

Quantifying the subjective cost of self-control in humans

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ABSTRACT

Since Odysseus committed to resisting the Sirens, mechanisms to limit self-control failure have been a central feature of human behavior. Psychologists have long argued that the use of self-control is an effortful process and, more recently, that its failure arises when the cognitive costs of self-control outweigh its perceived benefits. In a similar way, economists have argued that sophisticated choosers can adopt “pre-commitment strategies” that tie the hands of their future selves in order to reduce these costs. Yet, we still lack an empirical tool to quantify and demonstrate the cost of self-control. Here, we develop and validate a novel economic decision-making task to *quantify the subjective cost of self-control* by determining the monetary cost a person is willing to incur in order to eliminate the need for self-control. We find that humans will pay to avoid having to exert self-control in a way that scales with increasing levels of temptation and that these costs are modulated both by motivational incentives and stress exposure. Our psychophysical approach allows us to index moment-to-moment self-control costs at the within-subject level, validating important theoretical work across multiple disciplines and opening new avenues of self-control research in healthy and clinical populations.

SIGNIFICANCE STATEMENT:

The failure to use self-control is a fundamental problem that humans face in daily life. Recent work suggests that these ‘failures’ might be better understood as a rational decision-making process that weighs the benefits of exercising self-control against its attendant cognitive costs. However, we still know little about how to measure these costs or how they change under different circumstances. Across five independent studies, we find that self-control costs can be measured in humans through monetary willingness to pay to avoid temptation and further, that these costs are sensitive to motivational incentives, stress exposure and variability in temptation intensity. Our findings open new avenues of research into computational models of self-control that inform psychological, economic and health-policy research.

1 INTRODUCTION

2 When Odysseus tied himself to the mast of his ship so he could hear the song of
3 the Sirens without approaching them, he deployed a *pre-commitment* mechanism
4 that prevented a self-control failure. When his men were unable to leave the land
5 of the lotus eaters, Homer urges us to see them as having failed in their self-
6 control. But what does it mean for self-control to fail? This has been a central
7 debate in human behavior for centuries. What has fueled this debate is not a
8 failure to understand what self-control *feels* like; the subjective experience of
9 resisting temptation is a universal one for humans. What has made self-control
10 so elusive is determining how to convincingly and quantitatively measure it, and
11 therefore to understand why it often fails. Whether we are trying to lose weight,
12 quit smoking, avoid drugs, exercise more, drink less, or simply focus on a
13 cognitively demanding task, the question remains: If one truly desires a particular
14 long-term outcome, why is it so difficult to choose in favor of that outcome all of
15 the time?

16 Emerging theoretical accounts from psychology and economics have attempted
17 to untangle this question using economic models of ‘cost’. Exercising self-control,
18 these accounts propose, is *cognitively costly*. From this perspective, ‘failures’ of
19 self-control arise from a rational decision process that weighs the benefits of
20 exercising control against its attendant costs. That is, when the cognitive costs
21 exceed their perceived benefit, individuals should disengage in control
22 processes. These ‘control costs’ are thought to stem from the limited cognitive
23 resources available to support the demands of exercising control. As evidence of
24 these costs, economic theories point to the fact that choosers often adopt *pre-*
25 *commitment strategies*, presumably in an effort to reduce the need for self-
26 control (e.g., Strotz, 1956; Thaler & Sherfin, 1981; Gul and Pesendorfer,
27 2001/2004). Yet, the notion of self-control as ‘costly’ remains controversial in the
28 absence of a robust psychophysical methodology for reliably demonstrating and
29 measuring these costs.

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1 Historically, the accounts that have attempted to explain this puzzling disconnect
2 between what we say we want and what we actually do, have pointed to the
3 existence of self-control without providing a platform for its reliable demonstration
4 and quantification. The first, emerging from the psychological literature, points to
5 self-control as a top-down regulatory process that inhibits impulsive action in the
6 service of long-term goals or social norms. Informed by findings from classic
7 delay-of-gratification paradigms (e.g., the ‘marshmallow task’; Mischel &
8 Ebbesen, 1970; Mischel, Ebbesen, & Zeiss, 1972; Mischel, Shoda, and
9 Rodriguez, 1989; but see also McGuire & Kable, 2013) and theories of ego
10 depletion (Baumeister, Bratslavsky, Muraven, & Tice, 1998; Muraven, Tice, &
11 Baumeister, 1998; Baumeister & Heatherton, 1996; Muraven & Baumeister,
12 2000; but also see Kurzban and colleagues, 2013), this account proposes that
13 self-control relies on cognitive resources that are depleted the longer they are
14 used. These theories suggest that the motivational or affective state of a chooser
15 influences the availability or functional integrity of these resources. Fatigued or
16 stressed choosers, for example, are often presumed to have more limited
17 cognitive resources for self-control upon which to draw (Muraven, Tice, &
18 Baumeister, 1998; Arnsten, 2009; Hockey, 1983; Holding, 1983). This body of
19 work has dominated psychological conceptions of self-control as a form of
20 ‘willpower’ with impulsive or suboptimal choice emerging from a failure or
21 depletion of control resources. While this work aptly captures the subjectively
22 difficult nature of exercising self-control, it has not provided a reliable method to
23 quantify *how much control* is needed to successfully resist temptation.

24 A second account from the neoclassical economic literature examines a host of
25 related choice problems including the failure to save money, over-consumption
26 and procrastination (see Ariely & Wertenbroch, 2002; Bryan, Karlan & Nelson,
27 2010 for behavioral examples). These economic models view self-control
28 ‘failures’ as simple preference reversals (i.e., Strotz, 1956; Mischel & Ebbesen,
29 1970; Ainslie, 1975; Schelling, 1984; Fishburn & Rubinstein, 1982; Bryan, Karlan

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1 & Nelson, 2010), and have generally eschewed the psychological notion of self-
2 control as a hidden, and perhaps unnecessary, element. When individuals
3 choose in ways that conflict with explicitly stated goals, these choosers are seen
4 as revealing their *true preferences* through their observed choices. If this is the
5 case however, why do individuals often choose in ways that conflict with
6 previously stated goals, even choosing in ways that appear inconsistent or
7 irrational (Strotz, 1955; Thaler & Sherfin, 1981; Tversky & Thaler, 1990)? Some
8 behavioral economic work has accounted for this paradox with dual-self models
9 that propose that choosers possess (at least) two sets of preferences that are in
10 active competition (Thaler & Sherfin, 1981; Fudenberg & Levine; 2006), and
11 temporal discounting models that include dynamic inconsistencies to drive
12 changing preferences (Mazur, 1987; Ainslie, 1975; Laibson, 1997; Frederick et
13 al., 2002; but see Kable & Glimcher, 2007/2010 for an alternate account).

14 While these models have provided important ways to quantify decision variables
15 related to self-control, they do not fully explain *why* individuals are inconsistent in
16 their actual choices. One widely influential resolution of this cross-disciplinary
17 puzzle is to hypothesize that the experience of resisting immediate temptation is
18 effortful and aversive, that is *disutile*. This inherent disutility implies that *self-*
19 *control imposes a cost on choosers*, an idea formalized most recently and
20 elegantly by Gul and Pesendorfer (2001, 2004) who proposed an axiomatic
21 model of self-control. Gul and Pesendorfer proposed that the presence of
22 temptation in an individual's 'menu' of choices will impose a cognitive cost,
23 rendering decisions to reject tempting options more difficult. They hypothesized
24 that if choosers know this, they should prefer choice menus that lack tempting
25 options and might even seek to *minimize* control costs (and maximize utility) by
26 preemptively eliminating tempting options from their choice menu, a
27 phenomenon referred to as 'pre-commitment' (Strotz, 1956; Thaler & Sherfin,
28 1981; see Bryan, Karlan & Nelson, 2010 for review). Examples of pre-
29 commitment strategies that limit control costs might include a dieter who is willing

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1 to walk an extra block to avoid a local bakery or a gambler who drives an extra
2 hour to avoid casinos. Gul and Pesendorfer thus argued that *preferences for pre-*
3 *commitment reveal choosers' subjective cost of exercising control, pointing to a*
4 *novel decision variable through which these costs can be measured.*

5 What has limited the impact of this set of hypotheses in an empirical sense is the
6 absence of compelling and quantitative data to support it. Is there direct
7 quantitative empirical evidence that self-control is costly, as so many have
8 proposed? Are those costs stationary over time, or do they grow as the ego
9 depletion literature suggests? Are these costs influenced by motivational or
10 affective state as the psychological literature has proposed? Do these costs
11 scale with varying levels of temptation? Despite a number of real-world
12 observations of pre-commitment (see Bryan, Karlan & Nelson, 2010 for review),
13 we still lack an empirical psychophysical tool for answering these questions.

