

1 **Title:** Conservation status, threats, and information needs of small mammals in Alaska

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15 **ABSTRACT**

16 Despite their diversity and ecological importance, small mammals are under-represented
17 in conservation research relative to other mammals. We evaluated the conservation status of 36
18 small mammal species in Alaska, U.S.A. using a ranking system that we previously developed,
19 the Alaska Species Ranking System (ASRS). We compared results from the ASRS with
20 NatureServe's subnational rankings. Finally, we surveyed taxonomic experts to identify
21 recommended conservation actions and research priorities for 5 species of high conservation
22 concern. In general, the ASRS and NatureServe agreed on the rankings of species in the highest
23 and lowest risk categories. Species of highest conservation concern were taxa endemic to the state,
24 including 2 island-endemic shrews, and taxa from the orders Chiroptera and Eulipotyphla. Because
25 the ASRS includes information needs in its assessment, 15 of the 20 species considered lowest
26 concern by NatureServe were considered intermediate concern by the ASRS. In the ASRS, most
27 species ($n = 24$) were assessed to have low biological vulnerabilities, but high information needs.
28 Population size and trends were unknown for all species; distributional limits and understanding
29 of population dynamics were incomplete for all species except 4. Disease and climate change
30 effects on habitat were perceived as important threats, but affected only 8 species. Taxonomic
31 experts identified addressing data deficiencies and protecting habitat as important conservation
32 actions; they identified monitoring population trends, modeling habitat, and researching species'
33 genetic diversity and adaptive capacity as high priorities. Conservation assessments that require
34 accurate and current data on population trends or threats may lead to bias against data deficient
35 groups such as small mammals. Our findings demonstrate the importance of accounting for data
36 deficiencies in conservation status ranks to avoid conflation of sparse information with low
37 conservation concern.

38 INTRODUCTION

39 Conservation practitioners and natural resource managers are often tasked with prioritizing
40 effort and funding for species based on extirpation risk or vulnerability to threats. To aid with
41 prioritization, practitioners often use ranking systems, such as those developed by the International
42 Union for the Conservation of Nature (IUCN) or NatureServe. Conservation ranking systems
43 assign a status to a species by evaluating and scoring that species across a set of criteria (IUCN
44 2012; Master et al. 2012). Scoring criteria requires data that are accurate, current, and available.
45 When data are scarce or absent, species may receive a special status (e.g., data-deficient for the
46 IUCN or unrankable for NatureServe). In less extreme cases, assessors can score some questions
47 as unknown or select a range of answers to express uncertainty (IUCN 2012; Master et al. 2012).
48 Designations of uncertainty allow assessors to assign conservation status to species that lack the
49 data necessary to reliably score a subset of criteria; however, ranking systems can assign low-risk
50 status to species with unknown population trends or threats if designations of uncertainty have no
51 influence on the rank calculation. Data deficient species present challenges to conservation
52 practitioners because the funding necessary to address data gaps can be difficult to justify for
53 species with low-risk status as compared with species ranked as at-risk because of more complete
54 or accurate data (Jetz and Freckleton 2015).

55 Small mammals (Chiroptera, Eulipotyphla, Rodentia, Lagomorpha) compose over 75% of
56 the Earth's extant mammalian diversity and function as primary consumers, insectivores, vectors
57 of disease, and focal prey species (Ceballos & Brown 1995; Entwistle & Stephenson 2000).
58 Despite their diversity and ecological importance, limited knowledge of population sizes,
59 population and distribution trends, and threats often precludes assessment of their conservation
60 status using traditional ranking systems. Under the IUCN ranking system, 16% of Rodentia species

61 are listed as data-deficient, compared with 7% of Carnivora species and 4% of Cetartiodactyla
62 species; however, a model developed by Jetz and Freckleton (2015) predicted more than half of
63 the data deficient Rodentia species to be threatened. Small mammals are under-represented in
64 conservation literature and receive less funding than other mammal groups (Entwistle &
65 Stephenson 2000), likely resulting in the high proportion of small mammal species considered data
66 deficient. Despite a lack of research attention and funding, most mammal species that have gone
67 extinct in the past 500 years have been small mammals (Ceballos and Brown 1995). Thus, the
68 conservation attention afforded to small mammals is indicative of neither their information needs
69 nor their resilience.

70 The conservation status of species at the global scale does not reflect conservation threats
71 and vulnerabilities at local scales (Breininger et al. 1998; Hartley and Kunin 2003). Thus, many
72 jurisdictions develop their own ranking systems (Millsap et al. 1990; Breininger et al. 1998;
73 Gotthardt et al. 2012). In 2007, the Alaska Department of Fish and Game Threatened, Endangered,
74 and Diversity Program identified the need for a state-specific ranking system to evaluate the
75 conservation status of tetrapods in Alaska. It partnered with the state's Natural Heritage Program,
76 the Alaska Center for Conservation Science (ACCS), to create the Alaska Species Ranking System
77 (ASRS). The ASRS was modeled after a ranking system developed for the state of Florida (Millsap
78 et al. 1990) and was modified to be relevant to Alaska's ecological conditions and user needs.

79 Alaska has a unique geography and glacial history that has resulted in the evolutionary
80 divergence of many taxonomic groups, including small mammal taxa that do not occur anywhere
81 else in the United States (Cook et al. 2001; Lanier et al. 2015). Unlike other states in the U.S.,
82 threats from human development are low. However, in recent years, there has been concern about

83 the effects of climate change to habitats, disturbance regimes, and species' assemblages (Tape et
84 al. 2006; Chapin et al. 2010; Tape et al. 2016).

