# A conserved rRNA switch is central to decoding site maturation on the small ribosomal subunit

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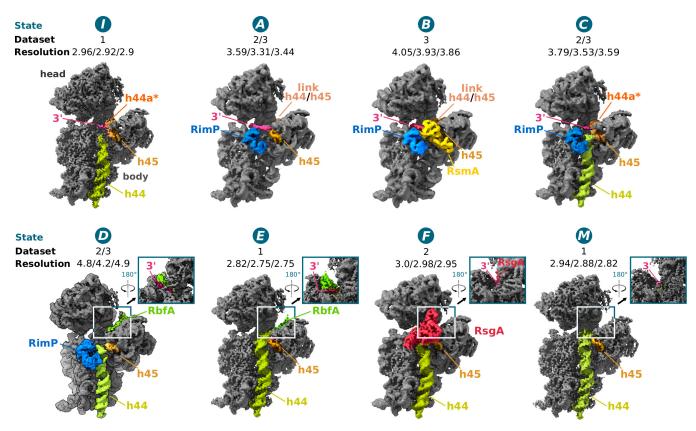
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While a structural description of the molecular mechanisms guiding ribosome assembly in eukaryotic systems is emerging, bacteria employ an unrelated core set of assembly factors for which high-resolution structural information is still missing. Here we use solution state NMR to determine the apo structure of Escherichia coli RimP and RbfA while single-particle cryo-EM is used to visualize the effect of the bacterial ribosome assembly factors RimP, RbfA, RsmA, and RsgA on the conformational landscape of the 30S subunit. This reveals a conserved rRNA switch in the 16S rRNA that initiates the folding of the decoding site on the 30S subunit and thus represents a critical coordination point in 30S biogenesis. Moreover, the high-resolution structural data reveals the molecular mechanisms employed by the assembly factors to coordinate and control the rRNA switch. Given rapidly emerging antibiotic resistance limiting antibiotic therapy, our results identify new key functional sites in 30S biogenesis that can be targeted for developing novel anti-infectives.

Keywords: Cryo-EM, 30S biogenesis, ribosome assembly, RbfA, RsgA, YjeQ, RimP, KsgA, RsmA, NMR spectroscopy. Abbreviations used: cryo-EM, cryo-electron microscopy.

Ribosome biogenesis is an essential, energy demanding process in both prokaryotic and eukaryotic cells (1). In humans, defects in ribosome biogenesis are linked to diverse pathologies, called ribosomopathies, that manifest as a broad range of developmental disorders (2, 3). In bacteria, protein factors involved in ribosome biogenesis are critical for cell growth and pathogenesis (4, 5) making them potential anti-microbial targets (6, 7). A detailed knowledge of the molecular mechanisms driving ribosome assembly, as further developed in this work, is essential for understanding the mechanistic basis of ribosomopathies and opening new pharmaceutical approaches to combat multidrug resistance in bacteria. In bacterial systems, like Escherichia coli, ribosome biogenesis involves the assembly of two ribosomal subunits where the smaller 30S subunit is formed by the 16S ribosomal RNA (rRNA) and 21 ribosomal proteins (r-proteins) while the larger 50S subunit comprises the 5S rRNA, the 23S rRNA, and 33 r-proteins. Ribosome assembly occurs co-transcriptionally and RNA folding starts immediately upon synthesis of the 5'-end of the transcript.

Moreover, r-proteins associate with the folding rRNA transcript even as the transcript is being processed by RNases (i.e. excised and trimmed from a longer transcript) and chemically modified by transacting factors, such as the methyl transferase RsmA (also known as KsgA). Despite this complexity, prior biochemical and structural work has shown that assembly of the 30S subunit is a robust process proceeding via multiple redundant parallel pathways where the 5'-body domain forms first, followed by the central platform and head domains, and finally the 3'minor domain with the functionally important central decoding region (CDR) (8-11). During the later assembly phases including CDR folding, kinetic traps are prevalent. These kinetic traps are local minima in the ribosome's folding landscape and correspond to alternative RNA conformations that result from degenerate local interactions and rearrange slowly into the native structure at physiological conditions (12). Ribosomal assembly factors are presumed to intervene and promote 30S biogenesis by avoiding such kinetic traps, thus allowing the CDR to adopt a functional fold. These factors include RimP, RsmA, RsgA, and RbfA, which play intertwined roles and assist in CDR folding (13) by ensuring the correct placement of the 3' minor domain (i.e. helices h44 and h45) between the rRNA domains forming the 30S head, body, and platform (see Figure 1, state M). The dedication of several assembly factors to CDR folding underscores its central function as an integral part of the 30S A and P-sites where tRNAs recognise and translate the mRNA sequence (14). In the CDR, h44 along with its connecting linker regions participate in mRNA-tRNA binding while the 16S 3'-end harbours the anti-Shine-Dalgarno sequence to recruit mRNA to the 30S subunit (14, 15). While cryo-EM has described the binding positions of the 30S assembly factors RsgA, RbfA, and RsmA at intermediate resolution (13, 16–18), the molecular mechanisms by which they avoid kinetic traps and promote CDR biogenesis remain unclear.