14 Here we utilized a psychophysical approach to test the hypothesis that exercising
15 self-control is cognitively costly and that these costs can be measured using a
16 pre-commitment mechanism. While we acknowledge that there are undoubtedly
17 many components that feed into an overall subjective cost of self-control, our
18 goal here was to simply measure an aggregate of these costs. To do this, we
19 developed an economic decision-making task that measures how much
20 participants are willing to pay to adopt a pre-commitment device that removes
21 temptation from their choice environment. We refer to the maximum dollar value
22 participants will pay to remove temptation as their subjective 'cost of self-control'
23 and show that these costs respond rationally to incentives, scale with increasing
24 levels of temptation and predict rates of self-control failure. We further test the
25 hypothesis that these costs are modulated by affective state, finding that stress
26 exposure significantly increases the cost of self-control. Finally, we test the
27 hypothesis that self-control costs grow with the ongoing exertion of self-control
28 but find no empirical support for this hypothesis. These data identify a clear
29 psychophysical approach for the measurement of self-control costs and may

1 open new avenues of research into computational models of self-control to
2 inform psychological, economic, clinical and health policy research.

3 **RESULTS**

4 In our experiments, healthy, hungry dieters first provided health, taste and
5 temptation ratings for a series of food items, allowing us to identify high- and low-
6 tempting foods for each individual. Participants initially reported the most they
7 would be willing-to-pay (from a \$10 monetary endowment) to avoid having the
8 high-tempting food placed immediately in front of them for a 30-minute period
9 (**Figure 1**). With a probability of 2% this reported 'bid' was entered into a
10 standard economic auction procedure (see **Methods**; Becker, DeGroot &
11 Marschak, 1964) that incentivizes participants to report their true subjective
12 value—in this case the value of eliminating exposure to temptation. If they won
13 this auction, the high-tempting food was replaced with a low-tempting food for 30
14 minutes. If they lost the auction, the high tempting food remained in the room
15 with them for 30 minutes. The price participants were willing to pay provided a
16 within-subject estimate of the cost of self-control; that is, it reflected the maximal
17 dollar value they were willing to pay to avoid exercising control.

18

19 The first bidding trial was made *without* the high tempting food in the room to
20 capture each participants' prospective estimate of how costly self-control exertion
21 would be (before exposure to temptation). If the initial trial was not realized (as
22 occurred on 98% of trials), the high-tempting food was brought into the room.
23 Participants were then prompted to report, periodically during 30-minutes of
24 exposure, how much they were willing-to-pay to replace the high-tempting food
25 with the low-tempting food for the next 30 minutes. As with the initial bid, these
26 subsequent bidding trials had a 2% chance of going to auction, which would
27 bring the experiment to a premature close. Bidding trials were collected every
28 few minutes (**Methods**) for the 30-minute exposure period. If the 30-minute
29 exposure period elapsed without any bid being realized, the subjects remained in

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- 1 the room with the high-tempting good for a final 30 minutes. This allowed us to
- 2 track how these self-control costs change over time as participants are
- 3 continuously exposed to temptation and whether self-control ever failed.

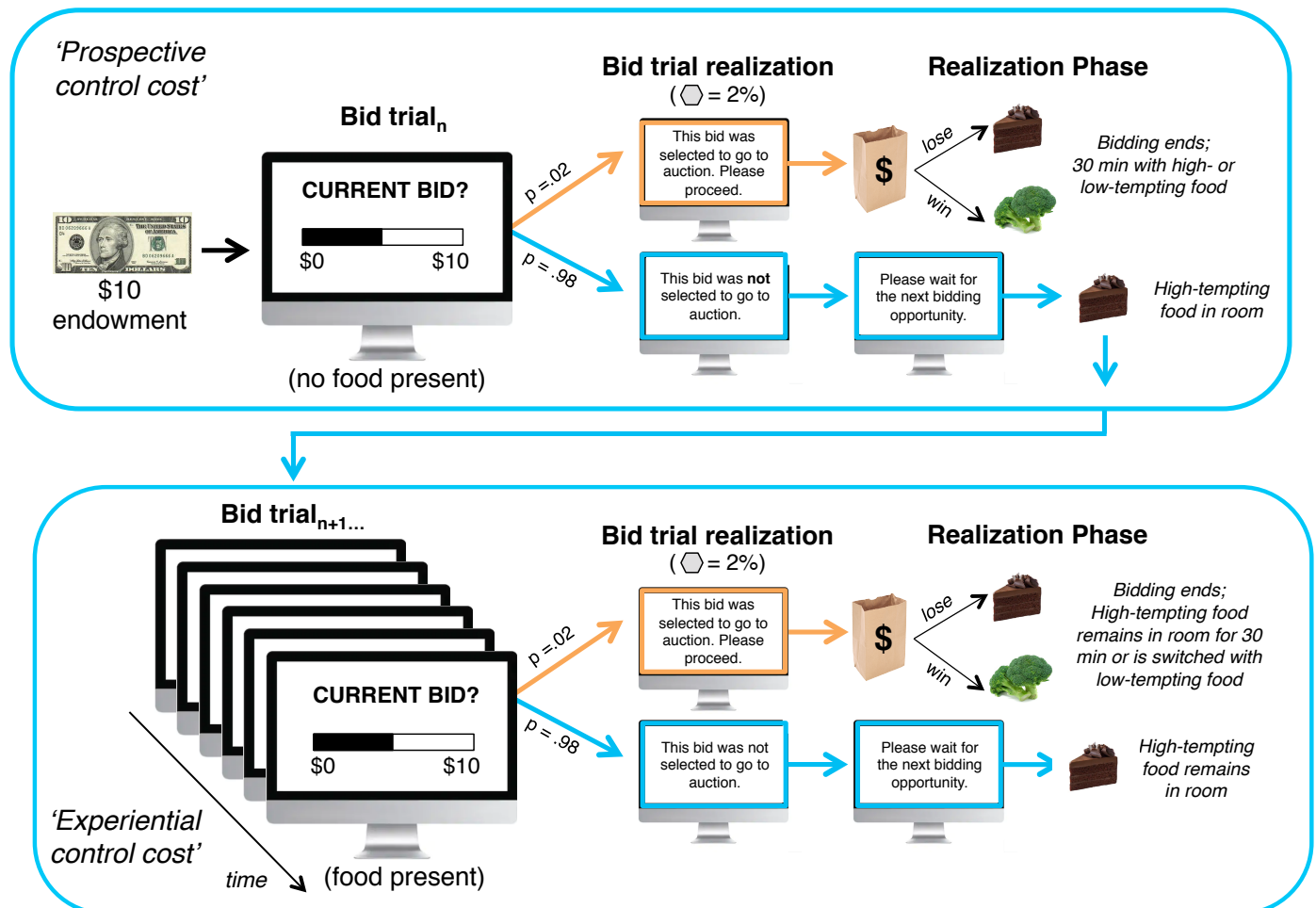


Figure 1. Illustration of the self-control decision task. Participants reported their willingness-to-pay to avoid a tempting food reward both before the food was present (top panel) and, periodically over a 30-minute period, with added direct exposure to the food (bottom panel).