85 In this paper, we use assessments from 2 conservation ranking systems, the ASRS and
86 NatureServe, to summarize the status, threats, and data deficiencies of small mammal species in
87 Alaska. We also elicited expert opinion to identify conservation actions and research priorities. By
88 synthesizing results from 3 sources, we provide a comprehensive assessment of the conservation
89 status of nearly all small mammal species found in Alaska.

90 **METHODS**

91 From 2017 to 2020, we assessed the conservation status of 36 small mammal species in
92 Alaska using the ASRS and the NatureServe Conservation Status Assessment. ACCS is part of
93 the NatureServe network of Natural Heritage Programs and Conservation Data Centers
94 (<https://www.natureserve.org/>); thus, ACCS is responsible for maintaining sub-national
95 conservation status ranks for the state of Alaska.

96 **The Alaska Species Ranking System**

97 The ASRS was developed in 2007 by ACCS and the Alaska Department of Fish & Game.
98 Gotthardt et al. (2012) describe the ASRS in detail; we provide a brief summary here. The ASRS
99 contains 13 multiple-choice questions classified into 3 themes: Trends, Biological Vulnerability,
100 and Action Needs. The Trends theme comprises 2 questions evaluating change in population and
101 distribution. The Biological Vulnerability theme characterizes the ecological and biological traits
102 that correlate with extirpation risk for a species (Gotthardt et al. 2012). The Action Needs theme
103 measures the strength of management and conservation actions. In this context, conservation
104 actions evaluate knowledge gained from inventory, monitoring, and research efforts. In

105 combination, the 3 themes effectively assess the risk of regional extirpation of non-endemic
106 species and extinction of endemic species (collectively referred to as “conservation concern”).

107 Conservation concern increases with numeric value in the ASRS and scores can be positive
108 or negative. The 2 questions in Trends are evaluated on a 5-point scale ranging from -10 to 10. A
109 high Trends score indicates currently declining populations or shrinking distributions. Biological
110 Vulnerability comprises 7 questions, which are evaluated on 3-, 4-, or 5-point scales. Three-point
111 scales range from -5 to 5; the others range from -10 to 10. A high score for this theme indicates
112 that the species has several traits (e.g., small population size, restricted range, slow life history, or
113 high ecological specialization) that make it more vulnerable to extirpation. Finally, Action Needs
114 comprises 4 questions that are each evaluated on a 3-point scale, which indicate low (-10),
115 moderate (2), or high needs (10). A high Action Needs score denotes an absence of management
116 and conservation actions, resulting in large information needs. Scores within themes are summed
117 to create a theme score. Each theme score is then categorized to create a final, categorical rank.

118 The ASRS categorizes each theme score as high, unknown, or low; score thresholds for
119 each category are presented in Gotthardt et al. (2012). Categorization results in 9 numerical ranks
120 ranging from I to IX. Numerical ranks are further grouped into one of 4 color categories: red
121 (highest conservation concern, numerical ranks I-II), orange (ranks III-V), yellow (ranks VII and
122 VIII), and blue (ranks VI and IX). Red, Orange, and Yellow indicate high, unknown, or low Trends
123 scores, respectively. Species in these categories also scored high for one or both of the remaining
124 themes, Biological Vulnerability and Action Needs. One exception is the rank Orange III, which
125 indicates a high Trends score but low Biological Vulnerability and Action Needs. Finally, a rank
126 of Blue indicates low Biological Vulnerability and Action Needs, and either an unknown or low
127 Trends score.

128 The assessment process for the ASRS begins with a trained assessor conducting a
129 systematic review, preparing a species' account, and conducting an initial assessment to obtain
130 preliminary scores (Fig. 1). The assessor searches primarily for information relevant to Alaskan
131 populations but expands the search to include other populations when information on Alaskan
132 populations is insufficient to assign a score. A second assessor reviews the account and conducts
133 an assessment without consulting the scores of the first assessor. If assessors disagree on a score,
134 they discuss the question and consult additional sources. The assessment is then sent to a
135 taxonomic expert for external review (Fig. 1). Once the review is complete, assessors update scores
136 following the expert's recommendation and finalize the assessment. Species' accounts, along with
137 related conservation resources, are published online where they are publicly available (Fig. 1).

138 **NatureServe Conservation Status Assessments**

139 NatureServe sub-national ranks (S ranks) are calculated by assessing a species' Rarity,
140 Population Trends, and Threats (Master et al. 2012). Criteria for Rarity include population size,
141 range extent, area of occupancy, and the ecological integrity of known habitat. Population Trends
142 consider short- and long-term trends. Finally, Threats are assessed by evaluating their scope
143 (percent of population affected), severity (within the scope, percent of population reduction), and
144 timing. An overall threat impact score is calculated using severity and scope scores across all the
145 threats that were identified (Master et al. 2012).

146 S ranks range from 1 to 5. A rank of S1 indicates that the species is critically imperiled in
147 that subnational jurisdiction (typically a state or province). A rank of S5 indicates that the species
148 is secure in that subnational jurisdiction. A range rank (e.g., S3S4) indicates that the status of the
149 species is uncertain within the bounds of the two values. Because the ASRS and NatureServe
150 ranking systems use similar criteria, we used information from the ASRS species' accounts to

151 update the S ranks of the 36 small mammal species. Scientific literature and expert opinion
152 informed the Threats assessments, which are not included in the ASRS (see next section).

