1	Longitudinal in vivo micro-CT-based approach allows spatio-temporal characterization of
2	fracture healing patterns and assessment of biomaterials in mouse femur defect models
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19 Abstract

Thorough preclinical evaluation of functionalized biomaterials for treatment of large bone 20 21 defects is essential prior to clinical application. Using in vivo micro-computed tomography (micro-CT) and mouse femoral defect models with different defect sizes, we were able to 22 detect spatio-temporal healing patterns indicative of physiological and impaired healing in 23 three defect sub-volumes and the adjacent cortex. The time-lapsed in vivo micro-CT-based 24 approach was then applied to evaluate the bone regeneration potential of functionalized 25 biomaterials using collagen and BMP-2. Both collagen and BMP-2 treatment led to distinct 26 27 changes in bone turnover in the different healing phases. Despite increased periosteal bone 28 formation, 87.5% of the defects treated with collagen scaffolds resulted in non-unions. Additional BMP-2 application significantly accelerated the healing process and increased the 29 30 union rate to 100%. This study further shows potential of time-lapsed in vivo micro-CT for capturing spatio-temporal deviations preceding non-union formation and how this can be 31 prevented by application of functionalized biomaterials. 32

33 This study therefore supports the application of longitudinal *in vivo* micro-CT for 34 discrimination of normal and disturbed healing patterns and for the spatio-temporal 35 characterization of the bone regeneration capacity of functionalized biomaterials.

37 Introduction

Regeneration and healing of large bone defects (e.g. caused by trauma, infection, tumor 38 resection, congenital skeletal disorders) is a treatment challenge in orthopedic surgery with 39 as much as 10-20% of patients experiencing delayed or non-unions ¹⁻³. Recent advances in 40 tissue engineering and material sciences (e.g. 3D-bioprinting) enabled the development of 41 diverse biomaterials, which can be functionalized with biochemical factors (e.g. growth 42 factors) and combined with cell therapeutic approaches ³⁻⁵. In order to facilitate the clinical 43 application of these innovative approaches for the treatment of large bone defects, their bone 44 regeneration capacity needs to be systematically and thoroughly characterized in preclinical 45 studies ⁶⁻⁸. For this purpose, critical size defect models have been developed for load-bearing 46 and non-load-bearing bones in small and large animals ^{7,9-13}. So far, most of these studies 47 focused their evaluation on end-point radiological and histological analysis ¹⁴⁻¹⁶. However, 48 recent studies indicate that longitudinal non-invasive imaging could improve the evaluation 49 of a biomaterial's bone regeneration capacity, due to the ability to follow the regeneration 50 51 process in the same animal over time, thereby also reducing animal numbers according to the 3R's of animal welfare ^{17,18}. Particularly, in vivo micro-CT was shown to be suitable for the 52 assessment of bone tissue formation and mineralization after biomaterial application in 53 critical size defect models ¹⁷⁻¹⁹. A further development is the consecutive registration of time-54 lapsed in vivo images ²⁰. We recently developed a longitudinal in vivo micro-CT-based 55 approach for healing-phase-specific monitoring of fracture repair in mouse femur defect 56 models ²¹. Registration of consecutive scans using a branching scheme (bridged vs. unbridged 57 58 defect) combined with a two/multi-threshold approach enabled the assessment of localized bone turnover and mineralization kinetics relevant for monitoring callus remodelling ^{21,22}. 59

Furthermore, we showed that longitudinal *in vivo* micro-CT imaging itself did not significantly
 affect callus formation and remodelling ²².

62 It is well accepted that longitudinal non-invasive imaging of the healing process is advantageous compared to cross-sectional study designs²². In order to apply in vivo micro-CT-63 based longitudinal monitoring approaches for the evaluation of functionalized biomaterials, it 64 has to be assessed, whether these approaches allow for reliable discrimination between 65 normal and impaired healing conditions (e.g. critical-sized defects). So far, preclinical studies 66 were often not able to reliably capture the bone healing potential of biomaterials due to 67 limitations in study design: (I) cross-sectional setup, (II) assessment not considering defect 68 sub-volumes, (III) assessment not specific to the healing phases. 69

Therefore, this study assesses whether our recently developed time-lapsed micro-CT based monitoring approach is suitable for discrimination of healing patterns associated with physiological and impaired bone healing conditions using mouse femur defect models with different gap sizes. In a second step, we evaluated whether the time-lapsed *in vivo* micro-CTbased monitoring approach is suitable for profound characterization of the bone regeneration capacity of functionalized biomaterials using well characterized porous collagen scaffolds and bone morphogenetic protein (BMP-2) as gold standard

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78 <u>Results</u>

In Experiment 1, we assessed the potential of time-lapsed *in vivo* micro-CT for reliable discrimination between physiological and impaired fracture healing patterns. We compared the healing process (week 0-6) in mice receiving either a small (0.9mm, n=10) or large femur defect (2mm, n=8; Fig. 1; Supplementary Video 1). Via registration of consecutive micro-CT scans, structural and dynamic callus parameters were followed in three callus sub-volumes (defect center, DC; defect periphery, DP; cortical fragment periphery, FP) and the adjacent cortical fragments (FC) over time (Fig. 1, 2). In Experiment 2, we applied the same time-lapsed *in vivo* imaging approach to assess the bone regeneration capacity of collagen scaffolds (n=8) and collagen scaffolds+BMP-2 (n=8; Fig. 3, 4, Supplementary Video 2; for detailed study design see Supplementary Table S1).

89 **General physical observation**

90 Post-operative monitoring was performed with a scoring system defined in license number 91 36/2014 (Kantonales Veterinäramt Zürich, Zurich, Switzerland), evaluating the following parameters: social behaviour, body position, motion, load bearing of operated limb, habitus, 92 93 surgery wound. Scoring values: 0-4 (0=normal, 4=severely impaired, end-point criteria: scored 4 in one category or overall score \geq 8). Monitoring schedule: evening of surgery day, day 1-3: 94 morning and evening, day 4 until end of experiment: 3x/week, daily if scored ≥ 2 in one 95 96 criterion. All mice recovered rapidly from surgery. However, one animal from the 0.9mm 97 defect group only showed minor load bearing of the operated limb in the first post-operative week, which then gradually increased, reaching normal values after 2 weeks. The body weight 98 99 did not significantly change during the healing period and did not differ between the groups in Experiment 1 and 2 (see Supplementary Fig. 1 and 2). Social interaction between mice and 100 nesting behaviour did not differ from pre-surgical observations and were similar for animals 101 102 from all groups.