- 4 The only observable behavior of interest during the final 30-minute interval of the
- 5 experiment was whether or not the participant consumed the food. This
- 6 *realization phase* of the experiment ensured that it was incentive-compatible,
- 7 meaning participants' choices allowed them to avoid real temptations and the
- 8 negative outcomes associated with those temptations. The 2% chance of each

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1 bid being realized ensured participants knew that what they bid on the current
2 trial was important, since it could determine whether they were required to spend
3 the next 30 minutes alone in the experiment room with a tempting food reward,
4 the consumption of which did not align with their stated goals.

5 **Study 1: Self-control imposes costs as revealed by willingness-to-pay for**
6 **pre-commitment.** Our primary question of interest was whether the presence of
7 a tempting good participants want to avoid consuming does in fact impose a cost
8 on choosers. If so, participants should be willing to pay to remove temptation and
9 eliminate the associated control cost. In accord with predictions from these
10 economic models, we found that participants ($n=32$) were willing to pay a
11 maximum of, on average, 15% of their \$10 endowment (or $\$1.57 \pm 1.78$ SD) to
12 adopt a pre-commitment device that avoided temptation. This provides a direct
13 scalar measurement of their subjective cost of resisting temptation (**Figure 2A**).
14 Participants were not only willing to pay to prospectively avoid temptation (mean
15 of first bid trial, pre-exposure = $\$1.47 \pm 1.59$ SD), but they continued to pay
16 throughout the task, providing a continuous measurement of the underlying costs
17 of resisting temptation with continuing exposure to the food (mean of subsequent
18 bid trials, post-exposure = $\$1.58 \pm 1.82$ SD).

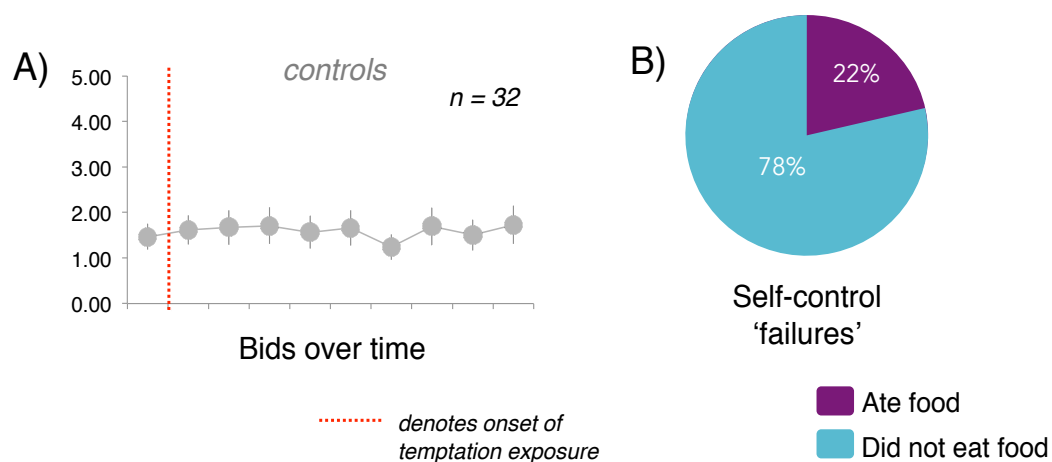


Figure 2. Study 1. (A) Bids over time for control group; **(B)** Proportion of subjects in Study 1 that consumed tempting food during the study. Error bars denote SEM.

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1 Our initial experiment thus demonstrates that self-control imposes a subjective
2 cost on choosers that can be measured monetarily. However, the process of
3 deploying self-control in the presence of temptation has been proposed to
4 change over time. Continued exposure to a tempting good is often thought to
5 increase the difficulty of exerting self-control. Given the long-standing debate
6 emerging from ego depletion literature (Kurzban et al., 2013) on whether self-
7 control resources deplete over time, we examined the dynamics of how bids
8 changed both before and after exposure to temptation (**Figure 2A**). We found
9 that average pre- versus post-exposure bids did not significantly differ (paired
10 samples t-test: $t_{(31)} = -0.533$, $P = 0.598$, $d = 0.099$, two-tailed), suggesting that
11 our dieters were accurate in their prospective cost estimates. Perhaps more
12 interestingly, a repeated-measures ANOVA assessing post-exposure bids as a
13 function of time indicated that on average there were no significant linear trends
14 in these costs despite ongoing exposure to temptation ($F_{(4,122)} = 0.722$, $P = 0.576$;
15 Greenhouse-Geisser correction factor, $\epsilon = 0.30$; $\eta_p^2 = 0.023$). Thus, we found no
16 evidence that, on average, ongoing exposure to temptation increased control
17 costs (or ‘depleted’ control resources) over the interval used in our task. We note,
18 however, that individual variability exists in our data set, such that some
19 participants’ bids increased systematically while for others they decreased.
20 Finally, we note that 22% of our subjects consumed the tempting food during the
21 ensuing 30-minute exposure period (**Figure 2B**).

22 ***Study 2: Motivational incentives modulate willingness-to-pay for pre-***
23 ***commitment.*** Next, we sought to both replicate this finding, and further test how
24 motivational incentives to sustain goal-directed behavior might affect these costs.
25 In this second experiment we increased the cost of self-control failure and
26 examined whether participants were then willing to pay more for pre-commitment
27 to reduce self-control failures. To do this, we repeated our study in a second
28 cohort of dieters but instructed participants that they would lose a \$15 bonus at
29 the end of the study if they consumed the tempting food at any point. We

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1 hypothesized that by increasing the cost of self-control failure, the value of a pre-
2 commitment strategy that restricts temptation should be also be higher.

3

4 Thirty-four new dieters completed our self-control measurement task with the
5 addition of this second monetary incentive. Dieters again showed a reliable and
6 consistent willingness-to-pay to avoid temptation (mean bid = $\$2.85 \pm 2.70$ SD).

7 Consistent with our hypothesis, the addition of the \$15 cost for eating the
8 tempting food led to a higher willingness-to-pay for pre-commitment (**Figure 3A**).

9 Combining the data across experiments 1 and 2, a repeated-measures ANOVA
10 with a within-subject factor of time (bids 1-10) and a between-subject factor of
11 group (no external incentive, incentive) revealed a main effect of group ($F_{(1,64)} =$
12 4.95 , $P = 0.03$, $\eta_p^2 = 0.07$), but no effect of time ($P = 0.73$) or time x group
13 interaction ($P = 0.45$). This difference at the group level suggests that participants
14 were willing to spend more money to sustain goal-directed behavior when the
15 costs of not adhering to this goal were higher. We note also that under these
16 conditions none of the subjects who faced the tempting good consumed it
17 (**Figure 3B**).