153 **Identifying Conservation Actions and Research Priorities Using Expert**

154 **Opinion**

155 In 2019, we surveyed taxonomic experts to obtain their judgment on the most important
156 conservation actions, research priorities, and threats facing 5 species: *Glaucomys sabrinus*,
157 *Marmota broweri*, *Myotis lucifugus*, *Ochotona collaris*, and *Synaptomys borealis*. Each of the
158 selected species are of high conservation concern (ADF&G 2015; this paper) and have been the
159 topic of dedicated research projects in the state. For each species, we identified 3 to 7 experts. We
160 defined an expert as a scientist who was directly involved in a research project investigating the
161 species in Alaska.

162 Using an online survey tool, we asked experts a series of 7 questions (Table 1; Droghini et
163 al. 2020). Answers to the first 5 survey questions informed the evaluation of threats for the 5
164 selected species and species with similar ecologies. The last 2 questions asked experts to identify
165 conservation actions to mitigate threats and identify the most critical research needs if they were
166 responsible for allocating a large sum of money (US \$10 million) to research activities (Table 1).

167 **RESULTS**

168 We evaluated the conservation status of 36 small mammal species across 4 orders and 7
169 families. Assessments from both the ASRS and NatureServe ranking systems are available online
170 (Droghini et al. 2020). Relative to Alaska's species diversity, we assessed 19 out of 23 Rodentia
171 species, all Lagomorpha species ($n = 3$), all Eulipotyphla species ($n = 9$), and 5 out of 7 Chiroptera
172 species. Four species are endemic to Alaska: *Lepus othus*, *Marmota broweri*, *Sorex jacksoni*, and
173 *S. pribilofensis*. *Sorex jacksoni* and *Sorex pribilofensis* are endemic to the island of Saint Lawrence

174 and Saint Paul, respectively; *L. othus* and *M. broweri* are more widespread. The taxonomy of *L.*
175 *othus* and *S. jacksoni*, as well as *Myotis evotis*, are the subject of ongoing research (Waltari and
176 Cook 2005; Hope et al. 2012; Cason et al. 2016; Lausen et al. 2019). Our focus was to assess the
177 conservation status of species over which the state of Alaska has significant stewardship. The
178 species we did not assess either have very restricted ranges in Alaska (e.g. *Zapus princeps*) or are
179 not typically considered small mammals (e.g. *Castor canadensis*).

180 **Overall Status Ranks by ASRS and NatureServe**

181 The highest rank obtained by a small mammal species in the ASRS was Orange IV. Orange
182 IV indicates unknown Trends, high Biological Vulnerability, and high Action Needs (Gotthardt et
183 al. 2012) and is the highest assignable rank for species that have unknown population and
184 distribution trends. We assigned 7 species the rank of Orange IV: 3 species endemic to Alaska
185 (*Marmota broweri*, *Sorex jacksoni*, and *S. pribilofensis*) and 4 Chiroptera species largely restricted
186 to Southeast Alaska (*Lasionycteris noctivagans*, *Myotis californicus*, *M. evotis*, and *M. volans*).
187 Under the NatureServe system, these 7 species received similar ranks of high concern relative to
188 other species (Droghini et al. 2020). Specifically, *Marmota broweri*, *Sorex jacksoni*, and *S.*
189 *pribilofensis* received a rank of S3 (vulnerable) when assessed using the NatureServe
190 methodology; this rank was the highest rank obtained by the species we assessed.

191 Most species ($n = 24$) in the ASRS, including most Rodentia species ($n = 14$) and
192 Eulipotyphla species ($n = 7$), ranked as Orange V, defined as unknown Trends and either high
193 Biological Vulnerability or high Action Needs (Gotthardt et al. 2012). All Orange V species scored
194 low on Biological Vulnerability and high on Action Needs. Two of the highest ranked species
195 according to NatureServe, *Myotis lucifugus* (S3, vulnerable) and *Ochotona collaris* (S3S4,
196 vulnerable/apparently secure), were in this category.

197 Fifty percent of species received a rank of S5 (secure) under the NatureServe criteria, which
198 indicates lowest concern (Droghini et al. 2020). We assigned Blue only to *Lepus americanus*,
199 *Myodes rutilus*, and *Tamiasciurus hudsonicus* because they had low Biological Vulnerability and
200 low Action Needs scores.

201 **ASRS Theme Scores**

202 Population and Distribution Trends

203 The median score for both questions in Trends was 0, indicating unknown trends. All 36
204 species had unknown population trends, while 33 species had unknown distribution trends.
205 Distributions of *Lepus americanus*, *Marmota monax*, and *Synaptomys borealis* are known or
206 suspected to have expanded in Alaska over the past fifty years (Tape et al. 2016; A. Baltensperger,
207 pers. comm.; L.E. Olson, pers. comm.).

208 Biological Vulnerability

209 The median score for Biological Vulnerability was -32, out of a possible minimum of -50.
210 When grouped by order, median scores for Eulipotyphla, Rodentia, and Lagomorpha were low
211 (range: -36 to -32). The median score for Chiroptera was -7, which we consider high. Top-ranking
212 species for Biological Vulnerability were *Sorex pribilofensis* (theme score = 14), *S. jacksoni* (8),
213 and *Myotis volans* (3).