**Figure 1. Cryo-EM structures of 30S subunits in complex with different assembly factors.** Cryo-EM reconstructions (states *I*, *A-F*, and *M*) of the 30S assembly complexes are shown from the front (intersubunit side) with insets showing the mRNA exit channel from the backside (solvent side) to clearly highlight the position of RbfA and/or the 16S 3´-end in states *D-M*. The state assigned to each cryo-EM structure is shown above each reconstruction, along with originating dataset, and the resolution (overall/body/head). The eight structures result from three datasets, where dataset 1 included 30S+RbfA, dataset 2 included 30S+RbfA+RimP+RimM and dataset 3 included 30S+RbfA+RimP+RsmA. Assembly factors and 16S rRNA elements (h44, h45, 3´end) are coloured distinctly. Cryo-EM maps are those derived from the multibody refinement and shown as composite maps, generated using phenix.combine\_focused\_maps (19), and are unsharpened and filtered to their estimated global resolution. Note, in particular, the different position of the 3'-end before state *D*, as well as the absence of density for h44 on the front of the 30S subunit in states *A* and *B*.

# Overall cryo-EM characterization of 30S complexes with late-stage ribosomal assembly factors

Conformational heterogeneity in isolated ribosomal subunits was established over 50 years ago by Zamir et al. (1969) and Moazed et al. (1986) (20, 21) when they demonstrated that the isolated 30S exist in an "active" and "inactive" conformation and more recently by Weeks and colleagues (22) who showed that an "inactive" 30S conformation is a bonafide in vivo state. Both in vitro and in vivo work agree that a conformational change in the CDR differentiates the two 30S conformations. We surmise that the inactive population in the isolated 30S subunits mimics immature states, allowing as previously demonstrated (13, 16, 18), late-stage ribosome assembly factors to interact with purified subunits. Therefore, by using these natively purified mature and immature 30S subunits and different combinations of late-stage ribosomal assembly factors, known to influence CDR maturation (RbfA+RsgA+RimP+RimM+RsmA; Figure 1, Figure S1), we revealed, by cryo-EM, fundamental molecular mechanisms governing assembly factor-mediated folding of the CDR. In total eight high-resolution structures were determined, including two apo 30S structures with no assembly factor bound that show an immature (state I) and the mature state (state M) consistent with those proposed by the biochemical work a half century ago (Figure 1). The remaining six structures, with up to two different assembly factors bound simultaneously (Figure 1), can be ordered by the increasing maturation, i.e. native structure content, in the CDR (state A-F, Figure 1, Table S2) suggesting a sequence of action for the tested assembly factors and disclosing intermediate conformations in the late stages of CDR folding.

To determine these structures three reaction mixtures were processed using single-particle analysis (Table S4 and Figures S2 to S4). Refining the entire 30S subunit as a single body (by 3D classification and consensus refinement) led to low local resolution in the head region indicating its variable orientation relative to the body region (Figure S5 and Figure S6). Therefore, we refined the 30S head and body

regions independently using a multibody approach (23), which yielded cryo-EM maps with overall resolutions between 2.75 Å and 4.9 Å. Local resolution in the assembly factor regions ranged between 2.6 Å and > 5 Å (Figure S5) enabling their modelling in the 30S bound state (Tables S4 to S11; Figure 1).

# An rRNA switch in the 16S rRNA delineates the CDR transition into a mature-like state.

Comparing the structures of the immature (state 1; Figure 2A) and mature 30S subunit (state M; Figure 2C) maps the most pronounced differences primarily to the region around rRNA helix h28 that forms the so-called neck connecting the head and body regions. Here the most significant change involves the 16S 3'-end (pink) swapping from the mRNA entry channel in state *I* to its canonical position within the mRNA exit channel in state **M** (arrow 1 in Figure 2A-D), by hinging around G1530 which is fixed by stacking onto A1507 in h45. This 3'-end swap is accompanied by a reorganisation of helix h28: In the immature state I, h28 shows a non-native secondary structure termed h28immature helix where residues U921-C924 base pair with the 16S 3 'end residues U1531-A1534 (Figure 2b), thus stabilizing the latter in the mRNA entry channel. In the mature state M, with the 3'-end swapped to the mRNA exit channel, h28 adopts its native secondary structure termed h28<sup>mature</sup> helix where residues U921-C924 instead base pair with U1393-A1396 (Figure 2D) that precede the h28/h44 linker (Figure 2F). This rRNA switch is largely consistent with aforementioned chemical probing studies by the Noller and Weeks groups that showed "a reciprocal interconversion between two differently structured states" with chemical reactivity changes "almost exclusively confined to the decoding site" (20, 22). We, therefore, surmise that the alternative inactive CDR conformation in state I is characteristic of the inactive 30S conformation in vivo and represents a stable kinetic trap accessed by interconverting with the mature 30S subunit or during folding of the CDR because, as described below, the assembly factor are observed to play a role in stabilizing specific switch conformations.