103

104 VOIs for evaluation by time-lapsed in vivo micro-CT

105 Experiment 1:

106	In order to exclude bias in the further micro-CT analyses, we compared the size of the two
107	central VOIs (DC, FC) used for normalization of CT parameters (depicted in Fig. 2) between
108	groups. One animal from the 2.0mm group could not be included in the analysis due to
109	incorrect registration caused by differences in leg alignment between micro-CT scans. The two
110	central VOIs encompassed the following volume for the 0.9mm group (n=10) and the 2.0mm
111	group (n=7) with significant group differences given in brackets: 1.64 ± 0.19 mm ³ vs.
112	3.45±0.18mm ³ for DC (p<0.0001), and 3.18±0.12mm ³ vs. 0.94±0.30mm ³ for FC (p<0.0001).
113	Experiment 2:
114	The DC and FC VOIs encompassed the following volume for the collagen group (n=8) and the
115	collagen+BMP-2 group (n=8): 3.09±0.22mm ³ vs. 3.15±0.22mm ³ for DC and 1.25±0.26mm ³ vs.
	5 5 I ()
116	1.47±0.19mm ³ for FC. No significant group differences in VOI size were detected between the
116 117	
	1.47±0.19mm ³ for FC. No significant group differences in VOI size were detected between the
117	1.47±0.19mm ³ for FC. No significant group differences in VOI size were detected between the
117 118	1.47±0.19mm ³ for FC. No significant group differences in VOI size were detected between the two groups.

121 In both groups the repeated micro-CT scans (1x/week, Fig. 1, Supplementary Video 1) covered 122 the period from the day of the defect surgery (d0) until post-operative week 6. In the 0.9mm 123 group, distinct callus characteristics indicative of the different healing phases (inflammation, 124 repair, remodelling) were seen in the three callus VOIS (DC, DP, FP; Fig. 1 and Fig. 2) as 125 previously described in the same femur defect model ²². Specifically, a strong increase in bone 126 formation with maximum values in week 1-2 (BFR: DC - 3.67±1.50%) and week 2-3 (BFR: DP -127 2.44 ±1.31%, FP - 2.50±0.89%; Fig. 2) indicated the progression from the inflammation to the repair phase. This triggered bone resorption with maximum values seen in week 2-3 (BRR: DC
- 1.15±0.48%) and week 3-4 (BRR: DP - 0.82±0.74%, FP - 0.86±0.48%) indicating progression to
the remodelling phase. In all callus VOIs, a significant increase (p<0.0001) in bone volume was
seen over time with maximum values observed in week 4 (BV/TV: DC - 44±9%, DP - 24±10%,
FP - 29±8%). From week 2 onwards, the fraction of highly mineralized bone continuously
increased in all callus VOIs until the study endpoint (week 6).

134 In the 2.0mm defect group, only a slight onset in bone formation was seen in the callus VOIs 135 from week 0-1 to week 1-2 without characteristic peak values in the further healing process (Fig. 1 and 2). We could also not observe any significant gain in bone volume throughout the 136 healing period, indicating an impaired and delayed healing pattern. Nevertheless, we saw a 137 138 continuous increase in the fraction of highly mineralized bone in all callus VOIs, indicating no 139 substantial disturbances of callus mineralization, despite the small callus volume. Comparison of both groups (0.9mm defect, 2.0mm defect) showed similar patterns in healing initiation 140 with bone formation, first starting in the defect center (DC) and the cortical fragment 141 periphery (FP) from week 0-1 to week 1-2. However, in the DC VOI the increase in bone 142 143 formation (6x) was significantly lower (p=0.001) in the 2mm group compared to the 0.9mm 144 group (19x) and the bone formation rates then remained stable at low values ($\leq 0.95\%/day$) throughout the healing period associated with only little endosteal callus formation. In the FP 145 146 VOI, the increase in bone formation from week 0-1 to week 1-2 was similar for both groups, but the 2.0mm defect group then showed a sudden decline (-40%) in bone formation (week 147 1-2 vs week 2-3) leading to premature cessation of periosteal callus formation. In the defect 148 149 periphery (DP), hardly any callus formation (BFR ≤0.21%/day) was seen in the 2.0mm defect 150 group throughout the healing period leading to significantly smaller callus dimensions

151 compared to the 0.9mm group from week 3 until the study end (p=0.0001). With respect to bone resorption, no significant differences between the two defect groups were seen in the 152 early healing period (week 0-1, week 1-2) in any of the callus sub-volumes. However, in the 153 later healing period, bone resorption was significantly lower in the 2.0mm defect group 154 compared to the 0.9mm defect group in DC (week 2-3: p=0.047, week 4-5: p=0.0064), FP 155 156 (week 2-3: p= 0.0045, week 5-6: p=0.0048) and DP (week 4-5: p=0.0157, week 5-6: p=0.0177) 157 VOIs, indicating impaired bone remodelling. By week 6, not only the bone volume, but also 158 the fraction of highly mineralized bone was lower in all callus sub-volumes in the 2.0mm defect group compared to the 0.9mm defect group with significant differences (p=0.0383) being 159 observed in the DP sub-volume (BV_{645}/BV_{395} : 2.0mm group - 63±11%, 0.9mm group - 82±4%). 160

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162 To assess functional healing outcome, we particularly focused on the defect VOIs (DC+DP) which are most important for evaluating later healing time points during the remodelling 163 phase of fracture healing. The previously observed differences in bone turnover, bone volume 164 and mineralization between the two groups also affected cortical bridging. According to the 165 standard clinical evaluation of X-rays, the number of bridged cortices per callus was evaluated 166 167 in two perpendicular planes and animals with \geq 3 bridged cortices were categorized as healed. Cortical bridging first occurred by week 3 in 70% of the animals in the 0.9mm defect group, 168 169 whereas none of the animals in the 2.0mm group showed bridged cortices at this time point (Table 1). By week 6, 90% of the 0.9mm defects were categorized as healed with only 1 defect 170 171 being classified as non-union. In contrast, in the 2.0mm defect group only 1 animal showed 172 cortical bridging and 87.5% manifested as non-unions.

174 Bone turnover in the cortical fragments (FC) showed a similar pattern in both groups, without characteristic peak values in bone formation and resorption rates. Specifically, maximum bone 175 176 formation rates were significantly lower (0.9mm group: -80%, p<0.0001, 2.0mm group: -58%, p=0.004) compared to those observed in the periosteal region (FP). Furthermore, a negative 177 178 bone turnover was seen with maximum resorption rates (BRR in week 2-3; 0.79±0.15%) 179 exceeding bone formation rates (BFR in week 2-3: 0.49±0.37%) in the 0.9mm group. This 180 resulted in a decrease in bone volume over time (0.9mm group: -19%, 2.0mm group: -57%). 181 Additionally, a significant 8% (0.9mm group, p=0.0017) and 11% (2.0mm group, p=0.0072) decrease in the fraction of highly mineralized bone was seen from week 1 to week 3 suggesting 182 reorganization of the cortical bone adjacent to the forming and remodelling fracture callus. 183

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Longitudinal assessment of biomaterials by time-lapsed *in vivo* micro-CT in critical-sized femur defects (Experiment 2)

187 In the collagen group, no significant weekly changes in bone formation rate were seen in the central defect VOIs (DC, DP; Fig. 3 and 4; Supplementary Video 2). Bone resorption rates 188 189 slightly but significantly increased during the early healing period (DC: 7.6x from week 0-1 to 190 week 1-2, DP: 4.3x from week 0-1 to week 1-2) and then decreased (DC) or remained stable (DP) from week 2-3 to week 5-6. This led to only little callus formation in these central VOIs, 191 192 as similarly seen in the 2mm defect group from Experiment 1, indicating an impaired endosteal fracture healing pattern associated with the application of the collagen scaffolds. In the 193 194 periosteal VOI (FP), a significant 3.2x increase in bone formation rate was seen from week 0-195 1 to week 1-2, which remained stable during the subsequent week, before decreasing after 196 week 2-3 reaching baseline values by week 5-6. No significant changes were seen in the bone

resorption rate throughout the healing process. Compared to the 2mm defect group from Experiment 1, similar periosteal callus volumes were observed in the early healing period (BV/TV in week 2: collagen group: 13.08±4.88%, 2mm defect group: 15.99±5.09%). In the collagen group, the periosteal callus volume further increased (+53%) from week 2 to week 3, whereas it decreased (-30%) in the 2mm defect group during the same period, suggesting changes in periosteal callus formation potentially associated with the application of the collagen scaffolds.

