1 Distinct higher-order representations of natural sounds in human and ferret

2 auditory cortex

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4 Authors

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89 Abstract

Little is known about how neural representations of natural stimuli differ across species. Speech and music for example play a unique role in human hearing, but it is unclear how auditory representations of speech and music differ between humans and other animals. Using functional

13 Ultrasound imaging, we measured responses in ferret auditory cortex to a set of natural and

14 spectrotemporally-matched synthetic sounds previously tested in humans, as well as natural and

15 synthetic ferret vocalizations. Ferrets showed similar frequency and modulation tuning to that

16 observed in humans. But while humans showed selective responses to natural speech and music

17 in non-primary auditory cortex, ferret responses to natural and synthetic sounds were closely

18 matched throughout primary and non-primary regions, even when tested with ferret vocalizations.

19 This finding suggests the unique demands of speech and music have substantially altered higher-

20 order acoustic representations in human auditory cortex, while largely preserving lower-level

21 tuning for frequency and modulation.

22 Introduction

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24 Surprisingly little is known about how sensory representations of natural stimuli differ across species (Theunissen and Elie, 2014). This guestion is central to understanding how evolution and 25 26 development shape sensory representations (Moore and Woolley, 2019) as well as developing 27 animal models of human brain functions. Audition provides a natural test case because speech 28 and music play a unique role in human hearing (Zatorre et al., 2002; Hickok and Poeppel, 2007; 29 Patel, 2012). While human knowledge of speech and music clearly differs from other species 30 (Pinker and Jackendoff, 2005), it remains unclear how neural representations of speech and music differ from those in other species, particularly within the auditory cortex. Few studies have 31 32 directly compared neural responses to natural sounds between humans and other animals, and 33 those which have done so, have often observed similar responses. For example, both humans 34 and non-human primates show regions that respond preferentially to conspecific vocalizations (Belin et al., 2000; Petkov et al., 2008). Human auditory cortex exhibits selectivity for speech 35 36 phonemes (Mesgarani et al., 2014; Di Liberto et al., 2015), but much of this selectivity can be 37 predicted by simple forms of spectrotemporal modulation tuning (Mesgarani et al., 2014), and 38 perhaps as a consequence, can be observed in other animals such as ferrets (Mesgarani et al., 39 2008; Steinschneider et al., 2013). Consistent with this finding, maps of spectrotemporal 40 modulation, measured using natural sounds, appear coarsely similar between humans and macaques (Erb et al., 2019) although temporal modulations present in speech may be over-41 42 represented in humans. Thus, it remains unclear if the representation of natural sounds in auditory cortex differs substantially between humans and other animals, and if so, how. 43

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45 A key challenge is that representations of natural stimuli are transformed across different stages of sensory processing, and species may share some but not all representational stages. 46 47 Moreover, responses at different sensory stages are often correlated across natural stimuli (de 48 Heer et al., 2017), making them difficult to disentangle. Speech and music, for example, have distinctive patterns of spectrotemporal modulation energy (Singh and Theunissen, 2003; Ding et 49 al., 2017), as well as higher-order structure (e.g. syllabic and harmonic structure) that is not well 50 captured by modulation (Norman-Haignere and McDermott, 2018). To isolate neural selectivity 51 52 for higher-order structure, we recently developed a method for synthesizing sounds whose spectrotemporal modulation statistics are closely matched to a corresponding set of natural 53 54 sounds (Norman-Haignere and McDermott, 2018). Because the synthetic sounds are otherwise 55 unconstrained, they lack perceptually salient higher-order structure, particularly for complex natural sounds like speech and music which are poorly captured by modulation statistics, unlike 56 57 many other natural sounds (McDermott and Simoncelli, 2011). We found that human primary 58 auditory cortex responds similarly to natural and spectrotemporally synthetic sounds, while nonprimary regions respond selectively to the natural sounds. Most of this selectivity is driven by 59 60 preferential responses to natural speech and music in distinct neural populations of non-primary auditory cortex (Norman-Haignere et al., 2015; Norman-Haignere and McDermott, 2018). 61 62 Importantly, this response preference for natural speech and music is independent of speech semantics, since similar responses are observed for native and foreign speech (Norman-Haignere 63 64 et al., 2015; Overath et al., 2015), and explicit musical training, since music selectivity is robust in 65 humans without any training (Boebinger et al., 2020). These findings suggest that human nonprimary regions respond selectively to higher-order acoustic features that both cannot be 66 explained by lower-level modulation statistics, but do not yet reflect explicit semantic knowledge. 67 68

The goal of the present study was to test whether such higher-order selectivity reflects a generic mechanism for analyzing complex sounds like speech and music, and thus is present in other species, or is instead driven by the unique demands of speech and music perception in humans.

72 We addressed this question by measuring cortical responses in ferrets – one of the most common

73 animal models used to study auditory cortex (Nelken et al., 2008) – to the same set of natural and 74 synthetic sounds previously tested in humans, as well as natural and synthetic ferret vocalizations. Responses were measured using functional UltraSound imaging (fUS) (Macé et al., 2011; 75 Bimbard et al., 2018), a newly developed wide-field imaging technique that like fMRI detects 76 77 changes in neural activity via changes in blood-flow (movement of blood induces a doppler effect 78 detectable with ultrasound). fUS has substantially better spatial resolution than fMRI making it 79 applicable to small animals like ferrets. We found that tuning for spectrotemporal modulations present in both natural and synthetic sounds was similar between humans and animals, and could 80 81 be quantitatively predicted across species, consistent with prior findings (Mesgarani et al., 2008; 82 Erb et al., 2019). But unlike humans, ferret responses to natural and synthetic sounds were similar throughout primary and non-primary auditory cortex even when comparing natural and synthetic 83 84 ferret vocalizations; and the small differences that were present in ferrets were weak and spatially scattered, unlike the selectivity observed in humans. This finding suggests that speech and music 85 have substantially altered higher-order cortical representations in humans, while preserving much 86 87 of the lower-level tuning for frequency and modulation.

89 Results

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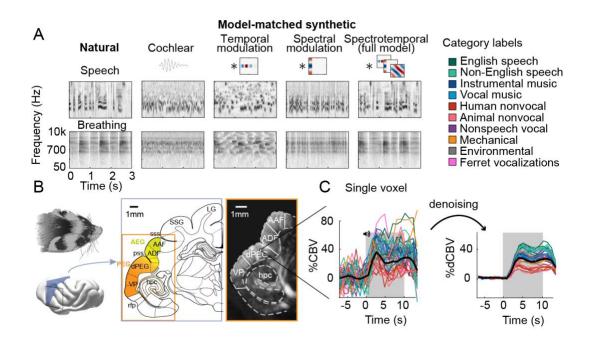
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91 Experiment I: Comparing ferret cortical responses to natural versus synthetic sounds

We measured cortical responses with fUS to the same 36 natural sounds tested previously in 92 93 humans plus 4 additional ferret vocalizations (Experiment II tested many more ferret 94 vocalizations). The 36 natural sounds included speech, music, and other environmental sounds 95 (see **Table S1**). For each natural sound, we synthesized 4 sounds that were matched on acoustic 96 statistics of increasing complexity (Fig 1A): (1) cochlear energy statistics (2) temporal modulation 97 statistics (3) spectral modulation statistics and (4) spectrotemporal modulation statistics. 98 Cochlear-matched sounds had a similar frequency spectrum, but their modulation content was 99 unconstrained and thus differed from the natural sounds. Modulation-matched sounds were 100 additionally constrained in their temporal and/or spectral modulation rates, measured by linearly filtering a cochleagram representation with filters tuned to different modulation rates (modulation-101 102 matched sounds also had matched cochlear statistics in order to isolate the contribution of 103 modulation). For complex sounds like speech and music, the modulation-matched sounds audibly differ from their natural counterparts likely because they lack higher-order structure, not captured 104 105 by spectrotemporal modulation statistics (listen to example sounds here). We focused on time-106 averaged statistics because the hemodynamic response measured by both fMRI and fUS reflects 107 a time-averaged measure of neural activity. As a consequence, each of the synthetic sounds can 108 be thought of as being matched under a different model of the fUS or fMRI response (Norman-109 Haignere and McDermott, 2018).

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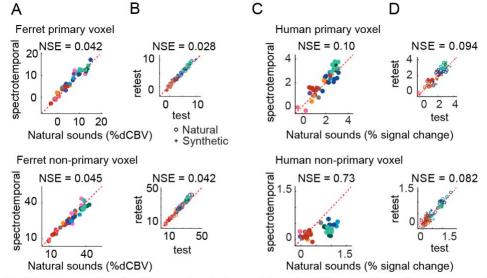
111 We measured fUS responses throughout primary and non-primary ferret auditory cortex (Fig 1B). 112 We first plot the response timecourse to all 40 natural sounds for one example voxel in non-113 primary auditory cortex (dPEG) (Fig 1C). We plot the original timecourse of the voxel as well as 114 a denoised version computed by projecting the timecourse onto a small number of reliable 115 components, which we found substantially improved prediction accuracy in left-out data (see 116 Methods for details). As expected and similar to fMRI, we observed a gradual build-up of the 117 hemodynamic response after stimulus onset. The shape of the response timecourse was similar 118 across stimuli, but the magnitude of the response varied, and we thus summarized the response 119 of each voxel to each sound by its time-averaged response magnitude (the same approach used 120 in our prior fMRI study).



121 122 Figure 1. Schematic of stimuli and imaging protocol. A, Cochleagrams for two example natural sounds 123 (left column) and corresponding synthetic sounds (right four columns) that were matched to the natural 124 sounds along a set of acoustic statistics of increasing complexity. Statistics were measured by filtering a 125 cochleagram with filters tuned to temporal, spectral or joint spectrotemporal modulations. The natural sounds 126 were diverse, and were grouped into 10 different categories shown at right. English and Non-English speech 127 are separated out because all of the human subjects tested in our prior study were native English speakers, 128 and so the distinction is meaningful in humans. B, Schematic of the imaging procedure. A three-dimensional 129 volume covering all of ferret auditory cortex was acquired through successive coronal slices. Auditory cortical 130 regions (colored regions) were mapped with anatomical and functional markers. The rightmost image shows 131 a single ultrasound image with overlaid region boundaries. Auditory regions: dPEG: dorsal posterior 132 ectosylvian gyrus; AEG: anterior ectosylvian gyrus; VP: ventral posterior auditory field; ADF: anterior dorsal 133 field; AAF: anterior auditory field. Non-auditory regions: hpc: hippocampus; SSG: suprasylvian avrus; LG: 134 lateral gyrus. Anatomical markers: pss: posterior sylvian sulcus; sss: superior sylvian sulcus. C, Response 135 timecourse of a single voxel to all natural sounds, measured from raw (left) and denoised data (right). Each 136 line reflects a different sound, and its color indicates the sound's category. The gray region shows the time 137 window when sound was present. The location of this voxel corresponds to the highlighted voxel in panel B.