214 Median scores for range size and number of aggregation sites were the lowest possible
215 scores, indicating that most small mammal species are widespread in Alaska (Fig. 2). Variability
216 in scores for these questions was minimal and characterized by the presence of outliers. The
217 median score for population size was -6 (Fig. 2), which is selected if the population size is
218 unknown but suspected to be large (i.e., more than 10,000 individuals; Gotthardt et al. 2012).
219 Median scores for dietary specialization and habitat specialization were 1; because these questions

220 are assessed on 3-point scales, a value of 1 indicates moderate specialization (Fig. 2). No species
221 was assessed to have high dietary specialization and few species were assessed to have high habitat
222 specialization (Fig. 2).

223 Management and Conservation Action Needs

224 No species obtained partial scores for any questions in Action Needs. The median score
225 for Action Needs was 24. When grouped by order, Eulipotyphla had the highest median score (32)
226 while Lagomorpha had the lowest (4). Two species received a score of 40, which is the maximum
227 possible score for Action Needs: *Sorex minutissimus* and *S. navigator*. Most species had high
228 management needs, indicating that they are not subject to direct management actions, and high
229 monitoring needs, indicating that their population trends are not consistently or extensively
230 monitored (Fig. 3). Species with moderate monitoring needs belonged to one of two families:
231 Vespertilionidae or Leporidae. These species are monitored by state agencies, but data are
232 inadequate to detect trends.

233 Nearly all species ($n = 32$) had high or moderate inventory needs; thus, knowledge of range
234 limits and habitat associations remains incomplete (Fig. 3). The species with low inventory needs
235 were *Lepus americanus*, *Myodes rutilus*, *Peromyscus keeni*, and *Tamiasciurus hudsonicus*. These
236 species are widespread, common, and easy to detect or capture in traps.

237 Twenty-three species (64%) had high research needs, reflecting a lack of information on
238 the factors that limit populations. These species included all species endemic to Alaska, all
239 Eulipotyphla, and all Chiroptera with the exception of *Myotis lucifugus*.

240 **NatureServe Threats Assessments**

241 Two-thirds of the species we assessed ($n = 24$) received a low threat impact score. Non-
242 native disease (i.e., white-nose syndrome) was listed as a threat for all Chiroptera species, though

243 impact scores varied by species and ranged from very high to medium-low (Droghini et al. 2020).
244 We considered timber harvest to be a medium-low threat for Chiroptera species largely restricted
245 to Southeast Alaska. We considered habitat alteration due to climate change a high-medium threat
246 for talus specialists. *Sorex jacksoni* and *S. pribilofensis* received an impact score of high-low; this
247 score reflects the potentially large, but highly uncertain effects of disturbances on narrowly
248 endemic species.

249 Experts tended to disagree about the severity or scope of threats, which is reflected for
250 some species as ranges in the overall impact scores (Droghini et al. 2020). Experts also disagreed
251 about the timing of climate change related threats, both within and across species, reflecting
252 uncertainty as to whether effects would be expressed in the short- or long-term (Droghini et al.
253 2020).

254 **Recommended Conservation Actions and Research Priorities**

255 We received 23 completed surveys: 5 per species with the exception of *Synaptomys*
256 *borealis*, for which we were able to identify only 3 experts. The most commonly suggested
257 conservation actions to mitigate threats were to collect more information and to protect known
258 habitat. When asked to allocate US \$10 million to different research topics, experts considered
259 monitoring of population trends, research on genetic diversity and adaptive capacity, and habitat
260 modeling important for all species, with \$2 to \$3 million devoted to each topic (Fig. 4). They
261 considered research on response to climate change important for *Marmota broweri* and *Ochotona*
262 *collaris*, while research on response to human development and deforestation was important for
263 *Glaucomys sabrinus*, *Myotis lucifugus*, *Synaptomys borealis*. Research on introduced species and
264 on diseases or parasites was judged to warrant comparably little funding (Fig. 4).

265 **DISCUSSION**

266 The Alaska Species Ranking System (ASRS) explicitly identifies key information needs
267 by assessing the strength of conservation actions around inventory, monitoring, and research. More
268 than 2/3 of small mammal species in Alaska are of high conservation concern in the ASRS, a result
269 driven largely by the lack of information about species' population trends, distributional limits,
270 and population ecology. The prevalence of data deficiencies for small mammals is not unique to
271 Alaska: relative to other mammal groups, a large proportion of small mammal species are listed as
272 data-deficient by the IUCN but are likely threatened (Jetz & Freckleton 2015).

273 Species of the orders Chiroptera and Eulipotyphla and species endemic to Alaska were of
274 particularly high conservation concern. Chiroptera species have low reproductive rates and
275 specific habitat requirements for roosting and hibernating; several Chiroptera species also have
276 narrow dietary niches (Safi and Kerth 2004; Boyles and Storm 2007). These traits may contribute
277 to increased extirpation risk (Safi and Kerth 2004; Boyles and Storm 2007). In fact, Chiroptera has
278 experienced a high number of recent extinctions relative to other orders (Ceballos & Brown 1995).
279 All Eulipotyphla species in Alaska received very high Action Need scores in the ASRS; the recent
280 discovery of a species new to Alaska (Dokuchaev 1997; now recognized as *Sorex minutissimus*)
281 and important taxonomic revisions (Hope et al. 2012; Woodman 2018) provide further evidence
282 of high information needs for Eulipotyphla species in Alaska. Data deficiencies in Eulipotyphla
283 also exist at a global scale, despite high levels of diversity and extinction relative to other
284 mammalian orders (Jetz and Freckleton 2015; Verde Arregoitia 2016). Two Eulipotyphla species,
285 *Sorex jacksoni* and *S. pribilofensis*, were the highest-ranked species in both the ASRS and
286 NatureServe. Their ranges are restricted to single islands in the Bering Sea; narrowly endemic

287 species have greater risk of extinction due to small population sizes, small range sizes, and
288 demographic stochasticity (Hartley and Kunin 2003; Cardillo et al. 2008).

