1   **Stabilizing role of seed banks and the maintenance of bacterial diversity**

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ABSTRACT

Coexisting species often exhibit negative frequency dependence due to mechanisms that promote

population growth and persistence when rare. These stabilizing mechanisms can maintain

diversity through interspecific niche differences, but also through life-history strategies like

dormancy that buffer populations in fluctuating environments. However, there are few tests

demonstrating how seed banks contribute to long-term community dynamics and the

maintenance of diversity. Using a multi-year, high-frequency time series of bacterial community

data from a north temperate lake, we documented patterns consistent with stabilizing

coexistence. Bacterial taxa exhibited differential responses to seasonal environmental conditions,

while seed bank dynamics helped maintain diversity over winter. Strong negative frequency

dependence in rare, but metabolically active, taxa suggested a role for biotic interactions in

promoting coexistence. Together, our results provide field-based evidence that niche differences

and seed banks contribute to recurring community dynamics and the long-term maintenance of

diversity in nature.

INTRODUCTION

The maintenance of biodiversity is important for regulating species interactions,
stabilizing ecosystem functions, and promoting resilience in response to perturbations. Diversity
is maintained by many processes, including niche differentiation in resource use (Tilman 1982;
Gudelj *et al.* 2010; Johnson *et al.* 2012), defensive abilities (Leibold 1996; Thingstad *et al.* 2014;
Cadier *et al.* 2019), and abiotic constraints (Holt 2009). These stabilizing niche differences
among species contribute to the maintenance of diversity by causing species to limit their own
growth more than the growth of other species, thereby preventing competitive exclusion and
allowing populations to recover from low abundances (Chesson 2000; Chase & Leibold 2003;
Adler *et al.* 2007). Some stabilizing mechanisms of coexistence rely on environmental
fluctuations and can further increase the number of species in a community (Chesson 1994;
Chesson & Huntly 1997; Descamps-Julien & Gonzalez 2005). For example, in seasonal
environments, species that are favored at different times of the year may be able to coexist in the
community if they can survive through periods of unfavorable environmental conditions (Pake &
Venable 1996). Given the near ubiquity of environmental variability, a central and unresolved
question is how stabilizing mechanisms promote the maintenance of diversity across the wide
range of taxonomic groups and ecosystems that exist in nature.

Stabilization from niche differences should generate negative frequency-dependence
(NFD) in population growth. The implication of NFD is that rare populations grow faster than
common populations (Chesson 2000; Adler *et al.* 2007). NFD may arise from mechanisms that
promote coexistence in relatively constant environments, such as trade-offs in resource
acquisition and allocation, but also in temporally variable environments. In fluctuating
environments, the storage effect is a coexistence mechanism that reflects the ability of species to

grow well during favorable conditions while minimizing losses during unfavorable conditions by

"storing" individuals in long-lived life stages (Warner & Chesson 1985; Chesson 2000). The

storage effect requires that taxa differ in their responses to environmental conditions, that

intraspecific limitation peaks during favorable conditions, and that population growth is buffered

in suboptimal environments (Pake & Venable 1996; Cáceres 1997; Angert *et al.* 2009). The

storage effect may be particularly important in communities where species experience periods of

extremely slow growth (Gray *et al.* 2019) or engage in various forms of dormancy, which are

common among plants and animals, but also microorganisms (Lennon & Jones 2011).

Support for stabilizing coexistence has largely come from plant and animal communities

(Cáceres 1997; Angert *et al.* 2009; Yenni *et al.* 2017), while evidence for its role in complex

microbial systems is less common (Zhang *et al.* 2010). In microbial communities, which contain

a disproportionately large number of rare taxa (Sogin *et al.* 2006; Lynch & Neufeld 2015; Shade

et al. 2018), populations may vary widely in their stability and long-term contributions to

diversity. Although rare taxa are prone to extinction (Lande 1993), some persist for longer

periods of time (Alonso-Sáez *et al.* 2015; Lynch & Neufeld 2015; Newton & Shade 2016). In

fluctuating environments, many of these rare taxa can quickly respond to favorable conditions

(Shade *et al.* 2014; Linz *et al.* 2017; Nyirabuhoro *et al.* 2020), suggesting that temporally

variable opportunities for growth may be important for population persistence. While niche

differences among bacterial taxa are well documented (Lennon *et al.* 2012; Evans *et al.* 2014;

Meier *et al.* 2017), the long-term implications of these differences and their contribution to the

maintenance of biodiversity in nature remain understudied.

A leading hypothesis for the long-term maintenance of microbial diversity has been

coexistence mediated by dormant seed banks (Jones & Lennon 2010; Lennon & Jones 2011;

Mestre & Höfer 2020; Sorensen & Shade 2020). Dormancy can buffer microbial populations in

different ways (Rittershaus *et al.* 2013). For example, some species form physical resting

structures that protect individuals from harsh abiotic stress (Setlow 2006; de Rezende *et al.*

2013). Other species may reduce mortality associated with resource limitations by shifting

energetic demands from growth to maintenance energy levels (Lennon & Jones 2011; Hoehler &

Jørgensen 2013; Lever *et al.* 2015). Dormancy may even protect against top-down pressure from

grazers (which may be unable to digest or extract energy from starved cells or endospores) and

phage (which cannot replicate due to inactive host machinery) (Pernthaler 2005; Klobutcher *et*

al. 2006; Bautista *et al.* 2015; Kearney *et al.* 2018). Consequently, dormant bacteria may exhibit

reduced mortality in the environment (Hoehler & Jørgensen 2013), thereby accumulating into

seed banks until favorable conditions return (Wörmer *et al.* 2019). Much insight has been gained

from short-term microbial studies and analogies with plant and zooplankton communities, but

evidence from long-term field studied demonstrating how the temporal dynamics of microbial

seed banks help maintain diversity in fluctuating environments is lacking.