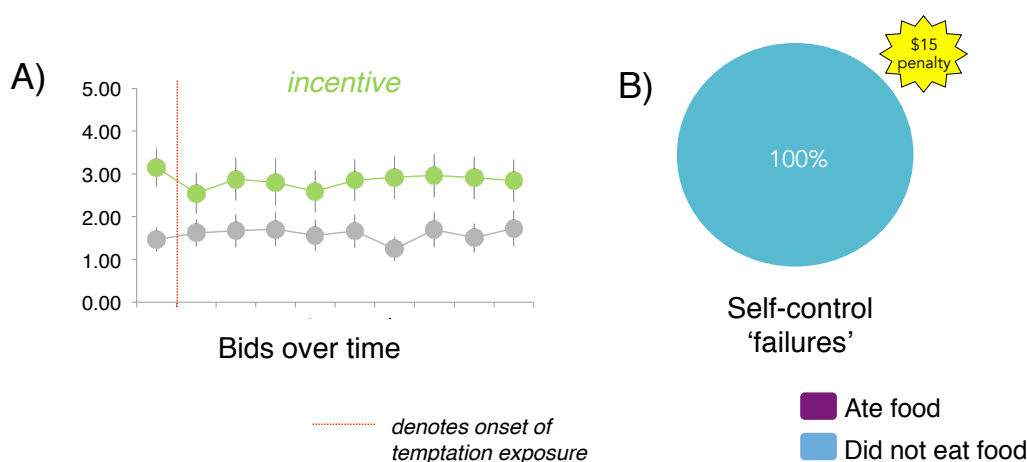


Figure 3. Study 2. (A) Bids to avoid exposure to the tempting food over time in participants for which a \$15 monetary loss was imposed for consuming the food (depicted in green; Incentive group) and for those where no monetary loss was imposed (depicted in gray; Control group); **(B)** Proportion of subjects in Study 2 that consumed tempting food during study.

1 **Study 3: Acute stress increases the cost of self-control.** Given the tightly
2 coupled relationship between self-control failure and the experience of negative
3 emotional states such as stress, we next examined how exposure to an acute
4 stressor would influence participants' self-control costs. Specifically, we tested
5 the widely held hypothesis that stress makes self-control more 'costly'. To elicit
6 subjective and neurophysiological stress responses, we recruited a new cohort of
7 dieters ($n=31$) that underwent the Cold-Pressor Task (CPT; Lovallo, 1975;
8 Velasco, Gómez, Blanco & Rodriguez, 1997; McRae et al. 2006) prior to the self-
9 control choice task. The CPT is widely used in laboratory settings to reliably
10 induce mild-to-moderate levels of physiological stress and simply requires
11 participants to submerge their forearms in ice-water continuously for 3-minutes
12 (**Methods**). Confirming the efficacy of our stress induction procedures,
13 participants in the CPT condition showed elevated concentrations of salivary
14 cortisol, the primary neuroendocrine marker of Hypothalamic-Pituitary-Adrenal
15 (HPA-) axis activity (**Figure S1; SI Results**).

16 We assessed whether our stress manipulation influenced the cost of self-control
17 both prior to temptation exposure—when participants were prospectively
18 estimating these costs—and after the highly-tempting food was introduced.
19 **Figure 4A** depicts aggregate trial-by-trial bidding behavior for participants in the
20 stress condition. A repeated-measures ANOVA across all studies revealed a
21 main effect of group ($F_{(1,61)} = 8.30$, $P = 0.005$; $\eta_p^2 = 0.120$), no effect of time ($P =$
22 0.34) or time X group interaction ($P = 0.44$). Follow-up t-tests confirmed that
23 stressed participants reported a higher willingness-to-pay overall (mean bid =
24 $\$3.38 \pm 3.04$ SD) relative to non-stressed controls (independent samples t-test:
25 $t_{(61)} = -2.88$, $P=0.005$, $d= 0.72$, two-tailed), suggesting that our experimentally-
26 induced state of stress was reflected in the valuation of pre-commitment to
27 restrict temptation. These increases in the stress group were observed during
28 prospective bids (pre-exposure, Bid₁: $t_{(61)} = -3.71$, $P=0.0004$, $d= 0.93$, two-tailed)
29 and persisted across subsequent post-exposure trials (mean of post-exposure

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1 bids: ($t_{(61)} = -2.77$, $P=0.007$, $d= 0.67$, two-tailed). Thus, exposure to acute stress
2 appears to have more than doubled (at a between-subjects level) the average
3 subjective cost of self-control. We note that, similar to Study 1, 23% of our
4 subjects consumed the tempting good (**Figure 4B**). A final cohort (Study 4) using
5 the same incentive structure as Study 2 (\$15 penalty) was also assessed under
6 stress (see **Figure S2, SI Results**).

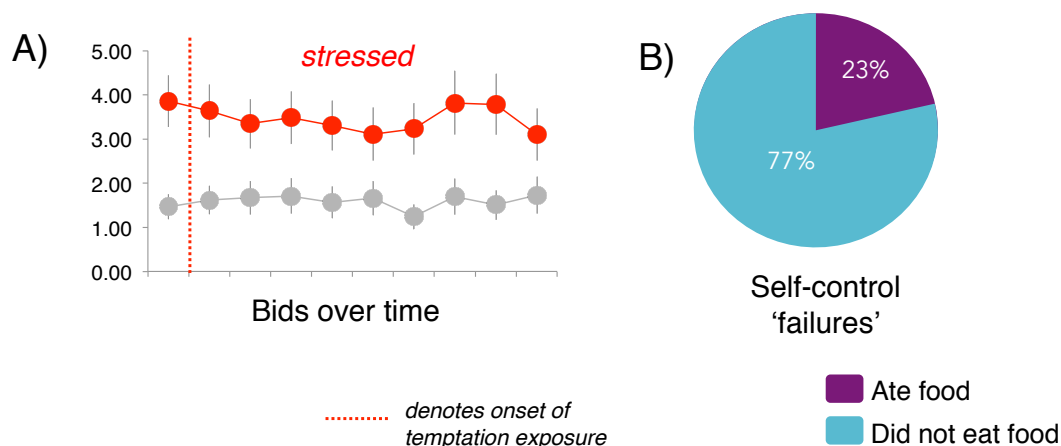


Figure 4. Study 3. (A) Bids to avoid exposure to the tempting food over time for participants that underwent a physiological stress manipulation (depicted in red; Stress group) and for non-stressed participants (depicted in gray; Control group); **(B)** Proportion of subjects that consumed tempting food during study.

17 **Secondary Analysis 1: Self-control 'failures' were associated with a higher**
18 **willingness-to-pay to avoid control.** If we assume that subjects do face costs
19 for exercising self-control, then we should expect to see subjects experience self-
20 control failures on occasion. Further, we might expect to find that subjects willing
21 to pay more for pre-commitment experience higher self-control costs and thus
22 might be expected to fail in their self-control more often than subjects who report
23 lower monetary costs for self-control. To test these hypotheses, we examined
24 each subject's behavior after the bidding phase of the experiment was
25 complete—during the final 30-minute phase of the experiment (**Methods**). During
26 this phase, if no bids had been realized (which was usually the case given the
27 low probability any bid trial was realized), participants were required to remain in

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1 the room for the final 30 minutes of the experiment with the tempting food. No
2 further bids were collected during this phase. During this period, we simply
3 observed whether or not each participant consumed the tempting food (a self-
4 control “failure”). The proportion of participants that consumed the tempting food
5 are presented alongside bidding behavior in **Figures 2B-4B**). In Study 1 (no
6 stress, no monetary penalty for eating food), approximately 22% of participants
7 consumed the tempting food during the realization phase of the study (**Figure**
8 **2B**). In Study 2, where we imposed an additional (monetary) cost for eating the
9 tempting food, we observed that no participants consumed the food (**Figure 3B**).
10 In Study 3, where we induced acute stress responses but imposed no monetary
11 cost for eating the food, again 23% of participants consumed the food (**Figure**
12 **4B**). Given that consumption rates did not differ between groups that did not
13 receive the monetary incentive to avoid control (Study 1 and 3), we combined
14 these groups to examine how bidding behavior differed in dieters that ate the
15 food vs. those that did not. Consistent with the notion that participants’
16 willingness-to-pay to avoid control reflects their subjective cost of control, those
17 participants who ate the food offered to pay significantly more to avoid temptation
18 relative to participants who did not eat the food (independent samples t-test: $t_{(61)}$
19 = 2.80, $P=0.006$; two-tailed; **Figure 5**).