289

290 In general, the ASRS and NatureServe ranking systems agreed on the rankings of species
291 in the highest and lowest risk categories. However, most species that were of intermediate concern
292 by the ASRS were ranked of lowest conservation concern by NatureServe. Both ranking systems
293 recognize these species' low biological vulnerabilities: these species are relatively widespread,
294 presumed common, and have life history traits and ecological preferences that correlate with low
295 extirpation risk. The divergence in conservation ranks largely reflects the importance that the
296 ASRS ascribes towards information needs and data deficiencies; in the NatureServe ranking
297 system, data deficiencies do not weight the score towards greater conservation concern.

298 **Threats to Small Mammals in Alaska**

299 We assessed most small mammal species as having low threat impact scores. Talus
300 specialists and Chiroptera species severely affected by white-nose syndrome received the highest
301 impact scores. In the eastern U.S., populations of *Myotis lucifugus* and *M. septentrionalis* (a close
302 relative of *M. evotis*) declined by over 80% after being infected by *Pseudogymnoascus destructans*,
303 the fungus that causes white-nose syndrome (Langwig et al. 2015). The disease was detected in
304 the western U.S. for the first time in 2016; the taxonomic experts we surveyed expressed
305 uncertainty about the timing of white-nose syndrome (i.e., when it would arrive in Alaska), but
306 predicted strong negative effects to *M. lucifugus*. Based on our literature review, we do not expect
307 other Chiroptera species in Alaska to experience similar population declines from white-nose
308 syndrome.

309 Talus specialists such as *Marmota broweri* and *Ochotona collaris* occupy habitats that are
310 considered vulnerable to climate change; resulting changes in temperature, snow conditions, and
311 vegetation are expected to affect several aspects of these species' biology and ecology, including
312 their distribution, thermoregulation, diet, and dispersal (COSEWIC 2011; Hope et al. 2015;
313 Berteaux et al. 2017). At the same time, experts in our survey expressed high uncertainty about
314 the severity, scope, and timing of climate-related threats. It may be possible for talus specialists to
315 adapt and persist by following the movement of alpine plant communities to higher elevations or
316 areas of glacial melt; this spatial shift has been observed in talus specialists in the contiguous
317 United States (Beever et al. 2011). Tundra-adapted species (e.g., *Dicrostonyx groenlandicus*,
318 *Microtus miurus*) may also be threatened by climate change (Lanier et al. 2015; Colella et al.
319 2020). Unlike talus specialists, which have restricted distributions, tundra-adapted species in
320 Alaska are widespread and often occupy a range of habitats within the broader tundra ecosystem.
321 Moreover, distribution models for these species disagree about the magnitude and direction of
322 climate change effects (Baltensperger and Huettmann 2015; Hope et al. 2015). Thus, for tundra-
323 adapted species, we assumed that the geographic scope of habitat loss due to climate change would
324 affect no more than 30% of the population, and, where habitat loss occurred, it would result in no
325 more than a 30% decline in population. Assumed reductions resulted in a low impact score under
326 the NatureServe methodology (Master et al. 2012). If we were to increase the geographic scope or
327 severity of these threats, the status of these species would increase from S5 (secure) to S4
328 (apparently secure) in the NatureServe ranking system. ASRS ranks would be unaffected because
329 the ASRS does not include criteria related to threats.

330 **Recommendations for Conservation Actions and Research Priorities**

331 Effective conservation and management requires accurate knowledge of species' biology,
332 ecology, and taxonomy (Entwistle & Stephenson 2000). For most small mammal species in
333 Alaska, our understanding of these aspects is severely limited. Indeed, the experts we surveyed
334 identified a need to collect more information for 4 of the 5 species they evaluated. Incomplete
335 knowledge of species' biology and ecology may lead to incorrect assessments of extirpation risk,
336 and it limits our ability to predict and mitigate the effects of threats. For example, predicting
337 responses to climate change, which experts identified as a research priority, requires a
338 comprehensive understanding of the species of interest, including their ecological requirements,
339 dispersal potential, genetic variability, and phenotypic plasticity (COSEWIC 2011; Colella et al.
340 2020). Experts selected many of these topics as research priorities.

341 We identified a vital need to monitor population and distribution trends, which were
342 unknown or uncertain for nearly all species that we assessed. Most small mammals in Alaska are
343 not monitored annually by government agencies. Consequently, the monitoring that is conducted
344 is typically highly localized or only supported for a few years. While preferable to the absence of
345 any monitoring effort, sporadic and isolated monitoring efforts cannot provide robust data on
346 statewide population trends, which require long-term and extensive investments. Although funding
347 for small mammal research is limited, we believe there is considerable potential to develop
348 research programs that address data deficiencies while benefiting existing priority species.
349 Documenting changes in the abundance of small mammals provides valuable insights on the
350 transmission of human diseases and on the ecology of threatened and harvested species such as
351 carnivores, raptors, and waterfowl (e.g., Bêty et al. 2002; Ecke et al. 2017; Schmidt et al. 2018).

352 The value of monitoring small mammal species clearly extends beyond the target species, though
353 this fact is not often recognized by funding agencies or the public.

354

355 Small mammals play important ecological roles as herbivores, seed dispersers, and prey.
356 In Alaska, the paucity of data on population size, distribution trends, and basic ecology hinders
357 our ability to assess the health of small mammal populations, including endemic species.
358 Addressing existing data gaps will enable more robust assessments of conservation status for small
359 mammal species and is critical given the rapid pace of climate change and related ecosystems
360 effects.

