1 Inferring spatially transient gene expression pattern from spatial 2 transcriptomic studies 3 4 Jan Kueckelhaus^{1,2,3#}, Jasmin von Ehr^{1,2,3#}, Vidhya M. Ravi^{1,2,3}, Paulina Will^{1,2,3}, Kevin Joseph^{1,2,3}, Juergen Beck^{2,3}, Ulrich G. 5 6 Hofmann^{3,4}, Daniel Delev^{5,6}, Oliver Schnell^{2,3,7}, Dieter Henrik Heiland^{1,2,3} 7 8 ¹Microenvironment and Immunology Research Laboratory, Medical Center, University of Freiburg, Germany 9 ²Department of Neurosurgery, Medical Center, University of Freiburg, Germany 10 ³Faculty of Medicine, Freiburg University, Germany 11 ⁴Neuroelectronic Systems, Medical Center, University of Freiburg, Germany 12 ⁵Department of Neurosurgery, RWTH University of Aachen, Aachen, Germany 13 ⁶Neurosurgical Artificial Intelligence Laboratory Aachen (NAILA), Department of Neurosurgery, RWTH University of Aachen, 14 Aachen, Germany 15 ⁷Translational NeuroOncology Research Group, Medical Center, University of Freiburg, Germany 16 17 # Equal contributed first authorship 18 DISCLOSURE OF CONFLICTS OF INTEREST: No potential conflicts of interest were disclosed by the authors. 19 Corresponding author: 20 Dieter Henrik Heiland 21 Department of Neurosurgery 22 Medical Center University of Freiburg 23 Breisacher Straße 64 24 79106 Freiburg 25 -Germany-26 Tel: +49 (0) 761 270 50010 27 Fax: +49 (0) 761 270 51020 28 E-mail: dieter.henrik.heiland@uniklinik-freiburg.de 29 30

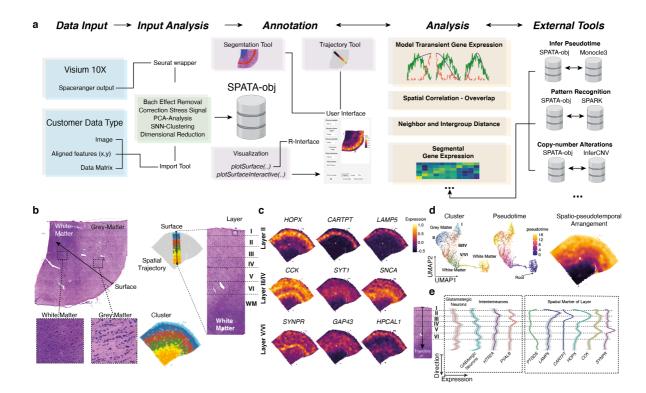
31 Abstract

- 32 Spatial transcriptomic is a technology to provide deep transcriptomic profiling by preserving the spatial 33 organization. Here, we present a framework for SPAtial Transcriptomic Analysis (SPATA, 34 https://themilolab.github.io/SPATA), to provide a comprehensive characterization of spatially resolved 35 gene expression, regional adaptation of transcriptional programs and transient dynamics along spatial 36 trajectories.
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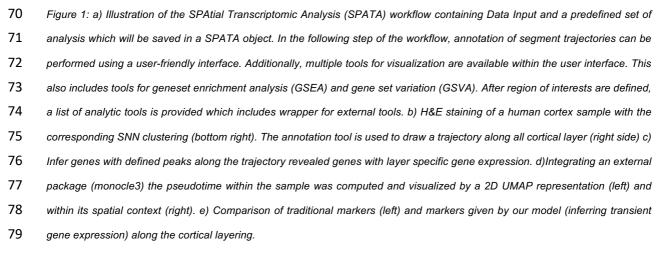
Brief Communication