204 In the collagen+BMP-2 group, a similar healing pattern compared to the collagen group was seen in the defect center (DC). Nevertheless, BMP-2 application led to a significantly 2.1x 205 increased bone formation rate in week 1-2 compared to the collagen group, which was also 206 207 associated with a transiently increased mineralized callus volume in this endosteal VOI in week 208 2. However, in week 6, both groups showed similar callus volumes and fraction of highly mineralized bone, indicating only a slight and transient BMP-2 associated effect on callus 209 formation and remodelling in the defect center. In contrast, in DP and FP VOIs a completely 210 211 different picture was seen: compared to the collagen group, a sudden 17x (DP) and 3.6x (FP) 212 induction in BFR already from week 0-1 to week 1-2 was detected. This indicates that collagen+BMP-2 scaffolds are able to induce bone formation at defect locations distant to the 213 214 cortical bone potentially allowing healing of large defects. The BMP-2 induced increase in the 215 bone formation rate was higher but persisted shorter compared to the 0.9mm defect group 216 with uncompromised healing in Experiment 1. This led to a significant 318x (DP) and 9.1x (FP) 217 increase in bone volume by week 2 (DP: p<0.0001; FP: p=0.0002). The periosteal callus volume 218 significantly (p<0.0001 for DP and FP) exceeded the values of the collagen group and was also 219 higher compared to the 0.9mm group from Experiment 1. In both VOIs a significant 63x (DP)

and 10x (FP) increase in bone resorption was seen from week 1-2 to week 2-3 (p<0.0001 for both VOIs), indicating early onset of callus remodelling. The bone resorption rates then remained at significantly higher levels compared to the collagen group and both groups from Experiment 1, indicating pronounced callus remodelling in the collagen+BMP-2 group. The fraction of highly mineralized tissue remained significantly lower in the collagen+BMP-2 group indicating that the remodelling process was still ongoing, whereas the bone healing process had finished in all other groups.

Despite the BMP-2 associated improved healing outcome, the healing pattern was completely different compared to the 0.9mm defect group with uncompromised healing. In the collagen+BMP-2 group bone formation mainly took place in the periosteal regions and the defect periphery, whereas in the 0.9mm defect group bone formation mainly took place in the defect center. The collagen group showed similar values compared to the 2.0mm defect group indicating impaired healing, suggesting that the collagen scaffold might have blocked endosteal callus formation.

234 In the FC VOI, we saw a similar pattern in all indices for the collagen and the collagen+BMP-2 235 group without any significant differences between groups. Specifically, the bone formation 236 rate did not significantly change over time in both groups. Similar to the 2mm defect group 237 from Experiment 1, a significant induction of bone resorption rate was seen from week 0-1 to 238 week 1-2. From week 1 until the study end, the bone volume significantly (p<0.0001) decreased in both groups (collagen group: -48%, collagen+BMP-2 group: -44%). Furthermore, 239 a significant decline in the fraction of highly mineralized bone was observed in both groups 240 241 from week 0 to week 6 (collagen group: -9%, p=0.0002; collagen+BMP-2 group: -13%, 242 p<0.0001), as similarly observed for the 2mm defect group in Experiment 1 (-16%, p=0.003).

Cortical bridging occurred by week 2 in 100% of the animals in the collagen+BMP-2 group,
whereas no animal in the collagen group showed bridged cortices at this time point (Table 2).
In the BMP-2 treated defects, cortical bridging also occurred 1 week earlier compared to the
0.9mm defect group from Experiment 1. In contrast, in the collagen group only 1 animal
showed cortical bridging and 87.5% manifested as non-unions.

249

250 Histology

As shown by Safranin-O staining six weeks after osteotomy, hardly any cartilage residuals were 251 present in the defect region in all groups, indicating progression of the healing process to the 252 253 final remodelling stage (Fig. 5, Row 1 and 2). To visualize potential remnants of the collagen 254 scaffolds in Experiment 2, 1-2 samples/group were stained with Sirius-Red (Fig. 5, Row 3 and 4). For comparisons, we also included sections of the original collagen scaffolds (Fig. 5, Row 255 5), where red color of the filaments is indicative of collagen. In contrast, no red signal 256 indicative of collagen was seen in the defect center of both groups (Fig. 5, Row 4). This 257 258 suggested complete degradation of the scaffolds with accumulation of fat cells in the defect 259 (unbridged defects in collagen group and in the restored medullary cavity, bridged defects in the collagen+BMP-2 group; Fig. 5, Row 4). 260

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262 Discussion

In this study, we showed that time-lapsed *in vivo* micro-CT allows for spatio-temporal discrimination between physiological and impaired fracture healing patterns in a mouse femur defect model. For this purpose, we compared the healing pattern in three callus sub-volumes and the adjacent cortical fragments in a small (0.9mm) and a large (2.0mm) defect group using a previously developed two-threshold density micro-CT protocol ^{21,22}. In a second step, we applied the micro-CT based monitoring approach for the characterization of the bone regeneration capacity of functionalized biomaterials using porous collagen scaffolds +/- bone morphogenetic protein (BMP-2).

271 By registering consecutive scans, we were able to include dynamic parameters such as bone formation and resorption in our micro-CT based monitoring approach as previously described 272 273 ²¹. In the small defect group (0.9mm), this allowed for characterization of the different healing phases seen by changes in formation and resorption in the osseous callus volume. Specifically, 274 we saw that the initiation of bone formation (maximum in week 1-2), indicating the onset of 275 276 the reparative phase, triggered bone resorption (maximum in week 2-3) thereby initiating the 277 remodelling phase. Maximum osseous callus volumes were observed in week 3, which remained stable until the study end, while the callus mineralization increased throughout the 278 healing period. The observed bone turnover (bone formation triggering bone resorption) and 279 280 callus maturation (increasing mineralization of initial callus) patterns are similar to a recent study in 1.5mm defects, which showed an uncompromised healing pattern ²². However, the 281 282 smaller defect size (- 40%) in the current study, changed the temporal occurrence of peaks in bone formation and resorption, which were seen one week earlier compared to the previous 283 284 study in 1.5mm defects, indicating faster healing progression.