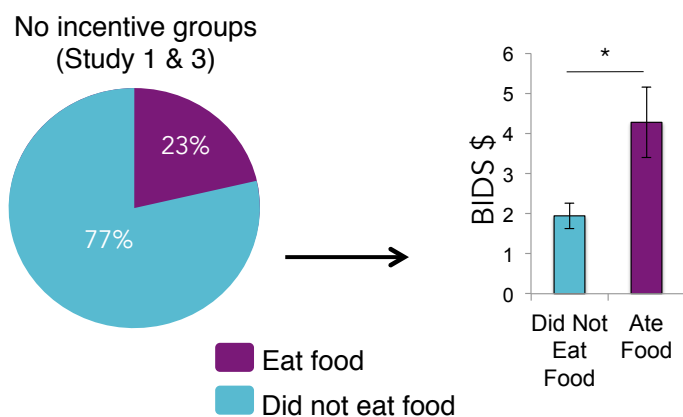


Figure 5. Mean bid for subjects who demonstrated self-control ‘failures’ (23%, depicted in purple) and those who did not (77%; depicted in blue) collapsed across Study 1 (control group) and 3 (stress group). Those participants who consumed the tempting food during the study revealed a higher willingness-to-pay to avoid control. (No participants from Study 2 consumed the tempting food, thus they were not included in this analysis). Error bars denote SEM.

1 **Secondary Analysis 2: Individual difference measures associated with**
2 **control costs.** We next examined how individual differences across our entire
3 sample related to self-control costs. Given that control costs were higher in
4 participants exposed to an acute stressor (Study 3), we first sought to
5 characterize how subjectively perceived stress related to willingness-to-pay to
6 avoid self-control. To do this, we correlated mean bids and self-reported stress
7 levels directly before the choice task across participants from all four studies
8 (n=128). Perceived stress was positively correlated with average bidding
9 behavior (Spearman's rho: $r = 0.22$, $P = .012$; **Figure 6A**), suggesting that, across
10 all participants, subjective stress state was related to individuals' willingness-to-
11 pay to avoid control.

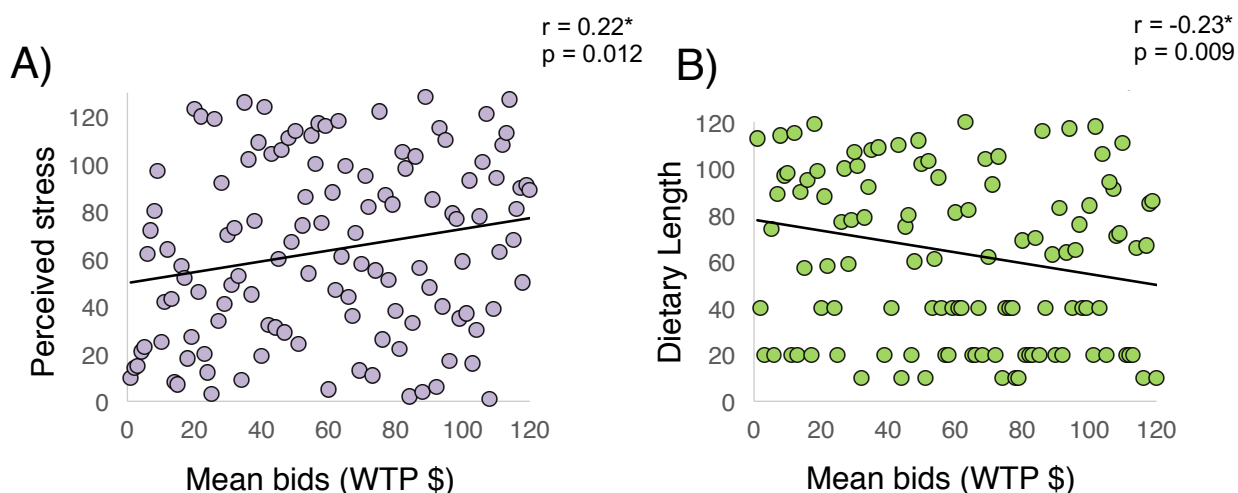


Figure 6. Individual Difference Correlations. (A) Perceived stress was positively correlated with average bidding behavior across participants; (B) Length of diet was negatively correlated with average bidding behavior across participants. *denotes Spearman ranked correlation)

12 Given our evidence that self-control is explicitly costly to choosers, we reasoned
13 that more experience (or success) avoiding temptation might relate to an
14 individual's self-control costs. To explore this question, we conducted a
15 correlation analysis between participants' length of diet and their average
16 willingness-to-pay to avoid exercising self-control. This analysis revealed a
17 significant negative correlation between mean bids and diet length (Spearman's

1 rho: $r = -0.23$, $P = .009$; **Figure 6B**). Thus, participants on a diet for a longer length
2 of time tended to pay less to avoid temptation. We note that this does not reveal
3 whether only those with idiosyncratically lower self-control costs succeed at
4 remaining on a diet, whether self-control costs decline as one's diet progresses,
5 or both. However, our method applied longitudinally could be used to answer
6 such questions.

7

8 **Study 5: Willingness-to-pay to avoid control scales with temptation level.** If
9 participants' willingness-to-pay to avoid temptation does in fact reflect the cost of
10 self-control, then we would expect these costs to scale with varying levels of
11 temptation (i.e., when facing a more highly tempting good, a subject should have
12 to exert more self-control than when facing a less tempting good). To test this,
13 we conducted a final study in an independent cohort of healthy, hungry dieters. In
14 this study, participants again rated a series of snack foods on how healthy, tasty
15 and tempting they were, which allowed us to identify a low, medium and high
16 tempting food for each individual. On each trial, participants reported their
17 willingness-to-pay (from \$0-\$10, from a \$10 endowment) to avoid each of the
18 three food items for varying amounts of time (1-60 minutes; **Methods**). Unlike
19 Study 1-4, all bids were reported prospectively (without any food present) and
20 one trial was randomly selected at the end of the session to be realized. **Figure 7**
21 depicts average bids for each time point for each level of temptation. A
22 temptation level (low, medium, high) X time (1-60 minutes) RM-ANOVA revealed
23 a significant main effect of temptation level ($F_{(2,30)} = 33.06$, $P < .0001$, $\eta_p^2 = 0.69$)
24 and time with food ($F_{(9,135)} = 29.12$, $P < .0001$, $\eta_p^2 = 0.67$), as well as a
25 temptation X time interaction ($F_{(18,270)} = 5.75$, $P < .0001$, $\eta_p^2 = 0.27$). Bids differed
26 significantly for foods with low (mean bid = $\$1.16 \pm 0.36$ SD), medium (mean bid
27 = $\$2.99 \pm 1.02$ SD), and high (mean bid = $\$4.96 \pm 1.30$ SD) temptation levels.
28 Further, bids scaled with each temptation level across increasing amounts of
29 time (**Figure 7**). Planned contrasts demonstrated a significant linear increase in

- 1 bids with higher temptation level ($F_{(1, 15)} = 43.95, P < .0001$) and, separately, with
- 2 increased time with the food ($F_{(1, 15)} = 44.81, P < .0001$).