40 Deep transcriptional profiling of single cells by RNA-sequencing maps the cellular composition of tissue 41 specimens regarding cellular origin, developmental trajectories and transcriptional programs^{1–3}. 42 However, information determining the spatial arrangement of specific cell types or transcriptional 43 programs are lacking and thus can only be predicted indirectly⁴, which is a considerable drawback of 44 this method. Spatial tissue organization was traditionally investigated by imaging technologies which provide information at high resolution but are strongly limited by the number of genes or proteins to be 45 mapped. Several novel technologies such as MERFISH⁵, FISH-seq⁶, Slide-seq⁷ or spatial 46 47 transcriptomics^{8,9} are able to preserve the spatial context of transcriptional data, however all these 48 technologies are limited by either the spatial resolution or depth of transcriptional profiling. Further, data 49 integration, visualization and analysis of transcriptomic and spatial information remains challenging. 50 Here, we present a software tool to provide a framework for integration of high-dimensional 51 transcriptional data within a spatial context. By combining user-friendly interfaces for visualization, 52 segmentation or trajectory analysis and command-based pipe-friendly functions for data manipulation 53 and modeling, we provide a broad range of applications for different analytical demands. In addition, we 54 implemented interfaces to provide easy exchange of numerous external tools. Previously published 55 tools focus mainly on the visualization of gene expression using known tools from scRNA-seq analysis rather than addressing gene expression within its spatial context^{10–12}. In particular, we focus on transient 56 57 changes of gene expression and aim to infer transcriptional programs that are dynamically regulated as 58 a function of spatial organization.

59 In order to present an overview of possible analytic capabilities of the SPATA workflow, Figure 1a, we 60 generated spatial transcriptomic datasets from human cortex and human glioblastoma samples using 61 the Visium technology (10X Genomics). The human cortex is separated into defined layers containing 62 different types of neurons and cellular architecture. In a first step, we combine shared-nearest neighbor 63 clustering and spatial pattern recognition by an external tool (spatial pattern recognition via kernels, 64 "SPARK"¹³) in order to determine genes with a defined spatially resolved expression pattern. We found 65 that the cortical layering is accurately reflected by our clustering approach. In order to gain insights into 66 the spatial organization we provided a tool to compute the spatial distance within the defined layers or 67 correspondent clusters. An increasing distance within individual clusters allows to differentiate between 68 narrowly related or a widespread dispersion of spots within the cluster.

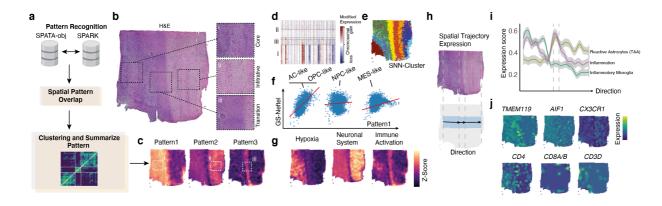






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Next, the spatial overlap of transcriptional programs or gene expression was analyzed using a Bayesian 81 82 approach, resulting in an estimated correlation which quantifies the identical arrangement of expression in space. In a further step, we aimed to analyze dynamic changes, which were annotated using 83 pseudotime estimation or RNA-velocity. We directly implemented the pseudotime inference from the 84 85 monocle3¹⁴ package, but also allow the integration of any other tool such as "latent-time" extracted from RNA-velocity (scVelo¹⁵). Another option for dynamic gene expression analysis is the detection of defined 86 87 transcriptional programs along a defined trajectory. In our example, we mapped different activation 88 states of astrocytes and microglia within the cortical layering.





90 Figure 2: a) Illustration of the SPATA workflow integrating SPARK for pattern recognition into the analysis of human glioblastoma. 91 SPARK will estimate to what extent a gene is present in a spatial pattern. The output is piped into a spatial overlap analysis and 92 clustered to extract set of genes which belong to the same pattern. b) H&E staining of glioblastoma with 3 histological distinct 93 regions. c) Predicted pattern visualized by the z-scored gene expression of all genes aligned into a pattern. d-e) Copy-number 94 analysis of the sample and cluster annotation (e). f) Comparison of recognized pattern with known gene expression classification, 95 here the Neftel classification. g) Expression of significantly expressed pathways within a pattern. h) Spatial trajectory analysis 96 along the tumor infiltration region. i-j) Change of z-scored geneset expression along the trajectory (i) and marker genes of 97 microenvironmental alterations and inflammation (j).

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99 Moreover, we provide the opportunity to screen for gene expression or transcriptional programs which 100 transiently change along predefined trajectories by modelling gene expression changes in accordance 101 to various biologically relevant behaviors. All genes or transcriptional programs which significantly 102 followed one or multiple predefined models were ranked and visualized. The detection of dynamic 103 spatially defined gene expression patterns is also of great interest in malignant specimens. In another 104 example, we profiled tissue of a human glioblastoma, the most malignant tumor of the central nervous 105 system (CNS) as SPATA provides numerous tools to analyze datasets with malignant origin. In a first step, integrating inferred copy-number alterations (CNV)^{2,16}, spatial pattern recognition and shared-106 107 nearest neighbor clustering provides a broad overview of spatially defined transcriptional programs 108 within the subclonal architecture of tumor samples, Figure 2a-g. Using this information, specific 109 segments can be specified and analyzed to gain insights into their spatially differentially expressed 110 genes. We showed that segments of higher cellular density also contained increased signaling of the 111 hypoxic pathway including expression of VEGFA, HIF1A and GAPDH. Additionally, mapping the 112 subclonal architecture based on a CNV clustering allowed to screen for gene expression differences 113 within regions of exclusive genetic context Figure 2d. Inferring spatially transient gene expression along 114 trajectories connecting particular tumor regions, i.e. between tumor core and infiltration zone, provided 115 the opportunity to map transcriptional programs executed during tumor infiltration and tumor-induced