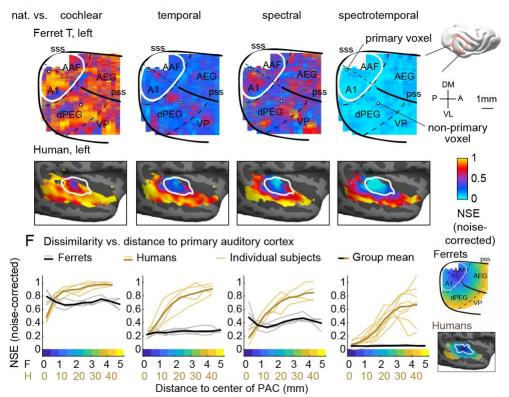
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139 We next plot the time-averaged response of two example voxels – one in primary auditory cortex 140 (A1) and one in a non-primary area (dPEG) – to natural and corresponding synthetic sounds that have been matched on the full spectrotemporal modulation model (Fig 2A). For comparison, we 141 plot the test-retest reliability of each voxel across repeated presentations of the same sound (Fig 142 143 **2B**), as well as corresponding figures from two example voxels in human primary/non-primary auditory cortex (Fig 2C-D; these voxels are re-plotted from our prior paper). As in our prior study, 144 145 we quantified the similarity of responses to natural and synthetic sounds using the normalized squared error (NSE). The NSE takes a value of 0 if responses to natural and synthetic sounds 146 147 are the same, and 1 if there is no correspondence between the two (see Methods for details). 148



 English speech
 Non-English speech
 Instrumental music
 Vocal music
 Human nonvocal Nonspeech vocal
 Mechanical
 Environmental
 Ferret vocalizations Animal nonvocal

E Dissimilarity of voxel responses to natural vs. synthetic sounds



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Figure 2: Dissimilarity of responses to natural vs. synthetic sounds in ferrets and humans. A, Response of two example fUS voxels to natural and corresponding synthetic sounds with matched spectrotemporal modulation statistics. Each dot shows the time-averaged response to a single pair of 153 natural/synthetic sounds (after denoising), with colors indicating the sound category. The example voxels 154 come from primary (top, A1) and non-primary (bottom, dPEG) regions of the ferret auditory cortex. The 155 normalized squared error (NSE) quantifies the dissimilarity of responses. B, Test-retest response of the 156 example voxels across all natural (o) and synthetic (+) sounds (odd vs. even repetitions). The responses 157 were highly reliable due to the denoising procedure. C-D, Same as panel A-B, but showing two example 158 voxels from human primary/non-primary auditory cortex. E, Maps plotting the dissimilarity of responses to 159 natural vs. synthetic sounds from one ferret hemisphere (top row) and from humans (bottom row). Each 160 column shows results for a different set of synthetic sounds. The synthetic sounds were constrained by 161 statistics of increasing complexity from left to right: just cochlear statistics, cochlear + temporal modulation 162 statistics, cochlear + spectral modulation statistics, and cochlear + spectrotemporal modulation statistics. 163 Dissimilarity was quantified using the normalized squared error (NSE), corrected for noise using the test-

164 retest reliability of the voxel responses. Ferret maps show a "surface" view from above of the sylvian gyri, 165 similar to the map in humans. Surface views were computed by averaging activity perpendicular to the cortical 166 surface. The border between primary and non-primary auditory cortex is shown with a white line in both 167 species, and was defined using tonotopic gradients. Areal boundaries in the ferret are also shown (dashed 168 thin lines). This panel shows results from one hemisphere of one animal (Ferret T, left hemisphere), but 169 results were similar in other animals/hemispheres (Fig S1). The human map is a group map averaged across 170 many subjects, but results were similar in individual subjects (Norman-Haignere and McDermott, 2018). F, 171 Voxels were binned based on their distance to primary auditory cortex (defined tonotopically). This figure 172 plots the median NSE value in each bin. Each thin line corresponds to a single ferret hemisphere (gray) or a 173 single human subject averaged across hemispheres (gold) (results were very similar in the left and right 174 hemisphere of humans). Thick lines show the average across all hemispheres/subjects.

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Both the primary and non-primary ferret voxels produced nearly identical responses to natural 176 177 and corresponding synthetic sounds (NSEs: 0.042, 0.045), suggesting that spectrotemporal 178 modulation are sufficient to account for the responses in these voxels. The human primary voxel 179 also showed similar responses to natural and synthetic responses, and the NSE for natural vs. 180 synthetic sounds (0.1) was similar to the test-retest NSE (0.094), indicating that the response was 181 about as similar as possible given the noise ceiling. In contrast, the human non-primary voxel 182 responded selectively to the natural speech (green) and music (blue), yielding a high NSE value (0.73). This pattern demonstrates that spectrotemporal modulations are insufficient to drive the 183 184 response of the human non-primary voxel, plausibly because it responds to higher-order features 185 that are not captured by modulation statistics.

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We quantified this trend across voxels by plotting maps of the noise-corrected NSE between natural and synthetic sounds (**Fig 2E** shows one hemisphere of one animal, but results were very similar in other hemispheres of other animals, see **Fig S1**). We show separate maps for each of the different sets of statistics used to constrain the synthetic sounds (cochlear, temporal modulation, spectral modulation and spectrotemporal modulation). Below we plot corresponding maps from humans. The human maps are based on data averaged across subjects, but similar results were observed in individual subjects (Norman-Haignere and McDermott, 2018).

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195 In ferrets, we observed a similar pattern throughout both primary and non-primary regions: 196 responses became more similar as we matched additional acoustic features with NSE values 197 close to 0 for sounds matched on the full spectrotemporal model. This pattern contrasts sharply 198 with that observed in humans, where we observed a clear and substantial rise in NSE values 199 when moving from primary to non-primary auditory cortex even for sounds matched on joint 200 spectrotemporal modulations statistics. We quantified these effects by measuring NSE values 201 using ROIs binned based on distance to primary auditory cortex, as was done previously in 202 humans (Fig 2F). This analysis revealed a substantial and significant rise in NSEs when matching 203 additional acoustic features in ferrets (NSE spectrotemporal < NSE temporal < NSE spectral < 204 NSE cochlear, p < 0.01 via a bootstrapping analysis across the sound set). But there was little 205 difference in NSEs between ferret primary and non-primary regions, with NSE values close to zero in all regions for spectrotemporally matched synthetics. In contrast, every human subject 206 207 tested showed larger NSE values in non-primary regions, yielding a significant species difference 208 $(p < 0.01 \text{ via a sign-test comparing each ferret to all of the human subjects tested; see Methods$ 209 for details).

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Assessing and comparing selectivity for frequency and modulation across species

Our NSE maps suggest that ferret cortical responses are selective for frequency and modulation, but do not reveal how this selectivity is organized or whether it is similar to that in humans. While it is not feasible to inspect or plot all individual voxels, we found that fUS responses like human fMRI responses are low-dimensional and can be explained as the weighted sum of a small number of component response patterns. This observation served as the basis for our denoising

- 217 procedure, as well as a useful way to examining ferret cortical selectivity and comparing that
- selectivity with humans. We found that we could discriminate approximately 8 distinct component
- response patterns before over-fitting to noise (**Fig S2C**).

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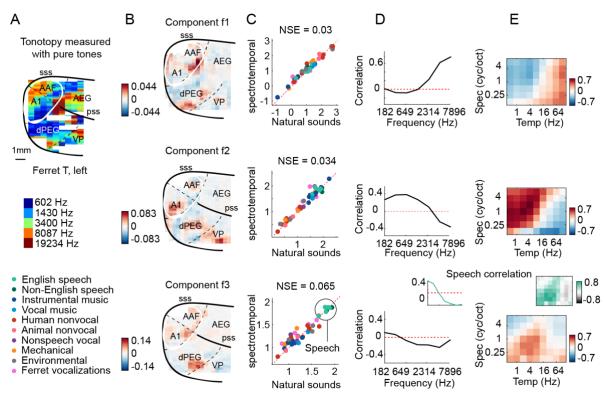


Figure 3: Organization of frequency and modulation selectivity in ferret auditory cortex, revealed by component analysis. A, For reference with the weight maps in panel B, a tonotopic map is shown, measured using pure tones. The map is from one hemisphere of one animal (Ferret T, left). **B**, Voxel weight maps from three components, inferred using responses to natural and synthetic sounds (see **Fig S3** for all 8 components and **Fig S4** for all hemispheres). The maps for component response to natural and spectrotemporally-matched synthetic sounds, colored based on category labels (labels shown at the bottom left of the figure). Components f1 and f2 did not respond selectively to particular categories. Component f3 responded preferentially to speech sounds. **D**, Correlation of component responses with energy at different audio frequencies, measured from a cochleagram. Inset for f3 shows the correlation pattern that would be expected for a perfectly speech-selective response.

235 We first examined the selectivity of the inferred response patterns and their anatomical distribution 236 of weights in the brain (Fig 3 shows three example components; Fig S3 shows all 8 components). 237 All of the component response profiles showed significant correlations with measures of energy at different cochlear frequencies and spectrotemporal modulation rates (Fig 3D-E) (p < 0.01 for 238 239 all components for both frequency and modulation features; statistics computed via a permutation test across the sound set). Two components (f1 & f2) had responses that correlated with energy 240 241 at high and low-frequencies respectively, with voxel weights that mirrored the tonotopic gradients 242 measured in these animals (compare Fig 3B and 3A; see Fig S4 for all hemispheres/animals). 243 similar to the tonotopic components previously identified in humans (Norman-Haignere et al., 244 2015) (Fig S5, components h1 and h2). We also observed components with weak frequency 245 tuning but prominent tuning for spectrotemporal modulations (Fig S3), again similar to humans. 246 Surprisingly, one component (f3) responded selectively to speech sounds, and its response 247 correlated with energy at frequency and modulation rates characteristic of speech (insets in Fig **3D-E**, bottom row). But notably, all of the inferred components, including the speech-selective 248 249 component, produced very similar responses to natural and synthetic sounds (Fig 3C), suggesting

that their selectivity can be explained by their tuning for frequency and modulation. This contrasts with the speech- and music-selective components previously observed in humans, which responded selectively to natural speech and music, respectively, and which clustered in distinct non-primary regions of human auditory cortex (see **Fig S5**, components h5 and h6).

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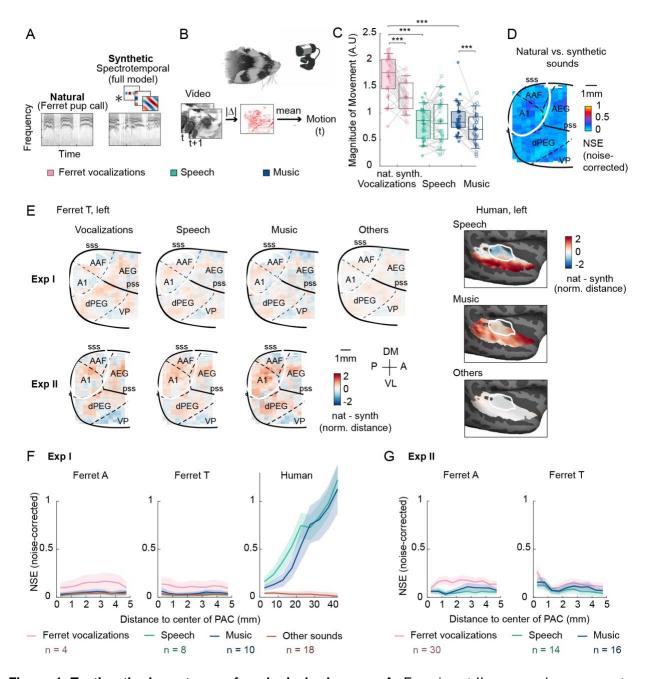
255 The frequency and modulation selectivity evident in the ferret components appeared similar to 256 that in humans (Norman-Haignere et al., 2015). To quantitatively evaluate similarity, we attempted 257 to predict the response of each human component, inferred from our prior work, from those in the 258 ferrets (Fig S6) and vice versa (Fig S7). We found that much of the component response variation 259 to synthetic sounds could be predicted across species (Fig S6B&D, S7A&C). This finding is 260 consistent with the hypothesis that tuning for frequency and modulation is similar across species, 261 since the synthetic sounds only varied in their frequency and modulation statistics. In contrast, 262 differences between natural vs. synthetic sounds were only robust in humans and as a 263 consequence could not be predicted from responses in ferrets (Fig S6C&E). Thus, selectivity for 264 frequency and modulation is both qualitatively and quantitatively similar across species, despite 265 large and substantial differences in higher-order tuning.

266267 Experiment II: Testing the importance of ecological relevance

Experiment I included more speech (8) and music (10) sounds than ferret vocalizations (4). We 268 269 have previously found that differences between natural and synthetic sounds in humans are 270 mostly driven by speech and music (Norman-Haignere and McDermott, 2018), which could be 271 due to their more complex structure (McDermott and Simoncelli, 2011), their ecological relevance, 272 or a combination of the two. Given this fact, it seemed possible that the observed species 273 difference might reflect a difference in the ecological relevance of the natural sounds tested. To 274 test this possibility, we performed a second experiment that included many more ferret 275 vocalizations (30) (Fig 4A), as well as a smaller number of speech (14) and music (16) sounds to 276 allow comparison with Experiment I. We only synthesized sounds matched in their full 277 spectrotemporal modulation statistics to be able to test a broader sound set.