Importantly, this RNA switch could widely occur throughout all kingdoms of life as our analysis of small subunit rRNA sequences indicates that the potential for the 3 '-end to base pair and form h28immature helix is largely preserved across the phylogenetic groups apart from the mitochondria (Figure 2G). Furthermore, the rRNA switch provides a mechanism to couple rRNA processing steps with structural maturation during 30S biogenesis. Namely in the first cryo-EM structures we observe that both the 3'and 5'ends of the 16S rRNA localise to the same side of the subunit (within the mRNA entry channel), consistent with prior work showing their interaction during the initial steps of 30S biogenesis and rRNA processing (25). Subsequent swapping of the 3'-end would enable its trimming (i.e. 17S) to 16S rRNA conversion), in a process analogous to that observed in eukaryotic ribosomal assembly states where RNAases are positioned in the exit channel and trim down the 3'-end (26).

Our cryo-EM structures indicate that this rRNA switch has far ranging effects on the 30S subunit. For example, after multibody refinement, a principal component analysis of the 30S head position relative to the body shows that 1st principle component separates the dataset in a manner that reflects the h28 conformation (Figure S6). This corroborates the role of h28 as the main connection, or neck, between the 30S head and body regions (Figure 2A) such that the rRNA switch alters h28 dynamics and influences the head position. Moreover, base pairing in the h28<sup>mature</sup> helix conformation restrains residues U1393-A1396 that connect h28 to the long decoding helix h44 and, thus, can influence h44 conformation and dynamics. In the most immature states **A** and **B**, for example, residues U1393-A1396 (blue) show no native base pairing interactions in h28immature helix and effectively elongate the h28-h44 linker (C1397-C1399; green), thus conveying more flexibility to h44. Accordingly, in these states, h44 is not observed in its native position on the front of the 30S (Figure 1, Table 1) and additional density in the cryo-EM map instead suggests it is repositioned into the mRNA exit channel (Figure S8). In states I and C, the elongated h28-h44 linker alternatively forms a labile helix-like structure, termed h44a, with residues in the h44-h45 linker (A1502-G1505; brown; Figure 2B and 2E). Formation of h44<sup>a</sup> by both h44 linker regions allows the decoding helix to access a native-like position on the front side of the 30S subunit, but with its upper region still displaced by some 20 Å in *I* relative to its position in the mature state M (Figure 2E). Together this suggests that, during CDR maturation, the secondary structure switch in h28 reins in residues U1393-A1396, thus shortening the h28-h44 linker and confining h44 to a more canonical position on the front of the 30S subunit (Figure 1). Such folding of both h44 linker regions into a helix-like h44<sup>a</sup> and displacement of the h44 top end is also observed in eukaryotic 40S assembly complexes (26), further indicating mechanistic parallels between eukaryotic and prokaryotic ribosome assembly and supporting the idea that the natively isolated 30S inactive state mimics immature assembly intermediates as well.

# Roles for late-stage assembly factors in maturation of the central decoding region

In the cryo-EM reconstructions (Figure 1) we were able to visualize four of the five ribosome assembly factors added to the *in vitro* 30S complex, with the only exception being RimM, a factor binding outside the CDR near uS19 and uS13 in the 30S head (27). Structural models for the 30S bound assembly factors RsmA, RimP, RbfA, and RsgA were generated by refining template coordinates, taken from the PDB (RsmA PDB ID: 1QYR; RsgA PDB ID: 5NO3) or generated de novo by solution state NMR (RimP and RbfA; Figure S9 and Table S13), into the cryo-EM map.

Table 1. Overview about structural features observed in various states during late stage ribosome assembly.

30S state	16S 3´-end position	h28 conformation	h44 position <sup>1</sup>	h44 linker conformation <sup>2</sup>	Assembly factor bound
1	entry channel	immature	front	h44 <sup>a</sup>	-
Α	entry channel	immature	exit	disordered	RimP
В	entry channel	immature	exit	disordered	RimP + RsmA
C	entry channel	immature	front	h44 <sup>a</sup>	RimP
D	exit channel	mature	front	disordered	RimP + RbfA
Е	exit channel	mature	front	disordered	RbfA
F	exit channel	mature	front	mature	RsgA
М	exit channel	mature	front	mature	-

<sup>&</sup>lt;sup>1</sup> In the front position h44 localizes on the inter-subunit surface of the 30S subunit. In the exit position partial cryo-EM density attributed to h44 is observed to project out of the exit channel (see discussion in Figure S8).