As expected from literature ²³, the larger defects (2.0mm) showed impaired healing predominantly leading to non-unions in 7 of 8 animals at the study end (Fig. 2, Table 1). This is in line with a study by Zwingenberger at al. ²³, which previously showed a strong correlation of the defect size and non-unions assessed via *in vivo* X-ray measurements as well as end point 289 micro-CT and histological analyses in a similar defect model. Via registration of consecutive in vivo micro-CT scans, we were now able to reveal and visualize the preceding spatio-temporal 290 291 deviations in callus formation and remodelling compared to the uncompromised healing pattern seen in the 0.9mm defect group. Deviations from normal healing occurred in all callus 292 sub-volumes (defect center, defect periphery, cortical fragment periphery), although initiation 293 294 of bone formation was not changed spatio-temporally, starting in the same sub-volumes (DC, 295 FP) and at the same time (week 0-1 to week 1-2). However, the rise in bone formation was 296 significantly lower endosteally and the duration of the bone formation was reduced periosteally compared to the 0.9mm defect group. This also led to only small endosteal and 297 peripheral callus dimensions with the periosteal callus volume even decreasing to baseline 298 299 values by the study end. Under normal healing conditions, callus formation in this femur 300 defect model proceeds from both the endosteal and the periosteal regions to the defect periphery ^{22,24}, whereas in large defects hardly any bone formation was seen here, suggesting 301 early termination of the fracture healing process. 302

It is important to separately look at the adjacent cortical fragments ²², where we previously 303 saw an opposite trend in bone turnover compared to the callus sub-volumes. Whereas bone 304 305 formation was the dominant factor in the callus VOIs, bone resorption was the main factor in the adjacent cortex. In the current study, increased defect size was associated with a 306 307 significant higher decline in cortical bone volume (-67% vs. -19%) and bone mineral density (-16% vs. -6%; Fig. 1 and Fig. 2). Peri-fracture bone resorption and demineralization of cortical 308 bone have also been previously reported in preclinical and clinical studies and were associated 309 310 with stiff fixation leading to stress shielding of the bone ^{25,26}. Our recently developed time311 lapsed in vivo micro-CT monitoring approach therefore allowed to precisely characterize the 312 spatio-temporal changes in structural and dynamic callus parameters preceding non-unions. In a next step, we applied this monitoring approach to evaluate the bone regeneration 313 potential of biomaterials using collagen +/- BMP-2 as test materials. We selected collagen as 314 315 test material due to its wide usage in scaffolds intended for promotion of bone regeneration 316 and the comprehensive literature on its performance in in vitro, preclinical and clinical applications ²⁷⁻²⁹. In our study, the application of collagen scaffolds did not significantly 317 318 improve bone regeneration compared to empty defects of the same size, with the same nonunion rates being observed at the study end (87.5% in both groups). Although periosteal bone 319 formation was more pronounced in the collagen group, the fracture healing outcome was not 320 different between groups. This is in line with previous bone defect studies in different rodent 321 322 models (loaded and non-loaded bones) reporting no significant effect of collagen scaffolds on bone regeneration with incomplete bone union or non-unions at the study end ^{30,31}. Similarly, 323 in large animal models collagen scaffolds either failed to prevent non-unions ³² or to restore 324 the mechanical bone properties ³³. The low regeneration potential of collagen scaffolds has 325 been attributed to a general lack of bioactivity of collagen despite its overall favorable features 326 327 such as low antigenicity, high biodegradability and high biocompatibility ²⁷⁻²⁹. Furthermore, studies indicate a high relevance of the pore size in the collagen scaffolds, with smaller pores 328 329 potentially being affected by cellular occlusion, and preventing cellular penetration, production of extracellular matrix and neovascularization of the inner areas of the scaffold ²⁸. In contrast, 330 larger pores have been associated with low mechanical scaffold properties and early scaffold 331 332 degradation ^{34,35}. A major limitation of most studies is that they did not include empty defect and positive controls, which would be essential for better understanding of specific changesin healing patterns mediated by different biomaterials.

To further promote bone healing, scaffolds have been incubated in solutions containing 335 growth factors (e.g. BMP-2, BMP-7; ^{36,37}). Especially BMP-2 has been widely applied in 336 preclinical studies to promote healing of critical sized defects (for review see ³⁸) and in clinical 337 338 settings. However, studies reported BMP-2 associated adverse events and complications (e.g. antibody formation, inflammation, ectopic bone formation, carcinogenicity ³⁹⁻⁴¹). Therefore, 339 340 using low BMP-2 dosages seems crucial in order to avoid adverse effects while preserving its osteoanabolic potential ⁴². So far preclinical studies used a wide range of BMP-2 dosages (0.1-341 150.000 µg BMP-2 per defect in small animals as summarized in a comprehensive review by 342 343 Gothard et al. ³⁸). In this study, we therefore down-scaled the BMP-2 dosages from previous studies (low BMP-2 dosages with beneficial effect on bone healing; 10/75 µg BMP-2 per defect 344 ^{43,44}) to the volume of our scaffold (2 μg BMP-2 per defect). Similar to other studies ³⁸, BMP-345 2 application significantly accelerated the healing process leading to early cortical bridging. 346 347 However, when looking at the defect sub-volumes separately, we saw that the spatiotemporal healing pattern largely differed from the physiological healing pattern seen in the 348 349 0.9mm group. In the collagen+BMP-2 group the increase in the bone formation rate was higher but persisted for a shorter amount of time compared to the 0.9mm defect group. 350 351 Furthermore, bone formation mainly took place in the periosteal regions and the defect periphery, whereas in the 0.9mm defect group bone formation was predominantly seen in the 352 defect center. This supports the assumption that the collagen scaffold itself might have 353 354 hindered cells from migrating into the defect center. In line with other studies ^{30,45}, the 355 collagen scaffolds were degraded in both groups by the end of the study as shown by absence

356 of collagen fibers in the defect center via Sirius Red staining of histological sections. Accumulation of fat cells inside the formed cortical shell (unions) or in the defect center (non-357 unions) indicated differentiation of mesenchymal stem cells (MSCs) towards the adipogenic 358 lineage in the later healing period. Concluding from the time-lapsed in vivo micro-CT 359 evaluation, strong BMP-2 induced bone formation associated with osteogenic differentiation 360 361 of MSCs was only transiently observed in the early healing period. Removal of the BMP-2 362 induced stimulus in later healing phases might have caused a change from osteogenic to 363 adipogenic differentiation of MSCs. This shift might have been further strengthened by stress shielding of the defect region associated with stiff external fixation ⁴⁶. 364

Our study therefore shows that sub-volume specific characterization of functionalized 365 biomaterials seems crucial for assessing their bone regeneration potential. The study further 366 367 indicates that time-lapsed in vivo micro-CT combined with our recently developed twothreshold density approach should be used in future studies to identify parameters for 368 prediction of non-unions in early healing phases. Via registration of consecutive scans as 369 370 described recently, we were able to precisely characterize and understand which spatiotemporal deviations led to non-union formation and how this was prevented by BMP-2 371 372 application. Using collagen and BMP-2 as test materials, the time-lapsed in vivo micro-CTbased monitoring approach was shown to be suitable for spatio-temporal assessment of callus 373 374 formation and remodelling patterns and could be used in future studies to characterize and precisely understand the regeneration capacity of functionalized biomaterials. 375

The current study has several limitations. We did not track the degradation of the collagen scaffolds over time and only performed end-point histological assessment of collagen residuals via Sirius Red staining. Future studies should apply fluorescent biomaterials and

reporters, which could be visualized via *in vivo* optical imaging ⁴⁷⁻⁴⁹. We did also not measure the BMP-2 release kinetics. This could be similarly achieved via the use of labeled growth factors⁵⁰ in combination with multimodal imaging (e.g. optical imaging, PET, SPECT, micro-CT)^{17,51}. Furthermore, in order to reliably create critical-sized defects, the defect size would need to be increased by ca. 25% in future studies.