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279 Using a video recording of the animals' face (**Fig 4B**), we found that the ferrets showed greater 280 spontaneous movements during the presentation of the natural ferret vocalizations compared with 281 both the synthetic sounds and the other natural sounds (Fig 4C; see Fig S8 for additional plots 282 from individual animals and finer-grained vocalization categories). This observation demonstrates 283 that natural ferret vocalizations contain additional structure that is missing from their synthetic 284 counterparts, and that this additional structure is sufficiently salient to cause a spontaneous 285 increase in motion without any overt training. Moreover, the behavioral differences between 286 natural and synthetic vocalizations were greater than those for speech (p < 0.001 via Wilcoxon signed-rank test) and music (p < 0.05), consistent with their greater ecological relevance. To 287 288 prevent motion from affecting the ultrasound responses, we designed a denoising procedure that 289 greatly minimized correlations between the ultrasound responses and motion without removing 290 sound-evoked activity (see Methods and Appendix).



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Figure 4. Testing the importance of ecological relevance. A, Experiment II measured responses to a much larger number of ferret vocalizations (30), as well as a smaller number of speech (14) and music (16) sounds, unlike Experiment I which only tested 4 ferret vocalizations. Cochleagrams for an example natural and synthetic vocalization (a "pup call") are plotted. B, The animal's spontaneous movements were monitored with a video recording of the animal's face. Motion was measured as the mean absolute deviation between adjacent video frames, averaged across pixels. C, Average evoked movement amplitude for natural (shaded) and synthetic (unshaded) sounds broken down by category. Each dot represents one recording session. Significant differences between natural and synthetic sounds, and between categories of natural sounds are plotted (paired Wilcoxon test, p<0.001: ***). Evoked movement amplitude was normalized by the standard deviation across sounds for each recording session prior to averaging across sound category (necessary because absolute pixel deviations cannot be meaningfully compared across sessions). Results were consistent across ferrets (Fig S8A). Both animals moved substantially more during natural ferret vocalizations compared with both matched synthetics as well as speech and music. D, Map showing the dissimilarity between natural and spectrotemporally matched synthetic sounds from Experiment II for one hemisphere (Ferret T, left; see Fig S8B for all hemispheres), measured using the noise-corrected NSE across sounds. NSE values were low across auditory cortex, replicating the first experiment. E, Maps showing the average difference between responses to natural and synthetic sounds for vocalizations, speech, music, and others sounds, normalized for each voxel by the standard deviation across all sounds. Results are shown for the

same ferret hemisphere (T, left) for both Experiment I and II. Humans were only tested in Experiment I. F,
 NSE for different sound categories, plotted as a function of distance to primary auditory cortex (binned as in
 Fig 2F). Shaded area represents +/- 1 s.e.m. (Fig S8D plots NSEs for individual sounds) G, Same as panel
 F but showing results from Experiment II.

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Despite this clear behavioral difference, we nonetheless found that voxel responses to natural and synthetic sounds were similar throughout primary and non-primary regions, yielding small NSE values (**Fig 4D**). This result demonstrates that our key findings from Experiment I are not due to the weak ecological relevance of the tested sounds, since a qualitatively similar result was obtained in Experiment II when half of the sounds were ferret vocalizations.

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321 To directly test if ferrets showed selective responses to natural vs. synthetic ferret vocalizations, we computed maps showing the average difference between natural vs. synthetic sounds for 322 323 different categories, using data from both Experiments I and II (Fig 4E). We also separately 324 measured the NSE for sounds from different categories (Fig 4F-G; note the normalization term in 325 the NSE was computed using all sounds to avoid inadvertently normalizing out meaningful 326 differences between sounds/categories). We plot the median NSE for sounds from different 327 categories as a function of distance to primary auditory cortex for each animal and experiment 328 (Fig 4F-G; Fig S8D-E shows the distribution of NSE values for individual sound pairs). This 329 analysis revealed that NSE values in ferrets were slightly elevated for ferret vocalizations 330 compared with other categories (Fig 4F-G), consistent with their ecological relevance. This effect, 331 however, was small and inconsistent, reaching significance in only one of the two animals in 332 Experiment II (Ferret A, p < 0.005, Wilcoxon test) (the effect was significant in both animals in 333 Experiment I, but this experiment only tested 4 ferret vocalizations). Moreover, the small 334 differences that were present between natural and synthetic sounds were spatially distributed throughout primary and non-primary regions, and very similar to those for speech, music and 335 336 other natural sounds (Fig 4E). In contrast, humans showed large and selective responses to speech and music that were concentrated in distinct non-primary regions (lateral for speech and 337 338 anterior/posterior for music) and clearly different from those for other natural sounds (Fig 4E). 339 Thus, ferrets do not show any of the neural signatures of higher-order selectivity that we previously 340 identified in humans (large effect size, spatially clustered responses, and a clear non-primary 341 bias), even for con-specific vocalizations, which produced clear behavioral differences reflecting 342 their ecological significance.

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344 Discussion

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346 Our study reveals a prominent divergence in the representation of ecologically relevant natural sounds between humans and ferrets. Using a recently developed wide-field imaging technique 347 348 (functional Ultrasound), we measured cortical responses in the ferret to a set of natural and 349 spectrotemporally-matched synthetic sounds previously tested in humans. We found that 350 selectivity for frequency and modulation statistics in the synthetic sounds was similar across species. But unlike humans, who showed selective responses to natural speech and music in 351 352 non-primary auditory cortex, ferrets cortical responses to natural and synthetic sounds were 353 similar throughout primary and non-primary regions, even when tested with ferret vocalizations. 354 This finding suggests that speech and music have substantially altered higher-order acoustic 355 representations in human auditory cortex, but have largely preserved tuning for lower-level 356 acoustic features like frequency and spectrotemporal modulation.

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358 Species differences in the representation of natural sounds

The central challenge of sensory coding is that behaviorally relevant information is often not explicit in the inputs to sensory systems. As a consequence, sensory systems transform their inputs into higher-order representations that expose behaviorally relevant properties of stimuli

362 (DiCarlo and Cox, 2007; Mizrahi et al., 2014; Theunissen and Elie, 2014). The early stages of this 363 transformation are thought to be conserved across many species. For example, all mammals 364 transduce sound pressure waveforms into a frequency-specific representation of sound energy in 365 the cochlea, although the resolution and frequency range of cochlear tuning differ across species 366 (Bruns and Schmieszek, 1980; Köppl et al., 1993; Joris et al., 2011; Walker et al., 2019). But it 367 has remained unclear whether representations at later stages are similarly conserved across 368 species.

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370 Only a few studies have attempted to compare cortical representations of natural stimuli between 371 humans and other animals, and these studies have typically found similar representations in 372 auditory cortex. Studies of speech phonemes in ferrets (Mesgarani et al., 2008) and macagues 373 (Steinschneider et al., 2013) have replicated many neural phenomena observed in humans 374 (Mesgarani et al., 2014). A recent fMRI study found that maps of spectrotemporal modulation 375 tuning, measured using natural sounds, are coarsely similar between humans and macaques, 376 although slow temporal modulations which are prominent in speech were better decoded in 377 humans compared with macaques (Erb et al., 2019), potentially analogous to prior findings of 378 enhanced cochlear frequency tuning for behaviorally relevant sound frequencies (Bruns and 379 Schmieszek, 1980; Köppl et al., 1993). Thus, prior work has revealed quantitative differences in 380 the extent and resolution of neural tuning for different acoustic frequencies and modulation rates. 381 But it has remained unclear whether there are qualitative differences in how natural sounds are 382 represented across species.

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384 Our study demonstrates that human non-primary regions exhibit a form of higher-order acoustic 385 selectivity that is almost completely absent in ferrets. Ferret cortical responses to natural and 386 spectrotemporally matched synthetic sounds were closely matched throughout their auditory 387 cortex, and the small differences that we observed were scattered throughout primary and non-388 primary regions (Fig 4E), unlike the pattern observed in humans. As a consequence, the 389 differences that we observed between natural and synthetic sounds in humans were not 390 predictable from cortical responses in ferrets (Fig S6C), even though we could predict responses 391 to synthetic sounds across species (Fig S6B&E). This higher-order selectivity is unlikely to be 392 explained by explicit semantic knowledge about speech or music, since similar responses are 393 observed for foreign speech (Norman-Haignere et al., 2015; Norman-Haignere and McDermott, 394 2018) and music selectivity is robust in listeners without musical training (Boebinger et al., 2020). 395 These results suggest that humans develop or have evolved a higher-order stage of acoustic 396 analysis, potentially specific to speech and music, that cannot be explained by standard frequency 397 and modulation statistics and is largely absent from the ferret brain. This specificity for speech 398 and music could be due to their acoustic complexity, and/or their behavioral relevance, as 399 discussed further below.

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401 By comparison, our study suggests that there is a substantial amount of cross-species overlap in 402 the cortical representation of frequency and modulation features. Both humans and ferrets 403 exhibited tonotopically organized selectivity for different frequencies. But this frequency selectivity 404 only accounted for a relatively small fraction of the voxel response to natural sounds (Fig 2E), 405 even in primary auditory cortex, which emphasizes the importance of modulation tuning in 406 explaining cortical responses in both humans and ferrets. Like humans, ferrets showed spatially 407 organized selectivity for different temporal and spectral modulation rates, that coarsely mimicked 408 the types of selectivity we have previously observed in humans, replicating prior findings (Erb et 409 al., 2019). And this selectivity was sufficiently similar that we could quantitatively predict response 410 patterns to the synthetic sounds across species. These results do not imply that frequency and 411 modulation tuning is identical across species, but do suggest that the organization is gualitatively 412 similar.

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414 Our results also do not imply that ferrets lack higher-order acoustic representations. Indeed, we 415 found that ferrets' spontaneous movements robustly discriminated between natural and synthetic ferret vocalizations, demonstrating behavioral sensitivity to the features which distinguish these 416 417 sound sets, and this sensitivity was greater for ferret vocalizations than for either speech or music. 418 But the manner in which species-relevant higher-order features are represented is likely distinct 419 between humans and ferrets. For example, it is also possible that selectivity for higher-order 420 features is more distributed in ferret auditory cortex, which is consistent with our finding that 421 differences between natural and synthetic sounds are weak, distributed throughout primary and 422 non-primary regions, and show a mix of enhanced and suppressive responses (Fig 4E), unlike 423 the strong, selective, and localized responses observed in human non-primary regions.

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425 Our findings are broadly consistent with a recent study that showed differences in responses to 426 simple tone and noise stimuli between humans and macaques (Norman-Haignere et al., 2019). 427 This study found that selective responses to tones vs. noise were substantially larger in human 428 auditory cortex, perhaps due to the importance of speech and music in humans where harmonic 429 structure plays a central role. But the relationship of this finding to the coding of natural sounds 430 remains unclear because the study was mostly limited to simple, artificial stimuli. Our study 431 provides a much broader test of how the encoding of natural sounds differs between humans and ferrets. As a consequence, we were able to identify a substantial divergence in neural 432 433 representations at a specific point in the cortical hierarchy. 434

435 *Methodological advances*

436 Our findings were enabled by a recently developed synthesis method, that makes it possible to 437 synthesize sounds with spectrotemporal modulation statistics that are closely matched to those in natural sounds (Norman-Haignere and McDermott, 2018). Because the synthetics are 438 439 otherwise unconstrained, they lack higher-order acoustic properties present in natural stimuli (e.g. 440 syllabic structure; musical notes, harmonies and rhythms). Comparing neural responses to natural 441 and spectrotemporally-matched synthetic sounds thus provides a way to isolate responses to 442 higher-order properties of natural stimuli that cannot be accounted for by spectrotemporal 443 modulations. This methodological advance was critical to differentiating human and ferret cortical 444 responses. Indeed, when considering natural or synthetic sounds alone, we observed very similar responses between species. We even observed selective responses to speech compared with 445 446 other natural sounds in the ferret auditory cortex, due to the fact that speech has a unique range of spectrotemporal modulations. Thus, if we had only tested natural sounds, we might have 447 448 concluded that speech and music-selective responses in the human non-primary auditory cortex 449 reflect the same types of acoustic representations present in ferrets.