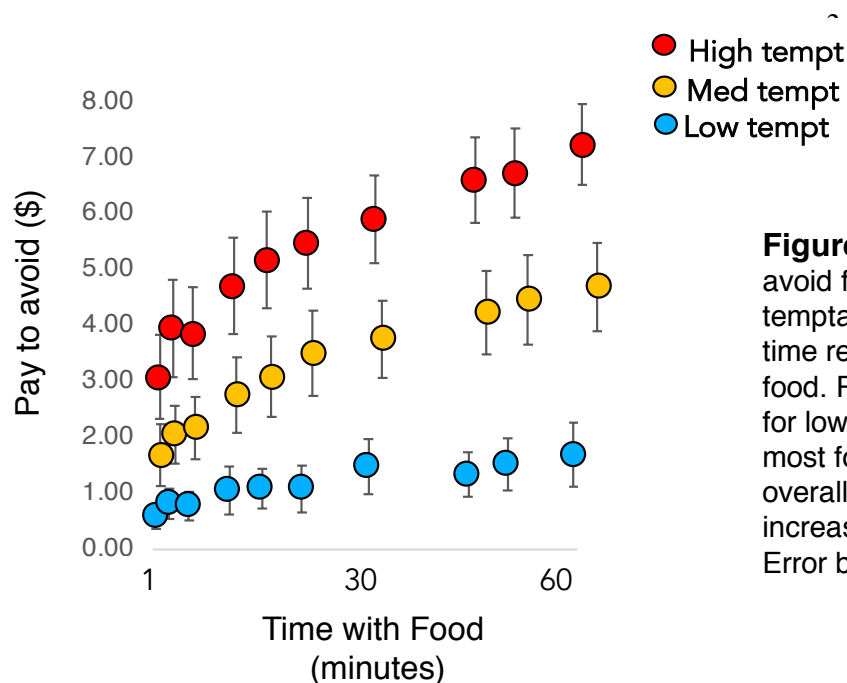


Figure 7. Willingness-to-pay to avoid foods that varied in temptation level and amount of time required to spend with food. Participants paid the least for low tempting foods and the most for high tempting foods overall, with bids scaling with increasing time with the food. Error bars depict SEM.

15

16

DISCUSSION

17 A universal paradox in human behavior is our tendency to set difficult long-term
18 goals but then to make choices that appear to contradict or undermine those
19 goals. Using an economic decision-making paradigm, coupled with a sample of
20 dieters avoiding tempting food rewards as a model of self-control more broadly,
21 we found that people will pay to avoid temptation, quantitatively revealing their
22 subjective cost of control under a variety of circumstances. We found that these
23 costs are modulated by incentives (shows cost sensitivity) and acute stress
24 exposure, consistent with the notion that motivational and affective state
25 modulate one's willingness to exercise self-control. Finally, we showed that
26 longer exposure to a tempting good imposes higher self-control costs (self-
27 control costs obey monotonicity) and more tempting goods impose higher control
28 costs at the within-subject level than do lower tempting goods (self-control costs
29 order with temptation).

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1

2 Decades of psychological research have revealed that the act of engaging in
3 self-control is subjectively effortful and aversive. Emerging work in the cognitive
4 control literature has proposed that this experience stems from the cognitive
5 costs imposed by deploying control, an account consistent with more recent
6 economic theories of self-control (Gul & Pesendorfer, 2001/2004) that propose
7 preferences for pre-commitment reveal an inherent psychological cost to
8 resisting temptation. These converging lines of work provide a clear and testable
9 hypothesis: Self-control failures may be better conceptualized as a rational
10 decision that emerges when the costs of exercising control exceed the relative
11 perceived benefits (Kurban et al., 2013; Berkman et al., 2017; Kool & Botnivick,
12 2018). However, without a psychophysical method to precisely quantify these
13 costs, self-control researchers have historically been left to *infer* whether and
14 how much self-control a chooser requires to make goal-consistent decisions or to
15 delay gratification. Our findings unite psychological and economic theories of
16 self-control and provide empirical evidence that self-control is explicitly costly to
17 humans and that these costs can be quantified by measuring the value of pre-
18 commitment to restrict temptation.

19

20 Gul and Pesendorfer's theory is consistent with a growing body of psychological
21 and neuroscience research that suggests people view cognitive demand as
22 intrinsically costly and tend to avoid utilizing cognitive resources if possible
23 (Kurzban et al. 2013; Westbrook & Braver, 2015; Shenhav et al. 2013/2017; Kool
24 & Botnivik, 2018). These costs are thought to stem from limitations in the
25 cognitive resources available to support the demands of control, suggesting self-
26 control arises from evaluations of how valuable expenditures of control are
27 perceived to be relative to how costly. This is a notable departure from classic
28 psychological self-control models that view cognitive resources as depletable
29 (i.e., both limited and diminished with use), arguing instead that such resources
30 are finite and reallocated dynamically depending on the perceived costs and

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1 benefits (Kurzban et al., 2013; McGuire & Kable, 2015). Why some classes of
2 cognitive control may be aversive or costly remains unclear. However, the
3 approach presented here offers a metric for how aversive or costly individuals'
4 find the exertion of self-control to be on a moment-to-moment basis.

5
6 Our task employed two important features to probe the nature of self-control.
7 First, our task measured momentary willingness to pay to avoid control
8 prospectively (prior to food exposure) and again after participants encountered
9 the tempting food. One possible explanation for why self-control appears to fail
10 so often is that individuals may poorly estimate how costly self-control will be.
11 Our data tends to lean against this conclusion. We observed no significant
12 difference in measured control costs before versus after food exposure. This
13 suggests that our participants had an accurate prospective awareness of the self-
14 control costs they would later face. A second important feature of our task was
15 that—unlike existing self-control decision paradigms—our bidding measurements
16 were collected continuously over time, allowing us to track how these costs
17 change with continued exposure to temptation. Some existing work (Baumeister,
18 Bratslavsky, Muraven, & Tice, 1998; Muraven & Baumeister, 2000) suggests that
19 that self-control becomes more difficult as it is continuously exerted. If this were
20 true for our participants, we would expect their self-control costs to increase as
21 the experiment progressed. Interestingly, we instead observed that participants'
22 bids to avoid control were quite stable over time. The fact that participants'
23 control costs did not increase over time as predicted by classic ego-depletion
24 models is, however, consistent with a growing body of work suggesting that
25 performance reductions are a not mandatory feature of engaging in control
26 (Kurzban et al., 2013; Shenhav et al. 2013/2017; Kool & Botnivik, 2018). Our
27 findings offer empirical support for these value-based frameworks that argue thus
28 individuals need not necessarily experience decrements in control performance
29 as long as the perceived benefit of deploying control continues to outweigh the

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1 cost. We note, however, that our data on this point is relevant only to the
2 intervals of time tested here (under an hour in total duration).

3

4 The stability in our participants' willingness to pay to avoid exerting control may
5 also reflect the *lack of temporal uncertainty* inherent in our task. In a recent line
6 of work, McGuire and Kable (2013/2015) demonstrated that behavior in a range
7 of delay-of-gratification tasks (including the famous 'marshmallow task', Mischel
8 & Ebbesen, 1970) might be explained by the underlying predictions participants
9 have regarding when a delayed outcome will arrive. They suggested that one
10 major reason individuals appear to 'succumb' to temptation is that under
11 temporal uncertainty, individuals may rationally conclude that the delayed
12 outcome may no longer be worth waiting for. In our study participants were fully
13 informed regarding the temporal structure of the task and were at all times aware
14 that self-control would be engaged only for a finite period of time. This feature of
15 our task may explain the stability observed in participants reported bids over
16 time. An open question for future research is whether imposing temporal
17 uncertainty, or requiring self-control for longer periods of time (Blain et al., 2016)
18 than we used in our task, might lead to an increase in ongoing self-control costs.

19

20 Self-control research across disciplines suggests that we should be able to
21 induce changes in these costs with changes in motivational state. Consistent with
22 the notion that decisions to engage in self-control arise from a dynamic cost-
23 benefit evaluation (Berkman et al., 2016), we found that willingness to pay for
24 pre-commitment increased as the cost of failing to adhere to one's diet
25 increased. When faced with losing \$15 in addition to failing to adhere to their diet,
26 participants were willing to pay to restrict access to temptation, thus pre-
27 commitment became more valuable. These findings are consistent with work
28 showing that motivational incentives can increase willingness to engage in self-
29 control strategies (Hagger et al, 2010; Krebs et al., 2010) and demonstrate
30 overall cost-sensitivity in the self-control mechanism.