In this study, we tracked bacterioplankton dynamics over time in a north temperate lake

using high-resolution molecular data to infer ecological processes that maintain microbial

diversity. Bacterial communities in fluctuating aquatic environments often exhibit recurrent,

seasonal community patterns (Shade *et al.* 2007; Gilbert *et al.* 2012; Fuhrman *et al.* 2015; Ward

et al. 2017), but the potential mechanisms that contribute to cyclical dynamics and maintain

diversity in nature are poorly resolved. We characterized how persistent (and putatively

coexisting) taxa respond to environmental fluctuations and used null models to assess whether

stabilizing biotic interactions (e.g., self-limitation, as evidenced by strong NFD) help maintain

rare, but metabolically active, taxa in the community (Yenni *et al.* 2017; Rovere & Fox 2019).

Specifically, we compared patterns of NFD and population dynamics in the active and total

portions of the community (inferred by 16S rRNA transcripts and genes, respectively) to

quantify the importance of slow growth or dormancy strategies for the maintenance of diversity.

Our results provide empirical evidence that stabilizing biotic interactions and seed bank

dynamics underlie seasonal community dynamics and play key roles in maintaining bacterial

diversity in natural ecosystems.

115   **METHODS**

Study site and sampling: University Lake is a 3.2 ha meso-eutrophic reservoir located in

the Indiana University Research and Teaching Preserve, Bloomington, Indiana, USA (39°11' N,

86°30' W). The surrounding watershed is dominated by oak, beech, and maple forests. Three

streams drain into University Lake, which has an estimated volume of 150,000 m^3 and a

maximum depth of 10 m. From April 2013 to September 2015, we took weekly water samples (1

L) from the epilimnion using a 1 m depth-integrated sampler for microbial biomass, and

measured environmental variables commonly associated with aquatic microbial community

dynamics: total phosphorus (TP), total nitrogen (TN), and dissolved organic carbon (DOC).

Microbial biomass was filtered on 0.2 μm Supor filters (Pall, Port Washington, NY, USA) and

frozen at -80 °C. We quantified TP using the ammonium molybdate method (Wetzel & Likens

2000) and TN with the second derivative method after persulfate digestion (Crumpton *et al.*

1992). DOC was quantified on 0.7 μm filtrates using nondispersive infrared (NDIR) detection on

a Shimadzu TOC-V (Kyoto, Japan). We also quantified water transparency with a Secchi disk

and used a Quanta Hydrolab (OTT, Kempton, Germany) water sonde to measure temperature,

conductivity, dissolved oxygen, salinity, and pH of the samples.

Bacterial community structure: We characterized the structure of the bacterial

community using high-throughput 16S rRNA sequencing. We extracted total nucleic acids from

biomass retained on 0.2 µm filters using the MoBio PowerWater RNA extraction kit and the

DNA elution accessory kit. Because sequences obtained from DNA can come from

metabolically active or inactive (e.g., slow growing or dormant) individuals, this sample

represents the "total" community. In contrast, RNA is a more ephemeral molecule that is

essential for synthesizing proteins; therefore, it is often used to characterize the metabolically

"active" subset of the community (Molin & Givskov 1999; Steiner *et al.* 2019; Locey *et al.*

2020). After extracting nucleic acids, we used DNase (Invitrogen) to remove DNA from the

RNA extractions and then synthesized cDNA with SuperScript III First Strand Synthesis kit and

random hexamer primers (Invitrogen). To amplify the 16S rRNA gene (DNA) and transcripts

(cDNA), we used barcoded V4 primers (515F and 806R) designed for the Illumina MiSeq

platform (Caporaso *et al.* 2012). We then purified the PCR products with AMPure XP,

quantified DNA concentrations using PicoGreen, and pooled samples at 10 ng per sample. The

resulting libraries were sequenced on an Illumina MiSeq at the Indiana University Center for

Genomic and Bioinformatics Sequencing Facility using 250×250 bp paired-end reads (Reagent

Kit v2). Sequences were subsequently processed using the software package mothur (version

1.41.1) (Schloss *et al.* 2009). We assembled contigs, removed low quality sequences (minimum

score of 35), aligned sequences to the SILVA Database (version 132) (Quast *et al.* 2013),

removed chimeras using the VSEARCH algorithm (Rognes *et al.* 2016), and created 97% similar

operational taxonomic units (OTUs) using the OptiClust algorithm (Westcott & Schloss 2017),

and classified sequences with the RDP taxonomy (Cole *et al.* 2009). To account for variation in

sequencing depth, subsequent analyses were performed on rarefied abundance data subsampled

to the fewest number of reads in the time series ($N = 5979$ per sample) using R (version 3.6.0) (R

Core Team 2020).