450

451 Our study illustrates the utility of wide-field imaging methods in comparing the brain organization 452 of different species (Bimbard et al., 2018; Milham et al., 2018). Most animal physiology studies 453 focus on measuring responses from single neurons or small clusters of neurons in a single brain 454 region. While this approach is clearly essential to understanding the neural code at a fine grain, 455 studying a single brain region can obscure larger-scale trends that are evident across the cortex. 456 Indeed, if we had only measured responses in a single region of auditory cortex, we would have 457 missed the most striking difference between humans and ferrets: the emergence of selective 458 responses to natural sounds in non-primary regions of humans but not ferrets (Fig 2E). 459

Functional ultrasound imaging provides a powerful way of studying large-scale functional organization in small animals such as ferrets, since it has much better spatial resolution than fMRI (Macé et al., 2011; Bimbard et al., 2018). Because fUS responses are noisy, prior studies, including those from our own lab, have only been able to characterize responses to a single

stimulus dimension, such as frequency, typically using a small stimulus set (Gesnik et al., 2017;
Bimbard et al., 2018). Here, we developed a denoising method that made it possible to measure
highly reliable responses to over a hundred stimuli in a single experiment. We were able to recover
at least as many response dimensions as those detectable with fMRI and humans, and those
response dimensions exhibited selectivity for a wide range of frequencies and modulation rates.
Our study thus pushes the limits of what is possible using ultrasound imaging, and establishes
fUS as an ideal method for studying the large-scale functional organization of the animal brain.

471

472 Assumptions and limitations

473 The natural and synthetic sounds we tested were closely matched in their time-averaged cochlear 474 frequency and modulation statistics, measured using a standard model of cochlear and cortical 475 modulation tuning (Chi et al., 2005; Norman-Haignere and McDermott, 2018). We focused on time-averaged statistics because fMRI and fUS reflect time-averaged measures of neural activity, 476 477 due to the temporally slow nature of hemodynamic responses. Thus, a similar response to natural 478 and synthetic sounds indicates that the statistics being matched are sufficient to explain the voxel 479 response. By contrast, a divergent voxel response indicates that the voxel responds to features 480 of sound that are not captured by the model.

481

482 While divergent responses by themselves do not demonstrate a higher-order response, there are several reasons to think that the selectivity we observed in human non-primary regions is due to 483 484 higher-order tuning for natural sounds. First, the fact that differences between natural and synthetic sounds were much larger in non-primary regions clearly suggests that these differences 485 are driven by higher-order processing above and beyond that present in primary auditory cortex, 486 487 where spectrotemporal modulations appear to explain much of the voxel response. Second, the 488 natural and synthetic sounds produced by our synthesis procedure are in practice closely matched 489 on a wide variety on spectrotemporal filterbank models (Norman-Haignere and McDermott, 2018). 490 As a consequence, highly divergent responses to natural and synthetic sounds, like those in non-491 primary auditory cortex, rule out many such models. Third, the fact that responses were 492 consistently stronger for natural vs. synthetic sounds suggests that these non-primary regions respond selectively to features in natural sounds that are not explicitly captured by 493 494 spectrotemporal modulations and are thus absent from the synthetic sounds.

495

496 As with any study, our conclusions are limited by the precision and coverage of our neural 497 measurements. For example, fine-grained temporal codes, which have been suggested to play an important role in vocalization encoding (Schnupp et al., 2006), cannot be detected with fUS. 498 499 However, we note that the resolution of fUS is substantially better than fMRI, particularly in the 500 spatial dimension (voxel sizes were more than 1000 times smaller) and thus the species 501 differences we observed are unlikely to be explained by differences in the resolution of fUS vs. 502 fMRI. It is also possible that ferrets might show more prominent differences between natural and 503 synthetic sounds outside of auditory cortex. But even if this were true, it would still demonstrate a 504 clear species difference because humans show robust selectivity for natural sounds in non-505 primary regions just outside of primary auditory cortex, while ferrets evidently do not.

506

507 **Possible nature and causes of differences in higher-order selectivity**

508 What features might non-primary human auditory cortex represent, given that spectrotemporal 509 modulations do not explain all of the response? These regions are not highly sensitive to explicit 510 semantic meaning or musical training (Overath et al., 2015; Boebinger et al., 2020), are located 511 just beyond primary auditory cortex, and show evidence of having short integration periods on the 512 scale of hundreds of milliseconds (Overath et al., 2015). Moreover, many of these regions show 513 clear selectivity for speech or music (Leaver and Rauschecker, 2010; Norman-Haignere et al., 514 2015). This pattern suggests that these regions might exhibit nonlinear tuning for short-term

temporal and spectral structure present in speech syllables or musical notes (e.g. harmonic structure, pitch contours, and local periodicity). This hypothesis is consistent with recent work showing sensitivity to phonotactics in non-primary regions of the superior temporal gyrus (Leonard et al., 2015; Brodbeck et al., 2018; Di Liberto et al., 2019), and with a recent study showing that deep neural networks trained to perform challenging speech and music tasks are better able to predict responses in non-primary regions of human auditory cortex (Kell et al., 2018).

521

522 Why might speech and music have preferentially shaped higher-order acoustic representations in 523 the human brain? Synthetic sounds with modulation statistics matched to common environmental 524 sounds often sound perceptually similar to their natural counterparts, in contrast with speech and 525 music where there is a marked perceptual difference (McDermott and Simoncelli, 2011; Norman-526 Haignere and McDermott, 2018) (listen to examples here). This fact might explain why the neural 527 differences that we observed between natural and synthetic sounds in humans are mostly limited 528 to speech and music, but could also be due to the unique behavioral significance of speech and 529 music to human hearing. This observation supports the idea that spectrotemporal statistics better 530 capture perceptually relevant information in many environmental sounds. While ferret 531 vocalizations clearly exhibit additional structure not captured by spectrotemporal modulations -532 since the animals showed clear behavioral sensitivity to the difference between natural vs. 533 synthetic vocalizations – such structure may play a less-essential role in their everyday hearing 534 compared with that present in speech and music in humans. Furthermore, other animals that 535 depend more on higher-order acoustic representations might show more human-like selectivity in non-primary regions. For example, marmosets have a relatively complex vocal repertoire 536 537 (Agamaite et al., 2015) and depend more heavily on vocalizations than many other species 538 (Eliades and Miller, 2017), and thus might exhibit more prominent selectivity for higher-order 539 properties in their calls. It may also be possible to experimentally enhance selectivity for higher-540 order properties via extensive exposure and training, particularly at an early age of development 541 (Polley et al., 2006; Srihasam et al., 2014). All of these questions could be addressed in future 542 work using the methods developed here.

543

544

546 Methods

547

548 Animal preparation

Experiments were performed in two head-fixed awake ferrets (A and T), across one or both 549 550 hemispheres (Study 1: Aleft, Aright, Tleft, Tright; Study 2: Aleft, Tleft, and Tright). Ferret A was a mother (had one litter of pups), while ferret T was a virgin. Experiments were approved by the French 551 552 Ministry of Agriculture (protocol authorization: 21022) and strictly comply with the European 553 directives on the protection of animals used for scientific purposes (2010/63/EU). Animal 554 preparation and fUS imaging were performed as in Bimbard et al. (2018). Briefly, a metal headpost 555 was surgically implanted on the skull under anaesthesia. After recovery from surgery, a 556 craniotomy was performed over auditory cortex and then sealed with an ultrasound-transparent 557 Polymethylpentene (TPX[™]) cover, embedded in an implant of dental cement. Animals could then recover for one week, with unrestricted access to food, water and environmental enrichment. 558 559 Imaging windows were maintained across weeks with appropriate interventions when tissue and 560 bone regrowth were shadowing brain areas of interest. 561

562 Ultrasound imaging

563 fUS data are collected as a series of 2D images or 'slices'. Slices were collected in the coronal plane and were spaced 0.4 mm apart. The slice plane was varied across sessions in order to 564 cover the region-of-interest which included both primary and non-primary regions of auditory 565 566 cortex. One or two sessions were performed on each day of recording. The resolution of each voxel was 0.1 x 0.1 x ~0.4 mm (the latter dimension, called elevation, being slightly dependent on 567 the depth of the voxel). The overall voxel volume (0.004 mm³) was more than a thousand times 568 569 smaller than the voxel volume used in our human study (which was either 8 or 17.64 mm³ 570 depending on the subjects/paradigm), which helps to account for their smaller brain.

571

572 A separate "Power Doppler" image/slice was acquired every second. Each of these images was computed by first collecting 300 sub-images or 'frames' in a short 600 ms time interval (500 Hz 573 574 sampling rate). Those 300 frames were then filtered to discard global tissue motion from the signal (Demené et al., 2015) (the first 55 principal components were discarded because they mainly 575 576 reflect motion; see Demené et al., 2015 for details). The blood signal energy also known as Power Doppler was computed for each voxel by summing the squared magnitudes across the 300 577 578 frames separately for each pixel (Macé et al., 2011). Power Doppler is known to be proportional 579 to blood volume (Macé et al., 2011).

580

581 Each of the 300 frames was itself computed from 11 tilted plane wave emissions (-10° to 10° with 582 2° steps) fired at a pulse repetition frequency of 5500 Hz. Frames were reconstructed from these 583 plane wave emissions using an in-house, GPU-parallelized delay-and-sum beamforming 584 algorithm (Macé et al., 2011).

585 586 Stimuli for Experiment I

We tested 40 natural sounds: 36 sounds from our prior experiment plus 4 ferret vocalizations (fight 587 588 call, pup call, fear vocalization, and play call). Each natural sound was 10 seconds in duration. For each natural sound, we synthesized four synthetic sounds, matched on a different set of 589 590 acoustic statistics of increasing complexity: cochlear, temporal modulation, spectral modulation, 591 and spectrotemporal modulation. The modulation-matched synthetics were also matched in their 592 cochlear statistics to ensure that differences between cochlear and modulation-matched sounds 593 must be due to the addition of modulation statistics. The natural and synthetic sounds were 594 identical to those in our prior paper, except for the four additional ferret vocalizations, which were 595 synthesized using the same algorithm. We briefly review the algorithm below.

597 Cochlear statistics were measured from a cochleagram representation of sound, computed by 598 convolving the sound waveform with filters designed to mimic the pseudo-logarithmic frequency 599 resolution of cochlear responses (McDermott and Simoncelli, 2011). The cochleagram for each sound was composed of the compressed envelopes of these filter responses (compression is 600 601 designed to mimic the effects of cochlear amplification at low sound levels). Modulation statistics 602 were measured from filtered cochleagrams, computed by convolving each cochleagram in time 603 and frequency with a filter designed to highlight modulations at a particular temporal rate and/or 604 spectral scale (Chi et al., 2005). The temporal and spectral modulation filters were only modulated 605 in time or frequency, respectively. There were 9 temporal filters (best rates: 0.5, 1, 2, 4, 8, 16, 32, 64, and 128 Hz) and 6 spectral filters (best scales: 0.25, 0.5, 1, 2, 4, 8 cycles per octave). 606 Spectrotemporal filters were created by taking the outer-product of all pairs of temporal and 607 608 spectral filters in the 2D fourier domain, which results in oriented gabor-like filters.

609

610 Our synthesis algorithm matches time-averaged statistics of the cochleagrams and filtered 611 cochleagrams via a histogram-matching procedure that implicitly matches all time-averaged 612 statistics of the responses (separately for each frequency channel of the cochleagrams and 613 filtered cochleagrams). This choice is motivated by the fact that both fMRI and fUS reflect time-614 averaged measures of neural activity, because the temporal resolution of hemodynamic changes 615 is much slower than the underlying neuronal activity. As a consequence, if the fMRI or fUS response is driven by a particular set of acoustic features, we would expect two sounds with 616 617 similar time-averaged statistics for those features to yield a similar response. We can therefore 618 think of the natural and synthetic sounds as being matched under a particular model of the fMRI 619 or fUS response (a more formal derivation of this idea is given in Norman-Haignere et al., 2018).