RimP and RsmA delay h44 positioning on the front of the 30S subunit. In the presented series of ribosomal complexes (Figure 1) the first assembly factors to bind the 30S subunit are RimP and RsmA. While RsmA from the KsgA/Dim1 protein family is a universally conserved rRNA methylase that modifies residues A1518 and A1519 in h45 (28), RimP is essential for survival under stress conditions in Mycobacterium (5, 29). Among all factors studied here, RimP is the most versatile and is seen in four assembly states (state **A** to **D**) bound on the front of the 30S subunit (blue in Figure 1). During ribosome biogenesis, RimP is known to promote binding of the r-protein uS12 (29–31). Accordingly, our cryo-EM data shows it to interact directly with uS12 by forming an intermolecular β-sheet via anti-parallel pairing between uS12 strand β1 and RimP strand β3 (Figure 3A). This results in a conformational change in loop  $\beta 1/\beta 2$  of uS12 which contains the universally conserved PNSA motif that interacts with the top of h44 during decoding (32). According the shift in this loop may contribute to the disorder in the top of h44 when RimP is bound, as discussed below (state  $\boldsymbol{A}$  to  $\boldsymbol{D}$ ). In state  $\boldsymbol{B}$  (Figure 3B), RimP binds adjacent to RsmA that interacts with h24, h27, and h45, as reported previously (17). Both RimP and RsmA bind such that h44 cannot access its native position (indicated in grey) on the front of the 30S subunit. Specifically, the N-terminal domain of RimP (loop  $\beta 1/\beta 2$ ) obstructs h44 from approaching uS12 (purple) while loop  $\beta6/\beta7$  of RsmA keeps h44 from contacting the h45 loop (orange). In state C (Figure 3C), after dissociation of RsmA, the C-terminal RimP domain retracts from the native h44 binding site by ca. 4 Å (arrow) and the lower part of h44 (green) docks onto the front of the 30S subunit. Yet, the N-terminal RimP domain (loop  $\beta 1/\beta 2$ ) still prevents the tip of h44 (A1492-A1493) from approaching uS12 and assuming its mature fold, thus keeping the CDR in a non-native conformation. This remaining disorder and flexibility in both h44 linker regions may facilitate the rRNA switch of the 16S 3'-end keeping an open a path for it to leave the

mRNA entry channel.

RimP and RsmA were not previously known to directly interact as suggested by our cryo-EM structures, which show them binding proximally on the ribosome. However, both proteins appear dynamic showing reduced local resolution in the cryo-EM map (Figure S5) that limits the characterization of their shared interface. We, therefore, employed solution state NMR to probe a direct RimP/RsmA interaction, which was indeed confirmed by several observables. First, the 2D <sup>1</sup>H, <sup>15</sup>N BEST-TROSY spectrum of [U-15N] labeled RimP showed significant global signal broadening and attenuation (Figure S10) upon RsmA addition, indicating slowed molecular tumbling of RimP (16.7 kDa) from association with the considerably larger RsmA (30.4 kDa). Yet, the uniformity of signal broadening and absence of discernible chemical shift changes (indicative of a rather weak association with  $K_D > 0.1$  mM) precluded a characterization of the binding interface. Second, the NMR derived apparant translational diffusion coefficient of RimP decreased from  $1.09\pm0.01\cdot10^{-10}$  m<sup>2</sup>/s to  $0.98\pm0.03\cdot10^{-10}$  m<sup>2</sup>/s (in aqueous buffer at room temperature) in the presence of 0.25 mole equivalents of RsmA, implying a ca. 20% increase in the net hydrodynamic radius of RimP from association with RsmA (Figure S11). Finally, the addition of RsmA also causes a clear reduction of fast HN/H<sub>2</sub>O exchange rates (by > 30%, i.e.  $k_{ex,bound}/k_{ex,free} \le 0.625$ ) for 30% (8 of 27) of the solvent exposed amide protons observed in the CLEANEX spectrum (33) of RimP (Figure S11 and Table S1. These significantly solvent shielded amide groups all cluster at the RimP/RsmA interface seen in the cryo-EM model of the ternary RimP/RsmA/30S complex (Figure 3D), suggesting their similar arrangement also in the binary RimP/RsmA complex forming in solution. The interface on RsmA mainly comprises its N-terminal helix 1 and the  $\beta6/\beta7$  loop, which may have a functional significance as the loop contains a motif (FXPXPXVXS) common to Erm and KsgA methyltransferase where the conserved phenylalanine stabilizes the substrate base

<sup>&</sup>lt;sup>2</sup> Proposed secondary structure and description of disordered/unmodeled 16S rRNA residues in the h44 linkers are shown in Figure S7.