361

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465 **TABLES**

466 **Table 1.** Questions posed to taxonomic experts to assess threats, conservation actions, and
467 research priorities for 5 species of high conservation concern in the state of Alaska.

Questions	Description of Answer Choices
1. What is the scope of each threat?*	Percent of population affected
2. What is the estimated severity of each threat?*	Percent of population affected
3. What is the estimated timing of each threat?*	Number of years until onset
4. What is the level of uncertainty associated with each threat?	High, moderate, or low
5. If climate change continues unabated, what do you expect will happen to this species' range in Alaska in 50 years?	Expand, contract, remain the same, or unknown
6. List possible conservation actions that would mitigate threats.	Open-ended
7. If given a budget of \$10 million for research on this species over a 5-year period, how would you allocate resources?	See Fig. 4 for proposed research themes.

468 * Adapted from the NatureServe Conservation Status Assessments (Master et al. 2012).

469

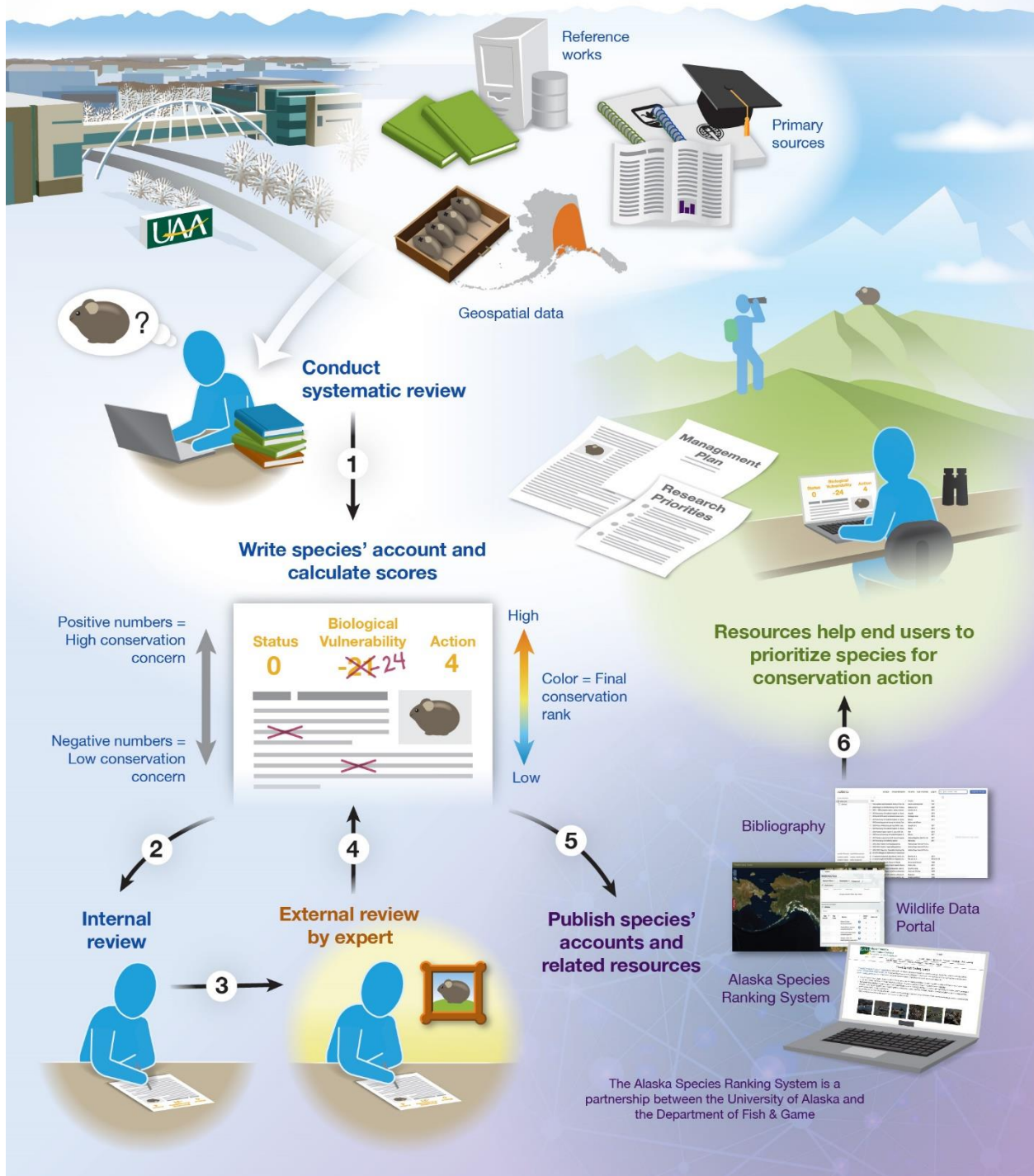
470 **FIGURE LEGENDS**

471 **Figure 1.** The Alaska Species Ranking System is a conservation ranking system for tetrapods in
472 Alaska. It uses a multi-step process to ensure that assessments are objective, transparent, and
473 standardized across taxa.

474 **Figure 2.** Boxplots of scores in the Biological Vulnerability theme for 36 small mammal species.
475 The bottom and top edges of each box represent the first and third quartiles, respectively. The
476 median is represented by a dark vertical line. Horizontal lines extend no further than 1.5 times the
477 interquartile range. Data points beyond this range are depicted by solid circles. Criteria with an
478 asterisk are evaluated on a scale that ranges from -5 to +5; all other criteria are evaluated on a scale
479 ranging from -10 to +10. Conservation concern increases with numeric value.