620

621 We note that the filters used to compute the cochleagram were designed to match the frequency 622 resolution of the human cochlea, which is thought to be somewhat finer than the frequency 623 resolution of the ferret cochlea (Walker et al., 2019). In general, synthesizing sounds from broader 624 filters results in synthetics that differ slightly more from the originals. And thus if we had used 625 cochlear filters designed to mimic the frequency tuning of the ferret cochlea, we would expect the 626 cochlear-matched synthetic sounds to differ slightly more from the natural sounds. However, given 627 that we already observed highly divergent responses to natural and cochlear-matched synthetic sounds in both species, it is unlikely that using broader cochlear filters would change our findings. 628 629 In general, we have found the matching procedure is not highly sensitive to the details of the filters 630 used. For example, we have found that sounds matched on the spectrotemporal filters used here and taken from Chi et al. (2005), are also well matched on filters with half the bandwidth. with 631 632 phases that have been randomized, and with completely random filters (Norman-Haignere and 633 McDermott, 2018).

634

635 Stimuli for Experiment II

636 Experiment II tested a larger set of 30 ferret vocalizations (5 fight calls, 17 single-pup calls, and 8 637 multi-pup calls where the calls from different pups overlapped in time). The vocalizations 638 consisted of recordings from several labs (our own, Stephen David's and Andrew King's 639 laboratories). For comparison, we also tested 14 speech sounds and 16 music sounds, yielding 640 60 natural sounds in total. For each natural sound, we created a synthetic sound matched on the 641 full spectrotemporal model. We did not synthesize sounds for the sub-models (cochlear, temporal 642 modulation, and spectral modulation), since our goal was to test if there were divergent responses 643 to natural and synthetic ferret vocalizations for spectrotemporally-matched sounds, like those 644 present in human non-primary auditory cortex for speech and music sounds.

- 645
- 646 **Procedure for presenting stimuli**

647 Sounds were played through calibrated earphones (Sennheiser IE800 earphones, HDVA 600 amplifier, 65 dB) while recording hemodynamic responses via fUS imaging. In our prior fMRI 648 experiments in humans, we had to chop the 10 second stimuli into 2-second excerpts in order to 649 present the sounds in between scan acquisitions, because MRI acquisitions produce a loud sound 650 that would otherwise interfere with hearing the stimuli. Because fUS imaging produces no audible 651 652 noise, we were able to present the entire 10 second sound without interruption. The experiment 653 was composed of a series of 20-second trials, and fUS acquisitions were synchronized to trial 654 onset. On each trial, a single 10-second sound was played, with 7 seconds of silence before the 655 sound to establish a response baseline, and 3 seconds of post-stimulus silence to allow the response to return to baseline. There was a randomly chosen 3 to 5 second gap between each 656 657 trial. Sounds were presented in random order, and each sound was repeated 4 times.

658

669

673

659 Mapping of tonotopic organization with pure tones

Tonotopic organization was assessed using previously described methods (Bimbard et al., 2018). 660 661 In short, responses were measured to 2-second long pure tones from 5 different frequencies (602) 662 Hz, 1430 Hz, 3400 Hz, 8087 Hz, 19234 Hz). The tones were played in random order, with 20 663 trials/frequency. Data was denoised using the same method described in Denoising Part I: *Removing components outside of cortex.* Tonotopic maps were created by determining the best 664 frequency of each voxel, defined as the tone evoking the largest Power Doppler signal. We then 665 used these functional landmarks in combination with brain and vascular anatomy to establish the 666 667 borders between primary and non-primary areas in all hemispheres, as well as to compare them 668 to those obtained with natural sounds (see Fig S4).

670 Brain map display

Views from above were obtained by computing the average of the variable of interest in each vertical column of voxels from the upper part of the manually defined cortical mask.

674 Normalized Squared Error (NSE) maps

675 Like fMRI, the response timecourse of each fUS voxel shows a gradual build-up of activity after a stimulus, due to the slow and gradual nature of blood flow changes. The shape of this response 676 677 timecourse is similar across different sounds, but the magnitude varies (Fig 1C) (fMRI responses show the same pattern). We therefore measured the response magnitude of each voxel by 678 679 averaging the response to each sound across time (from 3 to 11 seconds post-stimulus onset). 680 yielding one number per sound. Responses were measured from denoised data. We describe the denoising procedure at the end of the Methods because it is more involved than our other 681 682 analyses.

683

We compared the response magnitude to natural and corresponding synthetic sounds using the normalized squared error (NSE), the same metric used in humans. The NSE takes a value of 0 if the response to natural and synthetic sounds is identical, and 1 if there is no correspondence between responses to natural and synthetic sounds. The NSE is defined as:

689 (1)
$$NSE = \frac{\mu([x - y]^2)}{\mu(x^2) + \mu(y^2) - 2\mu(x)\mu(y)}$$

690

691 where *x* and *y* are response vectors across the sounds being compared (i.e. natural and 692 synthetic) and $\mu(.)$ indicates the vector mean. We noise-corrected the NSE using the test-retest 693 reliability of the voxel responses (see Norman-Haignere et al., 2018 for details). However, we 694 measured the NSE from denoised data, which was highly reliable, and our correction procedure 695 thus only had a small effect on the resulting values.

697 Annular ROI analyses.

698 We used the same annular ROI analyses from our prior paper to quantify the change in NSE values (or lack thereof) across the cortex. We binned voxels based on their distance to the center 699 of primary auditory cortex, defined tonotopically. We used smaller bin sizes in ferrets (0.5 mm) 700 701 than humans (5 mm) due to their smaller brains (results were not sensitive to the choice of bin 702 size). Figure 2F plots the median NSE value in each bin, plotted separately for each human 703 subject and for each hemisphere of each ferret. To statistically compare different models (e.g. 704 cochlear vs. spectrotemporal), we averaged the NSE values across all bins and 705 hemispheres/subjects separately for each model, bootstrapped the resulting statistics by 706 resampling across the sound set (1000 times), and counted the fraction of samples that 707 overlapped between models (multiplying by 2 to arrive at a two-sided p-value). To compare 708 species, we measured the slope of the NSE vs. distance curve separately for each 709 hemisphere/animal. We found that the slope in every hemisphere of every ferret was less than 710 the slope of every hemisphere of every human subject, which is significant with a sign test (p < p711 0.01; for each ferret hemisphere there were 8 human subjects to compare with).

712713 Component analyses

714 To investigate the organization of fUS responses to the sound set, we applied the same voxel decomposition used in our prior work in humans to identify a small number of component response 715 716 patterns that explained a large fraction of the response variation. Like all factorization methods, 717 each voxel is modeled as the weighted sum of a set of canonical response patterns that are shared across voxels. The decomposition algorithm is similar to standard algorithms for 718 719 independent component analysis (ICA) in that it identifies components that have a non-Gaussian 720 distribution of weights across voxels by minimizing the entropy of the weights (the Gaussian 721 distribution has the highest entropy of any distribution with fixed variance). This optimization 722 criterion is motivated by the fact that independent variables become more Gaussian when they 723 are linearly mixed, and non-Gaussianity thus provides a statistical signature that can be used to 724 unmix the latent variables. Our algorithm differs from standard algorithms for ICA in that it 725 estimates entropy using a histogram, which is effective if there are many voxels, as is the case with fMRI and fUS (40882 fUS voxels for experiment I, 38366 fUS voxels for experiment II). 726

727

We applied our analyses to the denoised response timecourse of each voxel across all sounds (each column of the data matrix contained the concatenated response timecourse of one voxel across all sounds). Our main analysis was performed on voxels concatenated across both animals tested. The results however were similar when the analysis was performed on data from each animal. The number of components was determined via a cross-validation procedure described in the section on denoising.

734

735 We examined the inferred components by plotting and comparing their response profiles to the 736 natural and synthetic sounds, as well as plotting their anatomical weights in the brain. We also 737 correlated the response profiles across all sounds with measures of cochlear and spectrotemporal 738 modulation energy. Cochlear energy was computed by averaging the cochleagram for each sound 739 across time. Spectrotemporal modulation energy was calculated by measuring the strength of 740 modulations in the filtered cochleagrams (which highlight modulations at a particular temporal rate 741 and/or spectral scale). Modulation strength was computed as the standard deviation across time 742 of each frequency channel of the filtered cochleagram. The channel-specific energies were then 743 averaged across frequency, yielding one number per sound and spectrotemporal modulation rate. 744

We used a permutation test across the sound set to assess the significance of correlations with frequency and modulation features. Specifically, we measured the maximum correlation across

all frequencies and all modulation rates tested, and we compared these values with those from a

null distribution computed by permuting the correspondence across sounds between the features and the component responses (1000 permutations). We counted the fraction of samples that overlapped the null distribution and multiplied by two in order to arrive at a two-sided p-value. For every component, we found that correlations with frequency and modulation features were significant (p < 0.01).

753

754 **Predicting human components from ferret responses**

755 To quantify which component response patterns were shared across species, we tried to linearly 756 predict components across species (Fig S6/S7). Each component was defined by its average 757 response to the 36 natural and corresponding synthetic sounds, matched on the full 758 spectrotemporal model. We attempted to predict each human component from all of the ferret components and vice versa, using cross-validated ridge regression (9 folds). The ridge parameter 759 was chosen using nested cross-validation within the training set (also 9 folds; testing a wide range 760 from 2⁻¹⁰⁰ to 2¹⁰⁰). Each fold contained pairs of corresponding natural and synthetic sound, so that 761 762 there would be no overlap between the train and test sounds.

763

764 For each component, we separately measured how well we could predict the response to 765 synthetic sounds (Fig S6B/S7A) – which isolates selectivity for frequency and modulation statistics present in natural sounds – as well as how well we could predict the difference between 766 767 responses to natural vs. synthetic sounds (Fig S6C/FigS7B) - which isolates selectivity for 768 features in natural sounds that are not explained by frequency and modulation statistics. We quantified prediction accuracy using the noise-corrected NSE, and we used (1 - NSE). ^2 as a 769 770 measure of explained variance. This choice is motivated by the fact (1 - NSE) is equivalent to the Pearson correlation for signals with equal mean and variance and thus (1 - NSE). ^2 is analogous 771 772 to the squared Pearson correlation, which is a standard measure of explained variance. 773

We multiplied these explained variance estimates by the total response variance of each component for either synthetic sounds or for the difference between natural and synthetic sounds (**Fig S6D/Fig S7C** shows the total variance alongside the fraction of that total variance explained by the cross-species prediction). We noise-corrected the total variance using the equation below:

779

780 (2)
$$\frac{var(r_1 + r_2) - var(r_1 - r_2)}{4}$$

781

where r_1 and r_2 are two independent response measurements. Below we give a brief derivation of this equation, where r_1 and r_2 are expressed as the sum of a shared signal (*s*) that is repeated across measurements plus independent noise (n_1 and n_2) which is not. This derivation utilizes the fact that the variance of independent signals that are summed or subtracted is equal to the sum of their respective variances.

788 (3)
$$\frac{var(r_1 + r_2) - var(r_1 - r_2)}{4} = \frac{var([s + n_1] + [s + n_2]) - var([s + n_1] - [s + n_2])}{4}$$
$$\frac{4}{var(2s + n_1 + n_2) - var(n_1 - n_2)}$$

4

789

$$-4var(s)$$

$$=\frac{1000}{4}$$

791
$$= var(s)$$

792

The two independent measurements used for noise correction were derived from different human or ferret subjects. The measurements were computed by attempting to predict group components

from each individual subject using the same cross-validated regression procedure described above. The two measurements in ferrets came from the two animals tested (A and T). And the two measurements in humans came from averaging across two non-overlapping sets of subjects (4 in each group; groups chosen to have similar SNR).

799

For this analysis, the components were normalized so that the RMS magnitude of their weights was equal. As a consequence, components that explained more response variance also had larger response magnitudes. We also adjusted the total variance across all components to equal 1.