384 Nevertheless, application of time-lapsed in vivo micro-CT allows faster and more precise 385 evaluation of biomaterials for bone regeneration, thereby also reducing the animal numbers 386 involved according to the 3R's of animal welfare. It could further be used for early prediction of non-union formation and identification of biomarkers. The approach could be 387 supplemented with the registration of 2D-histology section into the 3D-micro-CT volume⁵², 388 potentially allowing for a spatio-temporal understanding of molecular and cellular changes 389 390 induced by different biomaterials. To better mimic the clinical situation, future studies should also assess the performance of biomaterials under load application, which could be achieved 391 using a recently developed loading fixator⁵³. By combining our *in vivo* time-lapsed micro-CT 392 393 based monitoring approach with individualized loading regimes, this would allow for thorough 394 characterization of biomaterials under clinically relevant loading conditions.

To conclude, *in vivo* time-lapsed micro-CT allows (1) spatio-temporal discrimination between normal and disturbed healing patterns relevant for the detection of distinct features associated with different medical conditions and (2) spatio-temporal characterization of the bone regeneration capacity of functionalized biomaterials.

399

400 Materials and Methods

401 Animals

402 All animal procedures were approved by the local authorities (license number: 36/2014; 403 Kantonales Veterinäramt Zürich, Zurich, Switzerland). We confirm that all methods were carried out in accordance with relevant guidelines and regulations (Swiss Animal Welfare Act 404 and Ordinance (TSchG, TSchV)) and reported considering ARRIVE guidelines. Female 12 week-405 406 old C57BL/6J mice were purchased from Janvier (Saint Berthevin Cedex, France) and housed 407 in the animal facility of the ETH Phenomics Center (EPIC; 12h:12h light-dark cycle, 408 maintenance feed (3437, KLIBA NAFAG, Kaiseraugst, Switzerland), 5 animals/cage). At an age 409 of 20 weeks, all animals received a femur defect. In Experiment 1, one group of animals received a small defect (defect length: 0.86±0.09mm, n=10) and a second group received a 410 large defect (defect length: 2.00±0.19mm, n=8; housing after surgery: 2-3 animals/cage). In 411 Experiment 2 both groups received a 2mm femur defect with application of either collagen 412 413 scaffolds (d=2mm, h=2mm; ILS, Saint Priest, France; n=8) or collagen scaffolds+BMP-2 (2.5µg/scaffold; PeproTech, London, UK; n=8). Perioperative analgesia (25 mg/L, Tramal®, 414 Gruenenthal GmbH, Aachen, Germany) was provided via the drinking water two days before 415 416 surgery until the third postoperative day. For surgery and micro-CT scans, animals were 417 anesthetized with isoflurane (induction/maintenance: 5%/1-2% isoflurane/oxygen).

418

419 Femur defect

An external fixator (Mouse ExFix, RISystem, Davos, Switzerland; stiffness: 24N/mm²¹) was positioned at the craniolateral aspect of the right femur and attached using four mounting pins. First, the most distal pin was inserted approximately 2mm proximal to the growth plate, followed by placement of the most proximal and the inner pins. Subsequently, a femur defect was created using 1 and 2 Gigli wire saws for the small and the large defect, respectively.

426 Time-lapsed in vivo micro-CT

Immediate post-surgery correct positioning of the fixator and the defect was visualized using 427 a vivaCT 40 (Scanco Medical AG, Brüttisellen, Switzerland) (isotropic nominal resolution: 10.5 428 μm; 55 kVp, 145 μA, 350 ms integration time, 500 projections per 180°, 21 mm field of view 429 430 (FOV), scan duration ca. 15 min). Subsequently, the defect region as well as the adjacent cortex 431 were scanned weekly (week 1-6) with the same settings and morphometric indices and 432 mineralization progression were determined in four volumes of interest (for details on methods see ^{21,22}): defect center (DC), defect periphery (DP), cortical fragment center (FC), 433 and fragment periphery (FP). Data were normalized to the central VOIs: DC/DC, DP/DC, FC/FC, 434 FP/FC. Cortical bridging was assessed as previously described in ²². 435

436

437 Animations

To further visualize the defect healing process and the VOIs involved, 3-dimensional computer 438 renderings were created from the time-lapsed micro-CT data for one mouse in each respective 439 440 group across both experiments (0.9mm defect group, 2.0mm defect group, collagen scaffold, 441 collagen scaffold+BMP-2), then animated and captioned to create videos (Supplementary Video 1, Supplementary Video 2). Bone mineral density data was single-value thresholded 442 443 (BMD = 395 mg HA/cm³) at each time point to create a binary array, simplifying the rendering process. This binarized bone location data was interpolated using a Euclidean Distance 444 Transform, generating data for time points in between each weekly measurement. This data 445 446 was used to create smooth, animated transitions from one measurement to the next, for 447 visualization purposes, and was not used in any analysis. To indicate bone formation and

resorption between each time point, bone remodelling data from the time-lapsed *in vivo*micro-CT method was taken ²¹. Depictions of the VOIs were rendered directly from their
location data used in this approach. All renderings of the 3D data were performed in ParaView
(Kitware, Version 5.6; Clifton Park, NY). All numerical analysis used for the animations was
done using custom Python 3 scripts. Video editing and captioning was done in Hitfilm Express
(FXhome, Version 13; Norwich, UK).

454

455 Histology

To exemplarily visualize the defect region, histology was performed in 1-2 animals/group. On 456 day 42 femurs were excised, the femoral head was removed, and the samples were placed in 457 4% neutrally buffered formalin for 24 hours and subsequently decalcified in 12.5% EDTA for 458 459 10-14 days. The samples were embedded in paraffin and 4.5 μ m longitudinal sections were stained with Safranin-O/Fast Green: Weigert's iron haematoxylin solution (HT1079, Sigma-460 Aldrich, St. Louis, MO) - 4min, 1:10 HCl-acidified 70% ethanol – 10s, tap water - 5min, 0.02% 461 Fast Green (F7258, Sigma-Aldrich, St. Louis, MO) - 3min, 1% acetic acid - 10s, 0.1% Safranin-462 463 O (84120, Fluka, St. Louis, MO) - 5min. In order to visualize remnants of the collagen scaffolds, 464 Sirius Red staining was performed in 1 animal from the collagen and 1 animal from the collagen+BMP-2 group. For comparison, we also stained sections of the original collagen 465 466 matrix with Sirius-Red: Weigert's iron haematoxylin solution (HT1079, Sigma-Aldrich, St. Louis, MO) - 8min, tap water - 10min, 1:9 Picro-Sirius Red solution (Picric acid: 80456, Fluka, St. Louis, 467 MO; Direct Red 80: AB133584, ABCR, Karlsruhe, Germany) - 1h, 5% acetic acid 2x10s. For both 468 469 stainings, images were taken with Slide Scanner Pannoramic 250 (3D Histech, Budapest, 470 Hungary) at 20x magnification.