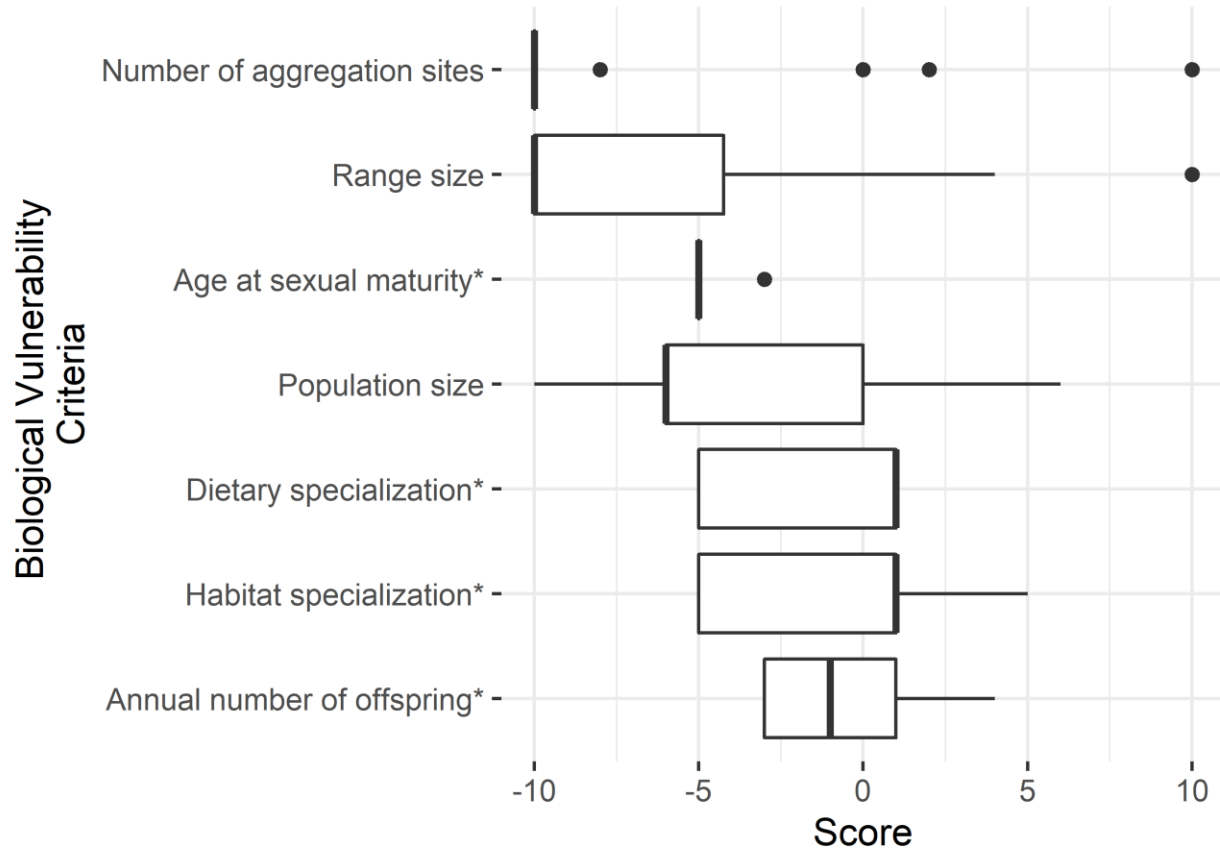
480 **Figure 3.** Distribution of ASRS scores for the 4 questions that compose the Action Needs theme.
481 All questions were evaluated on a 3-point scale ranging from -10 to +10; no partial scores were
482 awarded. Conservation concern increases with numeric value.

483 **Figure 4.** Responses to survey question asking respondents to allocate money to different research
484 topics if given a total budget of \$10 million per species. Pie slices represent the proportion of the
485 budget agreed upon by $\geq 50\%$ of respondents. When there was no consensus among respondents,
486 the median value was used.