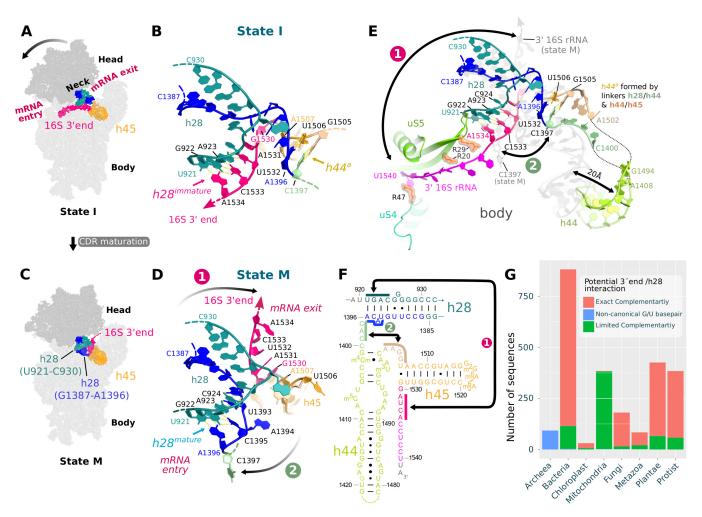


Figure 2. A rRNA switch central in the folding/maturation of the central decoding region (CDR). Two conformations of the h28 are observed when comparing state I (immature 30S) and state M (mature 30S; A-D) and define a rRNA secondary structure switch that alters the CDR. (A, C) Schematic view of the CDR. Key rearrangements involve helix h28, which forms the connection (neck) between the 30S head and body regions and alters their relative positioning (arrow), and the 16S 3'-end (pink) that swaps between mRNA entry (state I; panel A) and exit (state M; panel C) channels. (B, D) Zoom into the neck region. The swap (arrow 1) of the 16S 3'-end (pink) between mRNA entry (B) and exit channels (D) entails a reorganisation of h28, where U921-A923 (sea green) base pair with the 3'-end residues U1532-A1534 (pink) in the immature h28<sup>immature</sup> helix (B) or with U1393-A1396 (dark blue) in the mature h28<sup>mature</sup> helix conformation (D). In state I (B), U1393-A1396 (dark blue) and subsequent residues C1397-C1399 (green) of the h28/44 linker can alternatively interact with A1502-U1506 in the h44-45 linker (brown) to form a labile h44<sup>a</sup> (orange; poorly defined density in the cryo-EM map) with possible base pairs A1396:U1506 and C1397:G1505 (Figure S8C). (B) Superimposed CDR structures in states I (coloured) and M (grey) highlight changes outside the h28 region, for example, the displacement of h44. The structure of the CDR in all states is shown in Figure S7 with supporting cryo-EM maps in Figure S8. (F) The rRNA secondary structure switch (arrow 1) is shown on the 2D structure of the CDR where the alternative base pairing pattern seen in h28 immature is designated with the solid bars connected by arrow 1. Arrow 2 indicates the association of the h28-44 and h44-45 that forms h44a. (G) The fraction of small ribosomal subunit rRNA in various phylogenetic groups, where the 16S 3'-end (U1532-A1534) has the potential to base pair perfectly with h28 (U921-A923) is indicated using a stacked bar plot. Sequences that match perfectly are drawn as pink bars and those with limited complementarity (1 or more mismatch) as green bars. Archaea generally have a non-canonical G:U wobble base pair that is common in rRNA and considered isomorphic to Watson-Crick base pairs (24) and are coloured blue, but could be grouped with the complementary sequences.

through stacking interactions (28).

Extending the previously described role of RimP in promoting S12 binding (29-31), our results also indicate RimP has a broader role in 30S subunit assembly where RimP delays h44 positioning on the front of the 30S subunit, thus exposing the RsmA binding site, and may even pre-associate with RsmA to facilitate its recruitment to the subunit. Moreover, by keeping the upper part of h44 and its linker regions disordered RimP maintains an open unstructured channel in the 30S neck region for the 16S 3'-end to swap.

RbfA promotes the h28<sub>immature</sub> helix to h28<sub>mature</sub> helix switch. While RimP binding is compatible with either position of the 16S 3'-end and binds similarly in states **C** and **D**, RbfA is visualized on the 30S subunit (states