472 **Statistics**

473 CT analysis: Data were tested for normal distribution (Shapiro-Wilk-Test) and homogeneity of 474 variance (Levene-Test). Depending on the test outcome, group comparisons of data derived 475 at single time points were done by Student's t-test or Mann-Whitney U-tests (IBM SPSS 476 Statistics Version 23). For statistical evaluation of repeated measurements two-way ANOVA 477 with Geisser-Greenhouse correction and Bonferroni correction (GraphPad Prism 8) were 478 performed. The level of significance was set at p < 0.05.

479

480 Author Contributions Statement

The study was designed by E.W., G.A.K., S.H. and R.M.. The *in vivo* experiments were performed by E.W., G.A.K. and E.F.. Histological stainings were performed by E.W. and M.H.N.. Data analyses were performed by E.W. and D.C.T.B.. Illustrations of VOIs and animations on callus formation and remodelling were made by B.J.S.. The manuscript was written by E.W. and reviewed and approved by all authors.

486

487 Data availability

All necessary data generated or analyzed during the present study are included in this published article and its Supplementary Information files (preprint available on BioRxiv (BIORXIV/2020.10.02.324061). Additional information related to this paper may be requested from the authors.

493 Competing Interests

494 The authors declare no competing interests.

495

496 Acknowledgements

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- 644

645 Figure legends

646 **Figure 1.** Representative images (threshold: 645 mg HA/cm³) of the defect region from animals

647 of the 0.9mm group (top) and the 2.0mm group (bottom).

648 Visualization of bone formation (orange) and resorption (blue) via registration of micro-CT

scans from weeks 1-6 to weeks 0-5.

Figure 2. Micro-CT based evaluation of bone parameters in the 0.9mm group (blue) and the

2.0mm group (red) using different VOIS: defect center (DC; a-c), defect periphery (DP; d-f),

- 652 cortical fragment center (FC; g-i), cortical fragment periphery (FP; j-l). a, d, g, j: Bone formation
- rate (solid line) and bone resorption rate (dashed line) given in percent per day. b, e, h, k: Bone
- volume (BV) normalized to TV (DC for DC and DP, FC for FC+FP). c, f, i, l: Degree of bone
- 655 mineralization given as ratio of bone volume with a density \geq 645 mg HA/cm³ to the total

osseous volume (threshold \geq 395 mg HA/cm³). n=7/10; a,d,g,j: * indicates p < 0.05 between consecutive weeks; [#] indicates p < 0.05 between groups. b,c,e,f,h,I,k,I: * indicates p < 0.05 between groups; [#] indicates p < 0.05 between consecutive weeks.

Figure 3. Representative images (threshold: 645 mg HA/cm³) of the defect region from animals
of the collagen group (top) and the collagen+BMP-2 group (bottom). Visualization of bone
formation (orange) and resorption (blue) via registration of micro-CT scans from weeks 1-6 to
weeks 0-5.

663 Figure 4. Micro-CT based evaluation of bone parameters in the collagen group (blue) and the collagen+BMP-2 group (red) using different VOIS: defect center (DC; a-c), defect periphery 664 665 (DP; d-f), cortical fragment center (FC; g-i), cortical fragment periphery (FP; j-l). a, d, g, j: Bone formation rate (solid line) and bone resorption rate (dashed line) given in percent per day. b, 666 e, h, k: Bone volume (BV) normalized to TV (DC for DC and DP, FC for FC+FP). c, f, i, l: Degree 667 of bone mineralization given as ratio of bone volume with a density \geq 645 mg HA/cm³ to the 668 total osseous volume (threshold \geq 395 mg HA/cm³). n=7/10; a,d,g,j: * indicates p < 0.05 669 between consecutive weeks; # indicates p < 0.05 between groups. b,c,e,f,h,I,k,I: * indicates p 670 < 0.05 between groups; [#] indicates p < 0.05 between consecutive weeks. 671

Figure 5. Histology of longitudinal sections of fractured femora 6 weeks after defect surgery. Row 1: Safranin-O staining of 0.9mm and 2.0mm defect groups - overview images, scale bar = 1 mm and area between inner pins of fixator, scale bar = 100 μ m. Row 2: Safranin-O staining of collagen and collagen+BMP-2 groups - overview images, scale bar = 1 mm and area between inner pins of fixator, scale bar = 100 μ m. Row 3: Sirius-Red staining of collagen and collagen+BMP-2 groups - overview images, scale bar = 1 mm and area between inner

- pins of fixator, scale bar = 100 μm. Row 4: Sirius-Red staining of defect center of collagen group
- and collagen+BMP-2 group, scale bar = 50 μ m. Row 5: Sirius-Red staining of original collagen
- 680 matrix, scale bar = 500 μ m (left image) and 50 μ m (right image).

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686 <u>Tables</u>

Table 1. Number of bridged cortices per callus evaluated weekly in two perpendicular planes

and number of mice with successful fracture healing in the 0.9mm and the 2.0mm defect

689 groups (\geq 3 bridged cortices, threshold 395 mg HA/cm³).

			Number	of bridge	Fracture healing outcome			
Week	Group	0	1	2	3	4	Not healed	Healed
0	0.9mm	10	0	0	0	0	10	0
	2.0mm	8	0	0	0	0	8	0
1	0.9mm	10	0	0	0	0	10	0
	2.0mm	8	0	0	0	0	8	0
2	0.9mm	7	3	0	0	0	10	0
	2.0mm	8	0	0	0	0	10	0
3	0.9mm	1	0	2	6	1	3	7
	2.0mm	7	1	0	0	0	8	0
4	0.9mm	1	0	1	5	3	2	8
	2.0mm	7	0	0	1	0	7	1
5	0.9mm	1	0	0	4	5	1	9
	2.0mm	7	0	0	1	0	7	1
6	0.9mm	1	0	0	4	5	1	9
	2.0mm	7	0	0	1	0	7	1