116 microenvironment changes of the surrounding areas. Thus, we were able to show that immune related 117 genes from myeloid cells and reactive astrocytes were localized in a "glial-scars" resembling structure, 118 sharply separating normal brain from tumor regions Figure 2h. We observed a transient increase of 119 macrophage and microglia activation directed towards the tumor boarder. Mapping transcripts that mark 120 for lymphoid cells, we found more T cells abundance within the normal brain compared to tumor regions 121 which is in line with the reported immunosuppressive environment within glioblastoma. Inferring 122 pseudotime, we were able to confirm a dynamic adaptation of myeloid cells along our defined trajectory 123 Figure 2i-j. Recently, Neftel and colleagues established a classification of 4 transcriptional states using 124 single-cell RNA-sequencing, Figure 2f.i. Using these signatures, we were able to map the spatial 125 distribution of assumed tumor heterogeneity. We implemented a 2D representation of all 4 states which 126 could be used to map the distribution of all transcriptional states within defined segments or along spatial 127 trajectories. Of utmost importance, our tool enables the usage of a variety of different biological data 128 containing a spatial context such as spatially resolved mass-spectroscopy or imaging mass cytometry 129 (IMC). SPATA is a resource developed from scientists for scientists incorporating the FAIR principles of 130 providing findable, accessible, interoperable, and reusable data¹⁷.

132 Methods:

133 SPATA software and functions

A detailed overview of all included functions and the structure of the package is given at the package website (https://themilolab.github.io/SPATA/index.html). We implemented tutorials for all described analytic approaches to provide a simple-as-possible solution to trace the individual analytic steps.

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138 Data preparation, per-analysis and SPATA object implementation

139 We offer two possible input options. On one side, we implemented the direct input from spaceranger by 140 using the Seurat wrapper for spatial transcriptomics. On the other hand, we used the Seurat v3.0 141 package to normalize gene expression values by dividing each estimated cell by the total number of 142 transcripts and multiplied by 10,000, followed by natural-log transformation. As described for single cell-143 RNA sequencing, we removed batch effects and scaled data using a regression model including sample 144 batch and percentage of ribosomal and mitochondrial gene expression. For further analysis we used 145 the 2000 most variable expressed genes and decomposed eigenvalue frequencies of the first 100 146 principal components and determined the number of non-trivial components by comparison to 147 randomized expression values. The obtained non-trivial components were used for SNN clustering 148 followed by dimensional reduction using the UMAP and TSNE algorithm. After analysis all date will be 149 saved in a SPATA object, detailed information of the S4 object structure is given at the package 150 information. Another option is to provide 3 files that will be used to create a SPATA object, one file 151 containing barcode information or other identifier of each spot with the given x and y coordinates determining the spatial position of each spot within the H&E image. The second file contains an 152 153 expression or intensity matrix with identifier as colnames and genes or other features as rownames. The 154 last file is an image with x and y coordinates corresponding to the identifier of file1. If the inputs are gene 155 expression counts we run the standard pipeline (Seurat wrapper), otherwise (IMC, MALDI or MERFISH) 156 we provide a data analysis pipeline which is designed for non-integer inputs and normal distributed data.

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158 Modeling of transient gene expression along spatial trajectories

A given trajectory includes multiple spots summarized into predefine bins of the directed trajectory. In order to model the gene expression of single genes or genesets we created a set of mathematical models which represent defined biological behaviors, including linear, logarithmic or gradient ascending/descending expression pattern, one-, or multiple peak expression, detailed information in the

package description. The analysis is implemented into the function assessTrajectoryTrends(). Further,
if a defined pattern is requested, we open the possibility to add a vector containing the requested model
for which the algorithm will screen. Next, we fitted the summarized expression values of each bin using
a non-parametric kernel estimation (Gaussian or Cauchy-Kernel), input vectors were normalized and z-