1

2 We also observed an increase in the cost of self-control when participants
3 underwent an acute stress induction. Stress exposure has long been thought to
4 compromise self-control (Hockney, 1983; Holding, 1983), and this intuition has
5 been borne out in a large body of empirical work—from the cognitive
6 neuroscience literature that shows stress diminishes cognitive capacity and
7 flexibility (Schoofs et al 2009; Plessow et al 2009) and selectively reduces goal-
8 directed control of decisions (Schwabe & Wolf 2009; Otto, Raio, et al., 2013) to
9 the clinical literature where stressors remain a primary risk factor for the
10 emergence and relapse of addiction-related disorders (Sinha, 2011). Our findings
11 provide a direct test of whether stress compromises self-control by increasing its
12 associated cognitive cost. This relationship was also observed beyond
13 participants assigned stress condition, as higher self-control costs were positively
14 associated with perceived stress scores.

15

16 Finally, confirming that our bids did in fact reflect the cost of self-control and not
17 random baseline bidding behavior, we found that the average magnitude of bids
18 scaled with increasing levels of temptation. By testing bidding behavior across
19 varying degrees of temptation level and a broader range of times with the food,
20 we were able to confirm that willingness to pay for pre-commitment tracks with
21 the increased cost of resisting temptation, demonstrating that the cost of self-
22 control appears to increase monotonically with duration and that more highly
23 tempting goods induce higher self-control costs Overall, our findings suggest that
24 measuring the control costs can yield unique information about the subjective
25 experience of resisting temptation that cannot be revealed using existing
26 measures of self-control.

27

28 Given its central importance as a strategy to help individuals achieve their long-
29 term goals, some laboratory studies have recently measured preferences for pre-
30 commitment (Crocket et al., 2013; Schwartz et al., 2014; Soutschek et al.,

1 2017/2020). These studies have provided evidence that choosers may express a
2 preference to restrict impulsive choices by committing to larger, later rewards. A
3 recent study further showed that higher impulsivity and meta-cognitive
4 awareness leads to a stronger preference for pre-commitment (Soutschek et al.,
5 2020). Our results add to this growing literature by demonstrating that individuals
6 are also willing to incur a monetary cost to restrict temptation, suggesting that
7 sophisticated choosers will pay for pre-commitment when available as a choice
8 option.

9

10 Our study is not without limitations. First, we acknowledge that there are likely
11 many different components to what makes the exertion of self-control cognitively
12 costly. For example, there may be cognitive costs to resisting temptation and
13 also personal and health costs associated with self-control failures. While our
14 task does not currently dissociate among the components that feed into self-
15 control costs more generally, this is an open area for future research. Second,
16 unlike some studies in the human stress literature we included *both* men and
17 women in each of our samples. However, we did not measure menstrual phase,
18 oral contraceptive use or cycle-dependent sex hormones, which have been
19 shown to impact stress responses in women (Kirschbaum et al., 1999;
20 Hellhammer et al., 2009). Future work measuring such factors may reveal
21 interesting patterns in control costs that we were unable to detect here.

22

23 In summary, we report a novel task for measuring the subjective cost of self-
24 control. Our findings are consistent with emerging work across disciplines
25 suggesting that self-control and its failures can be seen as fundamentally rational
26 responses to a complex world in which individuals' trade-off the cognitive cost of
27 resisting immediate temptation against the benefits of achieving future goals.

1 **METHODS**

2 **Participants.** 138 healthy young adult participants that indicated they were on a
3 diet to maintain or lose weight participated in the study. Participants were
4 recruited using flyers posted on and around the NYU campus, as well as
5 electronic advertisements on New York University's Department of Psychology
6 website. Participants were excluded prior to participation for the following
7 reasons: (1) pregnancy; (2) high-blood pressure or a heart condition; (3) history
8 or medication for neurological or psychiatric disorders; (4) diabetes, metabolic
9 disorders, food allergies or history of eating disorders; and (5) use of
10 corticosteroids or beta-blockers. All participants provided written informed
11 consent in accordance with experimental procedures approved by the New York
12 University Committee on Activities Involving Human Subjects. All research and
13 experimental procedures were performed in accordance with approved IRB
14 guidelines and regulations.

15 Subjects were paid \$15 per hour plus a \$10 bidding endowment. Six participants
16 from the stress groups were unable to complete the CPT task and were thus
17 excluded. Two additional participants were removed prior to data analysis
18 because they revealed that they were on special diets to sustain (and ideally
19 increase rather than decrease) weight and two others were excluded for being on
20 medication (revealed after the experiment ended). Our final analysis included a
21 total of 128 healthy participants (84 women) with a mean age of 24.37 (SD =
22 7.07; range = 18-55).

23

24 **General Procedure (Study 1-4).** Hungry, healthy dieters were asked to abstain
25 from eating 3-4 hours before participating in the study. Upon arrival at the
26 laboratory, participants provided informed consent and were escorted to the
27 experiment room for a 10-minute acclimation period, after which they rated their
28 current hunger level (from 1-10), completed the food rating and ranking scales.
29 After baseline cortisol was collected, participants received their \$10 (cash)

1 endowment. They then received instructions regarding the self-control decision
2 task and were explicitly informed about which high- and low-tempting foods they
3 would be making choices about during the study. (Note: participants in Studies 2
4 & 4 were further instructed that they would lose a \$15 bonus payment provided at
5 the end of the study if they consumed the tempting food at any point.) All
6 participants then completed either the CPT or control task and were given a 10-
7 minute break in the experiment room before an additional cortisol sample was
8 collected. This break was implemented to ensure that cortisol levels induced by
9 the CPT would be begin to peak in coordination with the choice task. Participants
10 then completed the self-control decision task (see **Decision-Making Task**),
11 during which they indicated the maximum amount that they would be willing to
12 pay to remove the high-tempting food from the room and replace it with the low-
13 tempting food. After the 30-minute bidding phase of the task was complete, the
14 final cortisol sample was collected and the final phase of the experiment began,
15 during which participants were required to remain in the experiment room with
16 the high- or low-tempting food for the final 30 minutes of the study (see **Bid**
17 **Realization**). Once this 30-minute final phase was over participants were paid for
18 their time and left the laboratory.

19

20 **Stress Induction Technique.** All participants in the stress group (Studies 3 & 4)
21 completed the CPT, for which participants submerged their right forearms in ice-
22 cold water (0 °C to 4 °C) for 3 min continuously. All participants in the control
23 group (Studies 1 & 2) followed the exact same procedure using room-
24 temperature water (30 °C to 35 °C). The CPT is widely used in laboratory
25 settings to model the effects of mild to moderate stress and reliably generates
26 both autonomic nervous system and HPA-axis activation, as measured by
27 increased physiological arousal, neuroendocrine responses, and subjective
28 stress ratings (Lovallo, 1975; Velasco, Gómez, Blanco & Rodriguez, 1997;
29 McRae et al. 2006).