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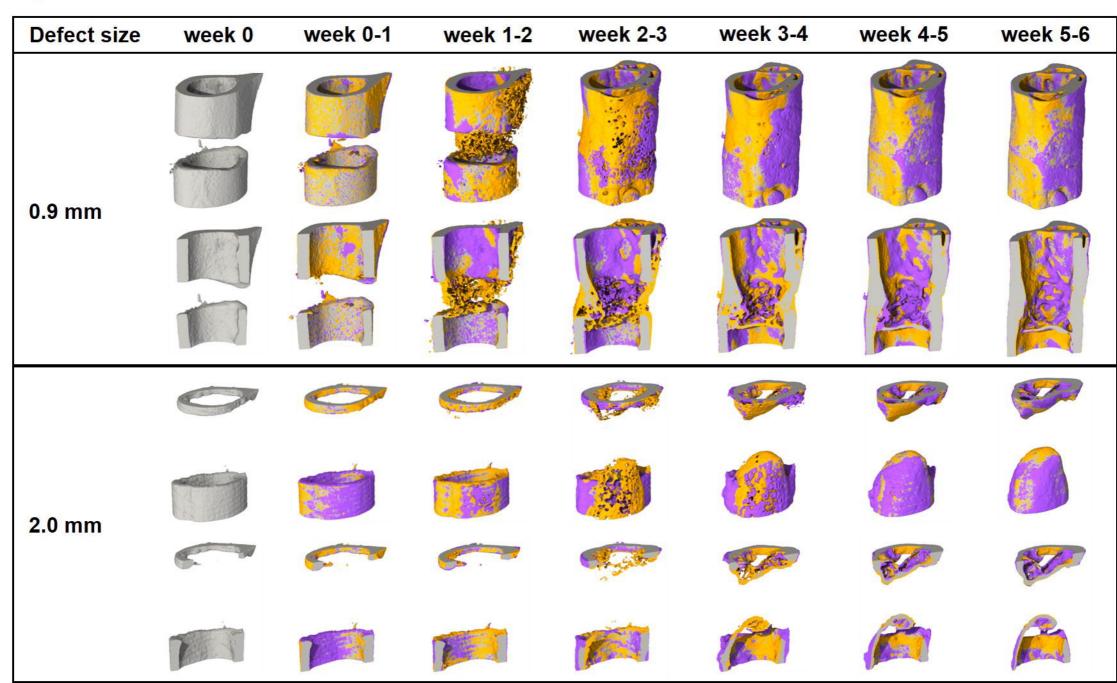
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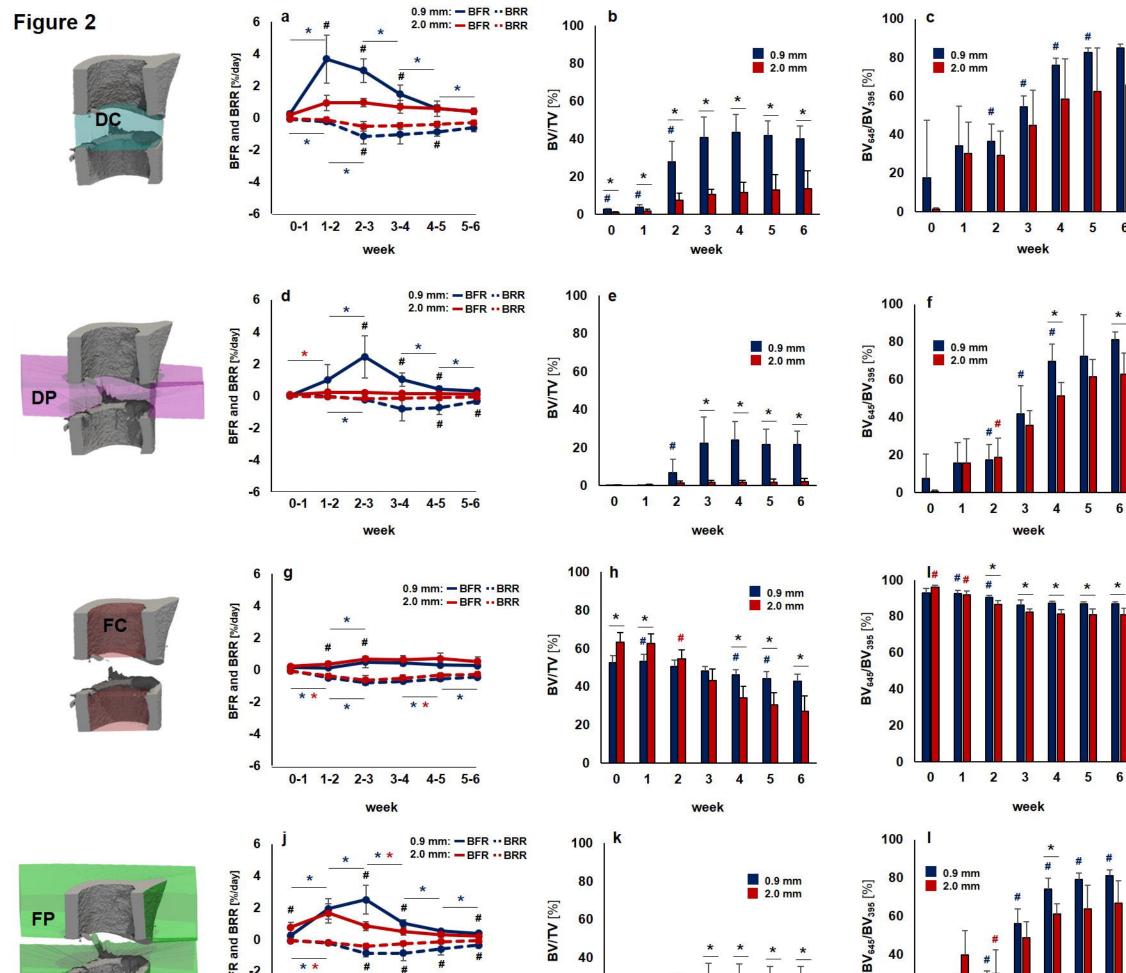
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- **Table 2.** Number of bridged cortices per callus evaluated weekly in two perpendicular planes and number of mice with successful fracture healing in the collagen and the collagen+BMP-2 groups (\geq 3 bridged cortices, threshold 395 mg HA/cm³).
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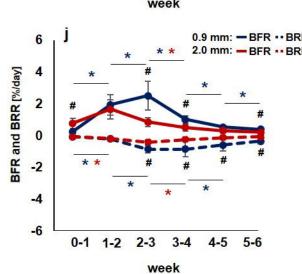
			Number	of bridg	jed corti	Fracture healing outcome		
Week	Group	0	1	2	3	4	Not healed	Healed
0	collagen	8	0	0	0	0	8	0
	collagen+BMP	8	0	0	0	0	8	0
1	collagen	8	0	0	0	0	8	0
	collagen+BMP	8	0	0	0	0	8	0
2	collagen	7	0	1	0	0	8	0
	collagen+BMP	0	0	0	0	8	0	8
3	collagen	7	0	0	1	0	7	1
	collagen+BMP	0	0	0	0	8	0	8
4	collagen	7	0	0	1	0	7	1
	collagen+BMP	0	0	0	0	8	0	8
5	collagen	7	0	0	1	0	7	1
	collagen+BMP	0	0	0	0	8	0	8
6	collagen	7	0	0	1	0	7	1
	collagen+BMP	0	0	0	0	8	0	8