158   *Differential responses to environment:* We evaluated whether niche partitioning occurred

along a suite of environmental variables. First, we performed a principal component analysis

(PCA) on Hellinger-transformed abundances to visualize seasonal patterns of compositional

trajectories. Next, we identified environmental drivers of community dynamics using redundancy

analysis (RDA). We then looked more closely at the persistent, and potentially coexisting, subset

of taxa in the community (OTUs present in ≥80% of the DNA-based samples, Table S1). To

determine whether environmental fluctuations facilitated temporal niche partitioning, we

identified the week of the year when each persistent OTU (n = 82) experienced its maximum

average growth rate (see calculations in *Stabilizing niche differences* below). For each of the

persistent OTUs, we compared the environmental conditions at the time of the year when they

experienced maximum growth (as an index of optimal conditions along an annual environmental

cycle). Specifically, we performed a principal component analysis (PCA) on the environmental

variables including temperature, specific conductivity, transparency, pH, TP, TN, and DOC (all

standardized to mean = 0, standard deviation = 1). We plotted each time point along the first two

PC axes, along with the PC loadings of the environmental variables, and labeled each point with

the name of the OTU that exhibited maximum growth at that particular time point (Fig. S1).

175   *Stabilizing niche differences:* We then inferred whether niche differences contributed to

patterns of negative frequency dependence (NFD) in the community. In particular, we examined

(1) whether growth exhibited negative frequency dependence overall, which is indicative of

stabilization in the community, and (2) whether rare taxa experienced stronger negative

frequency dependence than common taxa (Yenni *et al.* 2012, 2017; Rovere & Fox 2019). Such

patterns of NFD shed light on coexistence for three reasons. First, taxa are more likely to be

common in the community when they are environmentally favored relative to other taxa in the

community. Second, taxa that experience strong intraspecific limitation during environmentally

favorable periods should grow faster when rare than when common, thereby generating NFD.

Third, differences among taxa in the strength of self-limitation during favored growth periods

should lead to different average relative abundances in the community, implying that rare yet

persistent taxa may be strongly stabilized (Yenni *et al.* 2012, 2017; Rovere & Fox 2019).

Patterns of NFD often arise from density-dependent processes, such as nutrient limitation, but

density dependence will only generate NFD if species limit their own growth more strongly than

they limit the growth of other species (Adler *et al.* 2007). However, metabolically inactive

individuals are unlikely to engage in the biotic interactions that generate NFD. Therefore, we

focused on NFD in the metabolically active (i.e., RNA-based) portion of the community

comprised of the persistent taxa (Table S1).

We then calculated NFD for each OTU by comparing rates of change in relative

abundance between weekly samples. We inferred the strength of NFD for a given OTU as the

magnitude of the negative slope of the relationship between an OTU's relative abundance and its

per capita growth rate at each time step (t) across the time series. We calculated the relative

abundance ($x_{t,s}$), of each OTU (s) as its abundance ($N_{t,s}$) in the community of s OTUs relative

to the total abundance of all s OTUs ($\sum_s N_{t,s}$) at a given time step (t), such that $x_{t,s} = \frac{N_{t,s}}{\sum_s N_{t,s}}$.

From this, we then calculated the natural log of the per capita growth rate of each OTU as $y_{t,s} =$

$\log_e\left(\frac{N_{t+1,s}}{N_{t,s}}\right)$. To estimate the strength of NFD for each OTU, we fit simple linear regressions

($y_s = \beta_{0,s} + \beta_{1,s}x_s + \epsilon_s$), where the equilibrium frequency of an OTU (f) is the x-intercept, $f =$

$-\frac{\beta_{0,s}}{\beta_{1,s}}$, and the degree of NFD is the slope, NFD $= \beta_{1,s}$. In the end, f describes whether an OTU

is common or rare, and negative slopes with greater magnitudes indicate stronger negative

frequency dependence.

Stabilization of rare bacterial taxa: We then inferred whether rare taxa exhibited stronger

NFD than expected by chance. Stabilization of rare taxa would be supported if OTUs with lower

equilibrium frequencies (smaller values of f) had more negative slopes (larger $|\beta_{1,s}|$) indicating

stronger self-limitation. We inferred the overall relationship between rarity and strength of NFD

from the covariance between the log(NFD) and log(f): a more negative covariance would

indicate that rarer taxa were more strongly stabilized than common taxa. To account for the fact

that the expectation of this covariance is already negative, and to control for spurious statistical

correlations in the temporal data due to other factors, we implemented a null model approach

(Yenni *et al.* 2017; Rovere & Fox 2019). We shuffled the abundances of each OTU

independently, recalculated relative abundances and per capita growth rates, estimated

equilibrium frequencies (fs) and negative frequency dependences (NFDs), and calculated the

covariance, repeating this procedure 5000 times to generate a null distribution of covariance

values ($COV[\log(f), \log(\text{NFD})]$) (Yenni *et al.* 2017). This procedure maintains the potential

abundances detected for each OTU but erases the temporal structure of each taxon's growth

dynamics, removing signatures of intraspecific limitation as well as any interspecific limitations

correlated with the population dynamics of other OTUs. We then compared our observed

covariance with the null distribution to infer the strength of asymmetry in NFD (i.e., the degree

to which rare OTUs experience disproportionately stronger self-limitation than common OTUs).

