakdown.xlsx*

432