804

805 Comparing the similarity of natural and synthetic sounds from different categories. We 806 computed maps showing the average difference between natural and synthetic sounds from 807 different categories (Fig 4E). So that the scale of the differences could be compared across species, we divided the measured differences by the standard deviation of each voxel's response 808 809 across all sounds. We also separately measured the NSE for sounds from different categories 810 (Fig 4F,G). The normalization term in the NSE equation (denominator of equation 1) was 811 averaged across all sounds in order to ensure that the normalization was the same for all 812 sounds/categories and thus that we were not inadvertently normalizing-away meaningful 813 differences between the sounds/categories.

814

815 **Denoising Part I: Removing components outside of cortex**

Ultrasound responses in awake animals are noisy, which has limited its usage to mapping simple 816 817 stimulus dimensions (e.g. frequency) where a single stimulus can be repeated many times 818 (Bimbard et al., 2018). To overcome this issue, we developed a denoising procedure that 819 substantially increased the reliability of the voxel responses (Fig S9). The procedure had two 820 parts. The first part, which is described in this section, removed prominent signals outside of 821 cortex, which are likely to reflect movement or other sources of noise. The second part enhanced 822 reliable signals. Code implementing the denoising procedures will be made available upon 823 publication.

824

We separated voxels into those inside and outside of cortex, since responses outside of the cortex by definition do not contain stimulus-driven cortical responses, but do contain sources of noise like motion. We then used canonical correlation analysis (CCA) to find a set of response timecourses that were robustly present both inside and outside of cortex, since such timecourses are both likely to reflect noise and likely to distort the responses-of-interest. We projected-out the top 20 canonical components (CCs) from the data set, which we found scrubbed the data of motion-related signals (**Fig S9A**; motion described below).

832

833 This analysis was complicated by one key fact: the animals reliably moved more during the 834 presentation of some sounds (Fig 4C). Thus, noise-induced activity outside-of-cortex is likely to 835 be correlated with sound-driven neural responses inside-of-cortex, and removing CCs will thus 836 remove both noise and genuine sound-driven activity. To overcome this issue, we took advantage 837 of the fact that sound-driven responses will by definition be reliable across repeated presentations 838 of the same sound, while motion-induced activity will vary from trial-to-trial for the same sound. 839 We thus found canonical components where the residual activity after removing trial-averaged 840 responses was shared between responses inside and outside of cortex, and we then removed 841 the contribution of these components from the data. We give a detailed description and motivation 842 of this procedure in the **Appendix**, and show the results of a simple simulation demonstrating its 843 efficacy.

845 To assess the effect of this procedure on our fUS data, we measured how well it removed signals that were correlated with motion (Fig S9A). Motion was measured using a video recording of the 846 847 animals' face. We measured the motion energy in the video as the average absolute deviation across adjacent frames, summed across all pixels. We correlated this motion timecourse with the 848 849 residual timecourse of every voxel after subtracting off trial-averaged activity. Figure S9A plots 850 the mean absolute correlation value across voxels as a function of the number of canonical 851 components removed (motion can induce both increased and decreased fUS signal and thus it 852 was necessary to take the absolute value of the correlation before averaging). We found that 853 removing the top 20 CCs substantially reduced motion correlations.

854

We also found that removing the top 20 CCs removed the spatial striping in the voxel responses, which is a stereotyped feature of motion due to the interaction between motion and blood vessels. To illustrate this effect, **Figure S9B** shows the average difference between responses to natural vs. synthetic sounds in Experiment II (vocalization experiment). Before denoising, this difference map shows a clear striping pattern likely due to the fact that the animals moved more during the presentation of the natural vs. synthetic sounds. The denoising procedure largely eliminated this striping pattern.

862

863 **Denoising Part II: Enhancing signal using DSS**

After removing components likely to be driven by noise, we applied a second procedure designed 864 865 to enhance reliable components in the data. Our procedure is a variant of a method that is often referred to as "denoising source separation" (DSS) or "joint decorrelation" (de Cheveigné and 866 Parra, 2014). In contrast with principal component analysis (PCA), which finds components that 867 have high variance, DSS emphasizes components that have high variance after applying a 868 869 "biasing" operation that is designed to enhance some aspect of the data. The procedure begins 870 by whitening the data such that all response dimensions have equal variance, the biasing 871 operation is applied, and PCA is then used to extract the components with highest variance after 872 biasing. In our case, we biased the data to enhance response components that were reliable 873 across stimulus repetitions and across the slices from all animals. We note that unlike fMRI, data 874 from different slices come from different sessions. As a consequence, the noise from different 875 slices will be independent. Thus, any response components that are consistent across slices and 876 animals are likely to reflect true, stimulus-driven responses.

877

The input to our analysis was a set of matrices. Each matrix contained data from a single stimulus repetition and slice. Only voxels from inside of cortex were analyzed. Each column of each matrix contained the response timecourse of one voxel to all of the sounds (concatenated), denoised using the procedure described in Part I. The response of each voxel was converted to units of percent signal change (the same units used for fMRI analyses) by subtracting and dividing by the pre-stimulus period (also known as percent Cerebral Blood Volume or %CBV in the fUS literature).

884

888

- 885 Our analysis involved five steps:
- 886887 1. We whitened each matrix individually.

889 2. We averaged the whitened response timecourses across repetitions, thus enhancing
 890 responses that are reliable across repetitions.
 891

892 3. We concatenated the repetition-averaged matrices for all slices across the voxel dimension,
 893 thus boosting signal that is shared across slices and animals.

895 4. We extracted the top N principal components (PCs) with the highest variance from the 896 concatenated data matrix. The number of components was selected using cross-validation 897 (described below). Because the matrices for each individual repetition and slice have been 898 whitened, the PCs extracted in this step will *not* reflect the components with highest variance, but 899 will instead reflect the components that are the most reliable across repetitions and across 900 slices/animals. We thus refer to these components as "reliable components" (R).

- 902 5. We then projected the data onto the top N reliable components (R):
- 903

905

901

(4) $D_{denoised} = RR^+D$ 904

906 where *D* is the denoised response matrix from Part I. 907

908 We used cross-validation to test the efficacy of this denoising procedure and select the number 909 of components (Fig S2).

910

912

911 The analysis involved the following steps:

913 1. We divided the sound set into training (75%) and test (25%) sounds. Each set contained 914 corresponding natural and synthetic sounds so that there would be no overlap between train and 915 test sets. We attempted to balance the train and test sets across categories, such that each split 916 had the same number of sounds from each category.

917

922

924

918 2. Using responses to just the train sounds (D_{train}) , we computed reliable components (R_{train}) 919 using the procedure just described (steps 1-4). 920

- 921 3. We calculated voxel weights for these components:
- $W = R_{train}^+ D_{train}$ (5) 923

4. We used this weight matrix, which was derived entirely from train data, to denoise responses 925 926 to the test sounds:

- 927 $D_{test-denoised} = R_{test}W$ $R_{test} = D_{test}W^{+}$ (6) 928
- 929 (7)
- 930

931 To evaluate whether the denoising procedure improved predictions, we measured responses to 932 the test sound set using two independent splits of data (odd or even repetitions). We then 933 correlated the responses across the two splits either before or after denoising. 934

935 Figure S2A plots the split-half correlation of each voxel before vs. after denoising for every voxel 936 in cortex (using an 8-component model). For this analysis, we either denoised one split of data 937 (blue dots) or both splits of data (green dots). Denoising one split provides a fairer test of whether 938 the denoising procedure enhances SNR, while denoising both splits demonstrates the overall 939 boost in reliability. We also plot the upper bound on the split-half correlation when denoising one 940 split of data (black line), which is given by the square root of the split-half reliability of the original 941 data. We found that our denoising procedure substantially increased reliability with the denoised-942 correlations remaining close to the upper bound. When denoising both splits, the split-half 943 correlations were close to 1, indicating a highly reliable response.

- Figure S2B plots a map in one animal of the split-half correlations when denoising one split of data along with a map of the upper bound. As is evident, the denoised correlations remain close
- to the upper bound throughout primary and non-primary auditory cortex.
- 948
- 949 **Figure S2C** shows the median split-half correlation across voxels as a function of the number of
- 950 components. Performance was best using ~8 components in both experiments.

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953

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Experiment I



1058

1059 Table S1: List of sounds used in both experiments.

Names of sounds used in Experiments I and II, grouped by category at both fine and coarse 1060 1061 scales.

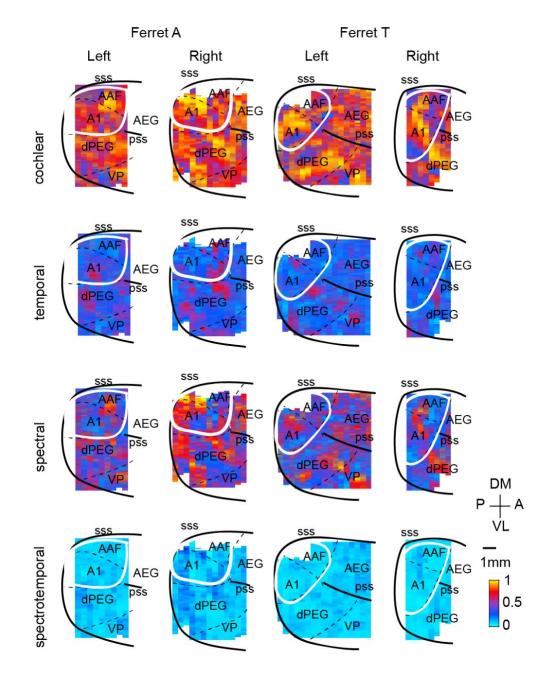
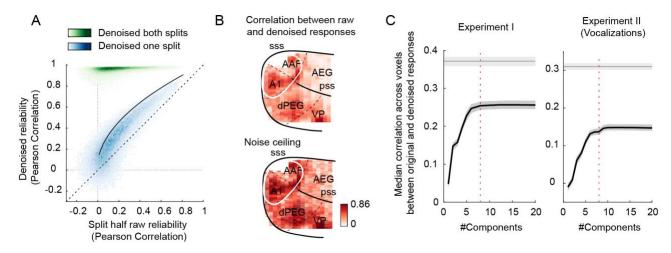


Figure S1. Dissimilarity maps for all hemispheres and animals. Same format as Figure 2E.



1066

Figure S2. The effect of enhancing reliable signal using a procedure similar to "DSS" (see 1067 1068 Denoising Part II in Methods) (de Cheveigné and Parra, 2014). A, Voxel responses were denoised by projecting their timecourse onto components that were reliably present across repetitions, 1069 slices and animals. This figure plots the test-retest correlation across independent splits of data 1070 1071 before (x-axis) and after (y-axis) denoising (data from Experiment I). Each dot corresponds to a single voxel. We denoised either one split of data (blue dots) or both splits of data (green dots). 1072 1073 Denoising one split provides a fairer test of whether the denoising procedure enhances SNR. 1074 Denoising both splits shows the overall effect on response reliability. The theoretical upper-bound for denoising one split of data is shown by the black line. The denoising procedure substantially 1075 increased data reliability, with the one-split correlations hugging the upper-bound. This plot shows 1076 1077 results from an 8-component model. B. This figure plots split-half correlations for denoised data (one split) as a map (upper panel), along with a map showing the upper bound (right). Denoised 1078 1079 correlations were close to their upper bound throughout auditory cortex. C, This figure plots the median denoised correlation across voxels (one split) as a function of the number of components 1080 1081 used in the denoising procedure. Gray line plots the upper bound. Shaded areas correspond to 1082 95% confidence interval, computed via bootstrapping across the sound set. Results are shown 1083 for both Experiments I (left) and II (right). Predictions were near their maximum using ~8 1084 components in both experiments (the 8-component mark is shown by the vertical dashed line).