We quantified divergence from null distributions using standardized effect sizes (SES = mean

observed covariance / standard deviation of covariances in the null distribution) and the ratio of

observed covariance to the average covariance of the null distribution (Yenni *et al.* 2017). More

negative SES values and larger ratios would indicate greater deviations from the null expectation

of equal NFD across taxa. We inferred the degree of statistical significance by calculating a p-

value as the proportion of null covariance values less than or equal to our observed covariance.

Seed bank dynamics: Given the hypothesis that seed banks are important for the

maintenance of bacterial diversity in nature, we analyzed the temporal dynamics of buffered

population growth, a key criterion of the storage effect. First, we examined whether the seed

bank served as a reservoir of taxonomic diversity by comparing the ratio of total richness to

active richness at each time point in the time series, where larger ratios indicate that the total

community had higher α-diversity than the active subset of the community. Second, we sought to

determine whether seed bank dynamics were more important for the maintenance of rare or

common taxa in the community. To do so, we developed a reactivation metric to quantify each

OTU's frequency of reactivation from the seed bank. For each OTU, its reactivation score is the

number of times an OTU was present (i.e., detected in the DNA pool) but likely in an inactive

(i.e., absent from the RNA pool) state at time point t, yet active (present in the RNA pool) at the

subsequent time point $t+1$. This represents a transition from the inactive to active state mediated

by slow growth or dormancy based on recovery of sequences in the DNA and RNA pools. Thus,

OTUs with higher reactivation scores may be more reliant on the seed bank for long-term

persistence in the community. We then analyzed the relationship between the average relative

abundance of active OTUs (excluding zeroes) and their reactivation score to determine whether

seed banking was more important for maintaining rare taxa than common taxa in the

bacterioplankton community.

249   We compared observed patterns of reactivation to null models of community dynamics

because the probability of resuscitation may not be independent of relative abundance. Such non-

independence could be expected, for example, if rarer OTUs were more likely to be inactive than

common OTUs. We generated null models of bacterial time series (n = 1000) by randomly

redistributing observed counts of each OTU across the time series, keeping total observed counts

for each OTU constant to preserve the relationships among common and rare taxa in the

community. By redistributing individuals across sampling dates for each taxon independently,

we removed population dynamic signatures of intraspecific density dependence as well as

interspecific density dependence arising from biotic interactions with other taxa in the

community. Thus, our null models represent the range of reactivation scores possible for an OTU

of a given mean relative abundance in the community if its dynamics were stochastic. We then

compared our observed reactivation scores to the null models to identify whether common or

rare OTUs reactivated more or less frequently than expected by chance.

263   **RESULTS**

264   *Differential responses to environment:* Bacterial community dynamics were related to

environmental variability, with different taxa favored at different times of the year. During the

summer months, the community followed a recurrent successional trajectory (Fig. 1A). This

trajectory was strongly aligned with seasonal trends in temperature (Fig. 1B). Across longer time

scales, inter-annual variation in dissolved oxygen and pH was associated with compositional

differences in the active bacterial community during winter months. Within an annual cycle, the

persistent OTUs (n = 82) demonstrated temporal partitioning in their maximal growth rates in the

active portion of the community (Fig. 2, Table S1), corresponding to different environmental

conditions (Fig. S1).

Stabilizing biotic interactions: Persistent taxa exhibited stabilizing NFD, which varied in

strength depending on each taxon's mean relative abundance in the community (Fig. S2). In

particular, NFD was significantly stronger for rare taxa than common taxa, but only in the active

portion of the community ($p = 0.0002$; SES = -4.03, covariance ratio = 1.08), not in the total

community ($p = 0.221$; SES = -0.777, covariance ratio = 1.01) (Fig. 3). The p-values reflect the

rank of observed NFD compared with null simulations, while SES values take into account the

variance in the null distribution. In other words, the total community showed nearly the same

degree of stabilization ($|SES| < 2$, covariance ratio ~ 1) as the null communities.

Seed bank dynamics: Our data suggest seed banks of dormant or slow growing

individuals contribute to the maintenance of diversity. Over the course of our study, total

richness ranged from 1.2–2.0 times higher than the richness of the active portion of the

community (Fig. 4). Furthermore, this discrepancy between total and active richness exhibited

seasonality, demonstrating a time-varying role for the bacterial seed bank. In particular, the seed

bank played a weaker role (i.e., active and total richness were more similar in magnitude) during

the summer, while proportionally higher diversity was found in the seed bank over winter, when

growing conditions may be less optimal (Fig. 4). In addition, the taxa that exhibited more

reactivations from the seed bank were also the taxa that were, on average, consistently rare when

active in the community (Fig. 5). In contrast, common taxa exhibited fewer transitions between

active and inactive states in the community. Compared to null models of community dynamics,

the observed relationship between relative abundance and reactivation actually implies a far

stronger role for the seed bank among taxa of low-to-intermediate abundance ranks than

expected by chance alone (Fig. S3), suggesting that the seed bank may be an important source of

reestablishment in the active community. Thus, our findings support the view that rare taxa in the

community benefit from life-history strategies such as slow growth or dormancy that minimize

the probability of local extinction.