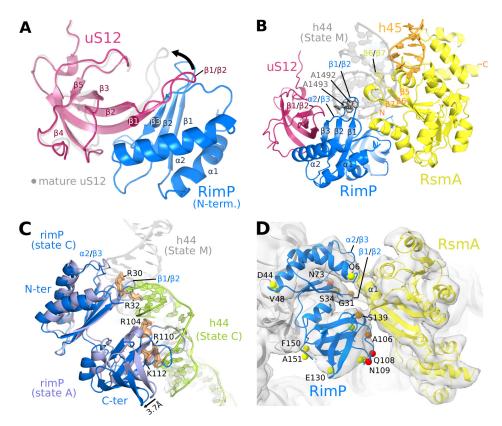


Figure 3. Roles for the Assembly factors RsmA and RimP in CDR maturation. (A) The intermolecular β-sheet formed by RimP and uS12, as observed in state **A-D** (state **C** illustrated), superimposed on uS12 of the mature 30S subunit (state **M**; grey), to highlight the conformation change in the  $\beta 1/\beta 2$  loop (arrow). (B) In state B, RimP (blue) and RsmA (yellow) bind adjacent to each other on the 30S subunit, occupying the position of h44 in the mature 30S subunit (grey). (C) In state C, the C-terminal domain of RimP (blue; superposed on state A in violet) withdraws from the h44 binding site such that the lower part of h44 (green; residues C1409 to G1491) positions as in the mature 30S subunit (gray ribbon) while the upper part and linker region is invisible in the cryo-EM map (disordered). (D) Zoom on the RimP/RsmA interface seen by cryo-EM (state B). Indicated residues (spheres) were observable in the CLEANEX NMR spectrum of free RimP and are colored by the ratio k of their  $H^N/H_2O$  exchange rates in the absence  $(k_{ex,free})$  and presence  $(k_{ex,+RsmA})$  of RsmA,  $\kappa = k_{ex,free}/k_{ex,+RsmA}$ : green ( $\kappa = 0.8$  - 1.2), orange ( $\kappa = 1.6$  – 2.0), red ( $\kappa$  > 2). All RimP residues with significant solvent protection after RsmA addition (i.e.  $\kappa$  geq 1.6) localise to the RimP/RsmA interface seen in the cryo-EM structure of their 30S complex. See Figure S12 for RimP CLEANEX spectra and derived HN/H<sub>2</sub>O exchange rates,  $k_{ex}$ .

**D-E**) only after the 3'-end has swapped from the entry to the exit channel. In fact, this swapped 16S 3'-end is an integral part of the RbfA binding site in the mRNA exit channel and specifically interacts with the (type-II) KH-domain of RbfA (Figure 4A). The 16S rRNA residues G1530-A1536 run along the RNA binding surface of RbfA, where residues A1531-C1533 interact near the GXXG sequence motif (AXG in RbfA; (34)) characteristic of KHdomain proteins while A1534-C1535 extend into a pocket on RbfA and  $\pi$ -stack between the highly conserved Phe78 of RbfA and Arg43 of ribosomal protein bS18 (Figure 4A). Comparing the ribosome-bound (cryo-EM) and free (NMR) solution structures reveals some prominent structural adaptions in RbfA that promote this interaction with the 16S 3'end. Namely helix  $\alpha 1$  rotates by about  $20^{\circ}$  and the subsequent loop  $\alpha 1/\beta 1$  rearranges such that the 3<sub>10</sub> helix present in the apo RbfA structure unfolds to reposition near nucleotides A1534 to C1536 (Figure 4B).

The interaction of RbfA with the 16S 3´-end is inconsis-

tent with previous cryo-EM reconstructions that localised RbfA on the front of the 30S subunit (16) where it cannot interact with the 16S 3'-end. Therefore, we again employed NMR to confirm this interaction using mimics of the 16S 3'-end to define the 16S 3'-end binding site from induced chemical shift perturbations (CSP) (35) in the well dispersed 2D <sup>1</sup>H, <sup>15</sup>N HSQC fingerprint spectrum of RbfA (Figure S12A). Mapping the amide groups with significant CSP on the cryo-EM structure of the RbfA in the 30S complex indeed corroborates the rRNA binding surface and structural rearrangement of loop  $\alpha 1/\beta 1$  observed in states **D** and **E** (Figure S12C). These NMR titration experiments also show that the interactions near the consensus AXG motif in RbfA are unspecific since local CSPs are induced by both 16S 3'-end mimics and poly(U) control addition; in contrast, only 16S 3'-end mimics induce CSPs in loop  $\alpha 1/\beta 1$  revealing its interaction with rRNA to be specific (Figure S12B). Our NMR and cryo-EM results, therefore, indicate that RbfA facilitates the RNA secondary structure