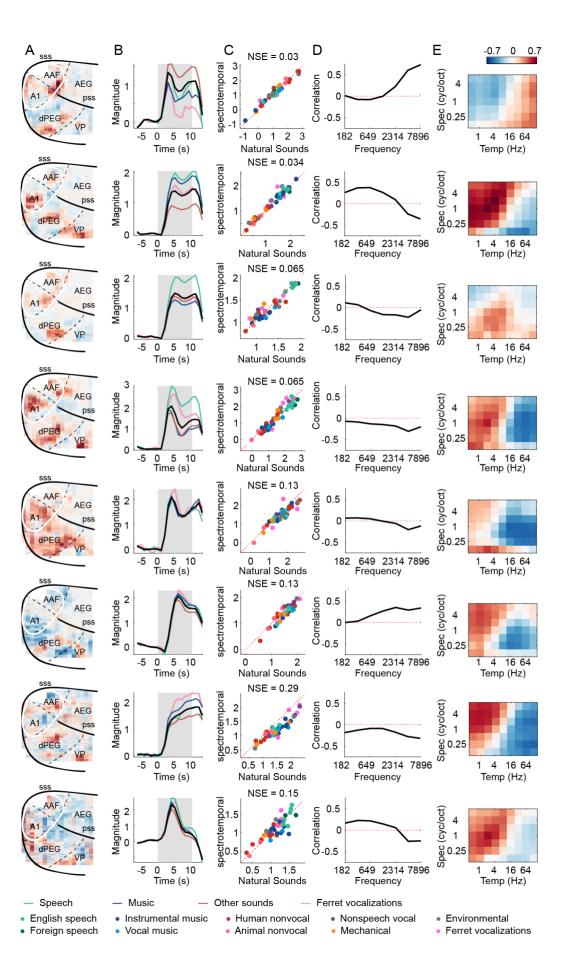


Figure S3. Results from all 8 ferret components. Same format as **Figure 3**, except for panel B, which plots the temporal response of the components. Black line shows the average across all natural sounds. Colored lines correspond to major categories (see **Table S1**): speech (green), music (blue), vocalizations (pink) and other sounds (brown). Note that the temporal shape varies across components, but is very similar across sounds/categories within a component, which is why we summarized component responses by their time-averaged response to each sound.

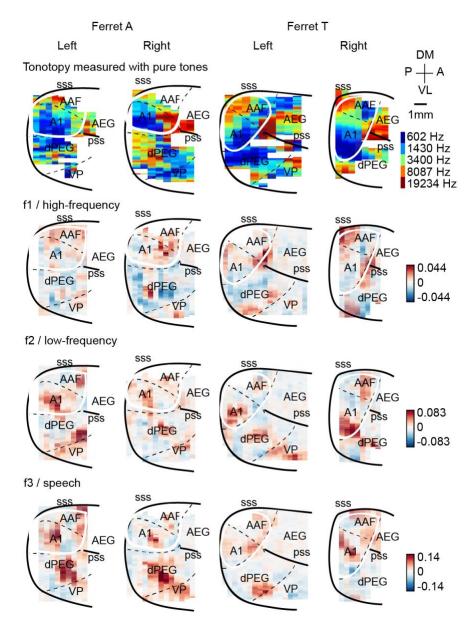
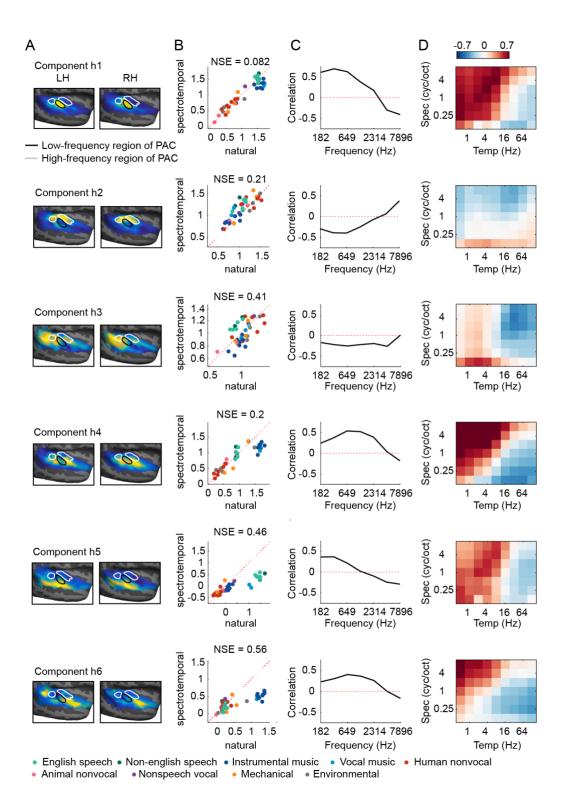


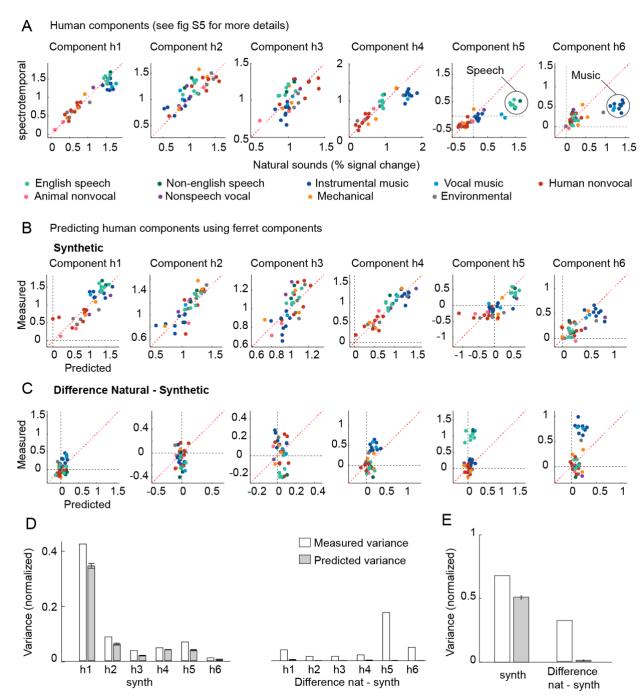
Figure S4. Component weight maps from all hemispheres and ferrets. Weight maps are plotted for the same three components shown in **Figure 3**, but showing maps from all hemispheres of all ferrets tested. For reference, tonotopic maps measured with pure tones are also displayed for the corresponding hemispheres (top row).

1099 1100



1101

Figure S5. Human components. This figure shows the anatomy and response properties of the six human components inferred in prior work (Norman-Haignere et al., 2015; Norman-Haignere and McDermott, 2018). Same format as **Figure 3**, which plots ferret components. Weight maps (panel A) plot group-averaged maps across subjects.

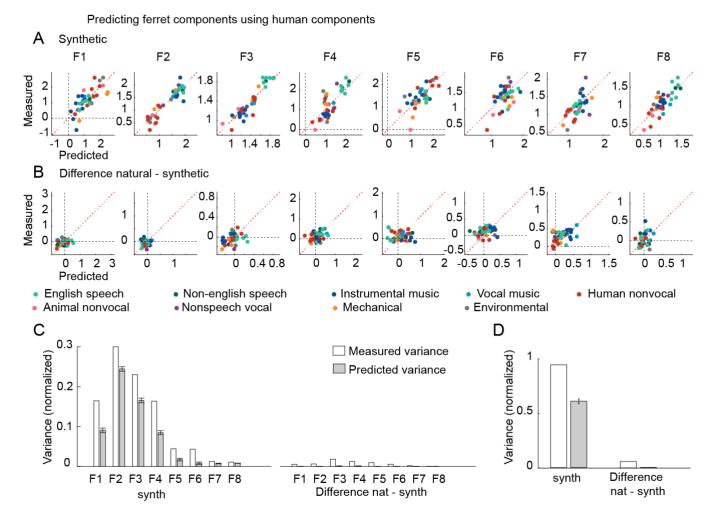


1107

1108 Figure S6. Predicting human component responses from ferrets. This figure plots the results of trying to predict the six human components inferred from our prior work (Norman-Haignere et 1109 al., 2015; Norman-Haignere and McDermott, 2018) from the eight ferret components inferred here 1110 (see Fig S7 for the reverse). A. For reference, the response of the six human components to 1111 1112 natural and spectrotemporally matched synthetic sounds is re-plotted here. Components h1-h4 produced similar responses to natural and synthetic sounds, and had weights that clustered in 1113 1114 and around primary auditory cortex (Fig S5). Components h5 and h6 responded selectively to natural speech and natural music, respectively, and had weights that clustered in non-primary 1115 regions. B, This panel plots the measured response of each human component to 1116 1117 spectrotemporally matched synthetic sounds, along with the predicted response from ferrets. C, 1118 This panel plots the difference between responses to natural and spectrotemporally-matched synthetic sounds along with the predicted difference from the ferret components. D, Plots the total 1119 1120 response variance (white bars) of each human component to synthetic sounds (left) and to the

difference between natural and synthetic sounds (right) along with the fraction of that total response variance predictable from ferrets (gray bars) (all variance measures are noisecorrected). Error bars show the 95% confidence interval, computed via bootstrapping across the sound set. **E**, Same as D, but averaged across components.

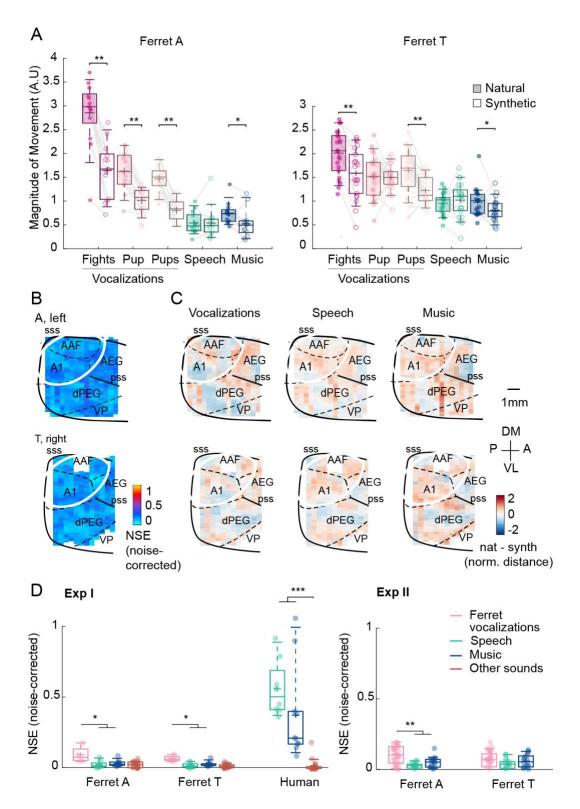
- 1125
- 1126



1127

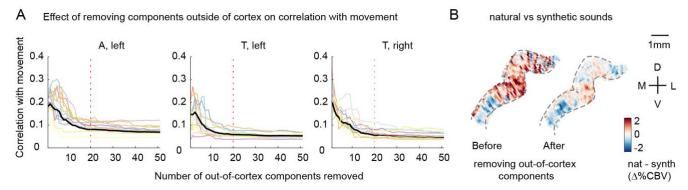
1128 Figure S7. Results of predicting ferret components from human components. Same format

1129 as **Fig S6B-E**.



1131

Figure S8. Results of Experiment II from other hemispheres. A-C, Same format as Fig 4C-E, 1132 except that in panel A the vocalizations are split into sub-categories: fight calls, single pup calls, 1133 multiple pup calls. Movement amplitude is shown for each animal separately. **D**, This panel shows 1134 the distribution of NSE values for all pairs of natural and synthetic sounds (median across all 1135 voxels), grouped by category. The numerator in the NSE calculation is simply the squared error 1136 1137 for that sound pair, and the denominator is computed in the normal way using responses to all 1138 sounds (equation 1). Dots show individual sound pairs and box-plots show the median, central 1139 50% and central 92% (whiskers) of the distribution.