DISCUSSION

Our findings from a multi-year survey support the view that biodiversity was maintained

by stabilizing mechanisms, including niche differentiation and seed bank dynamics, that

generated negative frequency dependence (NFD) in a natural bacterioplankton community. High

resolution sampling revealed recurrent seasonality in community dynamics (Fig. 1), driven by

taxon-specific responses to annual environmental fluctuations (Fig. 2, Fig. S2). Our results also

showed that the maintenance of diversity may be enhanced by life-history strategies, such as

slow growth or dormancy, that buffered rare taxa from local extinction during environmentally

unfavorable periods (e.g., winter) and facilitated reestablishment when conditions improved (Fig.

4-5). These apparent niche differences and seed bank dynamics contributed to stabilizing biotic

interactions (e.g., stronger intra- than interspecific limitation) among rare, but metabolically

active, taxa in the community (Fig. 3).

Negative frequency dependence in microbial communities: We found evidence for

stabilization through negative frequency dependence (NFD). While documented in some plant

and animal assemblages (Harpole & Suding 2007; Yenni *et al.* 2017; Rovere & Fox 2019),

observations of NFD in complex microbial communities are uncommon. In particular, our study

revealed disproportionately strong NFD for rare taxa, offering an explanation for why some taxa

appear to stably persist at low relative abundances in nature (Alonso-Sáez *et al.* 2015; Lindh *et*

al. 2015), potentially as members of the "rare biosphere" (Sogin *et al.* 2006; Lynch & Neufeld

2015; Shade *et al.* 2018). Our approach also allowed us to identify stabilizing mechanisms

operating among metabolically active rare taxa that were not detectable from the dynamics of the

total bacterial community (Fig. 3). Ignoring this metabolic heterogeneity can obscure inferences

of underlying ecological processes (Wisnoski *et al.* 2020), and would have gone otherwise

undetected in this study as well.

Stronger NFD among rare taxa is also important for coexistence in plant and animal

communities, but the magnitude of this effect varies across taxonomic groups (Yenni *et al.* 2017;

Rovere & Fox 2019). For example, NFD is less asymmetric for herpetofauna than plant or

mammal communities (Yenni *et al.* 2017), possibly due to higher evenness (Rovere & Fox

2019). Compared to macro-organismal systems, the degree of NFD asymmetry in our highly

uneven bacterial community was moderate (SES = -4.03, covariance ratio = 1.08), suggesting

that coexistence among rare taxa may be weak, or that additional factors not captured by this

metric are important for maintaining diversity in our study system. However, we provide critical

evidence that active bacteria mediate the biotic interactions responsible for generating stronger

NFD among rare taxa in the community. Consistent with prior work showing that rare taxa may

be disproportionately active in freshwater bacterial communities (Jones & Lennon 2010), our

study demonstrates that rare, metabolically active bacteria may also be critical for the long-term

maintenance of bacterial diversity.

Dynamic microbial seed banks: Seed bank dynamics are thought to maintain diversity in

fluctuating environments. In particular, seed banks provide a demographic buffering effect that

satisfies one criterion of the storage effect. Evidence for coexistence via the storage effect comes

largely from communities of desert annuals (Pake & Venable 1996; Angert *et al.* 2009),

grasslands (Adler *et al.* 2006), tropical trees (Usinowicz *et al.* 2012), zooplankton (Cáceres

1997), and marine fish (Secor 2007). In most bacterial studies, the role of seed banks for

coexistence has been inferred from short-term observations, but here we provide temporal

evidence that bacterial seed banks may be important for community dynamics and the

maintenance of diversity over longer, multi-annual time scales. In the temperate climate of our

study lake, different taxa showed maximum growth rates at different times of the year,

coinciding with seasonal transitions in environmental conditions (Fig. 2), and contrasting active

and total community dynamics suggested buffered population dynamics (Figs. 3-5). Bacterial

taxa may also exhibit more fine-grained differences in temporal niches than can be characterized

by peak activity, which may be a somewhat conservative estimate of temporal niche differences.

Nevertheless, our data provide evidence that two out of the three criteria for a storage effect

(differential responses to the environment and buffered population dynamics) may be operating

in the community.

The third criterion of the storage effect is that there is covariance between environmental

conditions and competition. Documenting this pattern, especially in highly diverse communities,

can often be a challenge. Our study demonstrated that species experienced greater self-limitation

(consistent with stronger intraspecific than interspecific competition) when they were more

common in the active community (and were thus more likely favored by the environment) (Fig.