30

1 **Neuroendocrine Assessment.** Saliva samples were collected throughout the
2 study to assess cortisol concentrations, which serve as neuroendocrine markers
3 of stress response. Participants were run between 12 and 5pm to control for
4 diurnal rhythms of stress hormone levels. Saliva samples were collected using a
5 high-quality synthetic polymer-based salivette placed under participants' tongues
6 for two minutes. Participants were initially seated in the experiment room for a
7 10-minute acclimation period, during which they drank 4 oz of water to clear any
8 residual saliva. Samples were collected at baseline (sample 1), 10 minutes after
9 the CPT/control task administration (sample 2), and directly before the choice
10 realization began (~30 minutes after the CPT/control task administration; sample
11 3). Samples were immediately stored in a sterile tube and preserved in a freezer
12 set to -80°C . Samples were analyzed by the Psychobiological Research
13 Laboratory of the University of Trier, Germany, using a time-resolved
14 immunoassay with fluorometric detection (DELFI, cf. Dressendörfer et al.,
15 1992). Duplicate assays were conducted for each sample, and the average of the
16 two values was used in our analyses. Any samples that contained insufficient
17 saliva could not be analyzed and were excluded from our analyses. Cortisol data
18 was log-transformed to account for the skewed nature of cortisol distributions.

19

20 **Food Item Scales & Selection.** In order to select a high- and low-tempting food
21 item for each individual, participants completed a series of food rating scales
22 prior to the study. Participants separately rated 20 food items (see **Figure S3** for
23 choice set) on how tasty, healthy and tempting these items were from 1 (not at
24 all) to 10 (very much so). Participants then ranked these 20 food items from best
25 (#1) to worst (#20) for their current diet. Low-tempting foods were chosen by a
26 computer algorithm that identified foods that fell in the lowest 20% of taste and
27 temptation ratings, the highest 20% of health ratings and that was ranked in the
28 upper 10% of foods best for the participants' current diet. Conversely, high-
29 tempting foods were identified as those that fell in the upper 20% of taste and

1 temptation ratings, the lowest 20% of health ratings and that was ranked in the
2 lowest 10% of foods worst for the participants' current diet.

3

4 ***Decision-making Task (Figure 1).*** To directly examine individuals' subjective
5 cost of self-control, we designed an incentive-compatible decision-making task
6 that measured the monetary costs that participants were willing to incur to avoid
7 temptation on a moment-to-moment basis. There were two phases of the task:
8 (1) a bidding phase, during which participants indicated the maximum they would
9 be willing-to-pay from a \$10 endowment to remove the high-tempting food from
10 the room and replace it with the low-tempting food; and (2) a final realization
11 phase, during which participants sat in the experiment room with the food for the
12 final 30 minutes of the experiment. Participants in Studies 2 & 4 were further
13 instructed that they would lose a \$15 bonus payment at the end of the study if
14 they consumed the tempting food at any point.

15 On each trial, participants viewed a computer screen that prompted them
16 to enter the maximum amount that they were currently willing-to-pay to remove
17 the high-tempting food from the room and replace it with the low-tempting food.
18 Participants registered their bids with an open response-window by using the
19 mouse to control a sliding bar that ranged from \$0 to \$10 (in \$0.01 increments)
20 and clicking the mouse on their selected bid. Bid trials were presented
21 approximately every 3 minutes (Studies 2 & 4) or 2 minutes (in an effort to
22 acquire more precise temporal measurements of bids) for a total of 10 bids for
23 Study 2 & 4 and 15 bids for Studies 1 & 3. Choices were presented using
24 PsychToolBox.

25 After each bid was received there was a 2% chance that this bid would be
26 immediately employed in a procedure that would lead to the final 30-minute
27 phase in which the high-tempting or low-tempting food would be in the room with
28 the subject. This incentivized participants to bid their true value for removing the
29 high-tempting food since the bidding phase of the task could end on any trial and
30 only the current bid would be used to determine whether the food was removed

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1 for the 30-minute final phase. The 2% hazard rate also ensured that the majority
2 of bidding trials would not be realized, allowing us to track the dynamics of how
3 self-control costs change over time with greater exposure to temptation. Finally,
4 this feature of the task allowed us to eliminate any effect of temporal discounting
5 on the sequential bids. To realize bids, we used a standard Becker-DeGroot-
6 Marschak (BDM) auction procedure widely used to reveal maximum willingness-
7 to-pay (see ***Bid Realization Procedure*** below).

8 For the initial bidding trial, no food was present in the room. If this initial
9 trial was not realized, the food was brought in the room and remained there until
10 a bid was implemented or the bidding phase concluded. (If the trial was realized
11 the BDM procedure determined whether the food was brought into the room for
12 30 minutes. Immediately after each bidding trial, participants were notified as to
13 whether that particular bid would be implemented. If no bid was realized by the
14 end of the 30-minute bidding phase, the task transitioned automatically to the
15 final 30-minute realization phase.

16

17 ***Bid Realization Procedure.*** To determine whether the participant won or lost
18 the opportunity to replace the high-tempting food with a low-tempting food, a
19 standard economic auction procedure was implemented (DeGroot-Marschak;
20 BDM). Participants selected a selling chip from a bag (chips ranged from \$0 to
21 \$10 in \$0.01 increments) and this selected chip represented the *winning sell*
22 *price*. This randomly selected sell price was then compared against the
23 participant's current bid. If the bid price was greater or equal to that of the sell
24 price, then the participant won the auction and they paid the *sell price* (not the bid
25 price) from their endowment to have the high-tempting food removed. If the bid
26 was lower than the sell price the participant lost the auction. In this case, the
27 high-tempting food remained in the room for the remainder of the experiment and
28 the participant would keep the entire endowment. This procedure incentivizes
29 participants to report their true maximum willingness-to-pay.

30

1 **Study 5.** An additional 20 participants were recruited following the same
2 recruitment, screening, informed consent and payment procedures as Study 1-4
3 (**Participants**). Two participants were excluded for using medications on our
4 exclusion criteria. Due to a computer software error, data from two other
5 participants was not recorded. Participants were asked to refrain from eating
6 prior to coming into the lab and began by rating the same series of 20 food items
7 (see **Figure S3** for choice set) on how tasty, healthy and tempting these items
8 were from 1 (not at all) to 10 (very much so). These ratings allowed us to select a
9 low, medium and high tempting food for each participant. On each trial,
10 participants viewed an image of a snack food that varied on temptation level (low,
11 moderate, high) and amount of time for which participants would potentially have
12 to spend with the food (1, 3, 5, 10, 15, 20, 25, 30, 45, 60 minutes). Participants
13 viewed the food for 4 seconds and then entered their how much they were willing
14 to pay (from \$0-\$10) from a \$10 endowment to avoid that food, given the
15 temptation level, quantity and time amount. After the 90 trials were completed,
16 one trial was randomly selected and the same BDM auction procedure was used
17 to identify whether the participants won or lost the auction based on their bid for
18 that given trial (**Bid Realization Procedure**). All participants remained in the
19 experimental room for 1 hour after the bidding task was complete in order to
20 control for the cost of time (i.e., to ensure bids did not reflect an aversion to
21 waiting for the allocated amount of time relative to the cost of control). If
22 participants lost the auction, the food was present in the room for the amount of
23 time stated on the selected trial (e.g., if the trial depicted 15 minutes with the
24 food, then the participant spent 15 minutes of the full hour with the food). If they
25 won the auction then the food was not present during this amount of time.

26
27 **Data Analysis.** All statistical analysis for behavioral and cortisol data was carried
28 out using SPSS (version 20.0, 2011; IBM Corp., Armonk, NY). Due to the
29 skewed nature of cortisol concentration distributions documented in the literature
30 (Miller et al, 2013), cortisol values were log-transformed in order to better

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1 approximate a Gaussian (normal) distribution. Data were tested for equal
2 variances using Mauchly's sphericity tests and Greenhouse–Geisser corrections
3 were performed to address any violations of sphericity. Analysis of variance
4 (ANOVA) with repeated measures was used to analyze all choice (i.e., bidding)
5 and cortisol data. Post hoc comparisons were conducted using Student *t*-tests
6 when appropriate. All tests were two-tailed and considered statistically significant
7 when $p < .05$.

8 ***Data and Code Availability.*** The data sets generated during and/or analyzed
9 during the current study are available from the corresponding author on
10 reasonable request.

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