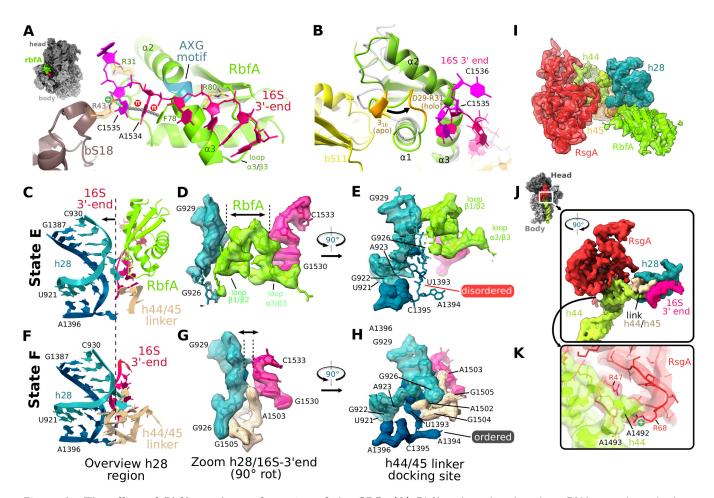


Figure 4. The effect of RbfA on the conformation of the CDR. (A) RbfA is bound within the mRNA exit channel where it captures the 16S 3'-end using for example a Phe78(RbfA)-A1534-C1535-R43(bS18) stacking interaction (dotted lines). (B) Structural differences between the NMR solution structure of apo RbfA (gray) and ribosome bound holo RbfA (state E; green) include the unfolding of a  $3_{10}$  helix (orange) in the  $\alpha 1/\beta 1$  loop such that the loop closes around the 16S 3´-end (near A1534-C1535). (C-H) The h28 region in the RbfA (state E: panels C, D, and E) and RsgA (state F: panels F, G, H) bound 30S structures. RbfA displaces the upper part of h28 (arrow, compare panel C and F) and destabilizes its lower end, where the cryo-EM map show disorder for residues U1393-A1394 (compare map around U1393-A1394 in panel E and H), thus keeping h28 from adopting a fully matured conformation. Panels C and F show the overview of this region, panels D and G highlight the region at the top of h28 (light blue) where RbfA contacts (view is rotated about 90° relative to panel C and D) and panel E and H highlight the weak density seen by cryo-EM for the lower part of h28 in the RbfA bound state. (I) The RbfA cryo-EM density is superimposed on the RsgA cryo-EM map (segmented density for RsgA, h44, and h28). (J) Close-up view of RsgA (red) clamping around the top of h44 in the CDR. (K) Close-up view showing the cation-p interaction that sandwiches A1492 (h44; green) between Arg47 and Arg68 of RsgA (red). The cryo-EM density shown in the figures corresponds to the consensus or multibody refinement maps for the body region and have been segmented using the underlying model (radius  $\sim$  2.5 Å).

switch, by sequestering residues U1531-C1535, preventing their base pairing with h28immature helix, and holding the 16S 3´-end in the mRNA exit channel. This function might explain the importance of RbfA for cell growth at low temperatures (36, 37) where a h28immature helix to h28mature helix conversion may otherwise be kinetically unfavoured. The RbfA promoted conversion from h28immature helix to h28<sup>mature</sup> helix is not sufficient, however, to complete CDR folding and, in fact, RbfA appears to even delay folding of the h44-45 linker. Besides interacting with the 16S 3'end, RbfA packs against and distorts the upper part of h28 (arrow in Figure 4C), with its loops  $\beta 1/\beta 2$  and  $\alpha 3/\beta 3$  acting as a wedge to prevent the tertiary interaction, seen in the mature CDR, that sandwiches A1503 of the h44-h45

linker between h28 and the 16\$ 3'-end (compare Figure 4E and 4F). Moreover, in the presence of RbfA, the lower part of h28 around the A923:U1393 base pair is destabilised as the map around U1393-A1394 is weak and fragmented as compared to the same region in the RsgA-30S complex (compare Figure 4G and 4H). These disordered residues play an important role in stabilizing the h44-45 linker in the mature 30S where A1502-G1505 in the linker form a compact turn that packs into the minor groove of h28 around U1393-A1394 (Figure 4H and Figure S13). Finally, the observed interaction between RbfA and the 16S 3 '-end is consistent with the finding that mammalian (mitochondrial) RbfA homologues interact with the 3'minor domain of the small ribosomal subunit rRNA (38). Moreover, the

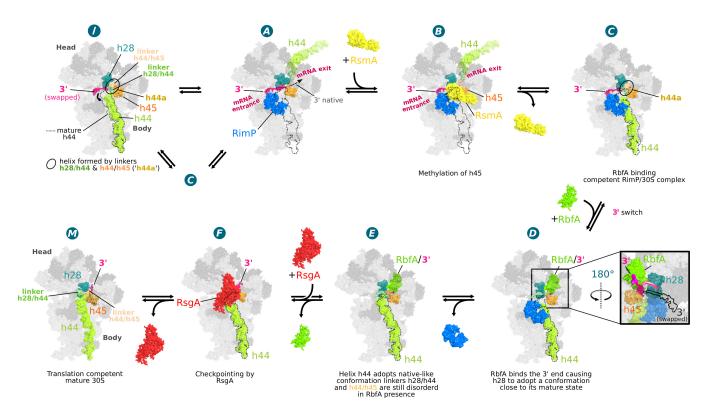


Figure 5. Schematic model for the stepwise folding of the CDR. Assembly factor assisted maturation of the CDR starts in state A with RimP (blue) bound, the 16S 3'-end (magenta) inside the mRNA entry channel (base paired in h28<sup>immature</sup> helix ), and the decoding helix h44 (light green) positioned in the mRNA exit channel at the back of the subunit (see discussion in Figure S8D), rather than adopting its mature position (dotted outline in all panels). This 30S configuration enables RsmA (yellow) binding in state B and exposes residues A1518-A1519 in the h45 loop (orange) such that they could be methylated by RsmA (28). Release of RsmA in state C partially opens the canonical binding site of h44, allowing it to drop into place while its two linkers form a poorly defined helix similar to h44a in state I. In the subsequent state D, the 3'-end swaps from the mRNA entry to exit channel (see rotated close-up view), where it is stabilized by interactions with the KH-domain of RbfA (green) while h28 (turquoise) adopts its mature conformation (h28<sup>mature</sup> helix). In state **D**, after RimP dissociation, h44 adopts a more native-like conformation although both linker regions remain largely disordered. In state F, after RsgA (red) binding and release of RbfA, the h44 linker regions also fold into a near native conformation. Finally, after hydrolysing GTP RsgA leaves the ribosome in a mature conformation (state M). In this schematic model of CDR maturation, the immature state I represents an inactive state (or kinetic trap) accessed, for instance, when RimP prematurely dissociates from states  $\boldsymbol{A}$  A or  $\boldsymbol{C}$  and h44 drops into its mature position before the 3´-end can swap from mRNA entry into the exit channel and there be stabilized by RbfA.