167 scored: (1) $n_{\exp i} = \frac{A_{\exp i} - min(A_{exp})}{max(A_{exp}) - min(A_{exp})}$ (2) $\widehat{f_h}(n_{\exp i}) = \frac{1}{n} \sum_{i=1}^n K_h(n_{exp} - n_{\exp i})$ K is the kernel and 0.7 >

168 h > 0.3 is used to adjust the estimator. Next, we computed residuals for each input vector (gene 169 expression) and estimated area under the curve (AUC) using the trapezoidal numerical integration. 170 (3) $\int_{b}^{a} f(res) dx \approx \sum_{k=1}^{n} \frac{f(res_{k-1}) + f(res_{k})}{2} \Delta res_{k}$ The distance and direction is defined by [a,b] a=x₀ < x₁<, 171 ..., < x_{n-1} <x_n=b. We use the AUC to rank the estimated models and predict genes that follow our 172 predefined behavior. The implemented function plotTrajectoryFit() shows the model fit with respect 173 to the given residuals.

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175 Enrichment analysis for SPATA

176 Gene sets were obtained from the database MSigDB v7 and internally created gene sets are available 177 at within the package. For enrichment analysis we provide multiple methods listed in the description of the plotSurface() function. Per default, we use a probability distribution fitting of the input values which 178 179 could be genes or summarized gene sets and transformed the distribution to representative colors. 180 Further adaptation of the applied color scale can be performed using by the 181 confuns::scale_color_add_on(). Further additions for geneset enrichment analysis or gene set variation 182 analysis are implemented by using the GSVA package. As input for a GSEA the normalized and 183 centered expression data are used and further transformed to z-scores ranging from 1 to 0. Genes were 184 ranked in accordance to the obtained differential expression values and used as the input for GSEA.

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186 Two-dimensional representation of cellular states

Within the SPATA toolbox, we allow to plot a recently popular 2D presentation of multiple cellular states. As usual in SPATA, we provide two versions to acquire the data, on one side plotting from inside a SPATA-object is possible (plotFourStates()) and on the other hand, data can be used from outside (for example an expression matrix containing a single-cell dataset) by applying version 2 of the function(plotFourStates2()). Therefore, we aligned spots to variable states based on defined gene sets: GS_(1,2,..n). We separated cells into GS₍₁₊₂₎ versus GS₍₂₊₄₎, using the following equation: $A_1 =$ 193 || $GS_{(1)}, GS_{(2)} ||_{\infty} - || GS_{(3)}, GS_{(4)} ||_{\infty}$ A1 defines the y-axis of the two-dimensional representation. In a next 194 step, we calculated the x-axis separately for spots A1<0 and A1>0: A1 > 0: A₂ = log 2 ($\overline{GS_{(1)}} - 195$ $[\overline{GS_{(2)}} + 1]$) A1 < 0: A₂ = log 2 ($\overline{GS_{(3)}} - [\overline{GS_{(4)}}]$) For further visualization of the enrichment of subsets 196 of cells according to gene set enrichment across the two-dimensional representation, using a probability 197 distribution fitting - we transformed the distribution to representative colors. This representation is an 198 adapted method published by Neftel and colleges recently^{2,3}.

199

200 Spatial distance measurement

In order to measure the spatial distance, we use either a defined factorized input or a continuous vector. We fist measure the spatial distance from each spot to all other spots and compute a distance matrix with spots as rows and columns ($n_r=n_c$). If factorized input was applied, we factorize the matrix and calculate the mean distance per factor ($f_1 \rightarrow f_i$): (1) $dist_{fi} = \frac{\sum_{k=1}^{n} a}{2n}$ (2) $a = \begin{bmatrix} s_1 & s_1 \\ \cdots & \cdots \\ s_{nr} & s_{nc} \end{bmatrix}$. If a distance is numeric, we created bins of spots with common gene expression of gene set enrichment resulting in factorized values. Using the distance computation, we estimate to what extent a gene is expressed in

207 exclusive spots (lower distance) or diffuse without spatial enrichment.