3, Fig. S2), and diversity was maintained during potentially unfavorable growth environments

(Fig. 4), but it is unclear whether environmental fluctuations in this system generate the

covariance between environment and competition necessary for a storage effect (Chesson 2000;

Miller & Klausmeier 2017). Theoretical models indicate that the storage effect may be more

likely to evolve when species' generation times are much shorter than the timescale of

environmental fluctuations (Miller & Klausmeier 2017), a scenario that is well aligned with

bacterioplankton living in a highly seasonal north temperate lake. In addition, we cannot rule out

the potentially strong contribution to NFD by another non-mutually exclusive class of

fluctuation-dependent mechanisms. Namely, relative nonlinearity in competition can promote

coexistence if species differ in their responses to competition in ways that benefit their

competitors (Yuan & Chesson 2015; Letten *et al.* 2018; Hallett *et al.* 2019). While our data

cannot provide definitive proof, the documented patterns are consistent with the criteria needed

for a storage effect to contribute to the long-term maintenance of bacterial diversity.

Seasonal reoccurrence in bacterial communities: Seed banks may also have more general

implications for bacterial community dynamics. The persistence of taxa with temporal niche

differences could contribute to the repeatability of summer community dynamics in the active

portion of the community (Hellweger *et al.* 2008) by favoring overwinter survival (Fig. 4). For

example, we found that the seed bank exhibited seasonality, such that diversity stored in the seed

bank was maximized when environmental conditions (e.g., water temperature,

resource/consumer densities) were least favorable for bacterial growth (Neuenschwander *et al.*

2015). This pattern is consistent with the notion that dormant seed banks help buffer individuals

from harsh conditions. In addition, transitions from inactive to active metabolic states were more

frequently detected among taxa that were, on average, rare when active in the community.

Analogous to the methodological challenges of finding and identifying dormant individuals in

non-microbial seed banks (e.g., plants), detection limits may affect the classification of

metabolically active bacteria. Nevertheless, our reactivation metric should capture rapid shifts in

the metabolically active portion of the community. Indeed, when compared to null models, our

observations indicate that bacteria of rare-to-intermediate abundance ranks exhibited more

frequent reactivations than would be expected by chance alone (Fig. S3), providing further

evidence that seed banks are likely important sources of recolonization for bacterial communities

inhabiting seasonal freshwater environments. Overall, these patterns suggest that recurrent

environmental cues regulate active community dynamics by favoring different taxa at different

times of the year, and that seed banks are important for maintaining these seasonal community

trajectories at multi-annual timescales.

Future directions and conclusions: Our study provides empirical evidence consistent

with the theory that niche differences and seed bank dynamics stabilize bacterial communities

and maintain diversity in nature. In the naturally fluctuating lake environment of our study, we

demonstrated key differences in the diversity, dynamics, and stabilization between the active and

total subsets of the bacterial community, but an ultimate goal is to tighten the mechanistic links

between rates of ribosomal RNA transcription and *in situ* growth rates for individual taxa

(Newton & Shade 2016; Papp *et al.* 2018) or through other techniques that involve the physical

sorting of cells based on metabolic activity prior to sequencing (Couradeau *et al.* 2019; Reichart

et al. 2020). While our results showed that stabilizing mechanisms generated NFD in the

community, an important next step is to quantify the strengths and directions of the multiple

fluctuation-independent and -dependent coexistence mechanisms that may be operating in

diverse microbial communities (Letten *et al.* 2018; Ellner *et al.* 2019; Hallett *et al.* 2019).

A grand challenge at the intersection of microbial and community ecology is to extend

the experimental investigations of microbial coexistence in the lab (Zhang *et al.* 2010; Letten *et*

al. 2018) into systems reflecting the high diversity and complex interaction networks of most

natural microbial communities. It will require careful experimentation as well as a clear

consideration of the spatial scale of the study (e.g., to account for sampling biases and

immigration that deviate from clear alignment with coexistence theory). For example, it may also

be important to consider the dispersal of terrestrial bacteria into aquatic ecosystems (Crump *et al.*

2012), since immigration could contribute to inferences made about local processes. However,

previous work in our study system revealed that most terrestrial bacteria were metabolically

inactive, most likely reflecting the abrupt environmental transitions that accompany cross-

ecosystem dispersal (Wisnoski *et al.* 2020). Thus, the lack of asymmetric NFD we observed in

the total community could also arise in part from allochthonous inputs of inactive bacteria that

decouple local population growth of each OTU from its relative abundance in the community.

However, by focusing on the dynamics of metabolically active bacteria, our approach was able to

uncover the presence of stabilizing biotic interactions that may have otherwise been obscured by

metabolic heterogeneity in the total community.

In conclusion, we show that stabilizing biotic interactions and the ability to engage in

dormancy or slow growth strategies play important roles in maintaining microbial diversity in a

natural ecosystem over a multi-year time scale. Our results demonstrate the mechanisms at the

community scale that preserve Earth's vast microbial diversity, building on other explanations

that emphasize the importance of metabolic diversity (Sala *et al.* 2008), capacity for rapid growth

(Shade *et al.* 2014), and spatial scale (Vos *et al.* 2013). In particular, strong NFD offers a new

explanation for why the majority of bacterial taxa persist at low average relative abundances in

nature (Lynch & Neufeld 2015). Furthermore, our work builds on inferences about the roles of

microbial dormancy (and other persistence strategies) obtained from shorter time scales, and

provides temporal evidence that dormancy is an important buffer against local extinction over

longer time scales. More generally, our work demonstrates the importance of stabilization in

microbial systems, offering new insight into the long-term maintenance of microbial diversity.