interaction is similar to that observed between the eukaryotic KH-domain containing assembly factor Pno1, and the 3'-end of the 18S rRNA during the late stages of eukaryotic ribosome biogenesis (26), suggesting that RbfA may play role similar to Pno1 in protecting and positioning the 3' end during rRNA processing. This corroborates our hypothesis that a conserved CDR core folding pathway exists across phylogenetic groups although the protein factors involved in subunit biogenesis maybe be unrelated by sequence.

RsgA checks CDR maturation. RsgA is one of the last assembly factors to interact with the 30S subunit removing RbfA and acting as a check-point for the entry of the assembling subunit into the pool of translating ribosomes (13, 18, 39). In the RsgA bound state **F** (Figure 1), the GTPase domain of RsgA clamps around the CDR while its Zn-binding domain forms a bridge with the 30S head near h29, as seen in previous cryo-EM reconstructions (13, 18). Importantly, the RsgA and RbfA binding sites do not overlap (Figure 4I) indicating that an allosteric effect, rather than steric hindrance, is responsible for the RsgA induced release of RbfA (39). Regarding the nature of this allosteric change we only observe one RsgA bound state, but PCA reveals that RsgA induces a 30S head conformation distinct from all other states (Figures S6E-H). Noller and colleagues showed that 30S head movement is related, in part, to a hinge that lies at a weak point in h28 near the bulged G926 (24). This is the same region pictured in Figure 4C and 4D, where RbfA loops  $\beta 1/\beta 2$  and  $\alpha 3/\beta 3$  wedge apart h28 and the 16S 3'-end. Accordingly, RsgA induced allosteric changes in the 30S head could promote an h28 conformation incompatible with RbfA binding, thus leading to RbfA release. The 16S 3'-end would then be free to reposition near h28 and interact with A1503 (Figure 4G) to stabilize the h44-45 linker. Moreover, by clamping around the CDR (Figure 4J) RsgA constrains its folding landscape and further promotes h44-45 linker maturation via extensive interactions along the minor groove of h44.

For instance, Arg47 and Arg68 in the OB-domain domain of RsgA form a pocket to fix the position of A1492 at the top of h44 presumably cation- $\pi$  stacking (Figure 4K). Such direct interactions with the CDR substantiate the previous hypothesis that RsgA acts as a checkpoint and probes the maturation state of the 30S subunit such that its GTPase activity is dependent on CDR conformation (13, 18).

#### Conclusion

The high-resolution cryo-EM structures presented here indicate a probable series of 30S states (states **A-F** and **M**; Figure 5) through which the four analysed late-stage assembly factors guide rRNA folding during CDR maturation. Since 30S subunit folding is predicted to follow multiple pathways (8-11), where several assembly factors have overlapping functions, variations to our presented 30S maturation model are possible depending on cellular conditions and available factors. The most striking feature of our CDR maturation model is a conserved rRNA secondary structure switch in h28 and the accompanying swap of the 16S 3'end from the mRNA entry to the exit channel that occurs between states **C** and **D**. This swapping is stabilized by the assembly factor RbfA that directly interacts with the 16S 3 '-end in the mRNA exit channel; yet other assembly factors, like RimP and RsmA, likely facilitate this swap by delaying the top of h44 and its linker regions from assuming their canonical position on the front of the 30S subunit. In this regard we suggest that state I represents an inactive, kinetically trapped state where h44 prematurely assumes a position on the front of the 30S subunit and both linkers fold into the helix-like h44a. The structure of this inactive state is consistent with that proposed based on biochemical analysis half century ago and more recently in vivo and in purified subunits ((20-22), and (40)). Finally, there are several apparent parallels between the prokaryotic system studied here and eukaryotic systems, namely RbfA has a role partially analogous to the eukaryotic Pno1 in binding the 3' rRNA end (26), while common rRNA sequence features indicate that the observed reorganisation of h28 can occur in all phylogenetic kingdoms except in mitochondria. This suggests that the rRNA switch mechanism observed in our 30S complex structures is inherent to small subunit assembly throughout life.

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## **Data Deposition**

All cryo-EM data will be deposited into the appropriate databases including EMPIAR, PDB, EMBD. The coordinates of the isolated assembly factors studied by NMR have been deposited in 7AFQ, 7AFR and BMRB 34385, 28014.

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