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209 Spatial overlap and correlation analysis

210 Spatial overlap of spatial correlation was designed to estimate the similarity of gene expression pattern 211 within the spatial organization. In order to map spatial correlated gene expression or gene set 212 enrichments, we used z-scored ranked normalized expression values. We used a Bayesian approach to compute the correlation distribution within two different genes or gene sets (~5-20 minutes runtime, 213 214 MacOS 2019). The spatial reference is given by the x and y coordinates of each spot. In order to provide an alternative method which is computationally less-intensive (~1-3 minutes runtime, MacOS 2019) we 215 216 construct a trajectory of spots from lowest ranked to highest ranked spot (based on z-scored input 217 vectors). The genes of interest (which were correlated with the spatial trajectory) were fitted by loess-fit from the stats-package (R-software) and aligned to the ranked spots and fitted by a probability 218 219 distribution. Correlation analysis was performed by Pearson's product moment correlation coefficient. 220 For heatmap illustration the gene order was computed by ordering the maximal peak of the loess fitted 221 expression along the predefined spatial trajectory.

223 Implantation of external tools: SPARK

For pattern recognition of spatially distinct expressed genes we integrated the R package SPARK¹³, which was shown to perform beneficial compared to other tools such as SpatialDE¹⁸. We transformed the required data into a SPARK object which is externally analyzed and reimported to SPATA. We add the possibility to group genes with a significant spatial pattern by overlap estimation and SNN clustering of the given correlation matrix.

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230 Implantation of external tools: InferCNV

231 Copy-number Variations (CNVs) were estimated by aligning genes to their chromosomal location and 232 applying a moving average to the relative expression values, with a sliding window of 100 genes within each chromosome, as described recently¹⁶. First, we arranged genes in accordance to their respective 233 234 genomic localization using the CONICSmat package (R-software). As a reference set of non-malignant 235 spots, we used cortex from epilepsy patients. To avoid the considerable impact of any particular gene 236 on the moving average we limited the relative expression values [-2.6,2.6] by replacing all values 237 above/below $exp_{(i)}=|2.6|$, by using the inferced package (R-software). This was performed only in the 238 context of CNV estimation as previously reported¹⁹.

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240 Implantation of external tools: Monocle3 or RNA-velocity

We implemented a wrapper to easily switch between cds-objects (monocle3) and SPATA objects. First, we compute minimum spanning tree (MST) to estimate the most separate paths and order these cells to annotate pseudotime. By using the createPseudotime() function, a shiny-interface from monocle3 will give the possibility to select a root for pseudotime annotation. Further, we provide the possibility to implement each vector, for example "latent time" extracted from RNA-velocity using scvelo, to integrate into our SPATA object.

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248 Data acquisition of spatial transcriptomics

All Visium Gene Expression experiments were performed according to 10X Genomics user guide 'Visium Spatial Gene Expression Reagent Kits'. In brief, 10µm thick, cryosectioned slices of fresh frozen brain tissue were applied onto capture areas of Visium Spatial Gene Expression Slide, hematoxylin and eosin stained and imaged for subsequent alignment with spatial RNA data. During permeabilization, mRNA was liberated from cells and captured by primers on the slide's surface which enable downstream 254 reassignment of barcoded mRNA sequences to their former, spatial location. Permeabilization times 255 had been determined in advance (Cortex: 18 min; Tumor: 12 min; Cerebellum: 12 min) according to manufacturer's instructions (10X Genomics, Spatial Tissue Optimization Reagent Kit). After reverse 256 257 transcription, second strand synthesis and denaturation of cDNA, second strands were amplified by 258 PCR and desired cDNA fragments were selected via SPRIselect reagent. Successful amplification was 259 confirmed by QC via Agilent Fragment Analyzer system. During the following fragmentation and double-260 sided size selection via SPRIselect reagent, length of cDNA fragments was optimized for analysis via 261 Illumina NextSeg Sequencing System. Each fragment was provided with unique, dual indexes as well as adapters binding to oligonucleotides on Ilumina flow cell. Post Library Construction QC via Agilent 262 263 Fragment Analyzer system and Invitrogen Qubit Fluorometer was performed before normalization of libraries. For more information consult Illumina 'Denature and Dilute Libraries Guide - Protocol A: 264 265 Standard Normalization Method'. Phix control at a concentration of 1.8pM was added to each library in a dilution of 1:100. Sequencing was performed using the NextSeq 500/550 High Output Kit (150 Cycles). 266

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269 Data and code Availability

Further information and requests for resources, raw data and reagents should be directed and will be fulfilled by the Contact: D. H. Heiland, dieter.henrik.heiland@uniklinik-freiburg.de. The source code of SPATA is available at https://github.com/theMILOlab/SPATA, additional functions are at https://github.com/heilandd/SPATA_Developer and https://github.com/kueckelj/confuns. Spatial Transcriptomic data will be provided at GEO (in preparation) and SPATAobjects at www.themilolab.com (in preparation).

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281 Conflict of interests

282 No potential conflicts of interest were disclosed by the authors.

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