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649

FIGURE LEGENDS

Figure 1. Seasonal dynamics of the active bacterial community in University Lake. (A) The

compositional trajectory of the active community (determined by high-throughput sequencing of

16S rRNA transcripts) shows strong seasonality, but the community remains relatively static

over winter. The first two axes of the principal component analysis (PCA) depict summer/winter

differences (PC1) along the major axis, and slight inter-annual differences in winter composition

(cool colors) along the minor axis (PC2). The summer successional trajectory (warmer colors) is

highly repeatable across years. (B) Constrained ordination using redundancy analysis (RDA)

shows the environmental drivers of community structure, along with strong correlates of

individual taxa in the community. This analysis reveals that differences in pH explain variation

in winter composition among years.

Figure 2. Temporal partitioning of maximum growth rate among persistent bacterial taxa in

University Lake. Lines represent the mean daily growth rate for each taxon over the time series.

Points indicate the maximum growth observed for each bacterial taxon (OTU). Overall, the 82

persistent OTUs have maximum growth rates at different seasons of the year. Points are color-

coded such that warmer colors correspond to spring and summer months and cooler colors

correspond to winter months. Colored lined trace out the growth dynamics of three individual

taxa with different environmental responses (blue = OTU 1, Betaproteobacteria; yellow = OTU

17, Actinobacteria; mauve = OTU 18, Gammaproteobacteria). More taxonomic details can be

found in Table S1.

Figure 3. Negative frequency dependence (NFD) among persistent bacterial taxa (n = 82) was

significantly stronger for rare than common taxa only in the active portion of the community.

The degree of asymmetry in NFD is determined by the covariance between the equilibrium

frequency of each OTU and its strength of NFD; negative covariance indicates that rarer taxa

exhibit stronger NFD. Compared with expected covariances from a null distribution, the

standardized effect size (SES) of the observed covariance in the active portion of the community

was -4.03, while the SES of the total community was -0.77. The overall strength of NFD

(observed NFD / mean NFD) was 1.08 in the active portion and 1.01 in the total community. The

metabolic state on the y-axis indicates whether the NFD comparison is for the active portion of

the community (inferred from 16S rRNA transcripts) or the total portion (inferred from 16S

rRNA genes, i.e., DNA).

Figure 4. Seasonal importance of the seed bank for bacterial diversity in University Lake.

Richness was much higher in the total community, relative to the active community, during the

fall and winter months. The active and total communities converged over the summer, indicated

by values on the y-axis closer to 1. Warmer colors correspond to spring and summer months,

while cooler colors correspond to winter months. Shaded regions correspond to the fall and

winter months (October through March).

Figure 5. Rare taxa showed more seed bank transitions than common taxa. For the 82 persistent

taxa identified over the time series, OTUs that were (on average) rare in the active portion of the

community had a higher number of reactivations from the seed bank, while more common taxa

had fewer reactivations. Regression lines are locally estimated scatterplot smoothing (LOESS).

FIGURES

696   Figure 1

A

B

700 Figure 2.

704   Figure 3.

Covariance between Equilibrium Frequency
and Negative Frequency Dependence

708   Figure 4.

712   Figure 5.

 SUPPLEMENTAL INFORMATION

717 **Table S1**. Operational taxonomic units (OTUs) that were classified as persistent in the

718 bacterioplankton community based on being detected in ≥80% of the total (i.e., DNA)

719 community samples. The table is sorted by Julian date of max growth.

OTU	Class	Max growth rate (d^{-1})	Date of max growth
Otu00034	Alphaproteobacteria	0.3426	2014-01-17
Otu00045	Betaproteobacteria	0.8527	2014-01-03
Otu00196	Actinobacteria	0.5873	2015-01-09
Otu00019	Cytophagia	0.7346	2014-02-14
Otu00039	Betaproteobacteria	0.7167	2014-02-14
Otu00102	Betaproteobacteria	0.6729	2015-02-28
Otu00105	Alphaproteobacteria	0.8666	2014-02-28
Otu00065	Sphingobacteriia	0.8563	2014-03-21
Otu00292	Alphaproteobacteria	0.6851	2014-03-07
Otu00006	Sphingobacteriia	0.3643	2013-04-25
Otu00012	Betaproteobacteria	0.8784	2014-04-18
Otu00014	Actinobacteria	0.4906	2015-04-26
Otu00016	Actinobacteria	0.7836	2014-04-18
Otu00017	Actinobacteria	1.0200	2015-04-04
Otu00021	Gammaproteobacteria	1.0449	2014-04-18
Otu00033	Alphaproteobacteria	0.5306	2014-04-25
Otu00048	Verrucomicrobiae	0.7426	2015-04-11