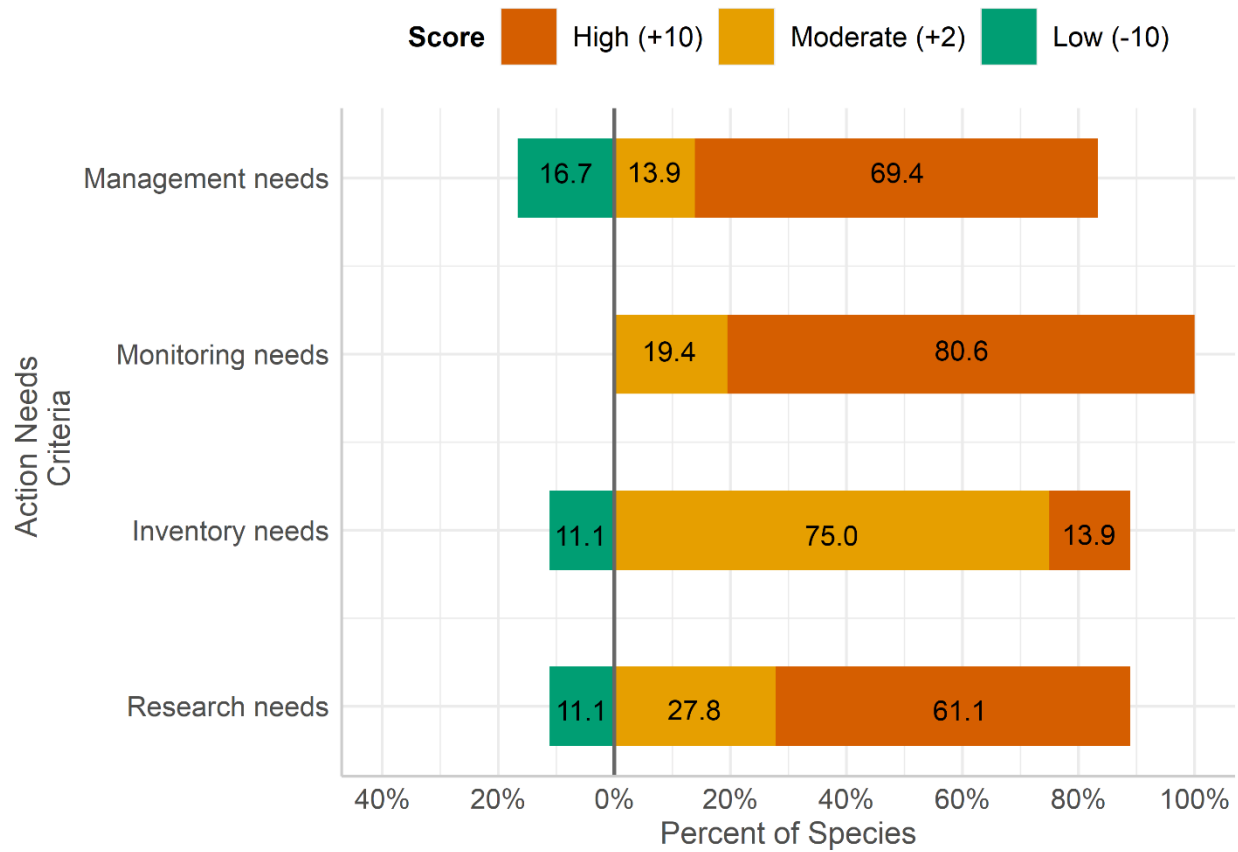
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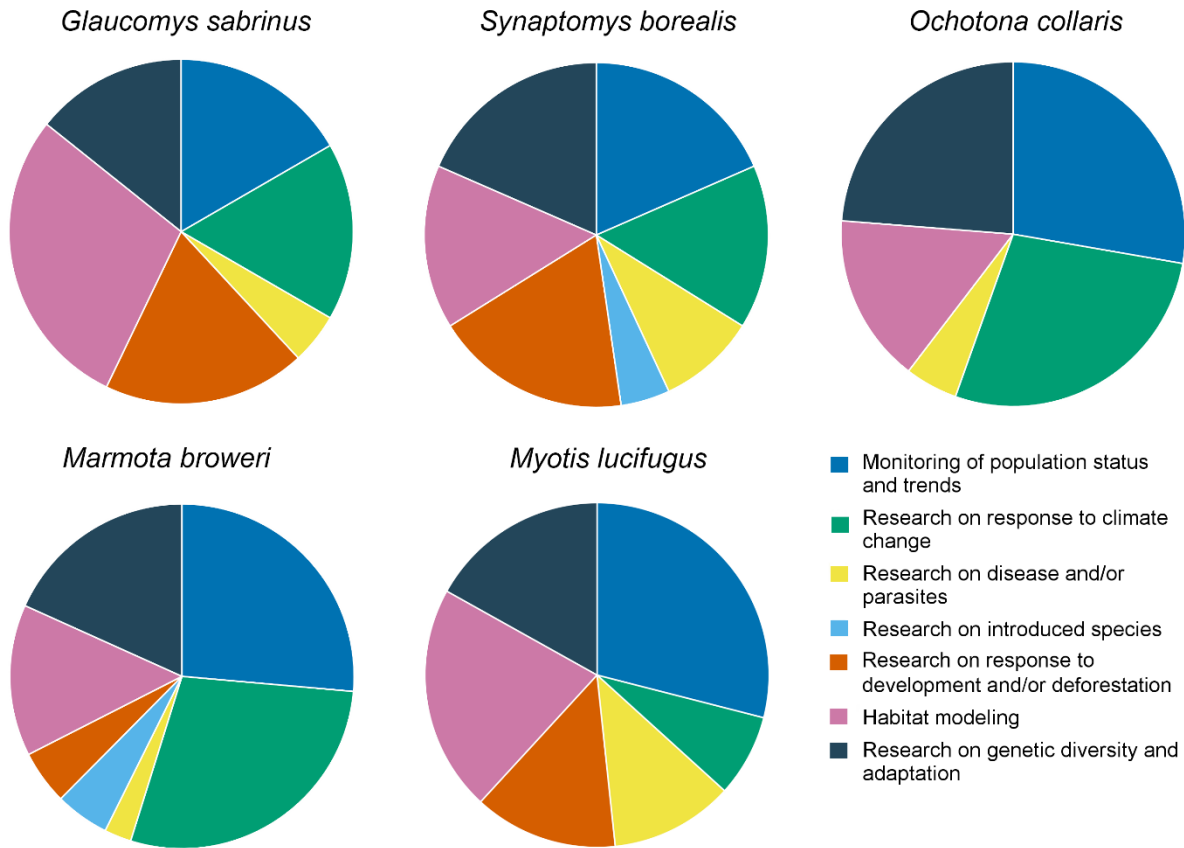


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