1140

Figure S9. The effect of removing outside-of-cortex components on motion correlations. 1141 Voxel responses were denoised by removing components from outside of cortex, which are likely 1142 to reflect artifacts like motion (see Denoising Part I in Methods). A, Effect of removing components 1143 1144 from outside of cortex on correlations with movement. We measured the correlation of each voxel's response with movement, measured from a video recording of the animal's face (absolute 1145 deviation between adjacent frames). Each line shows the average absolute correlation across 1146 1147 voxels for a single recording session / slice. Correlation values are plotted as a function of the 1148 number of removed components. Motion correlations were substantially reduced by removing the top 20 components (vertical dotted line). B, The average difference between responses to natural 1149 1150 vs synthetic sounds for an example slice before and after removing the top 20 out-of-cortex components. Motion induces a stereotyped "striping" pattern due to its effect on blood vessels, 1151 which is evident in the map computed from raw data, likely because ferrets moved substantially 1152 more during natural vs. synthetic sounds (particular for ferret vocalizations; Figure 4C). The 1153 striping pattern is largely removed by the denoising procedure. 1154

1155 Appendix: Recentered CCA

1156

1157 **Derivation.** The goal of the denoising procedure described in Part I was to remove artifactual components that were present both inside and outside of cortex, since such components are both 1158 1159 likely to be artifactual and likely to distort the responses-of-interest. The key complication was that 1160 motion-induced artifacts are likely to be correlated with true sound-driven neural activity because 1161 the animals reliably moved more during the presentation of some sounds. To deal with this issue, we used the fact that motion will vary from trial-to-trial for repeated presentations of the same 1162 sound, while sound-driven responses by definition will not. Here, we give a more formal derivation 1163 of our procedure. We refer to our method as "recentered CCA" (rCCA) for reasons that will 1164 become clear below. 1165

1166

1167 We represent the data for each voxel as an unrolled vector (d_v) that contains its response 1168 timecourse across all sounds and repetitions. We assume these voxel responses are 1169 contaminated by a set of K artifactual component timecourses $\{a_k\}$. We thus model each voxel 1170 as a weighted sum of these artifactual components plus a sound-driven response timecourse (s_v) : 1171

1172 (8)
$$d_{v} = \sum_{k}^{K} a_{k} w_{k,v} + s_{v}$$

1173

Actual voxel responses are also corrupted by voxel-specific noise, which would add an additional error term to the above equation. In practice, the error term has no effect on our derivation so we omit it for simplicity (we verified our analysis was robust to voxel-specific noise using simulations, which are described below).

- 1179 To denoise our data, we need to estimate the artifactual timecourses $\{a_k\}$ and their weights $(w_{k,v})$ 1180 so that we can subtract them out. If the artifactual components $\{a_k\}$ were uncorrelated with the 1181 sound-driven responses (s_v) we could estimate them by performing CCA on voxel responses from 1182 inside and outside of cortex, since only the artifacts would be correlated. However, we expect 1183 sound-driven responses to be correlated with motion artifacts, and the components inferred by 1184 CCA will thus reflect a mixture of sound-driven and artifactual activity.
- 1185

To overcome this problem, we first subtract-out the average response of each voxel across repeated presentations of the same sound (\dot{d}_v) . This "recentering" operation removes sounddriven activity, which by definition is the same across repeated presentations of the same sound: 1189

1190 (9)
$$\dot{\boldsymbol{d}}_{\boldsymbol{v}} = \sum_{k}^{N} \dot{\boldsymbol{a}}_{\boldsymbol{k}} w_{k,\boldsymbol{v}}$$

1191

where the dot above a variable indicates its response after recentering (not its time derivative). Because sound-driven responses have been eliminated, applying CCA to the recentered voxel responses should yield an estimate of the recentered artifacts (\dot{a}_k) and their weights ($w_{k,v}$) (note that CCA actually yields a set of components that span a similar subspace as the artifactual components, which is equivalent from the perspective of denoising). To simplify notation in the equations below, we assume this estimate is exact (i.e. CCA exactly returns \dot{a}_k and $w_{k,v}$).

1198

Since the weights $(w_{k,j})$ are the same for original (d_v) and recentered (\dot{d}_v) data, we are halfway done. All that is left is to estimate the original artifact components before recentering (a_k) , which can be done using the original data before recentering (d_v) . o see this, first note that canonical

1202 components are by construction a linear projection of the data used to compute them, and thus, 1203 we can write:

1204 (10)
$$\dot{a}_{k} = \sum_{\nu}^{V} \dot{d}_{\nu} \beta_{k,\nu}$$

1205

1206 We can use the reconstruction weights ($\beta_{k,v}$) in the above equation to get an estimate of the original artifactual components by applying them to the original data before recentering: 1207 1208

1209 (11)
$$a_k \approx \sum_{\nu}^{V} d_{\nu} \beta_{k,\nu}$$

1210

1212

1211 To see this, we expand the above equation:

1212
1213 (12)
$$\sum_{v}^{V} d_{v}\beta_{k,j} = \sum_{v}^{V} \left(\sum_{k'}^{N} a_{k'} w_{k',v} + s_{v} \right) \beta_{k,v}$$
1214 (13)
$$= \sum_{k'}^{N} a_{k'} \sum_{v}^{V} w_{k',v}\beta_{k,v} + \sum_{v}^{V} s_{v}\beta_{k,v}$$

1215

1220

The first term in the above equation exactly equals a_k because $w_{k',v}$ and $\beta_{k,v}$ are by construction 1216 pseudoinverses of each other (i.e. $\sum_{\nu}^{V} w_{k',\nu} \beta_{k,\nu}$ is 1 when k' = k and 0 otherwise). The second 1217 1218 term can be made small by estimating and applying reconstruction weights using only data from 1219 outside of cortex, where sound-driven responses are weak.

1221 We thus have a procedure for estimating both the original artifactual responses (a_k) and their weights $(w_{k,j})$, and can denoise our data by simply subtracting them out: 1222 1223

1224 (14)
$$d_v - \sum_{k}^{K} a_k w_{k,v}$$

1225

1232

1240

1226 **Procedure**. We now give the specific steps used to implement the above procedure using matrix notation. The inputs to the analysis were two matrices (D_{in}, D_{out}) , each of which contained voxel 1227 responses from inside and outside of cortex. Each column of each matrix contained the response 1228 1229 timecourse of a single voxel, concatenated across all sounds and repetitions (i.e. d_{ν} in the above derivation). We also computed recentered data matrices $(\dot{D}_{in}, \dot{D}_{out})$ by subtracting out trial-1230 averaged activity (i.e. d_v). 1231

1233 CCA can be performed by whitening each input matrix individually, concatenating the whitened 1234 data matrices, and then computing the principal components of the concatenated matrices (de 1235 Cheveigné et al., 2019). Our procedure is an elaborated version of this basic design: 1236

1237 1. The recentered data matrices were reduced in dimensionality and whitened. We implemented this step using the singular value decomposition (SVD), which factors the data matrix as the 1238 1239 product of two orthonormal matrices (U and V), scaled by a diagonal matrix of singular values (S):

- 1241 (15)
- 1242 (16)

1243

1244 The reduced and whitened data was given by selecting the top 250 components and removing the diagonal S matrix: 1245

- 1246 1247
- 1248

(17)(18)

1249 2. We concatenated the whitened data matrices from inside and outside of cortex across the voxel 1250 1251 dimension:

1253 (19)
$$\dot{D}_{cat} = [\dot{D}_{in-white}, \dot{D}_{out-white}]$$

1255 3. We computed the top N principal components from the concatenated matrix using the SVD:

1252

1254

$$\dot{D}_{cat} = \dot{U}_{CC} \dot{S}_{CC} \dot{V}_{cc}$$

 \dot{U}_{CC} contains the timecourses of the canonical components (CCs), ordered by variance, which 1259 provide an estimate of the artifactual components after recentering (i.e. \dot{a}_k). The corresponding 1260 weights (i.e. $w_{k,v}$) for voxels inside of cortex were computed by projecting the recentered data 1261 1262 onto \dot{U}_{cc} :

1264 (21)
$$W_{\rm in} = \dot{U}_{cc}^+ \dot{D}_{in}$$

1265

1267

1263

1266 where + indicates the matrix pseudo-inverse.

4. The original artifactual components before recentering (i.e. a_k) were estimated by learning a 1268 set of reconstruction weights (B) using recentered data from outside of cortex, and then applying 1269 these weights to the original data before recentering: 1270 1271

1272 (22)
$$B = \dot{D}_{out}^+ \dot{U}_{cc}$$

1273 (23) $U_{cc} = D_{out}B$

1273 1274 (23)

 U_{cc} is an estimate of the artifactual components before recentering (i.e. a_k). 1275

1276 1277 5. Finally, we subtracted out the contribution of the artifactual components to each voxel inside of 1278 cortex, estimated by simply multiplying the component responses and weights: 1279

1280 (24)
$$D_{denoised} = D_{in} - U_{cc}W_{in}$$

1281

1282 1283 Simulation. We created a simple simulation to test our method. We simulated 1000 voxel 1284 responses, both inside and outside of cortex, using equation 8. For voxels outside of cortex, we 1285 set the sound-driven responses to 0. We also added voxel-specific noise to make the denoising 1286 task more realistic/difficult (sampled from a Gaussian). Results were very similar across a variety 1287 of noise levels.

1288

To induce correlations between the artifactual (a_k) and sound-driven responses (s_v) , we forced 1289 them to share a subspace. Specifically, we computed the sound-driven responses as a weighted 1290 1291 sum of a set of 10 component timecourses (results did not depend on this parameter), thus forcing 1292 the responses to be low-dimensional, as we found to be the case:

1294 (25)
$$s_v = \sum_{j=1}^{10} u_j m_{j,v}$$

1295

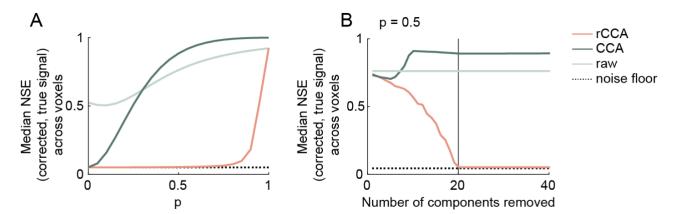
1296 The artifactual timecourses were then computed as a weighted sum of these same 10 1297 components timecourses plus a timecourse that was unique to each artifactual component: 1298

1299 (26)
$$a_k = p \sum_{j=1}^{10} u_j n_{j,k} + (1-p) b_k$$

1300

1301 where p controls the strength of the dependence between the sound-driven and artifactual 1302 components with a value of 1 indicating complete dependence and 0 indicating no dependence. All of responses and weights $(u_i, b_k, m_{i,v}, n_{i,k})$ were sampled from a unit-variance Gaussian. 1303 Sound-driven responses were constrained to be the same across repetitions by sampling the 1304 1305 latent timecourses u_i once per sound, and then simply repeating the sampled values across repetitions. In contrast, a unique b_k was sampled for every repetition of every sound to account 1306 for the fact that the artifacts like motion will vary from trial-to-trial. We sampled 20 artifactual 1307 1308 timecourses using equation 26. 1309

We applied both standard CCA and our modified rCCA method to the simulated data. We 1310 measured the median NSE between the true and estimated sound-driven responses (s_v) , 1311 computed using the two methods as a function of the strength of the dependence (p) between 1312 1313 sound-driven and artifactual timecourses (Fig A1A). For comparison, we also plot the NSE for raw voxels (i.e. before any denoising) as well as the minimum possible NSE (noise floor) given 1314 1315 the voxel-specific noise (which cannot possibly be removed using CCA or rCCA). When the 1316 dependence is low, both CCA and rCCA yield similarly good results, as expected. As the 1317 dependence increases, CCA performs substantially worse, while rCCA continues to perform well 1318 up until the point when the dependence becomes so strong that sound-driven and artifactual 1319 timecourses are nearly indistinguishable. Results were not highly sensitive to the number of 1320 components removed as long as the number of removed components was equal to or greater than the number of artifactual components (Figure A1B). 1321



1322 1323 Figure A1: Simulation results. A. Median NSE across simulated voxels between the true and estimated sound-driven responses (s_v) , computed using raw/undenoised data (light green line), 1324 1325 standard CCA (dark green line), and recentered CCA (red line). Results are shown as a function of the strength of the dependence (p) between sound-driven and artifactual timecourses. The minimum 1326 1327 possible NSE (noise floor) given the level of voxel-specific noise is also shown. B. Same as panel A, 1328 but showing results as a function of the number of components removed for a fixed value of p (set to 1329 0.5).