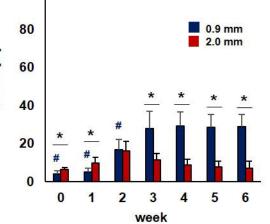
Figure 1



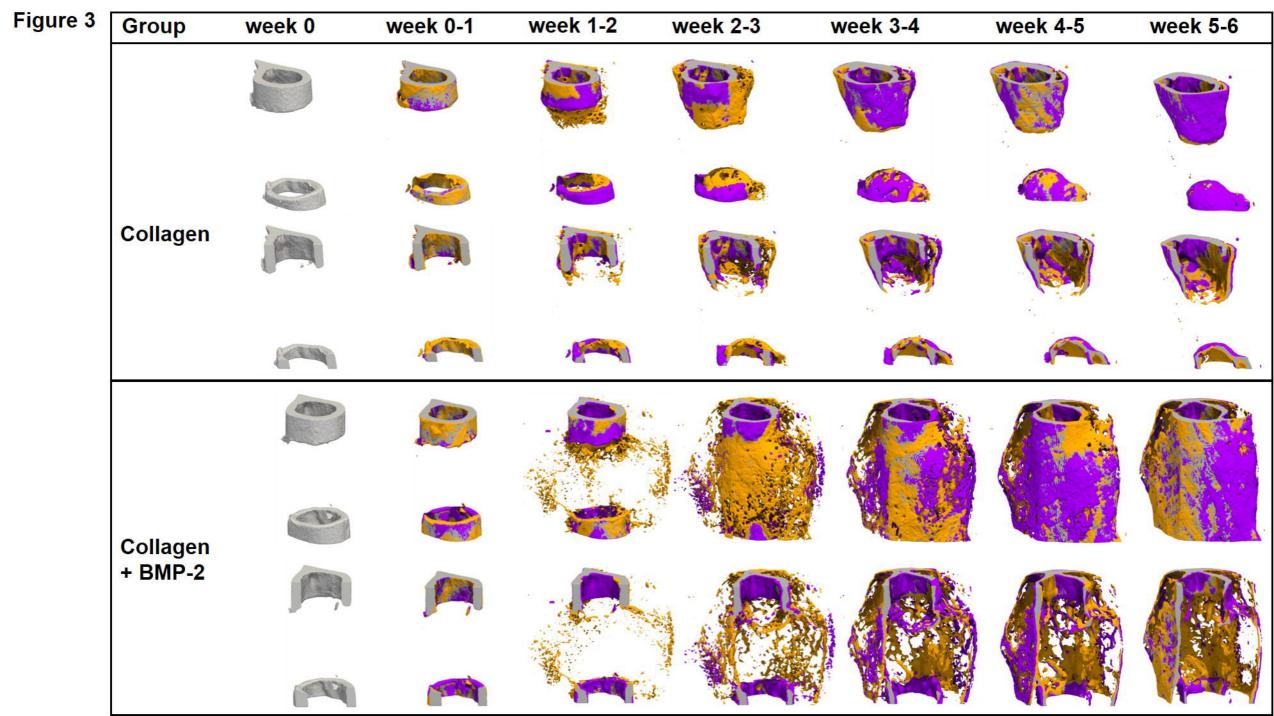


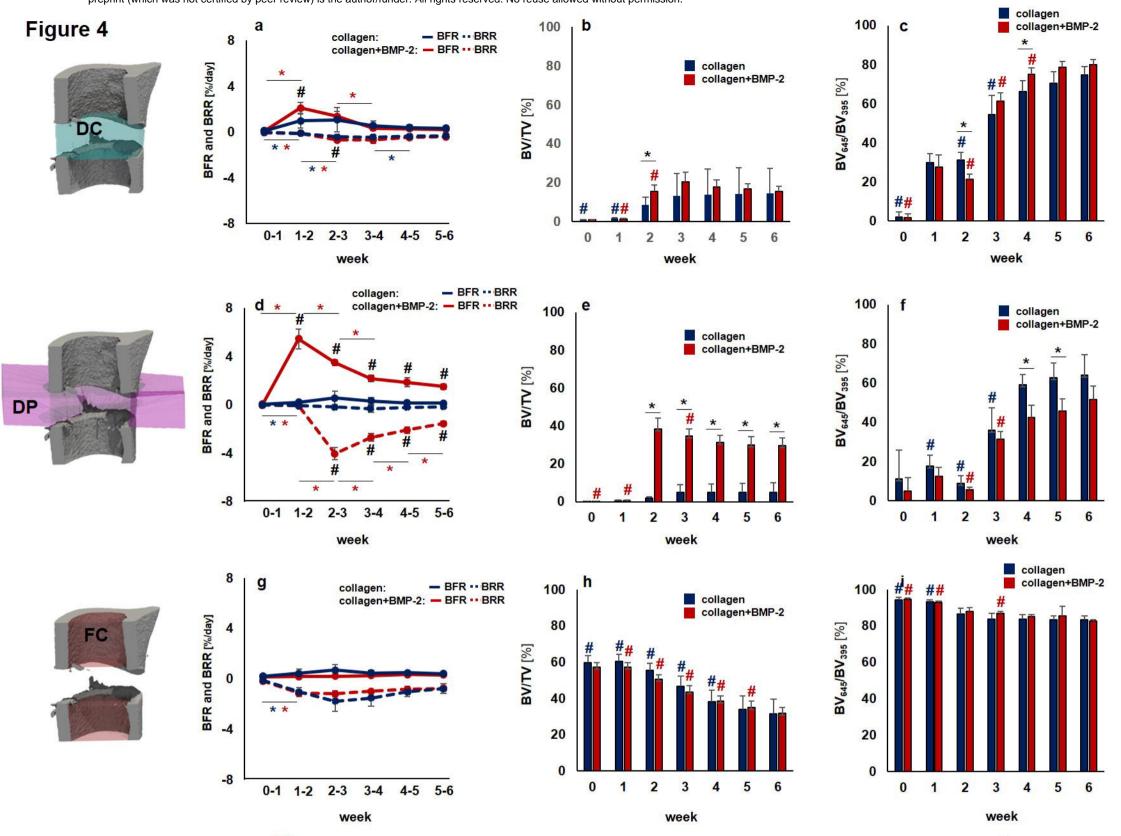
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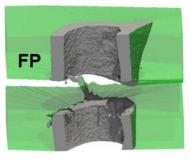


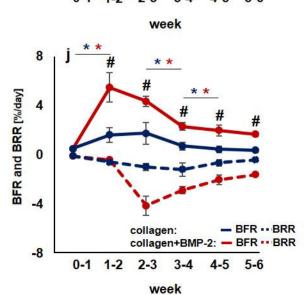
week

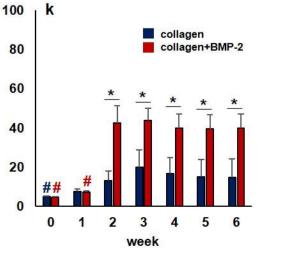


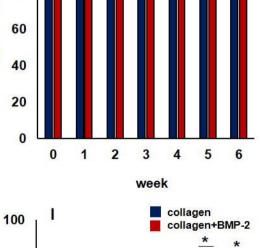


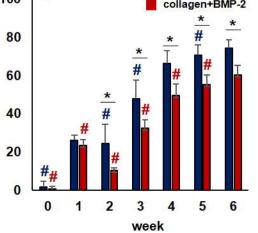
BV/TV [%]











BV₆₄₅/BV₃₉₅ [%]