Otu00049	Actinobacteria	0.6090	2014-04-04
Otu00055	Flavobacteriia	0.7950	2014-04-18
Otu00058	Armatimonadia	0.8153	2015-04-11
Otu00148	Bacteria sp.	0.7646	2013-04-25
Otu00172	Gammaproteobacteria	0.6729	2015-04-11
Otu00219	Betaproteobacteria	0.7503	2014-04-18
Otu00002	Actinobacteria	0.3190	2015-05-03
Otu00008	Actinobacteria	0.3637	2013-05-09
Otu00022	Opitutae	0.8003	2015-05-03
Otu00031	Cytophagia	0.6593	2014-05-09
Otu00051	Flavobacteriia	0.9187	2013-05-09
Otu00062	Flavobacteriia	0.7836	2015-05-23
Otu00064	Alphaproteobacteria	0.6964	2013-05-29
Otu00113	Bacteroidetes sp.	0.7950	2013-05-09
Otu00116	Betaproteobacteria	0.8373	2014-05-09
Otu00151	Betaproteobacteria	0.7259	2013-05-17
Otu00183	Bacteria sp.	0.6090	2015-05-03
Otu00200	Bacteria sp.	0.6851	2015-05-23
Otu00083	Flavobacteriia	1.0031	2015-06-06
Otu00087	Betaproteobacteria	0.5873	2014-06-05
Otu00098	Betaproteobacteria	0.8104	2013-06-14
Otu00123	Sphingobacteriia	0.7259	2014-06-20
Otu00194	Deltaproteobacteria	0.9277	2014-06-13

Otu00294	Alphaproteobacteria	0.6964	2013-06-21
Otu00004	Actinobacteria	0.3500	2015-07-11
Otu00009	Gammaproteobacteria	1.3329	2013-07-26
Otu00011	Betaproteobacteria	0.9841	2015-07-18
Otu00192	Bacteria sp.	0.4350	2013-07-26
Otu00195	Actinobacteria	0.6277	2014-07-18
Otu00020	Betaproteobacteria	0.4150	2013-08-01
Otu00026	Betaproteobacteria	0.6277	2013-08-01
Otu00029	Actinobacteria	0.6593	2013-08-23
Otu00036	Alphaproteobacteria	0.7576	2013-08-16
Otu00037	Actinobacteria	0.7646	2014-08-29
Otu00052	Alphaproteobacteria	0.6444	2013-08-09
Otu00076	Actinobacteria	0.6444	2014-08-08
Otu00112	Alphaproteobacteria	0.5873	2014-08-23
Otu00226	Opitutae	0.6729	2015-08-02
Otu00250	Actinobacteria	0.5306	2013-08-23
Otu00010	Proteobacteria sp.	0.6277	2014-09-26
Otu00024	Bacteroidetes sp.	0.7259	2014-09-19
Otu00056	Cytophagia	0.7711	2013-09-06
Otu00067	Betaproteobacteria	0.5306	2015-09-02
Otu00177	Proteobacteria sp.	0.5306	2013-09-20
Otu00005	Sphingobacteriia	0.5294	2014-10-04
Otu00015	Actinobacteria	0.6277	2014-10-17

Otu00018	Gammaproteobacteria	0.9516	2014-10-11
Otu00060	Betaproteobacteria	1.0031	2013-10-25
Otu00066	Betaproteobacteria	0.8153	2013-10-25
Otu00082	Bacteroidetes sp.	0.8003	2014-10-04
Otu00154	Alphaproteobacteria	0.7070	2014-10-04
Otu00158	Gammaproteobacteria	0.9141	2013-10-04
Otu00001	Betaproteobacteria	0.2860	2013-11-15
Otu00007	Betaproteobacteria	0.4577	2013-11-15
Otu00038	Actinobacteria	0.7346	2014-11-29
Otu00047	Betaproteobacteria	0.6729	2013-11-15
Otu00073	Betaproteobacteria	0.4906	2013-11-22
Otu00077	Flavobacteriia	0.7774	2013-11-15
Otu00109	Actinobacteria	0.6729	2013-11-15
Otu00118	Actinobacteria	0.6090	2013-11-22
Otu00129	Alphaproteobacteria	0.4906	2013-11-15
Otu00198	Betaproteobacteria	0.9210	2013-11-15
Otu00208	Betaproteobacteria	0.6964	2013-11-22
Otu00217	Proteobacteria sp.	0.7167	2013-11-22

Figure S1. Temporal partitioning of maximum growth rates corresponds to environmental

conditions. Here, we depict a principal component analysis of the environmental conditions at

each date. OTU labels indicate the individual taxa that exhibit maximum growth at the

corresponding time of the year. Vectors indicate the loadings of environmental variables along

the two PC axes. Warmer colors correspond to spring and summer months, cooler colors

correspond to winter months.

Figure S2. Negative frequency dependence (NFD) in the active portion of the community for the

82 persistent bacterial taxa. (A) Relationship between the rate of change of an OTU and its

relative abundance. Depicted in this graph are simple linear regression fits for the 82 taxa

individually, data points not shown to reduce clutter. Negative relationships indicate NFD

growth and variation in slopes indicates variation in the strength of NFD. (B) Rare taxa (lower

equilibrium frequencies) exhibit stronger NFD, while common taxa (higher equilibrium

frequency) have weaker NFD.

740 **Figure S3**. Observed reactivations from the seed bank are more frequent than expected by

741 chance alone. Null model simulations (n = 1000) describe the range of expectations expected by

742 chance for the relationship between relative abundance and reactivation rate (gray points). For

743 observed data (black points) and the null simulations, we fit local regression lines with locally

744 estimated scatterplot smoothing (LOESS).